Transcription-coupled repair and mismatch repair 1 contribute towards preserving genome integrity at 2 mononucleotide repeat tracts 3 4 Ilias Georgakopoulos-Soares^{1,2}, Gene Koh^{1,3,4}, Sophie E. Momen^{3,4}, Josef Jiricny⁵, Martin 5 Hemberg^{1,+}, Serena Nik-Zainal^{3, 4, +} 6 7 8 ¹Wellcome Sanger Institute, Wellcome Genome Campus, Hinxton CB 10 1SA, UK. 9 ²Department of Bioengineering and Therapeutic Sciences, Institute for Human Genetics, University of California San Francisco, 10 San Francisco, California 94158, USA. 11 ³Department of Medical Genetics. The Clinical School, University of Cambridge, Cambridge, CB2 0QQ, UK. 12 ⁴MRC Cancer Unit, The Clinical School, University of Cambridge, Cambridge, CB2 0QQ, UK. 13 ⁵Institute of Molecular Life Sciences, University of Zurich and Institute of Biochemistry of the ETH Zurich, Otto-Stern-Weg 3, 14 CH-8093 Zurich, Switzerland. 15 16 ⁺Corresponding authors: Martin Hemberg (mh26@sanger.ac.uk) & Serena Nik-Zainal (sn206@cam.ac.uk) 17 18 Abstract 19 20 21 The mechanisms that underpin how insertions or deletions (indels) become fixed in DNA have 22 primarily been ascribed to replication-related and/or double-strand break (DSB)-related 23 processes. Here we introduce a method to evaluate indels, orientating them relative to gene 24 transcription. In so doing, we reveal a number of surprising findings: First, there is a 25 transcriptional strand asymmetry in the distribution of mononucleotide repeat tracts in the 26 reference human genome. Second, there is a strong transcriptional strand asymmetry of indels 27 across 2,575 whole genome sequenced human cancers. We suggest that this is due to the activity 28 of transcription-coupled nucleotide excision repair (TC-NER). Furthermore, TC-NER interacts

- 29 with mismatch repair (MMR) under physiological conditions to produce strand bias. Finally, we
- 30 show how insertions and deletions differ in their dependencies on these repair pathways. Our
- 31 analytical approach reveals insights into the contribution of DNA repair towards indel
- 32 mutagenesis in human cells.

34 Introduction

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Mutations are not randomly distributed across the cancer genome. Their distribution is influenced by genomic, epigenomic and cellular physiological factors such as replication and transcription¹⁻⁴. Transcription has been implicated in contributing to mutational strand asymmetries reflecting biases in DNA damage (transcription-associated damage) and DNA repair mechanisms (transcription-coupled repair) between the two strands ³⁻⁵.

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In this area, while substitutions in human cancers have been extensively studied, insertions/deletions (indels) have remained comparatively under-explored. This was historically due to the relative difficulty in obtaining high-quality indel data, further restricted by a limited repertoire of approaches to analyze indels as extensively as substitutions. Nevertheless, indels are common in human cancers and their location and sequence composition are non-random. Thus, like substitutions, they provide important insights into the mutational processes that have shaped the landscape of cancer genomes.

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Here, we demonstrate that there is transcriptional strand asymmetry in the distribution of mononucleotide repeat tracts within the reference genome. We also observe transcriptional strand asymmetry in insertions and deletions at mononucleotide repeat tracts across cancer types, and are able to attribute the relative contributions of transcription-coupled nucleotide excision repair (TC-NER) and mismatch repair (MMR) pathways to indel patterns in human somatic cells.

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57 **Results**

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59 Landscape of insertions and deletions across human cancers

We utilized 2,416,257 indels from a highly curated set of 2,575 whole-genome sequenced (WGS) cancers of 21 different cancer-types. Median indel number per tumour was 386, corresponding to a conservative indel density of 0.127 per Mb per cancer genome. Deletions (median 222) were more prevalent than insertions (median 124) in the majority of cancers (Mann-Whitney U p-value<0.05, Figure 1a, Supplementary Figure 1a). Moreover, deletion size
showed greater variability than insertion size across and within tumour-types (Figure 1b,
Supplementary Figure 1b-c, Levene's test, p-value<0.05).

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68 This first observation can be broadly explained by already-known mechanisms that generate indel lesions. Replication-related DNA polymerase slippage errors running through 69 microsatellites tend to cause deletions⁶, because single-stranded DNA ahead of a polymerase can 70 71 twist, causing a single repeat unit of a run of mono- or dinucleotides in the template strand to loop out. A polymerase passing over such a loop would generate a deletion⁶⁻⁹. Because these 72 73 small insertion/deletion loops (IDLs) are efficiently repaired by MMR, the density of deletions in 74 microsatellites is higher in cells lacking MMR. This phenomenon is referred to as microsatellite 75 instability (MSI). The likelihood of formation of such loops increases with the length of the 76 repeat and we confirm this by showing that indel frequency is augmented with increasing lengths 77 of polynucleotide repeat tracts (Supplementary Figure 1d-e) and is more pronounced in MMR-78 deficient samples. By contrast, double-strand breaks (DSB) can give rise to deletions if repaired 79 by non-homologous end-joining (NHEJ), or if addressed by homology-directed sub-pathways such as single-strand annealing (SSA) or micro-homology-directed end-joining (MMEJ)^{1,10-15}. 80 81 The latter result in larger deletions (3bp in size or more), thus explaining the broader spectrum of 82 observed deletion sizes (Figure 1b, Levene's test, p-value<0.05).

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In general, it is more difficult to create an insertion. Transient dissociation of the primer and template strands and reannealing of the primer in a wrong register within the microsatellite could cause both an insertion or a deletion. These are less likely to arise during normal replication¹⁶ because the end of the primer strand is tightly bound by the replisome. Thus, the occurrence and the relative frequencies of indels and their size spectra can be explained by known mechanisms.

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In addition to classical contributions of replication and DSB-repair pathways to indel formation,
 we introduce another dimension to exploratory analyses of indel mutagenesis: the contribution of
 transcription. Transcription has been implicated in asymmetric distribution of substitutions
 between strands for decades^{4,17-18}. In particular, transcription-coupled nucleotide excision repair
 (TC-NER) is believed to preferentially repair DNA damage on the template (transcribed or non-

95 coding) strand. TC-NER activity is thus inferred from the excess of mutagenesis on the non-96 template (coding) as compared to the template (non-coding) strand, particularly for those 97 environmental mutagens where the target of primary DNA adduct formation is known. For example, guanines adducted by tobacco carcinogens result in an excess of G>T mutations on the 98 non-template strand¹⁹⁻²¹. Likewise, primary covalent modifications of cytosines forming 6,4 99 100 pyrimidine-pyrimidone dimers (6,4-PPs) and cyclobutane pyrimidine dimers (CPDs) by 101 ultraviolet light are preferentially repaired on the template strand resulting in an excess of C>T transitions on the non-template strand²². However, to the best of our knowledge, transcriptional 102 103 strand asymmetry in indels has not been investigated, primarily due to the technical challenge of 104 being unable to orientate each indel with respect to transcriptional strand.

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106 Asymmetries of repetitive tracts in the reference genome

We set out to determine transcriptional strand asymmetry of indels by focusing on mononucleotide repeats of up to ten base pairs in length. We first analyzed the distribution of mononucleotide repeats across the gene body in the reference human genome. Each gene was divided into ten equal-sized bins to correct for differences in gene length. Two additional bins were added upstream of the transcription start site (TSS) and two downstream of the transcription end site (TES), each 10kB in length, resulting in a total of 14 bins.

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We observed a strong enrichment of polyG/polyC motifs directly upstream and downstream of the TSS and downstream of the TES; this contrasted with the distribution of polyT/polyA motifs, which were found to be enriched throughout gene body (Figure 2a, Supplementary Figure 3a).

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118 We calculated the frequencies of each polyN motif (where N is any nucleotide) on the template 119 and non-template strands in the reference genome. Because the direction of transcription for each 120 gene in the genome is known, each polyN motif can be orientated (Figure 2b). For example, for a 121 gene on the (+) strand, the template strand is the (-) strand. A polyT motif that is on the (-) strand 122 of this gene is therefore described as being on the template strand. It can also be described as a 123 poly-A motif on the non-template strand (Figure 2b). Using this reasoning, we assigned each 124 polyN motif to either the template or non-template strand of the reference genome. If there were 125 no asymmetries, polyN tracts would occur with equal probabilities on either strand.

127 Intriguingly, we found that polyT motifs displayed a bias towards the non-template strand in the 128 reference genome, with a non-template to template asymmetry enrichment for short polyT motifs 129 of ~1.15-fold (Figure 2c-d). This was tract-length-dependent, where longer repetitive tracts were 130 associated with greater strand bias of up to ~1.4-fold at >5nt polyT motifs (weighted average 131 asymmetry of 1.14-fold, Figure 2b, Supplementary Figure 3b-g). In contrast, we did not observe 132 a similarly pronounced asymmetry of polyG motifs across gene bodies, although a skew in 133 polyG motifs was noted at the boundaries of gene bodies, in-keeping with previous reports of GC-skewing at either end of genes²³ (Figure 2c-d, Supplementary Figure 2a-b, Supplementary 134 135 Figure 3d-g). The marked variation in strand distributions of the polyN motifs in the reference 136 genome is appreciated particularly around the TSS and TES (Figure 2c-d, Supplementary Figure 137 3d-g).

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139 Transcriptional strand asymmetries of small indels occur at polynucleotide repeat tracts

141 We next investigated whether there was strand asymmetry for indel occurrences at polyN tracts. 142 All analyses henceforth, correct for the skewed background distributions of polyT and polyG 143 motifs. Across cancers, polyT motifs of 2-10bp in length were consistently more mutable on the 144 non-template strand (binomial test, p-value<e-5). Strand asymmetry was more pronounced for 145 longer polyT tracts in all cancers (Kruskal-Wallis H-test with Bonferroni correction, p-value<e-146 9), (Supplementary Figure 4a). The levels of asymmetry varied by cancer type, with increased 147 indel mutagenesis on the non-template over the template strand ranging from 2.1% in ovarian 148 cancers to 16.5% in uterine cancers (Figure 3a, e). This was surprising, given that the prevailing 149 dogma on indel formation, particularly at poly-nucleotide repeat tracts, involves the formation of small IDLs that are substrates for MMR²⁴⁻²⁷. Rather, our analysis showing marked transcriptional 150 151 strand asymmetry implicates either the activity of TC-NER at these motifs or the activity of 152 transcription-associated damage.

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We noted that uterine, colorectal, biliary and stomach cancers showed the highest levels of transcriptional strand asymmetry (binomial test with Bonferroni correction, p-value<0.001 for all four cancer types), with 16.5%, 15.5%, 16.3% and 15.5% more indels occurring on non-template than template polyT motifs (Figure 3a). Notably, these cancer types are often associated with

incidences of MMR deficiency²⁸. To explore the contribution of MMR to transcriptional strand 158 159 asymmetries of indels at polyT tracts, we compared samples with MMR deficiency (or MSI) to 160 microsatellite stable (MSS) samples. Surprisingly, in MSI samples, transcriptional strand bias 161 towards the non-template strand for polyT motifs was more pronounced than in MSS samples, 162 with a 7.9-12.8% increased indel occurrence (Figure 3b), (Mann-Whitney U p-value<0.001 in all 163 cases, Bonferroni corrected). This suggests that not only is TC-NER implicated in the repair at 164 polyN motifs, it is also dependent on the normal physiological functioning of MMR. In the 165 absence of MMR, damage at these sites relies more heavily on TC-NER alone, resulting in an 166 increase in strand bias.

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168 Nucleotide excision repair and mismatch repair influence the indel landscape

169 To validate this hypothesis regarding the reliance of TC-NER on MMR, we examined 170 experimentally-generated mutation patterns from CRISPR-Cas9 knockouts of a human cancer cell line, HAP1²⁹. We would expect the presence of transcriptional strand bias under normal 171 172 conditions but that the magnitude of the effect would be increased in MMR gene knockouts. 173 Indeed, our analytical findings are recapitulated in the experimental setting. In a knock-out 174 model of MutS homolog 6 (MSH6), a key MMR gene, 1,663 indels occurred on the non-template 175 strand polyT tracts, whereas 1,165 indels occurred on template polyT tracts, corresponding to a 176 16.9% corrected increase in frequency on the non-template strand (binomial test, p-value<e-6), a 177 similar magnitude to that observed in cancers. However, when this is divided by polynucleotide 178 tract lengths (T, TT, TTT, T_n), the numbers are low and the experimental data is under-powered 179 to demonstrate the effect at all repeat lengths, even though the effect is there in aggregate.

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181 Interestingly, in contrast to polyT motifs, we did not observe transcriptional strand bias for indels 182 at polyG motifs across cancers (binomial test, p-value>0.05), (Figure 3a, c, binomial test, p-183 value<e-5), (Supplementary Figure 4b), with lung cancers being the notable exception; they 184 exhibited a large excess of G indels on the non-template strand (15.8% greater indel occurrence 185 at polyGs on non-template compared to template strand (binomial test with Bonferroni 186 correction, p-value<e-30), (Figure 3c). This pattern of asymmetry mirrors what was observed for 187 G>T substitutions in lung cancers, which are attributed to the formation of bulky adducts on 188 guanines from tobacco-related carcinogens. This type of helix-distorting damage is classically

repaired by TC-NER¹⁹⁻²¹. The observation of transcriptional strand asymmetry for G indels at polyG tracts in tobacco-associated lung cancers reinforces how TC-NER can be involved in maintenance of genome integrity at polyN motifs, and could therefore also be acting at polyT tracts as hypothesized earlier.

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194 To validate this observation of indel transcriptional strand asymmetry with tobacco exposure, we 195 analyzed indel mutational profiles of non-cancerous human cells exposed to various polycyclic 196 aromatic hydrocarbons (PAHs) including benzo[a]pyrene [0.39 µM and 2 µM] and 197 benzo[a]pyrene diol epoxide $[0.125 \ \mu M]$, believed to be the carcinogenic components of tobacco 198 smoke. We observed that 77 indels occurred on non-template polyG tracts, in contrast to only 39 199 indels on template polyG tracts. This corresponds to nearly double the number of indels on the 200 non-template strand over the template strand (binomial test, p-value<0.001), supporting our 201 analytical observations of *in vivo* patterns derived from studying human cancers (Figure 3c, f).

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The activity of TC-NER is linked to gene expression levels³⁰ where higher levels of transcription 203 204 are associated with increased TC-NER activity. To seek further support that TC-NER plays a 205 role in the repair of polyG motifs in lung cancers, we explored the degree of asymmetry in 206 relation to gene expression levels. We used gene expression data from a representative cell-of-207 origin (Supplementary Table 2). In keeping with our hypothesis that TC-NER plays a pivotal 208 role in the repair at polyG tracts in lung cancers, there was minimal transcriptional strand 209 asymmetry for polyG motifs at genes that were not expressed or lowly expressed, and strong 210 asymmetry for medium- and highly-expressed genes (Mann-Whitney U p-value<0.001). This 211 effect was also strongly-dependent on the length of the polyG motifs (Figure 3d), (Kruskal-212 Wallis H-test with Bonferroni correction, p-value<e-05 for medium and high expression genes, 213 p-value>0.05 for low expression genes).

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Replication has also been reported to induce asymmetric mutation distributions between leading / lagging strands³⁻⁴. To exclude the possibility of replication strand orientation confounding our observations, we investigated whether indel transcriptional strand asymmetries at selected polyN motifs were related to leading and lagging replicative orientation. We found that replication strand orientation had limited effect on the observed indel transcriptional strand asymmetry of these tracts (Mann-Whitney U with Bonferroni correction, p-value>0.05 in all cases),
(Supplementary Figure 5a-b). This supports the role of transcription and excludes the influence
of replication in the generation of indel transcriptional strand asymmetries.

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224 Insertions and deletions are differentially-dependent on DNA repair pathways

225 Next, we distinguished insertions from deletions at polyT and polyG tracts to find that 226 transcriptional strand asymmetry differed between these classes of indels (Figure 4a, 227 Supplementary Figure 6a-b). Insertions showed aggravated asymmetries at polyT tracts across all 228 cancer types and were independent of MMR status, suggesting that mutagenesis associated with 229 polyT tracts may be largely dependent on TC-NER (Wilcoxon signed-rank, p-value<e-5) (Figure 230 4a). By contrast, non-template strand bias of deletions at poly-T tracts was restricted to tumour 231 types that had a high incidence of MSI (biliary, colorectal, stomach and endometrial). Thus, 232 mutagenesis that results in deletions is more heavily dependent on the MMR pathway.

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234 To support this hypothesis, we investigated the relationship between transcriptional strand 235 asymmetry for insertions and deletions at polyT motifs, and gene expression levels 236 (Supplementary Table 2). Genes with higher expression levels displayed stronger transcriptional 237 strand asymmetry of insertions at polyT tracts across all inspected cancer types (Figure 4b, 238 Mann-Whitney U with Bonferroni correction, p-value<0.05), implicating TC-NER, which is linked to expression levels³⁰. However, a relationship between expression levels and 239 240 transcriptional strand asymmetry of deletions at polyT tracts could only be observed for a subset 241 of cancer types (Figure 4c, Mann-Whitney U with Bonferroni correction, p-value>0.05) and the 242 strand bias was less apparent. In contrast, at polyG motifs, we did not observe consistent 243 associations between expression levels and transcription strand asymmetry of insertions or 244 deletions across cancer types (Supplementary Figure 6c-d, Mann-Whitney U with Bonferroni 245 correction p-value>0.05), with the exception of lung cancers; this we expected because of the 246 influence of bulky adducts from tobacco carcinogens (Figure 3d).

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To provide further evidence for the role of TC-NER in the observed transcriptional strand asymmetry at polyT tracts for insertions relative to deletions, we reasoned that patients with defects in the TC-NER pathway would have indel patterns that should not demonstrate 251 transcriptional strand bias because of defective NER. By contrast, patients with defects in global 252 genome NER (GG-NER), may not manifest any changes in transcriptional strand bias. Tumor 253 samples from these rare syndromes are however extremely difficult to obtain and systematic 254 WGS data are not widely available to perform such analyses. We sequenced a cutaneous 255 malignancy derived from a patient with an autosomal recessive DNA repair defect called 256 Xeroderma Pigmentosum (XP). The patient was a compound heterozygote for the XPC gene, 257 involved in GG-NER. Intriguingly, non-template strand bias was not observed for insertions at 258 polyT tracts in this tumour, in contrast to what we had observed across cancer types (Figure 4a, 259 binomial test p-value<0.001). The numbers of insertions however were small in this single 260 sample. We thus performed a down-sampling of the numbers of insertions for all cancer types to 261 similar levels as the XP-mutant tumour to examine whether the difference in transcriptional 262 strand asymmetry remained significant. The XP-deficient tumour consistently displayed 263 decreased levels of non-template strand bias at insertions in comparison to all cancer types 264 (Supplementary Figure 7a-b), whereas for deletions there were no significant differences relative 265 to other cancers (Supplementary Figure 7c-d). A more robust assessment will be required in due 266 course following collection of more XP-deficient tumours of the various XP proteins in the NER 267 pathway. These tumours are extremely rare however and is beyond the scope of this paper for 268 comprehensive collection and analysis.

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271 Transcriptional strand asymmetries at indels may be a general feature

272 Finally, to understand whether our observations were restricted to mononucleotide tracts or if 273 they could be a more generic mechanistic feature of indel mutagenesis, we attempted to explore 274 other types of indels. The limitation is the difficulty in assigning other types of indels to specific 275 strands. It was, however, possible to ascribe strandedness to dinucleotide repeat tracts. There 276 were some caveats: palindromic GC/CG and AT/TA dinucleotides could not be oriented, and 277 AA/TT/GG/CC dinucleotides were excluded because these are similar to mononucleotide 278 polyA/T/G/C's respectively. This left us with eight types of poly-dinucleotide repeat tracts that 279 we could analyse (GT/TG/AC/CA/CT/TC/AG/GA), (Supplementary Figure 8). Indeed, 280 correcting for background asymmetries in the genome, we observed transcriptional strand 281 asymmetries for several poly-dinucleotide repeat tracts (Figure 4d). This was most marked

amongst tumour types where MSI was prevalent (Figure 4d, Supplementary Figure 9, MannWhitney U tests with Bonferroni correction). Furthermore, strand asymmetry in insertions was
stronger than in deletions (Wilcoxon signed-rank tests with Bonferroni correction,
Supplementary Figure 10a-b), with the exception of MSI tumours (Supplementary Figure 10a-b).
Thus, our findings appear to be applicable to motifs other than mononucleotide repeat tracts.

288

289 **Discussion**

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In this work, we have described a method to investigate transcriptional strand asymmetries for indels. Unexpectedly, we found biases in the distribution of mononucleotide repeat tracts in the reference genome at transcribed regions, and the bias is more pronounced for longer tracts. This bias needs to be considered when exploring transcriptional strand asymmetries for indels overlapping mononucleotide repeat tracts.

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Our analysis demonstrates strong and previously-undescribed transcriptional strand asymmetries of indels. Our results implicate particular DNA repair pathways, namely TC-NER and MMR as contributing factors to the observed strand biases at indels (Figures 3-4). We further reveal that the formation of insertions is largely TC-NER- dependent, while the formation of deletions is additionally reliant on MMR, thus reinforcing how distinct mechanisms underpin the formation of different classes of indel.

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- 359 Methods
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361 Mutation calling

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Data were obtained from whole genome sequenced (WGS) cancers from ICGC under the project PanCancer Analysis of Whole Genomes (PCAWG)³¹. They included 46 cancer projects from 21 organs. In total, 2,575 whole genome sequenced patients were analysed using the GRCh37 (hg19) reference assembly of the human genome.

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368 Somatic indel calls were performed using three pipelines from four somatic variant callers. These were the Wellcome Sanger Institute pipeline, the DKFZ/ EMBL pipeline and the Broad Institute 369 370 pipeline ³¹, with somatic variant false discovery rate of 2.5%. Indel calling was performed by those algorithms and only indels called by at least two of the callers were analysed³¹, therefore 371 372 generating a conservative dataset (Supplementary Table 1). As a result, the false negative rate of indel detection could be higher than that of other methods, and of each pipeline separately, which 373 374 implies that many indels present in the samples were not identified successfully. However, 375 because of the large number of WGS tumour samples available, a sufficient number of indels 376 remained (Supplementary Table 1). Finally, for a small subset of indels, the indel calls were visually examined using JBrowse Genome Browser³², to inspect the number of reads reporting 377 378 the indel, if the indel calls were biased towards the end of the sequencing reads or if there were 379 other systematic biases between the normal and tumour sequencing reads; such biases could not 380 be identified.

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Bedtools intersect utility was used to measure overlap between indels and polyN tracts. The term overlap in this context refers to deleted bases occurring at any position across the entire length of the repeat or inserted bases occurring at any position across the length of the repeat and immediately before or after the repeat. Indel density was defined as the number of indel mutations for a given number of bases.

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The distance between each pair of consecutive indels was calculated per patient. Indels in different chromosomes were excluded since we could not define their pairwise distance. The same analysis was also performed separately for insertions and deletions to generate Supplementary Figure 1a.

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Indels from HAP1 cells with MutS homolog 6 (*MSH6*) knock-out were obtained from ²⁹. Indels from cells exposed to various polycyclic aromatic hydrocarbons (PAHs), namely benzo[a]pyrene $[0.39 \ \mu\text{M}$ and $2 \ \mu\text{M}$] and benzo[a]pyrene diolepoxide [0.125 uM], were obtained from ³³ to examine transcriptional strand asymmetry at indels overlapping polyN motifs in experimental settings.

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Substitution calling was performed using four somatic mutation-calling algorithms, with
 mutation calls being shared by at least two algorithms³¹. For lung cancers, C>A substitutions
 were examined with respect to transcriptional strand asymmetries at polyG tracts and replication
 timing (Supplementary Figure 6e).

- 403
- 404 Mutational enrichment at MSI over MSS samples was defined as:

Ratio: (Proportion of indels overlapping polyA/T at MSI samples) / (Proportion of indels
 overlapping polyA/T at MSS samples)

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409 **Transcriptional strand asymmetries at the human genome.**

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Gene annotation from Ensembl was followed³⁴ and genes were downloaded from Biomart 411 412 (http://grch37.ensembl.org/biomart/martview/c1d06f3affb6260c0cd7147bb4c3b6a8) using Gene 413 start and Gene end to define genes and filtering by only including protein-coding genes and we 414 also selected the attributes Strand and Gene Name. BEDTools utilities v2.21.0 were used to manipulate genomic files and intervals³⁵. GC-skew is a measure of bias in the number of Gs or 415 416 Cs between the template and non-template strands. GC-skew was calculated as (G-C) / (G+C) 417 for windows of 100 bp around the TSS and TES. Similarly, AT-skew was calculated as (A-T) / 418 (A+T) for windows of 100 bp around the TSS and TES (Supplementary Figure 2a-b).

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Genes in the positive and negative orientations were separated to determine the direction of gene
transcription. Scripts were written in python to identify non-overlapping polyN motifs of size 110bp as well as dinucleotide motifs of length 2-10bp genome-wide and orient them in terms of
transcription direction at genic regions.

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Template motifs were the motifs in: i) positive gene orientation and negative genome strand, ii) negative gene orientation and positive (reference) genome strand. Non-template motifs were the motifs in: i) positive gene orientation and positive genome strand (reference), ii) negative gene orientation and negative genome strand. Bedtools *intersect* utility was used to calculate motif occurrences in template and non-template strands across genic regions.

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431 To investigate the effect of the distance from the TSS and the TES across the gene length, for 432 genes with unequal gene length, we divided each gene into ten genomic bins of equal size. Also, 433 two additional bins upstream from the TSS and two bins downstream of the TES, each 10kB in 434 size, were added. Then, we calculated the frequency and the strand asymmetry bias of polyN 435 motifs in each genic bin (Figure 2a, Supplementary Figure 3). In particular, we calculated the 436 density of polyNs at a bin as the number of polyNs over the total number of bases at that bin. 437 However, we derived the enrichment of polyNs at the bin by comparing the ratio of the density at 438 the bin against the density across all bins.

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- 440 Relative enrichment of a polyN tract at a bin was calculated as:
- 441 Enrichment = (Density of polyN motif at bin) / (Density of polyN motif across all bins)
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- 443 Strand asymmetry bias was calculated as:
- 444 (motif occurrences at non-template strand) / (motif occurrences at template strand)
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- 446 The distribution of polyN motifs at the template and non-template strands relative to the TSS and
- 447 the TES were calculated with bedtools *intersect* command using the gene orientation approach
- 448 described earlier to generate (Figure 2c-d), (Supplementary Figure 3a-g). Bootstrapping using
- 449 random sampling of genes with replacement was performed from which the standard deviation

- 450 of the strand asymmetry bias was calculated. For Figures 2c-d the interval used was 100 bp and 451 error bars represent standard error from bootstrapping with replacement (1,000 fold).
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454 **Template / Non-template strand asymmetries in cancer.**

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The numbers of indels overlapping motifs found in the template or non-template strands were obtained using the bedtools *intersect* command. Strand bias was calculated for the vector of genes, reporting the number of polyN motif occurrences and the number of overlapping motifs as:

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461 A = (indels overlapping motif at non-template) /(motif occurrences at non-template)

- 462 B = (indels overlapping motif at template) /(motif occurrences at template)
- 463 Strand bias = A / (A+B)

464 with motifs representing polyN repeat tracts of size 2-10bp and dinucleotide repeat tracts of 1-5 465 repeated units, at genic regions (Figure 3a-d, Figure 4a-d).

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We performed bootstrapping with replacement, randomly selecting the indels overlapping motifs at template and non-template strands from each randomly-selected gene, for equal number of genes in multiple iterations, from which we calculated the standard deviation for the strand bias.

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MMR-deficient samples were identified using genome plots and mutational signature profiles of
each patient for stomach, uterus and colorectal tumours. Subsequently, transcriptional strand
asymmetry levels at indels overlapping polyT tracts were compared between MSS and MSI
samples to investigate the role of mismatch repair in transcriptional strand asymmetries (Figure
3b, Supplementary Figure 9).

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478 **RNA-seq analysis.**

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For the comparative analysis between expression levels and transcriptional strand asymmetry, cell of origin cell lines, where available, were used from Roadmap Epigenomics project³⁶ (Supplementary Table 2). For each cell line, genes were grouped in expression level quantiles, namely "low", "medium" and "high" based on the associated RPKM gene expression values. The groups were defined using the 33rd and 66th percentiles from the RPKM gene expression values for protein-coding genes.

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487 Transcriptional strand asymmetry at indels overlapping polyN motifs was investigated in relation
488 to gene expression levels to generate Figure 3d, Figure 4b-c, Supplementary Figure 6c-d.

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For lung cancer, using cell of origin RNA-seq data (IMR-90) from Roadmap Epigenomics
 project³⁶, polyG tracts were grouped according to their length to investigate if the length of
 polyG tracts was associated with transcriptional strand asymmetry at indels across the gene
 expression quantiles (Figure 3d).

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496 **XPC dataset.**

497 A cutaneous malignancy derived from a patient with an autosomal recessive DNA repair defect called Xeroderma Pigmentosum (XP) mutation was obtained from ³⁷. The patient was a 498 499 compound heterozygote for the XPC gene. We performed the non-template strand asymmetry 500 analysis for insertions and deletions overlapping polyT tracts (Supplementary Figure 7a-d). To 501 control for the lower number of indels in the patient we randomly selected equal number of 502 insertions and deletions in each cancer type, weighting for the observed transcriptional strand 503 asymmetry at polyT tracts in each cancer type and we compared the transcriptional strand 504 asymmetry profile of the XP sample to that of each cancer type and calculated the associated z-505 score and p-value from 10,000-fold bootstrapping this process for each cancer type 506 (Supplementary Figure 7a-d).

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509 **Replication timing analysis.**

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Repli-Seq data for IMR-90 cell line were obtained from The ENCODE Project Consortium³⁸ and 511 512 replication domains were generated using the observed Repli-seq signal⁴. Genes were grouped 513 across five replication timing quantiles and transcriptional strand asymmetry at indels 514 overlapping polyG tracts within transcribed regions was calculated for each quantile 515 (Supplementary Figure 6e). The same type of analysis was performed for lung cancer C>A 516 (G>T) substitutions to investigate the contribution of replication timing to the levels of 517 transcriptional strand asymmetries at substitutions and indels overlapping polyG motifs of 2-10bp length (Supplementary Figure 6e). 518

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Leading and lagging orientation of the replication machinery across the human genome was inferred for MCF-7 cell line with Repli-seq data by using the finite difference approximations of second and first derivatives⁴. Subsequently, polyN motifs were separated into those occurring in the leading orientation and those in the lagging orientation. The indel transcriptional strand asymmetry analysis was performed separately for polyT and polyG motifs occurring at the leading and lagging orientations therefore controlling for the effect of replication orientation (Supplementary Figure 5).

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Statistical analyses across the manuscript were performed in python with packages "math",
"scipy", "pandas", "scikit-learn" and "numpy". Figures across the manuscript were generated in
python using packages "matplotlib", "seaborn" and "pandas".

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535 Data Availability.

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- 537 Relevant files including mutation data count tables can be found here:
- 538 <u>https://data.mendeley.com/datasets/kdywxnn729/3</u>
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540 Primary mutation data were obtained from ICGC under the project PanCancer Analysis of 541 Whole Conomes $(PCAWC)^{31}$ A subspace maligneous derived from a patient with an autosomel

541 Whole Genomes (PCAWG)³¹. A cutaneous malignancy derived from a patient with an autosomal

542 recessive DNA repair defect called Xeroderma Pigmentosum (XP) mutation was obtained from ³⁷. Indel mutational profiles of non-cancerous human cells exposed to various polycyclic 543 544 aromatic hydrocarbons (PAHs) including benzo[a]pyrene [0.39 µM and 2 µM] and 545 benzo[a]pyrene diol epoxide [0.125] μM] were derived from 546 ftp://ftp.sanger.ac.uk/pub/cancer/Zou et al 2017 experimentally-generated mutation and 547 patterns from CRISPR-Cas9 knockouts of a human cancer cell line for MSH6 were derived from 548 https://data.mendeley.com/datasets/m7r4msjb4c/2. All data is available from the authors upon 549 reasonable request. 550 551 552

553 Code Availability.554

All associated code has been deposited in <u>https://data.mendeley.com/datasets/kdywxnn729/3</u> and are available from the authors upon reasonable request.

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562 Author contributions

IGS, MH and SNZ conceived the concepts and analytical framework and drove the intellectual
exercise. IGS wrote the code for analysing and presenting the data. SEM generated the XPCdeficient tumour data under the supervision of SNZ. IGS, MH and SNZ wrote the manuscript
with the help of GK, SEM and JJ.

567

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- 573 574

575 **Competing Interests**

576 SNZ has patent applications with the UK IPO. SNZ is also a consultant for Artios Pharma Ltd,

577 Astra Zeneca and the Scottish Genomes Partnership. The remaining authors declare no

- 578 competing interests.
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Figure 1: Indel characteristics across cancer types. A) The ratio of deletions to insertions for each tumour-type. (Mann-Whitney U test, p-value<0.05 per cancer type). **B)** Distribution of size of insertions and of deletions for each tumour-type. Deletions displayed greater size variance in comparison to insertions across cancer types (Levene's test, p-value<0.05) and for individual cancers types (p-value<0.001 in breast, pancreas, liver, ovary, skin, lung, cervix, bone, head / neck, colorectal, p-value<0.05 in biliary and lymphoid cancers).

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701 Figure 2: Strand asymmetries of polynucleotide (polyN) repeat tracts within transcribed 702 regions. A) Enrichment of various polyN motifs across genes. Each gene is divided into ten 703 bins, and two additional bins are added at either end of each gene. For any given bin, blue 704 indicates relative enrichment in comparison to all other bins for that polyN, whereas red 705 indicates relative depletion. B) Scheme depicting the identification of polyN motifs on the 706 template (blue) or non-template (orange) strands, dependent on the direction of the gene. RNA-707 polymerase II (RNAPII) binds to the template strand and mediates transcription. Thus, in the 708 panel above, where the gene is on the (+) strand, the polyA tracts are on the non-template 709 strand. In the panel below, where the gene is on the (-) strand, the polyA tracts on the template 710 strand. C) Density of polyT and polyG motifs around the transcription start site (TSS). The 711 gradient of pink to purple represents polyG tracts of 1-5bp length, whereas the gradient of light 712 blue to dark blue represents polyT tracts of 1 to 5bp length. Error bars represent standard error 713 from 1,000-fold bootstrapping. D) Density of polyT and polyG motifs around the transcription 714 end site (TES). Error bars represent standard error from 1,000-fold bootstrapping.

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718 Figure 3: Transcriptional strand asymmetry of indels that occur at polyN tracts across 719 multiple cancer types. A) Transcriptional strand asymmetries of indels occurring at polyT 720 motifs. Average bias is shown with error bars showing standard deviation after 1,000 721 bootstraps. Myeloid, cervix and thyroid cancers were excluded due to low numbers of total 722 indels (Supplementary table 1). T=template, NT=non-template. Strand bias was calculated as 723 mutational density of non-template strand over total mutational density (of non-template and 724 template strands). B) Strand bias of MSI and MSS samples in stomach, biliary, uterus and 725 colorectal tumours (Mann-Whitney U p-value<0.001 in all cases, Bonferroni corrected). C) 726 Transcriptional strand asymmetries of indels occurring at polyG motifs. Average bias is shown, 727 with error bars showing standard deviation from bootstrapping. D) Relationship between indel 728 strand bias and gene expression levels in lung cancer (Mann-Whitney U p-value<0.001 for 729 comparisons between low and medium expressed genes and between medium and highly 730 expressed genes) according to length of polyG tracts (Kruskal-Wallis H-test with Bonferroni 731 correction, p-value<0.001 for medium and high expression genes, p-value>0.05 for low 732 expression genes). E) Scheme depicting mechanism of indel mutagenesis at poly-T tracts. DNA 733 damage, shown as asterisks (*) that arise at T nucleotides of poly-T tracts can occur on both 734 template and non-template strands. The subsequent DNA repair, postulated to be TC-NER, 735 results in preferential correction of DNA damage on the template strand, leaving T insertions 736 (highlighted in as red T's) and T deletions (shown as red -) on the non-template strand. F) 737 Schematic depicting mechanism of indel mutagenesis at poly-G tracts in lung cancers from 738 smokers. DNA damage in the form of adducted guanines (*) are asymmetrically repaired by TC-739 NER, with preferential repair of the template strand, thus accumulating more G indels on the 740 non-template strand.

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Figure 4: Transcriptional strand asymmetry at insertions and deletions. A) Transcriptional 745 746 strand asymmetry of insertions and deletions at polyT tracts. Error bars represent standard 747 deviation from bootstrapping with replacement. Both insertions and deletions displayed a strand 748 asymmetry bias towards the non-template strand for polyT tracts across cancer types (Binomial 749 test with Bonferroni correction, p-value<0.001 for insertions and p-value<0.05 for deletions). B) 750 Transcriptional strand asymmetry occurring at polyT tracts according to level of gene expression 751 for insertions. Mann-Whitney U with Bonferroni correction, p-value<0.001 when comparing low 752 and high expression gene sets across all cancer types except skin, ovarian and lymphoid 753 cancers (p-value<0.05) and CNS (p-value>0.05). C) Transcriptional strand asymmetry occurring 754 at polyT tracts according to level of gene expression for deletions. Mann-Whitney U with 755 Bonferroni correction, p-value<0.001 when comparing low and high expression gene sets for 756 skin and p-value<0.05 for stomach and pancreatic cancers. D) Hierarchical clustering displaying 757 transcriptional strand asymmetries for indels overlapping dinucleotide motifs. Dinucleotide 758 repeat tracts of up to five repeated units are displayed. Purple represents asymmetry towards 759 the non-template strand, whereas orange represents asymmetry towards the template strand. In 760 the dendrogram of cancers, biliary, uterus, colorectal and stomach cancers are more distant 761 from the other cancers, and contain MSI samples, while lung cancers are also separable from 762 other cancer types, further reinforcing our observations regarding the DNA damage and repair 763 processes that contribute to the observed asymmetries. Across cancer types a non-template 764 strand asymmetry preference was observed for TG, TC and CT motifs (Binomial test with 765 Bonferroni correction, p-value<0.001) and for GT motifs (Binomial test with Bonferroni 766 correction, p-value<0.05) and a template strand asymmetry for CA, GA and AG motifs (Binomial 767 test with Bonferroni correction, p-value<0.001) and for AC motifs (Binomial test with Bonferroni 768 correction, p-value<0.05).