# 1 COMMENTARY

# 2 THE NATIONAL INSTITUTE FOR HEALTH RESEARCH: MAKING AN IMPACT IN IMAGING 3 RESEARCH

# 4 ABSTRACT

5	Since the inception of the National Institute for Health Research (NIHR) in 2006, the
6	landscape for the delivery of clinical research within the NHS has been transformed. Clinical
7	Radiology has benefitted from funding opportunities for primary imaging research as well as
8	improvements to the supporting research infrastructure to provide imaging for many clinical
9	trials. However, in an increasingly challenging NHS environment, the NIHR and Clinical
10	Radiology have to evolve an effective working partnership to ensure imaging research is
11	sustainable and will make an impact. A number of initiatives have arisen from discussions
12	between the NIHR, the Royal College of Radiologists (RCR) and stakeholders that will be
13	discussed in this article. It is hoped that these initiatives will be embraced by the imaging

14 community and create a more dynamic sustainable imaging workforce, driving and

15 supporting research and innovation towards future sustainability.

16	Summary of initiatives:
	<ul> <li>Developing imaging workforce capacity</li> </ul>
17	<ul> <li>Improving collaboration between research groups and disciplines</li> </ul>
18	<ul> <li>Increasing the number of academic leaders from clinical and allied health disciplines</li> </ul>
	<ul> <li>Creating new and more flexible NIHR fellowships</li> </ul>
19	<ul> <li>Supporting new ways for research training e.g. via RCR/NIHR Clinical Research Network (CRN) trainee research networks</li> </ul>
	<ul> <li>Funding research sessions for portfolio activity</li> </ul>
	<ul> <li>Incentivising research activity e.g. via RCR/NIHR outstanding researchers' awards for clinical trainees and radiologists</li> </ul>
	<ul> <li>Developing national industry partnerships.</li> </ul>

#### 20 THE NATIONAL INSTITUTE FOR HEALTH RESEARCH

The National Institute for Health Research (NIHR) was established by the Department of 21 Health and Social Care in 2006 in England to improve the 'health and wealth of the nation' 22 23 through research (1). This was in response to the recognition that research in the NHS 24 lacked a strategic focus and was being impeded by an increasing bureaucratic and 25 regulatory burden, resulting in a lack of capacity and flexibility to generate the level of 26 evidence crucial to deliver high-quality health services. It was also recognised that the 27 National Health Service (NHS) was not exploiting its full potential as a research platform to 28 support the country's international competitiveness.

29 Working in partnership with the devolved administrations, NIHR is now one of the most 30 integrated clinical research systems in the world. This has been achieved in the following 31 ways: 1) through the NIHR's funding of high quality research to improve health through programmes such as the Efficacy and Mechanism Evaluation (EME), Research for Patient 32 Benefit (RfPB) and Health Technology Assessment (HTA), thus supporting early stage 33 34 translational research through to later stage clinical trials in the NHS; 2) through the training 35 and support of health researchers via NIHR doctoral, post-doctoral and other fellowships; 3) 36 through the establishment of world-class research facilities and infrastructure embedded in the NHS, including the Clinical Research Network (CRN), NIHR Biomedical Research Centres 37 (BRCs), NIHR Clinical Research Facilities for Experimental Medicine (CRFs) and Experimental 38 39 Cancer Medicine Centres (ECMCs) co-funded with Cancer Research UK; and 4) through collaborations with the life sciences industry and charities to ensure patients gain earlier 40 41 access to breakthrough treatments or innovative technologies as well as ensuring broader 42 investment in health research and related resources.

The NIHR CRN provides the infrastructure that allows research to be undertaken throughout 43 the NHS. There are currently 15 Local Clinical Research Networks (LCRNs) supporting the 44 conduct of research across 30 clinical specialties. Each specialty is linked to one of five 45 partner universities, who each lead a programme of work spanning more than one clinical 46 47 specialty, including genomics, medical technology and imaging. Through the CRN, the NIHR has made it possible for patients and health professionals across England to participate in 48 49 relevant clinical research studies within the NHS, supporting more than 725,000 participants 50 to take part in 5,500 studies in 2017/18.

51 Importantly, the NIHR has involved patients and the public at every step, thus ensuring that clinical research is patient-focussed and driven by their needs. The NIHR has defined public 52 involvement in research as research being carried out 'with' or 'by' members of the public 53 rather than 'to', 'about' or 'for' them. This has included patients working with research 54 funders to prioritise research, offering advice as members of a project steering group, 55 commenting on and developing research materials, and undertaking interviews with 56 57 research participants. INVOLVE, a national advisory group created in 1996 and part-funded 58 by the NIHR, brings together expertise, insight and experience in the field of public 59 involvement in research, with the aim of advancing it (2).

60

#### 61 CURRENT CHALLENGES TO DELIVERING IMAGING RESEARCH

Imaging is a cross-cutting discipline that is of critical importance to the national research portfolio. However, there are currently a number of challenges to the successful delivery of imaging research within the NHS. While imaging underpins many medical decisions in the healthcare system, its utilisation has outstripped resources in many countries, including the UK. For example, the 2016 Royal College of Radiologists (RCR) workforce census found that
97% of Radiology departments were unable to meet reporting requirements in contracted
hours (3). Given the capacity pressure on NHS imaging services and the central role that
imaging plays in clinical research, a strategy is essential to improve the efficient and
sustainable delivery of research within the NHS.

71 A number of key issues surrounding the delivery of imaging research were identified in a NIHR CRN-led scoping workshop in February 2017 (4). Firstly, imaging plays a central role in 72 73 many clinical specialties and it is vital to co-ordinate the provision of imaging infrastructure 74 support. While the NIHR has invested heavily, infrastructure capacity has proven to be challenging. For example, the NHS has one of the lowest number of high-end imaging 75 76 equipment per capita in Europe. The number of magnetic resonance scanners per million 77 people is 6.1, compared to 30.5 and 38.1 scanners per million for Germany and the US, respectively (5). On average, magnetic resonance imaging (MRI) demand is rising by 13% per 78 79 year, which increases pressure on capacity for research (6). Additionally, despite portfolio 80 adoption, access to research nurses or co-ordinators for research support, e.g. due to the 81 limited numbers of such staff and the pragmatic need for them to prioritise the studies they 82 are involved with has been an issue for Radiology.

Secondly, the NHS imaging workforce, including radiographers, medical physicists and
radiologists, remains in flux, having to develop new roles and relinquish others in order to
adapt to chronic workforce shortages. Effective interdisciplinary research collaboration
requires a better understanding of the underlying challenges each discipline faces to
improve the quality of interactions.

Thirdly, NHS imaging services may be fragmented and variable in extent or quality, which can make it challenging to deliver research consistently. The use of third-party commercial imaging services detached from mainstream NHS delivery, for example to deliver positron emission tomography (PET) imaging, or out-of-hours care, has introduced different drivers. In some cases, this has reduced the ability to undertake research in certain geographical areas due to limited availability of resources for activity outside of clinical endeavours.

94 Fourthly, the workshop also recognised the value of developments in data science and 95 artificial intelligence, which are increasingly prominent in imaging but not yet realised to 96 their full potential in the NHS. The cost of imaging technology remains a major consideration. Research with high-end imaging platforms is considered relatively costly 97 compared to other types of medical research that may be mostly qualitative in nature. 98 99 There are also further issues with varying site costs within the NHS, and it is important to 100 have tariffs that reflect the actual costs of all related imaging (including advanced protocols) 101 at every site.

102 Finally, and crucially, the lack of research staff and limited protected time for research in 103 NHS job plans is a major constraint for imaging research. The UK has the third lowest 104 number of radiologists per population within the European Union with 7.5 clinicians 105 (radiology trainees and consultants combined) per 100,000 patients compared to the EU average of 12.7 clinicians per 100,000 patients (5). With the continuing workforce crisis in 106 107 Radiology, with more than 1 in 10 posts unfilled, an increase in cross-sectional imaging 108 workload, up 30% in the last decade, and forecasts that over half the consultant workforce 109 will retire by 2029 (5), there is significant pressure on both specialist trainees and NHS consultants to deliver primarily a clinical service. 110

This has reduced the time available for clinical radiologists to both instigate, perform and 111 support imaging research during Supported Programmed Activities or NIHR-funded 112 Research Programmed Activities - and in some cases, also reduced any aspirations. Whilst 113 the NIHR has invested heavily in supporting academic training over the last 10 years through 114 the Academic Clinical Fellow (ACF) and Clinical Lectureship (CL) posts, with 25% and 50% 115 116 research funding support respectively, in additional to Fellowships, and opportunities for 117 Radiographers through the Integrated Clinical Academic (ICA) Programme, there is still a 118 lack of investment in the Radiology academic infrastructure. Integrated academic trainees 119 and academic radiologists remain a very small percentage of the overall Radiology workforce. 120

121

## 122 OVERCOMING CURRENT CHALLENGES

There are considerable opportunities for the NIHR and the Royal Colleges to work together to make a greater impact in the current challenging environment. The RCR has long recognised the challenges for sustaining academic radiology (7). While the RCR has continued to foster research via the activities of the RCR Academic Committee including grant funding and a network of research mentors (8), closer collaboration with the NIHR is welcomed.

Following the NIHR CRN imaging workshop, an outline plan for action to address some of
these challenges has been produced (3). Key focus areas include developing workforce
capacity, improving research training opportunities, and the research infrastructure. The
plan is overseen by a national steering group which includes senior RCR representation and

133 comprises a series of practical steps being undertaken in partnership with key national134 organisations.

#### 135 Research Imaging Workforce Development

136 Developing workforce capacity is a central element of the plan and an important long term aim. Improving collaboration between research groups and disciplines, including better 137 coordination of access to research infrastructure and key resources alongside 138 standardisation of trials support functions is essential. Increasing the number of academic 139 140 leaders for research from the range of clinical and allied disciplines is also a key priority. 141 In terms of training following its strategic review, the NIHR is currently supporting new 142 approaches, including new and more flexible NIHR fellowships, after recognising the need 143 for ' intelligent career models'. The new NIHR Academy will integrate all current academic training and higher career personal awards, and also host all training and development 144 activity (9). Under the new arrangements, joint funding of research fellowships with partner 145 146 organisations is now possible, which could include Royal Colleges, charities and industry, which will increase capacity and further promote strategic alignment. Working with 147 universities towards more allocated posts for Radiology would be a step in the right 148 149 direction to increasing the number of Radiology academics. Equivalent programs for Allied 150 Health Professionals will clearly bring concurrent benefits and are underway through, for example, the HEE/NIHR Integrated Clinical Academic (ICA) Programme. 151 152 The RCR research certificate (10) already provides a framework for radiologist trainees to achieve research training competencies. The RCR online training resources and joint 153

154 RCR/NIHR research day offer trainees hands-on research training and networking

opportunities. Building on the success of trainee research networks in anaesthesia, surgery
and critical care (11), the RCR will set up a NIHR CRN trainee research network within
Clinical Radiology in order to improve national engagement in research by medical trainees
at an earlier career stage. This will also pave the way for more consistent mentorship of
young radiologists by established clinical academics.

In terms of developing research capacity and research leadership, the RCR are working with
the NIHR to develop a model for sustaining research capacity amongst clinical radiologists
within the NHS beyond NIHR funded research sessions for portfolio activity. Both the RCR
and NIHR have launched outstanding researchers awards for clinical trainees and
radiologists, and they will work together to keep developing research leadership, for

165 example via the NIHR leadership programme.

166

## 167 Research study delivery

In terms of improving research infrastructure capacity to support the delivery of imaging
studies, NIHR CRN is in discussion with other elements of NIHR to develop a series of
national industry partnerships intended to increase the capacity of the system for imaging
research. The way in which imaging research activity is recorded on the NIHR Clinical
Research Network Portfolio is also being revised, in order to ensure that the volume and
type of imaging research is accurately monitored.

174 Imaging research will also benefit from new arrangements relating to the management of

175 excess treatment costs (ETCs) introduced by NHS England on 1 October, 2018. The new

arrangements in England, which are being delivered in partnership with NIHR and the

177 Health Research Authority (HRA), introduce a streamlined approach for requesting and

agreeing ETCs (12). A threshold under which ETCs will need to be absorbed by non-primary
care providers participating in studies has also been established.

ETCs have been seen as one of the biggest barriers to imaging research (4). When a patient is referred for clinical imaging, if they also then take part in a research study the cost of the extra treatment related scans required for the study are attributed as ETCs. Researchers have reported getting to the point where a study is ready to go ahead and then having to stop because they are unable to get approval for ETCs.

NIHR LCRNs will help manage the excess treatment costs process on behalf of their local 185 186 Clinical Commissioning Groups (CCGs) in England and in collaboration with NHS England 187 Specialised Commissioning. A cost attribution tool has been created in partnership with charity funders and research sponsors to underpin the new arrangements. Researchers will 188 189 be required to complete this new tool, known as a Schedule of Events Cost Attribution Tool 190 (SoECAT), as part of their funding applications. The tool captures and attributes the different costs associated with clinical research, and attribution support is available for investigators, 191 192 study teams and their R&D offices through AcoRD specialists in the LCRNs and devolved administrations. Completion of the SoECAT will be required for studies eligible for the NIHR 193 194 CRN Portfolio and access to the support this provides. NIHR CRN support will now include access to ETC payments under the new arrangements. The ETC value from the SoECAT, 195 196 alongside recruitment activity in the NIHR Central Portfolio Management System, will be utilised to inform the payments to NHS providers. Further details, including a researcher 197 routemap, are available on the NIHR website (13). 198

For commercial contract studies, additional work by NHS England supported by NIHR and
 HRA is working towards a standard, binding process for assessing and determining contract

201 values for commercial contract studies in England. From 1 October, 2018, revisions to the NHS Standard Contract mandate the use of an unmodified model site agreement and the 202 use of the standard costing methodology (the NIHR Industry Costing Template) from 1 203 October 2018. The concept of a single contract review process via the use of a suite of 204 205 standard mandated templates, will be further developed for future implementation. As part 206 of this work a new UK tariff was also launched, including a more comprehensive list of 207 investigations, which will be helpful for studies with an imaging component. All new tariff 208 costs, along with annual updates to values, are discussed in detail with nominated provider 209 service support departments to ensure that values and items remain both relevant and 210 consistent.

#### 211 LOOKING TO THE FUTURE

As in all others branches of medicine, technological advances will continue to happen, evolve and impact on practice in Clinical Radiology. Imaging is a superb example of the value and impact of strong inter-disciplinary partnerships between basic and applied scientists and clinicians. Advanced computing and computer-assisted approaches are increasingly encroaching into Clinical Radiology practice, with applications such as 'remote' optimisation of image acquisitions and online workflow optimisation, and reporting tools ranging from computer aided detection to clinical decision support systems.

With the rise in applications benefitting from data science (DS), machine learning (ML) and artificial intelligence (AI), the need for large well-documented and well-curated clinical datasets; staff training on systems using DS/ML/AI within the NHS to address the skill-gaps of our current workforce; and better inter-disciplinary working will be addressed jointly by

the RCR, NIHR and other national bodies; of course, accounting for patients' wishes andpreferences about the collection and use of their data,.

225	The emphasis on multi-disciplinary research in the newly created UK Research and
226	Innovation (14) offers significant collaboration and funding opportunities. In particular,
227	Clinical Radiology is in a very strong position to evaluate and harness the related
228	developments in data science (e.g. ML and AI), working in partnership with industry and
229	national bodies, including Health Data Research UK and Innovate-UK.
230	This opportunity for health care and the UK economy, has been logically reflected in the
231	recently announced Industrial Strategy Challenge Fund competition, in which, Innovate UK,
232	on behalf of UK Research and Innovation, will invest up to £50m to create a network of
233	centres in digital pathology, imaging and AI (15). The creation of strong collaborations
234	between industry, academia and the clinical community is imperative to unlocking the UK's
235	potential.

- 236 As imaging research becomes increasingly complex the challenge for its future sustainability
- 237 is the need for a cultural shift in the NHS. Creating the right culture and incentives for
- academia is an important driver (16). Working together with the NIHR is an important step
- 239 forward for Clinical Radiology.
- 240

## 241 **REFERENCES**

1. <u>https://www.nihr.ac.uk/about-us/our-purpose</u>. (last accessed 10 October 2018)

243 2. <u>www.invo.org.uk</u> (last accessed 10 October 2018)

244 3. <u>https://www.rcr.ac.uk/clinical-radiology/service-delivery/rcr-radiology-workforce-census</u>

245 (last accessed 10 October 2018)

- 246 4. https://www.nihr.ac.uk/life-sciences-industry/useful-info/brochures-andresources/Delivering%20Imaging%20Research%20in%20NHS%20a%20network%20approach Works 247 248 hop%20Report.pdf (last accessed 10 October 2018) 249 https://data.oecd.org/healtheqt/magnetic-resonance-imaging-mri-units.htm (last accessed 5. 250 10 October 2018) 251 6. The Royal College of Radiologists. Magnetic Resonance Imaging (MRI) Equipment, 252 Operations and Planning in the NHS - Report from the Clinical Imaging Board. The Royal College of 253 Radiologists; 2017 (last accessed 10 October 2018). 254 7. Booth TC, Mehrzad H, Wardlaw JM, Jackson A, Gilbert FJ. Training the next generation of radiology researchers. Report on a joint meeting of the Royal College of Radiologists and the 255 256 Wellcome Trust and an overview of College strategies in developing radiology research. Clin Radiol. 257 2012;67(5):411-6. 258 https://www.rcr.ac.uk/clinical-radiology/academic-radiology-and-research/radiology-8. 259 research-map (last accessed 10 October 2018 260 9. https://www.nihr.ac.uk/our-faculty/documents/TCC-NIHR-Strategic-Review-of-Training-261 2017.pdf (last accessed 10 October 2018) https://www.rcr.ac.uk/clinical-radiology/academic-radiology-and-research/research-262 10. 263 certificate (last accessed 10 October 2018) https://www.raftrainees.com (last accessed 10 October 2018) 264 11. 265 12. Attributing the costs of health & social care Research & Development (AcoRD), Department 266 of Health, 2012. https://www.gov.uk/government/news/attributing-the-costs-of-health-social-care-267 research-development-acord (last accessed 10 October 2018) Supporting and applying research in the NHS, NIHR website. 268 13. 269 https://www.nihr.ac.uk/funding-and-support/study-support-service/resources/supporting-research-270 in-the-nhs.htm (Accessed 10 October 2018) 271 https://www.ukri.org (last accessed 10 October 2018) 14. 272 15. https://www.gov.uk/government/collections/industrial-strategy-challenge-fund-jointresearch-and-innovation (last accessed 10 October 2018) 273

  - 274 16. Alderson PO, Bresolin LB, Becker GJ, et al. Enhancing research in academic radiology
  - 275 departments: recommendations of the 2003 Consensus Conference. Radiology 2004;232:405e8.
  - 276