

1 **The Compositional Analysis of Hunter-Gatherer Pottery from the Kuril Islands**

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3 **Erik Gjesfjeld¹**

4
5 ¹UCLA Institute of Society and Genetics, University of California, Los Angeles

6
7 **Abstract**

8 Archaeological analysis of pottery remains from Northeast Asia has traditionally
9 emphasized macroscopic traits such as decoration and vessel form. While these features
10 are important in characterizing the cultural affiliation of pottery, compositional analysis
11 can provide new lines of evidence that highlight social processes such as migration and
12 exchange. Using a ceramic assemblage recovered from the Kuril Islands of Northeast
13 Asia, this research investigates the regional exchange of pottery associated with the Epi-
14 Jomon and Okhotsk cultural traditions. Results of this study indicate cultural differences
15 highly influence the geographic distribution of compositional groups and patterns of
16 regional exchange.

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20 **Keywords**

21 archaeometry, pottery, Kuril Islands, exchange networks, maritime hunter-gatherers

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25 **Highlights**

- 26 • The first compositional analysis of hunter-gatherer pottery from the Kuril Islands of
27 Northeast Asia
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- 29 • Epi-Jomon and Okhotsk occupations demonstrate compositional macrogroups with
30 different geographic distributions
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- 32 • Compositional analysis indicates limited exchange or movement of Epi-Jomon
33 pottery between regions of the Kuril Islands
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47 **1. Introduction**

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49 Archaeological research in Northeast Asia has a long tradition of examining macroscopic
50 traits of ceramic artifacts including decorative features and vessel forms. The widespread
51 use of these traits is largely due to their value in constructing regional typologies and
52 chronological sequences (Aikens and Higuchi, 1982; Deryugin, 2008; Kenrick, 1995;
53 Kidder and Esaka, 1968; Mizoguchi, 2002; Ponkratova, 2006; Takase, 2013;
54 Zhushchikhovskaya, 2009). While macroscopic features are likely to remain at the center
55 of pottery analysis in this region, this research contributes to a small but growing body of
56 literature that demonstrates the potential of compositional analysis (Anderson et al.,
57 2011; Habu et al., 2003; Habu and Hall, 1999; Hall, 2004, 2001; Hall et al., 2002). As the
58 first comprehensive ceramic sourcing study in this region the goals of this project are 1)
59 to establish the reliability of compositional analysis using ceramic artifacts, 2) to examine
60 the diversity of geochemical sources between regions of the Kuril Islands and 3) to
61 explore the potential of geochemical data to make inferences about exchange patterns.
62 Broadly speaking, this study aims to contribute a new line of evidence, elemental
63 composition data, to enhance our current knowledge of pottery exchange among maritime
64 hunter-gatherers of the North Pacific.

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66 **2. Study Area**

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68 Stretching in line for almost 1,200 km from Hokkaido to Kamchatka, the Kuril Islands
69 are composed of 32 islands that vary in size from 5 km² to 3,200 km² (see Fig. 1). The
70 most significant geographic features of the island chain are two major open water straits,
71 the Bussol and Kruzenstern, which divide the archipelago into three distinct biological
72 and geographical regions (Fitzhugh et al., 2004; Pietsch et al., 2003).

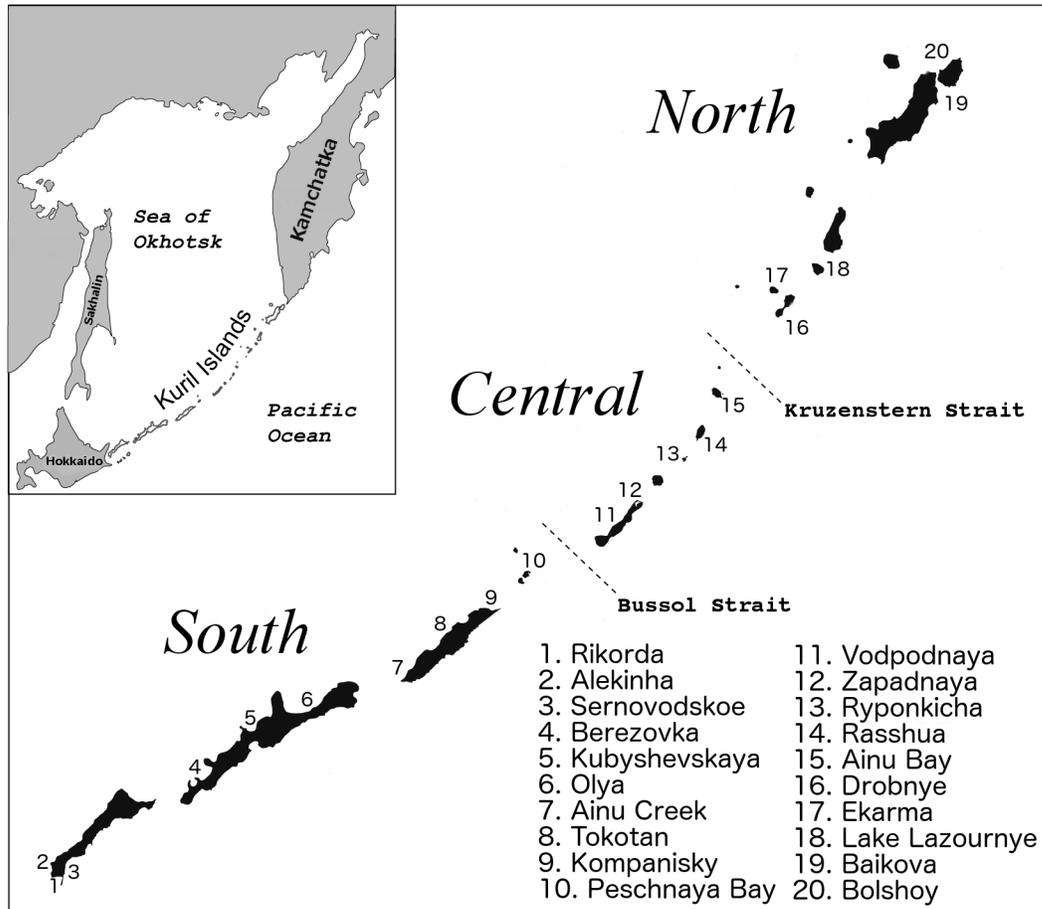
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74 *2.1 Biogeography*

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76 The pattern of biological diversity in the Kuril Islands is strongly influenced by the
77 geographic barriers of the major straits, the large disparity in island sizes and the
78 proximity of each island to the larger land masses of Hokkaido and Kamchatka (Pietsch
79 et al., 2003). In general, higher biological diversity is recognized on islands located in the
80 southern region with significantly lower resource diversity in the more remote central and
81 northern islands. This pattern is observable in the flora of the archipelago with the
82 southern islands maintaining a wide diversity of trees and shrubs including spruce, larch
83 and oak as compared to the grasses of the tundra-covered northern and central islands
84 (Anderson et al. 2008). The fauna of the archipelago also demonstrates this pattern with
85 the southern islands containing a much higher diversity of terrestrial mammals, insects,
86 freshwater mollusks, terrestrial mollusks and freshwater fish (Hoekstra and Fagan, 1998;
87 Pietsch et al., 2003, 2001). The central islands, while ecologically less diverse compared
88 to the southern and even northernmost islands, do contain high abundances of marine
89 mammals, particularly sea lions, seals and sea otters, at least at present.

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Figure 1. Map of the Kuril Islands with names of key straits, region names and sites used in this study

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2.2 Weather and Climate

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The climatic conditions of the Kuril Islands are strongly influenced by the northwestern winds deriving from Siberia (Leonov, 1990). Winters are cold and harsh with nearly 138 snowstorm days per year and stable snow cover from November until May (Ganzei et al., 2010). Due to the interaction of the cold Oyashio current and the warm Soya current, some areas of the Kuril Islands experience nearly 215 fog days per year, making this region of one of the foggiest places on earth (Bulgakov, 1996; Razjigaeva et al., 2011; Tokinaga and Xie, 2009). Summers are wet and short with very high air humidity and unpredictable violent storms that bring heavy precipitation, strong winds and storm surges (Belousov et al., 2009).

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The frequency of storms in the Kuril Islands is noted by ethnographer Carl Etter (1949: 112-113) in the recording of his journey to the Kuril Islands, “It was the middle of the summer when one might expect pleasant weather. However, the Kurile climate was the most uncertain thing I found in all my journeys in the Orient...Our boat was loaded to full capacity and freight...We went on deck and made our bed under some tarpaulins,

113 which were wet and cold. The fog was thick enough to cut with a knife and a cold east
114 wind blew all night, keeping us cold and unable to sleep. The Japanese crew that brought
115 us through that fog must surely know these Kurilian waters. The sea was rolling
116 mountains high, and our little craft seemed like an eggshell in a tempest... There are tales
117 in which the gods provided miraculous boats for Ainu who were in distress. I would
118 almost be willing to admit that the boat in which I returned from Etorofu was one of
119 those miraculous boats.”

120

121 While less catastrophic than violent storms, earthquakes and typhoons, long-term climate
122 change alters the frequency of storminess and the productivity of the marine ecosystem in
123 which hunter-gatherers rely on so heavily (Fitzhugh et al., 2016). Paleoclimate data from
124 a variety of sources on or near the Kuril Islands point to major fluctuations in temperature
125 and aridity through the Holocene. In general, the late Holocene was cooler and stormier
126 than the early Holocene with intense cold and dry winds coming off the Siberian
127 mainland (Razjigaeva et al., 2013). A detailed list of mid to late Holocene climate trends
128 and their impact on the demography of the Kuril Islands can be found in Fitzhugh et al.
129 (2016).

130

131 *2.3 Geology*

132

133 The Kuril archipelago is situated on the central portion of the Kuril-Kamchatka Island
134 Arc formation, which also includes Eastern Hokkaido and Southern Kamchatka . The
135 Kuril archipelago begin forming during the Late Cretaceous period (100 millions years
136 ago) but sediment record indicate that the greater arc of Kuril Islands did not emerge
137 above the sea surface until the Pliocene or early Pleistocene (Bulgakov, 1996). Results of
138 K-Ar dating show that the ages of volcanic rocks shift from old in the south islands (8.36-
139 4.2 Ma), young in the central islands (3.3-0.6 Ma) to old in the north (7.0-3.5 Ma)
140 (Ishizuka et al., 2011). The rock composition of the Kuril Islands, especially the
141 uppermost geologic sequences, are dominated by andesitic formations with a lower
142 prevalence of basaltic, dacitic and rhyolitic formations (Belousov et al., 2009).

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144 Due to a high subduction rate, the Kuril Islands are among the most active volcanic areas
145 in the world, with the highest volcanic activity observed north of the Bussol Strait
146 (Belousov et al., 2009). In the last three millennia, approximately eighty volcanic
147 eruptions occurred across the island chain including two caldera-eruptions and four large
148 Plinian eruptions. The eruptive history of the Kuril Islands in combination with their
149 sub-arctic, marine environment creates a dynamic history of landform modification that
150 includes sea-level change, volcanic eruptive processes, coastal aggradation and dune
151 formation (MacInnes et al., 2014).

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153 *2.4 Culture History*

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155 The earliest evidence of human occupation in the Kuril archipelago are pottery remains
156 with a calendar age of 7610-8160 cal BP, recovered from the archaeological sites of
157 Yankito and Kuibyshevo located on the southern island of Iturup (Yanshina and Kuzmin,
158 2010). Ceramic artifacts from these sites indicate a cultural affiliation between the

159 southern Kurils and the neighboring island of Hokkaido, as pottery from both regions
160 demonstrate thick walls and cord-marking, which are diagnostic of the Early and Middle
161 Jomon periods of Japan (Vasilevsky and Shubina, 2006). However, it is not until nearly
162 4,000 years later (3800 cal BP) that occupation in the more remote central islands is
163 recognized (Fitzhugh et al., 2016, 2002; Niimi, 1994). Based on the styles of ceramic
164 artifacts recovered from the central islands (Gjesfjeld, 2014) and associated radiocarbon
165 dates (Fitzhugh et al., 2016), the first populations to colonize the central region were
166 likely associated with the Epi-Jomon cultural tradition that existed in Hokkaido and the
167 southern islands. Compared to the low density of archaeological sites and artifacts in the
168 preceding Middle and Late Jomon periods, the settlement of the island chain by the Epi-
169 Jomon can be considered extensive with dense archaeological evidence spanning all three
170 biogeographic regions. For reasons currently unknown, Epi-Jomon populations
171 experience a rapid decline around 2000 cal BP and are difficult to recognize
172 archaeologically on the islands after 1500 cal BP (Fitzhugh et al., 2016).

173
174 Immediately following the Epi-Jomon period, the Okhotsk culture (1500-800 cal BP)
175 flourished throughout East Asia despite being a period of significant social and economic
176 change (Hudson, 2004). The development of this cultural tradition is argued to have
177 occurred in three distinctive stages (Amano 1979 in Hudson 2004). The first stage is the
178 initial eastern expansion from south Sakhalin Island into the Japanese archipelago
179 including the islands of Rishiri, Rebun and northern Hokkaido. This is followed by a
180 second stage of movement to the northeastern corner of Hokkaido and into the Kuril
181 Islands (Hudson, 2004). Based on archaeological evidence, the Okhotsk culture is
182 recognized throughout the entire Kuril archipelago with the highest density of settlements
183 and artifacts occurring in the central and northern regions. During the later stages of the
184 Okhotsk period in northeastern Hokkaido and the southern Kurils, Okhotsk populations
185 are believed to have been assimilated by proto-Ainu populations, referred to as the
186 Satsumon culture (Deryugin, 2008). Similar to the Epi-Jomon, Okhotsk populations in
187 the Kuril Islands experience a rapid decline and are difficult to identify archaeologically
188 after 700 cal BP.

189
190 Ethnographic work shows us that the Kuril Ainu lived throughout the island chain in
191 relatively large pit house villages as well as smaller seasonal camps (Fitzhugh et al. 2002;
192 Kono and Fitzhugh 1999). Kikuchi (1999) suggests that the Ainu movement from
193 Hokkaido into the Kuril Islands would have likely taken place during the fourteenth or
194 fifteenth centuries (AD) following abandonment by the Okhotsk culture. Ethnographic
195 evidence from Ainu populations highlights the presence of an extensive local, regional
196 and distant trading network of various products (Ohnuki-Tierney, 1976). This included
197 exchange between neighboring islands as well as distant trading of surplus marine
198 mammal products (food and oil) and small “treasures” such as beads, earrings, bird
199 feathers and hides (Ohnuki-Tierney, 1976; Tezuka, 1998). During the early eighteenth
200 century carrying into the nineteenth century, the Russian-American Company settled the
201 Kurils with transplanted Alaskan and Siberian sea mammal hunters (Shubin 1994). The
202 Japanese occupation of the island chain during the twentieth century forcefully displaced
203 many Ainu populations and World War II saw the occupation and fortification of the
204 region by the Russian military.

205 **3. Hypotheses and expectations**

206

207 Building from the information networks model (Fitzhugh et al., 2011), this research
208 adopts the starting assumption that the formation and maintenance of exchange
209 relationships is an adaptive mechanism for mitigating uncertainty through the acquisition
210 of information and the formation of social partners in regions outside of the local area
211 (Blong, 1982; Krajick, 2005; Minc and Smith, 1989; Minc, 1986; Rautman, 1993;
212 Whallon, 2011, 2006; Wiessner, 1982, 1977). Expectations deriving from this model
213 suggest that all else being equal, populations living in regions with higher uncertainty
214 will tend to form “integrated” networks, which are characterized by exchange
215 relationships occurring at local, regional and distant spatial scales. Alternatively,
216 populations living in regions with lower uncertainty would tend to demonstrate more
217 “isolated” network structures with few ties beyond the local area. These expectations are
218 based on the assumption that in regions of higher uncertainty there is a greater need to
219 acquire information at inter-regional scales as this information helps reduce resource
220 shortfalls in the local area (Fitzhugh et al., 2011). Therefore, it is reasonable to expect
221 that in regions characterized by high levels of uncertainty about local conditions,
222 populations are more willing to pay the costs associated with establishing and
223 maintaining regional or distant exchange partners.

224

225 In the application of this model to the Kuril Islands, it is generally assumed that the
226 maritime foraging populations that inhabited the Kuril Islands would have easily moved
227 between close islands and through narrower straits; however, movement across these
228 larger straits would have been difficult (Phillips, 2011). Perhaps the biggest challenge to
229 boat travel, which would have been a necessity for foraging and connecting with
230 neighbors, are the violent, frequent and unpredictable storms that are characteristic of the
231 island chain (Fitzhugh et al., 2016). As the modern explorer Jon Turk (Turk, 2005)
232 discovered in his kayak expedition through the island chain, the larger straits are
233 especially difficult to navigate as the ocean currents and winds are highly unpredictable.
234 As Turk writes about his crossing of the Bussol Strait (Turk, 2005, p. 85), “without
235 howling wind, rising storm or warning the plain ocean suddenly reared into fifteen-foot
236 breaking waves”. Similar experiences with Ainu sailors are also cited by the early
237 explorer Krasheninnikov (1963:35), noting that: “the channels are crossed in light boats,
238 in less than half a day, but the passage is excessively difficult, because the tide runs very
239 rapid in all of them...In the time of the flood, the waves are rapid and white, so large that
240 even in calm weather they rise two or three fathoms high”.

241

242 It is the initial expectation of this research that populations inhabiting the central islands
243 would have experienced greater social and environmental uncertainty that those
244 inhabiting the southern region. This assumption is based on the lower diversity of
245 resources that can be exploited during times of crisis (see section 2.1), longer inter-island
246 distances coupled with the unpredictability and frequency of storms (section 2.2) and a
247 greater frequency of unpredictable volcanic eruptions (section 2.3). Building from this
248 assumption, it can be hypothesized that the Epi-Jomon occupation, which primarily
249 occupied the southern region (Table 1), would demonstrate a more localized network
250 structure and lack evidence for the exchange of pottery at inter-regional scales. In

251 contrast, the occupation of the Kuril Islands by the Okhotsk culture was predominantly
252 located in the central and northern regions of the island chain. It is therefore expected that
253 the Okhotsk will demonstrate stronger tendencies towards an integrated network structure
254 with evidence for the exchange or movement of pottery across regional boundaries.
255

256 **4. Materials and Methods**

257
258 Over the last few years, examining ceramic artifacts through geochemical analysis has
259 become an increasingly common approach (Ashley et al., 2015; Castanzo, 2014; De La
260 Fuente et al., 2015; Falabella et al., 2013; Glowacki et al., 2015; Grave et al., 2014; Minc
261 et al., 2014; Nunes et al., 2013; Ownby et al., 2014; Peterson, 2015; Rodríguez-Alegría et
262 al., 2013; Sharratt et al., 2015; Stoner et al., 2015, 2014). However, the increasing
263 popularity of “sourcing” ceramic artifacts has not diminished some inherent concerns
264 about the results, especially when compared to the more traditional analysis of obsidian
265 (Eerkens et al., 2002; Neff, 2000). Perhaps most significant is that raw clay resources are
266 often numerous throughout any landscape making the sampling of potential raw clay
267 sources a tedious and expensive process that often yields poor results (Anderson et al.,
268 2011; Eerkens et al., 2002). Raw clay sources are also typically larger than obsidian
269 sources making the inference between a specific geographic location and a raw clay
270 source more difficult (Neff, 2000). Finally, raw clay is formed and transported through a
271 wide variety of geologic processes. This can include the weathering of volcanic ash or
272 alluvial deposition, both of which can cause the blending of clay particles and obscure
273 what would otherwise be distinct clay source groups by creating a more homogenous
274 distribution of elements (Eerkens et al., 2002; Pollard and Heron, 2008).
275

276 Despite these issues, it is important to note that in some contexts the compositional
277 analysis of pottery may be useful, even though it may have lower spatial and
278 geochemical resolution. For example, in the Kuril Islands raw obsidian sources are only
279 found outside of island chain in Hokkaido and Kamchatka (Ono et al., 2014). Therefore,
280 the analysis of obsidian artifacts recovered from archaeological sites in the island chain is
281 most informative about exchange with partners outside of the archipelago (Phillips and
282 Speakman, 2009). In addition, given the higher costs of procurement for Kuril inhabitants
283 and the lower proportion of obsidian compared to chert and basalt (Phillips, 2011), the
284 exchange of obsidian is more likely to reflect the exchange of “exotic” or “luxury” items.
285 Clay, which is available in small quantities on many of islands, can be considered more
286 of an everyday material that is more representative of seasonal movement or reciprocal
287 exchanges relationships between individuals within the Kuril Islands.
288

289 Differentiating the movement of pottery by trade or exchange from the movement of
290 pottery as part of seasonal migrations can be extremely difficult within archaeological
291 contexts (Anderson et al., 2011). In this research, there is no attempt to differentiate
292 between pottery movement due to trade or exchange and pottery movement due to
293 seasonal migrations as both types of movement are considered adaptive responses to
294 mitigate local uncertainty. However, based on ethnographic evidence from the Ainu
295 (Ohnuki-Tierney, 1976; Tezuka, 1998), trade and exchange between Kuril regions was

296 common so it is expected that at least some, if not many, of the pottery vessels in this
 297 analysis were either directly or indirectly (as a container) involved in exchange networks.

298
 299 *4.1 Samples*

300
 301 In total, 297 sherds and 3 standards were analyzed in this study. Sherds were selected for
 302 analysis through a stratified random sampling process in which the region, island, site,
 303 excavation unit and level, vessel part and decorative features defined sampling strata.
 304 When possible, sherds with distinctive forms or decorative features were selected for
 305 analysis, as these sherds provide the easiest determination of cultural affiliation.
 306 However, only 11% of the recovered sherds from the archipleago demonstrated
 307 diagnostic design elements or vessel forms (Gjesfjeld 2014). If distinctive sherds were
 308 not available for sampling, either through the absence of diagnostic traits or restrictions
 309 on physically modifying the artifacts (sherds tagged for possible museum display were
 310 not to be sampled), samples were randomly selected within each excavation level.
 311

Site Name	Region	Cultural Affiliations	Bedrock Composition	Landform	# of ICP-MS Samples
Rikorda ¹	South	EJ	Andesite	Coastal plain (H)	15
Alëkinha ²	South	EJ, OK	Andesite	Coastal dunes (H)	10
Sernovodskoe ³	South	EJ	Andesite	Fluvial plain (H)	14
Berezovka ⁴	South	EJ, OK	Andesite	Coastal dunes (H)	17
Kubyshevskaya ⁵	South	EJ	Andesite-basaltic	Coastal dunes (H)	17
Olya ⁶	South	EJ, OK	Siliceous-diatomite	Terrace (P)	16
Ainu Creek ⁷	South	EJ, OK	Andesite	Coastal plain (H)	30
Tokotan ⁸	South	OK	“Green tuff”	Coastal dunes (H)	10
Kompanisky ⁹	South	EJ, OK	“Green tuff”	Coastal dunes (H)	20
Peschanaya Bay ¹⁰	Central	EJ	N/A	Landslide (H)	13
Vodopodnaya ¹¹	Central	OK	N/A	Terrace (P)	25
Zapadnaya ¹²	Central	EJ, OK	N/A	N/A	10
Ryponkicha ¹³	Central	OK	N/A	Ignimbite (H)	8
Rasshua ¹⁴	Central	EJ	N/A	Terrace (P)	25
Ainu Bay ¹⁵	Central	AI	N/A	Terrace (P)	9
Drobyne ¹⁶	North	EJ,OK	N/A	Terrace (P)	25
Ekarma ¹⁷	North	OK	N/A	Landslide (H)	5
Lake Lazournye ¹⁸	North	OK	N/A	N/A	10
Baikova ¹⁹	North	OK	Siliceous-diatomite	Terrace (P)	8
Bolshoy ²⁰	North	OK, AI	Andesite	Dune (H/P)	10

312
 313 Table 1. Archaeological sites included in this study with numbers corresponding to
 314 locations in Figure 1. Cultural affiliations at each site were determined by diagnostic
 315 pottery decorations and radiocarbon dates (EJ=Epi-Jomon, OK=Okhotsk, AI=Ainu).
 316 Bedrock compositions were drawn from Sergeev et al. (1987) (N/A=Not Available).
 317 Current landforms were taken from MacInnes et al. (2014) (H=Holocene and
 318 P=Pleistocene).

319
 320 *4.2 ICP-MS*

321
 322 While the use of ICP-MS methods for elemental characterization in the natural sciences
 323 is common, the preferred method for provenance studies among archaeologists is often

324 Instrumental Neutron Activation Analysis (INAA). The prevalence of INAA among
325 archaeologists is largely due to strong historical research connections between
326 archaeologists and INAA research facilities as well as its high sensitivity and precision
327 across a broad spectrum of elemental masses (Kennett et al., 2002). However, INAA
328 does have a few of drawbacks including a higher cost per sample than ICP-MS and the
329 need for access to a nuclear reactor, which are becoming increasingly limited due to high
330 costs of maintenance. Besides the reduced cost per sample, ICP-MS methods also allow
331 for the analysis of smaller samples (~200 mg), the detection of more elements (up to 70
332 elements) and generally lower detection limits (Kennett et al., 2002).

333

334 All samples used in this analysis were analyzed by ICP-MS with sample preparation
335 carried out in the Laboratory of Geochronology and Isotopes at the Institute of the Earth's
336 Crust, Russian Academy of Sciences-Irkutsk. Acid digestion of samples was performed
337 in Teflon containers by heating a mixture of nitric (HNO₃) and hydrofluoric (HF) acid in
338 a microwave with the addition of hydrogen peroxide for a more complete oxidation of the
339 sample. Once digested in acid, measurements were performed on an Agilent 7500se
340 quadrupole mass spectrometer with the use of USGS geologic standards (DNC-1, QLO-
341 1, RGM-1) for calibration. In total, elemental concentration values for 41 elements were
342 produced from the ICP-MS analysis (Table 2). This data is freely available at the Digital
343 Archaeology Repository (doi:10.6067/XCV85M66NM).

344

345 *4.3 Statistical Analysis of Concentration Data*

346

347 The goal of statistical analysis of elemental concentration data is to identify distinct
348 analytical groups within the data. Using diagnostic sherd decorations as well as
349 radiocarbon dates associated with distinct excavation levels, the 297 analyzed samples
350 were subdivided into four categories based on their cultural affiliation. In total, 143
351 sherds were classified as Epi-Jomon, 123 sherds were classified as Okhotsk, nine as Ainu
352 and 22 as unknown. Based on low sample sizes for sherds classified as Ainu, only
353 concentration data for Epi-Jomon and Okhotsk will be presented here.

354

355 Identification of distinct analytical groups followed the MURR statistical approach
356 (Baxter, 2001; Baxter and Buck, 2000; Glascock et al., 2004), which is commonly
357 employed in archaeometric studies. Element compositions were logarithmically
358 transformed prior to multivariate analysis to reduce the influence of high concentration
359 elements (Glascock et al., 2004). Source groupings were initially identified through
360 cluster analysis (CA) and refined through principal component analysis (PCA) and
361 Mahalanobis distance-based (MD) probabilities. Principal component analysis also
362 served as the basis for limiting the number of elemental variables used in the calculation
363 of MD probabilities through the use of R-Q mode analysis (Neff 1994). R-Q mode
364 biplots (Figures 2A and 3A) are useful in identifying elements that most highly influence
365 the principal component analysis by representing the contribution of each element with
366 the length of its vector (Glascock et al., 2004). The determination of group membership
367 was determined through the use of Mahalanobis distances, which calculate the probability
368 of a sample belonging to a group that is predefined from CA and PCA (Glascock et al.,
369 2004).

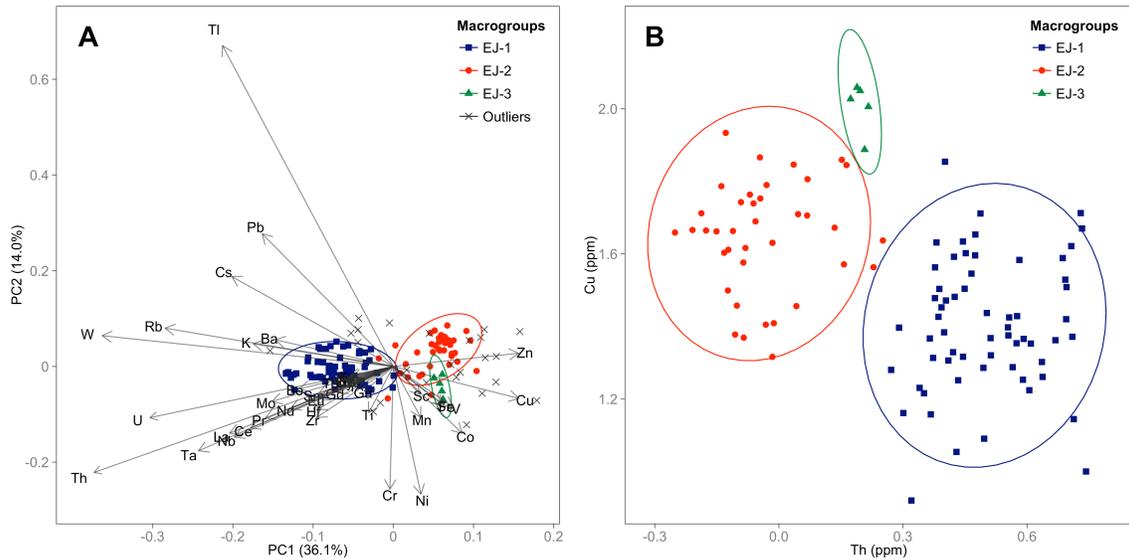
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Source groups and group membership were initially determined through the use of the MURRAP associated with GAUSS 8.0 (freely available from the Archaeometry Laboratory at the University of Missouri). Initial results of this research were then reproduced by the author using the R statistical environment (R Development Core Team, 2010). R code used in this research for cluster analysis, principal component analysis and Mahalanobis distance probabilities is available at www.github.com/erikgjes.

	Epi-Jomon (EJ)			Okhotsk (OK)		
	EJ-1 (n=60)	EJ-2 (n=38)	EJ-3 (n=5)	OK-1 (n=52)	OK-2 (n=27)	OK-3 (n=13)
Th	3.33 ± 1.03	0.96 ± 0.29	1.57 ± 0.06	1.61 ± 0.9	1.52 ± 0.78	0.77 ± 0.44
U	0.9 ± 0.24	0.4 ± 0.11	0.31 ± 0.09	0.59 ± 0.34	0.31 ± 0.09	0.27 ± 0.2
Rb	26.76 ± 5.96	11.47 ± 3.37	10.97 ± 2.44	17.77 ± 8.94	11.9 ± 3.95	9.88 ± 4.86
K	7341.52 ± 1715.12	4478.46 ± 1657.61	3978.41 ± 473.12	6861.07 ± 2940.89	5567.07 ± 2214.02	4983.17 ± 2373.46
Cs	2.56 ± 0.95	1.8 ± 0.56	1.17 ± 0.33	1.9 ± 0.78	0.81 ± 0.35	1.54 ± 0.95
Sr	198.58 ± 58.3	243.72 ± 68.59	229.73 ± 19.3	296.04 ± 150.28	310.08 ± 68.47	195.64 ± 71.26
Ba	292.84 ± 74.51	197.79 ± 51.72	191.46 ± 14.66	268.12 ± 95.98	247.98 ± 72.76	171.54 ± 41.84
Be	0.88 ± 0.13	0.61 ± 0.1	0.59 ± 0.11	0.64 ± 0.18	0.64 ± 0.06	0.51 ± 0.12
La	9.67 ± 2.51	4.98 ± 1.63	5.1 ± 0.53	6.45 ± 2.77	7.42 ± 1.15	4.37 ± 1.63
Ce	23.36 ± 4.87	12.66 ± 3.27	14.5 ± 0.98	16.7 ± 6.41	18.58 ± 2.28	11.43 ± 4.15
Pr	2.84 ± 0.61	1.7 ± 0.46	1.84 ± 0.16	2.17 ± 0.77	2.33 ± 0.28	1.62 ± 0.63
Nd	12.5 ± 2.53	8.35 ± 1.97	9.14 ± 0.79	10.21 ± 3.23	10.98 ± 1.33	7.95 ± 2.91
Sm	3.21 ± 0.64	2.41 ± 0.45	2.75 ± 0.21	2.73 ± 0.65	2.89 ± 0.37	2.21 ± 0.69
Eu	0.96 ± 0.19	0.72 ± 0.13	0.78 ± 0.04	0.76 ± 0.17	0.87 ± 0.12	0.55 ± 0.17
Gd	3.18 ± 0.69	2.54 ± 0.48	3.17 ± 0.35	2.77 ± 0.56	2.93 ± 0.48	2.09 ± 0.53
Tb	0.54 ± 0.13	0.44 ± 0.09	0.5 ± 0.03	0.44 ± 0.1	0.47 ± 0.09	0.31 ± 0.08
Dy	3.6 ± 0.88	3.04 ± 0.53	3.67 ± 0.26	3.01 ± 0.65	3.11 ± 0.63	2.15 ± 0.49
Ho	0.74 ± 0.17	0.64 ± 0.11	0.75 ± 0.04	0.63 ± 0.12	0.65 ± 0.13	0.46 ± 0.11
Er	2.18 ± 0.58	1.86 ± 0.37	2.26 ± 0.16	1.82 ± 0.4	1.85 ± 0.39	1.29 ± 0.34
Tm	0.32 ± 0.08	0.27 ± 0.05	0.32 ± 0.02	0.27 ± 0.06	0.27 ± 0.06	0.19 ± 0.05
Yb	2.18 ± 0.56	1.84 ± 0.37	2.22 ± 0.18	1.82 ± 0.42	1.78 ± 0.39	1.27 ± 0.35
Lu	0.31 ± 0.08	0.27 ± 0.05	0.3 ± 0.02	0.26 ± 0.06	0.27 ± 0.06	0.19 ± 0.05
Ga	18.37 ± 1.95	15.36 ± 0.95	18.71 ± 1.1	16.32 ± 1.81	14.44 ± 0.88	14.77 ± 1.78
Tl	0.29 ± 0.13	0.36 ± 0.22	0.06 ± 0.03	0.29 ± 0.2	0.04 ± 0.04	0.23 ± 0.16
Pb	13.25 ± 6.02	10.37 ± 3.89	8 ± 1.17	16.13 ± 22.08	6.92 ± 2.32	10.49 ± 5.25
Y	18.71 ± 5.09	16.35 ± 3.58	20.2 ± 1.45	16.32 ± 3.4	17.07 ± 3.65	11.85 ± 3.15
Zn	81.67 ± 47.41	128.73 ± 60.21	117.22 ± 24.04	134.98 ± 95.29	176.42 ± 46.89	54.52 ± 34.85
Zr	93.99 ± 16.2	66.52 ± 18.15	80.27 ± 15.43	74.46 ± 18.21	69.75 ± 15.74	55.66 ± 12.63
Nb	3.43 ± 0.83	1.65 ± 0.37	1.72 ± 0.34	1.97 ± 0.77	2.11 ± 0.52	1.38 ± 0.33
Mo	1.11 ± 0.75	0.58 ± 0.39	0.67 ± 0.07	1.14 ± 0.47	0.43 ± 0.29	1.46 ± 0.79
Sc	28.14 ± 3.5	31.03 ± 4.21	33.63 ± 2.42	29.46 ± 5.9	27.33 ± 4.01	25.3 ± 5.41
Cu	27.42 ± 11.8	47.1 ± 15.66	102.29 ± 14.95	54.17 ± 29.31	39.97 ± 15.57	49.55 ± 23.28
Hf	2.78 ± 0.5	1.96 ± 0.42	2.51 ± 0.23	2.22 ± 0.49	2.04 ± 0.35	1.75 ± 0.43
Ta	0.24 ± 0.08	0.1 ± 0.04	0.14 ± 0.05	0.14 ± 0.06	0.1 ± 0.05	0.08 ± 0.05
W	0.54 ± 0.23	0.27 ± 0.14	0.27 ± 0.05	0.35 ± 0.14	1.15 ± 1.4	0.4 ± 0.17
Ti	5539.03 ± 671.89	4473.8 ± 391.45	6037.25 ± 474.97	4846.63 ± 800.62	4707.99 ± 360	4245.77 ± 988.54
Cr	27.79 ± 13.63	26.66 ± 9.95	12.22 ± 2.55	39.38 ± 37.81	57.13 ± 44.97	6.92 ± 4.9
Co	15.82 ± 4.77	18.42 ± 3.46	25.43 ± 1.95	17.31 ± 6.56	27.37 ± 7.64	9.56 ± 6.28
Ni	13.03 ± 5.03	12.58 ± 5.1	8.57 ± 1.81	16.62 ± 11.48	37.29 ± 24.05	3.4 ± 1.74
V	191.27 ± 37.72	229.93 ± 33.21	278.56 ± 44.25	220.83 ± 51.87	205.83 ± 34.86	209.42 ± 61.83
Mn	778.43 ± 291.6	777.59 ± 319.04	1295.21 ± 257.93	805.26 ± 357.75	1134.41 ± 484.47	434.66 ± 200.67

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Table 2. Summary statistics (mean ± standard deviation) in parts per million for compositional macrogroups (MG) based on cultural affiliation. Samples that were not assigned to each group (outliers) through CA, PCA and MD probabilities are not included in the totals above (Epi-Jomon had 40 samples identified as outliers and Okhotsk samples had 31).



385

386

387 **Figure 2.** Biplot of Epi-Jomon samples showing principal component scores with
 388 elemental vectors (A) where the contribution of the element to the PCA is indicated by its
 389 length. Panel B key shows analytical groups for samples based on Thorium (Th) and
 390 Copper (Cu).

391

392 5. Results

393

394 5.1 Macrogroups

395

396 Using elemental composition results, this research examined the diversity of geochemical
 397 sources used in pottery production by identifying distinct analytical groups in the data. In
 398 both the Epi-Jomon and Okhotsk datasets, three compositional macrogroups were
 399 distinguished based on their elemental concentrations (Figures 2 and 3). Elemental
 400 summary statistics for these six macrogroups can be found in table 2.

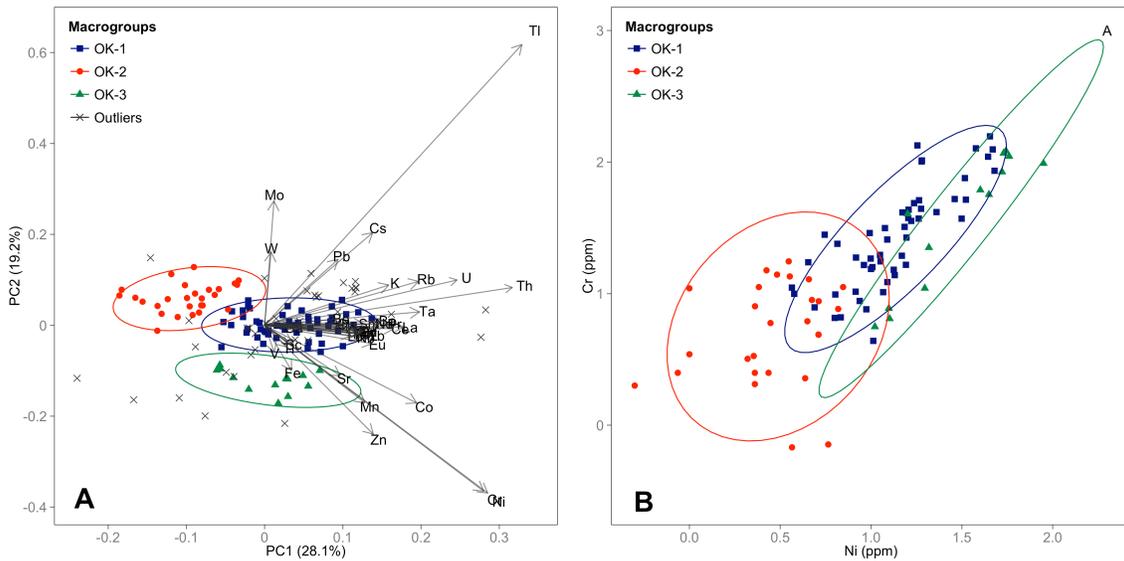
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402 In samples associated with the Epi-Jomon cultural tradition (Fig. 2), two distinct
 403 compositional groups (EJ-1 and EJ-2) can be recognized in the biplots of both principal
 404 components and key elements (Th and Cu). Macrogroup EJ-3 was analytically defined as
 405 a distinct group in cluster analysis; however, its overlap with EJ-2 when plotting with
 406 principal components suggests uncertainty about its distinctness. Macrogroup EJ-1 is
 407 distinguished by the relative enrichment of rare earth elements, heavier transition metals
 408 (Zr, Nb, Mo, Hf, W) and alkali metals (K, Rb and Cs). Compositional group EJ-2 tends
 409 to have more depleted concentrations of rare earth elements but higher enrichment of
 410 lighter, period four transition metals, particularly zinc (Zn) and copper (Cu).

411

412 Samples belonging to the Okhotsk cultural tradition also demonstrate three main
 413 compositional groups. Similar to EJ-1, macrogroup OK-1 exhibits the relative
 414 enrichment of Lanthanide rare earth elements and alkali metals. However, it is unlikely
 415 that the EJ-1 and OK-1 compositional groups derive from the same raw clay source as the

416 sample means for 38 of the 41 (93%) analyzed elements are significantly different
 417 ($p < 0.05$) based on results from a t-test for sample means. Composition macrogroup OK-3
 418 also demonstrates partial enrichment of lighter transition metals, similar to EJ-2, but t-test
 419 results indicate significant differences from EJ-2 in 26 of the 41 (63%) elements
 420 analyzed.
 421



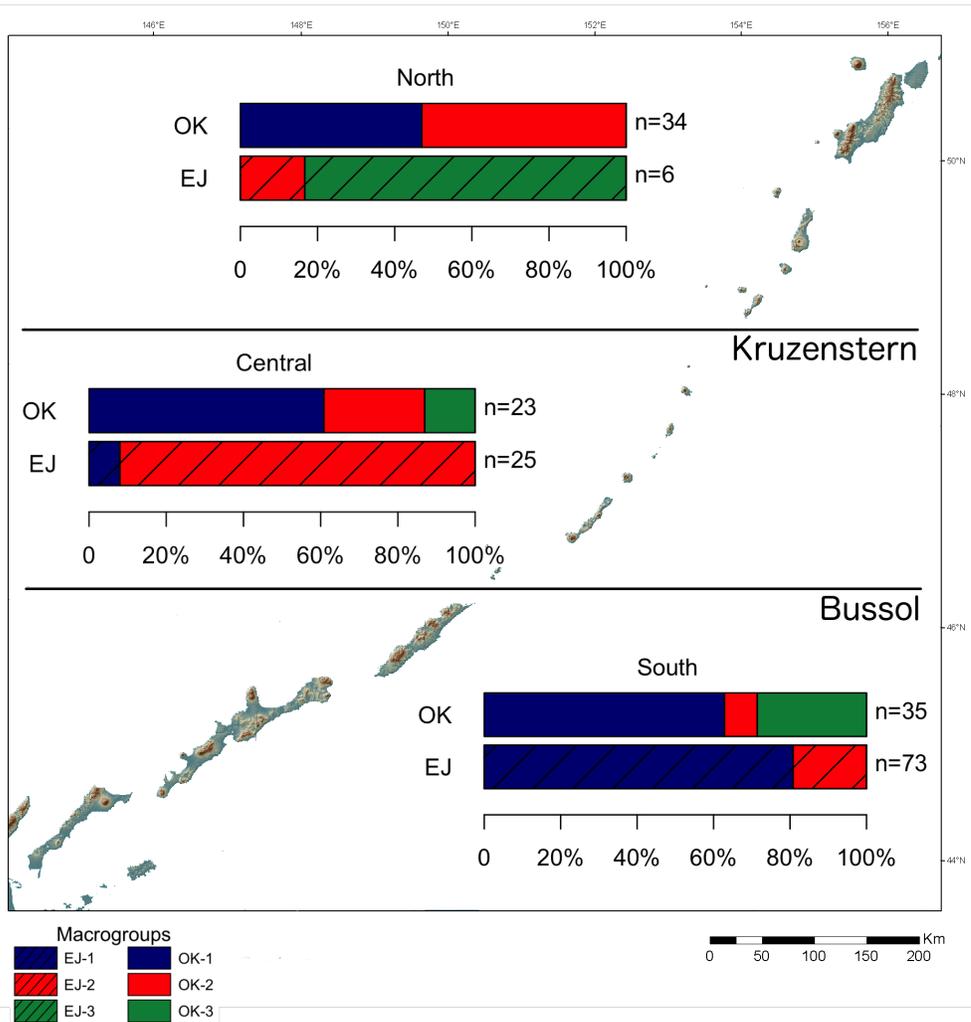
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 424 **Figure 3.** Biplots of Okhotsk samples showing principal component scores with
 425 elemental vectors (A) where the contribution of the element to the PCA is indicated by its
 426 length. Panel B key shows analytical groups for samples based on Nickel (Ni) and
 427 Chromium (Cr).
 428

429 *5.2 Geographic Association of Compositional Groups*
 430

431 Due to a lack of raw clay samples available for analysis, the association of compositional
 432 groups with geographic regions relies on the “criterion of abundance” (Bishop et al.,
 433 1982). This concept suggests that if a majority of samples in a single compositional
 434 grouping come from the same geographic area (*i.e.* site, valley or region) it is assumed
 435 that the raw clay source and the location of pottery production is likely found within this
 436 same geographic area.
 437

438 Building from this assumption, results from the elemental analysis of pottery demonstrate
 439 that strong geographic patterns exist within some but not all compositional groups. As
 440 highlighted in Figure 4, each of the three macrogroups associated with the Epi-Jomon
 441 tradition show strong tendencies towards a single geographic region. Macrogroup EJ-1 is
 442 characterized by a significant majority (81%) of samples from the south region, EJ-2 a
 443 significant majority (92%) of samples from the central region and EJ-3 a significant
 444 majority (83%) of samples from the north region. In contrast, compositional groups
 445 associated with the Okhotsk cultural tradition do not demonstrate strong geographic
 446 associations. Macrogroup OK-1, which is the most well represented macrogroup,
 447 comprises 47% of samples from the north region, 61% of samples from the central region

448 and 63% of samples from the south region. Given this even geographical distribution it is
 449 difficult to associate this macrogroup with a specific area. Macrogroup OK-2 is primarily
 450 found in the north (53%) and central (26%) regions and can be considered as
 451 characteristic of islands north of the Bussol strait. Macrogroup OK-3 is only found in
 452 samples archaeologically recovered from the central and southern region so it can be
 453 assigned to sources south of the Kruzenstern strait.
 454



455
 456 **Figure 4.** Geographic distribution of Epi-Jomon (EJ) and Okhotsk (OK) compositional
 457 macrogroups by region (Basemap by A. Freiburg).
 458

459 **6. Discussion**

460
 461 Results from the compositional analysis of pottery from the Kuril Islands highlight two
 462 points worthy of discussion. First, pottery samples associated with the Epi-Jomon cultural
 463 tradition demonstrate a strong geographic pattern with clear south to north differences in
 464 macrogroup distribution (see Figure 4). This suggests that clays and tempers from the
 465 Kuril Islands adhere to the provenance postulate and that differences in the elemental
 466 composition of raw materials between regions can be used to explore questions

467 concerning the exchange or movement of pottery. Second, the strong geographic pattern
468 recognized within Epi-Jomon compositional groups is not consistent with pottery
469 affiliated with the Okhotsk culture. Explanations for the geographic discrepancy between
470 Epi-Jomon and Okhotsk macrogroup distributions are explored here by examining the
471 influence of elemental variability and the movement / exchange of pottery.

472

473 *6.1 Elemental Variability*

474

475 From a compositional perspective, the even geographic distribution of macrogroup OK-1
476 may exist due to Okhotsk potters using more heterogeneous clay sources. This is because
477 as elemental variability within the compositional dataset increases, analytical groups tend
478 to be more difficult to clearly discriminate, as distinct clusters are difficult to recognize
479 due to larger and overlapping confidence ellipses. Therefore, any single macrogroup that
480 demonstrates high elemental variability is more likely to be characterized by samples
481 from multiple (if not many) different raw clay sources, including sources from potentially
482 different geographic regions.

483

484 Based on archaeological evidence, Okhotsk populations are known to have inhabited the
485 smaller and steeper islands of the central and northern regions. This is important as the
486 formation of clay on islands that do not maintain low energy transport mechanisms (due
487 to the steepness of slopes), is more likely to occur as part of the *in situ* chemical
488 decomposition of aluminum and iron-rich materials (volcanic tephra) by organic-rich
489 soils (Lindbo and Kozlowski, 2006; Mizota and Van Reeuwijk, 1989).

490

491 Field research performed by the Kuril Biocomplexity Project demonstrates positive
492 evidence for the *in situ* formation of clay, as many of the organic-rich peat bogs in the
493 central islands were underlain by mixed clay deposits (Razzhigaeva et al., 2009). It can
494 be further argued that this clay formation process is likely to create more heterogeneous
495 clay deposits as the primary aluminum and iron-rich parent material in the central islands
496 comes from Aeolian deposited volcanic ash. As identified in archaeological and
497 geological excavations, volcanic ash deposits found on many of the central and northern
498 islands derived from eruptions that originated in every region of the Kuril Islands as well
499 as Hokkaido and Kamchatka (Nakagawa et al., 2009). Therefore, it is reasonable to
500 expect that the process of clay formation in these islands promote greater compositional
501 variability than in the larger southern islands, which were more commonly inhabited by
502 the Epi-Jomon populations. Analysis of the total elemental variation for each
503 macrogroup (Aitchison, 1990), supports this possibility as Okhotsk compositional groups
504 do tend to have higher elemental variation than Epi-Jomon compositional groups.

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Compositional Group	Total Elemental Variation
EJ-1	3.073
EJ-2	4.404
EJ-3	1.244
OK-1	5.939
OK-2	8.486
OK-3	9.347

516

517 Table 3. Total elemental variation (TEV) for compositional groups used in this study.
518 TEV values were calculated in the MURR application for GAUSS 8.0 based on Aitchison
519 (1990).

520

521 *6.2 Movement and/or Exchange*

522

523 As initially hypothesized from the information networks model, Okhotsk populations
524 living in the central islands are expected to engage in a social networking strategy that
525 promotes the acquisition and exchange of information at local and inter-regional scales.
526 Epi-Jomon populations living in the less vulnerable southern islands were expected to
527 show little evidence for inter-regional movement or exchange of pottery. Macrogroups
528 EJ-1, EJ-2 and EJ-3 all demonstrate strong geographical affiliations implying that few
529 ceramic artifacts were exchanged or moved at regional scales or that pottery was not part
530 of exchange relationships. Results from the analysis of Okhotsk samples are largely
531 inconclusive. Macrogroup OK-1 demonstrates a widespread geographic distribution that
532 could be a product of increased inter-regional movement / exchange of pottery or could
533 just as easily be explained by a higher degree of elemental variability.

534

535 If focus is temporarily placed on the movement / exchange of pottery as the primary
536 explanation for the broader distribution of the OK-1 source group, it is possible to
537 speculate as to what cultural factors might increase the movement or exchange of pottery.
538 As highlighted through this paper, one explanation could be the increased need for social
539 connections outside of the central islands to help mitigate the risks and uncertainty of this
540 area. An alternative explanation can also be suggested based on differences in the
541 thickness of walls and bases between Epi-Jomon and Okhotsk pottery (see Gjesfjeld, in
542 revision for additional details). Epi-Jomon pottery has mean thicknesses of 7.8 cm (wall)
543 and 7.4 (base) whereas Okhotsk pottery demonstrates thicknesses of 9.4 cm (wall) and
544 11.1 cm (base). The statistically significant differences in wall and base thickness is
545 potentially indicative of differences in cooking strategies between the cultural groups.
546 The thicker fabric of Okhtosk pottery suggests that vessels might have been used in
547 conjunction with hot-stone boiling methods which require over-thickened bases and walls
548 to absorb the energy of hot stones (Reid, 1989). As discussed in Gjesfjeld (in revision),
549 hot stone boiling techniques can be associated with low-heat cooking strategies such as
550 the rendering of marine oil, which was known to be a prized commodity among many
551 groups in the North Pacific (Fitzhugh, 2003) and would have been a valuable trade item

552 for Okhotsk populations. Given the current data, it is difficult to identify the most
553 plausible explanation for the broad source distribution of Okhotsk pottery. Future
554 research that analyzes additional samples and utilizes more detailed mineralogical
555 analyses will greatly enhance our understanding of Okhotsk pottery.

556

557 **6. Conclusions**

558

559 Given the fragmented and often incomplete archaeological record of small-scale and
560 mobile hunter-gatherers in Northeast Asia, interpretations of exchange relationships have
561 often relied on similarities in pottery decoration / form or the compositional analysis of
562 lithic material. The merit of this research is an explicit and quantitative approach to
563 reconstructing and examining the exchange or movement of ceramic artifacts.

564

565 Results of the compositional analysis of pottery from the Kuril Islands identified three
566 compositional macrogroups in samples associated with two different cultural
567 occupations, the Epi-Jomon and the Okhotsk. Based on the information network model, it
568 was expected that differences should be present in the geographic distribution of
569 macrogroups between the Epi-Jomon and Okhotsk, particularly in their degree of inter-
570 regional exchange. Compositional groups broadly agree with model expectations for Epi-
571 Jomon samples by demonstrating strong geographic associations. Okhotsk macrogroups
572 do not provide conclusive evidence to support modal expectations. Possible explanations
573 for these differences may include the increased exchange or movement of pottery due to
574 risk-buffering mechanisms, the trade of marine oil or higher elemental variability. While
575 some limitations still exist in the compositional analysis of archaeological pottery from
576 hunter-gatherer contexts, this research demonstrates its utility in exploring questions of
577 exchange and movement that can only enhance traditional methods of pottery analysis.

578

579

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581

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595 landscape of the Kuril Islands.

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