# CHARACTERIZING TSPO EXPRESSION AND RADIOLIGAND-BINDING IN THE CENTRAL NERVOUS SYSTEM AND PERIPHERAL BLOOD IN NEUROINFLAMMATORY DISEASE



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This dissertation is submitted for the degree of Doctor of Philosophy.

# Preface

This dissertation is the result of my own work and includes nothing which is the outcome of work done in collaboration, except as declared in this Preface and specified in the text.

It is not substantially the same as any that I have submitted, or, is being concurrently submitted for a degree or diploma or other qualification at the University of Cambridge or any other University or similar institution except as declared in the Preface and specified in the text. I further state that no substantial part of my dissertation has already been submitted, or, is being concurrently submitted for any such degree, diploma or other qualification at the University of Cambridge or any other University or similar institution except as declared in the Preface and specified in the Preface and specified in the text.

It does not exceed the prescribed word limit for the relevant Degree Committee.

# **Table of Contents**

Prefacei		
Table of Contents	iii	
Index of Figures	vi	
Index of Tables		
List of abbreviations	xii	
1 Introduction: The significance of TSPO as a diagnostic and therapeutic target in		
inflammatory disease and potential applications in the treatment of neuroinflammation.	1	
1.1 Overview		
1.2 Background and Significance	3	
1.2.1 The 18 kDa translocator protein (TSPO)	3	
1.2.2 Positron-Emission Tomography (PET) Imaging		
1.2.3 PET imaging of TSPO expression	6	
1.2.4 Multiple Sclerosis	8	
1.2.5 Clinical Manifestations	8	
1.2.6 Radiological manifestations	9	
1.2.7 Genetic, environmental, and geographic risk factors	9	
1.2.8 Pathogenesis of MS		
1.2.9 Experimental autoimmune encephalomyelitis in the common marmoset		
1.2.10 Lymphocytes in MS and EAE		
1.2.11 Macrophages in MS	14	
1.2.12 Microglia in MS	15	
1.2.13 Astrocytes in MS	17	
1.2.14 Histopathology of MS	17	
1.2.15 TSPO in multiple sclerosis	19	
1.2.16 TSPO in microglia/macrophages	20	
1.2.17 TSPO in astrocytes		
1.2.18 TSPO in neurons	22	
1.2.19 TSPO in the periphery		
1.2.20 TSPO as a therapeutic target in MS		
1.2.21 Current treatment of multiple sclerosis and limitations		
1.2.22 Therapeutic ligation of TSPO		
1.3 Summary of approach	27	
1.4 Specific Aims		
2 Investigating the pathological significance of TSPO expression in the central nervou	1S	
system of a nonhuman primate model of multiple sclerosis	31	
2.1 Introduction	32	
2.2 Methodology	35	
2.2.1 Division of responsibilities		
2.2.2 EAE induction and animal monitoring		
2.2.3 MRI scanning		
2.2.4 Information on the veterinary care of the animals involved		
2.2.5 Description of the procedures for ensuring that discomfort, distress, pain, a	nd	
injury will be limited to that which is unavoidable in the conduct of scientifically s	ound	
research 37		
2.2.6 Description of the use of analgesic, anesthetic, and tranquilizing drugs and		
comfortable restraining devices, where appropriate, to minimize discomfort, distress,		
pain, and injury		
2.2.7 Description of methods of euthanasia to be used and the reasons for its sele	ection 38	

iv

22.9       Multiplex Immunofluorescence       40         22.10       Antibody stripping of previously stained sections       41         22.11       Image Acquisition       42         22.12       Image Acquisition       42         22.13       Lesion analysis       42         22.14       Image quartification       44         22.15       Statistical Analysis       44         23.1       Results		2.2.8	Immunohistochemistry	39
22.10       Antibody stripping of previously stained sections       41         22.11       Multiplex immunohistochemical staining of previously stripped sections       42         22.13       Lesion analysis       42         22.14       Image quantification       44         2.3       Results       44         2.3       Results       46         2.3.1       TSPO is expressed in the meninges, ependyma, and vascular endothelium of control marmoset brain tissue       46         2.3.2       TSPO is expressed in 59% of Iba1+ microglia and macrophages in NAWM and NAGM in healthy primate brain tissue       48         2.3.3       TSPO is highly upregulated in demyclinated T2-hyperintense lesions in marmoset FAE, primarily in association with Iba1+ microglia and macrophages.       52         2.3.4       Temporal changes in TSPO expression.       66         2.3.5       Phenotype of TSPO+ microglia/macrophages in acute FAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in marmoset FAE.       65         2.3.4       Immunophenotype Changes in Chronic Lesions.       67         2.3.9       TSPO is soberved in astrogliosis in the brain and spinal cord of EAE marmosets       70         2.3.10       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE.       72         2.3.12 <td></td> <td>2.2.9</td> <td></td> <td></td>		2.2.9		
2.2.11       Multiplex immunohistochemical staining of previously stripped sections       41         2.2.12       Image Acquisition       42         2.2.13       Lesion analysis       42         2.2.14       Image quantification       44         2.2.15       Statistical Analysis       44         2.3       Results       46         2.3.1       TSPO is expressed in the meninges, cpendyma, and vascular endothclium of control marmoset brain fissue       46         2.3.2       TSPO is expressed in 59% of Iba1+ microglia and macrophages in NAWM and NAGM in healthy primate brain tissue.       48         2.3.3       TSPO is strippily upregulated in demyelinated T2-hyperintense lesions in marmoset EAE, primarily in association with Iba1+ microglia and macrophages.       52         2.3.4       Temporal changes in TSPO expression.       56         2.3.5       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions.       64         2.3.7       TSPO os obcealizes with both "M1" and "M2" phenotype markers in vivo in marmoset EAE.       65         2.3.8       Immunophenotype Changes in Chronic Lesions.       67         2.3.9       TSPO is observed in a strogliosis in the brain and spinal cord of EAE marmosets.       70         2.3.10       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE.       72         2.4.1		2.2.10		
22.12       Image Acquisition       42         22.13       Lesion analysis       42         22.14       Image quantification       44         22.15       Statistical Analysis       44         23.1       TSPO is expressed in the meninges, ependyma, and vascular endothelium of control marmoset brain tissue       46         2.3.1       TSPO is expressed in 59% of Ibal+ microglia and macrophages in NAWM and NAGM in healthy primate brain tissue       48         2.3.3       TSPO is highly upregulated in demyelinated T2-hyperintense lesions in marmoset EAE, primarily in association with Ibal+ microglia and macrophages.       52         2.3.4       Temporal changes in TSPO expression       63         2.3.5       Phenotype of TSPO+ microglia/macrophages in healthy brain       63         2.3.6       Phenotype of TSPO+ microglia/macrophages in neutre EAE lesions       64         2.3.7       TSPO os localizes with both "M1" and "M2" phenotype markers in vivo in marmoset EAE       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       73         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of       73         2.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE       75      <		2.2.11	Multiplex immunohistochemical staining of previously stripped sections	41
22.14       Image quantification       44         22.15       Statistical Analysis       44         2.3       Results       .46         2.3.1       TSPO is expressed in the meninges, ependyma, and vascular endothelium of control marmoset brain tissue       .46         2.3.2       TSPO is expressed in 59% of lbal+ microglia and macrophages in NAWM and NAGM in healthy primate brain tissue       .48         2.3.3       TSPO is highly upregulated in demyelinated T2-hyperintense lesions in marmoset EAE, primarily in association with Ibal+ microglia and macrophages.       .52         2.3.4       Temporal changes in TSPO expression       .63         2.3.6       Phenotype of TSPO+ microglia/macrophages in healthy brain       .63         2.3.6       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       .64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in marmoset EAE       .65         2.3.8       Immunophenotype Changes in Chronic Lesions       .67         2.3.9       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       .70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE.       .78         2.4       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE.       .78         2.4.1		2.2.12		
22.15       Statistical Analysis       44         23       Results       46         2.3.1       TSPO is expressed in the meninges, ependyma, and vascular endothelium of control marmoset brain tissue       46         2.3.2       TSPO is expressed in 59% of Ibal+ microglia and macrophages in NAWM and NAGM in healthy primate brain tissue       48         2.3.3       TSPO is highly upregulated in demyelinated T2-hyperintense lesions in marmoset EAE, primarily in association with Ibal+ microglia and macrophages.       52         2.3.4       Temporal changes in TSPO expression       66         2.3.5       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in marmoset EAE       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.10       TSPO is not expressed by astrocytes in NAWM       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of TSPO expression in soberved in a subset of NeuN+ neurons in marmoset EAE       75         2.3.13       Dim TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE       78         2.4       TSPO in control CNS tissue       79         2.4.1       TSPO in control CNS tissue       79         2.4.1       TSPO in neurons       83		2.2.13	Lesion analysis	42
2.3       Results		2.2.14	Image quantification	44
2.3.1       TSPO is expressed in the meninges, ependyma, and vascular endothelium of control marmoset brain tissue       46         2.3.2       TSPO is expressed in 59% of Iba1+ microglia and macrophages in NAWM and NAGM in healthy primate brain tissue       48         2.3.3       TSPO is highly upregulated in demyelinated T2-hyperintense lesions in marmoset EAE, primarily in association with Iba1+ microglia and macrophages.       52         2.3.4       Temporal changes in TSPO expression.       56         2.3.5       Phenotype of TSPO+ microglia/macrophages in healthy brain       63         2.3.6       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in marmoset EAE       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70         2.3.10       TSPO expression in astrogliosis in the brain and spinal cord of EAE marmosets       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE.       78         2.4       Discussion       79       2.4.1       TSPO expression is marmoset EAE       78         2.4.1       Discussion       79       2.4.1       TSPO expression is marmoset EAE		2.2.15	Statistical Analysis	44
control marmoset brain tissue       46         2.3.2       TSPO is expressed in 59% of Iba1+ microglia and macrophages in NAWM and       48         NAGM in healthy primate brain tissue       48         2.3.3       TSPO is highly upregulated in demyclinated T2-hyperintense lesions in       52         2.3.4       Temporal changes in TSPO expression       56         2.3.5       Phenotype of TSPO+ microglia/macrophages in healthy brain       63         2.3.6       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in       marmoset EAE         marmoset EAE       65       2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70       2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE         marmosets       70       2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of       73         TSPO expression in neurons       marmoset EAE       78       2.4       Discussion       79         2.4.1       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE       78       2.4       Discussion       79         2.4.1       TSPO expression is neare in GLS2-expressing glutamaterg		2.3 Res	ults	5
2.3.2       TSPO is expressed in 59% of Iba1+ microglia and macrophages in NAWM and NAGM in healthy primate brain tissue       48         2.3.3       TSPO is highly upregulated in demyelinated T2-hyperintense lesions in marmoset EAE, primarily in association with Iba1+ microglia and macrophages       52         2.3.4       Temporal changes in TSPO expression       56         2.3.5       Phenotype of TSPO+ microglia/macrophages in healthy brain       63         2.3.6       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in marmoset EAE       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.10       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE.       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE.       78         2.4       Discussion       79       2.4.1       TSPO in neurons       79         2.4.1       TSPO in microglia and macrophages in EAE       80       2.4.3       TSPO in munophenotype in microglia and macrophages       80         2.4.1       TSPO in neurons       83       2.4.6		2.3.1	TSPO is expressed in the meninges, ependyma, and vascular endothelium of	
NAGM in healthy primate brain tissue       48         2.3.3       TSPO is highly upregulated in demyelinated T2-hyperintense lesions in         marmoset EAE, primarily in association with Iba1+ microglia and macrophages       52         2.3.4       Temporal changes in TSPO expression       56         2.3.5       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70         2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of       73         2.3.12       TSPO expression in sobserved in a subset of NeuN+ neurons in marmoset EAE.       75         2.3.13       Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE.       79         2.4.1       TSPO is noterolype in microglia and macrophages       80         2.4.3       TSPO in control CNS tissue       79         2.4.4       Temporal changes in glial TSPO expression.       83         2.4.5       TSPO in astrocytes       83		control		46
2.3.3       TSPO is highly upregulated in demyelinated T2-hyperintense lesions in         marmoset EAE, primarily in association with Iba1+ microglia and macrophages       52         2.3.4       Temporal changes in TSPO expression       56         2.3.5       Phenotype of TSPO+ microglia/macrophages in healthy brain       63         2.3.6       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70         2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of       73         2.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE.       75         2.3.13       Dim TSPO expression is seen in GLS2-expressing glutamatergic neurons but       78         2.4       Discussion       79       2.4.1       TSPO in control CNS tissue       79         2.4.1       TSPO in microglia and macrophages in EAE       80       80       2.4.5       TSPO in astrocytes       83         2.4.5       TSPO in neurons       83				
marmoset EAE, primarily in association with Iba1+ microglia and macrophages.       52         2.3.4       Temporal changes in TSPO expression       56         2.3.5       Phenotype of TSPO+ microglia/macrophages in healthy brain       63         2.3.6       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70         2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of       73         7.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE       73         2.3.13       Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but       74         not Parvalbumin+ interneurons in marmoset EAE       79       2.4.1         2.4.1       TSPO in microglia and macrophages in EAE       80         2.4.3       TSPO in microglia and macrophages       82         2.4.4       Temporal changes in glial TSPO expression       82         2.4.5       TSPO in astrocytes       83         2.4.6<		NAGM		48
2.3.4       Temporal changes in TSPO expression       56         2.3.5       Phenotype of TSPO+ microglia/macrophages in healthy brain       63         2.3.6       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in marmoset EAE       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70         2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of TSPO expression in neurons.       73         2.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE.       75         2.3.13       Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE       78         2.4       TSPO in control CNS tissue       79         2.4.1       TSPO in microglia and macrophages in EAE       80         2.4.3       TSPO in matrocytes       83         2.4.4       Temporal changes in glial TSPO expression       82         2.4.5       TSPO in neurons       83         2.4.6       TSPO in neurons       83         <				
2.3.5       Phenotype of TSPO+ microglia/macrophages in healthy brain       63         2.3.6       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in marmoset EAE       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70         2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of       73         2.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE				
2.3.6       Phenotype of TSPO+ microglia/macrophages in acute EAE lesions       64         2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70         2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of       73         2.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE       73         2.3.13       Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE       78         2.4       Discussion       79       2.4.1       TSPO in notrol CNS tissue       79         2.4.1       TSPO in microglia and macrophages in EAE       80       80       2.4.3       TSPO in microglia and macrophages in EAE       80         2.4.3       TSPO in astrocytes       83       83       2.4.6       TSPO in neurons       83         2.4.4       Temporal changes in glial TSPO expression       83       84       3       33         3.1       Introduction       88       32       4.4       Temporal changes in glial TSPO expres				
2.3.7       TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in marmoset EAE.       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70         2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of TSPO expression in neurons.       73         2.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE       75         2.3.13       Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE       79         2.4.1       TSPO in control CNS tissue       79         2.4.1       TSPO in microglia and macrophages in EAE       80         2.4.3       TSPO in microglia and macrophages in EAE       80         2.4.4       Temporal changes in glial TSPO expression       82         2.4.5       TSPO in neurons       83         2.4.6       TSPO in neurons       83         2.4.7       Future directions       83         2.4.4       Temporal changes in glial TSPO expression       82         2.4.5       TSPO in neurons       83         2.4.6       TSPO in neurons <t< td=""><td></td><td></td><td></td><td></td></t<>				
marmoset EAE       65         2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70         2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of       73         2.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE       73         2.3.13       Dim TSPO expression is seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE       78         2.4       Discussion       79         2.4.1       TSPO in control CNS tissue       79         2.4.2       TSPO in microglia and macrophages in EAE       80         2.4.3       TSPO in meurons       82         2.4.4       Temporal changes in glial TSPO expression       82         2.4.5       TSPO in neurons       83         2.4.6       TSPO in neurons       83         2.4.7       Future directions       84         3       TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 87       81         3.1       Introduction       88       82         3.2       Methodology       91				64
2.3.8       Immunophenotype Changes in Chronic Lesions       67         2.3.9       TSPO is not expressed by astrocytes in NAWM       70         2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of       73         2.3.12       TSPO expression in neurons       73         2.3.13       Dim TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE				
2.3.9       TSPO is not expressed by astrocytes in NAWM				
2.3.10       TSPO is observed in astrogliosis in the brain and spinal cord of EAE       70         2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of       73         2.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE       73         2.3.13       Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE       78         2.4       Discussion       79         2.4.1       TSPO in control CNS tissue       79         2.4.2       TSPO in microglia and macrophages in EAE       80         2.4.3       TSPO immunophenotype in microglia and macrophages       80         2.4.4       Temporal changes in glial TSPO expression       82         2.4.5       TSPO in neurons       83         2.4.6       TSPO in neurons       83         2.4.7       Future directions       84         3       TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 87       3.1         3.1       Introduction       88       3.2         3.2       Methodology       91       3.2.3       PBMC isolation         3.2.5       mRNA extraction       93       3.2.5       mRNA extraction         3.2.6       cDNA synthesis				
marmosets702.3.11Conventional immunohistochemical staining of TSPO suggests evidence of TSPO expression in neurons.732.3.12TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE 75732.3.13Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE782.4Discussion792.4.1TSPO in control CNS tissue792.4.2TSPO in microglia and macrophages in EAE802.4.3TSPO immunophenotype in microglia and macrophages802.4.4Temporal changes in glial TSPO expression822.4.5TSPO in neurons832.4.6TSPO in neurons832.4.7Future directions832.4.6TSPO in neurons832.4.7Future directions843TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1Introduction883.2Methodology913.2.1Division of responsibility913.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry94			1 2 2	70
2.3.11       Conventional immunohistochemical staining of TSPO suggests evidence of         TSPO expression in neurons       73         2.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE       75         2.3.13       Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but       not Parvalbumin+ interneurons in marmoset EAE       78         2.4       Discussion       79       2.4.1       TSPO in control CNS tissue       79         2.4.2       TSPO in microglia and macrophages in EAE       80       80       2.4.3       TSPO in microglia and macrophages in EAE       80         2.4.3       TSPO in munophenotype in microglia and macrophages       80       81       81         2.4.4       Temporal changes in glial TSPO expression       82       82       83         2.4.4       Temporal changes in glial TSPO expression       82       83       83         2.4.5       TSPO in astrocytes       83       83       83       2.4.6       TSPO in neurons       83         2.4.6       TSPO in neurons       83       84       3       TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 87       3.1       11         3.1       Introduction       88       32       Methodology       91       3.2.3 <td></td> <td></td> <td></td> <td>- 0</td>				- 0
TSPO expression in neurons.732.3.12TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE752.3.13Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE.782.4Discussion.792.4.1TSPO in control CNS tissue792.4.2TSPO in microglia and macrophages in EAE802.4.3TSPO immunophenotype in microglia and macrophages802.4.4Temporal changes in glial TSPO expression.822.4.5TSPO in astrocytes832.4.6TSPO in neurons.843TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1Introduction3.2.1Division of responsibility913.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry				70
2.3.12       TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE 75         2.3.13       Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE				
2.3.13       Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE       78         2.4       Discussion       79         2.4.1       TSPO in control CNS tissue       79         2.4.2       TSPO in microglia and macrophages in EAE       80         2.4.3       TSPO immunophenotype in microglia and macrophages       80         2.4.4       Temporal changes in glial TSPO expression       82         2.4.5       TSPO in astrocytes       83         2.4.6       TSPO in neurons       83         2.4.7       Future directions       84         3       TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 87       3.1         3.1       Introduction       88         3.2       Methodology       91         3.2.1       Division of responsibility       91         3.2.3       PBMC isolation       92         3.2.4       TSPO Genotyping       93         3.2.5       mRNA extraction       93         3.2.6       cDNA synthesis       94         3.2.7       Flow Cytometry       94				
not Parvalbumin+ interneurons in marmoset EAE782.4 Discussion792.4.1 TSPO in control CNS tissue792.4.2 TSPO in microglia and macrophages in EAE802.4.3 TSPO immunophenotype in microglia and macrophages802.4.4 Temporal changes in glial TSPO expression822.4.5 TSPO in astrocytes832.4.6 TSPO in neurons832.4.7 Future directions843 TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1 Introduction883.2 Methodology913.2.1 Division of responsibility913.2.2 Selection of subjects913.2.3 PBMC isolation923.2.4 TSPO Genotyping933.2.5 mRNA extraction933.2.6 cDNA synthesis943.2.7 Flow Cytometry94			•	75
2.4 Discussion792.4.1 TSPO in control CNS tissue792.4.2 TSPO in microglia and macrophages in EAE802.4.3 TSPO immunophenotype in microglia and macrophages802.4.4 Temporal changes in glial TSPO expression822.4.5 TSPO in astrocytes832.4.6 TSPO in neurons832.4.7 Future directions843 TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1 Introduction883.2 Methodology913.2.1 Division of responsibility913.2.2 Selection of subjects913.2.3 PBMC isolation923.2.4 TSPO Genotyping933.2.5 mRNA extraction933.2.6 cDNA synthesis943.2.7 Flow Cytometry94				-
2.4.1TSPO in control CNS tissue792.4.2TSPO in microglia and macrophages in EAE802.4.3TSPO immunophenotype in microglia and macrophages802.4.4Temporal changes in glial TSPO expression822.4.5TSPO in astrocytes832.4.6TSPO in neurons832.4.7Future directions843TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1Introduction883.2Methodology913.2.1Division of responsibility913.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry94				
2.4.2TSPO in microglia and macrophages in EAE802.4.3TSPO immunophenotype in microglia and macrophages802.4.4Temporal changes in glial TSPO expression822.4.5TSPO in astrocytes832.4.6TSPO in neurons832.4.7Future directions843TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 87813.1Introduction883.2Methodology913.2.1Division of responsibility913.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry94				
2.4.3TSPO immunophenotype in microglia and macrophages802.4.4Temporal changes in glial TSPO expression822.4.5TSPO in astrocytes832.4.6TSPO in neurons832.4.7Future directions843TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1Introduction883.2Methodology913.2.1Division of responsibility913.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry94				
2.4.4Temporal changes in glial TSPO expression822.4.5TSPO in astrocytes832.4.6TSPO in neurons832.4.7Future directions843TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1Introduction883.2Methodology913.2.1Division of responsibility913.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry94				
2.4.5TSPO in astrocytes832.4.6TSPO in neurons832.4.7Future directions843TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1Introduction883.2Methodology913.2.1Division of responsibility913.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry94				
2.4.6TSPO in neurons.832.4.7Future directions843TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1Introduction883.2Methodology913.2.1Division of responsibility913.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry94				
2.4.7Future directions843TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1Introduction883.2Methodology913.2.1Division of responsibility913.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry94				
3TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis 873.1Introduction3.2Methodology3.2.1Division of responsibility3.2.2Selection of subjects3.2.3PBMC isolation3.2.4TSPO Genotyping3.2.5mRNA extraction3.2.6cDNA synthesis3.2.7Flow Cytometry				
3.1Introduction.883.2Methodology.913.2.1Division of responsibility.913.2.2Selection of subjects.913.2.3PBMC isolation.923.2.4TSPO Genotyping.933.2.5mRNA extraction.933.2.6cDNA synthesis.943.2.7Flow Cytometry.94	2			
3.2Methodology	3			
3.2.1Division of responsibility913.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry94				
3.2.2Selection of subjects913.2.3PBMC isolation923.2.4TSPO Genotyping933.2.5mRNA extraction933.2.6cDNA synthesis943.2.7Flow Cytometry94			e;	
3.2.3       PBMC isolation       92         3.2.4       TSPO Genotyping       93         3.2.5       mRNA extraction       93         3.2.6       cDNA synthesis       94         3.2.7       Flow Cytometry       94				
3.2.4       TSPO Genotyping				
3.2.5       mRNA extraction				
3.2.6cDNA synthesis943.2.7Flow Cytometry94				
3.2.7 Flow Cytometry				
			•	
5.2.5 Stamming with conjugated antibodies and i near flathity stam 700				
3.2.9 Staining marmoset PBMC with conjugated antibodies and MitoTracker Deep				
Red 96			5 5 1	

	3.2.10	Statistical Analysis	
	3.2.11	Protection of Human Subjects	
	3.2.12	Adequacy of Protection Against Risks	
	3.2.13	Inclusion of Women and Minorities	
	3.3 Res	ults	100
	3.3.1	Quantification of TSPO protein expression in monocytes and lymphocytes	102
	3.3.2	Measuring TSPO expression as a biomarker of active disease in multiple	
	scleros		
	3.3.3	TSPO expression is significantly elevated in CD14+CD16+ and CD14+CD1	6-
		ytes in MS patients with active disease when compared to matched controls	
		TSPO expression patterns in marmoset PBMC are comparable to human PB	
		cussion	
	3.4.1	Future directions	117
4		ison of TSPO protein expression and TSPO radioligand-binding in peripheral	
b		nuclear cells in multiple sclerosis	
		oduction	
		hodology	
	4.2.1	Division of responsibility	
	4.2.2	PBMC isolation	
	4.2.3	TSPO genotyping	
	4.2.4	mRNA extraction	
	4.2.5	cDNA synthesis	
	4.2.6	KASP <sup>™</sup> Genotyping Assays	
	4.2.7	PBMC Membrane Isolation	
	4.2.8	Bichinchoic Acid Assay	
	4.2.9	Radioligand binding assay	
	4.2.10	Statistical Analysis	
		ults	
	4.3.1	Assay Optimization	
		TSPO- <sup>3</sup> H-PBR28 binding is lower in MS patients than in genotype-matched	
		donors	
	5	cussion	
		Future directions	
5		ions	
		erview	
		O is expressed in both glia and neurons in marmoset EAE and is expressed	
		antly by CD74+ microglia/macrophages in acute disease	144
		O expression is significantly elevated in multiple subsets of monocytes in th	
		l blood of MS patients and may function as a peripheral biomarker of CNS	-
		tion	146
		R28-binding is decreased in the peripheral blood of MS patients with active	
	disease, despite increases in protein expression		
6	Concluding Summary		
7		их	
'	11	ibodies	
		les	
		w cytometry gating: Normal donors and MS subjects	
		ode for linear mixed effects modeling	
8		ces	

# Index of Figures

index of Figures
<i>Figure 1-1</i> Principles behind PET imaging. 1) Unstable parent nucleus, 2) radioactive decay with emission of positron and neutrino, 3) annihilation resulting in the formation of two 511 keV photons, 4) coincidence detection, when two detectors are simultaneously hit by the photons. Adapted from Kuzhupilly et al. 2010
<i>Figure 1-2.</i> Molecular structure of a first-generation TSPO radioligand (red) and two second-generation radioligands (blue) commonly used in the study of TSPO radioligand binding in vivo. Adapted from images provided by Dr. Bob Innis, NINDS, Bethesda, MD.
<i>Figure 2-1</i> . <i>TSPO, Iba1, and DAPI staining in the a) meninges and b) ependymal cells of a</i>
non-EAE control marmoset that died of gastrointestinal disease
Figure 2-2. TSPO, Iba1, and DAPI staining in the A) NAWM and B) NAGM of a non-EAE
control marmoset that died of gastrointestinal disease
Figure 2-3. TSPO, Iba1, and DAPI staining in a region of normal appearing white matter in
an untreated EAE marmoset, P1. The inset shows two TSPO+Iba1+ cells at higher resolution
<i>Figure 2-4.</i> TSPO, Iba1, and DAPI staining in a region of normal appearing gray matter in an untreated EAE marmoset, J. The inset shows two TSPO+Iba1+ cells at higher resolution. 51
Figure 2-5. TSPO and Iba1 staining with DAB chromogen and hematoxylin counterstain in
the region of the right thalamic nucleus of EAE marmoset A
Figure 2-6. Top panel shows PLP, LFB, Iba1, and TSPO staining of a lesion in the left white
matter tract of an EAE marmoset with antecedent HHV6B infection. Lower panel and
inset show dense TSPO staining in one lesion in the right white matter tract. Iba1 and
TSPO staining was visualized with DAB chromogen
<b>Figure 2-7</b> . Comparison of TSPO and Iba1 staining in three adjacent left white matter tract lesions and hyperintensities identified on postmortem PDW MRI (white arrows). Iba1
and TSPO staining was visualized with DAB chromogen and hematoxylin counterstaining
<i>counterstaining. 54</i> <i>Figure 2-8. Comparison of PLP, LFB, Iba1, and TSPO staining with T2W spinal MRI in the</i>
<i>A)</i> lumbar, <i>B)</i> thoracic and <i>C)</i> cervical spinal cord of an untreated EAE marmoset. Ibal and TSPO staining was visualized with DAB chromogen and hematoxylin
counterstaining
<i>Figure 2-9.</i> T2W MRI studies performed on untreated EAE W marmoset in the 6 months prior to sacrifice indicate two lesions forming in the left optic tract. The upper lesion
(blue arrow) first appeared in early September 2015 and was 5-6 months old at the time
of sacrifice in February. A small circular lesion (white arrow) was first seen in mid-
October and was 3-4 months old at sacrifice. Immunohistochemical stainings for TSPO
and Iba1 with DAB chromogen show noticeably higher expression in the younger lesion.
An ex-vivo T2*-weighted MRI also indicates multiple iron-laden lesions in the anterior
commissure that appear demyelinated on LFB staining and are densely positive for
TSPO
Figure 2-10. A section from an untreated EAE marmoset with over 10 lesions of various ages
as determined by serial MRI, as well as several inflammatory nodules not seen on MRI
(labeled " $N/A$ "). Colors indicate lesion age as follows: Purple = 2-3 mo., Blue = 3-4
mo., $Green = 4-5$ mo., $Yellow = 6-7$ mo., $Orange = 7-8$ mo., $White = Lesion age$
<i>indeterminate.</i>
A) untreated EAE animals ( $n=4$ ) and B) prednisolone-treated EAE animals ( $n=2$ )59

*Figure 2-12.* Plot of ratio of TSPO+Iba+ cells to total Iba1+ population vs. lesion age at time of sacrifice (the number of months prior to sacrifice at which the lesion was *Figure 2-13*. *Multiplex staining of PLP, Iba1, and TSPO in a demvelinated optic tract lesion,* showing dense infiltrates of predominantly TSPO+ macrophages and microglia in an Figure 2-14. Multiplex staining of demyelinated optic tract lesions in animal M with dense TSPO and Iba1 staining (not shown) indicates TSPO to co-localize with both CD163 and CD74. Panel A shows distinct phagocytic cell populations identified by CD163 (purple) and CD74 (red). The colocalization of TSPO (green) and CD163 (purple) can be seen in panel B. The colocalization of TSPO (green) and CD74 (red) can be seen in *Figure 2-15.* Comparison of fractions of Iba1+ cells co-expressing TSPO and other markers of interest (CD74, CD163, Arg1, and MRP14) in a control marmoset and 3 acute lesions from two EAE marmosets. Two lesions were identified in animal M, while one lesion was identified in animal P1......67 *Figure 2-16.* A) Percentages of Iba1+ cells that are TSPO+CD74+ (orange), TSPO+CD74-(yellow), and CD74+TSPO- in lesions of different ages (n=13) from different untreated EAE animals (M, P, B) and control marmoset P0.B) Percentages of Iba1 + cells that are TSPO+MRP14+ (orange), TSPO+MRP14- (yellow), and MRP14+TSPO- in lesions of *Figure 2-17. Odds ratio vs. lesion age for co-expression of TSPO and A) CD74 or B) MRP14*.....69 Figure 2-18. Double immunohistochemical staining for GFAP (Vector blue) and TSPO (DAB) shows a large number of reactive astrocytes in the periphery of a lesion in the right white matter tract of marmoset A. However, the brown TSPO staining is predominantly restricted to GFAP- cells in the center of the lesion. The insets show the cell bodies of two representative GFAP+ astrocytes, which are negative for TSPO.....70 Figure 2-19. Panel A shows a section of the cervical spinal cord with immunofluorescent staining identifying multiple bright foci distributed throughout the white matter. Panel B shows isolated GFAP and DAPI staining for this section, where the dominant color in these bright foci is found to be GFAP staining (in pink). Inset C shows a region of the white matter at higher magnification, where two dense clusters of GFAP staining are seen, indicating two distinct foci of astrogliosis. Panels D and E shows one of these gliotic lesions at higher magnification, where TSPO is observed both in an Iba1+ phagocytic cell (white arrow), and a Iba1-GFAP+ reactive astrocyte (red arrow). ......71 Figure 2-20. Prominent astrogliosis (GFAP staining in purple) and microglial accumulation (Ibal staining in red) is observed in the optic chiasm of EAE marmoset A, as shown in panel A. While TSPO in the core of the nodule is predominantly associated with microglia and macrophages expressing Iba1 (red), TSPO expression lesion is also seen in reactive astrocytes, which are Iba1- but GFAP+ (as indicated by white arrows in panels B and C).....72 Figure 2-21. Conventional immunohistochemical staining of TSPO with DAB and hematoxylin counterstaining on the surface of the third ventricle superior to the optic chiasm of marmoset A. Inset shows a single large cell with a large nucleus and diffuse *Figure 2-22. A)* Section of the lumbar spinal cord of Marmoset C, an untreated EAE marmoset, stained by conventional immunohistochemistry for TSPO. Right inset shows region of lumbar gray matter with numerous stellate and crescent-shaped cells expressing TSPO, likely microglia and macrophages. B) Section of the thoracic spinal

cord from Marmoset C, stained by conventional immunohistochemistry for TSPO, showing dense TSPO staining extending from the pial surface of the white matter. Right inset shows region of thoracic gray matter showing an extremely heterogeneous pattern of TSPO staining, including TSPO+ vascular structures and large cells resembling neurons, as well as TSPO+ glial cells	
<i>Figure 2-23.</i> Comparison of TSPO and NeuN staining in a region of gray matter in EAE marmoset A, which shows the absence of TSPO expression (yellow) in NeuN+ cells (pink) in a region of gray matter superior to the corpus callosum	5
<ul> <li>Figure 2-24. Comparison of TSPO and NeuN staining in a region of cortical gray matter in EAE marmoset A, which shows diffuse cytoplasmic TSPO expression in NeuN+ cells76</li> <li>Figure 2-25. A) Fractions of NeuN+ cells co-expressing TSPO in the right and left cortical</li> </ul>	5
gray matter of control marmoset P0 and EAE marmosets P1, B, J, P2, and A. B) Mean fraction of NeuN+ cells co-expressing TSPO across all areas of interest in control marmoset P0 and EAE marmosets P1, B, J, P2, and A	7
Figure 2-26. Comparison of TSPO staining with Parvalbumin and GLS2 staining after stripping and re-staining a previously stained section of brain tissue from EAE marmoset A. Diffuse cytoplasmic TSPO staining is observed in GLS2+ glutamatergic neurons (inset, white arrows) but not parvalbumin-producing interneurons (inset, red arrows)	3
<i>Figure 3-1.</i> Representative gating of fixed peripheral blood mononuclear cells (PBMC) isolated from an MS patient $(n=1)$	ļ
<ul> <li>Figure 3-2. Gating strategy for isolated of CD3+ lymphocytes in human PBMC</li></ul>	3
<i>Figure 3-4.</i> Quantification of TSPO staining intensity in monocyte subsets by flow cytometry. 105	
Figure 3-5. Comparison of specific TSPO staining intensity vs. nonspecific isotype control staining (arrow) vs. signal intensity of unstained cells in CD3+ lymphocytes, CD14+CD16- monocytes, and CD16+CD14- monocytes in a representative MS patient and a representative normal donor. The position of the isotype control peak is indicated by the arrow in 5a-5d and 5f-5i, but in some cases, is completely overlapped by the autofluorescence peak of the unstained cells	ł
Figure 3-6. A) Comparison of MFI of TSPO staining in CD14+CD16+, CD14-CD16+, and	
CD14+CD16- monocytes in all MS patients (n=10, p<0.0001). B) Comparison of MFI of TSPO staining in CD14+CD16+, CD14-CD16+, and CD14+CD16- monocytes in all normal donors (n=10, p<0.0001). C) Comparison of MFI of TSPO staining in all subjects in CD14+CD16+, CD14-CD16+, and CD14+CD16- monocytes in all subjects (n=20, p<0.0001)	!
<i>Figure 3-7.</i> Comparison of median fluorescent intensity (MFI) of TSPO staining in distinct cell populations in MS patients with CEL and matched healthy donors	
<i>Figure 3-8.</i> Comparison of MFI of TSPO staining in CD14+CD16+, CD14-16+, and CD14+CD16- monocyte subsets in MS patients (MS, red) and normal donors (ND, blue). * denotes a p-value <0.05	
<i>Figure 3-9.</i> Comparison of MFI of TSPO, HLA DR, CD86, and HSP60 staining in CD14+CD16+, CD14-16+, and CD14+CD16- monocyte subsets in MS patients (MS, red) and normal donors (ND, blue). * denotes a p-value <0.05	!
<i>Figure 3-10.</i> TSPO vs. HLA DR, CD86, or HSP60 expression in CD14+CD16- (classical), CD14+CD16+ (intermediate), and CD14-CD16+ (non-classical) monocyte subsets in healthy controls (blue) and MS patients (pink). * denotes a p-value <0.05. B) P-values	

# **Index of Tables**

<i>Table 2-1. Table summarizing gender, disease status, viral inoculation status, treatment</i>
status, age at baseline, and disease duration, of all animals examined in this study36
Table 2-2. Sum-of-Squares values ("Sum Sq"), mean square values ("Mean Sq"), numerator
degree of freedom (nDF), denominator degrees of freedom ("dDF"), F-statistics, and p
values for the parameters of treatment (steroid-treated vs. untreated) and lesion age at
time of sacrifice ("factor(MonthStop)"). * denotes a p-value < 0.05
<i>Table 2-3.</i> Estimate of the fraction of Iba1+ cells expressing TSPO in a newly formed lesion
("Intercept") followed by estimates of the effects associated with steroid treatment and
each time point of lesion formation relative to the time of sacrifice. * denotes a p-value
< 0.05. ** denotes a p-value $< 0.01.$
<i>Table 2-4.</i> Estimate of the fraction of Iba1+ cells expressing TSPO in a newly formed lesion
("Intercept"), followed by estimates of the effects associated with steroid treatment and
each time point of lesion formation relative to the time of sacrifice, following alternative
parameterization. * denotes a p-value <0.05. ** denotes a p-value <0.01
<i>Table 3-1.</i> Demographics (gender, ethnicity, age), MS subtype, presence of contrast-
enhancing lesions (CEL) and treatment status of MS patients included in this study92
<b>Table 3-2.</b> Demographics (gender, ethnicity, and age) of normal donor included in this study.
<i>Tuble 3-2. Demographies (genuer, ennietty, und age) of normal uonor included in this study.</i>
Table 4-1. Genotype, demographics (gender, ethnicity, age), MS subtype, presence of
contrast-enhancing lesions (CEL) and treatment status of MS patients included in this
study
<i>Table 4-2.</i> Genotype, demographics (gender, ethnicity, and age) of normal donor included in
<i>Table 7-1.</i> A complete list of validated primary antibodies used for the phenotyping of
microglia and macrophages expressing TSPO in inflammatory lesions in the marmoset
<i>CNS.</i> A rabbit monoclonal antibody was used to detect TSPO, while guinea pig anti-
<i>Ibal was used to detect activated microglia and macrophages. A mouse anti-PLP</i>
antibody was used to stain myelin and define areas of demyelinating inflammation.
Anti-CD74 was used to identify microglia and macrophages with functional capacity for
antigen presentation, while anti-MRP14 was used to detect phagocytes in a stage early
activation. Anti-CD163 and anti-Arginase 1 were used to detect microglia and
macrophages with a tolerogenic function
<b>Table 7-2</b> . A complete list of secondary antibodies used for the phenotyping of microglia and
macrophages expressing TSPO in inflammatory lesions in the marmoset CNS. Primary
antibodies are described in
Table 7-3. A complete list of validated primary antibodies used for the phenotyping of         TGDO:       TGDO
neurons and astrocytes expressing TSPO in inflammatory lesions in the marmoset CNS.
A rabbit monoclonal antibody was used to detect TSPO, while guinea pig anti-NeuN and
chicken anti-NFH were used to detect neuronal nuclei and axons, respectively. Mouse
anti-CNPase, anti-GFP and anti-S100A antibodies were used to detect astrocyte cell
bodies and processes. A rat anti-MBP antibody was used to stain myelin and define
areas of demyelinating inflammation. Mouse $IgG3$ anti-PCNA was used to detect
proliferating cells. Antibodies to lectin and collagen IV were used to detect endothelial
cells and the subendothelial basement membrane, respectively152

Table 7-4. A complete list of secondary antibodies used for the phenotyping of neurons and
astrocytes expressing TSPO in inflammatory lesions in the marmoset CNS. Primary
antibodies are described in153
Table 7-5. A summary of the markers used to identify and determine the immunophenotype
of microglia and macrophages in healthy and EAE marmoset brain in this study,
including target name, functional association, and the clone and host isotype of the
antibody used to the detect the marker154
Table 7-6. Antibodies used for the measurement of specific TSPO-antibody staining, CD86-
antibody staining, HLA-antibody staining and HSP60-antibody staining in $CD3+$ ,
CD14+, and CD16+ PBMC155
Table 7-7. Antibodies used for the measurement of nonspecific staining associated with
isotypes of antibodies used to detect TSPO, CD86, HLA, and HSP60 in CD3+, CD14+,
and CD16+ PBMC

# List of abbreviations

MS	Multiple sclerosis
CNS	Central nervous system
RRMS	Relapsing-remitting multiple sclerosis
SPMS	Secondary progressive multiple sclerosis
PPMS	Primary progressive multiple sclerosis
NAWM	Normal-appearing white matter
NAGM	Normal-appearing gray matter
EAE	Experimental autoimmune encephalomyelitis
TSPO	Translocator protein, or the 18 kDa translocator protein (TSPO)
PBR	Peripheral benzodiazepine receptor
PET	Positron emission tomography
DVR	Distribution volume ratio
SUV	Standardized uptake value
PBR28	
11C-PBR28	Acetamide, N-((2-(methoxy-11C)-phenyl)methyl)-N-(6-phenoxy-3- pyridinyl)-, a ligand for TSPO
	A radioconjugate composed of TSPO ligand PBR28, conjugated to the carbon radioisotope C11
PK11195	
	1-(2-Chlorophenyl)-N-methyl-N-(1-methylpropyl)-3- isoquinolinecarboxamide
11C- PK11195	
	A radioconjugate composed of TSPO ligand PK1195, conjugated to the carbon radioisotope C11
DPA-714	
	N,N-diethyl-2-[4-(2-fluoroethoxy)phenyl]-5,7-dimethylpyrazolo[1,5- a]pyrimidine-3-acetamide
18F-DPA-714	
	A radioconjugate composed of TSPO ligand DPA-714, conjugated to the fluoride radioisotope 18F
18F-GE-180	A radioconjugate composed of TSPO ligand GE-180, conjugated to the fluoride radioisotope 18F
DAPI	4',6-diamidino-2-phenylindole
PLP	Proteolipid protein
MOG	Myelin oligodendrocyte glycoprotein
GFAP	Glial fibrillary acidic protein
Iba1	Ionized calcium binding adaptor molecule 1
NeuN	Neuronal nuclear antigen (or hexaribonucleotide binding protein-3)
MHC	Major histocompatibility complex
HLA	Human leukocyte antigen
CD74	Cluster of differentiation 74

MRP14	Myeloid-related protein 14
CD163	Cluster of differentiation 163 (or hemoglobin-haptoglobin scavenger
	receptor protein)
PBMC	Peripheral blood mononuclear cells
VS780	Vital stain 780
CD14	Cluster of differentiation 14
CD16	Cluster of differentiation 16
FCγIII	Fc gamma receptor 3
CD3	Cluster of differentiation 3
HSP60	Heat shock protein 60
FSC	Forward scatter
SSC	Side scatter
FACS	Fluorescence-activated cell sorting

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this collaboration and has contributed significantly to the structure of this thesis. I also met regularly with Dr. Ruma Raha-Chowdhury, an associate director of graduate studies who reviewed my progress as a PhD student, and Dr. Susan Wan, a director in the Cambridge Clinical School that oversees the training of clinical students. Above all, I would like to thank my family for their tireless support and advice. **1** Introduction: The significance of TSPO as a diagnostic and therapeutic target in inflammatory disease and potential applications in the treatment of neuroinflammation

# 1.1 Overview

The 18-kDa Translocator Protein (TSPO), a putative cholesterol transporter that is weakly expressed in the healthy central nervous system (CNS), is strongly upregulated in CNS injury and thus of interest as a biomarker of neuroinflammation <sup>1–3</sup>. A transmembrane protein localized predominantly in the outer mitochondrial membrane, TSPO is abundantly expressed in activated microglia and macrophages in CNS lesions <sup>1–4</sup>. TSPO also binds and transports a range of PET-active radioligands <sup>5–9</sup>, and a number of TSPO ligands are known to exert neuroprotective effects at pharmacologic doses <sup>10–13</sup>. Numerous studies have shown that TSPO is highly expressed in the inflammatory lesions in multiple sclerosis (MS) <sup>4,14–16</sup> and animal models of MS, such as experimental autoimmune encephalomyelitis (EAE) in rodents<sup>17,18</sup>. Together these studies suggest that TSPO may represent both a biomarker of inflammation and a therapeutic target for the treatment of MS <sup>1,11</sup>. However, the mechanism by which TSPO ligands exert neuroprotective effects is not completely understood. Moreover, rodent models of EAE pose numerous limitations in the modeling of MS <sup>19–22</sup>.

Marmosets are New World monkeys of interest for their neurological similarity to humans, which renders these animals a powerful model of neuroinflammatory disease <sup>23–25</sup>. Most crucially, marmosets exhibit white matter/grey matter ratios similar to those of humans, which facilitate the magnetic resonance imaging (MRI) of white matter disease, providing a useful reference for PET imaging of inflammation <sup>25</sup>. This study seeks to compare TSPO expression in MS and marmoset EAE by characterizing the immunophenotype of TSPO-expressing cells in the central nervous system and peripheral blood. We hypothesize that TSPO is expressed at detectable levels in marmoset EAE, rendering the marmoset a useful model for the preclinical evaluation of TSPO imaging and modulation.

To test this hypothesis, we will first characterize patterns of TSPO protein expression in MS and EAE, using flow cytometry to analyze isolated human and primate peripheral blood mononuclear cells (PBMC) and immunohistochemistry to analyze CNS tissue sections from inflammatory brain lesions at various stages of progression. Next, we will compare patterns of TSPO protein expression in the peripheral blood with TSPO ligand-binding in these same tissues using autoradiography to detect binding by TSPO radioligands. Addressing these aims will help to characterize the dynamics of TSPO expression in the context of neuroinflammation and MS and potentially yield insight into avenues for therapeutic intervention and prospective investigation.

#### 1.2 Background and Significance

# 1.2.1 The 18 kDa translocator protein (TSPO)

The 18-kDa translocator protein, or TSPO, is a transmembrane protein located in the outer mitochondrial membrane, where it is thought to transport cholesterol to the inner mitochondrial membrane for steroid synthesis <sup>26,27</sup>. TSPO contains 5 alpha-helices spanning the outer mitochondrial membrane, and a cytoplasmic C-terminal domain with tyrosine and arginine residues that are critical for cholesterol binding, as determined by site-directed mutagenesis <sup>27</sup>. It is closely associated with the adenosine nucleotide translocase and voltage-dependent anion channel (VDAC), with which it forms a constituent of the mitochondrial permeability transition pore (MPTP) <sup>27</sup>.

In normal physiological conditions, the transporter is highly expressed in steroidogenic tissues, in particular, the testes, the adrenal glands, and the liver<sup>27–31</sup>. In addition to its role in steroidogenesis, it is implicated in a broad spectrum of biological processes, ranging from proliferation to apoptosis <sup>32</sup>. Increases in TSPO expression have been detected in peripheral macrophages in obesity and atherosclerosis <sup>33–40</sup> and tumor macrophages in malignancy <sup>41</sup>. In the healthy CNS, its expression is low <sup>1,6,11</sup>. However, TSPO become highly expressed in activated microglia and macrophages, as well as peripheral blood mononuclear cells (PBMC), and has also been observed in reactive astrocytes <sup>12,42–44</sup>. Consequently, TSPO expression in the CNS increases in conditions of neuroinflammation and immune cell infiltration <sup>45–49</sup>. It is this increase in TSPO in CNS injury that renders it a promising neuroimaging target.

#### 1.2.2 Positron-Emission Tomography (PET) Imaging

While magnetic resonance imaging (MRI) is considered the clinical gold standard in the diagnosis and monitoring of neurological injury and disease, images obtained by MRI principally reflect structural changes in CNS tissue, for example, a decrease in fat content due to the loss of myelin lipid content in inflammatory demyelination, or an increase in water content due to tissue edema <sup>50</sup>. Changes detected on MRI do not directly reflect the activation of immune cells that mediate inflammatory activity, and consequently, this method of imaging is not reflective of the cellular processes that precede and likely precipitate these structural changes <sup>51</sup>. Positron-emission tomography (PET) is an imaging technique that

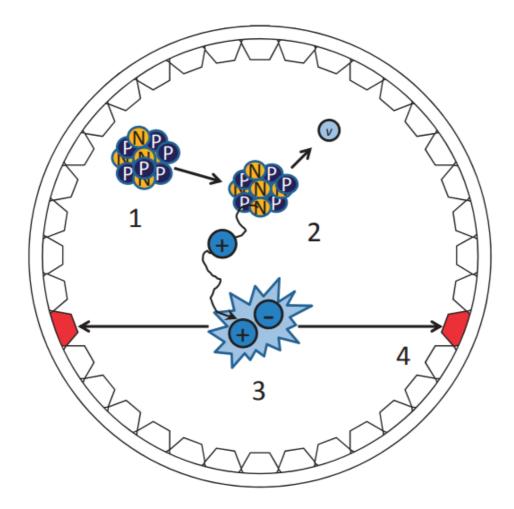
detects gamma rays produced when high-energy positron particles collide and decay to a lower energy state <sup>52–55</sup>.

Specifically, a radionuclide decays and emits an anti-electron, also called a positron, which has the same mass as an electron but is positively charged <sup>52–55</sup>. If the positron subsequently collides with a shell electron in an adjacent atom, it results in a process called annihilation, in which the combined mass of the electron and positron is converted to energy, most commonly producing two 511 keV gamma ray photons <sup>56</sup>. This process is described by the following formula, where e- represents an election, e+ represents a positron, and  $\gamma$  represents each of the resulting photons:

$$e^- + e^+ \rightarrow \gamma + \gamma^{57,58}$$

In a frame of reference in which there is no net linear momentum before collision, the resulting gamma photons travel in opposite trajectories, such that linear momentum is conserved. The photons are subsequently detected by one of many detectors arranged in a ring around the subject. Each of these detectors consists of a scintillator, which produces a burst of light upon gamma photon collision, and a photomultiplier tube or silicon avalanche photodiode, which convert photon incidence into an electrical signal <sup>57,58</sup>. If two photons contact separate detectors near simultaneously, it is then possible to determine a straight line of coincidence, or line or response, between the sites of photon detection, along which the origin, or site of annihilation may be localized <sup>58</sup>.

Positron-emitting radionuclides can be attached to drug-binding ligands (radioligands), which can then be used to bind and detect specific cell markers and molecules within the body <sup>3,29,57,59</sup>. By localizing the site of annihilation in space, the system is able to localize the site of the radionuclide and generate a three-dimensional image showing the distribution and accumulation of the tracer within the subject <sup>56</sup>.



**Figure 1-1** Principles behind PET imaging. 1) Unstable parent nucleus, 2) radioactive decay with emission of positron and neutrino, 3) annihilation resulting in the formation of two 511 keV photons, 4) coincidence detection, when two detectors are simultaneously hit by the photons. Adapted from Kuzhupilly et al. 2010.

There is particular interest in the use of PET imaging to visualize probes targeting molecular markers that are altered in neuroinflammatory environments  $^{2,4,47,60-62}$ . This carries the potential of visualizing the activation of cellular mediators of inflammation and monitoring the cellular processes that lead to structural damage before further damage can occur  $^{2,4,47,60-62}$ . It may provide a more sensitive means of detecting inflammatory activity for the purpose of diagnosis and initiation of disease-modifying therapy before inflammatory damage and resultant neurological deficits develop  $^{63-66}$ . Alternatively, it may be used to determine how effectively a patient responds to a particular therapy – a treatment regimen may be maintained or changed on the basis of whether inflammatory processes in the brain are effectively controlled  $^{62-66}$ .

[11C]-MeDas is a stilbene derivation that binds specifically to myelin and can be visualized by PET for the in vitro imaging of CNS myelination and demyelination <sup>67</sup>. However, there is also interest in establishing markers for the early stages of inflammatory lesion progression, before significant anatomic changes have developed <sup>63–66</sup>. Targets for the imaging of lymphocytes have included CD20 for the imaging of B lymphocytes <sup>68</sup> and interleukin-2 for the imaging of T lymphocytes <sup>69</sup>. Other studies have examined cytokines such as cyclooxygenase 1 and 2 <sup>70,71</sup>, matrix metalloproteinase <sup>72</sup>, and targets specific for endothelial adhesion, such as vascular cell adhesion molecule (VCAM)-1 and vascular adhesion protein-1 <sup>73</sup>.

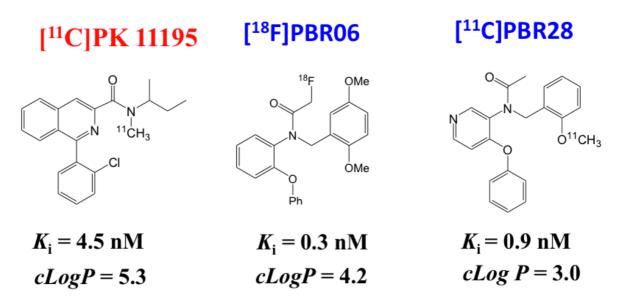
Other commonly targeted processes include microglial activation and astrogliosis. In both cases, quiescent cells become activated, undergoing morphological changes and expressing a range of inflammatory markers <sup>44,74</sup>. One marker that is common to both a subset of activated microglia and reactive astrocytes is TSPO <sup>1,4,16,42,75</sup>.

# 1.2.3 PET imaging of TSPO expression

TSPO was originally named the peripheral benzodiazepine receptor because it binds diazepam with high affinity in peripheral tissues <sup>28,29,76</sup>. Numerous TSPO ligands have been labeled with radionuclides for TSPO-PET imaging. One such ligand is <sup>11</sup>C-PK11195 (N-butan-2-yl-1-(2-chlorophenyl)-N-methylisoquinoline-3-carboxamide), an isoquinoline carboxamide derivative <sup>8,77</sup>. However, the clinical utility of this ligand has been limited by several factors, including a high nonspecific-binding, resulting in a low signal-to-noise ratio, low brain bioavailability, and the short half-life of its radioisotope, <sup>11</sup>C <sup>61</sup>.

Other radioligands include <sup>11</sup>C-PBR28, a second-generation ligand with a significantly improved signal-to-noise ratio relative to <sup>11</sup>C-PK11195. A more recently studied ligand is [1<sup>8</sup>F]DPA-714 (N,N- diethyl-2-(2-(4-(2-[18F]fluoroethoxy)phenyl)-5,7-dimethylpyrazolo[1,5-a]pyrimidin-3-yl)acetamide) as or DPA-714, which is a radioligand with a significantly higher bioavailability and signal-to-noise ratio <sup>63,75</sup>. Despite the advantages of these newer probes, the clinical utility of second-generation TSPO radioligands has also been limited by genetic variation in binding capacity <sup>77,78</sup>. Unlike first-generation ligands like <sup>11</sup>C-PK11195, second-generation TSPO ligands display a trimodal binding tendency, binding with high, "mixed", and low affinity in different individuals, dependent upon their genotype at the single nucleotide polymorphism site rs6971<sup>77,78</sup>. The conversion

of a cytosine nucleotide to a thymine nucleotide at this locus results in the substitution of a small, hydrophobic alanine residue with a polar threonine residue at amino acid 147, resulting in a marked reduction in the binding affinity of most TSPO radioligands <sup>77</sup>. Individuals carrying one copy with this substitution show reduced PET signals with PBR28. Individuals carrying two copies with the substitution showed no detectable TSPO binding to PBR28 *in vivo* or *in vitro* <sup>77</sup>. Approximately 50% of Caucasians carry at least one copy of the minor allele <sup>77,78</sup>.



*Figure 1-2.* Molecular structure of a first-generation TSPO radioligand (red) and two second-generation radioligands (blue) commonly used in the study of TSPO radioligand binding in vivo. Adapted from images provided by Dr. Bob Innis, NINDS, Bethesda, MD.

# 1.2.4 Multiple Sclerosis

Multiple sclerosis is a neuroinflammatory disorder characterized by the infiltration of activated immune cells into the central nervous system, resulting in regions of focal axonal demyelination <sup>79,80</sup>. Radiologically, it is classically associated with the appearance of white matter lesions disseminated in time and space by magnetic resonance imaging (MRI) <sup>81</sup>. Clinically, it is the leading cause of non-traumatic disability in young adults <sup>82,83</sup>, affecting approximately 250,000-350,000 people in the U.S. <sup>84,85</sup>. While its clinical manifestation is variable, MS most commonly exhibits a relapsing-remitting time, in which periods of disability are interspersed with periods of recovery <sup>86,87</sup>. However, approximately 50-60% of patients enter a secondary progressive stage (SP-MS) in the late phases of the disease<sup>21</sup>, in which patients experience the progressive accumulation of disability without remission <sup>88</sup>. A small percentage of patients develop a primary progressive disease course, in which patients experience disease onset followed by the immediate and often rapid progression of disability, without ever experiencing remission <sup>88–90</sup>.

#### **1.2.5** Clinical Manifestations

In early relapsing-remitting MS, focal demyelination of the white matter produces similarly focal neurological deficits, typically motor deficits and visual impairments <sup>89</sup>. For instance, patients may perceive visual disturbances resembling flashing lights, the result of spontaneous electrical discharges following the loss of saltatory conduction <sup>89,91</sup>. Lesion formation is also observed in the brain stem and spinal cord <sup>81</sup>. Lesions of the brainstem may result in impaired swallowing and speech, while lesions of the spinal cord typically produce weakness and spasticity <sup>89</sup>. Lesions of the lower spinal cord are generally associated with bladder dysfunction, such as retention and incontinence, and sexual dysfunction. Urinary symptoms have also been found to be associated with pontine lesions <sup>91</sup>, while sexual dysfunction has been found to correlate with insular lesions in female patients <sup>92</sup>. In later stages of the disease, motor deficits progress from focal losses to full paresis and disability<sup>93</sup>.

MS is also observed to affect the gray matter <sup>89,94–97</sup>. Gray matter involvement may also be seen in the form of cortical demyelination, and is thought to be responsible for symptoms of fatigue and cognitive impairment <sup>89,94–97</sup>. Ultimately, irrespective of clinical course, fatigue remains the most commonly reported symptom of MS, and many patients also experience depression and cognitive symptoms, such as poor concentration and impaired executive function <sup>89,98</sup>.

## 1.2.6 Radiological manifestations

Magnetic resonance imaging (MRI) is considered the most sensitive imaging technique for the detection of demyelination in the CNS <sup>81,99</sup> and is traditionally viewed as the gold standard imaging modality for the diagnosis and monitoring of MS <sup>100</sup>. The importance of MRI in MS diagnosis is indicated by the 2017 revised McDonald criteria, which requires objective detection of demyelinated CNS lesions disseminated in time and space by MRI, or lesions disseminated in space in the presence of oligoclonal bands in the CSF <sup>101</sup>. Radiologically, acute disease is classically characterized by T2 hyperintense or gadolinium-enhancing lesions of the CNS white matter, most frequently affecting the optic nerves, spinal cord, brain stem, and cerebellum <sup>81,89,101</sup>. Lesions of the cerebral hemispheres are typically periventricular, forming near the lateral ventricles and corpus callosum <sup>89</sup>. As lesions progress over time, a lesion may become irreversibly demyelinated, resulting in the visualization of a "black hole" lesion on T1-weighted MRI <sup>102–105</sup>.

## 1.2.7 Genetic, environmental, and geographic risk factors

Like most diseases of autoimmune etiology, MS occurs predominantly in women, who constitute approximately 70% of all MS patients <sup>106</sup>. Primary progressive disease, in contrast, is more common in male patients and is associated with worse prognoses in men <sup>88</sup>. Genetic risk factors are also implicated, for example, major histocompatibility complex type II (MHC-II) haplotypes HLA-DR15 and DQ6 <sup>107–110</sup>. Other closely associated genotypes include DRB1\*1501, DRB5\*0101, DQA1\*0102, and DQB2\*0602 <sup>89,111</sup>, with HLA-DRB1\*1501 being the single most closely linked genotype <sup>112,113</sup>. Other risk factors include sunlight exposure, vitamin D deficiency, and living at high latitudes, as the incidence of MS increases with distance from the equator. Vitamin D is an immunomodulator that is crucial to the function of both innate immunity and adaptive immunity, and thus, its deficiency is thought to contribute to immune dysregulation and increased risk of autoimmune phenomena <sup>114,115</sup>. Its role in the pathogenesis of MS is supported by the increase in MS prevalence with distance from the equator, with increased rates of MS at higher latitudes where sunlight is less direct and vitamin D deficiency occurs more frequently <sup>116</sup>. At tropical latitudes, for example, the frequency of MS is only 5-6 per 100,000 <sup>85</sup>. In the northern hemisphere, in contrast, the frequency is 30 per 100,000 in central Europe and North America <sup>85</sup>. An increased frequency of 60 or more per 100,000 is seen in northern England and Scotland <sup>85</sup>. A similar pattern is seen in the southern hemisphere – the frequency of MS in central Australia is roughly 30 per 100,000 people <sup>85</sup>. In southeast Australia, in contrast, 60 or more per 100,000 people are affected <sup>85</sup>. It is thought that the relative lack of direct sun exposure at these latitudes results in decreased vitamin D levels, leading to immune dysregulation <sup>85</sup>. Because vitamin D is required for an array of immune cell functions and MHC-II is required for the presentation of endocytosed extracellular antigens by antigen-presenting cells, both associations ultimately point to the role of immune dysregulation in MS pathogenesis.

This hypothesis is further corroborated by evidence that the development of MS may be linked to immunologic exposure. Studies of MS patient blood have detected the presence of expanded clonal B cell populations upon exposure to common viruses, such as cytomegalovirus (CMV) or Epstein-Barr virus (EBV)<sup>117,118</sup>, thus implicating these viruses as potential infectious triggers in MS pathogenesis. Epstein-Barr virus, in particular, has a marked association with MS. The virus is a human herpes virus that has been observed to demonstrate a tropism for B lymphocytes, subsequently establishing a latent infection in memory B cells that predisposes infected subjects towards lymphoproliferative disorders associated with increased risk of MS. Progression to infectious mononucleosis typically occurs in adolescence or adulthood and is associated with a several-fold increase in risk of MS <sup>119–121</sup>. The finding of B cell involvement coupled to the increased rates of positive EBV serology in MS patients heavily imply that environmental exposures such as antecedent viral infections may contribute to immune dysregulation, predisposing the immune system to inappropriate reactions such as those seen in autoimmunity <sup>113</sup>.

#### 1.2.8 Pathogenesis of MS

The pathogenesis of multiple sclerosis is not completely understood. Demographic and epidemiological studies suggest the development of MS requires the presence of one or more environmental triggers precipitating immune dysregulation in genetically susceptible individuals <sup>21,122</sup>. Fundamentally, the progression of disability is understood to be the product of an autoimmune process, in which the sensitization of the immune system to self-antigens,

in this case, myelin antigens, leads to subsequent episodes of inflammatory demyelination. This process appears to depend on several crucial immunological processes to occur, among them:

- the presentation of myelin antigen by dendritic cells in the spleen and lymph nodes <sup>123,124</sup>;
- the activation of autoreactive Th1 and Th17 lymphocytes by antigen-presenting dendritic cells in the periphery <sup>125,126</sup>
- 3. the permeabilization of the blood-brain barrier facilitating the entry of self-reactive peripheral immune cells into the brain parenchyma <sup>123</sup>
- 4. the release of pro-inflammatory cytokines and reactive oxygen species by activated immune cells, resulting in direct damage to the myelin sheath <sup>127</sup>.

The ultimate outcome of this disease process is inflammatory damage of the CNS, involving the loss of oligodendrocytes, extensive axonal pathology, and focal neurological deficits <sup>127</sup>. The axonal demyelination eventually disrupts the saltatory conduction of action potentials along the length of the demyelinated nerve fiber, impeding the transmission of nerve impulses by reducing conduction velocity and increasing conduction time <sup>128</sup>. In the initial stages of lesion development, the loss of saltatory conduction may be compensated by the upregulation of sodium channels <sup>129,130</sup>. Typically localized at the nodes of Ranvier, sodium channels become increased in number and are redistributed throughout the membrane of the demyelinated axon, increasing conduction capacity<sup>129</sup>. This mechanism of compensation maintains the electrical transmission of nerve impulses, but is hypothesized to do so at a higher energy cost <sup>130–133</sup>, resulting in fatigue, one of the most commonly reported symptoms among MS patients<sup>89</sup>. As demyelination becomes more extensive, the increase in conduction capacity no longer constitutes sufficient compensation for the loss of saltatory conduction, and electrical transmission is fully disrupted <sup>89,134,135</sup>. The loss of nerve impulse conduction results in a diverse and heterogeneous array of clinical manifestations, depending on the anatomical location of the lesions involved <sup>89,93</sup>.

However, the causal relationships between these events—and the temporal sequence in which they occur—all remain controversial. Ultimately, many cell types are implicated in the initiation and maintenance of chronic neuroinflammation leading to disability in MS.

## 1.2.9 Experimental autoimmune encephalomyelitis in the common marmoset

Experimental autoimmune encephalomyelitis (EAE), the predominant animal model of multiple sclerosis, is most commonly induced by immunizing experimental animals with myelin-derived proteins such as myelin-oligodendrocyte glycoprotein (MOG) or proteolipid protein (PLP) in Complete Freund's Adjuvant (CFA), an oil-in-water emulsion of heat-killed mycobacteria <sup>136</sup>. A commonly employed model of EAE employing PLP is the C57BL6 mouse model which manifests as a chronic-acute disease progressing over several, with average onset of disease occurring within 2 weeks of immunization and peak disease severity occurring by day 21 <sup>137</sup>.

While EAE is most commonly studied in mice, a significant challenge of this approach is the lack of murine models to recapitulate the radiological manifestations seen clinically in MS<sup>20</sup>. Serial MRI analysis is an especially valuable imaging modality for the non-invasive assessment of lesion load and disease progression over time, as well as the amelioration of disease in response to therapeutic intervention, but mice possess much less white matter than primates and MRI imaging of murine EAE progression is generally not informative <sup>19</sup>. Moreover, these predominantly chronic acute models of disease do not genuinely mirror the relapsing-remitting course of human disease <sup>19</sup>. Consequently, attention has turned to primate models of EAE, and the common marmoset has emerged as a useful model of EAE in addressing these limitations <sup>19,138</sup>.

As nonhuman primates, marmosets possess a neural architecture that is functionally and structurally similar to that of humans <sup>19,138</sup>. Marmosets are also more immunologically similar to humans <sup>19,21,22,24,113</sup>. Bred in colonies, they acquire a diverse repertoire of immune exposures (in contrast to pathogen-free rodents) and their susceptibility to human herpes viruses associated with MS in humans allows the modeling of neuroinflammatory disease with antecedent viral exposure by intranasal inoculation with HHV6A, a model that was piloted in 12 animals by the Viral Immunology Section in 2015 <sup>138</sup>. Additionally, EAEaffected marmosets exhibit B cell involvement and infiltration of CNS lesions by CD8+ T cells <sup>19,22</sup>. Lesions in EAE-affected mice, in contrast, are predominantly driven by CD4+ T cell involvement <sup>19,139,140</sup>. Radiologically, marmosets affected with EAE have been demonstrated to manifest optic neuritis <sup>141</sup> and perivenular white matter lesions identifiable by MRI analysis <sup>22</sup>, another feature of human disease typically absent in murine models <sup>19</sup>. Selecting the marmoset model of EAE for the study of TSPO expression would allow for the serial analysis of lesion load on MRI, which would facilitate the analysis of disease progression from a radiological standpoint as well as a clinical standpoint.

Moreover, primate studies suggest that TSPO ligand-binding with second generation ligands such as PBR28 is significantly stronger in the primate brain <sup>142,143</sup>. In a 2008 study of endotoxin induced injury in rhesus macaques, ["C]PBR28 showed high brain uptake (300% of standard uptake values or SUV) and widespread distribution in the brain <sup>142</sup>. Moreover, the rs6971 polymorphism has not been reported in nonhuman primates. While high variability of TSPO-binding with second-generation ligands has been observed in baboons <sup>143</sup>, analysis of PBR28-binding in rhesus monkeys found no outliers <sup>142</sup>. Therefore, the polymorphism is unlikely to be a confounding factor in the measurement of TSPO ligand binding in primates, and nonhuman primates may be considered an intriguing model for the imaging of *in vivo* TSPO binding with second-generation ligands as genotyping is not necessary to determine the binding capacity of TSPO in the animal's tissues.

However, TSPO in marmoset EAE has not yet been characterized. It is not known to what extent marmoset EAE recapitulates the patterns of TSPO expression seen in human neuroinflammatory disease or whether TSPO is expressed in cell types other than microglia. To determine if primate EAE is a useful model for the study of TSPO expression and modulation in human disease, it is necessary to determine what cell types express TSPO in marmoset EAE and whether TSPO expression correlates with changes in cell phenotype.

#### 1.2.10 Lymphocytes in MS and EAE

In the healthy CNS, blood vessels consist of a collagenous basement membrane lined with endothelial cells, which are joined to one another by tight junctions <sup>144,145</sup>. These elements together constitute the blood-brain barrier, preventing the passage of cells and proteins between the blood and the brain parenchyma <sup>144,145</sup>. In MS, T helper cells are thought to become sensitized to pathogenic antigens at sites of antigen presentation in the peripheral blood and lymphoid tissues and subsequently become reactive to myelin antigens released by the destruction of oligodendrocytes <sup>123–126</sup>. An increase in blood-brain barrier permeability allows these sensitized helper Th1 and Th17 cells, as well as monocytes and macrophages, to cross from the peripheral blood and enter the parenchyma of the CNS <sup>123,146,147</sup>. This permeabilization is believed to be mediated by the secretion of the pro-inflammatory cytokine, Il-17, which promotes the activation of matrix metalloproteinase-3

(MMP-3), resulting in the digestion of the type IV collagen that forms the basement membrane of the cerebral capillaries <sup>148</sup>.

Pathology in MS may be attributed not only to the action of T cells secreting proinflammatory cytokines but also loss of function in anti-inflammatory cells. T regulatory cells or  $T_{reg}$  cells are CD4+CD25+ and transcription factor forkhead box protein P3 (FoxP3+) T lymphocytes that act to downregulate autoreactive Th1 and Th17 cells<sup>149</sup>. Their functional impairment is implicated in many conditions of autoimmunity<sup>150</sup>. A particular CD39+ subset of FOXP3+  $T_{reg}$  cells is thought to be crucial in tolerance induction, and a study of MS patient sera found MS patients to have reduced numbers of CD39+  $T_{reg}$  cells<sup>151</sup>. They were also observed to have reduced capacity to suppress T cell proliferation in vitro<sup>152</sup>. Similar patterns have been seen in animal models of autoimmune disease, where the loss of T regulatory cells have been found to result in spontaneous autoimmune disease<sup>149</sup>.

#### 1.2.11 Macrophages in MS

Signs of immune dysregulation are also observed in peripheral blood monocytes in MS. Circulating blood-born monocytes from MS patients exhibit higher levels of the costimulatory molecule CD86 and higher secretion of cytokines II-6 and II-12 <sup>153,154</sup>. Moreover, while lymphocytes play a principle role in the initiation of inflammatory damage, monocyte-derived macrophages are considered among the principal effector cells of demyelination and axonal damage <sup>155</sup>. Upon activation, macrophages secrete inflammatory mediators such as cytokines, nitric oxide, and reactive oxygen species, as well as excitatory signaling molecules, such as glutamate <sup>156</sup>. In MS, activated macrophages are observed to cross through the weakened blood-brain barrier into the brain parenchyma, accumulating in lesions <sup>156,157</sup>. The secretion of cytokines and reactive oxygen species by these infiltrating cells in turn contributes to myelin damage <sup>155,157</sup>. However, macrophages have also been observed to mediate important functions in recovery and repair, such as they phagocytosis of myelin debris, which has been shown to be necessary for remyelination and axonal recovery after demyelinating injury <sup>158</sup>.

These different functions are attributed to distinct populations of macrophages. While the many stimuli that determine macrophage phenotype in neuroinflammation in vivo cannot be replicated precisely, stimulation experiments *in vitro* have delineated several divergent populations with similarities to phenotypes observed *in vivo*. *In vitro* stimulation with lipopolysaccharide (LPS), interferon (IFN)- $\gamma$ , or granulocyte-macrophage colony-stimulating factor (GM-CSF) for example, was found to produce classically activated, pro-inflammatory macrophages <sup>159</sup>. These macrophages express inducible nitric oxide synthase (iNOS), costimulatory markers CD80 and CD86, and MHC II molecule HLA DR, which is necessary for antigen presentation <sup>160–162</sup>. They also possess an enhanced ability to secrete inflammatory cytokines IL-1 $\beta$ , TNF, IL-12, and IL-18 <sup>161</sup>. Increased expression of iNOS results in increased production of nitric oxide, which facilitates the intracellular destruction of phagocytosed pathogens <sup>160–162</sup>.

Stimulation with interleukin (IL)-4, IL-10, IL-13, TGF- $\beta$ , or CSF-1 *in vitro* produces alternatively activated "M2" macrophages exhibiting tolerogenic properties associated with wound healing, tissue repair, and the resolution on inflammation <sup>156,162–164</sup>. These M2 macrophages have been reported to express phagocytic markers such as mannose receptor CD206 and hemoglobin-haptoglobin receptor CD163 but neither has been confirmed as a specific independent marker of M2 phenotype <sup>162</sup>. This class was subsequently subdivided by Mantovani et al. into four subclasses: M2a, or alternatively activated macrophages, which are produced by stimulation with IL-4 or IL-13; M2b macrophages, which are produced by stimulation with IL-1 receptor ligands, immune complexes and LPS; M2c macrophages, also called deactivated macrophages, which are produced by treatment with IL-10, TGF- $\beta$  and glucocorticoids; and M2d macrophages, which are produced by treatment with IL-6 and adenosine <sup>165–167</sup>.

*In vivo* macrophages are understood to occupy a spectrum, ranging from conventional M1 and M2 phenotypes to mixed phenotypes with properties of both <sup>158</sup>. Inflammatory MS lesions have been found to be predominantly populated by macrophages exhibiting M1-like properties, such as iNOS expression <sup>168</sup>. However, many active and chronic lesions contain macrophages with a "foamy" appearance, likely resulting from the phagocytosis of lipid content in myelin debris <sup>16</sup>. In addition to their capacity for phagocytosis, these macrophages have been found to secrete anti-inflammatory cytokines, suggesting a tolerogenic influence similar to that of M2 macrophages <sup>16</sup>.

# 1.2.12 Microglia in MS

Microglia play an important role in the pathogenesis of MS. Derived from erythromyeloid precursor cells in the embryonic yolk sac, myeloid microglial progenitors migrate into the neuroepithelium that forms the primitive nervous system in early embryonic development, where they develop into resident microglia, ultimately constituting approximately 5-20% of the total cell population of the brain <sup>169,170</sup>. Their major immunological functions include surveillance of the CNS microenvironment, phagocytosis of extracellular debris for antigen presentation, and the secretion of cytokines and other inflammatory mediators <sup>76,171–173</sup>. As phagocytic cells capable of antigen presentation and cytokine secretion, microglia are implicated both in the recruitment of peripherally sensitized myelin-reactive T cells in the early acute phases of MS and also in the reactivation of autoreactive memory T cells, which is thought to be crucial in the maintenance of chronic neuroinflammation <sup>76,171–173</sup>.

However, microglia remain extremely heterogeneous in function and phenotype, both at baseline and in response to inflammatory injury. Like macrophages, microglia can be stimulated in vitro with LPS to generate an "M1" pro-inflammatory phenotype associated with the increased expression of co-stimulatory marker CD86, antigen presentation molecule MHC class II, and HLA chaperone protein CD74 <sup>174–176</sup>. Alternatively, they may be stimulated with IL-4 to generate "M2" pro-tolerogenic microglia expressing increased levels of phagocytic receptors. These IL-4-stimulated microglia are observed to facilitate oligodendrocyte differentiation and neurogenesis in tissue repair <sup>158</sup>, suggesting they may aid the process of remyelination, in which oligodendrocyte precursor cells (OPC) migrate to the site of demyelinating injury, proliferate, and differentiate into mature oligodendrocytes. Studies of oligodendrocyte lineage have traced this proliferating cell population to a specific subpopulation expressing nerve and glial antigen-2 (NG-2) and platelet derived growth factor- $\alpha$  (PDGFR- $\alpha$ ) <sup>177,178</sup>, called NG-2 glia. However, the capacity for remyelination has been observed to decline in aging and inflammation, and this decline has been attributed to changes in the surrounding cellular microenvironment <sup>158,179</sup>.

As with macrophages, the M1/M2 paradigm is considered too simplistic to fully represent all microglial phenotypes present in the healthy and diseased brain. Like macrophages *in vivo*, endogenous microglia are thought to occupy a spectrum encompassing mixed phenotypes that do not fully conform to a prototypic M1 or M2 phenotype. Nonetheless, it has been found that remyelinating MS lesions typically exhibit a high density of M2-like microglia <sup>158,180</sup>. In contrast, high levels of M1 microglia have been implicated in the progression of autoimmune disease <sup>181</sup>. Similar trends are seen in animal models of multiple sclerosis, where peak clinical scores are associated with high M1:M2 ratios <sup>158</sup>. This suggests that the progression and persistence of demyelinating lesions in MS stem not only

the extent of the initiating demyelinating injury but also from defects in the process of healing and regeneration, particularly defects in remyelination <sup>158,180</sup>.

## 1.2.13 Astrocytes in MS

In the healthy CNS, astrocytes are critical in the maintenance of blood-brain barrier, where they extend processes directly to the endothelial cells of the CNS vasculature, restricting the movement of cells and inflammatory mediators between the blood and the CNS parenchyma <sup>182</sup>. Astrocytes also interact with neurons, contacting synapses between neurons and neuronal axons at the nodes of Ranvier, forming what is called a tripartite synapse, in which glial cells are active components of synaptic transmission. Astrocytes are known to both release and express receptors for neurotransmitters and neuromodulators, enabling recognition and even modulation of adjacent synaptic events <sup>183</sup>.

In inflammatory CNS injury, astrocytes become reactive, undergoing considerable morphological changes <sup>74,184</sup>. Many become hypertrophic, which is coupled to increased expression of intermediate filament, particularly glial fibrillary acidic protein (GFAP), which facilitates glial scar formation <sup>43,185</sup>. Reactive astrocytes are also observed to release pro-inflammatory cytokines <sup>182</sup>. Reactive astrogliosis is most pronounced in later stages of lesion developments, in both MS and animal models of neuroinflammatory disease <sup>157</sup>.

#### 1.2.14 Histopathology of MS

MS lesions, clinically described as plaques, are histologically characterized by demyelination, axonal damage, and extensive accumulation of immune cells, consisting of both endogenous microglia and perivenular infiltrates of peripheral blood mononuclear cells, in particular, CD8+ T lymphocytes, macrophages, B lymphocytes, and plasma cells <sup>157,186–188</sup>. The inflammatory injury mediated by these infiltrating and resident immune cells results in the separation of the outer lamellae of the oligodendrocyte processes surrounding each axon, which disrupts the integrity of the myelin sheath <sup>93</sup>. Transected axons have also been found to be a common histological feature of MS lesions <sup>189</sup>. A 1998 study by Trapp et al. found acute axonal injury occurred early in disease development and is most prominent in the first year <sup>189</sup>. As amyloid precursor protein (APP) is transported anterograde through the axon,

transection results in accumulation of APP in the proximal axons, which appear as APP+ spheroids – these occur most frequently in the first year after diagnosis <sup>189</sup>.

A recently proposed classification by Kuhlmann et al. categorizes newly formed lesions as active lesions – demyelinated lesions which are hypercellular due to infiltration by monocyte-derived macrophages and lymphocytes and the accumulation of microglia <sup>190</sup>. Active lesions may be further divided into early and late demyelinating active lesions, in which myelin break-down products such as PLP and MBP can be visualized in the cytoplasm of microglia and macrophages, or post-demyelination active lesions, in which macrophages may appear "foamy" due to lipid accumulation but lack distinct breakdown products <sup>190,191</sup>. Early active demyelinating lesions are defined by the presence of minor myelin proteins, such as MOG, CNP, or MAG, in addition to major proteins MBP and PLP, while late active lesions are defined by the presence of minor proteins <sup>190,191</sup>. Inactive lesions, in contrast, are hypocellular, with very few microglia, macrophages or lymphocytes, and a complete absence of mature oligodendrocytes <sup>190</sup>.

As lesion development progresses over time, inflammatory cell infiltrates become less pronounced, and reactive gliosis becomes the more prominent histological feature <sup>157,186</sup>. Mixed active/inactive lesions are defined by a rim of active microglia/macrophages surrounding a hypocellular core that is almost completely devoid of microglia/macrophages but may contain hypertrophied astrocytes <sup>186,190</sup>. Like active lesions, these mixed lesions may be further divided into demyelinating and post-demyelination lesions, depending on the presence of cytoplasmic myelin breakdown products in phagocytic cells <sup>190</sup>.

According to a classifications described by Lucchinetti et al, lesions may be further divided into: pattern I lesions, which contain demyelination in the presence of macrophages and microglia; pattern II lesions, which show complement activation suggestive of antibody deposition; pattern III lesions, which show oligodendrocytes with nuclear consolidation; and pattern IV lesions, which are very rare and may show evidence of repair e.g. non-apoptotic oligodendrocyte cells <sup>190</sup>. It is unknown whether these distinct patterns represent different etiologies of lesion development or different stages of a single etiology of lesion development<sup>190</sup>.

Gray matter lesions in MS are predominantly seen in the cortex and cerebral nuclei<sup>192,193</sup>, and the majority of cortical demyelinated plaques in the gray matter are contiguous with subcortical demyelinated plaques in the white matter, suggesting gray matter

pathology may develop as a result of white matter pathology <sup>194</sup>. Histologically, cortical lesions are characterized by the presence of apoptotic neurons and the infiltration of the lesion by ramified microglia <sup>195</sup>.

#### 1.2.15 TSPO in multiple sclerosis

Studies of TSPO expression in vivo in MS have predominantly measured the signal of first-generation ligand <sup>11</sup>C-PK11195. One of the earliest studies of TSPO expression in MS found <sup>11</sup>C-PK11195 binding was significantly increased in acute MS lesions but was diminished in chronic lesions. A 2014 study similarly found increased <sup>11</sup>C-PK11195 binding in contrast-enhancing lesions identified on gadolinium enhanced T1-weighted MRI <sup>29</sup>. Additionally, in comparing the normal appearing white and gray matter of MS patients and normal donors, a 2000 study by Banati et al. found that <sup>11</sup>C-PK11195 signal was also diffusely elevated in the normal appearing white matter and grey matter of MS patients <sup>196,197</sup>.

Subsequently comparing non-enhancing lesions in patients experiencing clinical relapse against non-enhancing lesions in patients in remission, it was found that <sup>11</sup>C-PK11195 binding was elevated in the non-enhancing lesions of patients experiencing relapse <sup>196</sup>. In fact, it was observed that <sup>11</sup>C-(R)-PK11195 binding in "black hole" lesions more than doubled during periods of clinical relapse, suggesting that activated microglia and macrophages may infiltrate these structurally damaged lesions during periods of increased disease activity. As in previous studies, <sup>11</sup>C-PK11195 binding was also found to be significantly increased in contrast-enhancing lesions <sup>196</sup>.

More recent studies examining the binding of second-generation ligands such as PBR28 have also found increased TSPO signal in MS lesions. A 2017 by Datta et al. found TSPO radioligand uptake of second-generation ligands <sup>11</sup>C-PBR28 and <sup>18</sup>F-PBR111 was increased in the brains of MS patients when compared to health controls <sup>198</sup>. Furthermore, enhanced radioligand uptake was observed in the brain lesions of both RR-MS and SP-MS patients, facilitating the classification of lesions as "active," "peripherally inactive," or inactive in accordance with the distribution of ligand uptake within the lesion <sup>198</sup>.

Moreover, there is increasing evidence that the TSPO-PET imaging may have a prognostic value and that increased TSPO expression may be predictive of poorer radiological and clinical outcomes <sup>14,198</sup>. A 2016 study by Colasanti et al. showed that

patients with higher BDI depression scores also have an increased burden of hippocampal microglial activation as determined by TSPO-PET imaging with 18F-PBR111<sup>14</sup>. In their 2017 study, Datta et al. also found that patients with a higher DVR signal at baseline demonstrated higher rates of T2 lesion development and lesion growth over the course of the following year <sup>198</sup>.

# 1.2.16 TSPO in microglia/macrophages

The finding of increased PK11195 binding in MS lesions was additionally corroborated by comparative studies of histopathology and autoradiography in postmortem MS tissues <sup>196</sup>. Micro-autoradiography studies using <sup>3</sup>H-PK11195 found minimal binding in control tissue and maximal binding in MS plaques <sup>196</sup>. The highest binding signal was detected in in gadolinium-enhancing lesions, while lower levels of binding were detected in "black hole" lesions with structural damage, which is consistent with clinical studies of <sup>11</sup>C-PK11195 in vivo <sup>196</sup>. Immunohistochemical studies confirmed the accumulations of ramified microglia and macrophages in MS plaques that showed high <sup>3</sup>H-PK11195 binding. Moreover, it was found that patients with higher disability scores exhibited higher <sup>11</sup>C-(*R*)-PK11195 binding overall <sup>196</sup>. However, the degree of binding did not correlate strongly with standard measures of disability, such as EDSS scores <sup>196</sup>. The apparently correlation between clinical relapse and increased binding in lesions, even irreversibly demyelinated lesions, suggested TSPO expression may be more representative of active inflammation than previous damage and may have value as a prognostic indicator <sup>196</sup>.

A 2014 study by Wang et al. examined the effect of TSPO knockdown in retinal microglia in a mouse model of retinal injury using lentiviral small hairpin RNA (shRNA)<sup>199</sup>. The study found that the administration of shRNA to BV2 microglia in vitro resulted in the increased production of reactive oxygen species and increased levels of TNF-a mRNA, as well as increased TNF-a protein secretion when challenged with LPS<sup>199</sup>. The LPS-challenged BV2 cells also exhibited increased proliferation after TSPO knockdown with shRNA<sup>199</sup>. These results suggest that TSPO may play a role in the downregulation of the pro-inflammatory response induced by LPS. This finding was corroborated by another 2014 study by Bae et al., which similarly found TSPO knockdown to increase pro-inflammatory cytokine release in BV2 microglia stimulated with LPS<sup>200</sup>. Additionally, the study found

TSPO overexpression to reduce pro-inflammatory cytokine release after LPS challenge while increasing the expression of markers of alternative activation, such as Arg1 and IL10  $^{200}$ .

While these studies were limited to mice, clinical studies have similarly suggested that TSPO may be beneficial and that the loss of TSPO may contribute to clinical neurological pathologies. For example, reduced levels of TSPO mRNA have been found in psychiatric disorders, such as high anxiety <sup>201</sup>, and TSPO ligand XBD173 was found to produce rapid anxiolytic effects in healthy volunteers <sup>202</sup>. Another ligand, etifoxine, was similarly found to reduce anxiety in human subjects <sup>203</sup>.

Other studies suggest that TSPO expression promotes and enhances M2 (tolerogenic) polarization <sup>200,204</sup>, which may have therapeutic application in demyelination. The process of remyelination in inflammatory CNS lesions is known to be strongly linked to the surrounding milieu of immune cells <sup>158,180</sup>. A recent study by Miron et al. showed that M2 macrophage-conditioned media enhanced oligodendrocyte differentiation in vitro and that M2 cell density is increased in remyelinating MS lesions, suggesting that remyelination is favored by the replacement of macrophages and microglia of an M1 phenotype with M2-biased cells <sup>158,180</sup>.

However, the pathophysiological significance of TSPO expression in this context is not well understood. A 2018 study by Beckers et al. in mice found that TSPO mRNA levels were increased in M1 (LPS-stimulated) microglia in culture, but not in M2 microglia stimulated with IL4 <sup>205</sup>. Subsequent studies of TSPO expression in multifunctional protein-2 (Mf2) deficiency, a chronic model of neuroinflammation, found that TSPO protein and mRNA levels were increased in the inflamed brain tissue of Mf2-knockout mice <sup>205</sup>. A recent study by Vogel et al. found TSPO is expressed by both M1- and M2-biased microglia in MS brain tissue <sup>16</sup>. In macrophages, in contrast, LPS-stimulation has been found to downregulate TSPO expression <sup>204</sup>.

# 1.2.17 TSPO in astrocytes

While studies by Banati et al. have found areas of increased <sup>11</sup>C-PK11195-binding to correspond to regions with increased density of activated microglia and macrophages <sup>196</sup>, the increased density of TSPO in MS lesions has not been definitively co-localized to activated macrophages and microglia alone. TSPO expression has also been observed in other cell types, particularly astrocytes <sup>42</sup> and in some cases, neurons <sup>206</sup>.

TSPO expression has been found to increase significantly in reactive astrogliosis after CNS injury <sup>42</sup>, but the presence and extent of this upregulation appears to vary according to the model of neuroinflammation studied. Astrocytic TSPO expression is observed in MS and many mouse models of EAE, but certain rat models of EAE appear to lack this astrocytic component of TSPO expression<sup>1</sup>. In those models in which it is observed, astrocytic TSPO expression appears to play a significant role in neurodegeneration  $^{18,43}$ . A 2016 study by Daugherty et al. created a conditional TSPO mouse knockout model to selectively block TSPO expressive in reactive astrocytes expressing GFAP<sup>18</sup>. Blocking TSPO expression in these reactive astrocytes appears to aid recovery after neuroinflammatory injury, with knockout mice exhibiting lower clinical scores at peak disease <sup>18</sup>. Interestingly, TSPO-/astrocytes ultimately expressed less GFAP as well, suggesting the expression of TSPO may be necessary for the upregulation of GFAP in glial scar formation <sup>18,43</sup>. TSPO knockout in these animals was also associated with reduced TNF-a mRNA levels <sup>18</sup>. Other theories hypothesize that astrocytic TSPO expression may facilitate recovery by facilitating the transport of cholesterol for the synthesis of neuroprotective steroids, such as allopregnenalone, and increased TSPO expression in astrocytes has also been found to correlate with reduced neuronal damage in a mouse model of Alzheimer's disease <sup>207</sup>.

Astrocytes are also hypothesized to play an important role in remyelination after inflammatory injury. In the course of neuroinflammatory injury in mice, TSPO expression appear to shift from activated microglia to hypertrophic reactive astrocytes <sup>1,2</sup>. In remyelinating lesions, microglial TSPO expression rapidly decreases while astrocytic TSPO expression becomes dominant <sup>2</sup>. Astrocytes are hypothesized to support oligodendrocyte progenitor cells (OPCs) in remyelination, and the coincident upregulation of TSPO in remyelination suggests that astrocytes may promote OPC proliferation through increases in steroid synthesis and increased secretion of steroidal trophic factors <sup>208</sup>.

#### 1.2.18 TSPO in neurons

Studies of cultured neurons in vitro have reported TSPO expression in neuroblastoma and glioblastoma cell lines, primary cortical neurons in mammals, and dorsal root ganglia sensory neurons in rats <sup>209–211</sup>. A 2009 study of a murine neural stem cell line detected TSPO protein expression and increased mRNA levels in differentiating and regenerating neuronal precursor cells at an intermediate stage of maturation, but not in mature neurons themselves

<sup>209</sup>. A recent immunopathological study of the healthy mouse brain found colocalization of TSPO with calbindin in Purkinje neurons <sup>206</sup>. Studies of TSPO in neuropathology have found increased TSPO expression in peripheral nerves after axonal resection, suggesting TSPO may play a role in neuronal pathology as well <sup>209,212</sup>.

#### **1.2.19 TSPO in the periphery**

While TSPO is highly upregulated in CNS lesions, a 2012 study of PBR28 binding in isolated PBMC unexpectedly showed reduced TSPO binding in 25 MS patients when compared with 32 healthy donors <sup>213</sup>. Moreover, multiple studies have found decreased TSPO levels in platelets in neuropsychiatric disease <sup>214–218</sup>. More recent structural studies show that ligands thought to bind different regions of the TSPO molecule show different patterns of binding in neuroinflammation <sup>4,28</sup>. This suggests that neuroinflammatory changes may induce conformational changes that hide certain regions while leaving others exposed.

A 2017 study by Narayan et al. characterized TSPO expression in macrophages derived from peripheral blood monocytes and synovial monocytes harvested from healthy donors and rheumatoid arthritis patients <sup>204</sup>. The study detected relatively little TSPO mRNA in these monocytes but saw a considerable increase in TSPO mRNA levels in monocyte-derived macrophages. TSPO protein levels were also much higher in these cultured macrophages than in the monocytes from which they were derived. Surprisingly, TSPO expression was significantly decreased in LPS-treated M1 macrophages but was upregulated in IL-4-treated M2 macrophages <sup>204</sup>. This study suggests peripheral blood mononuclear cells may be a useful model for probing the relationship between TSPO expression and immunophenotype in phagocytic cells.

## 1.2.20 TSPO as a therapeutic target in MS

Current strategies of MS treatment are predominantly immunomodulatory, ranging from systemic immunosuppression with glucocorticoids for acute exacerbations to T and B lymphocyte-specific modulatory therapies for long-term maintenance <sup>82,219–221</sup>. However, despite the wide range of immunomodulatory treatments used for MS, few have shown a reduction in cumulative disability <sup>221</sup>. Moreover, widely immunosuppressive therapies are associated with significant risk of adverse events and morbidities <sup>82,219–221</sup>.

Studies of TSPO ligands in the treatment of anxiety indicate TSPO may be not only be an imaging target but also a therapeutic target as a result of its action on cholesterol transport and subsequent neurosteroid synthesis in neuronal and glial cells <sup>10,12,13</sup>. The following section examines the efficacy and limitations of current immunomodulatory treatments as well as the risk of adverse events associated with mainstays of pharmacological therapy in MS. Subsequently, I will discuss the potential of TSPO modulation as a possible strategy of immune modulation in MS and its relative benefits.

# 1.2.21 Current treatment of multiple sclerosis and limitations

The majority of currently available therapies for MS are immunosuppressive agents, which aim to prevent the progression of inflammatory damage by inhibiting the cellular and molecular actors that mediate inflammatory activity. These include glucocorticoid treatments, such as intravenous prednisolone and methylprednisolone, which are broadly immunosuppressive, inhibiting the proliferation of lymphocytes, monocytes, and neutrophils<sup>221</sup>. While these treatments are observed to improve recovery time and are preferred in the treatment of acute exacerbations, they have not been shown to improve the outcome or degree of recovery <sup>221</sup> and are also associated with wide array of undesirable systemic effects. Patients are also at risk of developing osteoporosis if steroid therapy is maintained for long periods of time <sup>222</sup>. More specific antibody-mediated therapies are generally used for the depletion of specific subsets of T cells and B cells<sup>223</sup>, such as Alemtuzumab, or Campath, which is a human anti-CD52 monoclonal antibody for the depletion of T lymphocytes and monocytes, and rituximab, a <sup>220,224</sup> While a systematic review of clinical studies found a decrease in the rate of acute exacerbations in interferontreated patients <sup>225</sup>, a 2014 study found that interferon treatment failed to reduce the extent of disability in MS patients <sup>226</sup>. Glatiramer acetate (GA) is an acetate salt of a synthetic 4amino acid polypeptide consisting of L-alanine, L- lysine, L-glutamic acid and L-tyrosine, an peptide found in myelin basic protein (MBP)<sup>227</sup>, which has been shown to promote selftolerance of myelin proteins by inducing T regulatory cells to recognize MBP as a selfantigen. However, while GA has little risk of significant adverse side effects relative to other immunosuppressive therapies, a multi-center, placebo-controlled trial of GA in MS patients failed to slow the rate of disease progression<sup>228</sup>.

Ultimately, currently available treatments are predominantly used for the treatment of relapsing-remitting MS, in which demyelinating injury is relatively limited and the patient is less likely to have developing permanent deficits <sup>89,221</sup>. The majority of these agents are immunosuppressive pharmaceuticals, which exert their therapeutic effects through the depletion and downregulation of immune cells <sup>89,219,221,229</sup>. Thus, many are associated with adverse side effects where the immune system is inappropriately suppressed, leaving the patient predisposed to infection, or inappropriately activated, leading to autoimmunity <sup>229</sup>. Moreover, there are currently no accepted drugs showing clinical efficacy in the treatment of secondary progression, in which inflammatory damage is more extensive and potentially irreversible. While many current therapies are believed to exert some neuroprotective effects promoting repair and remyelination, few therapies have demonstrated clinical efficacy <sup>230</sup>. This points to a need for better regenerative therapies for MS, particularly for secondary progressive MS <sup>229</sup>.

# 1.2.22 Therapeutic ligation of TSPO

A variety of TSPO ligands have demonstrated neuroprotective effects in the treatment of inflammatory CNS injury and systemic autoimmune disease. It has been hypothesized that TSPO activation facilitates cholesterol transport and steroid synthesis, which in turn promotes the secretion of neurosteroids that enhance protective GABAminergic transmission following CNS injury <sup>12</sup>. Thus, activating TSPO ligands, such as 4'-chlorodiazepam (Ro5-4864) PK11195, may enhance GABAminergic signaling <sup>231</sup>.

Etifoxine is an anxiolytic anticonvulsant medication currently under investigation for application in pain management. It is structurally distinct from benzodiazepines and does not bind the diazepine receptor but does bind TSPO. In a mouse model of EAE, etifoxine treatment at peak symptom onset was associated with decreased TSPO expression, fewer activated microglia, and increased myelin staining on Luxol Fast Blue staining <sup>18</sup>. It has also been found to increase the production of allopregnenalone, a neurosteroid shown to augment oligodendrocyte proliferation. The same neurosteroid has been found to decrease inflammatory cytokine secretion <sup>18</sup>. N-benzyl-N-ethyl-2-(7,8-dihydro-7-benzyl-8-oxo-2-phenyl-9H-purin-9-yl) acetamide, or ZBD-2, is a second generation TSPO ligand with demonstrated anxiolytic effects also under investigation for use in chronic pain, that has also been demonstrated to attenuate excitotoxicity in focal cerebral ischemia. In a mouse model

of chronic pain, ZBD-2 treatment was found to attenuate glutamate excitotoxicity while augmenting GABAminergic activity <sup>232</sup>. XBD173 is a phenylpurine TSPO ligand which was previously investigated for the treatment of anxiety but failed to pass Phase II trials. A highly specific activating ligand, it is associated with increased allopregnenalone levels in the brains of healthy and panic-prone rats <sup>1</sup>. Similarly, treatment with XBD173 abrogated microglial activation in LPS-treated cultures <sup>231</sup>. Significantly, despite its binding site, it lacks the sedating side effects of benzodiazepines <sup>202</sup>.

#### 1.3 Summary of approach

PET imaging of TSPO in MS represents the potential to directly monitor the activation of immune cells in the course of disease progression. However, there is a significant variation in the affinity and specificity with which different TSPO radioligands bind TSPO. These differences may be due to quaternary changes in TSPO structure, which may differentially affect the binding sites of different ligands<sup>4,28</sup>. To directly probe the relationship between binding and expression, we propose to first characterize the immunological significance of TSPO expression in a relevant primate EAE model and then directly compare TSPO expression with radioligand-binding using second-generation radioligand PBR28. The relationship between protein expression and radioligand-binding will be examined peripherally in PBMC isolated from MS patients and healthy donors.

#### 1.4 Specific Aims

The project aims to 1) identify and immunophenotype TSPO-expressing cells in the CNS and peripheral blood in primate EAE and MS, and 2) compare patterns of TSPO expression with patterns of TSPO ligand-binding in the peripheral blood of MS patients using a second-generation TSPO radioligand. Together, these experiments will provide critical insight into the pathological significance of TSPO expression in neuroinflammation and variations in the relationship between TSPO expression and radioligand-binding in response to a pro-inflammatory milieu. Better understanding of this correlation is crucial to understanding the clinical significance of TSPO radioligand-binding in multiple sclerosis and its implications for patient management and prognosis.

#### **Summary of Aim 1**

**Questions:** A) In what cells is TSPO expressed in healthy primate brain tissue? In what cells is TSPO expressed in marmoset EAE? Does marmoset EAE recapitulate aspects of TSPO expression observed in human disease? B) Do changes in TSPO expression reflect changes in lesion age or inflammatory cell milieu? Can these changes shed light on the functional

associations of TSPO expression in neuroinflammation and its potential as a therapeutic target?

**Aim:** I aim to identify and phenotype cells expressing TSPO in EAE and non-EAE control tissue. Furthermore, I aim to measure changes in TSPO expression in microglia and macrophages over time in EAE lesions of different ages.

**Hypothesis:** I hypothesize that TSPO is expressed rarely in Iba1+ cells in healthy CNS tissue. In EAE lesions, I hypothesize that TSPO levels increase and that the principal contributors of TSPO expression are Iba1+ microglia and macrophages with M1-biased phenotype. I also hypothesize that TSPO expression in microglia and macrophages is stable over time in lesions of different ages.

### Summary of Aim 2

**Question:** What cells express TSPO in the peripheral blood of MS patients and healthy donors? Is TSPO expression in monocytes associated with the expression of other markers of immunophenotype (e.g. HLA expression)?

**Aim:** I aim to measure TSPO expression in classical, non-classical (atypical) and intermediate monocytes in MS patients and determine whether increases in TSPO expression correlated increases in mitochondrial volume and expression of HLA-DR and CD86.

**Hypothesis:** I hypothesize that TSPO expression is increased in all subsets of PBMC in multiple sclerosis patients. I further hypothesize that TSPO expression is higher in non-classical and intermediate monocytes with pro-inflammatory characteristics than in classical monocytes.

# Summary of Aim 3

**Question:** Do changes in TSPO expression in PBMC in MS correlate directly to changes in ligand-binding with second-generation TSPO ligands?

**Aim:** I aim to measure TSPO-ligand binding with second-generation ligand PBR28 in MS patients and matched healthy donors and compare levels of TSPO-radioligand-binding with levels of TSPO protein expression measured in Aim 2.

**Hypothesis:** I hypothesize that TSPO expression is correlated directly to ligand-binding in human PBMC from MS patients and matched healthy controls.

2 Investigating the pathological significance of TSPO expression in the central nervous system of a nonhuman primate model of multiple sclerosis

# 2.1 Introduction

Understanding of the cell types responsible for TSPO expression is necessary to interpret not only baseline TSPO-PET signal in healthy individuals, but also TSPO signal in disease and in response to treatment <sup>233</sup>. Studies of healthy rodent brain tissue have reported TSPO expression in the vascular endothelium, choroid plexus, and ependyma <sup>137,206,234</sup>. Neuronal TSPO was detected in areas of neurogenesis in the subventricular zone, the subgranular zone of the dentate gyrus, and the Purkinje cells of the cerebellum in the mouse brain <sup>206</sup>. While studies of CNS injury have identified microglia as the primary cellular source of TSPO in neuroinflammation <sup>4,13,235,236</sup>, TSPO has also been detected in astrocytes and neurons in various models of CNS injury <sup>42,75,206</sup>.

Ultimately, correlation of imaging findings with pathology is necessary to understand the cell types contributing to TSPO signal, as well as the immunological functions associated with TSPO expression. This is currently controversial. A 2018 study by Beckers et al. in mice found that TSPO mRNA levels were increased in M1 (LPS-stimulated) microglia in culture, but not in M2 microglia stimulated with IL4 <sup>236</sup>. Subsequent studies of TSPO expression in multifunctional protein-2 (Mf2) deficiency, a chronic model of neuroinflammation, found that TSPO protein and mRNA levels were increased in the inflamed brain tissue of Mf2-knockout mice. A 2017 study by Narayan et al, conversely, found that TSPO protein and mRNA levels were reduced in LPS-stimulated M1 macrophages. A recent study by Nutma et al. found TSPO is expressed by both M1- and M2-biased microglia in MS brain tissue <sup>4</sup>.

The correlation of PET signal to pathology in MS is limited, as biopsies in MS subjects are relatively rare – studies of pathology in MS may be obtained from autopsy in patients with advanced disease or patients who died of other causes, but biopsies from earlier stages of disease are generally obtained due to an atypical presentation, in which infection or malignancy is suspected and tissue sampling is necessary to obtain a definitive diagnosis <sup>81,237</sup>. Ultimately, animal models are necessary for direct correlation of imaging and pathology.

However, rodent models of neuroinflammatory disease generally lack sufficient white matter for adequate MRI imaging of white matter lesions <sup>19,21</sup>. The marmoset EAE model, in contrast, is advantageous for the serial monitoring of lesions on MRI as a result of its comparatively high white matter-gray matter ratios, which are more similar to those of humans <sup>23</sup>. It is also a valuable model for neuroinflammation for its neuroanatomical and

immunological similarity to humans <sup>19,21</sup>. Previous PET experiments have been performed on marmosets with and without anesthesia in various contexts of neurological disease <sup>238</sup>.

If TSPO expression is detectable in marmoset EAE, the animal would represent an ideal model to monitor changes in TSPO expression in neuroinflammation by PET and correlate PET changes to pathology. The availability of MRI images would facilitate the comparison of PET and MRI imaging, allowing researchers to determine the accuracy with which PET imaging of TSPO radioligands detects inflammatory activity in known MRI lesions as well as the extent to which it detects inflammatory activity preceding the development of lesions later detected on MRI. Conceivably, PET visualization of inflammatory activity could be used to corroborate MRI detection of pre-lesional changes, such as changes in T1 signal associated with increased blood-brain barrier permeability <sup>239</sup>. Furthermore, PET imaging of TSPO could potentially be used to visualize chronic inflammatory activity in lesions where structural damage has already occurred, for example, the infiltration of activated microglia and macrophages into an existing demyelinated lesion, which may have prognostic value <sup>240</sup>. Serial PET imaging might allow us to chart the time course of inflammatory cellular processes and better understand the influence of immunomodulatory treatments in the context of chronic neuroinflammation. Finally, the correlation of PET imaging and pathology would enable the more definitive identification of cell-types contributing to TSPO-PET signal in this model.

To assess the suitability of the marmoset for the study of TSPO in neuroinflammation, it is first necessary to establish whether TSPO is expressed at detectable levels in marmoset EAE lesions and in what cell types it is expressed. Furthermore, it is necessary to understand the functional significance of TSPO expression in this context and the extent to which TSPO expression varies with lesion type and stages of lesion development. We will measure TSPO by conventional immunohistochemistry and multiplex immunofluorescence in acute and chronic EAE lesions of different ages as determined by serial MRI and compare TSPO expression with markers of immunophenotype to gain insight into the function of TSPO in neuroinflammation.

# **Summary of Aim 1**

**Questions:** A) In what cells is TSPO expressed in healthy primate brain tissue? In what cells is TSPO expressed in marmoset EAE? Does marmoset EAE recapitulate aspects of TSPO expression observed in the human disease? B) Do changes in TSPO expression reflect changes in lesion age or inflammatory cell milieu? Can these changes shed light on the functional associations of TSPO expression in neuroinflammation and its potential as a therapeutic target?

**Aim:** I aim to identify and phenotype cells expressing TSPO in fixed EAE marmoset and non-EAE marmoset control tissue. Furthermore, I aim to measure changes in TSPO expression in microglia and macrophages over time in EAE lesions of different ages.

To achieve this aim, I will:

- compare % of Iba1+ microglia expressing TSPO in NAWM, NAGM, lesions of different ages, and healthy control white matter and gray matter
- quantify % of Iba1+TSPO+ microglia co-expression M1 and/or M2 markers (MHCII, MRP14, Arg1, CD163)
- iii) visualize TSPO expression in neurons and astrocytes by co-staining with NeuN or GFAP, respectively.

**Hypothesis:** I hypothesize that TSPO is expressed rarely in Iba1+ cells in healthy CNS tissue. In EAE lesions, I hypothesize that TSPO levels increase and that the principal contributors of TSPO expression are Iba1+ microglia and macrophages with M1-biased phenotype. I also hypothesize that TSPO expression in microglia and macrophages is stable over time in lesions of different ages.

#### 2.2 Methodology

#### 2.2.1 Division of responsibilities

As a PhD student, I performed animal handling, catching, sedation, intubation, positioning for MRI, anesthesia administration, and animal monitoring. MRI protocols were run by members of the Translation Neuroradiology Section. Clinical scoring was performed by clinic fellows in neurology. EAE induction, terminal scanning and euthanasia were performed a team consisting of members of the Viral Immunology Section and the Translational Neuroradiology Section. Harvesting of CNS tissues was performed by Drs. Afonso Silva and Seung-kwon Ha. Tissue sectioning was performed by Dr. Ha and myself. I performed all immunohistochemical stainings and imaging of all single or two- or three-color stains.

I also designed all multiplex panels and validated all antibodies individually, with the exception of GLS2 and Parvalbumin, which were validated by Dr. Dragan Maric. Multiplex immunofluorescence stainings were performed by myself or a member of the FACS core facility (NINDS, Bethesda, MD). Sections stained with more than 4 colors were imaged by Dr. Maric. I performed all subsequent lesion and cell counting analyses.

#### 2.2.2 EAE induction and animal monitoring

EAE was induced by subcutaneous immunization with 0.2 g of white matter homogenate emulsified in Complete Freund's Adjuvant (CFA) in 9 adult marmosets at 4 dorsal sites adjacent to the inguinal and axillary lymph nodes. Animals were monitored daily for clinical symptoms of EAE progression and assigned clinical EAE scores weekly based on extent of disability. Marmosets were scored on neurological exam as performed by a clinical neurologist prior to each MRI scan. The scoring system is described as follows: 0, no clinical signs; 0.5, apathy or altered ambulation without ataxia; 1, lethargy or tremor; 2, ataxia or optic disease; 2.25, monoparesis; 2.5, paraparesis or sensory loss; 3, paraplegia or hemiplegia. Body weights were recorded 3 times per week. Blood was drawn prior to each MRI scan. All animals discussed in this study are shown in **Table 2-1**.

Animal ID	Gender	Disease Status	Viral exposure	Treatment	Age at EAE induction (years)	Disease duration (days)
А	М	EAE	HHV6B	None	3.9	71
В	М	EAE	None	None	2.6	384
С	F	EAE	None	None	1.5	89
D	М	EAE	HHV6B	None	4.6	51
Μ	F	EAE	None	None	4.6	32
J	М	EAE	None	None	5.6	422
P1	F	EAE	None	None	2.9	105
P2	F	EAE	None	Steroids	2.9	123
W	М	EAE	None	Steroids	2.6	282
P0	М	Control	None	None	N/A	N/A

*Table 2-1. Table summarizing gender, disease status, viral inoculation status, treatment status, age at baseline, and disease duration, of all animals examined in this study.* 

EAE marmoset A was previously inoculated with HHV-6B as part of trial studying the effects of viral exposure on neuroinflammatory response. Animals M2 and P2 received steroid treatment as part of another study.

#### 2.2.3 MRI scanning

MRI analyses are performed according to established marmoset imaging protocols using T1, T2, T2\*, and PD-weighted sequences on a Bruker 7T animal magnet. In tracking lesion load and disease progression, we are collaborating with the Reich laboratory, which is developing image analysis protocols for the quantitative determination of lesion volume. Marmosets were scanned biweekly over the course of the EAE study. Following the completion of EAE studies, the brains, spinal cords, and optic nerves excised from euthanized animals were scanned by MRI for postmortem characterization of brain lesions and previously uncharacterized spinal lesions and optic lesions.

#### 2.2.4 Information on the veterinary care of the animals involved

Prior to EAE induction, marmosets are housed as twin pairs in enriched environments where they receive two meals a day. In addition to daily monitoring by veterinary staff seven days per week, they received physical exams and were tested for tuberculosis twice annually. Additionally, they were vaccinated against measles and Klebsiellae. Tuberculosis testing was discontinued in EAE marmosets, as exposure to heat-killed emulsified tuberculin toxin in the adjuvant during EAE induction would result in a positive reaction regardless of infectious status.

# 2.2.5 Description of the procedures for ensuring that discomfort, distress, pain, and injury will be limited to that which is unavoidable in the conduct of scientifically sound research

Marmosets received regular veterinary care and receive daily neurological exams over the course of EAE progression to detect signs of distress and symptoms of disability. They are weighed three times each week. The site of adjuvant injection is carefully treated and dressed to prevent infection and discourage the animal from touching or scratching the site if local inflammation occurs. Animals are also monitored for behavioral changes and animals sharing cages are separated if they display aggression or violence towards one another.

# 2.2.6 Description of the use of analgesic, anesthetic, and tranquilizing drugs and/or comfortable restraining devices, where appropriate, to minimize discomfort, distress, pain, and injury

Prior to each MRI scan, marmosets were identified by an ID tattoo on the inner thigh and captured using a standard catch cage. They were weighed in this catch cage, loaded into a covered animal crate lined with soft absorbent fabric, and transported in this carrier from the veterinary facility to the scanner room through a secure elevator directly connecting the two areas. In the scanner room, the animals are carefully removed from their cages using thick leather gloves, sedated with ketamine (10 mg/kg, intramuscular), anesthetized with inhaled isoflurane, and intubated with a small lidocaine-coated non-rebreathing tube delivering 40% oxygen and 60% nitrogen. Following intubation, the marmoset's foot was shaved and a pulse oximeter is placed to measure heart rate, oxygen levels, and carbon dioxide levels. An IV access line for the injection of MRI contrast is placed in the tail vein and stabilized with surgical tape. Once the marmoset's heart rate has decreased to approximately 200 beats/minute and blood oxygen levels are observed to be stable, the marmoset is wrapped in a warming blanket and placed in the scanning cradle in the sphinx position. Lidocaine-coated ear bars are placed in the animal's ears to maintain the position of the animal's head. A lubricant is place in the eyes to prevent dehydration and discomfort. A rectal probe is placed to monitor body temperature. Over the course of the scan, vital signs are monitored continuously and recorded every 15 min.

For the induction of EAE, animals were transported, sedated, and anesthetized as described above. White matter homogenates are injected at four sites as described in the study description. Topical antibiotics and dressings are used to treat and cover the injection sites of EAE animals. Animals are inspected regularly by veterinary staff for signs of irritation or distress.

#### 2.2.7 Description of methods of euthanasia to be used and the reasons for its selection

Marmosets are monitored closely for signs of disability and cognitive change after the induction of disease. Animals that develop hemiplegia or paraplegia are euthanized in accordance with the recommendations of the American Veterinary Association's Guidelines on Euthanasia and NIH IACUC protocols. Briefly, animals are weighed and transported to the Building 49 MRI facility and anesthetized with inhaled isoflurane as previously described to undergo a terminal MRI scan. Following the completion of the scan, they are maintained on inhaled isoflurane and transported to a fume hood in an adjacent veterinary facility for cardiac perfusion. 100 mg/kg of sodium pentobarbital are administered intravenously. Once the animal is fully anesthetized and confirmed to be unresponsive to painful stimuli, the chest is opened to expose the left wall of heart and a sterile catheter is positioned in the left ventricle. Warm heparinized saline in injected into the catheter to thin the animal's blood and facilitate the flow of blood from the right atrium which is cut to permit drainage. Following the heparinized saline, 4% paraformaldehyde, the skull and stomach are opened to harvest the brain, spinal cord, and lymphoid tissues.

To facilitate the correlation of lesions visualized on MRI with lesions seen on histopathology, 3-D modeling of brain scans was used to develop individualized cradles holding brain specimens in alignment with their position during scanning. These cradles are currently used by our imaging partner group and will ensure that sections cut for histology align with MRI sections <sup>138,241</sup>. Briefly, a 3D model of each brain was subtracted from a scaffold block template to produce a cradle for sectioning. Cradles were printed using an

Airwolf 3D printer with notches for cutting blades strategically placed 100  $\mu$ m anterior to lesions identified on MRI. Using this approach to section the brain, we were able to efficiently obtain sections containing the same landmarks and confirm these lesions by conventional immunohistochemistry techniques.

# 2.2.8 Immunohistochemistry

Pathological brain specimens from EAE marmosets have been provided by the Neuroimmunology Branch (NIB) of NINDS.

To determine TSPO expression by microglia and infiltrating macrophages in inflammatory MS and EAE lesions, marmoset CNS tissues from healthy or EAE-affected animals have been formalin-fixed and paraffin-embedded for staining. Marmoset tissues are provided by VIS. Proteolipid protein (PLP) staining was used to visualize loss of myelin protein, while Luxol Fast Blue staining was used to detect loss of lipid content in demyelinated lesions. GFAP was used to detect reactive astrocytes. Ionized calcium binding adaptor molecule 1 (Iba1) was used to detect activated macrophages and microglia. NeuN was used to detect neuronal nuclei, while NFH was used to detect axons and MAP2A was used to label dendrites. S-100- $\beta$  was used to detect astrocyte cell bodies while GFAP was used to detect reactive astrocyte processes and cell bodies. The combination of Iba1 and lectin was also used to visualize endothelial cells, which express lectin alone, and differentiate them from activated microglia, which express both lectin and Iba1.

For immunohistochemistry, paraffin-embedded sections were deparaffinized in three changes of xylene, rehydrated in three changes of graded ethanol, and washed in ultrapure water. Antigen retrieval was performed by steaming the slide in 10 mM citrate buffer (pH 6) for 20 minutes. Sections were washed with tris-buffered saline (TBS), blocked for 20 minutes in 10% non-fat dairy protein in TBS, and again washed with TBS.

For DAB staining, sections were washed three times in TBS and incubated with a horseradish peroxidase-conjugated secondary antibody diluted 1:2000 in TBS for 15 minutes at room temperature. The section was then incubated with DAB chromogen substrate, which was prepared according to the manufacturer's instructions using a DAB Peroxidase Substrate kit (Vector Laboratories, Maravai Life Sciences, San Diego, CA). For Vector Blue staining, sections were washed three times in TBS and incubated with an alkaline phosphatase-conjugated secondary antibody diluted 1:2000 in TBS. The section was then washed three

times in TBS and incubated with Vector Blue substrate, which was prepared according to the manufacturer's instructions using a Vector Blue substrate kit (Vector Laboratories, Maravai Life Sciences, San Diego, CA). DAB-stained sections were differentiated in Blue Buffer (Leica Biosystems, Wetzlar, Germany) and counterstained with hematoxylin (Leica Biosystems, Wetzlar, Germany), while Vector Blue-stained sections were counterstained with Methyl Green or Fast Red (Vector Laboratories, Maravai Life Sciences, San Diego, CA). For Luxol Fast Blue (LFB) staining, sections were incubated overnight in NovaUltra LFB Solution (IHC World, Ellicott City, MD, USA) at 56C, differentiated with lithium carbonate, and counterstained with periodic acid-Schiff (PAS) (IHC World, Ellicott City, MD, USA). Following substrate visualization, sections were washed in TBS and coated with Immu-Mount (Fisher, 99-904-02), after which each section was sealed with a 22 x 50 mm coverslip. Secondary antibodies are described in the Appendix.

# 2.2.9 Multiplex Immunofluorescence

To immunophenotype macrophages and microglia expressing high levels of TSPO in the CNS, I worked with the NINDS FACS Core Facility to design a 10-color multiplex immunofluorescence panel staining for Iba1, TSPO, early activation markers MRP14, MHC-II molecule HLA-DR, phagocytic receptor CD163, and canonical M2 marker Arg1. All markers were validated to work in both marmoset and human tissues that were formalin-fixed and paraffin-embedded. To further probe the expression of TSPO in reactive astrocytes and neurons, selected sections were stripped and re-stained with a second panel staining to Iba1, GFAP, calcium binding astrocytic marker S-100-B, neuronal nuclear marker NeuN, dendritic marker MAP2a, and axonal filament NFH.

For multiplex immunofluorescent staining, paraffin-embedded sections were deparaffinized in three changes of xylene, rehydrated in three changes of graded ethanol, and washed in ultrapure water. Prior to staining, sections were washed twice in NPM, followed by two washes in distilled water. Antigen retrieval was performed by boiling the slide in 10 mM citrate buffer (pH 6) for 10 minutes in a 1.2 kW microwave at maximum power, after which the sections were allowed to cool for 30 minutes to room temperature and washed twice in distilled water. To reduce nonspecific Fc receptor binding, Fc receptor blocking was performed by coating the section in 250 µl FcR blocker (Innovex Biosciences, NB309) for 15 minutes at room temperature, after which the section was washed twice in distilled water. To further reduce background, sections were then coated with 250 µl Background Buster (Innovex Biosciences, NB306) for 15 minutes at room temperature and washed twice in distilled water. Sections were then covered with a primary antibody cocktail containing the following antibodies diluted as specified in NPM in **Table 7-1**. Sections were incubated in the primary antibody cocktail for 45 minutes at room temperature and washed in NPM followed by three changes of distilled water, after which they were covered in a secondary antibody cocktail composed of the following secondary antibodies diluted as specified in NPM containing DAPI (Invitrogen, D1306, 100 ng/ml) in **Table 7-2**. The sections were incubated in the secondary antibody cocktail for 45 minutes at room temperature, washed once in NPM, and twice in distilled water. To facilitate mounting, the sections were air-dried for 15 minutes at room and coated with Immu-Mount (Fisher, 99-904-02), after which each section was sealed with 22 x 50 mm coverslip and allowed to dry overnight prior to image acquisition.

# 2.2.10 Antibody stripping of previously stained sections

To facilitate the re-staining of previously stained sections, coverslips were removed carefully from mounted sections and the uncovered sections were incubated for 10 minutes at room temperature in 250  $\mu$ l of NewBlot Nitro 5X Stripping Buffer (Li-Cor, 928-40030). The stripped sections were washed once in NPM, followed by two washes in distilled water.

#### 2.2.11 Multiplex immunohistochemical staining of previously stripped sections

To detect antigens not restored by prior antigen retrieval, sections were boiled for an additional two minutes in citrate buffer (pH 6.0) in a 1.2 kW microwave at 100% power, after which the sections were allowed to cool for 30 minutes to room temperature and washed twice in distilled water. The sections were covered 250  $\mu$ l FcR blocker (cat. no. Innovex Biosciences, NB309) for 15 minutes at room temperature, after which the section was washed twice in distilled water. Sections were then blocked for 15 minutes at room temperature in 250  $\mu$ l Background Buster (Innovex Biosciences, cat. no. NB306) and washed twice in distilled water.

consists of the following antibodies diluted in NPM as described in **Table 7-3**. Sections were incubated in the R2 primary antibody cocktail for 45 minutes at room temperature and washed in NPM followed by three changes of distilled water, after which they were covered in the R2 secondary antibody cocktail composed of the following secondary antibodies diluted as specified in NPM containing DAPI (Invitrogen, cat. no. D1306, 100 ng/ml) as specified in **Table 7-4**.

The sections were incubated in the secondary antibody cocktail for 45 minutes at room temperature, washed once in NPM, and twice in distilled water. To facilitate mounting, the sections were air-dried for 15 minutes at room and coated with Immu-Mount (Fisher, cat. no. 99-904-02), after which each section was sealed with a 22 x 50 mm coverslip and allowed to dry overnight prior to image acquisition.

# 2.2.12 Image Acquisition

Sections stained with more than 4 markers were imaged using a 20x/0.8NA/Phase2 Plan-Apochromat Zeiss objective mounted on an AxioImager.Z2 widefield scanning fluorescence microscope with a 600 µm x 600 µm field of view and 5% overlap between each imaged tile. To detect the additional markers, the microscope is outfitted with 10 distinct narrow band-pass filters. The scanner moves the stage with precision and acquires an image at each tile location from each color channel. Each image is a 16-bit monochrome image of the fluorescence intensity. A dataset from a fully scanned slide is typically comprised of 800-1200 image tiles, which are each 2048 x 2048 pixels.

#### 2.2.13 Lesion analysis

After matching sections of interest to their corresponding slice on MRI, preliminary ROI were defined by pathology, using PLP and Iba1 staining to detect demyelination and microglial clustering. Serial MRI studies were used to classify hyper-intensities by time of appearance and mark changes in size or shape. The MRI scan showing highest level of activity was used to draw ROI encompassing each hyperintensity at its largest. I then superimposed ROI identified on MRI over ROI identified by pathology in corresponding tissue section. Where there was a discrepancy between the size of the ROI observed on MRI and the corresponding ROI identified by histopathology, the larger ROI was chosen. Lesions were color-coded by time of appearance as follows:

Purple = 2-3 mo. Blue = 3-4 mo. Green = 4-5 mo. Yellow= 6-7 mo. Orange - 7-8 mo. White= lesion age indeterminate.

#### 2.2.14 Image quantification

To quantify TSPO in Iba1+ cells in primate brain, we chose to limit our analysis to Iba1+ cells with visible DAPI+ nuclei, thereby restricting or analysis to macrophages and microglia with a nucleus in the plane of the section. An erosion/dilation algorithm coded in C++ was used to segment DAPI+ signal and identify nuclei. Each DAPI+ region was then for contiguous Iba1+ pixels to identify microglial nuclei. This was done to exclude Iba1+ fragments not associated with DAPI+ nuclei and exclude DAPI+ nuclei not associated with Iba1. After identifying microglial nuclei, all pixels within a 15-pixel radius of each nuclei were scanned for Iba1+ signal above a certain threshold, which was defined for each section by visual inspection. If a pixel had an intensity of Iba1 staining above threshold and was contiguous with the nucleus or other Iba1+ pixels that were contiguous with the nucleus, this pixel was identified as containing cytoplasm. The pixels constituting the cytoplasm and the nucleus were added to one another to form a cell mask, which was then assigned a number and scanned for expression of other markers, e.g. TSPO, Arg1, CD74.

To count neurons, a 500  $\mu$ m x 500  $\mu$ m region of interest was selected in the right and left cortical gray matter and NeuN+ and NeuN+TSPO+ cells were counted manually.

#### 2.2.15 Statistical Analysis

To study changes in TSPO expression over time in lesions of different ages, in which a subset of lesions of different ages were found in the same animal, a linear mixed effects model was used to account for the non-independence of these data points <sup>242,243</sup>. Changes in the fraction of Iba1+ cells expressing TSPO were modeled as a function of lesion age at time of sacrifice, animal identity, and animal treatment status.

Specifically, the fraction of Iba1+ cells expressing TSPO ("TSPO Ratio") in each lesion is modeled as a dependent variable that varies as a function of treatment status (i.e. "steroid-treated" or "untreated") and lesion age at time of sacrifice ("Month.Stop"), which is treated conditionally as a fixed effect. Secondly, to account for multiple levels of random variation, random variation associated with animal identity ("Error(Monkey)") is differentiated from random variation between lesions within individual animals ("PureError(Lesion|Monkey)"), which represents the standard residual variation due to factors not taken into account by the model. The relationship between TSPO expression and treatment status and lesion age can thus be modeled via additive offsets from a reference state at time of lesion onset (e.g. Month.Stop = 0) in the absence of the steroid treatment. This model may be represented by the following equation, where the y-intercept of the function ("Intercept") is implicitly defined primarily by fixed effects but also in part by random effects:

- TSPO Ratio ~ Intercept + Steroid\*[Treatment=Steroid]
- + Sum(MonthEffect@month\*[Month=month]; month in the Set{1:7})
- + Error(Monkey) + PureError(Lesion|Monkey)

An alternative parameterization estimates the intercept as the fraction of Iba1+ cells that are TSPO+ in the absence of steroid treatment over all months before sacrifice and incorporates dependence on months before sacrifice as a sequence of successive differences from the cumulative average of the preceding values up to that time e.g. subtracting the TSPO+ ratio three months prior to sacrifice from the average of the TSPO+ ratios at one and two months prior to sacrifice. Thus, for this model, the intercept estimates an overall "TSPO Ratio" across months before sacrifice in the absence of steroid treatment.

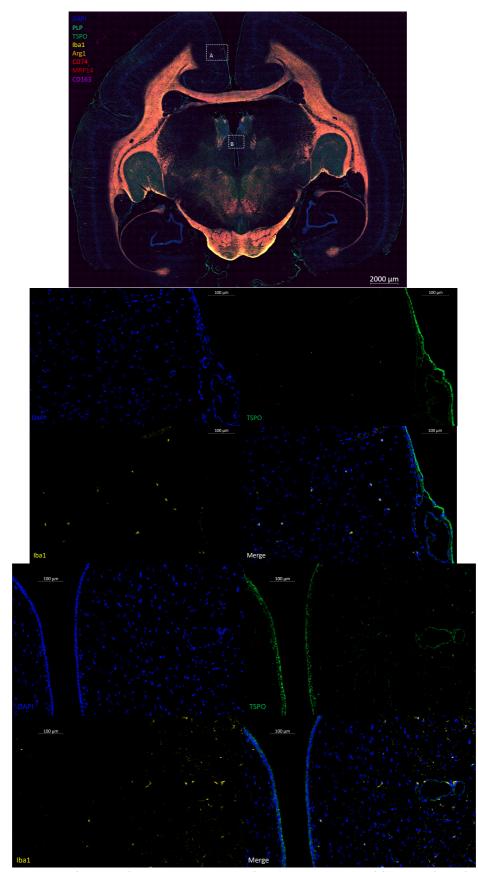
A table summarizing animal identity, treatment status, and lesion age for each lesion is included in the appendix. Analysis was performed in R (R Foundation for Statistical Computing, Vienna, Austria). A p-value of less than 0.05 was considered significant. The R code used to perform this analysis was derived from analyses by Kusnetsova et al. <sup>243,244</sup> and is included in the appendix.

To assess for dependence between TSPO and other markers of immunophenotype, joint responses to multiple markers have been represented by determining the odds ratio statistic for each individual lesion, which are calculated as followed, for MRP14 as an example:

# 2.3 **Results**

# 2.3.1 TSPO is expressed in the meninges, ependyma, and vascular endothelium of control marmoset brain tissue

To detect and determine the identity of TSPO-expressing cells in healthy and EAE marmoset CNS tissue, we applied a multiplex immunofluorescence panel described in **Table 7-1** and **Table 7-2** to sections of brain and spinal cord tissue from EAE marmosets and a non-EAE control marmoset that died of non-neurological disease. Figure 1 shows representative multiplex immunofluorescence images of TSPO, Iba1, and DAPI staining in regions of NAWM and NAGM from the brain from this control animal, a neurologically healthy primate that died of gastrointestinal disease. As shown in *Figure 2-1*, there is dense TSPO staining in the meninges (**2-1A**) and ependymal cells (**2-1B**) of the non-EAE control marmoset that does not colocalize with Iba1.



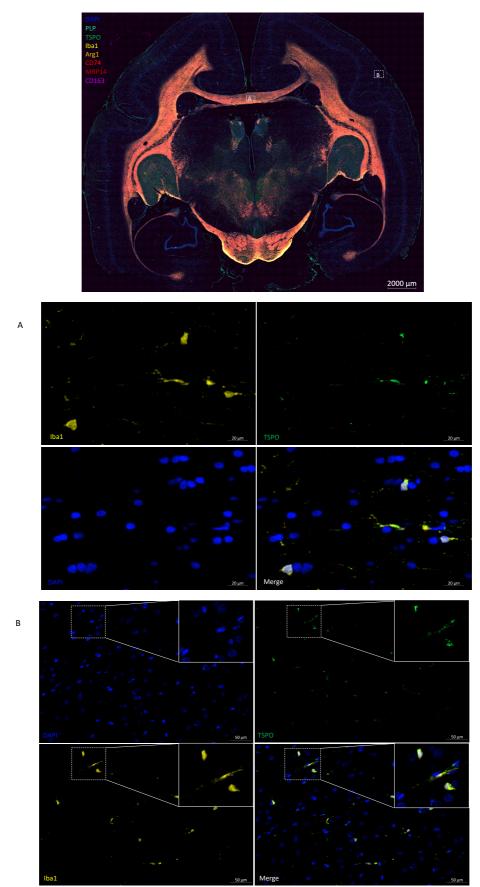
Α

В

*Figure 2-1*. *TSPO, Iba1, and DAPI staining in the a) meninges and b) ependymal cells of a non-EAE control marmoset that died of gastrointestinal disease.* 

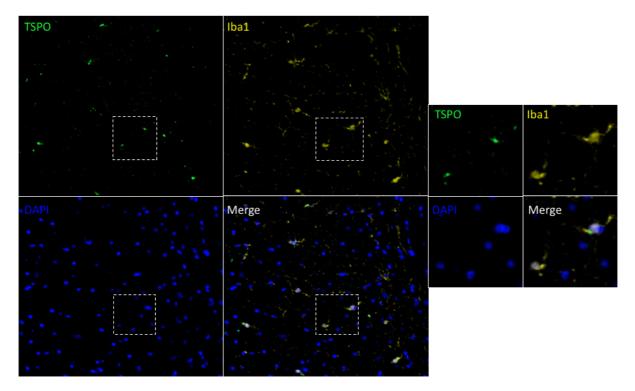
# 2.3.2 TSPO is expressed in 59% of Iba1+ microglia and macrophages in NAWM and NAGM in healthy primate brain tissue

TSPO expression was observed in Iba1+ cells in both normal-appearing white matter and normal-appearing grey matter in both healthy and diseased primate tissue. *Figure 2-1* shows multiplex immunofluorescence images of TSPO, Iba1, and DAPI staining in regions of NAWM and NAGM from the brain of P0, the control primate that died of nonneurological disease. Segmentation of Iba1+ cells with visible DAPI-stained nuclei identified 34,345 activated microglia and macrophages within the section. As there was no evidence of blood-brain barrier compromise in this animal, the vast majority of Iba1+ cells in this animal are presumed to be resident microglia. A small quantity of Iba1+ cells were observed within the intravascular space of cerebral blood vessels and are thought to be peripheral blood macrophages. Quantification of TSPO+ pixels with an intensity above 2300 indicated TSPO expression above threshold of in 58.65% of Iba1+ cells in this section of the brain.

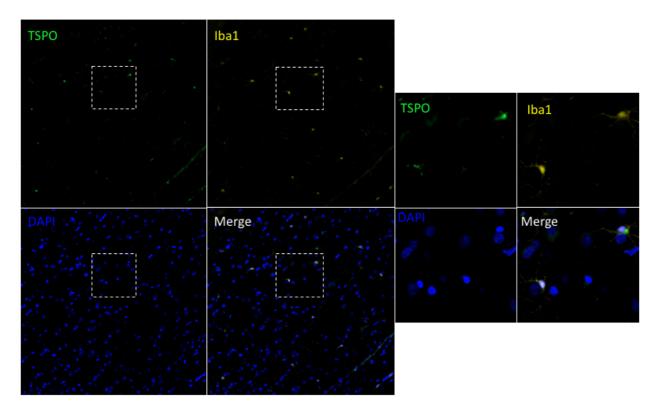


*Figure 2-2. TSPO, Iba1, and DAPI staining in the A) NAWM and B) NAGM of a non-EAE control marmoset that died of gastrointestinal disease.* 

TSPO expression was also observed in Iba1+ microglia/macrophages in the NAWM and NAGM of EAE animals. **Figure 2-3** shows multiplex immunofluorescence images of TSPO, Iba1, and DAPI staining in regions of NAWM of Marmoset P1, an EAE marmoset. **Figure 2-4** shows multiplex immunofluorescence images of TSPO, Iba1, and DAPI staining in regions of NAGM from Marmoset J, another untreated EAE marmoset.

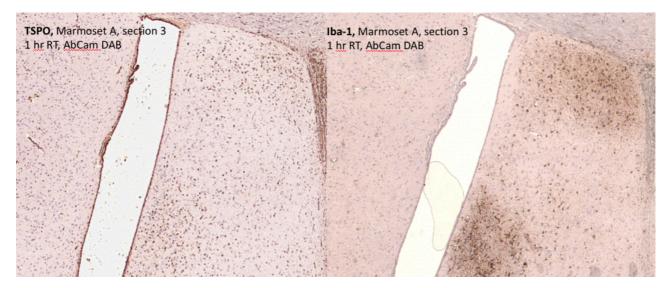


*Figure 2-3. TSPO, Iba1, and DAPI staining in a region of normal appearing white matter in an untreated EAE marmoset, P1. The inset shows two TSPO+Iba1+ cells at higher resolution.* 



*Figure 2-4. TSPO, Iba1, and DAPI staining in a region of normal appearing gray matter in an untreated EAE marmoset, J. The inset shows two TSPO+Iba1+ cells at higher resolution.* 

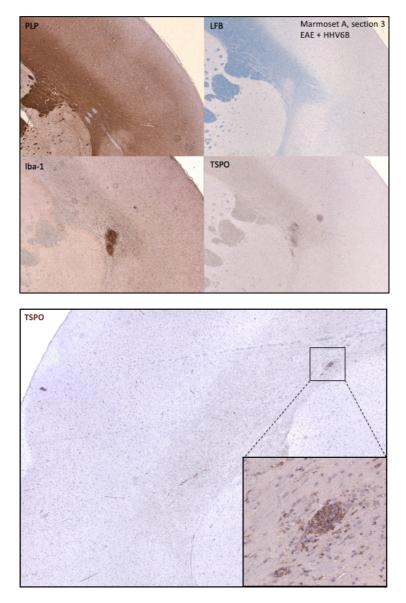
While conventional MRI does not allow the effective imaging of demyelination in the gray matter in these animals, Iba1 staining facilitated the identification of regions of phagocytic activation in the vicinity of the right thalamic nucleus of marmoset A, an EAE marmoset with antecedent HHV-6B infection included through a trial studying the effects of viral exposure on neuroinflammatory response. A subtle increase in TSPO positivity is seen in this same region, suggesting TSPO could be used to detect areas of inflammatory activity that are not yet detectable on MRI.



*Figure 2-5. TSPO and Iba1 staining with DAB chromogen and hematoxylin counterstain in the region of the right thalamic nucleus of EAE marmoset A.* 

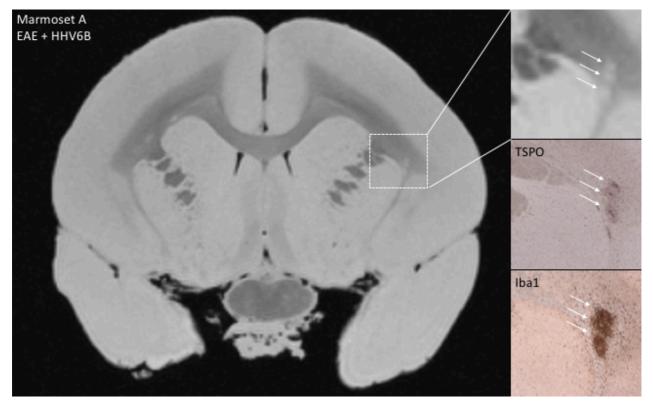
# 2.3.3 TSPO is highly upregulated in demyelinated T2-hyperintense lesions in marmoset EAE, primarily in association with Iba1+ microglia and macrophages

Fixed sections of brain and spinal cord tissue from EAE marmosets A and C were stained by conventional immunohistochemistry for TSPO and counterstained with hematoxylin and eosin to visualize cellular structures and nuclei. Contiguous serial sections were stained for Iba1 to visualized activated macrophages and microglia and PLP to visualize demyelination in gray and white matter. Luxol Fast Blue staining was performed to visualize demyelinated lesions in the white matter. PLP and LFB staining revealed clusters of demyelinated lesions, while Iba-1 staining shown dense phagocytic activation within the lesions. These lesions were found to be highly positive for TSPO. Images taken at higher magnification (10x) shows many ramified crescent-shaped cells consistent with microglial morphology (*Figure 2-6*).



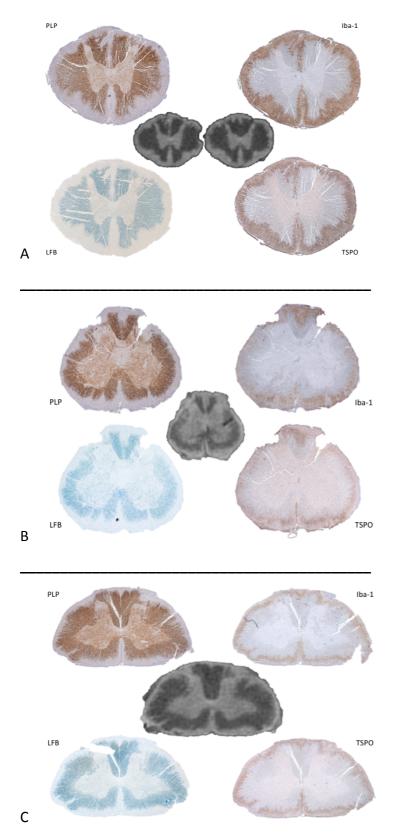
**Figure 2-6**. Top panel shows PLP, LFB, Iba1, and TSPO staining of a lesion in the left white matter tract of an EAE marmoset with antecedent HHV6B infection. Lower panel and inset show dense TSPO staining in one lesion in the right white matter tract. Iba1 and TSPO staining was visualized with DAB chromogen.

Moreover, these lesions were found to correlate with hyperintensities identified on serial T2W and postmortem PD-weighted MRI. A comparison of TSPO and Iba1 staining with T2W hyperintense demyelination in a cluster of lesions in the left white matter tract of an EAE marmoset with antecedent HHV6B infection is shown in **Figure 2-7**.



**Figure 2-7**. Comparison of TSPO and Iba1 staining in three adjacent left white matter tract lesions and hyperintensities identified on postmortem PDW MRI (white arrows). Iba1 and TSPO staining was visualized with DAB chromogen and hematoxylin counterstaining.

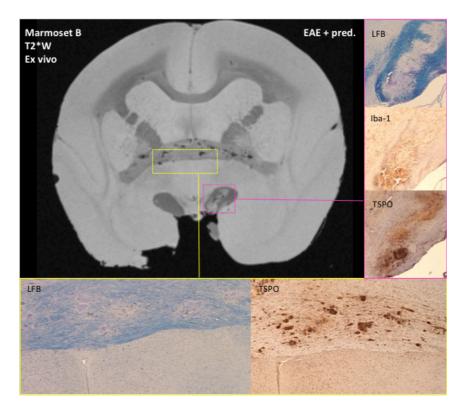
This pattern was found to persist in the spinal cord of an EAE marmoset, Marmoset C (**Figure 2-8**). White matter demyelination was detected by LFB and conventional immunohistochemical staining for PLP staining and was observed to extend from the pial surface of the spinal cord at the cervical, thoracic, and lumbar levels. This white matter demyelination was observed to correlate with increased densities of Iba1+ microglia and macrophages in all three sections. Gray matter demyelination is less apparent, but some areas of patchy demyelination can be correlated to Iba-1 staining on higher magnification. These were also found to correlate with demyelinated lesions identified by T2W MRI <sup>138</sup>. TSPO staining was found to correspond spatially with Iba1 staining and demyelination of the rim of the spinal cord at all levels.



**Figure 2-8**. Comparison of PLP, LFB, Iba1, and TSPO staining with T2W spinal MRI in the *A*) lumbar, *B*) thoracic and *C*) cervical spinal cord of an untreated EAE marmoset. Iba1 and TSPO staining was visualized with DAB chromogen and hematoxylin counterstaining.

#### 2.3.4 Temporal changes in TSPO expression

Evidence of temporal changes in TSPO expression in marmoset EAE were first observed in tissue from a male EAE marmoset, W, that had received steroids intermittently over the course of its disease and had developed an afferent pupillary defect, indicating pathology of the optic nerves and tracts. A coronal ex-vivo T2\* MRI confirmed two large lesions in the left optic tract, which were determined to be between 4 and 7 months old by review of serial biweekly MRIs over the course of disease, as well as many iron-containing lesions in the anterior commissure, which were visible but too small to be effectively aged. TSPO staining was significantly improved in these lesions with antigen retrieval using citrate buffer at pH 6 with high heat (boiling) and correlated to demyelination and phagocytic activation by LFB and Iba-1 staining in both the optic nerve and anterior commissure (Iba-1 not shown). Interestingly, a distinct difference in the intensity of TSPO staining was observed between the two lesions in the left optic tract. An analysis of serial MRI studies over the 6 months prior to the animal's death in February 2016 found that the upper lesion (Figure 2-9, blue arrow) had developed in early September 2015 and was approximately 5-6 months old at the time of the animal's death. The lower lesion (Figure 2-9, white arrow) did not develop until mid-October and was approximately 3-4 months old at the animal's time of death.

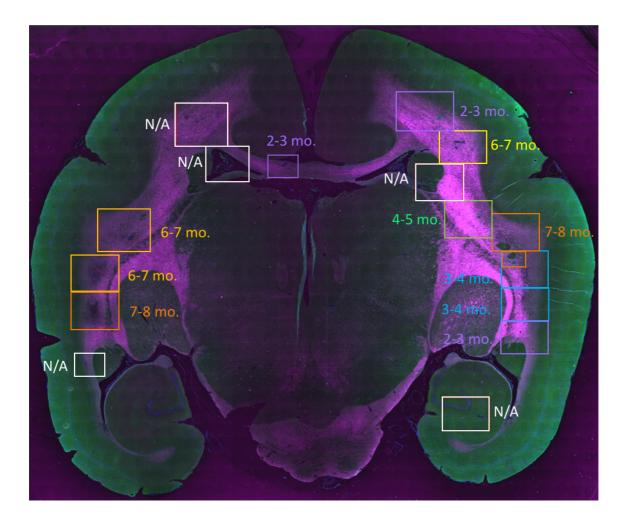


**Figure 2-9**. T2W MRI studies performed on untreated EAE W marmoset in the 6 months prior to sacrifice indicate two lesions forming in the left optic tract. The upper lesion (blue arrow) first appeared in early September 2015 and was 5-6 months old at the time of sacrifice in February. A small circular lesion (white arrow) was first seen in mid-October and was 3-4 months old at sacrifice. Immunohistochemical stainings for TSPO and Iba1 with DAB chromogen show noticeably higher expression in the younger lesion. An ex-vivo T2\*-weighted MRI also indicates multiple iron-laden lesions in the anterior commissure that appear demyelinated on LFB staining and are densely positive for TSPO.

On histopathology, the younger lesion was found to contain a higher density of Iba1+ cells and a much higher density of TSPO staining when compared to the older lesion, suggesting it may be useful to gain insight into the time course of TSPO regulation.

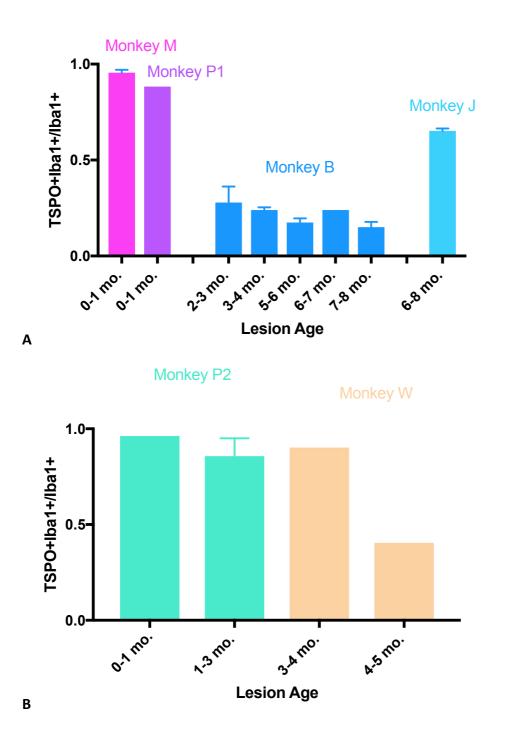
To further probe the time course of marmoset EAE, a multiplex immunofluorescence measuring TSPO, Iba1, CD74, and MRP14 was applied to sections of brain tissue from four untreated EAE marmosets (animals M, B, J, and P1) and two steroid-treated marmosets (animals W and P2). *Figure 2-10* shows a section from animal B, an untreated male EAE animal whose disease developed over the course of 10 months. This animal was monitored monthly by MRI, which facilitated the identification of many lesions of different ages at the time of the animal's death. The section shown was selected because it was found to contain lesions ranging from 2-3 months to 7-8 months of age. The section was stained with an

antibody cocktail targeting TSPO, PLP, Iba1, and multiple immunophenotype markers observed to correlated to M1 and M2 phenotype induction in vitro.



**Figure 2-10**. A section from an untreated EAE marmoset with over 10 lesions of various ages as determined by serial MRI, as well as several inflammatory nodules not seen on MRI (labeled "N/A"). Colors indicate lesion age as follows: Purple = 2-3 mo., Blue = 3-4 mo., Green = 4-5 mo., Yellow= 6-7 mo., Orange = 7-8 mo., White = Lesion age indeterminate.

Quantification of TSPO within Iba1+ cells indicated that the percentage of Iba1+ cells that were also TSPO+ was highest in younger lesions. To test if this trend was observed in other animals, the percentage of Iba1+ cells expressing TSPO was quantified in lesions of different ages in the other EAE marmosets. The results are shown in *Figure 2-11*.



*Figure 2-11.* Fractions of Iba1+ cells co-expressing TSPO in lesions of different ages from *A*) untreated EAE animals (n=4) and *B*) prednisolone-treated EAE animals (n=2).

An overall ANOVA (with Satterthwaite-corrected degrees of freedom) indicates a statistically significant effect for lesion age at time of sacrifice ("MonthStop") (p=0.01041, F(7.0, 5.3091)=9.46), but no significance was associated with steroid treatment status (p=0.28152, F(1.0, 3.15)=1.68) after adjustment for other fixed effects via a Type III Sum-of-Squares. These are summarized in **Table 2-2**.

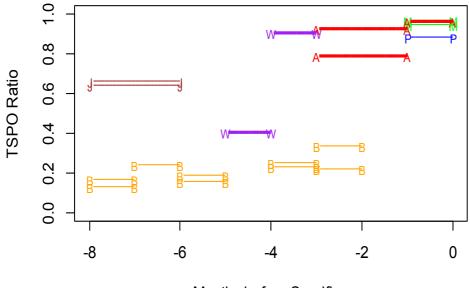
Parameter	Sum Sq	Mean Sq	nDF	dDF	F-stat	p-value
Treatment	0.004168	0.004168	1	3.1515	1.6814	0.28152
factor(-MonthStop)	0.164179	0.023454	7	5.3091	9.4616	0.01041 *

**Table 2-2.** Sum-of-Squares values ("Sum Sq"), mean square values ("Mean Sq"), numerator degree of freedom (nDF), denominator degrees of freedom ("dDF"), F-statistics, and p values for the parameters of treatment (steroid-treated vs. untreated) and lesion age at time of sacrifice ("factor(MonthStop)"). \* denotes a p-value <0.05.

The fixed effects in this model, summarized in *Table 2-3* estimate the fraction of Iba1+ cells expressing TSPO ("TSPO Ratio") at lesion onset (i.e. "Month-to-Sacrifice"=0) to be 0.85 (SEM=0.14; p<0.009). The individual effects observed at each time point prior to time of sacrifice are summarized in the table below, with the largest change in TSPO expression observed with a lesion age of 4-5 months at time of sacrifice ("Month.Stop.4"). The estimated effect associated with this timepoint is -0.83, indicating a decrease in TSPO expression from 0.85 to 0.02, which is statistically significant (SEM=0.19; p=0.01398). The effect of steroid treatment is estimated to be 0.25 and is not significant (SEM=0.19; p=0.28152). A plot of lesion age vs. fraction of Iba1+ cells expressing TSPO for all subjects is shown in **Figure 2-12**.

Parameter	Estimate	Std. Error	dF	t-stat	p-value	_
Intercept	0.85050	0.14353	3.07113	5.925	0.00899	**
Steroid treatment effect	0.25136	0.19384	3.15145	1.297	0.28152	
factor(-MonthStop)1	-0.11178	0.06064	7.23654	-1.843	0.10644	
factor(-MonthStop)2	-0.30431	0.18535	3.32704	-1.642	0.19017	
factor(-MonthStop)3	-0.33983	0.18311	3.17421	-1.856	0.15542	
factor(-MonthStop)4	-0.83048	0.19179	3.77872	-4.330	0.01398	*
factor(-MonthStop)5	-0.40831	0.18535	3.32704	-2.203	0.10604	
factor(-MonthStop)6	-0.33629	0.18417	3.24118	-1.826	0.15844	
factor(-MonthStop)7	-0.43331	0.18535	3.32704	-2.338	0.09283	_

**Table 2-3.** Estimate of the fraction of Iba1+ cells expressing TSPO in a newly formed lesion ("Intercept") followed by estimates of the effects associated with steroid treatment and each time point of lesion formation relative to the time of sacrifice. \* denotes a p-value <0.05. \*\* denotes a p-value <0.01. here, the Intercept captures lesion formation proximal to the time of sacrifice, denoted 0-1 or <1 months; for the factor level offsets for the lesion formation intervals, 1=1-2 or >1 (coded as 1-3) months, 2=2-3, 3=3-4, 4=4-5, 5=5-6, 6=6-7 or 6-8, and 7=7-8 months. \* denotes a p-value <0.05. \*\* denotes a p-value <0.01.



**TSPO Ratio versus Months before Sacrifice** 

Months before Sacrifice

*Figure 2-12. Plot of ratio of TSPO+Iba+ cells to total Iba1+ population vs. lesion age at time of sacrifice (the number of months prior to sacrifice at which the lesion was detected).* 

An alternative parameterization was then used to estimate the intercept as the fraction of Iba1+ cells that are TSPO+ in the absence of steroid treatment over all months before sacrifice and compare the TSPO+ ratio at each lesion age to the cumulative average of the preceding values up to that time e.g. calculating successive differences by subtracting the TSPO+ ratio two months prior to sacrifice from the average of the TSPO+ ratios at zero and one months prior to sacrifice, etc. These estimates are shown in **Table 2-4**. With Bonferroni correction, the minimum two-sided p-value is 0.00105 for lesions 4-5 months old at time of sacrifice, which indicates a statistically significant change-point across the 7 time points (p = 0.00735, two-sided).

Parameter	Estimate	Std. Error	dF	t-stat	p value	
Intercept	0.50496	0.12015	3.07474	4.203	0.02342	*
Steroid treatment effect	0.25136	0.19385	3.15133	1.297	0.28152	
factor(-MonthStop)1	-0.05589	0.03032	7.23657	-1.843	0.10644	
factor(-MonthStop)2	-0.08281	0.06188	3.34786	-1.338	0.26447	
factor(-MonthStop)3	-0.05028	0.03120	3.45232	-1.612	0.19348	_
factor(-MonthStop)4	-0.12830	0.02264	6.39094	-5.667	0.00105	**
factor(-MonthStop)5	-0.01517	0.01420	5.42998	-1.068	0.33058	
factor(-MonthStop)6	-0.00055	0.01114	6.88651	-0.049	0.96208	_
factor(-MonthStop)7	-0.01254	0.00834	6.98560	-1.503	0.17656	_

**Table 2-4.** Estimate of the fraction of Iba1+ cells expressing TSPO in a newly formed lesion ("Intercept"), followed by estimates of the effects associated with steroid treatment and each time point of lesion formation relative to the time of sacrifice, following alternative parameterization. \* denotes a p-value <0.05. \*\* denotes a p-value <0.01.

### 2.3.5 Phenotype of TSPO+ microglia/macrophages in healthy brain

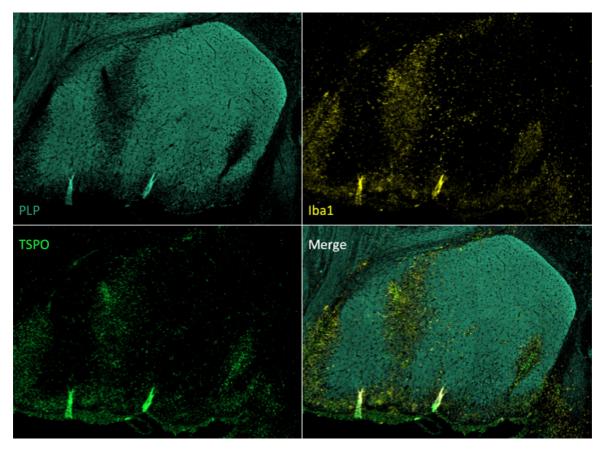
To determine the immunophenotype of TSPO-expressing cells in the healthy marmoset brain, we applied the immunophenotyping antibody panel in Table 7-1 and Table 7-2 to a section of brain tissue from control marmoset P0. Conventional "M1" markers included early activation marker MRP14 and HLA DR chaperone protein, CD74, while conventional "M2" markers included canonical tolerogenic marker Arg1 and the hemoglobin-haptoglobin scavenger receptor, CD163. All markers detected in this panel are listed in Table 7-1. With the exception of a small number of cells observed within blood vessels in the brain parenchyma, the vast majority of these Iba1+ cells are located within the parenchyma itself and are presumed to be more representative of microglia than peripheral macrophages, as there was no evidence of blood-brain barrier compromise in this animal. However, hemoglobin-haptoglobin scavenger receptor CD163 was only observed within blood vessels in the healthy primate brain. Thus, CD163 in the healthy primate brain appears to be a marker exclusively associated with peripheral macrophages still located in the intravascular space. This is consistent with our studies of normal appearing white matter in EAE brains, where CD163 expression is observed in the parenchyma of white matter lesions, but CD163 in the normal-appearing white matter is restricted to intravascular cells.

Of the established immunophenotype markers monitored in this panel, Arg1 was the most frequently expressed in activated phagocytic cells, occurring in 25% of Iba1+ cells. Arg1 was also the marker most frequently co-expressed with TSPO, as 16.5% of Iba1+ cells were TSPO+Arg1+ (*Figure 2-15*). While TSPO is expressed in approximately 58.65% % of Iba1+ cells in the healthy brain, it was expressed in 87% of Arg1+Iba1+ cells.

This pronounced association between TSPO and Arg1 in the healthy brain is consistent with studies of immunophenotype in vitro, which associate TSPO with an M2 phenotype <sup>204</sup>. However, TSPO is also closely associated with CD74, which is typically considered an M1 marker. This finding illustrates the complexity of characterizing immunophenotype in vivo, where cells are exposed to wide variety of known and unknown stimuli and therefore may not conform to the strictly delineated "M1" and "M2" phenotypes observed in vitro. Ultimately TSPO appears to be expressed in both "M2"- and "M1"-like phagocytes in control CNS tissue.

#### 2.3.6 Phenotype of TSPO+ microglia/macrophages in acute EAE lesions

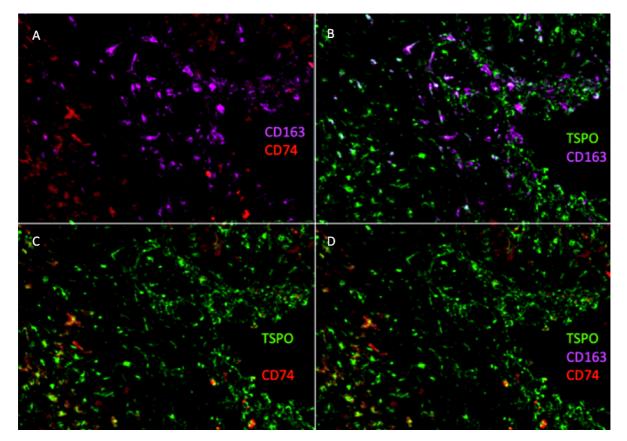
Of the 9 EAE animals examined in this study, 2 were found to have acute lesions at the time of sacrifice. In these acute EAE lesions, there is a massive increase in both the density of microglia and the density of TSPO relative to levels observed in the non-EAE control brain. In fact, TSPO was found in over 90% of Iba1+ microglia/macrophages in these acute lesions. While conventional immunohistochemical stains established a correlation between the density of TSPO and Iba1 in demyelinated lesions, multiplex staining was ultimately necessary to establish the colocalization of TSPO in Iba1+ microglia/macrophages and illuminate the specificity of this correlation. **Figure 2-13** shows images of TSPO, Iba1, and PLP in acute demyelinated lesions (<4 weeks old) in the optic nerve of EAE marmoset M. While these images highlight the concordance of TSPO and Iba1 on a gross scale, they also reveal subtle differences. Whereas Iba1 is distributed fairly evenly throughout the demyelinated region, TSPO appears most concentrated in the center of each focal lesion, where demyelination is most extensive, typically arranged in patterns suggestive of vascular structures.



*Figure 2-13*. Multiplex staining of PLP, Iba1, and TSPO in a demyelinated optic tract lesion, showing dense infiltrates of predominantly TSPO+ macrophages and microglia in an untreated EAE marmoset.

## 2.3.7 TSPO colocalizes with both "M1" and "M2" phenotype markers in vivo in marmoset EAE

To determine the phenotypic associations of TSPO in marmoset EAE, we examined the expression of TSPO and various markers of immunophenotype in acute EAE lesions (n=3) from EAE animals M and P1. The tissue section obtained from animal M contained 2 lesions <4 weeks old, while the section obtained from P1 contained 1 lesions < 4 weeks old. None of the other animals were found to have acute lesions at time of sacrifice. Immediately evident from this study was a striking increase in not only the expression of Iba1 and TSPO but also the M1 marker CD74, which is an HLA DR chaperone protein associated with antigen presentation, and CD163, which is a hemoglobin-haptoglobin receptor and conventional M2 marker associated with phagocytosis. While these markers appear to label distinct populations of phagocytes in the brain, multiplex staining confirmed that TSPO was co-expressed in both CD74+ and CD163+ phagocyte populations (**Figure 2-14**).



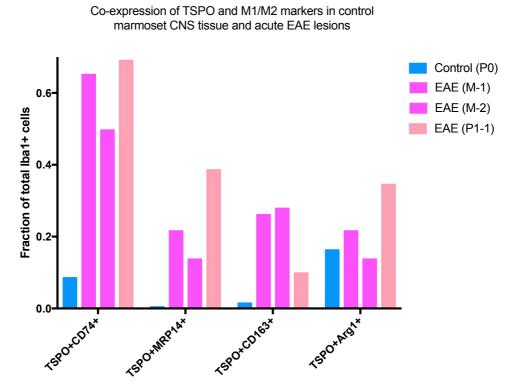
**Figure 2-14**. Multiplex staining of demyelinated optic tract lesions in animal M with dense TSPO and Iba1 staining (not shown) indicates TSPO to co-localize with both CD163 and CD74. Panel A shows distinct phagocytic cell populations identified by CD163 (purple) and CD74 (red). The colocalization of TSPO (green) and CD163 (purple) can be seen in panel B. The colocalization of TSPO (green) and CD74 (red) can be seen in panel C.

To quantify the extent to which TSPO is co-expressed with these distinct markers of microglial activation, a segmentation algorithm was developed to analyze high-resolution immunofluorescence images and identify DAPI+ nuclei with an Iba1+ cytoplasm within a 15-pixel radius. The addition of the nuclei and surrounding Iba1-defined cytoplasm yielded a mask defining the shape and location of each Iba1+ cell. The area defined by the mask was then scanned for TSPO+ pixels, CD74+ pixels, CD163+ pixels, and MRP14+ pixels. The percentages of total Iba1+ microglia and macrophages expressing TSPO and other markers in healthy brain and acute lesions are shown in **Figure 2-15**.

While CD74 was co-expressed with TSPO in only 8.7% of Iba1+ microglia in the healthy brain, over 50% of Iba1+ cells in acute lesions co-expressed TSPO with CD74. There are also marked increases in both the absolute number of CD163+ microglia/macrophages and the percentage of TSPO+ microglia/macrophages co-expressing CD163, which constitute less than 2% of Iba1+ cells in healthy brain parenchyma but over 20% in acute lesions. This

is consistent with the expectation that compromise of the blood-brain barrier would lead to increased expression of the haptoglobin-hemoglobin receptor CD163 in the brain parenchyma, whether due to the infiltration of macrophages from the peripheral blood or induced CD163 expression in resident microglia exposed to blood and plasma proteins. Likewise, MRP14, which was expressed in less than 1% of Iba1+ cells in healthy brain tissue, was found in nearly 14% of Iba1+ cells in the right optic tract and 20% of Iba1+ cells in the left optic tract. In both healthy and diseased tissue, these MRP14+Iba1+ cells are 99.5% positive for TSPO.

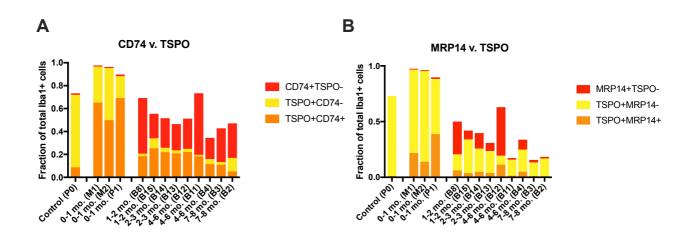
Surprisingly, while Arg1 was the most commonly detected immunophenotypic marker in our panel in control brain tissue, its expression does not appear to increase to the same degree as that of the CD74, MRP14 or CD163. In these acute lesions, it was co-expressed with TSPO in, on average, 23% of Iba1+ cells, vs. 16.5% of Iba1+ cells in healthy brain tissue.



**Figure 2-15.** Comparison of fractions of Iba1+ cells co-expressing TSPO and other markers of interest (CD74, CD163, Arg1, and MRP14) in a control marmoset and 3 acute lesions from two EAE marmosets. Two lesions were identified in animal M, while one lesion was identified in animal P1.

#### 2.3.8 Immunophenotype Changes in Chronic Lesions

While TSPO expression decreased significantly over time and M2 markers were generally not detected in chronic lesions due to high level of autofluorescence after fixation in these tissues, M1 markers did not decrease to the same degree. The fraction of cells co-expressing CD74 and TSPO (orange) peaks at approximately 50% in lesions < 1 month old and decreased to less than 10% in lesions over 4 months old. However, CD74 expression in the absence of TSPO (red) persisted to a much greater degree in chronic lesions, even as TSPO decreased. MRP14 expression decreased more rapidly than CD74, and there were very few MRP14+TSPO- cells (red). The percentage of total Iba1+ cells expressing TSPO with either CD74 or MRP14 and the percentages of cells expressing MRP14, CD74, or TSPO alone are shown **Figure 2-16**.

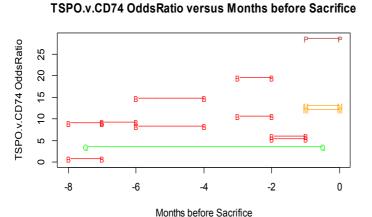


**Figure 2-16**. A) Percentages of Iba1+ cells that are TSPO+CD74+ (orange), TSPO+CD74- (yellow), and CD74+TSPO- in lesions of different ages (n=13) from different untreated EAE animals (M, P, B) and control marmoset P0.B) Percentages of Iba1+ cells that are TSPO+MRP14+ (orange), TSPO+MRP14- (yellow), and MRP14+TSPO- in lesions of different ages (n=13)

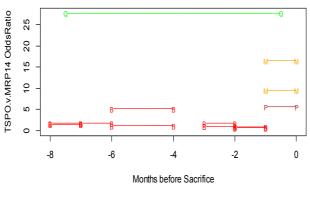
To assess for dependence between TSPO and these other phenotypic markers, the odds ratio was calculated for the co-expression of TSPO with MRP14 and CD74. Furthermore, the relationship between TSPO and MRP14 and CD74 was analysed over time for a potential change-point using the same linear mixed effects model used to analyse TSPO expression over time. There were no statistically significant features among the diseased monkeys among the various markers. For the single healthy monkey (P0, denoted as "Q" in the display), the observed odds ratio was 27.70907 and was tested against the independent sample estimate.

For model parameterizations representing lesion age at sacrifice as contrasts, the intercept of the model was taken to be the overall mean, which was estimated to be 3.0912720 (SEM=4.0431817, dF=0.7173073). The odds ratio was calculated to be 27.70907, which was non-significant (0.169282, two-sided). Plots of odds ratio vs. lesion age are shown in *Figure 2-17*. Identical analysis of TSPO in relation to CD74 showed no visible effect and no statistical significance. Plots of odds ratio vs. lesion age are shown in *Figure 2-17*.

#### А



TSPO.v.MRP14 OddsRatio versus Months before Sacrifice

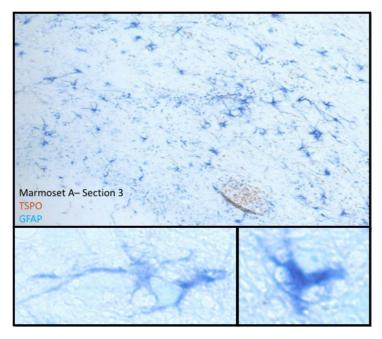


В

*Figure 2-17. Odds ratio vs. lesion age for co-expression of TSPO and A) CD74 or B) MRP14.* 

## 2.3.9 TSPO is not expressed by astrocytes in NAWM

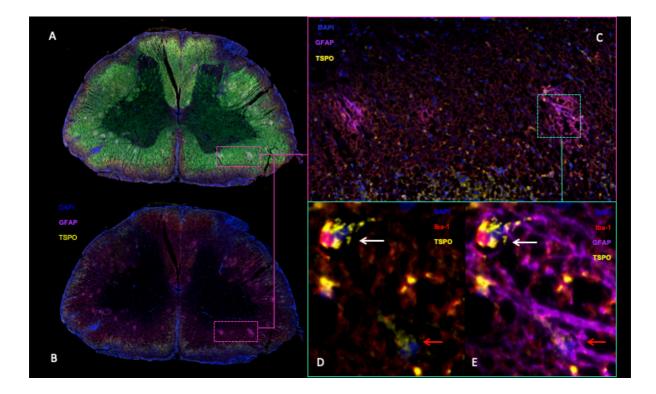
In conjunction with a pilot study on the effects of HHV6 viral exposure on the clinical course and pathophysiology of neuroinflammatory disease, astrocytic TSPO expression was initially assessed in EAE marmosets with and without antecedent HHV6B infection by conventional immunohistochemistry. Reactive GFAP+ astrocytes in normal appearing white matter (NAWM) were found to be virtually devoid of TSPO. A representative staining of astrocytes in NAWM adjacent to an EAE lesion is shown in **Figure 2-18**.



**Figure 2-18**. Double immunohistochemical staining for GFAP (Vector blue) and TSPO (DAB) shows a large number of reactive astrocytes in the periphery of a lesion in the right white matter tract of marmoset A. However, the brown TSPO staining is predominantly restricted to GFAP- cells in the center of the lesion. The insets show the cell bodies of two representative GFAP+ astrocytes, which are negative for TSPO.

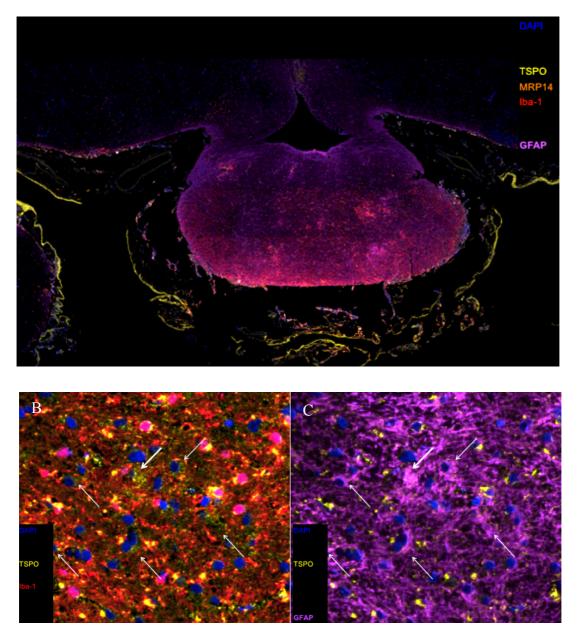
#### 2.3.10 TSPO is observed in astrogliosis in the brain and spinal cord of EAE marmosets

Sections of spinal cord from marmoset C and sections of brain tissue from P0 and multiple EAE marmosets (B, J, M, P1, and P2) were stained for TSPO, Iba1, DAPI, and GFAP to differentiate TSPO expression in microglia and macrophages from TSPO expression in astrocytes (*Figure 2-19*). Multiple foci of astrogliosis were seen in the cervical spinal cord of marmoset C. On closer examination of one lesion (green inset), TSPO is seen both in the microglia (white arrow) and in the reactive astrocyte (red arrow).



**Figure 2-19**. Panel A shows a section of the cervical spinal cord with immunofluorescent staining identifying multiple bright foci distributed throughout the white matter. Panel B shows isolated GFAP and DAPI staining for this section, where the dominant color in these bright foci is found to be GFAP staining (in pink). Inset C shows a region of the white matter at higher magnification, where two dense clusters of GFAP staining are seen, indicating two distinct foci of astrogliosis. Panels D and E shows one of these gliotic lesions at higher magnification, where TSPO is observed both in an Iba1+ phagocytic cell (white arrow), and a Iba1-GFAP+ reactive astrocyte (red arrow).

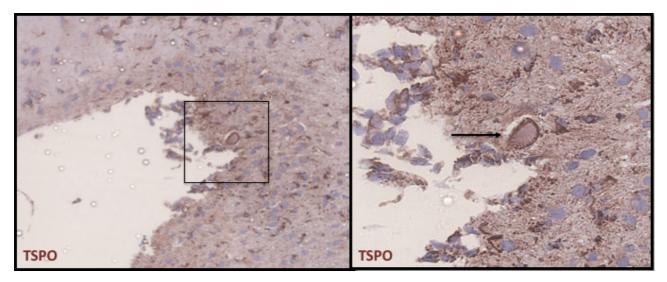
A similar pattern of expression was seen in marmoset A, an animal that had been exposed to HHV6B prior to EAE induction and eventually developed an afferent pupillary defect suggesting damage of the optic nerves. While astrocytes in small lesions and NAWM in this same animal exhibited no TSPO expression, extensive non-microglial TSPO expression was seen in lesions bearing signs of chronicity, particularly, those with significant astrogliosis. **Figure 2-20** shows one such lesion in the optic chiasm of marmoset A with dense GFAP staining suggestive of reactive astrogliosis. While the majority of TSPO staining in this lesion colocalized with Iba1 staining indicating active microglia and macrophages, there are several cells with large cell bodies defined by patchy, diffusely distributed TSPO staining (yellow) that is not associated with Iba1 (red), which are indicated by white arrows. The cell bodies were found to be densely positive for GFAP and morphologically resembled hypertrophic astrocytes.



**Figure 2-20**. Prominent astrogliosis (GFAP staining in purple) and microglial accumulation (Iba1 staining in red) is observed in the optic chiasm of EAE marmoset A, as shown in panel A. While TSPO in the core of the nodule is predominantly associated with microglia and macrophages expressing Iba1 (red), TSPO expression lesion is also seen in reactive astrocytes, which are Iba1- but GFAP+ (as indicated by white arrows in panels B and C).

# 2.3.11 Conventional immunohistochemical staining of TSPO suggests evidence of TSPO expression in neurons.

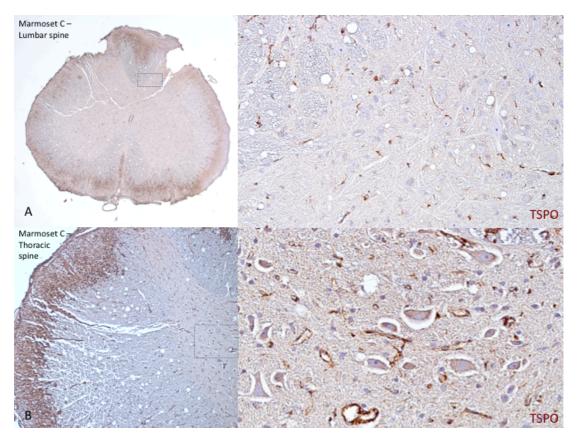
TSPO staining suggestive of neuronal expression was observed in marmoset EAE tissue, particularly in the vicinity of large, chronic lesions. **Figure 2-21** shows a region adjacent to the surface of the third ventricle of an EAE animal with HHV6B infection. There are numerous small, crescent-shaped TSPO+ cells clustering along the surface of the ventricle, suggesting localized inflammation mediated by the infiltration and activation of phagocytic cells in the brain parenchyma. In the midst of these small cells, we observe a single cell with a large nucleus and granular TSPO staining distributed evenly throughout the cytoplasm, likely a neuron.



*Figure 2-21*. Conventional immunohistochemical staining of TSPO with DAB and hematoxylin counterstaining on the surface of the third ventricle superior to the optic chiasm of marmoset A. Inset shows a single large cell with a large nucleus and diffuse cytoplasmic TSPO staining resembling a neuron.

A similar observation was made in the spinal cord, shown in Figure 2-22. In the gray matter of the lumbar spinal cord of marmoset C, an untreated virus-naïve EAE marmoset, TSPO expression is restricted to small crescent shaped cells resembling microglia and a few stellate cells, potentially microglia or astrocytes. In the thoracic spinal cord, however, not only is the white matter lesion thicker and more densely positive for TSPO, but TSPO expression in the gray matter is also elevated, and a greater heterogeneity is seen in the distribution and type of TSPO+ cells. Dense TSPO staining is still seen in crescent-shaped and stellate cells as in the lumbar spinal cord. However, dark TSPO staining is also observed

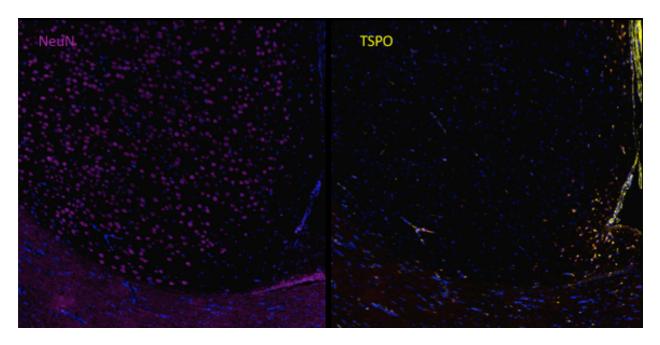
in the blood vessels, appearing to outline the outer laminae of the dilated vessel. Moreover, moderate TSPO expression is seen in larger cell bodies that appear to be shrunken, possibly degenerating or apoptotic neurons. In contrast to the dense, vesicular TSPO staining observed in microglia/macrophages and vascular structures, the staining in these larger cells is lighter and more diffusely distributed throughout the cytoplasm. The contrast between these two areas of the spinal cord illustrates the wide potential for variation in TSPO expression. Collectively, these images allude to the putative functional significance of neuronal TSPO expression. In light of the presence of this likely neuronal TSPO expression in the heavily inflamed thoracic spinal cord, the absence of any evidence of neuronal TSPO in the moderately inflamed lumbar spinal cord suggests neuronal TSPO expression is induced only in specific conditions, perhaps dependent on the density of activated phagocytic cells.



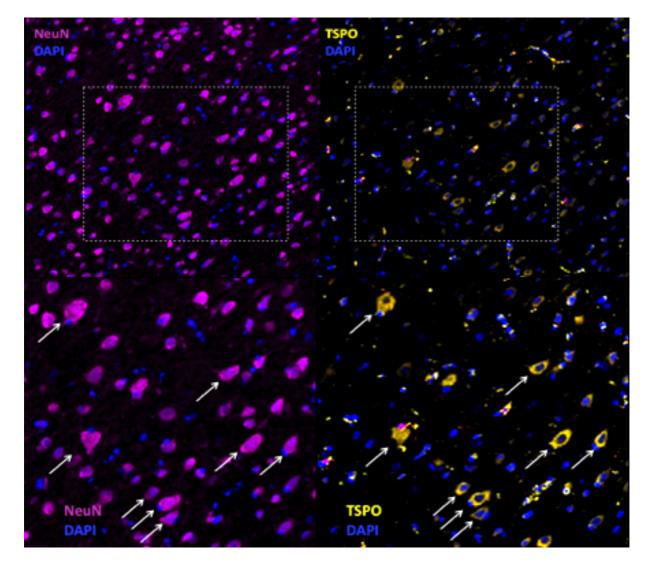
**Figure 2-22.** A) Section of the lumbar spinal cord of Marmoset C, an untreated EAE marmoset, stained by conventional immunohistochemistry for TSPO. Right inset shows region of lumbar gray matter with numerous stellate and crescent-shaped cells expressing TSPO, likely microglia and macrophages. B) Section of the thoracic spinal cord from Marmoset C, stained by conventional immunohistochemistry for TSPO, showing dense TSPO staining extending from the pial surface of the white matter. Right inset shows region of thoracic gray matter showing an extremely heterogeneous pattern of TSPO staining, including TSPO+ vascular structures and large cells resembling neurons, as well as TSPO+ glial cells.

#### 2.3.12 TSPO expression is observed in a subset of NeuN+ neurons in marmoset EAE

Sections of brain tissue from a healthy primate and an EAE primate with an antecedent HHV-6B infection were stained with TSPO, Iba1, NeuN and DAPI. In the healthy primate brain, less than 1% of NeuN+ cells were observed to express TSPO. Even in the EAE marmoset, the majority of neurons were also TSPO- (**Figure 2-23**). However, in the cortex (**Figure 2-24**), TSPO was observed in the cell bodies of a subset of NeuN+ neurons. In contrast to the dense perinuclear vesicular pattern of TSPO staining observed in microglia and macrophages, TSPO staining in these neurons was much less intense but appeared to be distributed diffusely throughout the cytoplasm of the neuronal cell body (indicated by white arrows).

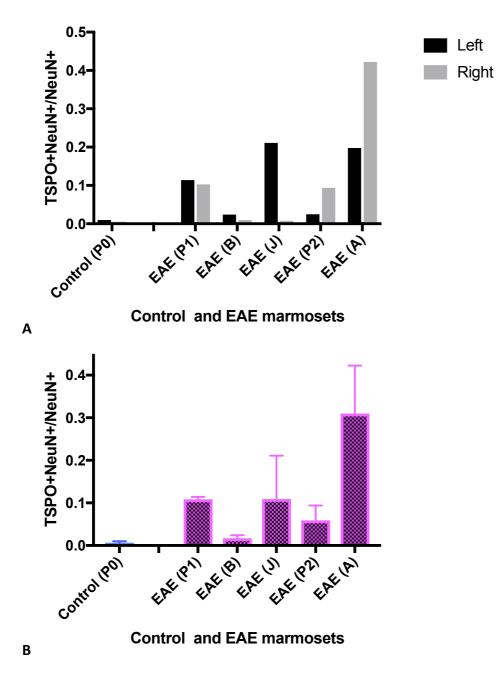


*Figure 2-23*. Comparison of TSPO and NeuN staining in a region of gray matter in EAE marmoset A, which shows the absence of TSPO expression (yellow) in NeuN+ cells (pink) in a region of gray matter superior to the corpus callosum.



*Figure 2-24.* Comparison of TSPO and NeuN staining in a region of cortical gray matter in *EAE* marmoset *A*, which shows diffuse cytoplasmic TSPO expression in NeuN+ cells.

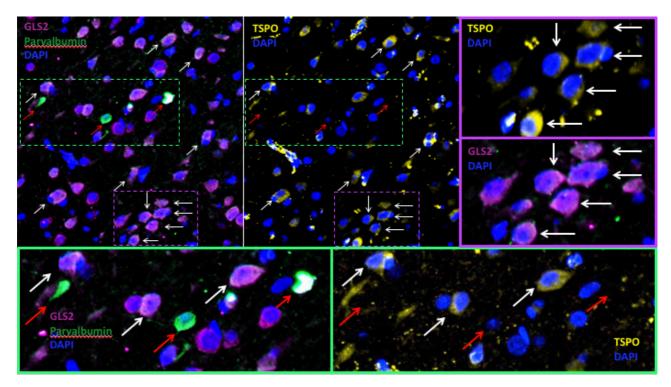
To quantify the percentage of neurons expressing TSPO, two 500 x 500 mm regions of interest were selected in the right and left cortical gray matter of the control animal and 4 EAE marmosets. NeuN+ cells and the number of NeuN+ cells co-expressing TSPO were counted manually. The fraction of NeuN+ cells expressing TSPO in the right and left cortical gray matter of each animal are shown in **Figure 2-25**. In P0, the control marmoset, the percentage of neurons expressing TSPO across both regions of interest was 0.6%. In the 5 EAE marmosets assessed in this study, the mean percentage of TSPO+ neurons was 12.1% with an SEM of 5.0% and a 95% CI of 1.9 to 26.1%.



**Figure 2-25**. A) Fractions of NeuN+ cells co-expressing TSPO in the right and left cortical gray matter of control marmoset P0 and EAE marmosets P1, B, J, P2, and A. B) Mean fraction of NeuN+ cells co-expressing TSPO across all areas of interest in control marmoset P0 and EAE marmosets P1, B, J, P2, and A.

## 2.3.13 Dim TSPO expression in seen in GLS2-expressing glutamatergic neurons but not Parvalbumin+ interneurons in marmoset EAE

To determine the phenotype of TSPO+ neurons in EAE, an antibody stripping protocol was applied to the TSPO-stained tissue section from the HHV6B-infected EAE marmoset A, and the tissue section was re-stained with antibodies against neuronal phenotypic markers GLS2, a glutaminase expressed in excitatory glutamatergic neurons, and parvalbumin, a calcium binding albumin protein expressed by inhibitory GABAminergic interneurons. Examples of GLS2+ and parvalbumin+ cells are e shown in **Figure 2-26.** In the green inset, three parvalbumin+ interneurons (red arrows) and multiple GLS2+ neurons (white arrows) are visible; however, only the GLS2+ neurons are observed to express TSPO.



**Figure 2-26**. Comparison of TSPO staining with Parvalbumin and GLS2 staining after stripping and re-staining a previously stained section of brain tissue from EAE marmoset A. Diffuse cytoplasmic TSPO staining is observed in GLS2+ glutamatergic neurons (inset, white arrows) but not parvalbumin-producing interneurons (inset, red arrows).

#### 2.4 Discussion

From this study, we conclude that the common marmoset is a relevant model and proxy for the study of TSPO in multiple sclerosis. This study is the first to our knowledge to have characterized TSPO expression in the CNS of the common marmoset and the first to have established its expression in neuroinflammatory disease in microglia/macrophages, astrocytes, and neurons. This model of EAE recapitulates the expression of TSPO in both astrocytes and microglia that is observed in multiple sclerosis, rendering it a valuable model for the study of TSPO-based PET imaging of neuroinflammatory disease, one that would give significant insight into the clinical utility of novel PET ligands in the imaging of multiple sclerosis. Furthermore, this study is the first to have characterized the time course of TSPO expression in marmoset EAE, which peaks in the first month of lesion development and declines gradually over a period of 6 months or more, depending on disease duration.

#### 2.4.1 TSPO in control CNS tissue

As in prior mice and human studies <sup>4,206</sup>, TSPO in the healthy marmoset brain is expressed by meningeal arachnoid tissue, vascular endothelium, and ependymal cells, but not astrocytes or neurons. In contrast to prior studies in mice <sup>206</sup>, which found no TSPO expression in the microglia of healthy animals, I found TSPO expression in a subset of Iba1+ cells. With the exception of a small number of Iba1+ cells observed within the lumina of cerebral blood vessels, the vast majority of these Iba1+ cells are located within the parenchyma itself. As peripheral hemoglobin-haptoglobin scavenger receptor CD163 was only observed in Iba1+ cells confined within blood vessels, and not in Iba1+ cells in the brain parenchyma, the marker appears to be exclusively associated with peripheral macrophages still located in the intravascular space, supporting the absence of BBB compromise. All parenchymal Iba1+ cells in this control animal are therefore presumed to be resident microglia, rather than peripheral macrophages,

Within this control brain tissue, TSPO was expressed in approximately half (58.65%) of all Iba1+ microglia and was found to be more closely associated with the pro-tolerogenic markers, Arg1, which is expressed by 25% of TSPO+ microglia. This finding is consistent with studies of M2 human macrophages in vitro that associate TSPO with an M2 phenotype <sup>146</sup>. MRP14 and CD163, in contrast, were observed in only 1% and 0.5% of Iba1+ cells, respectively. However, approximately 14% of TSPO+ microglia also express HLA-DR

component CD74, which is conventionally considered an M1 marker due to its association with antigen presentation. Thus, despite a predominance of Arg1+/"M2"-biased TSPO+ microglia, TSPO is ultimately expressed in both "M2"- and "M1"-biased microglia in healthy brain tissue.

#### 2.4.2 TSPO in microglia and macrophages in EAE

In marmoset EAE, TSPO is highly expressed by activated microglia and macrophages in both demyelinated lesions and normal-appearing white and grey matter, a pattern that is consistent with patterns of expression reported in human disease <sup>5,245–247</sup>. In fact, even some areas of radiologically normal-appearing white and gray matter were found to contain a moderately increased density of Iba1+ activated microglia and macrophages, where TSPO density increased in proportion with Iba1 density. This proportional increase in TSPO suggests that the PET imaging of TSPO radioligands may facilitate the detection of phagocytic activation in pre-lesional areas, before the onset of the structural damage that is ultimately detected by MRI<sup>5</sup>.

#### 2.4.3 TSPO immunophenotype in microglia and macrophages

The immunophenotypic significance of TSPO expression in macrophages and microglia is controversial. A 2018 study by Beckers et al. in mice found that TSPO mRNA levels were increased in LPS-stimulated M1 microglia in culture, but not in M2 microglia stimulated with IL-4 <sup>205</sup>. Moreover, in the brains of mice with chronic neuroinflammation secondary to multifunctional protein-2 (Mf2)-deficiency, both TSPO and mRNA levels were found to be increased, suggesting TSPO levels to correlate with a pro-inflammatory environment *in vivo* <sup>205</sup>. A 2017 study by Narayan et al., conversely, found that TSPO protein and mRNA levels were reduced in LPS-stimulated human macrophages <sup>204</sup>. Recent studies by Vogel et al. and Nutma et al. have found TSPO expression in both M1- and M2-biased microglia in MS brain tissue <sup>4,16</sup>.

While my experiments confirm the presence of TSPO in Iba1+ microglia and macrophages, not all Iba1+ microglia/macrophages were found to express TSPO. Ultimately, TSPO was expressed in only a subset of Iba1+ microglia in healthy primate brain tissue and was found to be more closely associated with pro-tolerogenic markers such as Arg1, a feature

that is consistent with studies of M2 human macrophages in vitro <sup>204</sup>. Additionally, while the phagocytic "M2" marker, hemoglobin-haptoglobin receptor scavenger receptor CD163, is not expressed in healthy brain parenchyma, the receptor is expressed by phagocytic cells in EAE lesions in the context of blood-brain barrier compromise, and many Iba1+CD163+ cells were found to co-express TSPO. However, multiplex immunofluorescence staining also confirmed the colocalization of TSPO and HLA-DR chaperone protein, CD74. This colocalization was seen in Iba1+ cells in both healthy primate brain and EAE lesions and is consistent with work by Vogel et al. demonstrating the association of TSPO with HLA DR expression in human MS lesions <sup>16</sup>. While Iba1+ cells expressing M1 early activation marker MRP14 were relatively rare, over 90% of Iba1+MRP14+ also expressed TSPO, indicating that TSPO is often present in early activation.

The concurrent co-expression of TSPO with both conventional "M1"- and "M2"associated markers ultimately demonstrates the complexity of immunophenotypes observed *in vivo* in neurological disease, which are the product of multiple known and unknown stimuli and understood to exist on a continuum, rather than a binary consisting of distinct M1 and M2 phenotypes. This is consistent with studies of TSPO in other forms of neurological injury, such as hemorrhagic stroke, where TSPO was found to co-localize with both M1 markers such as CD16/32 and M2 markers such as mannose receptor CD206 in a mouse model, as well as the observations of Nutma et al. in MS lesions <sup>4,248</sup>.

While we cannot definitively link TSPO to a conventionally defined M1 or M2 immunophenotype in vivo, changes in the immunophenotypic association of TSPO become clearer through the comparison of diseased and healthy brain. As previously discussed, in the control primate brain, TSPO is expressed in only 58.65% of Iba1+ microglia and is more frequently co-expressed with Arg1 than with CD74 or MRP4, suggesting an association with an M2-biased immunophenotype. In acute lesions, however, TSPO expression is observed in over 90% of Iba1+ cells, yet the percentage of TSPO+Iba1+ cells co-expressing Arg1 does not increase significantly. The most drastic change in marker expression between healthy and diseased brain is instead seen in the expression of CD74, which is rarely expressed in healthy brain tissue but is expressed in over 50% of Iba1+ microglia/macrophages in acute lesions. In essence, in acute lesions, TSPO becomes more frequently associated with CD74, a marker of antigen-presentation and M1 phenotype. The shift from an association with predominantly Arg1+/"M2"-like phagocytes in healthy brain tissue to an association with

predominantly CD74+/"M1" phagocytes in acute inflammatory lesions may indicate dysregulation of TSPO expression occurring in acute inflammation.

Alternatively, this shift could represent not only a change in the phenotype of resident microglia but rather a change in the proportion of resident microglia relative to infiltrating peripheral macrophages. In future experiments, markers such as TMEM119 may be used to distinguish resident microglia from infiltrating macrophages <sup>137,249</sup>. While we were not able to validate an existing TMEM119 antibody for staining in fixed marmoset tissue at the time of the stainings performed for this thesis, an anti-human TMEM119 antibody was subsequently validated by Lee et al. <sup>250</sup>

#### 2.4.4 Temporal changes in glial TSPO expression

Interestingly, the percentage of Iba1+ cells co-expressing TSPO in a given lesion was found to decrease with lesion age, as determined by serial biweekly or monthly MRI studies. While over 85% of Iba1+ cells expressed TSPO in acute lesions less than 4 weeks old, the percentage expressing TSPO was as low as 13% in lesions in lesions 7-8 months old at the time of sacrifice. Modeling this relationship with a linear mixed effects model to account for random and non-random sources of variation, lesion age at time of sacrifice was demonstrated to have a significant effect upon the fraction of Iba1+ cells expressing TSPO, with a statistically significant decrease occurring at 4-5 months. This finding has significant implications for the clinical use of PET TSPO imaging for the monitoring of microglia and macrophages in acute lesions, it becomes a significantly less sensitive marker in chronic disease; in lesions older than one month of age, at least a third of Iba1+ microglia/macrophages do not express TSPO and would not be detected by TSPO-PET imaging.

This runs counter to our hypothesis that TSPO levels would persist over the full course of EAE progression and may point to a potential limitation of the primate EAE model, which is that, while it is a relapsing-remitting disease, it progresses over the course of months and is difficult to compare to the time course of a multi-decade disease process. Therefore, it is not clear whether the discordance between TSPO expression and microglial cell content in EAE is an accurate reflection of the time course of TSPO expression in MS.

#### 2.4.5 TSPO in astrocytes

Marmoset EAE was also found to recapitulate the astrocytic expression of TSPO in gliotic lesions that is observed in multiple sclerosis. TSPO expression was seen in hypertrophic GFAP+ reactive astrocytes in lesions where extensive astrogliosis is present, but not in GFAP+ astrocytes in NAWM or lesions without astrogliosis. Thus, it cannot be assumed that diminished TSPO signal in a lesion corresponds directly to a reduction in the population of macrophages and microglia in the lesion – in marmoset EAE, it is evident that TSPO is expressed by only a subset of macrophages and microglia in chronic lesions and that the percentage of Iba1+ cells expressing TSPO declines with lesion age. Moreover, it cannot be assumed that a subsequent increase in TSPO signal corresponds to an influx of macrophages, as TSPO signal may also be contributed by astrocytes in glial scar formation.

This feature distinguishes marmoset EAE from many rodent models of EAE, where astrocytic TSPO expression is absent or inconsistently observed <sup>42,245,251</sup>. Furthermore, the finding of decreasing microglial TSPO expression and increasing astrocytic TSPO expression with time is consistent with temporal patterns of TSPO expression observed in MS, as well as rodent models where astrocytic TSPO expression is present <sup>42,245,251</sup>.

#### 2.4.6 TSPO in neurons

Finally, this model of marmoset EAE also features neuronal TSPO expression, a characteristic that has been observed in mice <sup>206,252</sup> and cultured neurons *in vitro* <sup>253</sup> but is less frequently studied and has not yet been confirmed in many other models. TSPO expression was observed in a subset of NeuN+ neurons in some regions of normal appearing gray matter but not others. The percentage of neurons expressing TSPO ranged from 1% to 42%, with an average of 12%. This is in contrast to the control CNS tissue, where less than 1% of neurons were found to express TSPO. While further studies are needed to confirm the phenotype of TSPO+ neurons in marmoset EAE, a multiplex study of neuronal phenotype markers in an HHV6B+ EAE marmoset revealed TSPO expression in neurons expressing GLS2, a glutaminase found in excitatory glutamatergic neurons, but not in interneurons expressing parvalbumin. This is consistent with recent RNAseq studies profiling TSPO to be moderately expressed in pyramidal neurons but comparatively low in interneurons <sup>254–256</sup>.

### 2.4.7 Future directions

These features render EAE in the common marmoset a relevant and suitable model for the study of TSPO expression in neuroinflammatory disease. Additionally, primates are not known to carry the rs6971 polymorphism, which is observed to abrogate the binding of second-generation ligands in vivo. We would therefore expect all marmosets to be highaffinity ligand binders with respect to second-generation ligands, such as PBR28. We may therefore envision informative PET imaging experiments in EAE marmosets comparing TSPO-radioligand binding by first- and second-generation ligands with multiple parameters of disease progression, principally, MRI lesion burden, clinical disability, and weight loss. We may also perform direct spatial comparisons of changes in TSPO-radioligand binding on PET imaging with T2W hyperintense lesions on MRI and T1W signal changes associated with changes in blood-brain barrier permeability. Finally, these experiments would facilitate the comparison of terminal PET imaging studies with postmortem pathology. If PET imaging of TSPO radioligand-binding were to reveal regions of increased microglial activation before MRI lesions were detected, the finding may provide the rationale for the initiation or modification of disease-modifying treatments to prevent the development of MRI lesions before they form.

However, there are several limitations to these studies. Most crucial is our limited access to control tissue. Our ability to assess Our analysis of TSPO in astrocytes and neurons, for example, is limited for several reasons. Due to the irregular cell shape of hypertrophic astrocytes, it is not possible to identify GFAP+ cell bodies with conventional cell-segmentation algorithms. Moreover, as TSPO staining was stripped from studied sections prior to staining for GFAP and NeuN, the resulting images of GFAP and NeuN staining are not aligned with the images of TSPO staining taken prior to re-staining. Alignment of these images for analysis of co-localization of GFAP and TSPO would require both translation and rotation of the image, which is not possible with our existing analysis software. While studies of neuronal phenotype in marmoset A were informative, these were not repeated in other animals due to limited tissue availability for phenotyping.

Another limitation of this study is that localization of TSPO protein expression with immunofluorescence has not been correlated with radioligand-binding. Radioligand-binding assays, such as autoradiography, are typically performed on fresh frozen tissues that have not undergone fixation. Due to the scanning protocol for EAE animals at our institution, EAE marmoset tissue is highly fixed at the time of pathological analysis. Under this protocol,

animals undergo a terminal MRI scan prior to cardiac perfusion, followed by autopsy for tissue collection and harvesting of the brain and spinal cord for high-resolution ex-vivo imaging. These scans may last as long as 36 hours. The requirement for immediate ex vivo MRI in fixative after cardiac perfusion leads to significant tissue fixation before tissue can be obtained for pathology and other studies. Not only does this tissue often require intensive antigen retrieval methods, such as boiling in citrate buffer to expose antigens for subsequent immunohistochemical detection, but it is unknown how this level of fixation would affect radioligand-binding. Due to limited tissue availability, autoradiography with TSPO-radioligands was not attempted on marmoset CNS tissue.

TSPO expression as a peripheral biomarker of neuroinflammation in multiple sclerosis

#### 3.1 Introduction

Currently, there is growing interest in identifying peripheral biomarkers of central nervous system disease both as a means of diagnosing neurological disease and monitoring prognosis, as clinical limitations represent a barrier to CNS imaging and CSF analysis. CSF analysis, for example, requires an invasive and potentially distressing patient procedure called a lumbar puncture, in which a needle is inserted through the intervertebral spaces of the lumbar spine to draw CSF from the intrathecal space below the spinal cord <sup>89</sup>. MRI and PET imaging studies are costly, and PET studies of the brain ultimately require the injection of radioisotopes for imaging, exposing patients to radiation <sup>257</sup>. Blood-based detection of peripheral biomarkers represents a significant opportunity for non-invasive testing that is both informative and cost-effective.

While TSPO expression in the central nervous system has been extensively studied in human neurodegenerative disease, studies of TSPO in the periphery remain comparatively limited. Studies in peripheral immune cells such as macrophages has been predominantly examined TSPO in cardiovascular diseases, such as atherosclerosis <sup>40</sup> and myocarditis <sup>6,258</sup>, or other diseases of systemic inflammation, such as cancers <sup>32,41,259</sup>. A recent study by Narayan et al., for example, examined TSPO expression in macrophages derived from peripheral blood monocytes and synovial monocytes isolated from patients with rheumatoid arthritis after treatment with pro-inflammatory and anti-inflammatory stimuli <sup>204</sup>. The study found that macrophages derived from synovial and peripheral blood monocytes produced significantly higher levels of TSPO mRNA and protein than the monocytes themselves. However, when challenged with LPS, these macrophages downregulated their TSPO expression, such that qPCR and Western blot studies showed lower levels of TSPO mRNA and protein in the LPS-treated macrophages than in untreated macrophages <sup>204</sup>. Densitometry measurement on western blotting demonstrated significant decreases in TSPO band density as early as 2 hours after stimulation with LPS and IFN- $\gamma$  <sup>204</sup>.

M2 macrophages derived by IL-4, dexamethasone, or TGF- $\beta$  treatment, in contrast, showed no difference in TSPO protein or mRNA levels when compared to untreated macrophages <sup>204</sup>. This was true of M2-polarized THP1 MDM, macrophages derived from human PBMC, and synovial macrophages <sup>204</sup>. This study demonstrates how peripheral blood mononuclear cells may be used to probe the relationship between TSPO expression and immunophenotype in phagocytic cells, ultimately giving insight into the function of TSPO in macrophage biology.

However, this study was concentrated on TSPO modulation in the context of systemic inflammatory disease i.e. rheumatoid arthritis. Studies of peripheral TSPO expression in the context of central neuroinflammation have predominantly examined TSPO in platelets <sup>201,214,215,217,218</sup>. Interestingly, Nudmamud et al. reported in 2000 that TSPO mRNA levels and radioligand binding signals are reduced in the peripheral blood of patients with psychiatric disorders, such as high anxiety <sup>201</sup>. A 2012 study of TSPO in the peripheral blood of MS patients likewise found a reduction in TSPO binding with second-generation ligand PBR28 in isolated PBMC when compared to healthy controls <sup>213</sup>. However, this study did not directly measure TSPO protein expression in the same subjects in which radioligand-binding was measured. It is unknown whether the TSPO radioligand-binding measured in Harberts's study directly reflects TSPO protein levels in the peripheral blood and therefore, it is not certain that TSPO protein levels are truly decreased in MS patient PBMC. While differences in cytokine secretion and immune cell phenotype have been observed between MS patients and normal donors, it is not known whether these differences correlate to changes in TSPO expression. Nonetheless, these studies have shown the potential of using peripheral immune cells to model the modulation of TSPO expression in diverse disease contexts, raising the possibility of using PBMC to monitor correlation of TSPO with other markers of inflammation.

Flow cytometry is a useful technique to monitor changes in multiple parameters in multiple cell populations in suspension, for example, isolated PBMC <sup>260–262</sup>. Classical monocytes are identified by high surface expression of CD14 without expression of CD16 and represent approximately 80-90% of all monocytes in healthy individuals <sup>263,264</sup>. Atypical or "nonclassical monocytes exhibit high surface expression of CD16 and low expression of CD14 <sup>263,264</sup>. Intermediate monocytes express high levels of both CD14 and CD16, and were found to secrete high levels of TNF- $\alpha$  in response to LPS stimulation <sup>265</sup>. Together, atypical and intermediate monocytes constitute approximately 10-20% of the total monocyte populations <sup>263,264</sup>. A 2015 study by Mukherjee et al. found classical monocytes to possess a phagocytic phenotype with pro-inflammatory characteristics, while non-classical monocytes exhibited pro-inflammatory features associated with antigen presentation <sup>266</sup>. Specifically, non-classical monocytes were found to express high levels of pro-inflammatory markers such as costimulatory molecules CD80 and CD86, as well as toll-like receptors (TLRs) and HLA-DR, which are involved in antigen recognition and presentation, respectively <sup>266</sup>. Classical

monocytes were found to express high levels of phagocytic markers CD163 and CD36 but expressed significantly lower levels of the pro-inflammatory markers found in non-classical monocytes <sup>266</sup>. Intermediate monocytes were found to exhibit characteristics associated with both phagocytosis and antigen presentation and are hypothesized to represent a transitional state between the other two classes <sup>266</sup>.

If TSPO were established as a peripheral biomarker of central neuroinflammation, the detection of TSPO in the peripheral blood may facilitate disease monitoring in the future and potentially guide treatment decisions. To determine differences in TSPO protein expression in MS patients, my aim is to characterize TSPO expression in peripheral blood mononuclear cells from MS patients and age- and gender-matched healthy controls using flow cytometry.

#### Summary of Aim 2

**Questions:** What cells express TSPO in the peripheral blood of MS patients and healthy donors? Is TSPO expression in monocytes associated with the expression of other markers of immunophenotype (e.g. HLA or CD86 expression) or increases in mitochondrial volume?

**Specific Aim:** I aim to measure TSPO expression in classical, non-classical (atypical) and intermediate monocytes in MS patients (N=10) and matched healthy donors (N=10). I will use a student's T-test to determine there are differences in TSPO expression between these cohorts. I will use linear regression to determine whether increases in TSPO expression correlate with increases in mitochondrial volume and expression of HLA-DR and CD86.

Specifically, I aim to:

- quantify mean fluorescent intensity (MFI) of TSPO, HLA DR, CD86, and HSP60 staining in CD14+CD16-, CD16+CD14-, and CD14+CD16+ monocytes
- ii) quantify MFI of TSPO and HSP60 staining in CD3+CD16- lymphocytes,CD16+ CD3- NK cells, and CD16+CD3+ NKT cells.

**Hypothesis:** I hypothesize that TSPO protein expression is elevated in both lymphocytes and monocytes subsets in MS patients. I further hypothesize that TSPO expression will be higher in non-classical and intermediate monocytes expressing CD16 than in classical monocytes expressing CD14 and not CD16 and that increased in TSPO will correlate to increases in mitochondrial volume and expression of inflammatory markers.

#### 3.2 Methodology

#### 3.2.1 Division of responsibility

Blood draws were performed by clinical staff at the NIH Blood Bank and the Neuroimmunology Branch Clinic (Bethesda, Maryland). Accession of patient data to determine treatment status and MS subtype was performed by clinical fellows in Neurology. I performed all subsequent patient selection, matching, cell staining, flow cytometry, and data analysis, as well as mRNA extraction and cDNA synthesis for subsequent genotyping (described in Chapter 4).

#### 3.2.2 Selection of subjects

Whole blood or lymphocyte aphereses were obtained from the NIH Blood Bank. All subjects provided informed written consent under a research protocol approved by the Institutional Review Board of the National Institutes of Health Clinical Center. The demographics of selected patients and normal donors and the process of selection are shown below. MS patients are shown in **Table 3-1**. Gender-, race-, and age-matched ND subjects are shown in **Table 3-2**. MS patients selected for study were primarily either untreated or on first-line therapies such as interferon- $\beta$  (Rebif), glatiramer acetate, or dimethyl fumarate. Patients treated with fingolimod (Gilenya) or natalizumab (Tysabri) were excluded, as these medications are considered more potent <sup>267,268</sup> and more likely to modulate the inflammatory response being studied in these patients with actively enhancing lesions. The demographics and treatment statuses of MS patients and the demographics of healthy donors are discussed in the section 3.2.14. Inclusion and exclusion criteria are described further in sections 3.2.10-3.2.12.

Subject	Gender	Ethnicity	Age	MS subtype	CEL	Treatment
1	F	White	40	RRMS	present	None
2	F	AA	45	SPMS	present	None
3	F	white	26	RRMS	present	None
4	F	white	29	RRMS	present	Copaxone
5	F	white	38	RRMS	present	None
6	F	AA	42	RRMS	present	None
7	М	Latino	42	RRMS	present	None
8	F	white	39	RRMS	present	None
9	F	AA	44	RRMS	present	Copaxone
10	F	AA	45	RRMS	present	Tecfidera

**Table 3-1.** Demographics (gender, ethnicity, age), MS subtype, presence of contrastenhancing lesions (CEL) and treatment status of MS patients included in this study.

Subject	Gender	Ethnicity	Age
1	F	white	43
2	F	AA	50
3	F	white	51
4	F	white	22
5	F	white	32
6	F	white	44
7	М	Latino	42
8	F	white	32
9	F	AA	45
10	F	white	50

*Table 3-2. Demographics (gender, ethnicity, and age) of normal donor included in this study.* 

## 3.2.3 PBMC isolation

The peripheral blood mononuclear cells (PBMC) were isolated by Ficoll-gradient separation, in which blood is layered on Ficoll for centrifugation, and the buffy coat is isolated using a syringe. The isolated buffy coats were treated with erythrocyte lysis buffer to remove residual erythrocytes and the lysis was quenched with the addition of DMEM, after which the suspension was centrifuged and washed with PBS.

Following separation, isolated PBMC were cryofrozen in RPMI-1640 media containing 10% DMSO and 10% FBS. MS subjects (n=10) and normal donors (n=10) were matched in terms of age, race, and gender. Demographic information is shown in Table 1.

Peripheral blood was also collected from healthy and EAE marmosets 1-2 times per month as described under Animal Use. Mononuclear cells were isolated from peripheral blood by Ficoll-gradient separation, as described above.

#### 3.2.4 TSPO Genotyping

De-identified patient and normal donor PBMC samples from 25 patients and 25 normal donors were assigned alphanumerical IDs and genotyped to detect the rs6971 polymorphism.  $1 \times 10^6$  thawed PBMC were washed in PBS and reserved for mRNA extraction and cDNA synthesis. Subjects were categorized as high-, low-, or non-binders on the basis of genotype, so that patients and normal donors could be matched in terms of genotype, gender, and age.

## 3.2.5 mRNA extraction

mRNA extraction was performed using a Qiagen RNAeasy Kit (Qiagen, Germantown, PA). 1 x 10<sup>6</sup> thawed PBMC were washed in FACS buffer (1% heat-inactivated fetal bovine serum, 0.1% sodium azide in PBS) and lysed in 350 µl RLT buffer (Qiagen, Germantown, PA). The lysate was centrifuged at 20835 x G for 3 minutes, and the supernatant was collected and combined with an equal volume of 70% molecular-biology grade ethanol. The combined volume was transferred to an RNA microcentrifuge column, which was centrifuged at 8000 x G for 30 seconds, after which the flow-through was discarded and the column was rinsed with 350 µl RW1 buffer (Qiagen, Germantown, PA). After the first wash, 80 µl DNAse I in RDD buffer (Qiagen, Germantown, PA) was added to the column and incubated for 15 minutes at room temperature. The column was washed twice with 500 µl RPE buffer (Qiagen, Germantown, PA) and centrifuged at 8000 x G for 30 seconds on the first wash and 2 minutes on the second wash. The column membrane was dried by centrifuging for 1 minute in an empty collection tube. mRNA was eluted in 40 µl RNase free water into a collection tube by centrifugation at 8000 x G for 1 minute. The mRNA concentration of the resulting eluent was quantified using a Nanodrop 2000c spectrophotometer (Thermo Scientific, Waltham, MA). Isolated mRNA samples were stored at -80C for subsequent cDNA synthesis and genotyping.

#### 3.2.6 cDNA synthesis

To reduce mRNA secondary structures and facilitate primer annealing, 75 or 150 µg of purified mRNA was incubated with an equivalent quantity of RT Random Primer mix (Promega, Madison, WI) and RNase-free water in a total reaction volume of 15 µl at 70°C for 10 minutes, after which the samples were immediately cooled on ice. The following were added to the annealed sample: 5 µl 5x Reaction Buffer (250mM Tris-HCl, pH 8.3 at 25°C), 375mM KCl, 15mM MgCl<sub>2</sub>, 50mM DTT), 1 µl Reverse Transcriptase, and 1 µl dNTP. RNase-free water was added to bring the total reaction volume to 40 µl. The samples were incubated for 1 hour at 37C in a C1000 Touch ThermoCycler (Bio-Rad, Deeside, UK). The resulting cDNA was stored at -20C for subsequent genotyping.

#### 3.2.7 Flow Cytometry

To quantitatively characterize TSPO expression in human PBMC, human PBMC fixed for 30 minutes, permeabilized, and stained with directly-conjugated fluorescent antibodies to CD14, CD3, CD16, TSPO, HLA-DR, and CD86. The isolated PBMC were stained simultaneously with a fixable viability dye to distinguish live cells from dead and apoptotic cells, population surface markers to distinguish lymphocyte and monocyte subsets, and immunophenotype surface markers indicating immune activation. Population markers included CD3 to identify lymphocytes and CD14 and CD16 to identify monocyte subsets. To measure immune activation, cells were stained with either specific antibodies to CD86 and HLA DR or nonspecific isotype controls conjugated to identical fluorophores. Following surface marker staining, the PBMC were fixed, permeabilized and stained with either antibodies specific to TSPO and HSP60, a mitochondrial chaperonin, or isotype controls conjugated to the same fluorophores. Antibodies and isotypes used for these experiments are listed in the Appendix (*Table 7-6*-Table 7-7).

In marmoset PBMC studies, CD86, HLA-DR, HSP60, and CD16 are omitted due to lack of cross-reactive commercial antibodies. Instead, previously validated cross-reactive antibodies to TSPO, CD3 and CD14 were used to study TSPO expression in monocytes and lymphocytes; a validated antibody to activation marker MRP14 and HLA-DR invariant chain CD74 will be used to detect activation. Isolated PBMC were thawed and diluted in RPMI and resuspended in FACS buffer to a concentration of  $1 \times 10^6$  cells per 50 µl.

#### 3.2.8 Staining with conjugated antibodies and Fixed Viability Stain 780

Isolated human PBMC were thawed and diluted in complete RPMI (containing 10% heat-inactivated FBS) and washed twice in FACS buffer.  $5 \times 10^5$  cells were stained for 15 minutes in 50 µl FACS buffer containing Fixable Viability Stain 780 (1:1000) at 4C while protected from light in a 96-well plate. Three wells were prepared for each subject: well A, B, and C. After incubation, cells were washed in 200 µl cold FACS buffer and centrifuged at 400 x G for 5 minutes. The stained cells were re-suspended 100 µl IC Fixation Buffer (eBiosciences, San Diego, CA) and incubated for 30 minutes in the dark at 4C. After fixation, cells were washed twice in 200 µl cold FACS buffer, centrifuged at 400 x G for 5 minutes, and re-suspended in cold FACS buffer. cells were centrifuged at 400 x G and resuspended in 200 µl IC permeabilization buffer (eBiosciences, San Diego, CA) diluted to a 1x concentration in sterile water. Cells were incubated in permeabilization buffer for 5 minutes and centrifuged at 400 x G for 5 minutes. 150 µl permeabilization buffer was removed from each well and cells were re-suspended in the 50  $\mu$ l remaining. For each subject, one well received an antibody cocktail A (antibodies listed in *Table 7-6*), while the second well received cocktail B (antibodies listed in **Table 7-7**). A third well was left unstained. After 15 minutes incubation at 4C while protected from light, the staining was quenched by the addition of 200 µl cold FACS buffer and the plate was centrifuged at 400 x G for 5 minutes. The supernatant was removed, and the cells were washed a second time in 200 µl cold FACS buffer. Following the second wash, wells A and B were re-suspended in FACS buffer containing DAPI (1 µg/ml) and well C was re-suspended in FACS buffer without nuclear dye. Following staining, cells were transported on ice to a second facility, where they were strained through a 100-um filter for analysis. Strained cell samples were subsequently analyzed using a Fortessa A at a flow rate of 1-3 µl per second. 50,000-150,000 cells were acquired per sample. Data was analyzed using FlowJo 10 (Tree Star, Ashland, OR).

# 3.2.9 Staining marmoset PBMC with conjugated antibodies and MitoTracker Deep Red

Three wells were prepared for each subject in a 96-well plate: wells A, B, and C. MitoTracker Deep Red was added to wells A and B, and the samples were shielded from light and incubated for 10 minutes at 37C. Following incubation, cells were centrifuged at 395 x G for 5 minutes and washed twice with 200 µl cold FACS buffer. 100 µl Fixation Buffer (BD Biosciences, San Jose, CA) was added to each well and samples were incubated for 30 minutes in the dark at 4C. After fixation, cells were centrifuged at 395 x G for 5 minutes and washed twice in 200 µl IC permeabilization buffer (BD Biosciences, San Jose, CA) diluted to 1x concentration in sterile water. 150 µl permeabilization buffer was removed from each well and cells were re-suspended in the remaining 50 µl. For each subject, well received an antibody cocktail containing CD14, CD3, and TSPO antibodies (Table 7-6), while the second well received antibodies for CD14 and CD3, and the corresponding isotype control for TSPO (Table 7-6, Table 7-7). A third well was left unstained. After 15 minutes incubation at 4C while protected from light, the staining was quenched by the addition of 200 µl cold FACS buffer and the plate was centrifuged at 400 x G for 5 minutes. The supernatant was removed, and the cells were washed a second time in 200 µl cold FACS buffer. Following the second wash, wells A and B were re-suspended in FACS buffer containing DAPI (1 µg/ml) and well C was resuspended in FACS buffer without DAPI.

Data acquisition was performed using a LSRII and data was analyzed using BD FACSDiva.

#### 3.2.10 Statistical Analysis

Data are expressed as a mean  $\pm$  SEM of (n) independent experiments. Two-tailed students t-tests were used to assess significance between MS patients and normal donors. T-tests were also used to analyze differences between the MS patient cohort and the healthy control cohort in terms of demographic composition. ANOVA was used to analyse differences between classical, non-classical, and intermediate monocytes. A p-value of less than or equal to 0.05 was considered significant. There were no significant differences in terms of age, race, or sex.

#### 3.2.11 Protection of Human Subjects

While blood collected from patients was not specified for a specific study and patients consented at the time of collection that their blood and saliva may be used for a variety of immunological studies, their blood samples are labeled with their medical record number and are therefore identifiable to members of our branch. The risk to subjects from blood collection are minimal discomfort and have no impact on the course of their clinical care. Patients do not pay or receive compensation to be treated in our branch, nor do they benefit directly from the proposed study.

Patients were recommended for consideration in this study by clinical fellows and nursing staff on the basis of disease activity. To ensure that only relevant information is shared with research staff, clinical fellows in our lab use the National Institutes of Health's Clinical Research Information System (CRIS) to access patient records and report only relevant criteria in a private shared document. In this private document, patients selected by NIH clinical staff are identified and linked to the following information:

- Name
- Birthdate and age
- Race
- MS subtype (if applicable)
- Date of disease onset (if applicable)
- Date of blood draw
- Current disease-modifying medications (if applicable)
- Presence or absence of contrast-enhancing lesions on most recent MRI
- Presence or absence of leptomeningeal enhancement of most recent MRI
- Use of disease-modifying medication in the previous 6 months (if applicable)
- HLA subtype

Analysis was principally conducted at a research laboratory on the NIH main campus in Bethesda, MD. However, this group does not have access to the radioligands or equipment necessary to monitor TSPO levels by PET imaging. Therefore, ligand-binding experiments were conducted with collaborators in PET imaging at one of two sites:

- Molecular Imaging Chemistry Laboratory West Forvie Site Addenbrooke's Hospital Cambridge, UK, CB2 5QQ
- Division of Brain Sciences Burlington Danes Building Hammersmith Hospital London, UK, W12, OUA

## 3.2.12 Adequacy of Protection Against Risks

This study involves adult patients seen at the NIB outpatient clinic and consent was obtained from all patients by clinical staff upon intake to the clinic. Clinicians explained that blood samples are taken for both clinical and research purposes and that research blood samples may be used for a variety of studies. The patients then signed relevant consent forms. Research blood samples drawn from patients are then processed by trained laboratory technicians.

After establishing a secure VPN connection and identifying patients that meet the inclusion criteria on a given visit by logging in to a secure shared drive for members of our section, I then mark the patient visit and login to our patient sample database, STAMS, to record the number of blood vials stored from the visit of interest. In a separate document in our secure shared drive, I listed the patient medical record number, clinical ID, the date of the visit, and the location of available vials from this visit in our section's liquid nitrogen tank. Each vial of interest was then associated with a non-specific identifier such as the first letter of their first name and a number for labeling samples during analysis (for example, two patients with last names starting with "A" would be identified as "A1" and "A2"). After I have saved and closed both documents, I disconnect from the VPN network and disconnect my PIV reader. The vial information is then accessed by me or lab personnel trained to

handle patient samples and vials retrieved from our liquid nitrogen tank. Once retrieved, vials are packaged on dry ice in a large Styrofoam container which is taped on all sides and shipped my FEDEX to my laboratory in the UK. As these vials could be labeled with identifiers such as an MRN, I transferred these vials to liquid nitrogen by myself.

For sample analysis, I thawed and transferred the contents of each vial to conical of media labeled with the non-specific identifier associated with this vial in the secured document (e.g. "A1"). For all subsequent analysis, the vial was identified only by the assigned letter and number.

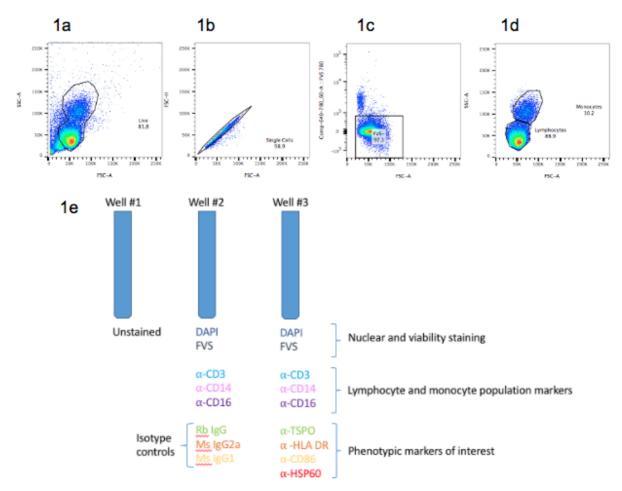
#### **3.2.13** Inclusion of Women and Minorities

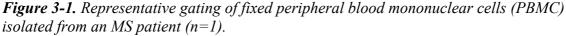
No patients were excluded on the basis of age, race, or gender. Patients subjects are all adults seen by at the NIB clinic in Bethesda, MD.

Using a private shared document of patient information provided by trained clinical staff, I identified a subset of patients that had contrast-enhancing lesions on the date of their blood draw and were on first-line therapy only (copaxone, Betaseron, or Rebif) or untreated for at least 6 months prior to their visit. Of 11 subjects selected for our initial study, 8 are female and 3 are male, which is reflective of the general gender distribution of our MS patient population. 7 patients identify as African American (5 females, 2 males). While this is not reflective of global racial demographics among MS patients, it is representative of the patient population seen by our clinic at NIH. The average age of this cohort is 38.2 years old. All subjects are over 18 years old. The oldest patient was 64 years old on the date of their blood draw while the youngest patient was 28 years old.

## 3.3 **Results**

To quantitatively characterize TSPO expression in peripheral blood mononuclear cells (PBMC), human PBMC were isolated from whole blood and aphereses of MS patients with contrast-enhancing lesions (CEL) and age- and gender-matched healthy donors. A schematic of the staining protocol and a representative gating strategy used to identify living single cells are shown in Figure 1. To quantify TSPO in relevant subpopulations of monocytes and lymphocytes, a plot of forward scatter (FSC-A) vs. side scatter (SSC-A) was to identify monocyte and lymphocyte populations as shown in Figure 1. After gating on monocytes and lymphocytes to exclude debris (Figure 1a). The area of the forward scatter peak (FSC-A) was plotted against the height of the forward scatter peak (FSC-H) to visualize single cells, doublets, and cell clumps. After gating on single cells to exclude doublets and cell clumps (Figure 1b), we plotted forward scatter (FSC-A) vs. fixed viability stain 780 and gated on live FVS780- cells to exclude dead and apoptotic cells. After gating on live (FVS-) cells, separate gates were drawn around the monocytes and the lymphocytes in the FSC-A v. SSC-A plot as shown in Figure 1d.





3-1a) Representative dot plot of forward scatter signal (FSC-A) vs. side scatter signal (SSC-A) showing gated live cell population.

3-1b) Dot plot of FSC-A signal vs. FSC-H signal in gated live cells from Fig. 1a with gating on single cells to exclude doublets, triplets, and larger cell clusters.

*3-1c)* Dot plot of FSC-A signal vs. Fixed Vital Stain 780 signal in gated single cells from Fig. 1a with gating on FVS- cells to eliminate apoptotic and dead cells.

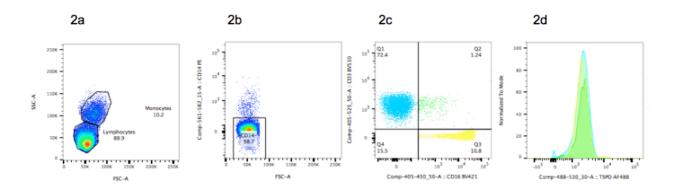
3-1d) Representative dot plot of forward scatter signal (FSC-A) v. side scatter signal (SSC-A) showing gating on monocytes and lymphocytes.

3-1e) Schematic showing the stains and antibodies used in each of 3 wells prepared for each donor sample. Cells in well#1 were fixed and permeabilized but remained unstained. Well #3 was stained with DAPI, FVS780 (Fixed Viability Stain), populations markers and antibodies against TSPO, HLA-DR, CD86, and HSP60 to measure the specific staining intensity for each marker of interest in CD3+, CD14+ and CD16+ cells. Well #2 was stained with DAPI, FVS780 (Fixed Viability Stain), populations markers and isotype controls which

corresponded in both isotype and fluorophore-conjugate to the antibody against TSPO, HLA-DR, and CD86. This allowed the measurement of the nonspecific staining intensity of the fluorophore-conjugated antibodies used for these markers of interest in CD3+, CD14+, and CD16+ cells.

#### 3.3.1 Quantification of TSPO protein expression in monocytes and lymphocytes

TSPO protein expression in monocytes was quantified by gating upon the monocyte population and gating upon CD3- cells to eliminate contaminating lymphocytes from the monocyte gate. TSPO protein expression in lymphocytes was similarly quantified by gating upon the lymphocyte population as shown in **Figure 3-2a** and subsequently gating on CD14-cells within the lymphocyte gate to eliminate contamination by monocytes as shown in **Figure 3-2b**. To more precisely identify CD3+ lymphocytes and eliminate contamination by CD16+ natural killer cells or CD16+CD3+ NKT-like cells, the fluorescent intensity of CD3 staining was plotted against the intensity of CD16- staining as shown in **Figure 3-2c**, and analysis was limited to lymphocytes (CD3+CD16- cells, blue).



*Figure 3-2.* Gating strategy for isolated of CD3+ lymphocytes in human PBMC. 3-2a) Representative dot plot of forward scatter signal (FSC-A) vs. side scatter signal (SSC-A) showing gated monocyte and lymphocyte populations.

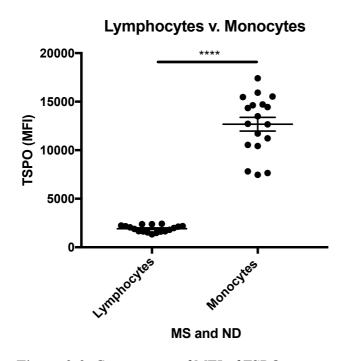
3-2b) A dot plot of FSC-A signal v. CD14 PE signal in cells falling within the lymphocyte gate in Figure 2-2a with gating on CD14- cells to eliminate monocyte contamination of the gated lymphocyte population.

3-2c) A dot plot of CD3 BV510 MFI v. CD16 BV421 MFI in the gated CD14- population in Fig. 2b showing 3 populations: 1) CD13+CD16- lymphocytes (yellow), CD16+CD3- cells (blue), and CD3+CD16+ cells (green).

*3-2d) Representative histogram of TSPO AF488 signal intensity in CD3+CD16- lymphocytes (blue), CD16+CD3- cells (yellow), and CD3+CD16+ cells (green).* 

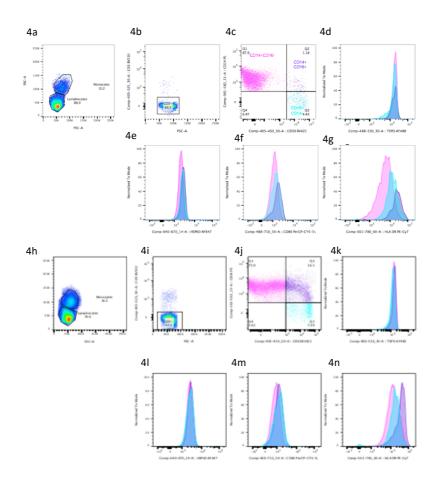
As shown in **Figure 3-2d**, the median fluorescent intensity (MFI) of TSPO staining in all CD14- cells within the lymphocyte gate was similar in all subsets, including CD3+CD16-lymphocytes (blue), CD16+CD3- cells (yellow, presumed to be natural killer cells), and CD3+CD16+ cells (green, presumed to be NKT cells).

Focusing on lymphocytes alone, we found the average MFI of TSPO staining in the CD3+CD16-CD14- gated lymphocytes (Figure 2c, blue) to be  $1918 \pm 80.96$  in 18 of 20 subjects. Two subjects were omitted due to corruption of data on file transfer, leading to a TSPO MFI in CD3+CD16-CD14- gated lymphocytes of 12.8 in both subjects, which were excluded as outliers. In contrast, the average MFI of TSPO staining in the CD3- gated monocytes in all subjects was  $12677 \pm 705$ , which was approximately 6-fold higher than the MFI of TSPO staining in the gated lymphocyte population. This difference is illustrated in Figure 3-3 and is statistically significant (p<0.0001). This is consistent with previously reported measurements of relative TSPO mRNA levels in monocyte and lymphocyte subsets by Harberts et al., 2012, which showed isolated CD14+ monocytes to possess fivefold higher levels of TSPO mRNA when compared to CD4+ and CD8+ lymphocytes.



*Figure 3-3.* Comparison of MFI of TSPO staining in lymphocytes and monocytes in all donors (inclusive of both MS patients and matched normal donors). \*\*\*\* denotes a p-value <0.0001.

To more comprehensively characterize TSPO in distinct monocyte subsets, three subpopulations were identified by plotting CD14 v. CD16 signal as shown in Figure 3-5c. These three subsets exhibited a classical pattern of "M1" marker expression (Figure 3-5e-g), with CD14+CD16+ monocytes consistently expressing the highest levels of HLA DR, CD86, and mitochondrial marker HSP60. "Classical" CD14+CD16- monocytes consistently expressed the lowest levels of these phenotypic markers, while "atypical" CD14-CD16+ cells consistently expressed an intermediate level of each.



*Figure 3-4. Quantification of TSPO staining intensity in monocyte subsets by flow cytometry. 4a) Representative dot plot of forward scatter signal (FSC-A) v. side scatter signal (SSC-A) in live FVS- single cells showing gated lymphocyte and monocyte populations from a multiple sclerosis patient.* 

*4b) A* dot plot of FSC-A signal v. CD3 BV510 signal from cells falling with the monocyte gate in Fig. 2a with gating on CD3- cells to eliminate lymphocyte contamination of the gated monocyte populations.

4c) Representative dot plot of CD14 PE MFI v. CD16 BV421 MFI in the gated CD3-population in Fig. 2b showing 3 populations: 1) CD14+CD16- or "classical" monocytes (pink), CD16+CD14- or "atypical monocytes (blue), and CD14+CD16+ monocytes (purple).
4d) Representative histogram of TSPO AF488 signal in CD14+CD16- (pink), CD16+CD14- (blue), and CD14+CD16+ monocytes (purple).

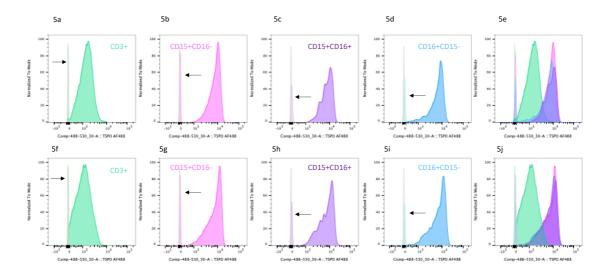
*4e) Histogram plot of HSP60 AF647 signal in CD14+CD16- (pink), CD16+CD14- (blue), and CD14+CD16+ monocytes (purple).* 

*4f) Histogram plot of CD86 PerCP-Cy5.5 signal in CD14+CD16- (pink), CD16+CD14-(blue), and CD14+CD16+ monocytes (purple).* 

*4g) Histogram plot of HLA DR PE-Cy7 signal in CD14+CD16- (pink), CD16+CD14-(blue), and CD14+CD16+ monocytes (purple).* 

4h-4n) The same plots described in 5a-5g are shown in 5h-5n for a representative normal donor. All plots for all subjects are shown in the Appendix.

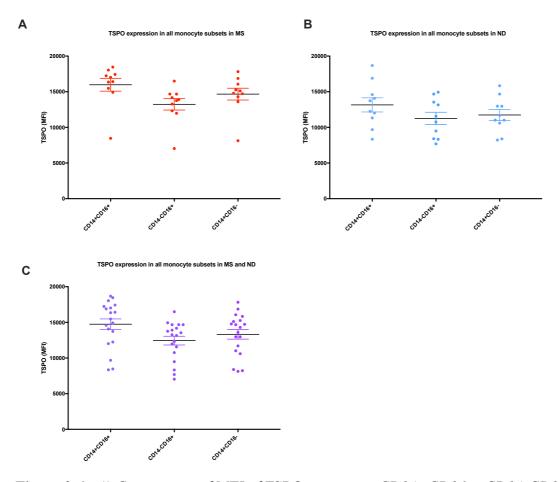
To assess the degree of non-specific fluorescent signal in each cell population, TSPO staining in each subset was compared to baseline autofluorescence in an unstained sample and an isotype-control stained sample from the same donor. Non-specific staining associated with the isotype control was found to be comparable to the autofluorescence of the unstained sample. Stainings from a representative MS patient and normal donor are shown in *Figure 3-5*.



**Figure 3-5.** Comparison of specific TSPO staining intensity vs. nonspecific isotype control staining (arrow) vs. signal intensity of unstained cells in CD3+ lymphocytes, CD14+CD16-monocytes, and CD16+CD14-monocytes in a representative MS patient and a representative normal donor. The position of the isotype control peak is indicated by the arrow in 5a-5d and 5f-5i, but in some cases, is completely overlapped by the autofluorescence peak of the unstained cells.

5a) Comparison of specific TSPO staining (dark green) vs. isotype control (light green) vs. unstained cells (grey) in CD3+ lymphocytes in the representative MS patient.
5b) Comparison of specific TSPO staining (dark pink) vs. isotype control (light pink) vs. unstained cells (grey) in CD14+CD16- monocytes in the representative MS patient.
5c) Comparison of specific TSPO staining (dark purple) vs. isotype control (light purple) vs. unstained cells (grey) in CD16+CD14+ monocytes in the representative MS patient.
5d) Comparison of specific TSPO staining (dark blue) vs. isotype control (light blue) vs. unstained cells (grey) in CD16+CD14+ monocytes in the representative MS patient.
5d) Comparison of specific TSPO staining (dark blue) vs. isotype control (light blue) vs. unstained cells (grey) in CD3+ lymphocyte in the representative MS patient s.
5e) Overlay of TSPO staining intensity of gated CD3+ cells (green), CD14+CD16- cells (pink), CD14+CD16+ cells (purple), and CD16+CD14- cells (blue) in the representative MS patient. All monocyte populations exhibit a staining intensity 10-fold higher than the intensity of the CD3+ lymphocyte population.

5f) Comparison of specific TSPO staining (dark green) vs. isotype control (light green) vs. unstained cells (grey) in CD3+ lymphocytes in the representative healthy donor.
5g) Comparison of specific TSPO staining (dark pink) vs. isotype control (light pink) vs. unstained cells (grey) in CD14+CD16- monocytes in the representative healthy donor.
5h) Comparison of specific TSPO staining (dark purple) vs. isotype control (light purple) vs. unstained cells (grey) in CD16+CD14+ monocytes in the representative healthy donor.
5i) Comparison of specific TSPO staining (dark blue) vs. isotype control (light blue) vs. unstained cells (grey) in CD16+CD14+ monocytes in the representative healthy donor.
5i) Comparison of specific TSPO staining (dark blue) vs. isotype control (light blue) vs. unstained cells (grey) in CD3+ lymphocytes in the representative healthy donor.
5j) Overlay of TSPO staining intensity of gated CD3+ cells (green), CD14+CD16- cells (pink), CD14+CD16+ cells (purple), and CD16+CD14- cells (blue) in the representative healthy donor. All monocyte populations exhibit a staining intensity 10-fold higher than the intensity of the CD3+ lymphocyte population.

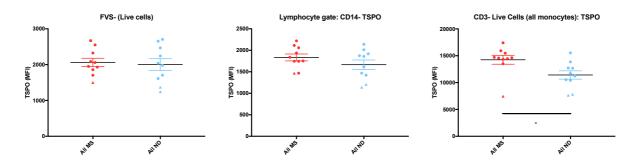


**Figure 3-6.** A) Comparison of MFI of TSPO staining in CD14+CD16+, CD14-CD16+, and CD14+CD16- monocytes in all MS patients (n=10, p<0.0001). B) Comparison of MFI of TSPO staining in CD14+CD16+, CD14-CD16+, and CD14+CD16- monocytes in all normal donors (n=10, p<0.0001). C) Comparison of MFI of TSPO staining in all subjects in CD14+CD16+, CD14-CD16+, and CD14+CD16- monocytes in all subjects (n=20, p<0.0001).

In both MS patients and normal donors, TSPO expression was found to be highest in CD14+CD16+ cells. The overall mean MFI of both patients and donors combined was  $14570 \pm 728$  in CD14+CD16+ monocytes,  $12243 \pm 615.1$  in CD16+CD14- monocytes, and  $13203 \pm 646.2$  in CD14+CD16- monocytes (*Figure 3-6*C). The mean MFI of TSPO in MS patients was  $15979 \pm 902.5$  in CD14+CD16+ monocytes, vs.  $14667 \pm 825.6$  in CD14+CD16- monocytes and  $13230 \pm 798$  in CD14-CD16+ monocytes, as shown in *Figure 3-6*A, and monocyte subtype was found to be a significant source of variation by repeated measures ANOVA (n=10, p<0.0001). In normal donors, the mean MFI of TSPO was  $13160 \pm 991.3$  in CD14+CD16+ monocytes, vs.  $11739 \pm 777.9$  in CD14+CD16- monocytes and  $11256 \pm 862.5$  in CD14-CD16+ monocytes (*Figure 3-6*, B). This variation was also was also found to be significant (n=10, p<0.0001).

# **3.3.2 Measuring TSPO expression as a biomarker of active disease in multiple sclerosis**

A comparison of TSPO expression in monocytes and lymphocytes found that differences in TSPO expression between MS patients and age-, race-, and gender-matched healthy donors with contrast enhancing lesions (CEL) were predominantly attributable to an increase in TSPO expression in the monocyte gate (**Figure 3-7**). In a comparison of live single cells in fixed PBMC, TSPO expression in MS patients with CEL ( $2064 \pm 115$ , n=10) was comparable to that of age- and gender-matched healthy donors ( $2002 \pm 163.6$ , n=10) (p=0.7831). These values predominantly reflect the intensity of TSPO expression in CD3+ lymphocytes, which are the largest subpopulation of PBMC, constituting 60-70% of the live cell population. TSPO staining in lymphocytes from MS patients with CEL ( $1835 \pm 80.14$ , n=10) was also comparable to that of healthy donors ( $1666 \pm 109.3$ , n=10) (p=0.2296). The mean MFI of TSPO staining in monocytes, in contrast, was significantly higher (p=0.0227) in MS patients with CEL ( $14248 \pm 825.5$ , n=10) than in the monocytes of matched healthy donors ( $11415 \pm 782.6$ , n=10).



*Figure 3-7.* Comparison of median fluorescent intensity (MFI) of TSPO staining in distinct cell populations in MS patients with CEL and matched healthy donors.

7a) Comparison of median fluorescent intensity (MFI) of TSPO staining in FVS- (live) single cells in MS patients with CEL and matched healthy donors (p=0.7831)

7b) Comparison of MFI of TSPO staining in gated lymphocytes in MS patients with CEL and matched healthy donors (p=0.02296).

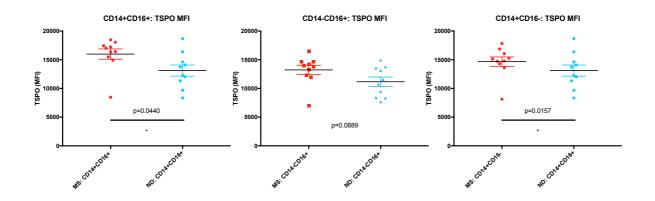
7c) Comparison of MFI of TSPO staining in FVS- (live) single cells after gating on CD3monocytes in MS patients with CEL and matched healthy donors (p=0.0227). \* indicates a pvalue of less than 0.05.

## **3.3.3** TSPO expression is significantly elevated in CD14+CD16+ and CD14+CD16monocytes in MS patients with active disease when compared to matched controls

The differences in TSPO staining intensity in gated monocytes between MS subjects

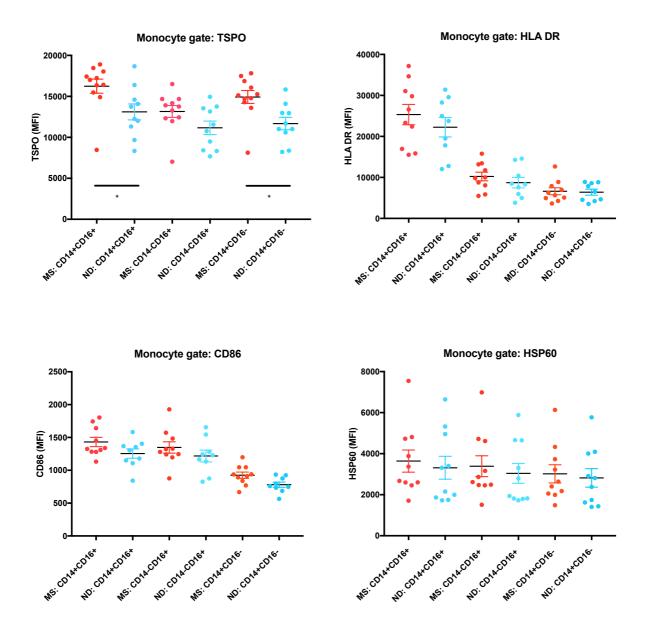
and age-, race-, and gender-matched healthy donors was predominantly reflective of

differences expression in classical CD14+CD16- monocytes, which constitute the largest subject of monocytes in all donors. The intensity of staining was significantly higher (p=0.0157) in MS patients with CEL (14667 ± 825.6, n=10) than in age- and gender-matched healthy donors (11681 ± 755.4, n=10). A similar difference was observed in CD14+CD16+ monocytes, where the intensity of staining was significantly higher (p=0.0440) in the MS cohort (15979 ± 902.5, n=10) than in the normal controls (13109 ± 971.1, n=10). However, the mean MFI of TSPO staining in non-classical CD16+CD14- monocytes from MS patients with CEL was not significantly different from that of matched normal donors (p=0.0889). These findings are shown in **Figure 3-8**.



*Figure 3-8.* Comparison of MFI of TSPO staining in CD14+CD16+, CD14-16+, and CD14+CD16- monocyte subsets in MS patients (MS, red) and normal donors (ND, blue). \* denotes a p-value <0.05.

Expression levels of HLA DR, CD86 and HSP60 were also compared in MS patients and healthy donors (**Figure 3-9**), but no significant differences were found in any monocyte subsets. Additionally, in contrast to TSPO, which is expressed at an intermediate level in classical CD14+CD16-, these other M1 markers exhibited a more classical pattern of expression, with CD14+CD16- classical cells exhibiting the lowest levels of M1 marker expression.

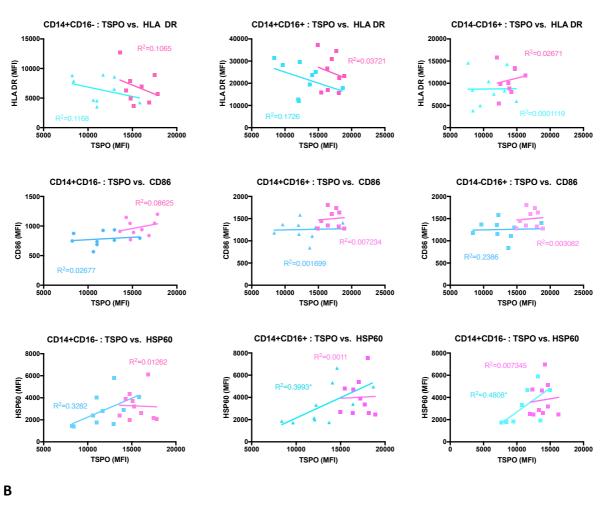


*Figure 3-9.* Comparison of MFI of TSPO, HLA DR, CD86, and HSP60 staining in CD14+CD16+, CD14-16+, and CD14+CD16- monocyte subsets in MS patients (MS, red) and normal donors (ND, blue). \* denotes a p-value <0.05.

To further the probe the relationship between TSPO and immunophenotype, the MFI of TSPO staining was plotted against the MFI of HLA DR, CD86, and HSP60 staining as shown in **Figure 3-10**. For the most part, these relationships did not differ significantly between MS patients and controls. HLA DR appears negatively correlated with TSPO expression in CD14+CD16- and CD14+CD16- subsets, but this correlation was not significant. In CD16+CD14- atypical monocytes, HLA DR appears positively correlated

with TSPO in MS patients, but this correlation was also not significant. No significant correlation was seen between CD86 and TSPO expression in any monocyte subset, regardless of disease status. A slight difference between patients and controls was seen in the relationship between TSPO expression and HSP60 expression. Controls exhibited a positive relationship between HSP60 and TSPO, in line with expectations that TSPO levels would increase in proportion to mitochondrial volume. In MS patients, in contrast, increases in TSPO expression were not associated with commensurate increases in HSP60 expression, as no significant relationship was observed between the two markers in the MS cohort.

Α

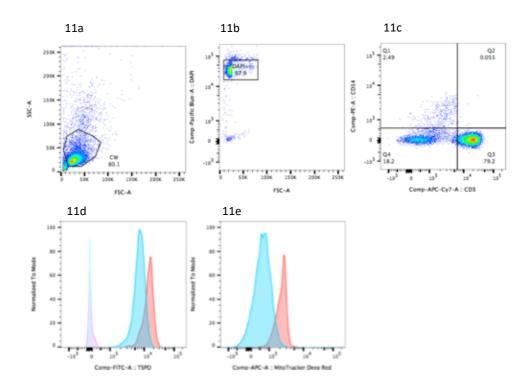


	CD14+CD16-	CD14+CD16+	CD14-CD16+
TSPO v. CD86 – MS	0.4431	0.8278	0.8872
TSPO v. CD86 – ND	0.6740	0.9162	0.1840
TSPO v. HLA DR – MS	0.3914	0.6190	0.6744
TSPO v. HLA DR – ND	0.3681	0.2661	0.9784
TSPO v. HSP60 – MS	0.9224	0.9275	0.8139
TSPO v. HSP60 – ND	0.0835	0.0500*	0.0383*

*Figure 3-10.* TSPO vs. HLA DR, CD86, or HSP60 expression in CD14+CD16- (classical), CD14+CD16+ (intermediate), and CD14-CD16+ (non-classical) monocyte subsets in healthy controls (blue) and MS patients (pink). \* denotes a p-value <0.05. B) P-values for TSPO vs. HLA DR, CD86, or HSP60 expression in CD14+CD16- (classical), CD14+CD16+ (intermediate), and CD14-CD16+ (non-classical) monocyte subsets. \* denotes a p-value <0.05.

#### 3.3.4 TSPO expression patterns in marmoset PBMC are comparable to human PBMC

A modified flow panel was applied to two marmoset PBMC samples to measure TSPO expression in CD3+ lymphocytes and CD14+ monocytes. The MFI of TSPO staining in these two animal samples was found to be approximately two-fold higher in monocytes than in lymphocytes. A representative histogram of TSPO staining in monocytes and lymphocytes in shown in *Figure* **3-11**. To quantify TSPO in relevant subpopulations of monocytes and lymphocytes, a plot of forward scatter (FSC-A) vs. side scatter (SSC-A) was used to gate on whole cells ("CW") and exclude debris as shown in Figure 3-11a. After excluding debris, forward scatter (FSC-A) was plotted against DAPI staining signal in order to gate on DAPI+ whole cells as shown in Figure 3-11b. CD14-PE signal was then plotted against CD3-APC-Cy7 signal to separate CD3+ lymphocytes from CD14+ monocytes in Figure 3-11c. Figure 3-11d shows the intensity of TSPO staining in CD3+ lymphocytes (blue) and CD14+ monocytes (red). Figure 3-11e shows the intensity of MitoTracker Deep Red in CD3+ lymphocytes (blue) and CD14+ monocytes (red), thus indicating the mitochondrial volume found in each cell type. As seen in human PBMC, marmoset CD14+ monocytes contain higher mitochondrial content and higher levels of TSPO than CD3+ lymphocytes.



**Figure 3-11.** Gating strategy for measuring TSPO and mitochondrial volume inCD3+CD14lymphocytes and CD14+CD3- monocytes in whole live marmoset PBMC, with representative histograms of the staining intensity of TSPO and MitoTracker Deep Red in monocytes (red) and lymphocytes (blue).

11a) Representative dot plot of forward scatter signal (FSC-A) vs. side scatter signal (SSC-A) with gating on "CW" population to exclude debris.

11b) Dot plot of FSC-A signal vs. DAPI in gated whole cells from Figure 11a with gating on DAPI+ nucleated cells to further exclude debris.

11c) Dot plot of CD14 PE signal vs. CD3 APC-Cy7 signal in whole nucleated from 11b) with quadrants drawn to define a CD3+CD14- lymphocyte population and a CD14+CD3-monocyte population.

11d) Comparison of intensity of TSPO staining intensity in CD3+CD14- lymphocytes (blue) vs. CD14+CD3- monocytes in a representative marmoset sample.

11e). Comparison of intensity of MitoTracker Deep Red staining intensity for the quantification of mitochondrial volume per cell in CD3+CD14- lymphocytes (blue) and CD14+CD3- monocytes in a representative marmoset sample.

## 3.4 **Discussion**

Not only did we detect significant differences in monocytic TSPO expression between MS patients with active disease and controls, but these differences were observed in both intermediate CD14+CD16+ and classical CD14+CD16- monocytes. There were no differences in the expression of HLA DR or CD86 in these subsets. The observation that these differences in TSPO expression were ultimately more pronounced and statistically more robust than differences detected in other markers suggests that TSPO expression in monocytes may be a superior marker of disease status than CD86 or HLA DR. However, the absence of any statistically significant relationship between TSPO and HLA DR or CD86 in any monocyte subset runs contrary to our hypothesis that TSPO would be associated with increased expression of M1 phenotypic markers. Ultimately, the only M1 marker that was found to correlate with TSPO was heat shock protein HSP60. Surprisingly, while a statistically significant positive relationship was detected between TSPO and HSP60 in CD14+CD16+ and CD14-CD16+ monocytes in normal donors, no relationship was found in MS patients with CEL. This discrepancy implies TSPO should increase in proportion to increases in mitochondrial volume in physiologic states yet fails to increase in multiple sclerosis. This is especially surprising given the significant increase in TSPO expression in the CD14+CD16+ subpopulation in MS patients and may indicate deficiency of TSPO relative to mitochondrial volume, despite the overall increase in TSPO expression.

Moreover, TSPO levels were observed to be highest in intermediate and classical monocytes, which are monocytes that express CD14 and possess a phagocytic phenotype <sup>263,266,269</sup>. Atypical monocytes, which express CD16 without CD14, expressed the lowest levels of TSPO relative to the other subsets. The observation that TSPO is highest in monocytes expressing a marker associated with phagocytosis may indicate that TSPO plays a role in a compensatory anti-inflammatory phagocytic response.

These findings are especially interesting in light of conflicting evidence in the literature regarding whether TSPO is marker of M1 or M2 phenotype in neuroinflammation and whether it is would be expected to increase or decrease in conditions of neuroinflammation. Studies of microglia in mice have found TSPO levels to increase with M1 stimulation <sup>205</sup>, while studies of human macrophages have found TSPO to increase with M1 stimulation <sup>204</sup>. *Ex vivo* studies have observed TSPO in both M1 and M2 microglia in MS and animal models of CNS injury. As discussed previously, a 2012 study by Harberts et al. showed that PBR28 binding in PBMC is significantly lower in MS patients <sup>213</sup>. While this

reduction may be attributed to a reduction in the size of the monocyte populations that exhibit the highest increases in TSPO relative to controls, my experiments found the size of the monocyte population was not significantly reduced in MS patients. The total TSPO expression of the PBMC sample is ultimately also determined by the CD3+ lymphocyte population, which is not significantly different in MS patients and expressed comparable levels of TSPO. Thus, changes in the relative sizes of these distinct subpopulations of PBMC would not explain the reduced levels of binding observed in Harberts's experiments.

On the basis of this study, we conclude that TSPO is significantly elevated in classical and intermediate monocytes in MS and that the detection and monitoring of TSPO expression in isolated PBMC may have potential utility as a peripheral biomarker of central neuroinflammation. We further conclude that isolated PBMC may provide a useful context to probe this seemingly paradoxical relationship between protein expression and binding. Preliminary studies of marmoset PBMC, while limited by tissue availability, suggest similar relationships may be observed and studied in the common marmoset.

## 3.4.1 Future directions

Many questions remain regarding the relationship between TSPO expression, disease status, and disease progression in this study: Is the elevation of TSPO in PBMC in MS patients with contrast-enhancing lesions a reflection of their current exacerbation or an intrinsic component of their disease status? Is the expression of TSPO in these subjects influenced by treatment? The patients included in this study were untreated or on first-line therapies, such as interferon- $\beta$  or glatiramer acetate. Patients on second-line immunosuppressive therapies and patients who had received steroid therapies for acute exacerbations within the six months prior to their visit were excluded.

Due to subject availability, this study did not seek to compare TSPO expression between untreated patients and patients on first-line therapies. Within the cohort selected for this study, there was no evident difference in TSPO expression between untreated patients and patients on first-line therapies. However, we do not know whether these first-line therapies may influence TSPO expression in patients who received them therapies. Therefore, we cannot exclude the possibility that a difference would be seen between untreated and first-line-treated patients with a higher number of subjects. Moreover, we have not probed the effect of second-line immunosuppressive therapies. In future studies, it would be ideal to include higher numbers of untreated, first-line-treated, and second-linetreated patients. Alternatively, we may seek to monitor patients longitudinally and measure TSPO expression at multiple points in the course of treatment e.g. before and at multiple time points after steroid treatment for acute exacerbations. Specifically, I would select 10 patients with active CEL who are untreated at the time of lesion detection, and 10 patients without clinical or radiological evidence of active disease. I would then compare TSPO levels, mitochondrial volume, and immunophenotype in classical, non-classical, and intermediate monocytes in these subjects using the same antibody panel described in this chapter (CD14, CD16, CD3, TSPO, CD86, HLA DR, HSP60).

Another limitation of this chapter is that it includes only a preliminary investigation of the potential use of TSPO as a peripheral biomarker in marmoset EAE. Due to extremely limited sample availability, the intensity of TSPO staining and mitochondrial volume was measured in CD14+ monocytes and CD3+ lymphocytes in only two healthy common marmosets. We were not able to detect CD16+ monocytes or measure immunophenotypic markers such as CD86 and HLA DR due to the absence of commercially available antibodies capable of cross-reacting with these epitopes in marmoset tissue. Future experiments would ideally investigate the differences in TSPO expression in CD14+ monocytes in larger numbers of healthy and EAE-affected marmoset and would give insight into the significance of TSPO expression in an induced experimental autoimmune disease.

Specifically, I would propose to collect and isolate PBMC from 1 ml of blood from 6 healthy marmosets prior to EAE induction. I would then propose to collect and isolate PBMC from the same marmosets at one-month intervals after EAE induction. Our MRI protocol of biweekly scanning would likely facilitate the detection of animals with active contrast-enhancing lesions, allowing the comparison of TSPO in PBMC in animals with CEL to TSPO in animals without active lesions. However, as IRB protocols limit the volume of blood to drawn from a single marmoset in a one-month period, drawing blood from an animal at fixed time-points would likely preclude drawing additional samples in response to clinical or radiological changes. I would then thaw samples from the same animal before and after EAE induction and run same-day cytometric analyses of TSPO expression in CD14+ monocytes and CD3+ lymphocytes. I would then perform a paired T-test to determine if TSPO levels are significantly different before and after EAE induction.

Finally, while using flow cytometry allows the direct measurement of TSPO protein levels with antibody-conjugated probes, it does not necessarily give insight into the utility of

TSPO as a PET imaging target. Further studies are needed to determine if TSPO protein levels correlate directly to ligand-binding levels. This challenge is explored further in Chapter 4.

4 Comparison of TSPO protein expression and TSPO radioligand-binding in peripheral blood mononuclear cells in multiple sclerosis

## 4.1 Introduction

The detection and monitoring of biomarkers of neuroinflammation in the central nervous system may offer a means of both diagnosing neurological disease and determining disease prognosis and treatment  $^{62-66}$ . The imaging of PET radioligands offers the possibility of detecting such biomarkers in vivo in health and disease and monitoring changes in expression over time as disease symptoms progress or regress  $^{62-66}$ . However, there are both cost limitations and health considerations that may restrict the utilization of this technology in a clinical context. The process of PET scanning itself requires significant infrastructure and clinical resources and would ultimately subject the patient to radiation exposure <sup>257</sup>. Serial monitoring of a chronic disease such as MS would dramatically increase this exposure over time<sup>257</sup>.. Moreover, it is not always possible to ascertain how accurately ligand-binding reflects protein expression in vivo. The requirement of an invasive procedure for the procurement of brain tissue and CSF limits the detection of TSPO protein in living subjects to patients with medical conditions where surgical procedures are medically justified, such as tissue resection for epilepsy or tumor resection. The correlation of PET signal to pathology in MS is especially limited, as biopsies in MS subjects are relatively rare - studies of pathology in MS may be obtained from autopsy in patients with advanced disease or patients who died of other causes, but biopsies from earlier stages of disease are generally obtained due to an atypical presentation, in tissue sampling is necessary to assess for infection or malignancy<sup>81,237</sup>.

Whole blood, in contrast to brain tissue, is a source of tissue that is easily accessible in a clinical setting and may be procured regularly during clinical visits at various stages of treatment and disease progression. Isolated peripheral blood mononuclear cells (PBMC) may be readily cryopreserved for different applications and assay types without requiring the methods of fixation that result in the modification of ligand-binding epitopes.

Isolated PBMC, in this sense, constitute an ideal tissue source to probe the relationship between TSPO expression in the periphery and radioligand binding, which has been called into question by previous studies. Multiple studies have found decreased TSPO mRNA levels in neuropsychiatric disorders such as anxiety <sup>201,214,215,217,218,270,271</sup>. For example, a 1996 study by Gavish et al. found decreased PK11195 binding in platelets harvested from patients with anxiety disorders<sup>271</sup>. An 1998 study found reduced TSPO protein density in the platelets of patients with generalized anxiety disorders<sup>215</sup>, while a later 2008 study of protein levels indicated reduced protein density in the platelets of patients with

depression and adult separation anxiety <sup>214</sup>. It was believed that the apparent reduction in TSPO levels in diverse psychiatric conditions may constitute a biomarker of disease status and that TSPO expression might rise with treatment. However, a recent study of TSPO protein expression in the platelets of patients treated for depression and anxiety challenged the hypothesis that TSPO expression would increase with treatment <sup>218</sup>. Instead, TSPO levels were found to decrease after therapy in all treated subjects <sup>218</sup>. Thus, further studies are needed to understand changes in TSPO protein expression in disease, as well the relationship between protein expression and ligand-binding.

A 2012 study of TSPO in the peripheral blood of MS patients surprisingly found a significant reduction in TSPO binding with second-generation ligand PBR28 in isolated PBMC <sup>228</sup>. While this decrease was presumed to reflect a decrease in TSPO expression in the peripheral blood, the study did not directly measure TSPO protein expression in the same PBMC samples <sup>228</sup>, and therefore, it is unknown whether TSPO radioligand-binding directly reflects TSPO protein levels in peripheral blood and therefore, it is not certain that TSPO protein levels are truly decreased in MS patients.

Moreover, the comparison of TSPO radioligand-binding and TSPO protein expression in humans is further complicated by the existence of the rs6971 polymorphism, a singlenucleotide polymorphism that results in the substitution of a nonpolar alanine residue in the TSPO molecule with a polar threonine residue <sup>9,77,270,272,273</sup>. This substitution abrogates TSPO binding by second-generation ligands, such as PBR28, such that homozygous carriers of the allele will express exclusively low-affinity binding sites while heterozygous carriers will express a combination of high-affinity and low-binding sites in equal proportions, resulting in intermediate binding activity on binding <sup>77,274</sup>. To account for the effect of this polymorphism on ligand-binding in diverse human subjects, it is necessary to ensure patients and healthy subjects are matched on the basis of rs6971 genotype. Therefore, we seek to determine if a protein expression and TSPO-radioligand binding may be correlated in isolated PBMC from genotype-matched patients and healthy donors. My aim is to compare patterns of TSPO expression determined by flow cytometry with trends in ligand-binding by secondgeneration ligand <sup>3</sup>H-PBR28 in cell suspensions of thawed PBMC. The ability to detect TSPO ligand binding with PET radioligands in the peripheral blood represents a npotential non-invasive method of monitoring inflammatory activity related to disease progression. The opportunity to measure both protein expression and radioligand-binding in the same blood

sample with our collaborators in the UK gives us a crucial opportunity to directly compare the accuracy with which different radioligands reflect TSPO expression.

## Summary of Aim 3

**Question:** Do changes in TSPO expression in PBMC in MS correlate directly to changes in ligand-binding with second-generation TSPO ligands?

**Specific Aim:** I aim to measure TSPO-ligand binding with second-generation ligand PBR28 in MS patients and matched healthy donors and compare levels of TSPO-radioligand-binding with levels of TSPO protein expression measured in Aim 2.

Specifically, I will measure and compare ligand-binding with second-generation TSPO radioligand PBR28 in isolated cell membranes and whole PBMC in MS patients with contrast-enhancing lesions and age-, race-, and gender-matched normal donors.

**Hypothesis:** I hypothesize that TSPO expression is correlated directly to ligand-binding in human PBMC from MS patients and matched healthy controls.

## 4.2 Methodology4.2.1 Division of responsibility

Blood draws were performed by clinical staff as described in Chapter 3. I performed mRNA extraction, cDNA synthesis, and primer design for genotyping. KASP genotyping was performed by LGC Genomics (Teddington, Middlesex, UK). I performed all radioligand-binding experiments and data analyses.

## 4.2.2 PBMC isolation

Whole blood or lymphocyte aphereses were obtained from the NIH Blood Bank. All subjects provided informed written consent under a National Institutes of Health Clinical Center Institutional Review Board-approved research protocol. The peripheral blood mononuclear cells (PBMC) were collected after separation on a Ficoll gradient using lymphocyte separation medium (BioWhittaker, Walkersville, MD) and cryofrozen in RPMI-1640 containing 10% fetal bovine serum and 10% dimethyl sulfoxide (DMSO) for storage in liquid nitrogen as described previously. Samples from healthy donors (HD) (n=7) and MS patients (n=7) were of similar demographics, which are described in Sections 3.2.10-3.2-17. Subject demographics are shown in **Table 4-1** and **Table 4-2**.

Genotype	Subject	Gender	Ethnicity	Age	MS subtype	CEL	Treatment
HAB	1	F	White	40	RRMS	present	None
HAB	2	F	AA	45	RRMS	present	Tecfidera
HAB	3	М	Latino	42	RRMS	present	None
HAB	4	F	white	29	RRMS	present	Copaxone
MAB	1	F	white	26	RRMS	present	None
MAB	2	F	AA	42	RRMS	present	None
MAB	3	F	AA	44	RRMS	present	Copaxone

*Table 4-1.* Genotype, demographics (gender, ethnicity, age), MS subtype, presence of contrast-enhancing lesions (CEL) and treatment status of MS patients included in this study.

Genotype	Subject	Gender	Ethnicity	Age
HAB	1	F	AA	50
HAB	2	F	AA	45
HAB	3	М	Latino	42
HAB	4	F	white	32
MAB	1	F	white	22
MAB	2	F	white	51
MAB	3	F	white	44

*Table 4-2. Genotype, demographics (gender, ethnicity, and age) of normal donor included in this study.* 

## 4.2.3 TSPO genotyping

De-identified patient and normal donor PBMC samples from 25 patients and 25 normal donors were assigned alphanumerical IDs and genotyped to detect the rs6971 polymorphism. 1 x  $10^6$  thawed PBMC were washed in PBS and reserved for mRNA extraction and cDNA synthesis. Subjects were categorized as high-, low-, or non-binders on the basis of genotype, so that patients and normal donors could be matched in terms of genotype, as well as gender, and age were possible.

## 4.2.4 mRNA extraction

mRNA extraction was performed using a Qiagen RNAeasy Kit (Qiagen, Germantown, PA). 1 x  $10^6$  thawed PBMC were washed in FACS buffer (1% heat-inactivated fetal bovine serum, 0.1% sodium azide in PBS) and lysed in 350 µl RLT buffer (Qiagen, Germantown, PA). The lysate was centrifuged at 20835 x G for 3 minutes, and the supernatant was collected and combined with an equal volume of 70% molecular-biology grade ethanol. The combined volume was transferred to an RNA microcentrifuge column, which was centrifuged at 8000 x G for 30 seconds, after which the flow-through was discarded and the column was rinsed with 350 µl RW1 buffer (Qiagen, Germantown, PA). After the first wash, 80 µl DNAse I in RDD buffer (Qiagen, Germantown, PA) was added to the column and incubated for 15 minutes at room temperature. The column was washed twice with 500 µl RPE buffer (Qiagen, Germantown, PA) and centrifuged at 8000 x G for 30 seconds on the first wash and 2 minutes on the second wash. The column membrane was dried by centrifuging for 1 minute in an empty collection tube. mRNA was eluted in 40  $\mu$ l Rnase-free water into a collection tube by centrifugation at 8000 x G for 1 minute. The mRNA concentration of the resulting eluent was quantified using a Nanodrop 2000c spectrophotometer (Thermo Scientific, Waltham, MA). Isolated mRNA samples were stored at -80C for subsequent cDNA synthesis.

#### 4.2.5 cDNA synthesis

To reduce mRNA secondary structures and facilitate primer annealing, 75 or 150 µg of purified mRNA was incubated with an equivalent quantity of RT Random Primer mix (Promega, Madison, WI) and RNase-free water in a total reaction volume of 15 µl at 70°C for 10 minutes, after which the samples were immediately cooled on ice. The following were added to the annealed sample: 5 µl 5x Reaction Buffer (250mM Tris-HCl, pH 8.3 at 25°C), 375mM KCl, 15mM MgCl<sub>2</sub>, 50mM DTT), 1 µl Reverse Transcriptase, and 1 µl dNTP. RNase-free water was added to bring the total reaction volume to 40 µl. The samples were incubated for 1 hour at 37C in a C1000 Touch ThermoCycler (Bio-Rad, Deeside, UK). The resulting cDNA was stored at -20°C for subsequent KASP genotyping assays.

#### 4.2.6 KASP<sup>™</sup> Genotyping Assays

A patented proprietary genotyping assay was provided by LGC Genomics (Teddington, Middlesex, UK). Briefly, mRNA was extracted from thawed PBMC and used for cDNA synthesis, as described in previously. The resulting cDNA was processed by LGC genomics to detect the rs6971 polymorphism.

KASP<sup>™</sup> genotyping assays use competitive allele-specific PCR to enable bi-allelic scoring of single nucleotide polymorphisms (SNPs). In this assay, allele-specific primers are combined with a KASP Master mix containing two universal fluorescence resonant energy transfer (FRET) cassettes consisting of oligonucleotide sequences conjugated to fluorescent dyes FAM and HEX. Initially, these dyes are quenched by the binding of complementary strand conjugated to a quenching molecule. In addition, the master mix contains a passive reference dye (ROX), Taq polymerase, free nucleotides, and MgCl<sub>2</sub> in an optimised buffer solution (LGC Genomics, Teddington, Middlesex, UK).

The gene-specific primers used in each assay are non-labelled oligonucleotides consisting of two allele-specific forward primers—each binding one of two alleles of interest— and one common reverse primer. The allele-specific forward primers each contain a unique 5' tail sequence that that is also contained in either the universal FAM-conjugated FRET cassette or the HEX-conjugated FRET cassette. During thermal cycling, the relevant allele-specific forward primers bind to the template and elongated. In subsequent cycles, the newly synthesized strands generated from the elongation of these forward primers are bound by the common reverse primer. The elongation of the reverse primer generates a sequence complementary to the forward primer, including the allele-specific 5' tail sequences on the forward primer. This complementary sequence of the generated from the dye-conjugated oligonucleotide in the FRET cassette, displacing the sequence conjugated to the quenching molecule. The displacement of the quenching element results in the emission of fluorescence.

If the patient is homozygous for the allele bound by the allele-specific forward primer corresponding to the HEX-conjugated cassette, only the HEX dye will fluoresce. If the patient is homozygous for the allele bound by forward primer corresponding to the FAM-conjugated cassette, only the FAM dye will fluoresce. If the patient is heterozygous and expresses both alleles, a mixed fluorescent signal will be detected. By assaying at the site of the rs6971 polymorphism, patients were categorized as high-affinity binders (C:C), medium-affinity binders (C:T), or low-affinity binders (T:T).

The following primers were used to assay for the TSPO rs6971 polymorphism: Forward: 5'-GCGGCCTGGCTAACTCCTGC-3' Reverse: 5'-AAAGCGGGAGCCCACGAAGC-3'

#### 4.2.7 **PBMC Membrane Isolation**

PBMC frozen in FACS buffer at a concentration of 20 million cells per ml were thawed at room temperature, resuspended, and diluted 1:10 in Buffer 1 (0.32mM Sucrose, 5mM Tris Base, 1mM MgCl<sub>2</sub>, pH 7.4). These diluted cell suspensions were homogenized using a Polytron PT1200 (Kinematic, Bohemia, NY) on speed setting 4 for 4 cycles consisting of 30 seconds of homogenization followed by 15 seconds of cooling on ice. The resulting homogenate was centrifuged at 32,000 x G for 20 minutes at 4°C to pellet cell membrane components. The supernatant was removed, and the pellet was washed twice by resuspension in 5 ml of Buffer 2 (50mM Tris HCL, MgCl<sub>2</sub>, pH 7.4), followed by centrifugation at 32,000 x G for 20 minutes at 4°C. Following the second wash, the pellet was reconstituted in 5 ml of Buffer 2. The protein concentration of the resulting suspension was quantified using a Bicinchoninic acid assay (Sigma-Aldrich, UK).

#### 4.2.8 Bichinchoic Acid Assay

Homogenates prepared from PBMC cell suspensions were quantified using a BCA kit from Sigma Aldrich (UK) according to manufacturer's provided protocol. Briefly, diluted albumin standards ranging from 20-2000 µg/ml were prepared in Buffer 2 using a provided bovine serum albumin (BSA) stock solution. 25 µl of each standard and sample were aliquoted in triplicate in a 96-well microplate. To prepare the working reagent, 4% cupric acid (Reagent B) was diluted 1:50 in Reagent A, a solution containing sodium carbonate, sodium bicarbonate, bicinchoninic acid and sodium tartrate in 0.1M sodium hydroxide. 200 µl of the working reagent was added to each well using a multichannel pipette. The plate was agitated gently for 30 seconds and incubated for 30 minutes at 37°C. The plate was then allowed to cool for 5 minutes and a GloMax Discover System Microplate Reader (Promega, Madison, WI) was used to measure the absorbance of each well at 560 nm.

#### 4.2.9 Radioligand binding assay

Thawed PBMC from healthy donors and patients classified as high-affinity, mediumaffinity, or low-affinity PBR28 binders were pelleted by centrifugation at 400 x G to remove DMSO used in their cryopreservation, re-suspended in RPMI containing 10% heatinactivated fetal bovine serum, and counted in Trypan Blue using a TC20 Automated Cell Counter (Bio-Rad, Hertfordshire, UK) to determine cell number and viability. Following cell counting, PBMC were re-suspending in FACS buffer to a concentration of 20 million cells per ml. Aliquots of 10 or 20 million cells were frozen for subsequent ligand binding studies. Prior to each ligand binding study, aliquots were thawed on ice and re-suspended in cold RPMI-1640 to a final concentration of 500,000 cells per ml. Stock concentrations of <sup>3</sup>H-PBR28 were prepared in Buffer 3 (50mM Tris Base, 140mM NaCl, 1.5mM MgCl<sub>2</sub>, 5mM KCl, 1.5 CaCl<sub>2</sub>, pH 7.4). To measure total ligand binding in medium-affinity binders, 200,000 cells were incubated, in triplicate, for 60 min at room temperature, with <sup>3</sup>H-PBR28 alone at the following concentrations: 100 nM, 50 nM, 30 nM, 10 nM, 3 nM, 1 nM, 0.3 nM, and 0.1 nM. To measure nonspecific ligand binding, 200,000 cells were incubated in triplicate with 10 nM "cold" <sup>1</sup>H-PBR28 and <sup>3</sup>H- PBR28 at the concentrations listed previously.

To measure total and nonspecific ligand binding in high-affinity binders, 50,000 cells were incubated, in triplicate, for 60 min at room temperature, with either <sup>3</sup>H-PBR28 alone or 10 nM "cold" <sup>1</sup>H-PBR28 and <sup>3</sup>H-PBR28 at the previously listed concentrations.

After incubation, the cells were harvested using a TomTec Harvester 96 Mach III M over a glass fibre filter paper (PerkinElmer) in Buffer 4 (50mM Tris Base, 1.4mM MgCl<sub>2</sub>). The harvested cells from each well were retained in the filter paper, which was then placed in 2 ml scintillation fluid (PerkinElmer, Waltham, MA) and allowed to incubate for 4 hours at room temperature before counting. Radioactivity per well was counted using a 1450 MicroBeta TriLux Plate Reader (PerkinElmer, Waltham, MA). The mean specific binding was calculated by subtracting the mean nonspecific binding from the mean total binding at each concentration of <sup>3</sup>H-PBR28.

To account for experimental error in the preparation of <sup>3</sup>H-PBR28 stock solutions and more precisely quantify the concentration of <sup>3</sup>H-PBR28 in each well, the radioactivity of each stock concentration was measured by diluting 5  $\mu$ l of the stock solution in 2 ml scintillation fluid. These dilutions were prepared in triplicate and the radioactivity of each dilution was measuring using a 1450 MicroBeta TriLux Plate Reader. The mean radioactivity counts of each stock solution was plotted against the specific binding of cells incubated with that stock solution in GraphPad (Prism 7). A two-site and one-site binding curve was fitted to each plot and a comparison of fits was performed.

#### 4.2.10 Statistical Analysis

Data are expressed as a mean  $\pm$  SEM of (n) independent experiments. Two-tailed students t-tests were used to assess significance. A p-value of less than or equal to 0.05 was considered significant.

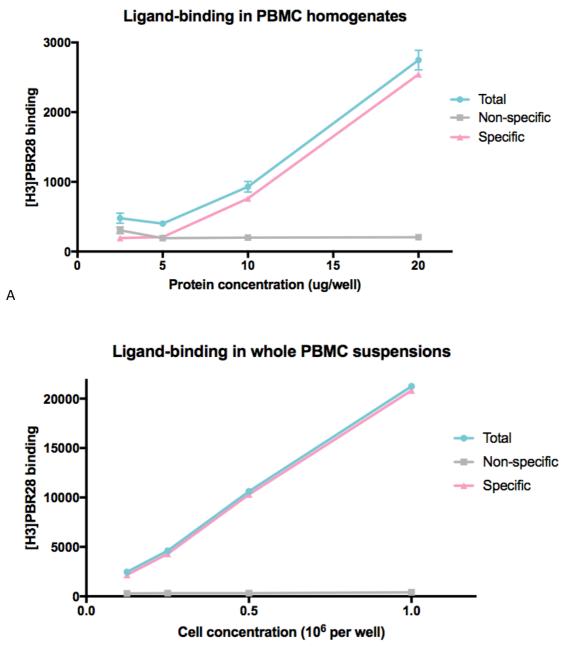
#### 4.3 Results

#### 4.3.1 Assay Optimization

To determine the best method of isolating TSPO for binding studies, <sup>3</sup>H-PBR28 binding in isolated PBMC was compared to <sup>3</sup>H-PBR28 binding in membranous organelles isolated from homogenized PBMC. PBMC were isolated from whole blood using a ficoll-separation protocol. Membrane isolation was performed on homogenized PBMC using ultracentrifugation. <sup>3</sup>H-PBR28 binding for a given quantity of cells in suspension was compared to <sup>3</sup>H-PBR28 binding in a suspension of protein extracted from the same quantity of cells. For example, as membrane isolation from 1 million cells yielded 20 µg of protein, <sup>3</sup>H-PBR28 binding in suspensions of 1 million cells, 500,000 cells, 250,000 cells, and 125,000 cells were compared to <sup>3</sup>H-PBR28 binding in suspensions of 20 µg, 10 µg, 5 µg, and 2.5 µg of protein, respectively.

As shown in **Figure 4-1**, total specific binding of <sup>3</sup>H-PBR28 in homogenized PBMC after membrane isolation ranged from 250 DPM per 5  $\mu$ g of protein to 2500 DPM per 20  $\mu$ g of protein. Total specific binding of <sup>3</sup>H-PBR28 in isolated PBMC ranged from 2500 DPM per 125,000 cells to 21,000 DPM per 1 million cells. Measurement of radioligand binding in whole isolated PBMC was determined to provide a much higher signal-to-noise ratio than measurement of binding in isolated membrane-bound proteins and subsequent radioligand binding assays were performed on whole isolated PBMC.

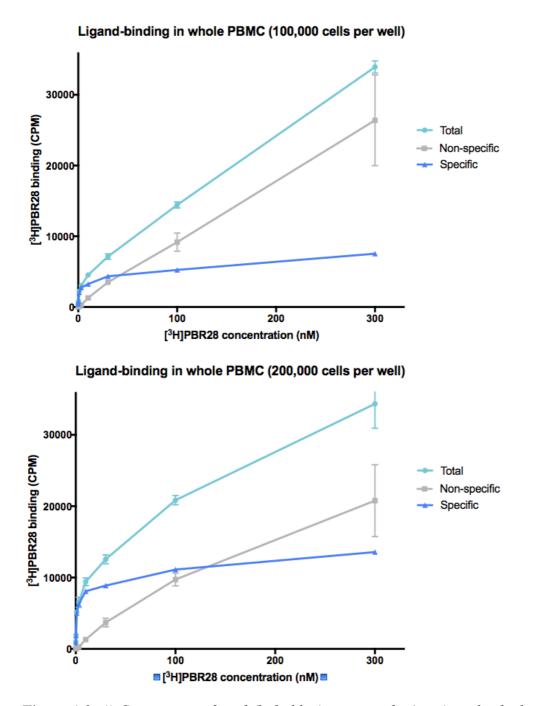
Subsequently, total, nonspecific and specific binding curves were generated for <sup>3</sup>H-PBR28 binding in whole PMBC cell suspensions containing 200,000 cells per well. Total <sup>3</sup>H-PBR28 binding was quantified in triplicate at 0.1, 0.3, 1, 3, 10, 30, 100 and 100 nM concentrations of <sup>3</sup>H-PBR28. Nonspecific <sup>3</sup>H-PBR28 binding was quantified in triplicate at 0.1, 0.3, 1, 3, 10, 30, 100 and 100 nM <sup>3</sup>H-PBR28 in the presence of 10  $\mu$ M non-tritiated <sup>1</sup>H-PBR28. Mean nonspecific binding was subtracted from mean total binding to calculate specific binding at each concentration. The resulting curves are shown in **Figure 4-2**.



В

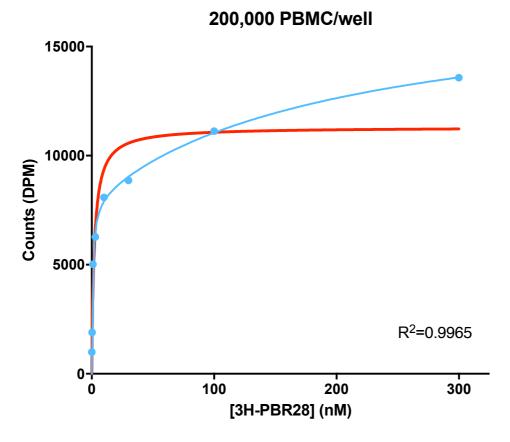
*Figure 4-1.* Comparison of total, non-specific, and specific <sup>3</sup>H- PBR28 binding in protein homogenates isolated from PBMC and whole PBMC.

- a) Comparison of total (blue), nonspecific (gray), and calculated specific <sup>3</sup>H- PBR28 binding (pink) in PBMC homogenate at protein concentrations ranging from 5-20 μg per well.
- *b)* Comparison of total (blue), nonspecific (gray), and calculated specific binding (pink) in suspension of whole cells at concentrations ranging from 250,000 to 1 million cells per well.



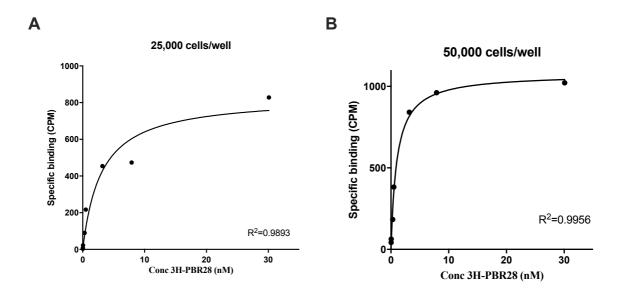
**Figure 4-2**. A) Comparison of total (light blue), nonspecific (gray), and calculated specific binding (dark blue) in suspensions of whole PBMC (100,000 cells per well) at <sup>3</sup>H-PBR28 concentrations of 0.1, 0.3, 1, 3, 10, 30, 100, and 300 nm. B) Comparison of total (light blue), nonspecific (gray), and calculated specific binding (dark blue) in suspensions of whole PBMC (200,000 cells per well) at <sup>3</sup>H-PBR28 concentrations of 0.1, 0.3, 1, 3, 10, 30, 100, and 300 nm.

Subsequently, binding curves were generated for known MABs and HABS at different cell concentrations to determine the optimal cell number. To determine the cell numbers necessary to measure specific binding in medium-affinity binders, two <sup>3</sup>H-PBR28 binding curves were generated using either 100,000 cells or 200,000 cells per well. Curves generated using 200,000 cells per well were found to have less variation and subsequent experiments with MAB donors used 200,000 cells per well. As shown in **Figure 4-3**, a comparison-of-fits was performed comparing one-site and two-site binding curves. A two-site curve was found to provide the best fit, as indicated by an R<sup>2</sup> value of 0.9965 and an absolute sum-of-squares of 12,465,824. Moreover, the calculated percentage of binding sites with high-affinity binding characteristics was determined to be 46.12%, which is consistent with studies in vitro which show high- and low-affinity binding sites exist at a 1:1 ratio in medium-affinity binders, each constituting approximately 50% of available binding sites <sup>8,274</sup>.



*Figure 4-3.* Comparison-of-fits for one-site (red) and two-site (blue) binding curves fitted to specific binding values generated for PBMC (200,000 cells per well) from a known MAB healthy donor.

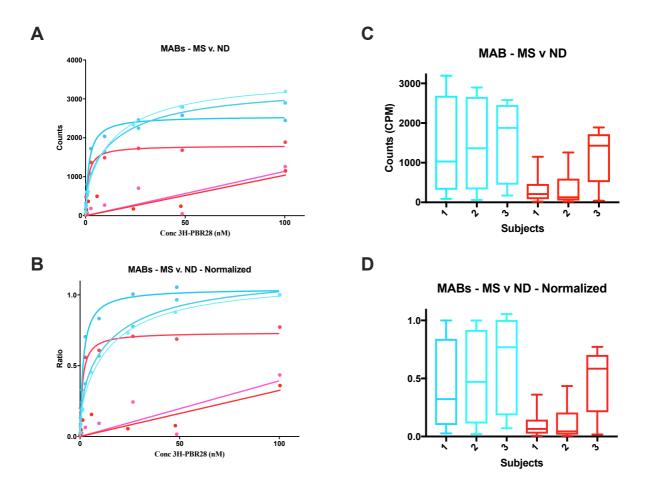
To determine the cell numbers necessary to measure specific binding in high-affinity binders, two <sup>3</sup>H-PBR28 binding curves were generated using either 50,000 cells or 25,000 cells per well. In high affinity binders, it was determined that at least 50,000 cells per well was necessary to generate an accurate binding curve ( $R^2$ =0.9956). Experiments with fewer cells yielded results with much higher variation ( $R^2$ =0.9893) (**Figure 4-4**).



**Figure 4-4.** Comparison of one-site binding curves fitted to calculated specific binding values generated for a known HAB donor in wells containing A) 25,000 or B) 50,000 cells per well.

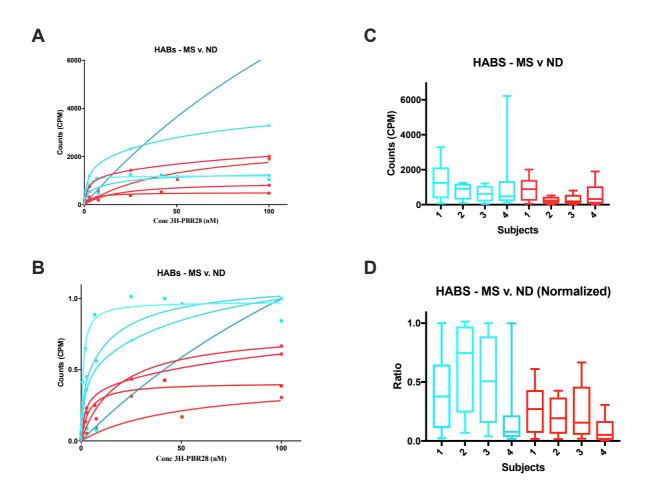
# 4.3.2 TSPO-<sup>3</sup>H-PBR28 binding is lower in MS patients than in genotype-matched healthy donors

Following assay optimization, three MS patient MABs were compared with three normal donor MABs. Each patient was paired to a normal donor and the pairs were thawed and assayed concurrently on the same day. Despite finding no significant difference in TSPO expression between these subsets of MS subjects and normal donors, the MS subjects displayed much lower levels of signal intensity at 300 nM [<sup>3</sup>H-PBR28] (1432.78±239.3, n=3) when compared to the normal donors (2845.00±217.6, n=3) as demonstrated in **Figure 4-5**. This difference was statistically significant (p=0.0112).



**Figure 4-5.** A) Comparison of <sup>3</sup>H-PBR28 specific binding curves in 4 MABS with multiple sclerosis (red) and 4 MAB normal donors (blue). B) Comparison of <sup>3</sup>H-PBR28 specific binding curves in 4 MABS with multiple sclerosis (red) normalized to one of 4 MAB normal donors (blue). C) Box plots of <sup>3</sup>H-PBR28 specific-binding values in 4 MABS with multiple sclerosis (red) and 4 MAB normal donors (blue). D) Box plots of <sup>3</sup>H-PBR28 specific-binding values in 4 MABS with multiple sclerosis (red) normalized to one of 4 MAB normal donors (blue). D) Box plots of <sup>3</sup>H-PBR28 specific-binding values in 4 MABS with multiple sclerosis (red) normalized to one of 4 MAB normal donors (blue). Curves for MS subjects were normalized to curves for normal donor subjects run on the same day.

The MS HAB subjects also had a higher mean level of TSPO protein expression but a markedly lower level of signal intensity at 300 nM [ $^{3}$ H-PBR28] (1299.37±770.4, n=4) when compared to normal donors (2940.13±2410.33, n=4) as shown in **Figure 4-6**. However, this difference was not significant (p=0.2423).



**Figure 4-6.** A) Comparison of <sup>3</sup>H-PBR28 specific-binding curves in 4 HABS with multiple sclerosis (red) and 4 HAB normal donors (blue). B) Comparison of <sup>3</sup>H-PBR28 specific-binding curves in 4 HABS with multiple sclerosis (red) normalized to one of 4 HAB normal donors (blue). C) Box plots of <sup>3</sup>H-PBR28 specific-binding values in 4 HABS with multiple sclerosis (red) and 4 HAB normal donors (blue). D) Box plots of <sup>3</sup>H-PBR28 specific-binding values in 4 HABS with multiple sclerosis (red) normalized to one of 4 HAB normal donors (blue). D) Box plots of <sup>3</sup>H-PBR28 specific-binding values in 4 HABS with multiple sclerosis (red) normalized to one of 4 HAB normal donors (blue). Curves for MS subjects were normalized to values for normal donor subjects run on the same day.

#### 4.4 **Discussion**

Our results suggest a discordance between TSPO protein expression and secondgeneration ligand-binding in the peripheral blood, which has significant implications for the clinical use of second-generation ligands to detect neuroinflammation. While PBMC samples showed globally elevated TSPO protein expression in MS patients, radioligandbinding by second-generation ligand PBR28 was significantly decreased in those same MS patient samples. This paradoxical discordance between protein expression and proteinradioligand binding suggests that MS patients, despite exhibiting moderately elevated TSPO protein expression, may have an impairment in the ability of TSPO to bind second-generation ligands. This may indicate a conformational change in the protein structure or an external element altering the availability of the radioligand binding site.

Previous studies have indicated that TSPO exists in both monomeric and multimeric forms <sup>245,275</sup>. For example, TSPO is known to form a heteromultimeric complex with 32kDA voltage-dependent anion channel (VDAC) and 30 kDa adenine nucleotide carrier (ANT) that is thought to facilitate the transport of cholesterol through the outer mitochondrial membrane <sup>275</sup>. Alternatively, TSPO may exist in monomeric or homomultimeric forms that are hypothesized to mediate ROS metabolism in conditions of oxidative stress <sup>245,275</sup>. Western blots of testicular Leydig and breast cancer cells probed with TSPO-reactive antisera have consistently identified immunoreactive protein bands of 18, 40, and 56 kDa <sup>275</sup>. Larger bands of 72, 90, and 110 kDa are also occasionally detected in some conditions <sup>275</sup>. These higher molecular weight bands are thought to contain dimers and oligomers of TSPO, and conditions that induced the production of ROS also resulted in the detection of larger TSPO polymers <sup>275</sup>.

X-ray crystallography and NMR studies in bacteria and rodents have since visualized the 3D structure of TSPO, as well as its ligand binding sites <sup>26,246,276–278</sup>. These structural studies of TSPO homodimers in bacteria and mice have found that dimer structure varies in the presence and absence of PK11195 as a stabilizing ligand, suggesting that ligand-binding may alter the dimer structure and implying that, conversely, conformational changes induced by dimerization may modify or block ligand-binding sites <sup>26,246,276–278</sup>.

TSPO ligands vary in their ability to bind TSPO in different populations. For example, 7-chloro-5-(4-chlorophenyl)-1-methyl-1,3-dihydrobenzo[e][1,4] diazepin-2-one (Ro5-4864) is a benzodiazepine that binds variably with TSPO across species. Isoquinoline

carboxamide derivative PK11195, in contrast, is a non-benzodiazepine observed to bind TSPO with high affinity in all species <sup>40,66,197,279–285</sup>. The differential binding pattern between these two ligands is thought to indicate that they bind different sites within the TSPO molecule <sup>66,279–285</sup>. Likewise, PBR28 and other second-generation ligands are known to be a sensitive to a specific TSPO polymorphism called rs6971, in which a single-nucleotide substitution results in the conversion of a nonpolar alanine residue near the C-terminus of the molecule into a polar threonine residue <sup>8,77,286–289</sup>. This polymorphism is observed to abrogate the binding of PBR28, such that heterozygotes carrying one copy of the rs6971 allele show reduced binding affinity in all tissues, and homozygotes carrying two copies of rs6971 allele show virtually no binding at all <sup>8,77,286–289</sup>. It is therefore hypothesized that the PBR28 binding site is sensitive to conformational changes induced by the amino-acid substitution <sup>8,77</sup>.

Moreover, radioligand-binding assays detected significantly less [3H]PBR28-binding in healthy human peripheral blood MDM stimulated with LPS and IFN-γ than in unstimulated MDM <sup>204</sup>. It is therefore hypothesized that LPS stimulation may conformationally alter the binding site of PBR28 and further diminish binding of PBR28 in medium- and high-affinity binders. Our finding of reduced PBR28 binding in MS patients with increased levels of TSPO corroborates this hypothesis, suggesting that increases in proinflammatory activation in peripheral blood immune cells leads to conformational changes that reduce or abrogate TSPO-PBR28 binding. This would suggest that PBR28 ligandbinding signal may underestimate the true extent of TSPO protein expression in both blood and inflammatory lesions, which has significant implications for the clinical application of second-generation ligands in MS PET imaging. This may warrant caution in the interpretation of brain PET scans using PBR28 and similar second-generation ligands for the imaging of inflammation in the brain and may also serve to explain the difficulty of detecting significantly elevations of PBR28 binding in the brain, even in active disease.

#### 4.4.1 Future directions

While we hypothesize that the decreased TSPO radioligand-binding observed with PBR28 in MS patients is the result of conformational changes induced by inflammatory stimuli associated with their disease state, we have not directly measured TSPO radioligandbinding with PBR28 in PBMC isolated from MS patients after exposure to inflammatory stimuli *in vitro*. While studies by Narayan et al. have demonstrated a decrease in PBR28binding by human macrophages exposed to LPS and IFN- $\gamma$  *in vitro*, these human macrophages were derived from monocytes isolated from the blood of healthy subjects and synovial fluid drawn from subjects with rheumatoid arthritis <sup>204</sup>. Whether a similar decrease in PBR28-binding upon exposure to pro-inflammatory stimuli would be seen in macrophages cultured from the blood of MS patients has not yet been tested. To probe this relation, I would propose to culture monocyte-derived macrophages from isolated MS and ND PBMC and measure TSPO protein levels in response to M1 (LPS and IFN- $\gamma$ ) and M2 (IL-4) treatment using flow cytometry and Western blotting. I would attempt to preserve and refreeze as many cells as possible for subsequent radioligand-binding assays.

Additionally, as discussed in the previous chapter, due to subject availability, this study compared a cohort of normal donors with an MS patient cohort that included both untreated MS patients and MS patients on first-line therapies, such as interferon- $\beta$  or glatiramer acetate. Ideally, to identify changes in TSPO expression in active neuroinflammatory disease, we would compare TSPO levels in healthy donors to TSPO levels specifically in untreated MS patients with active disease. However, patients are unlikely to remain off treatment in this clinical context and we did not have sufficient numbers of untreated patients to make this comparison. Within the cohort selected for this study, there was no difference in TSPO expression in PBMC between untreated patients and patients on first-line therapies, but we cannot exclude the possibility that first-line immunomodulatory therapies may exert some influence on TSPO expression. Moreover, patients on second-line immunosuppressive therapies and patients who had received steroid therapies for acute exacerbations within the six months prior to their visit were excluded from this study. The effect of these second-line therapies on TSPO expression and radioligand binding has not been studied. In future studies, it would be ideal to include higher numbers of untreated, first-line-treated, and second-line-treated patients and compare the effect of both first-line and second-line therapies on TSPO radioligand-binding. Specifically, I would select 10 untreated, 10 first-line-treated, and 10 second-line-treated patients. I would then compare TSPO levels, mitochondrial volume, and immunophenotype in classical, nonclassical, and intermediate monocytes in these subjects using the same antibody panel described in this chapter (CD14, CD16, CD3, TSPO, CD86, HLA DR, HSP60). From each sample, I would retain 10 million cells at -80C for subsequent ligand-binding experiments using PBR28. This would enable me to measure total PBR28-binding and non-specific

binding in triplicate at 8 different concentrations and generate a binding curve to robustly determine the level of TSPO-PBR28 binding in each subject and compare radioligand binding with TSPO protein expression measured by flow cytometry.

Another limitation of this study is that we were not able to measure TSPO radioligand binding with a first-generation ligand. Due to the high quantity of cells required for each ligand-binding assay, this limitation would likely persist even with access to larger patient cohort. To generate a full binding-curve with total and non-specific binding in triplicate at eight different radioligand concentration currently requires  $2.4 \times 10^6$  cells in high-affinity binders (50,000 cells per well) and  $9.6 \times 10^6$  cells in medium-affinity binders (200,000 cells per well). As first- and second-generation ligand are thought to be bind different sites with the TSPO protein <sup>66,279–285</sup>, detecting a difference between first- and second-generation ligand-binding in the same tissue sample could provide significant mechanistic insight but is unfortunately unlikely to be feasible with PBMC. An alternative method of probing this question would be to measure PK-11195 and PBR28 binding in frozen tissue by autoradiography and compare with TSPO immunostaining in a contiguous section.

Lastly, again due to the high quantity of isolated PBMC required for radioligandbinding experiments and extremely limited sample availability, we were unable to directly compare TSPO protein expression with second-generation ligand-binding in marmoset PBMC. With sufficient sample availability, it would be ideal to measure TSPO expression and PBR28-binding in isolated marmoset PBMC before and after EAE induction. Specifically, I would propose to collect and isolate PBMC from 1 ml of blood from 6 healthy marmosets prior to EAE induction. I would then propose to collect and isolate PBMC from the same marmosets at one-month intervals after EAE induction. I would thaw samples from the same animal on the same day and run same-day comparisons of TSPO expression in CD14+ monocytes and CD3+ lymphocytes as described in Chapter 3. Where possible, I would attempt to retain 1.2 million cells at -80C for subsequent ligand-binding experiments using PBR28. This would enable me to measure total PBR28-binding and non-specific binding in triplicate at a single PBR28 concentration.

### Conclusions

#### 5.1 Overview

The studies described in this thesis affirm the clinical utility of TSPO as potential PET imaging target for the detection of activated microglia and macrophages and the monitoring of CNS disease in both MS and a relevant nonhuman primate model of autoimmune neuroinflammatory disease. Moreover, they indicate TSPO to be a potential peripheral biomarker of neuroinflammation in multiple sclerosis, one that can be measured in the peripheral to non-invasively monitor disease and in the long term may potentially be useful for patient prognosis and stratification. The ability to monitor TSPO levels in the peripheral blood may also enable the comparison of patterns of protein expression with patterns of ligand-binding, which may be necessary for the development and validation of TSPO radioligands in the future.

## 5.2 **TSPO** is expressed in both glia and neurons in marmoset EAE and is expressed predominantly by CD74+ microglia/macrophages in acute disease

From the histopathological characterization of TSPO expression in postmortem marmoset EAE tissue, we conclude that the common marmoset is an extremely relevant model for the study of TSPO in human neurological disease. In characterizing the cellular localization and temporal pattern of TSPO expression in healthy and inflamed marmoset CNS tissues, this study is the first to establish the expression of TSPO by microglia/macrophages, astrocytes, and neurons in marmoset EAE, confirming the model to recapitulate both the glial and neuronal expression of TSPO observed in multiple sclerosis and thus rendering it a valuable proxy for the modeling of human neuroinflammatory disease. Furthermore, this study is the first to our knowledge to characterize the time course of TSPO expression in marmoset EAE, which was found to peak in the first month of lesion development and appears to decline gradually over a course of several months. Analysis using a linear mixed effects model of TSPO expression over time demonstrated a statistically significant decrease in TSPO at 4-5 months after lesion onset. We have also detected temporal patterns in the cellular association of TSPO in lesion development, with early TSPO expression occurring principally in Iba1+ microglia/macrophages, and later TSPO expression occurring in both microglia/macrophages and hypertrophic astrocytes. Specifically, marmoset EAE exhibits the pattern of astrocytic expression of TSPO in older lesions with significant astrogliosis that is seen in human disease, in which TSPO expression is observed in

hypertrophic GFAP+ reactive astrocytes. The expression of TSPO by hypertrophic astrocytes in gliotic lesions further distinguishes marmoset EAE from many rodent models of EAE, where astrocytic TSPO expression is frequently absent. Furthermore, the finding of decreasing microglial TSPO expression and increasing astrocytic TSPO expression over time is consistent with temporal patterns of TSPO expression observed in MS.

Additionally, this study has confirmed neuronal TSPO expression in marmoset EAE. While TSPO expression was observed in less than 1% of neurons detected by NeuN staining in control primate brain tissue, distinct cytoplasmic TSPO expression was observed in 1-45% of NeuN+ neurons in multiple areas of normal-appearing gray matter in EAE marmoset brain tissue. Multiplex immunofluorescence imaging of TSPO in conjunction with markers of neuronal phenotype localized TSPO expression to excitatory glutaminergic neurons expressing GLS2, a finding that is consistent with recent RNAseq studies profiling TSPO in single cells obtained from human brain homogenates, which found neuronal TSPO to be moderately expressed in pyramidal neurons <sup>254–256</sup>. While phenotyping studies were limited to a single animal, the ability to detect neuronal TSPO in this disease model may enable further study of the neuronal phenotype associated with TSPO expression in other animals. The ability to monitor changes in neuronal TSPO expression in response to disease progression or therapeutic intervention may give further insight into its prognostic and therapeutic value.

In addition to establishing marmoset EAE as a suitable proxy for multiple sclerosis in the study of TSPO in neuroinflammation, this study has also observed potential disease-specific changes in the immunophenotype of TSPO+ microglia and macrophages in neuroinflammatory disease. Whereas TSPO in the healthy primate brain is more frequently expressed by Arg1+Iba1+ microglia and macrophages than by CD74+ or MRP14+ microglia/macrophages, TSPO in acute lesions is expressed predominantly by CD74+ microglia/ macrophages. The finding that TSPO is predominantly associated with Arg1+/"M2" phagocytes in healthy brain tissue but becomes more associated with a "M1"-like phenotype in diseased brain tissue suggests that TSPO expression may become dysregulated in inflammatory states.

The greatest limitation of this study is that we were only able to access one control animal. While the patterns described here may ultimately yield some insight into the dynamics of TSPO expression in EAE and MS, it is difficult to assess the statistical significance of differences between EAE and control tissue with a single control. Nonethelss, the commonalities between marmoset EAE and multiple sclerosis identified in this study demonstrate marmoset EAE to be a suitable model for the study of TSPO expression in human neuroinflammatory disease. We may therefore envision informative PET imaging experiments in EAE marmosets comparing TSPO-radioligand binding by first- and second-generation ligands with clinical and pathological parameters of disease progression, such as MRI lesion burden and disability. Moreover, such studies would also facilitate the direct the spatial comparison of changes in TSPO-radioligand binding on PET imaging with changes in T2W hyperintensity on MRI. Finally, these experiments would facilitate the comparison of terminal PET imaging studies with postmortem pathology. Limitations would include the lack of control tissue (as in this study), high cost and the small size of the animal, which may preclude the use of an arterial line to administer PET radioligands.

# 5.3 TSPO expression is significantly elevated in multiple subsets of monocytes in the peripheral blood of MS patients and may function as a peripheral biomarker of CNS inflammation

We conclude that TSPO expression in peripheral blood mononuclear cells may be a useful peripheral biomarker of CNS inflammation in active neuroinflammatory disease. When compared to age- and race-matched controls, monocytic TSPO expression was significantly increased in the peripheral blood of MS patients with active disease who were untreated or on first-line treatments, and these differences were detected in both typical CD14+CD16- and atypical CD16+CD14- monocytes. Moreover, elevations in TSPO were more statistically robust than elevations detected in other markers of activation, such as HLA DR and CD86, suggesting that TSPO expression in monocytes may be a more sensitive marker of disease status than these conventional activation markers. Finally, the ability to measure TSPO expression in isolated PBMC renders the peripheral blood a useful model system in which to probe the relationship between protein expression and binding, as discussed in Section 5.4. However, we did not probe TSPO levels in the PBMC of patients on second-line disease-modifying agents, nor did we longitudinally monitor TSPO levels in patients over the course of treatment, so the influence of therapy and disease progression on peripheral TSPO expression is not yet known.

The analysis of TSPO expression in marmoset PBMC was unfortunately limited by the low blood volume available for flow cytometry, but preliminary studies confirmed the expression of TSPO in marmoset lymphocytes and monocytes. Monocytic TSPO expression in marmoset blood was found to be 5-fold higher than lymphocytic TSPO expression, a finding that is consistent with the trends observed in human PBMC in both this thesis and literature on the subject <sup>213</sup>. The ability to measure TSPO protein expression in marmoset monocytes and lymphocytes may ultimately facilitate the monitoring of TSPO levels in marmoset blood before and after EAE induction, providing the opportunity to directly measure the effect of neuroinflammation on peripheral TSPO expression. Future experiments would ideally compare TSPO expression by flow cytometry in CD14+ monocytes and CD3+ lymphocytes from healthy animals before EAE induction with TSPO expression in the same animal at precise time points after EAE induction e.g. 1 month after induction, 2 months after induction, etc. It would also be valuable to draw blood from animals during clinical exacerbations and compare TSPO levels in PBMC during exacerbation with TSPO levels during remission. Our MRI protocol would facilitate the detection of animals with active contrast-enhancing lesions, allowing the comparison of TSPO in PBMC in animals with CEL to TSPO in animals without active lesions. Furthermore, the ability to measure TSPO protein expression in marmoset PBMC could facilitate the comparison of protein expression with radioligand-binding in both healthy marmosets and EAE marmosets.

## 5.4 **PBR28-binding is decreased in the peripheral blood of MS patients with active disease, despite increases in protein expression**

To probe the correlation between TSPO expression and TSPO ligand-binding, I compared TSPO protein expression measured by flow cytometry in normal donors and MS patients with active disease to TSPO-radioligand binding by second-generation ligand PBR28 in the same cohorts. Whereas TSPO was elevated in both monocytes and lymphocytes in MS patients when compared to matched controls, radioligand-binding by second-generation ligand PBR28 was significantly decreased in PBMC samples from those same MS patients. The results of this study suggest a discordance between TSPO protein expression and second-generation ligand-binding in the peripheral blood, which implies that second-generation

ligands may be of limited clinical utility for the detection and quantification of TSPO expression in neuroinflammation. It is therefore hypothesized that TSPO proteins in MS patients may be impaired in their ability to bind second-generation ligands, despite elevated expression relative to matched controls. This is possibly due to a conformational change in the protein structure occurring in inflammatory disease that alters the availability of the binding site for second-generation radioligands.

Studies of purified TSPO isolated from bacteria have found to protein to form dimers and oligomers <sup>245,275</sup>, and structural studies of TSPO homodimers in bacteria and mice have found that the TSPO homodimer structure appears to vary in the presence and absence of stabilizing ligand such as PK11195, suggesting that ligand-binding may directly alter the dimer structure. This implies that conversely, conformational changes induced by dimerization may modify or block the ligand-binding site <sup>26,276,277,290,291</sup>. More recent radioligand-binding assays have detected a decrease in <sup>3</sup>H-PBR28-binding in healthy human peripheral blood monocyte-derived macrophages stimulated with LPS and IFN- $\gamma^{204}$ , suggesting that LPS stimulation may specifically inhibit PBR28-binding by conformationally altering its binding site. Our finding of reduced PBR28 binding in MS patients, despite increased levels of TSPO, aligns with this hypothesis. If pro-inflammatory activation in peripheral blood immune cells leads to conformational changes that reduce or abrogate TSPO-PBR28 binding, then it is likely that PBR28 ligand-binding signal in inflamed tissues may underestimate the true extent of TSPO protein expression in both blood and CNS lesions, which has significant implications for the clinical application of second-generation ligands in MS PET imaging. This may also explain the difficulty of detecting significant elevations of PBR28 binding in the brain, even in active inflammatory disease, where TSPO expression is expected to be highly elevated.

However, a major limitation of this study is that I was not able to measure TSPO-PET radioligand binding with a first-generation ligand. Measuring binding with both a first- and second-generation ligand could provide potential mechanistic insight, as these ligand categories are thought to be bind different sites with the TSPO protein. Detecting a difference between first- and second-generation ligand-binding in the same tissue sample would corroborate this hypothesis, but unfortunately, would require a much higher quantity of tissue.

#### 6 Concluding Summary

This thesis examines the immunophenotype of TSPO-expressing cells in the CNS and peripheral blood in MS and EAE and the relationship between TSPO expression and ligandbinding in conditions of neuroinflammation. Collectively, these results allow us to better understand the pathological significance of TSPO expression and TSPO-ligand binding in the central nervous system and peripheral blood in neuroinflammatory disease, providing a framework through which to further probe the clinical utility of TSPO as a marker of inflammation.

Through our pathological studies of marmoset EAE, we have established that TSPO in marmoset EAE is predominantly expressed by microglia and macrophages and is expressed by virtually all microglia and macrophages in acute lesions. TSPO expression in microglia in healthy marmoset brain tissue is more closely associated with markers of "M2"-like immunophenotype, whereas TSPO expression in microglia/macrophages in inflamed brain tissue is more closely associated with an "M1"-like immunogenic phenotype. We have further observed that TSPO expression by microglia/macrophages persists in chronic lesions. However, the intensity of TSPO expression decreases with lesion age. The study of TSPO expression in peripheral blood mononuclear cells indicates that TSPO expression in the periphery is also altered in neuroinflammatory conditions and may be used as a peripheral bloomarker of inflammation. However, TSPO-binding by second-generation PET radioligand PBR28 is decreased in the peripheral blood of MS patients. This paradoxical decrease in ligand-binding is hypothesized to arise from conformational changes in the second-generation ligand-binding site and likely limits the utility of the ligand as a marker of TSPO expression in inflammatory disease.

From these studies, we conclude that marmoset EAE is a suitable experimental model for the use of TSPO radioligands to detect activated microglia and macrophages in acute and chronic lesions *in vivo*. Given its demonstrated association with "M1" activation marker CD74 in diseased brain tissue, the visualization of TSPO expression in marmoset EAE may provide further insight into the extent of pro-inflammatory activation in acute and chronic lesions. Subsequent decreases in TSPO expression in EAE lesions may be useful as a marker of chronicity. However, studies of second-generation TSPO radioligand-binding should be interpreted with caution, as our studies indicate a discrepancy between TSPO expression and second-generation radioligand-binding in inflammatory disease.

#### 7 Appendix

#### 7.1 Antibodies

#### Secondary antibodies used for immunohistochemistry

Goat anti-Mouse IgG (H+L) Cross-Adsorbed Secondary Antibody, HRP (Invitrogen, # G-21040)

Goat anti-Rabbit IgG (H+L) Secondary Antibody, HRP (Invitrogen, # 65-6120) Goat anti-Mouse IgG (H+L) Secondary Antibody, AP (Invitrogen, # 31320)

#### 7.2 Tables

Target	Clone	Host	Isotype	Company	Cat. No.	Dilution	[mg/ml]
PLP	Plpc1	Mouse	IgG2a	Bio-Rad	MAC839G	1:200	1
TSPO	EPR5384	Rabbit	IgG	Abcam	ab109497	1:100	0.92
Iba1	Polyclonal	Guinea Pig	IgG	Synaptic Systems	234004	1:100	1
Argl	ARG1	Mouse	IgG3	NSJ Biologicals	V2652	1:200	0.2
CD74	Polyclonal	Sheep	IgG	R&D Systems	AF3590	1:100	1
MRP14	MAC387	Mouse	IgG1	DAKO	M074701	1:100	0.227
CD163	6E10.1G6	Mouse	IgG2b	Novus Biologicals	NBP2-36494	1:100	1

**Table 7-1**. A complete list of validated primary antibodies used for the phenotyping of microglia and macrophages expressing TSPO in inflammatory lesions in the marmoset CNS. A rabbit monoclonal antibody was used to detect TSPO, while guinea pig anti-Iba1 was used to detect activated microglia and macrophages. A mouse anti-PLP antibody was used to stain myelin and define areas of demyelinating inflammation. Anti-CD74 was used to identify microglia and macrophages with functional capacity for antigen presentation, while anti-MRP14 was used to detect phagocytes in a stage early activation. Anti-CD163 and anti-Arginase 1 were used to detect microglia and macrophages with a tolerogenic function.

Fluorophore	Secondary	Target	Target	Company	Cat. No.	Dilution	Conc.
	host	Host	Isotype				[mg/ml]
DY395XL	Goat	Mouse	IgG2a	Li-Cor Biosciences	CSQ-0007-3	1:100	2
Alexa Fluor 488	Goat	Rabbit	IgG	ThermoFisher	A21131	1:200	2
Alexa Fluor 546	Goat	Guinea Pig	IgG	ThermoFisher	A11035	1:200	2
Alexa Fluor 594	Goat	Mouse	IgG3	ThermoFisher	A21125	1:200	2
Alexa Fluor 647	Goat	Sheep	IgG	R&D Systems	A21450	1:200	2
IRDye 680LT	Goat	Mouse	IgG1	DAKO	016-120-084	1:200	1
IRDye 800CW	Goat	Mouse	IgG2b	Li-Cor Biosciences	926-32352	1:100	1

**Table 7-2**. A complete list of secondary antibodies used for the phenotyping of microglia and macrophages expressing TSPO in inflammatory lesions in the marmoset CNS. Primary antibodies are described in **Table 7-1**.

Target	Clone	Host	Isotype	Company	Cat. No.	Dilution	[mg/ml]
PCNA	PC8	Mouse	IgG3	GeneTex	GTX40237	1:200	0.5
Collagen IV	Polyclonal	Rabbit	IgG	Abcam	ab6586	1:200	1
NeuN	Polyclonal	Guinea Pig	IgG	Millipore	ABN90	1:500	1
S100	15E2E2	Mouse	IgG2a	Millipore	MAB079-1	1:200	1
CNPase	11-5B	Mouse	IgG1	Millipore	MAB326	1:200	1
NFH	Polyclonal	Chicken	IgY	Millipore	AB5539	1:500	1
Lectin*	LEL	N/A	N/A	Vector Labs	B-1175	1:200	2
MBP	82-87	Rat	IgG2a	Millipore	MAB386	1:200	1
GFAP	Polyclonal	Mouse	IgG2b	BD Biosciences	55630	1:200	0.5

**Table 7-3**. A complete list of validated primary antibodies used for the phenotyping of neurons and astrocytes expressing TSPO in inflammatory lesions in the marmoset CNS. A rabbit monoclonal antibody was used to detect TSPO, while guinea pig anti-NeuN and chicken anti-NFH were used to detect neuronal nuclei and axons, respectively. Mouse anti-CNPase, anti-GFP and anti-S100A antibodies were used to detect astrocyte cell bodies and processes. A rat anti-MBP antibody was used to stain myelin and define areas of demyelinating inflammation. Mouse IgG3 anti-PCNA was used to detect proliferating cells. Antibodies to lectin and collagen IV were used to detect endothelial cells and the subendothelial basement membrane, respectively.

Fluorophore	Secondary	Target Host	Target	Company	Cat. No.	Dilution	Conc.
	host		Isotype				[mg/ml]
DyLight 405	Goat	Mouse	IgG3	Li-Cor Biosciences	CSQ-0007-3	1:100	1
Alexa Fluor 430	Goat	Rabbit	IgG	ThermoFisher	A11064	1:100	2
Alexa Fluor 488	Goat	Guinea Pig	IgG	ThermoFisher	A21151	1:100	2
Alexa Fluor 546	Goat	Mouse	IgG2a	ThermoFisher	A21133	1:200	2
Alexa Fluor 594	Goat	Mouse	IgG1	ThermoFisher	A21125	1:200	2
Alexa Fluor 647	Goat	Chicken	IgY	ThermoFisher	Z21449	1:200	2
StreptAvidin-	N/A	N/A	N/A	Jackson	016-120-084	1:200	0.2
PerCP				ImmunoResearch			
IRDye 680LT	Goat	Rat	IgG2a	Li-Cor Biosciences	926-68051	1:200	1
IRDye 800CW	Goat	Mouse	IgG2b	Li-Cor Biosciences	926-32219	1:100	1

**Table 7-4**. A complete list of secondary antibodies used for the phenotyping of neurons and astrocytes expressing TSPO in inflammatory lesions in the marmoset CNS. Primary antibodies are described in **Table 7-3**.

Target	Name	Functional association or target	Clone	Host isotype
TSPO	18 kDa translocator protein	Activated macrophages/microglia; reactive astrocytes	EPR5384	Rabbit
Iba-1	Ionized calcium binding adaptor molecule 1	Activated macrophages/microglia	Polyclonal	Guinea Pig
MRP14	S100 calcium-binding protein A9 (S100A9); migration inhibitory factor- related protein 14 (MRP14)	Early activated macrophages/microglia	MACS387	Mouse IgG1, к
CD74	Invariant chain of HLA-DR	Antigen presentation	Polyclonal	Sheep IgG
Arg-1	Arginase 1	Canonical "M2" marker	ARG1/1126	Mouse IgG3
CD163	Cluster of Differentiation 163; hemoglobin- haptoglobin scavenger receptor	Phagocytosis of hemoglobin/haptoglobin	6E10.1G6	Mouse IgG2b
PLP	Proteolipid protein	Myelin debris	plpc1	Mouse
				IgG2a
DAPI	-	Cell nuclei	-	-

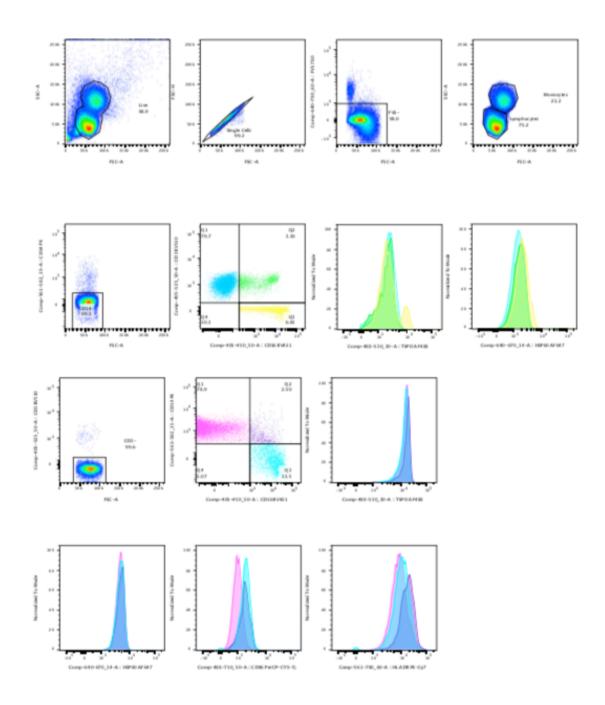
**Table 7-5**. A summary of the markers used to identify and determine the immunophenotype of microglia and macrophages in healthy and EAE marmoset brain in this study, including target name, functional association, and the clone and host isotype of the antibody used to the detect the marker.

Antibody	Isotype	Clone	Provider	[mg/ml]	Quantity
Anti-CD14 PE	Mouse IgG <sub>2a</sub>	M5E2	BD Biosciences	0.05	1 µl
Anti CD16 PE-	Mouse IgG <sub>1</sub>	CB16	ThermoFisher	0.025	2 µl
eFluor 610					
Anti-CD3 BV510	Mouse IgG <sub>2a</sub>	OKT3	BioLegend	0.25	1 µl
Anti-CD86 PerCP-	Mouse IgG <sub>1</sub>	2331	BD Biosciences	0.5	1 µl
Cy5.5		(FUN-1)			
Anti-HLA PE-Cy7	Mouse IgG <sub>2a</sub>	G46-6	BD Biosciences	0.5	0.5 µl
Anti-TSPO	Rabbit IgG	EPR5384	AbCam	0.92	1 µl
AlexaFluor488					
Anti-HSP60	Rabbit	-	Novus	1	1 µl
AlexaFluor647	polyclonal				

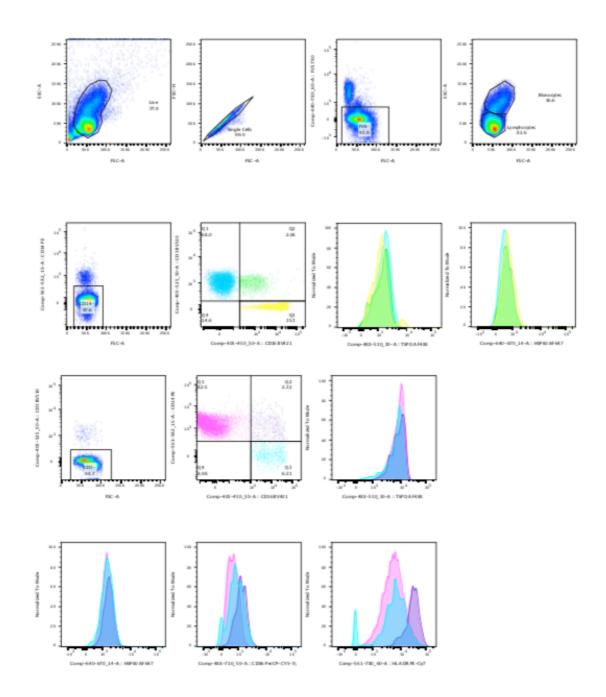
**Table 7-6**. Antibodies used for the measurement of specific TSPO-antibody staining, CD86antibody staining, HLA-antibody staining and HSP60-antibody staining in CD3+, CD14+, and CD16+ PBMC.

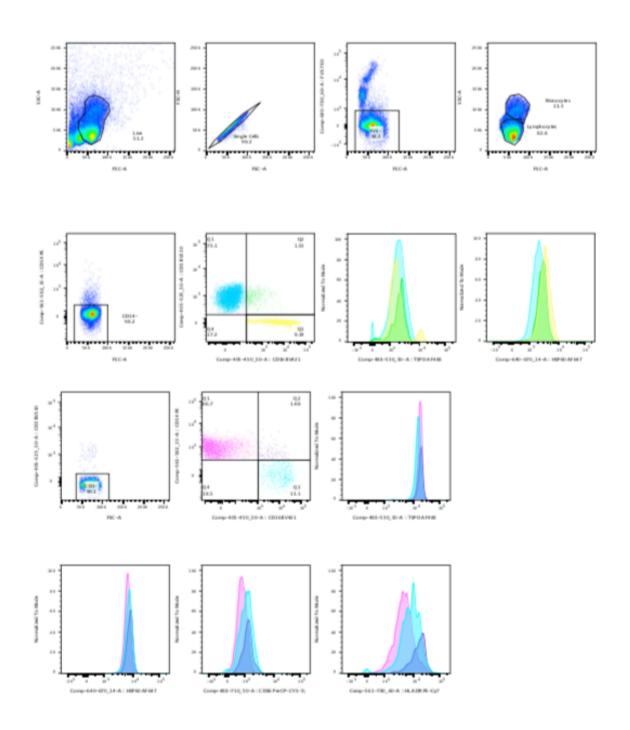
Antibody	Isotype	Clone	Provider	[mg/ml]	Quantity
Anti-CD14 PE	Mouse IgG <sub>2a</sub>	M5E2	BD Biosciences	0.05	1 µl
Anti CD16 PE-eFluor	Mouse IgG <sub>1</sub>	CB16	ThermoFisher	0.025	2 µl
610					
Anti-CD3 BV510	Mouse IgG <sub>2a</sub>	OKT3	BioLegend	0.25	1 µl
Isotype control (PerCP-	Mouse IgG <sub>1</sub>	MOPC-21	BD Biosciences	0.5	1 µl
Cy5.5-conjugate)					
Isotype control (PE-	Mouse IgG <sub>2a</sub>	G155-178	BD Biosciences	0.5	0.5 µl
Cy7-conjugate)					
Isotype control	Rabbit IgG	N/A	Cell Signaling	0.1	1 µl
(AlexaFluor 488-			Technologies		
conjugate)					

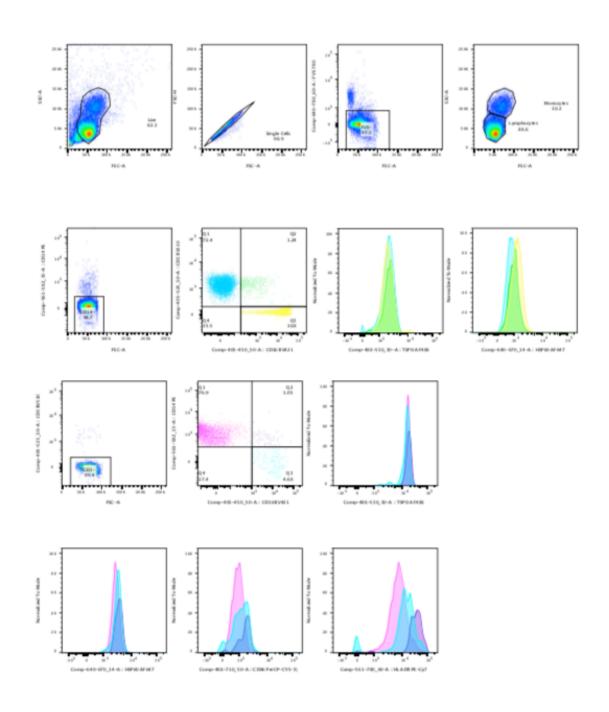
**Table 7-7**. Antibodies used for the measurement of nonspecific staining associated with isotypes of antibodies used to detect TSPO, CD86, HLA, and HSP60 in CD3+, CD14+, and CD16+ PBMC.

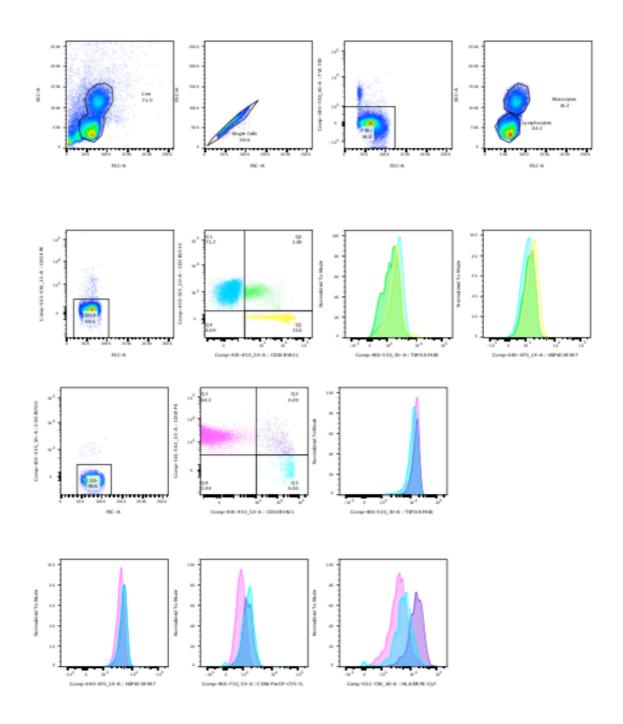


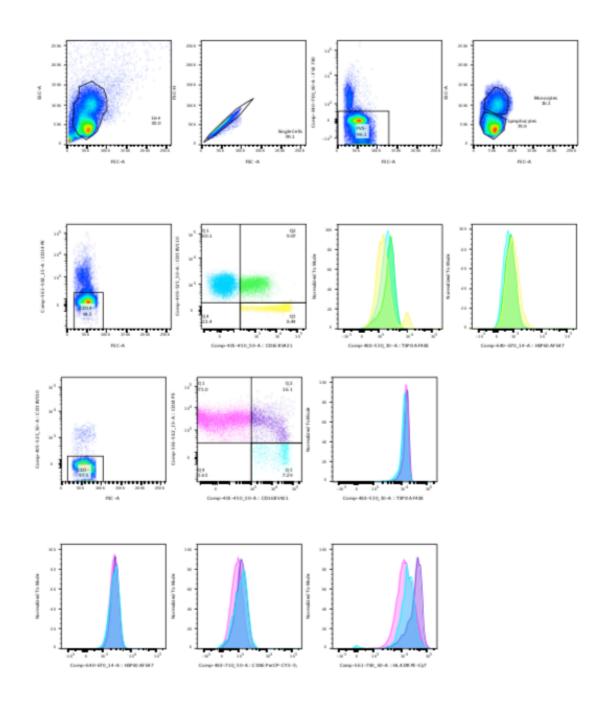
### 7.3 Flow cytometry gating: Normal donors and MS subjects

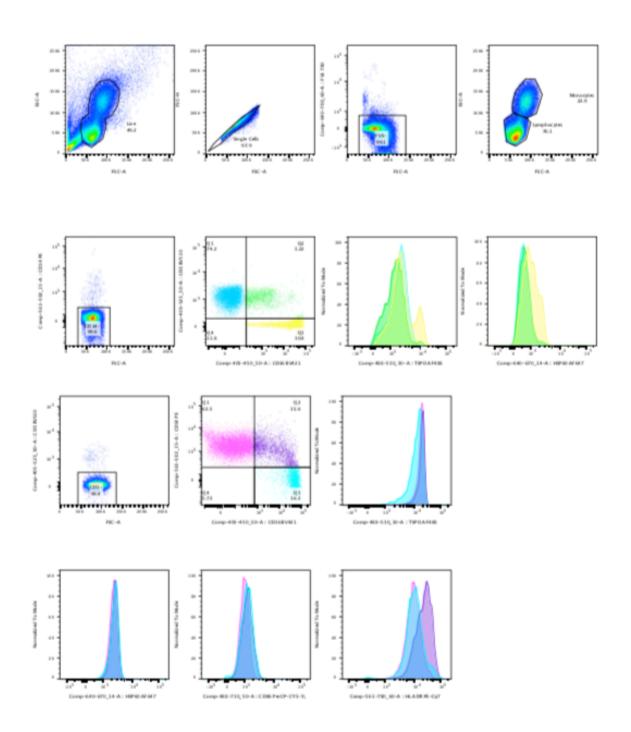


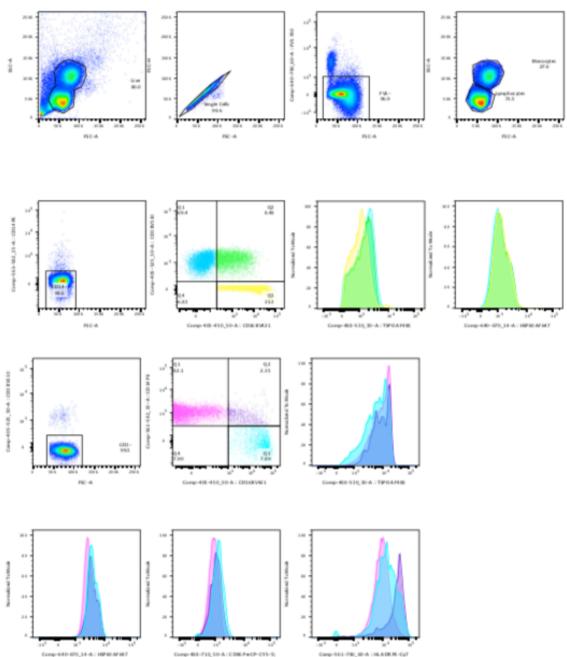






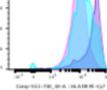


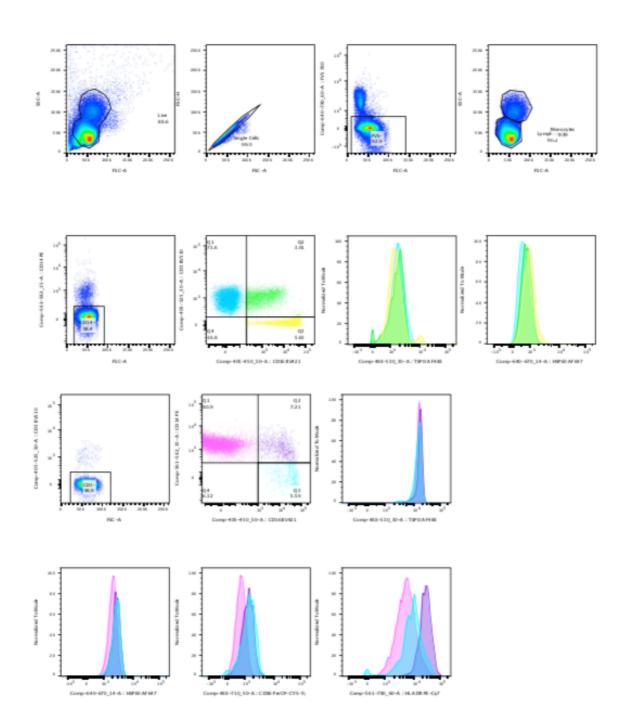


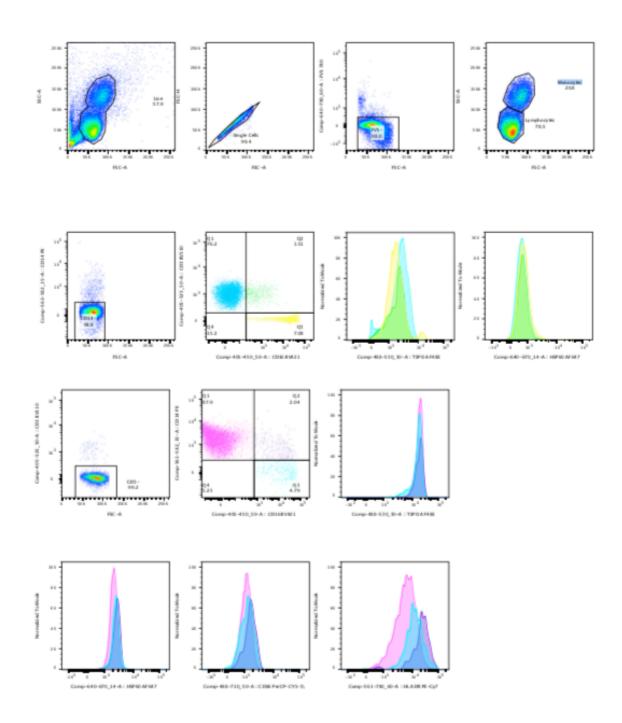


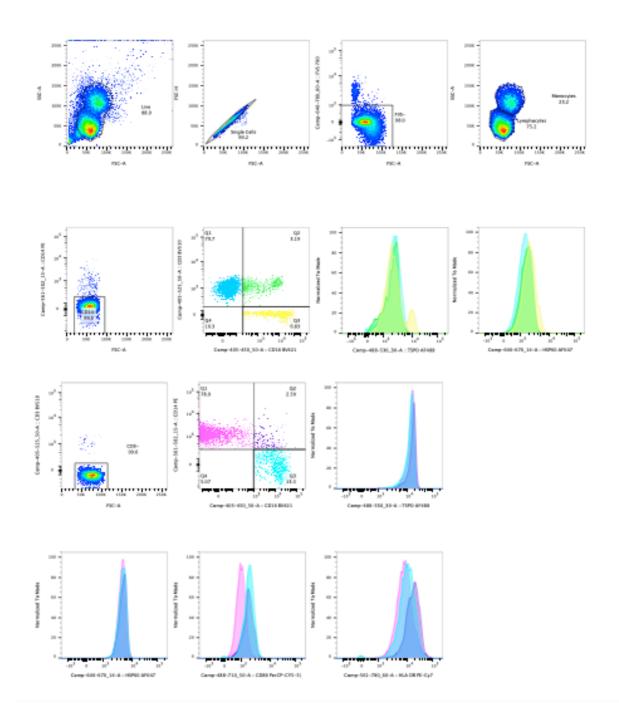


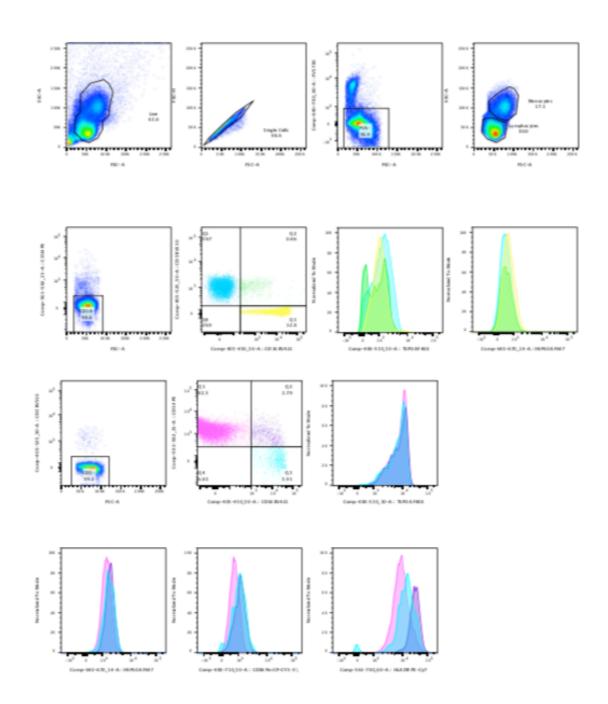


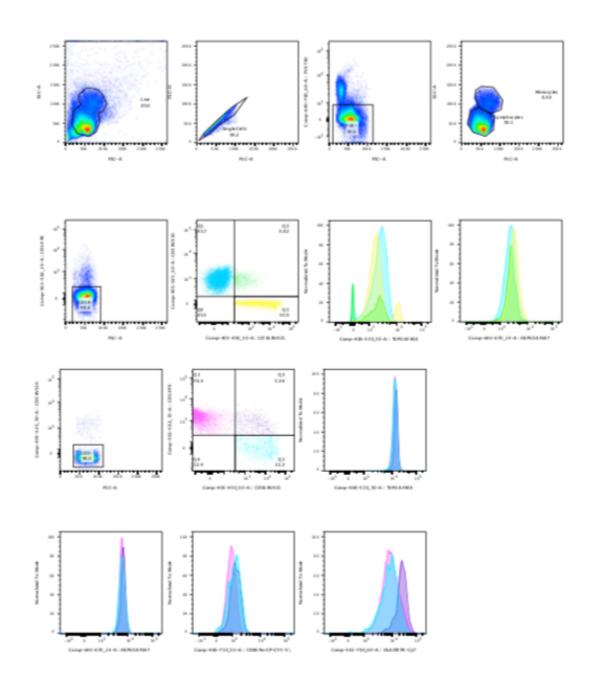


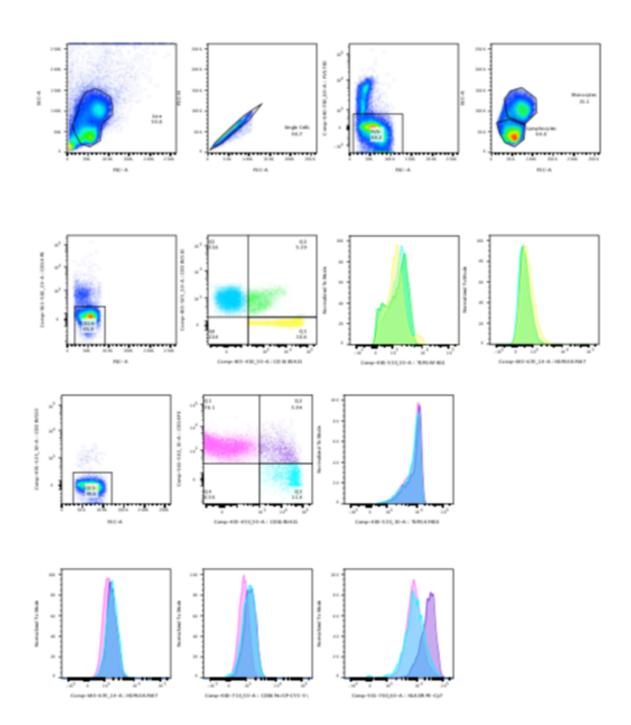


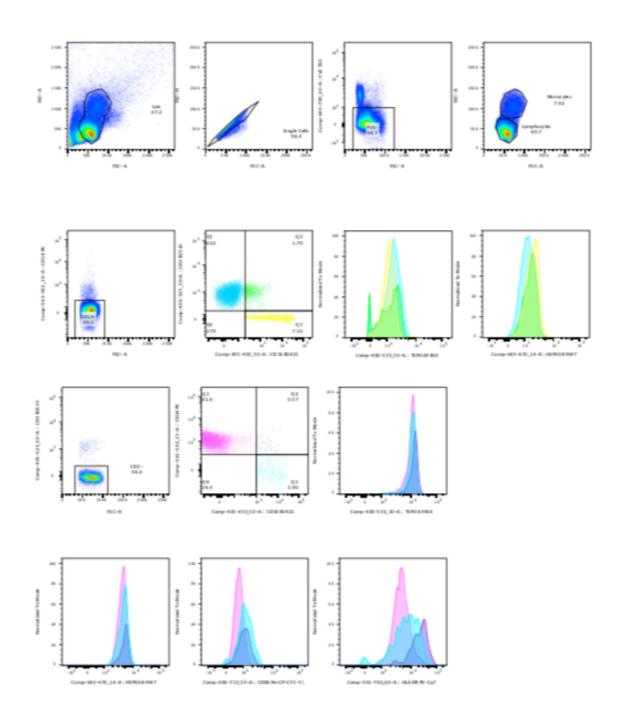


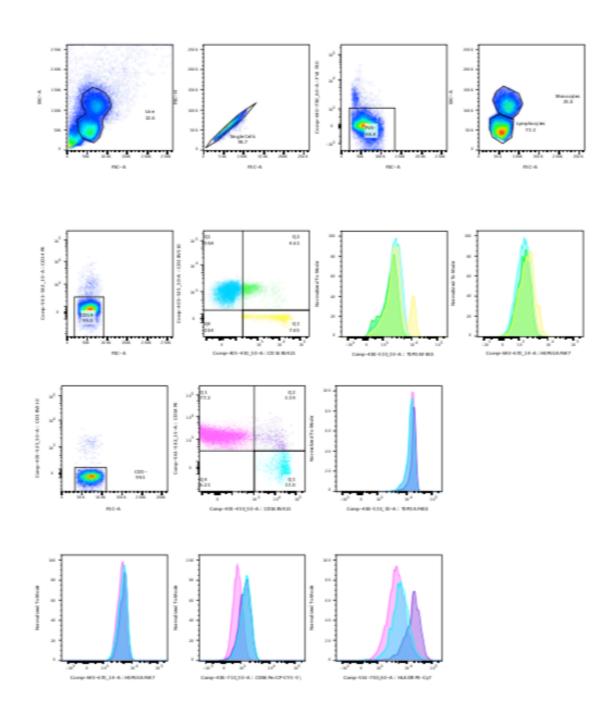


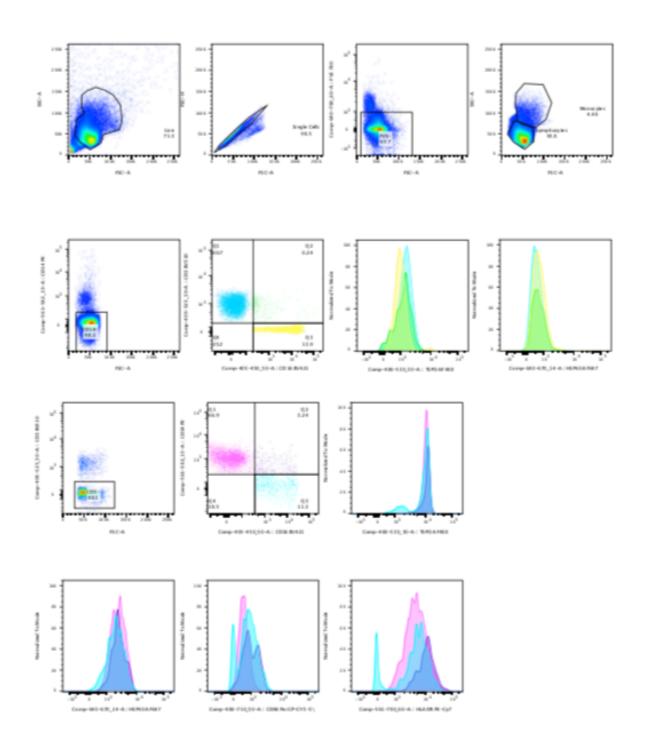


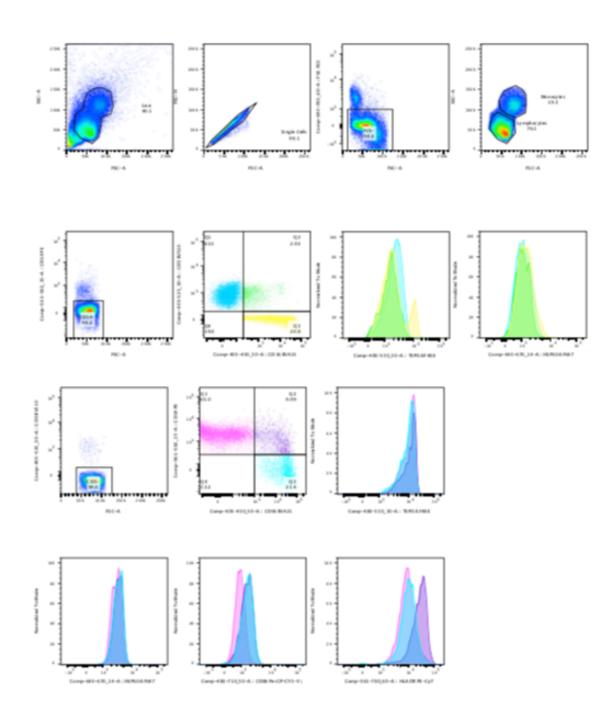


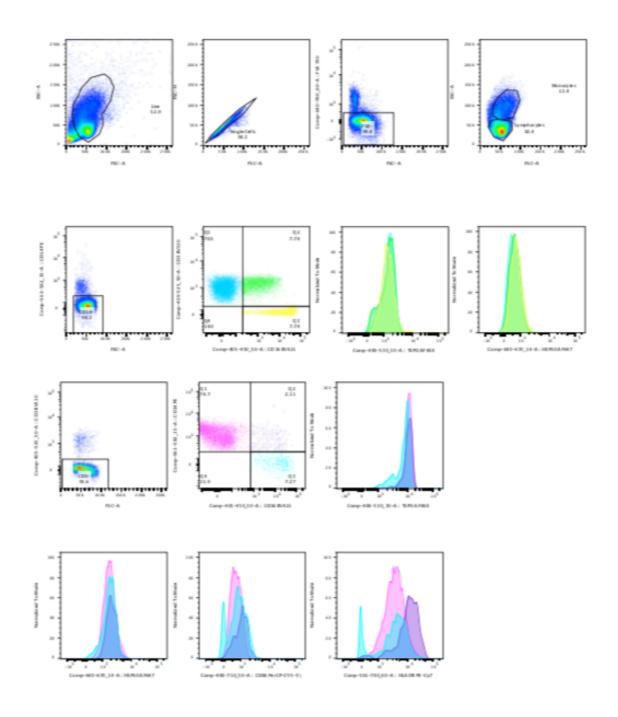


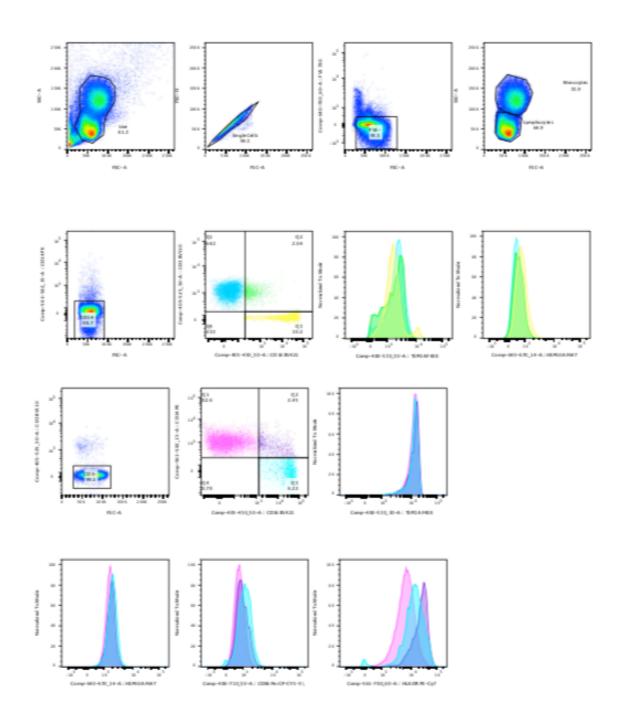












### 7.4 R code for linear mixed effects modeling

install.packages(c("lme4","lmerTest","pbkrtest","ordinal") ## Access that version for current session library(lme4) library(lmerTest) ## Access that version for current session library(pbkrtest) ## Access that version for current session library(ordinal) ## Access that version for current session MonkeyRatioData.model.Stop2 <- lmer( ## -MonthStop sets min=0 as reference value</pre> Ratio ~ Treatment + factor(-MonthStop) + (1|Monkey), data=MonkeyRatioData) summary(MonkeyRatioData.model.Stop2) ## Residual versus Fitted Values plot(MonkeyRatioData.model.Stop2) title(main="Residual versus Fitted Values") ## Title will not save with plot !! anova(MonkeyRatioData.model.Stop2) Type III Analysis of Variance Table with Satterthwaite's method Sum Sq Mean Sq NumDF DenDF F value Pr(>F)Treatment0.0041680.00416813.15151.68140.28152factor(-MonthStop)0.1641790.02345475.30919.46160.01041\*

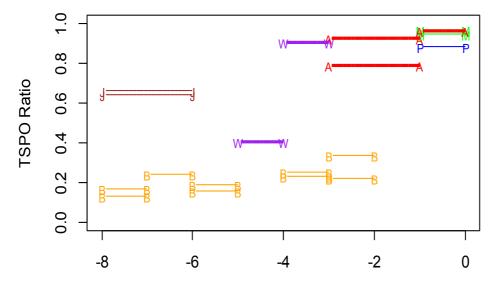
## Compare change-point model for time: deviations from progressive average
attach(MonkeyRatioData)
rev.MonthStop <- factor(-MonthStop,ordered=TRUE)
MonkeyRatioData.ChangePoint.Stop2 <- lmer(
 Ratio ~ Treatment + rev.MonthStop + (1|Monkey),
 contrasts=list(rev.MonthStop=contr.helmert),
 data=MonkeyRatioData)
summary(MonkeyRatioData.ChangePoint.Stop2)
coef(summary(MonkeyRatioData.ChangePoint.Stop2))</pre>

anova(MonkeyRatioData.ChangePoint.Stop2)
Type III Analysis of Variance Table with Satterthwaite's method
 Sum Sq Mean Sq NumDF DenDF F value Pr(>F)
Treatment 0.004168 0.0041679 1 3.1513 1.6814 0.28152
rev.MonthStop 0.164179 0.0234541 7 5.3089 9.4617 0.01041 \*
## p-values should be tested against a Bonferroni limit:
## 0.05/7=0.007142857 0.01/7=0.00142857

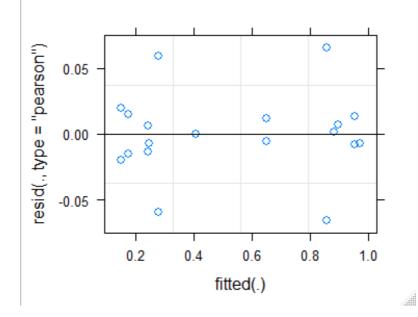


## Exporting this graphic as a MetaFile loses the dotted lines found in the BitMap

## **TSPO Ratio versus Months before Sacrifice**



Months before Sacrifice



#### Resid=resid(MonkeyRatioData.model.Stop2,type="pearson")) MonkeyRatioData.model.Stop2.summary.data

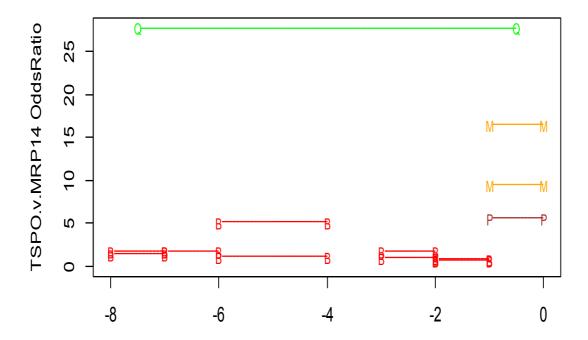
Row	ID	Monkey Lesi	on	Treatment	Start	Stop Ratio Fitted Residual
01	<1 mo. – M	M	1	None	-1	0 0.945000 0.9528262 -0.0078262
02	<1 mo. – <mark>M</mark>	M	2	None	-1	0 0.966000 0.9528262 0.0131738
03	<1 mo P	P	1	None	-1	0 0.882804 0.8811997 0.0016043
04	2-3 mo B	В	1	None	-3	-2 0.220000 0.2790000 -0.0590000
05	2-3 mo B	В	2	None	-3	-2 0.338000 0.2790000 0.0590000
06	3-4 mo B	В	3	None	-4	-3 0.250000 0.2434760 0.0065240
07	3-4 mo. – в	В	4	None	-4	-3 0.230000 0.2434760 -0.0134760
08	5-6 mo. – B	В	5	None	-6	-5 0.190000 0.1750000 0.0150000
09	5-6 mo. – в	В	6	None	-6	-5 0.160000 0.1750000 -0.0150000
10	6-7 mo B	В	7	None	-7	-6 0.240000 0.2470123 -0.0070123
11	7-8 mo B	В	8	None	-8	-7 0.130000 0.1500000 -0.0200000
12	7-8 mo B	В	9	None	-8	-7 0.170000 0.1500000 0.0200000
13	6-8 mo. – J	J	1	None	-8	-6 0.660571 0.6483833 0.0121877
14	6-8 mo J	J	2	None	-8	-6 0.643208 0.6483833 -0.0051753
15	<1 mo A	А	1	Steroid	-1	0 0.961887 0.9688389 -0.0069519
16	>1 mo A	А	2	Steroid	-3	-1 0.791196 0.8570595 -0.0658635
17	>1 mo A	А	3	Steroid	-3	-1 0.922923 0.8570595 0.0658635
18	3-4 mo M2	W	1	Steroid	-4	-3 0.902000 0.8950481 0.0069519
19	4-5 mo. – <mark>M2</mark>	w 🛛	1	Steroid	-5	-4 0.404400 0.4044000 0.0000000

## Notice the highlighted rows with extreme residual values; notice that they are
## balanced with respect to fitted values and not both on the Steroid treatment
## Also, the highlighted Monkey label "M2" is converted to a single character "w"
## Also, the bottom right value of -5.55e-17 was manually converted to 0.00000000

```
Transformations.within.Excel <- function(){</pre>
TSPO_MRP14 <- cbind(TSPO_MRP14</pre>
                   ,TSPO=TSPO_MRP14[,4]+TSPO_MRP14[,5]
                   ,CD74=TSPO_MRP14[,4]+TSPO_MRP14[,6]
                  )
TSPO_MRP14 <- cbind(TSPO_MRP14, OddsRatio=</pre>
(TSPO_MRP14[,4]*TSPO_MRP14[,7])/(TSPO_MRP14[,5]*TSPO_MRP14[,6])
                  )
TSPO_MRP14 <- cbind(TSPO_MRP14</pre>
                   ,log10(OR)=log10(TSP0_MRP14[,10])
                  )
TSPO_CD74 <- cbind(TSPO_CD74</pre>
                   ,TSPO=TSPO_CD74[,4]+TSPO_CD74[,5]
                   ,CD74=TSP0_CD74[,4]+TSP0_CD74[,6]
                  )
TSPO_CD74 <- cbind(TSPO_CD74, OddsRatio=</pre>
                         (TSPO_CD74[,4]*TSPO_CD74[,7])/(TSPO_CD74[,5]*TSPO_CD74[,6])
                  )
TSPO_CD74 <- cbind(TSPO_CD74</pre>
                   ,log10(OR)=log10(TSPO_CD74[,10])
                  )
} ## Transformations.within.Excel()
## !! Critical conversion !! ##
TSP0_CD74
              <- as.data.frame(TSP0_CD74)</pre>
                <- as.data.frame(TSPO_MRP14)
TSPO_MRP14
dimnames(TSPO_CD74)[[2]]
dimnames(TSPO_MRP14)[[2]]
TSP0_CD74[13, "Monkey"] <- "Q" ## for "P0"</pre>
TSPO_MRP14[13, "Monkey"] <- "Q" ## for "P0"
dimnames(TSPO_CD74)[[2]]
 [1] "Row"
                            "Monkey"
                                                  "Lesion"
                                                                        "Months"
                                                  "TSP0+CD74+"
"TSP0+"
 [5]
[9]
     "MonthStart"
                            "MonthStop"
                                                                        "TSP0+CD74-"
[9] "TSPO-CD74+"
[13] "OddsRatio"
                            "TSPO-CD74-"
"log100R"
                                                                        "CD74+
                                             ## log10(OR) automatically converted ##
dimnames(TSPO_MRP14)[[2]]
[1] "Row" "Monkey"
[5] "MonthStart" "MonthStor
[9] "TSPO-MRP14+" "TSPO-MRP
[13] "OddsRatio" "log100R"
                                                  "Lesion"
                                                                        "Months"
                            "MonthStop"
                                                  "TSPO+MRP14+"
                                                                        "TSPO+MRP14-"
                            "TSPO-MRP14-"
                                                 "TSPO+"
                                                                        "MRP14+"
                            "log100R"
                                             ## log10(OR) automatically converted ##
dimnames(TSPO_PLP)[[2]]
 [1] "Row"
                      "Monkey"
                                      "Lesion"
                                                      "Months"
 [1] ROW MONKEY LESION
[5] "MonthStart" "MonthStop" "TSPO+PLP+"
[9] "TSPO-PLP+" "TSPO-PLP-" "TSPO+"
13] "OddsRatio" "log100R" ## log
                                                     "TSPO+PLP-"
                                                     "PLP+"
[13] "OddsRatio"
                                            ## log10(OR) automatically converted ##
```

```
## Plot: TSPO.v.MRP14 OddsRatio versus Months before Sacrifice
colors6 <- c("red","orange","brown","green","blue","purple")
plot( type="n",
    y=range(c(0,as.vector(TSPO_MRP14[,c("OddsRatio")]))),
    x=range(as.vector(TSPO_MRP14[,c("MonthStop","MonthStart")])),
    xlab="Months before Sacrifice",ylab="TSPO.v.MRP14 OddsRatio")
title(main="TSPO.v.MRP14 OddsRatio versus Months before Sacrifice")
points(y=TSPO_MRP14[,"OddsRatio"],x=TSPO_MRP14[,"MonthStop"],
    type="p",cex=0.75,pch=TSPO_MRP14[,"Monkey"], ## col="black")
    col=colors6[as.factor(TSPO_MRP14[,"Monkey"])])
points(y=TSPO_MRP14[,"OddsRatio"],x=TSPO_MRP14[,"MonthStart"],
    type="p",cex=0.75,pch=TSPO_MRP14[,"Monkey"],
    col=colors6[as.factor(TSPO_MRP14[,"Monkey"],
    col=colors6[as.factor(TSPO_MRP14[,"MonthStart"],
        type="p",cex=0.75,pch=TSPO_MRP14[,"MonthStart"],
        col=colors6[as.factor(TSPO_MRP14[,"Monkey"])])
segments(y0=TSPO_MRP14[,"OddsRatio"],x0=TSPO_MRP14[,"MonthStart"],
        y1=TSPO_MRP14[,"OddsRatio"],x1=TSPO_MRP14[,"MonthStart"],
        col=colors6[as.factor(TSPO_MRP14[,"Monkey"])],1ty=2)
```

## Exporting this graphic as a MetaFile loses the dotted lines found in the BitMap

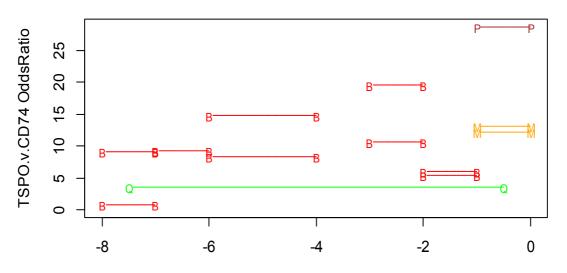


# TSPO.v.MRP14 OddsRatio versus Months before Sacrifice

Months before Sacrifice

```
## Plot: TSPO.v.MCD74 OddsRatio versus Months before Sacrifice
plot( type="n",
    y=range(c(0,as.vector(TSPO_CD74[,c("MonthStop","MonthStart")])),
    x=range(as.vector(TSPO_CD74[,c("MonthStop","MonthStart")])),
    xlab="Months before Sacrifice",ylab="TSPO.v.CD74 OddsRatio")
title(main="TSPO.v.CD74 OddsRatio versus Months before Sacrifice")
points(y=TSPO_CD74[,"OddsRatio"],x=TSPO_CD74[,"MonthStop"],
    type="p",cex=0.75,pch=TSPO_CD74[,"MonthStop"],
    type="p",cex=0.75,pch=TSPO_CD74[,"MonthStart"],
    col=colors6[as.factor(TSPO_CD74[,"MonthStart"],
    type="p",cex=0.75,pch=TSPO_CD74[,"MonthStart"],
    col=colors6[as.factor(TSPO_CD74[,"MonthStart"],
        y1=TSPO_CD74[,"OddsRatio"],x0=TSPO_CD74[,"MonthStart"],
        col=colors6[as.factor(TSPO_CD74[,"MonthStart"],
        y1=TSPO_CD74[,"OddsRatio"],x1=TSPO_CD74[,"MonthStart"],
        col=colors6[as.factor(TSPO_CD74[,"MonthStart"],
        y1=TSPO_CD74[,"OddsRatio"],x1=TSPO_CD74[,"MonthStart"],
        col=colors6[as.factor(TSPO_CD74[,"MonthStart"],
        col=colors6[as.factor(TSPO_CD74[,"MonthStart"],
```

TSPO.v.CD74 OddsRatio versus Months before Sacrifice



Months before Sacrifice

## Compare change-point model for time: deviations from progressive average attach(TSP0\_MRP14[-13,]) rev.MonthStop <- factor(-MonthStop,ordered=TRUE) table(rev.MonthStop) TSP0\_MRP14.ChangePoint.OddsRatio <- lmer( OddsRatio ~ rev.MonthStop + (1|Monkey), contrasts=list(rev.MonthStop=contr.helmert), data=TSP0\_MRP14[-13,]) summary(TSP0\_MRP14.ChangePoint.OddsRatio) coef(summary(TSP0\_MRP14.ChangePoint.OddsRatio))

Random effects: Groups Name Variance Std.Dev. Monkey (Intercept) 22.201 4.712 Residual 6.643 2.577 Number of obs: 12, groups: Monkey, 3

Fixed effects: Parameter Estimate Std.Error ~df t-stat Pr(>|t|) (Intercept) 3.0912720 4.0431817 0.7173073 0.7645642 0.6211879 rev.MonthStop1 -4.3769928 3.1250592 0.9150781 -1.4006112 0.4098770 rev.MonthStop2 -1.2558214 1.2058910 1.6182328 -1.0414054 0.4282392 rev.MonthStop4 -0.1821393 0.7084784 2.8015076 -0.2570852 0.8148255 rev.MonthStop6 -0.3775046 0.6164355 5.5822282 -0.6123991 0.5643660 rev.MonthStop7 -0.2910788 0.3878563 5.3048922 -0.7504810 0.4849038

~df: Satterthwaite approximate degrees of freedom employed in t-tests.

For the single healthy monkey (Proton, with symbol "PO", or "Q" in the displays), the observed OddsRatio=27.70907 may be tested against the independent sample estimate. For model parameterizations representing Months-before-Sacrifice as contrasts, the overall mean is estimated as the Intercept=3.0912720 of the model, with SEM=4.0431817 and Satterthwaite degrees of freedom~0.7173073. That individual OddsRatio=27.70907 approaches statistical significance at the level 0.169282 twosided.

For Monkey P0~Q: OddsRatio=27.70907 Intercept=3.0912720 SEM=4.0431817 df~0.7173073 t(0.7173073)=(27.70907-3.0912720)/4.0431817=6.088719 2p=0.169282

Random effects: Groups Name Variance Std.Dev. Monkey (Intercept) 114.36 10.69 Residual 18.92 4.35 Number of obs: 12, groups: Monkey, 3

Fixed effects:ParameterEstimateStd.Error~df(Intercept)11.17816349.09812640.82495901.22862260.4657772rev.MonthStop1-7.33605346.85583800.9496699-1.07004470.4857644rev.MonthStop20.67050942.50476771.36274910.26769320.8237703rev.MonthStop4-0.55680071.40097302.0574047-0.39743860.7284996rev.MonthStop7-1.25485230.71679454.1325469-1.75064440.1525968

~df: Satterthwaite approximate degrees of freedom employed in t-tests.

summary(TSPO_MRP14[-13,c(7:10)]) summary(TSPO_MRP14[-13,c(11:14)])							
<pre>rbind( Mean = apply(TSP0_MRP14[-13,c(7:10)],2,"mean"), Std.Dev =sqrt(apply(TSP0_MRP14[-13,c(7:10)],2,"var")), SEM =sqrt(apply(TSP0_MRP14[-13,c(7:10)],2,"var")</pre>							
<pre>rbind( Mean = apply(TSP0_MRP14[-13,c(11:14)],2,"mean"), Std.Dev =sqrt(apply(TSP0_MRP14[-13,c(11:14)],2,"var")), SEM =sqrt(apply(TSP0_MRP14[-13,c(11:14)],2,"var")</pre>							
TSPO+MRP14+TSPO+MRP14-TSPO-MRP14+TSPO-MRP14+Min.:0.003811Min.:0.08087Min.:0.0004704Min.:0.032331st Qu.:0.0315331st Qu.:0.146461st Qu.:0.01291431st Qu.:0.30385Median:0.050005Median:0.19768Median:0.0481938Median:0.59173Mean:0.093196Mean:0.30251Mean:0.0979045Mean:0.506393rd Qu.:0.1198963rd Qu.:0.350223rd Qu.:0.10180283rd Qu.:0.72296Max.:0.4349112Max.:0.84359							
TSPO+MRP14+OddsRatioLog100RMin.:0.1334Min.:0.01677Min.:0.7224Min.:-0.141211st Qu.:0.18821st Qu.:0.090941st Qu.:1.15631st Qu.:0.06229Median:0.2419Median:0.13980Median:1.8240Median:0.26103Mean:0.3957Mean:0.19110Mean:3.9840Mean:0.378333rd Qu.:0.47573rd Qu.:0.253263rd Qu.:5.33913rd Qu.:0.72721Max.:0.9667Max.:0.54832Max.:16.5486Max.:1.21876							
ParameterTSPO+MRP14+TSPO+MRP14-TSPO-MRP14+TSPO-MRP14-Mean0.093196300.302505500.097904550.50639366Std.Dev0.111935980.248046970.135100600.3023381SEM0.032313140.071604990.039000180.08727625N12.0000000012.0000000012.0000000012.00000000							
ParameterTSPO+MRP14+OddsRatioLog100RMean0.39570180.191100843.9840500.3783338Std.Dev0.32983030.165499714.7639260.4341112SEM0.09521380.047775651.3752270.1253171N12.000000012.000000012.000000012.0000000							

summary(TSPO_CD74[-13,c(7:10)]) summary(TSPO_CD74[-13,c(11:14)])							
<pre>rbind( Mean = apply(TSPO_CD74[-13,c(7:10)],2,"mean"), Std.Dev =sqrt(apply(TSPO_CD74[-13,c(7:10)],2,"var")), SEM =sqrt(apply(TSPO_CD74[-13,c(7:10)],2,"var")</pre>							
<pre>rbind( Mean = apply(TSP0_CD74[-13,c(11:14)],2,"mean"), Std.Dev =sqrt(apply(TSP0_CD74[-13,c(11:14)],2,"var")), SEM =sqrt(apply(TSP0_CD74[-13,c(11:14)],2,"var")</pre>							
TSP0+CD74+TSP0+CD74-TSP0-CD74+TSP0-CD74-Min.:0.05107Min.:0.01085Min.:0.003528Min.:0.028481st Qu.:0.166341st Qu.:0.024711st Qu.:0.1423271st Qu.:0.22572Median:0.21481Median:0.04120Median:0.243567Median:0.46468Mean:0.28272Mean:0.11298Mean:0.232705Mean:0.371593rd Qu.:0.314803rd Qu.:0.136823rd Qu.:0.2960833rd Qu.:0.53061Max.:0.65571							
TSPO+CD74+OddsRatioLog100RMin.:0.1334Min.:0.3005Min.:0.7546Min.:-0.12231st Qu.:0.18821st Qu.:0.43061st Qu.: 7.77811st Qu.:0.8870Median :0.2419Median :0.4808Median : 9.9920Median : 0.9986Mean:0.3957Mean:0.5154Mean:11.51373rd Qu.:0.47573rd Qu.:0.66123rd Qu.:13.57823rd Qu.:1.1323Max.:0.9667Max.:0.7229Max.:28.7548Max.: 1.4587							
ParameterTSP0+CD74+TSP0+CD74-TSP0-CD74+TSP0-CD74-Mean0.282724110.112977690.232705330.37159288Std.Dev0.212510280.140170690.171422970.21678877SEM0.061346430.040463790.049485550.06258153N12.0000000012.0000000012.0000000012.00000000							
ParameterTSPO+CD74+OddsRatioLog100RMean0.39570180.5154294311.5136590.9500996std.Dev0.32983030.141207317.2556750.3932375SEM0.09521380.040763042.0945330.1135179N12.000000012.000000012.000000012.0000000							

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