

Article

# Precise Catalyst Production for Carbon Nanotube Synthesis with Targeted Structure Enrichment

Xiao Zhang, Ying Deng, Brian Graves, Michael De Volder and Adam Boies \*

Department of Engineering, University of Cambridge, Cambridge, CB2 1PZ, UK.

\* Correspondence: a.boies@eng.cam.ac.uk; Tel.: +44 (0) 1223 746 972.

Received: date; Accepted: date; Published: date

**Abstract:** The direct growth of single-walled carbon nanotubes (SWCNTs) with a narrow distribution of diameter or chirality remains elusive despite significant benefits in properties and applications. Nanoparticle catalysts are vital for SWCNT synthesis, but how to precisely manipulate their chemistry, size, concentration, and deposition remains difficult, especially within a continuous production process from the gas-phase. Here, we demonstrate the preparation of  $W_6Co_7$  alloyed nanoparticle catalysts with precisely tunable stoichiometry using electrospray, which remain solid state during SWCNT growth. We also demonstrate continuous production of liquid iron nanoparticles with in-line size selection. With the precise size manipulation of catalysts in the range of 1–5 nm, and a nearly monodisperse distribution ( $\sigma_g < 1.2$ ), an excellent size selection of SWCNT can be achieved. All of the presented techniques show great potential to facilitate the realization of single-chirality SWCNT production.

**Keywords:** carbon nanotube; catalyst; alloy; nanoparticle; electrospray.

## 1. Introduction

The rational design and synthesis of nano-catalysts with precisely controlled structures, morphologies, and chemical compositions strongly impact the activity and selectivity of catalysts in applications ranging from the growth of single-walled carbon nanotubes (SWCNTs) to clean energy electrochemical reactions[1] such as the oxygen reduction reaction (ORR), oxygen evolution reaction (OER), or hydrogen evolution reaction (HER). Nevertheless, it is often unachievable to produce nano-catalysts with a targeted diameter, narrow size distribution and specific stoichiometry, particularly if the diameter of the particles is smaller than 5 nm or if the particles should contain alloyed chemistry. These factors especially hinder the growth of SWCNTs with specific chiralities, which is needed for applications such as next generation electronics and optoelectronics[2, 3].

For chemical vapour deposition (CVD) of SWCNTs, alloyed catalysts such as  $W_6Co_7$ [4, 5],  $Co_xMo_y$ [6] or  $Co_xNi_y$ [7] introduced new hope for in-situ enrichment of certain SWCNT chiralities. However, among the reported methods, synthesis of key alloyed catalysts is still restricted by the random nucleation from the colloidal solution[6] and aerosol[7], or use of specific organic molecules[4]. Precisely manipulating the chemistry of every single tiny alloyed nanoparticle (< 5 nm) then becomes critical but difficult, because of the common stoichiometry deviation between catalysts during catalyst nucleation and growth, which can affect the crystalline structure and the uniformity of catalyst performance.

On the other hand, because of the diameter correlation between the SWCNT and catalyst, a chemistry independent method to precisely control catalyst sizes smaller than 2 nm is a long-sought goal. Recently, our group showed the first study to realize the continuous synthesis of solid catalysts (W, Mo and Re based) with a size smaller than 2 nm[8]. These solid catalysts remain in a solid state in the high temperature CNT growth environment. However, although regarded more efficient on catalyzing CNT growth with special enhanced enrichment of near-armchair chiralities, the

corresponding continuous synthesis of liquid catalysts (Fe, Ni and Co based) also with precise size manipulation is still missing. Additionally, the size-dependent influence on SWCNTs also needs to be confirmed.

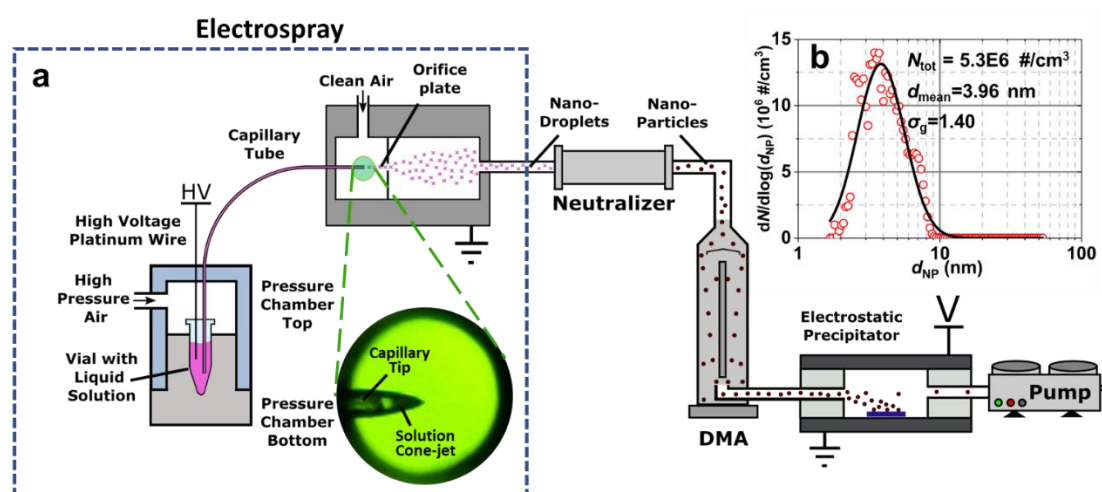
Here, we present the first study on the use of electrospray techniques to continuously produce  $W_6Co_7$  alloyed nanoparticles with precisely manipulated stoichiometry and size. On the other hand, we also present a continuous production method for typical liquid catalysts – Fe based nanoparticles – using a straightforward gas-phase synthesis and in-line size selection techniques. This allows for precisely manipulated size to any target value in the region of 1–8 nm and a narrow size distribution. The constraint effect on the diameter of SWCNTs ( $d_t$ ) can be clearly seen. These new advances are important to develop future continuous synthesis methods for chirality controlled SWCNTs.

## 2. Results

### 2.1. Production of Alloyed Nano-Catalysts and their growth of CNTs

#### 2.1.1 Nanoparticle Production from Electrospray Method with Precise Stoichiometry

With W-Co alloyed catalysts as an example, synthesis begins with the generation of gas-phase W-Co compound salt nanoparticles (sNPs) suspended in a carrier gas as shown in Figure 1. With the gas-phase transport, sNPs pass through size-selection and controlled deposition. The W-Co alloyed catalyst (Refractory-Ferromagnet metal alloyed catalyst) is a typical solid catalyst to support the growth of SWCNTs, which remain rigid and crystalline in a CNT growth environment, believed to strongly affect the chirality enrichment in the final product [4, 6].

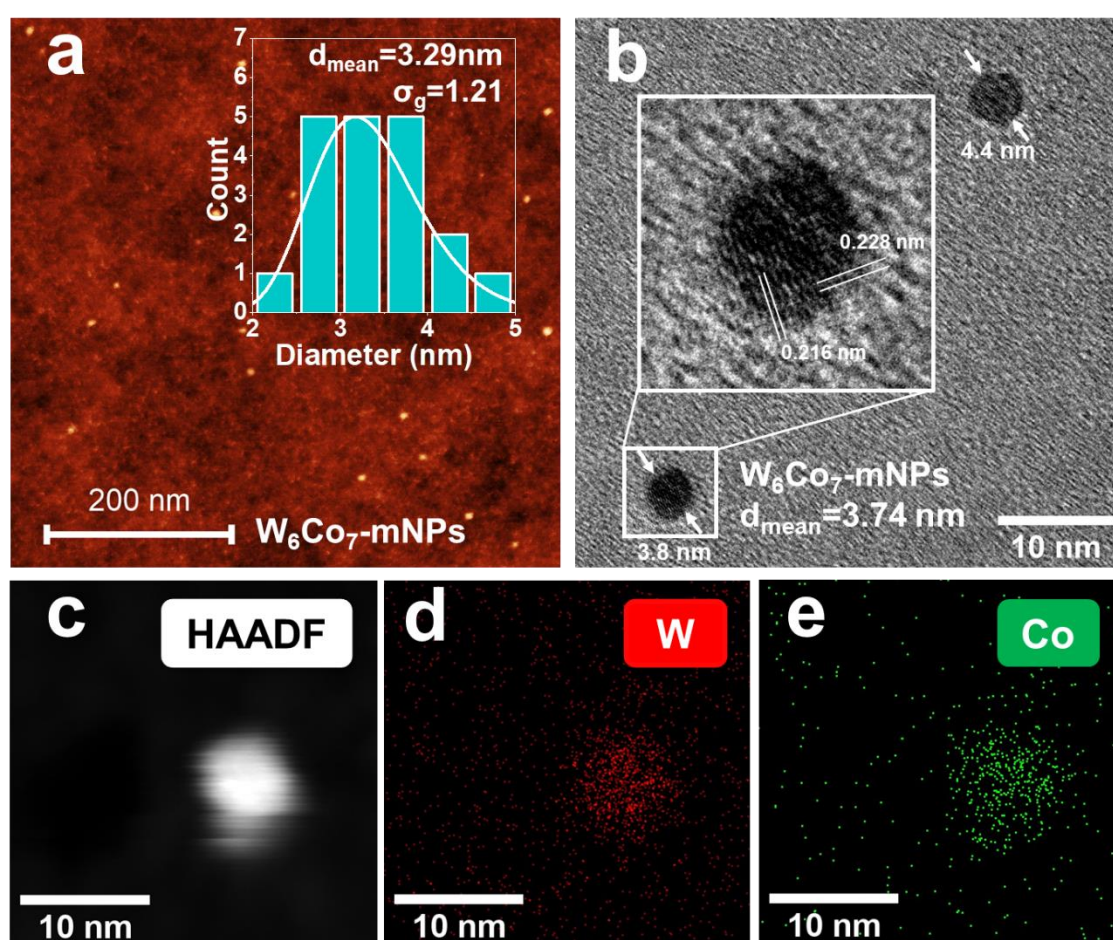


**Figure 1. Experimental setup schematic for the continuous  $W_xCo_y$  alloy nanoparticle generation, size selection and collection.** The mixed solution of W and Co based compound solution were co-jetted into nano-droplets by the electrospray aerosol generator (a), due to the high electric field between solution cone and orifice plate. After the highly charged nano-droplets are neutralized and self-dried after passing through the neutralizer, W-Co salt nanoparticles form but with a polydisperse size distribution as characterized by a Scanning Mobility Particle Spectrometer (b) with diameter range within 1–10 nm, and geometric mean particle diameter ( $d_{mean}$ )  $\sim 3.96$  nm, geometric standard deviations ( $\sigma_g$ )  $\sim 1.4$ , and concentration ( $N_{tot}$ ) of  $5.3 \times 10^6 \text{ #/cm}^3$ . They are further size selected by a Differential Mobility Analyzer (DMA) and deposited onto the substrate in an electrostatic precipitator.

As shown in Figure 1a, the mixed solution of W and Co based compounds passes through a capillary tube driven by the high gas pressure. Under optimized parameters, the solution cone formed at the tip of capillary facilitates the jet of nano-droplets driven by the high voltage difference between the cone and the orifice plate. The jetted highly charged nano-droplets are timely neutralized and self-dried in the carrier gas, forming the sNPs.

The size distributions of sNPs are first characterized by a Scanning Mobility Particle Spectrometer (SMPS) (Figure 1b). Owing to the nanoscale of the droplet jet, the nanoparticles (sNPs) formed after solvent removal is well constrained to  $< 8$  nm with mean diameter ( $d_{\text{mean}}$ )  $\sim 3.96$  nm, which is much smaller than sNPs formed by a common atomizer ( $> 10$  nm)[8, 9].

After constraining the sNP size with cone-jetting, the size distributions of the sNPs are further narrowed by a Differential Mobility Analyzer (DMA). In the following section of this work, (for liquid catalysts), the size selection principle of the DMA will be illustrated in detail. With final controlled disposition onto various target substrates by an electrostatic precipitator, sNPs are evenly distributed on the collection zone with a tunable areal density (Figure 2a). The size distribution of selected NPs follows the log-normal distribution with geometric standard deviation ( $\sigma_g$ ) to be 1.21 based on AFM statistics, much smaller than the that before DMA size selection ( $\sigma_g = 1.40$ ).  $\sigma_g$  can be further lowered to 1.05 based on our previous research[8] as long as the aerosol concentration is high enough to guarantee efficient collection.



**Figure 2.** Nanoparticles after size selection and their crystal structure determination. (a) AFM images of size selected W-Co sNPs homogeneously deposited on  $SiO_2/Si$  substrates. Particle populations possessing narrow size distributions ( $\sigma_g = 1.21$ ) with small mean diameters ( $d_{\text{mean}} = 3.29$  nm) are shown in the inset histogram. Also shown is HRTEM image (b) of single crystal W-Co alloy mNPs after reduction and reconstruction.  $d_{\text{mean}} = 3.74$  nm is measured by AFM not shown (c-e). With the Energy-dispersive X-ray spectroscopy (EDS) elements mapping on single W-Co mNPs, (c) Scanning transmission electron microscopy (STEM) image acquired using the High angle annular dark field (HAADF) detector is shown with the EDX spectra/maps on both (d) W and (e) Co. Both elements distribute uniformly across the single crystal mNPs instead of the combination of multi-crystals. No accumulation of oxygen element is seen in the area of NP, verifying the alloy metal state of the NP, mNPs.



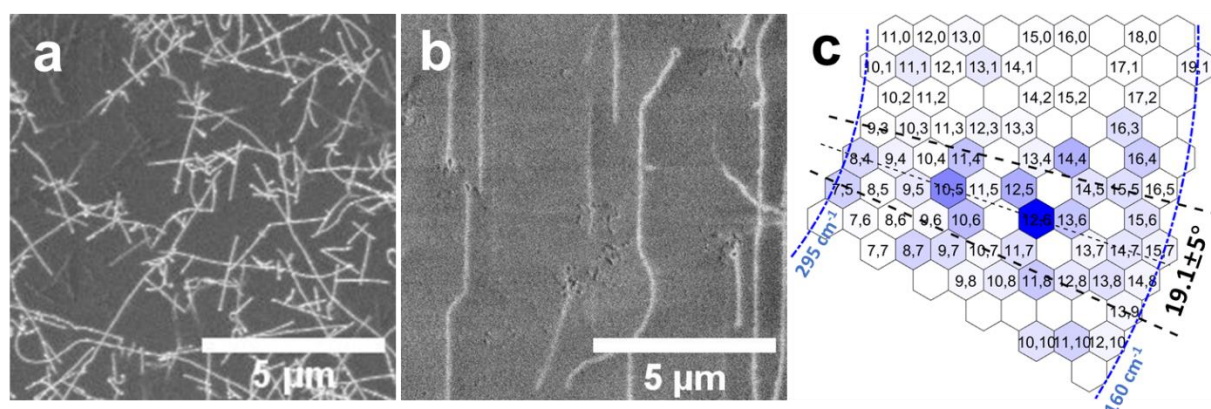
After calcination and reduction at high temperature in  $H_2$ , the sNPs are reconstructed into single-crystal alloyed NPs (alloyed-mNPs, Figure 2b-e). Based on our previous work, the diameter is observed to shrink by ~40% (60% remaining) during this process after losing of O, N, and H and reconstruction[8]. Energy-dispersive X-ray spectroscopy (EDS) elements mapping verifies that both W and Co elements are uniformly distributed across the alloyed-mNPs. The atomic ratio of W:Co measured by EDS is  $(42.3 \pm 7.1) : (57.8 \pm 8.3)$ . Combined with the interplanar distances of mNPs measured from HRTEM, our particles' characteristics are in good agreement with those of  $W_6Co_7$  (PDF exp.2-1091). Figure 2b inset shows interplanar distances 0.216 and 0.228 nm of the (1 0 10) planes and (1 1 3) in  $W_6Co_7$  alloyed, respectively. Moreover, no accumulation of oxygen is seen in the area of the NP, verifying the alloyed metal state of the NP. We suggest the alloyed-mNPs are  $W_6Co_7$  alloyed single crystals (details of the crystal structure identification can be found in supplementary material).

Importantly, with this electrospray method, each nanoparticle is formed from one nano-droplet, thus the stoichiometry of the sNPs and mNPs are precisely equal to that the solution from which the nano-droplets are formed. Here, the atomic ratio of W:Co in the prepared mixed solution is 6:7, match the stoichiometry of  $W_6Co_7$  catalysts and the EDS results.

### 2.1.2. CNTs Grown from the Alloyed Nano-catalysts

The NPs produced in this work are based on a continuous gas-phase process. In our previous work, we demonstrated its potential to be extend into a floating catalyst CVD process (FCCVD)[8]. However, for the sake of convenience, and a better control on the synthesis parameters of NPs and CNTs, all the CNTs in this work are grown using a batch process from substrates loaded by produced NPs.

Due to the gas-phase nature of the sNPs formation process, by modifying the receiving target substrates, CNTs can be facily grown on various targets without any ex-situ transfer processes. Figure 3 shows random CNTs grown on  $SiO_2/Si$  (Figure 3a) and aligned array (Figure 3b) on ST-cut quartz substrate because of the guidance from the atom steps of the substrate[10]. By decoupling the catalyst size from their areal density, further growth of high density SWNT arrays for electronics becomes possible[11, 12]. Moreover, it is worth noting that, in SEM images of CNTs laying on insulating substrate ( $SiO_2/Si$ ) obtained with low accelerating voltage (1-3 kV), the bright line in images (Fig.3a-b) originates from not only the CNT itself, but also from the adjacent zone of substrate affected by the CNT[23]. Therefore, the diameter of bright lines cannot represent those of CNTs.



**Figure 3. CNTs grown from W-Co alloy nano-catalysts.** (a) Random and (b) aligned CNT array grown on  $SiO_2/Si$  and ST-cut quartz substrates without any ex-situ transfer processes. (c) Chirality enrichment is measured by Raman RBM with 532, 638 and 785 nm lasers on random CNTs arrays grown on the  $SiO_2/Si$  substrate. The peak position abundance statistics results from three lasers are summarized with normalized scale. After converting peak position abundance to chirality abundance based on the Kataura Plot, the results are displayed on map. To guarantee unambiguous identification, the painting is done only for SWCNTs with  $0.81 \text{ nm} < \text{diameter} < 1.53 \text{ nm}$  ( $160\text{--}295 \text{ cm}^{-1}$ , marked by

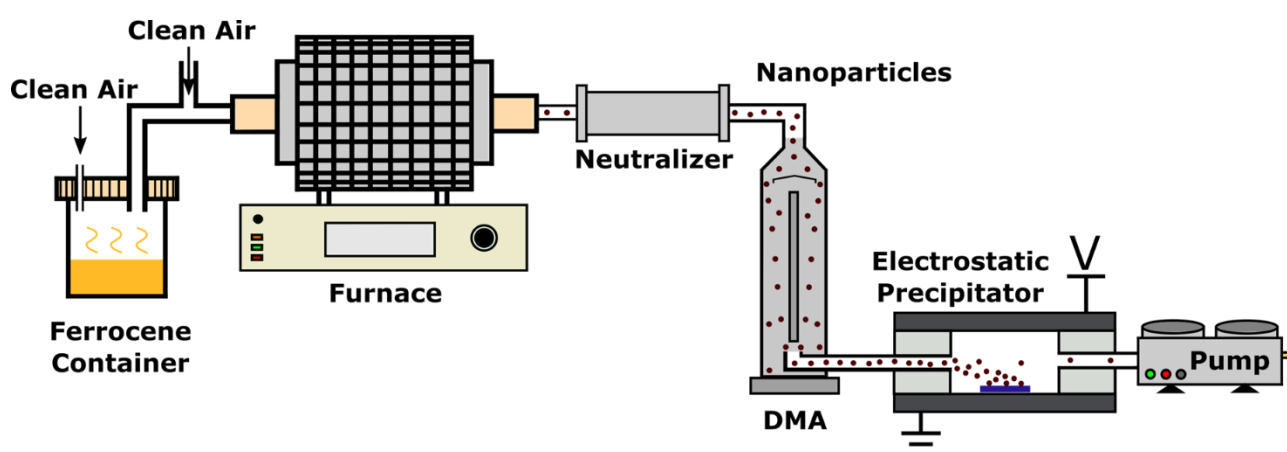
the blue dash-dotted line). Chirality cells that are not resonant with three lasers are left empty. Chiralities near  $(2n,n)$  most enriched (corresponding range of  $19.1\pm5^\circ$  are marked by a black dashed line), and few can be found near the zigzag region.

With RBM (radial breathing mode) peaks from Resonant Raman spectroscopy of SWCNTs detected with 532, 638 and 785 nm lasers, the chiralities abundances are identified based on Kataura Plot and summarized in graphene map (Figure 3c). To guarantee unambiguous identification, the colour density is indicative of solely SWCNTs within 0.81–1.53 nm ( $160\text{--}295\text{ cm}^{-1}$ , marked by the blue dash-dotted line). Chiralities near  $(2n,n)$  most enriched (corresponding range of  $19.1\pm5^\circ$ ) are marked by a black dashed line, and few can be found near the zigzag region. The most enriched visible chiralities are (12,6), (10,5), (13,6) and (12,5), which are all on or near  $(2n,n)$ , with chiral angle near  $19.1^\circ$ . This result matches the solid catalysts performance we reported recently[8]. The highest abundance of (12,6) reached approximately 22% among the diameter range of 0.81 – 1.53 nm. The slightly lower abundance compared with previous report is mainly because of the comparatively larger size of W-Co alloyed catalyst currently with electrospray method, which is a compromise to reach higher catalyst production rate and collection efficiency. Currently, the yield of our electrospray method is around  $10^1\text{--}10^3\text{ \#}/\text{cm}^3/\text{min}$ , which set up many difficulties on characterization and size selection. However, significant advancements in electrospray have been made in recent years as a part of a large effort by the synthesis community[24]. The yield can also be improved in the future by using the electrospray with multi cone-jets, instead of a single one used here. Considering the unique advantage of electrospray method on the manipulation of stoichiometry in the nano-catalyst, further work could effectively reduce the size of alloyed catalyst and further constrain chiralities.

## 2.2. Production of Size-manipulated Fe-based Catalyst and the SWCNTs Diameter Constraint

### 2.2.1. $\text{Fe}_2\text{O}_3$ Nanoparticle Production with Precise Size Manipulation

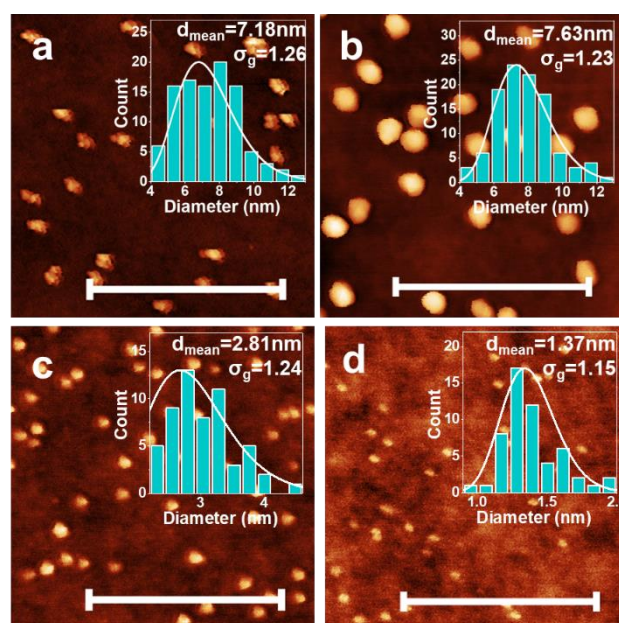
For liquid iron catalyst, we follow our reported techniques[8] to produce the metal-oxide nanoparticles (oNPs) in the gas-phase. Then to grow SWCNTs, the Fe-oNPs are reduced into Fe metal nanoparticles (Fe-mNPs), forming the final catalyst upon the supply of carbon feedstock. As shown in Figure 4, the ferrocene vapor taken by carrier air gas enters the high temperature furnace ( $700^\circ\text{C}$ ), calcinated into Fe-oNPs. The dominant sizes of Fe oNPs are adjustable from 2 to 10 nm by varying the input of Fe precursor input (temperature of ferrocene container and the flow rate of carrier air gas).



**Figure 4. Experimental setup schematic for the continuous  $\text{Fe}_2\text{O}_3$  nanoparticle generation, size selection and collection.** The ferrocene vapor is carried by particle-free air and enters the tube furnace set to  $700^\circ\text{C}$ , where the ferrocene is calcinated into  $\text{Fe}_2\text{O}_3$  nanoparticle (Fe-oNPs) and flow to the downstream. After being charged by a neutralizer, the polydisperse Fe-oNPs are size-selected by DMA, and finally deposited onto a substrate in the electrostatic precipitator.

Although small and highly concentrated, Fe-oNPs' size is still too broadly distributed to effectively constrain the diameter of SWCNTs. Similar to the techniques above, proactive NP mobility selection is integrated into the mainstream to transform the distribution of entire aerosol flow from polydisperse to monodisperse. In this process, after being charged by a radioactive ionizer, the oNPs are passed between two concentric cylinders in the DMA at an electrical potential difference. Only oNPs with prescribed mobility-equivalent diameter pass downstream, which results in the narrow size distribution of selected Fe-oNPs.

Using AFM, the size distribution of these selected Fe NPs are measured (Figure 5a-d). Their sizes are precisely tuned in the range of 1 to 8 nm. From relatively large Fe-oNPs (Figure 5a), it is obvious that the as-produced Fe-oNPs are nanoaggregates with irregular morphologies. After being processed in H<sub>2</sub> at 400 °C, the nanoaggregates are reduced and reconstructed into rounded NPs (and inevitably re-oxidized in the air when characterized by AFM). Meanwhile, for all sizes, the size distribution after selection is inherited to that of NPs after reduction and reconstruction (Figure 5a-d,  $\sigma_g \sim 1.2$ , and  $\sigma_g = 1$  represents ideal monodisperse particles). This implies that processing in H<sub>2</sub> at 400 °C for 5 mins will not broaden the size distribution although the aggregation and Ostwald ripening effect are enhanced.



**Figure 5. AFM images of Fe Nanoparticles after size manipulation.** (a) 7.18 nm Fe<sub>2</sub>O<sub>3</sub> nanoaggregates homogenously deposited on SiO<sub>2</sub>/Si substrates. (b) After reduction and reconstruction under 400 °C in H<sub>2</sub>, the irregular Fe<sub>2</sub>O<sub>3</sub> nanoaggregates become more rounded (oxidized again in air because of AFM characterization) without size distribution degraded. With other size selection, the Fe nanoparticles are precisely manipulated to obtain (c) 2.81 nm and (d) 1.37 nm Fe-oNPs. All possessing narrow size distributions, geometric standard deviation  $\sigma_g \sim 1.2$ . Moreover, smaller the  $d_{\text{mean}}$ , smaller the absolute FWHM for the distribution. Scale bars are all 200 nm.

It is also worth noting that the absolute FWHM of these selected distributions scales with the selected midpoint size. Therefore, the selection of smaller diameter particles also corresponds to a smaller FWHM (insets of Figure 5b-d, FWHM decreases from 3 to 0.5 nm when  $d_{\text{mean}}$  decreases from 7.6 to 1.4 nm). This is the key feature for achieving nearly monodisperse Fe catalysts for SWCNT growth.

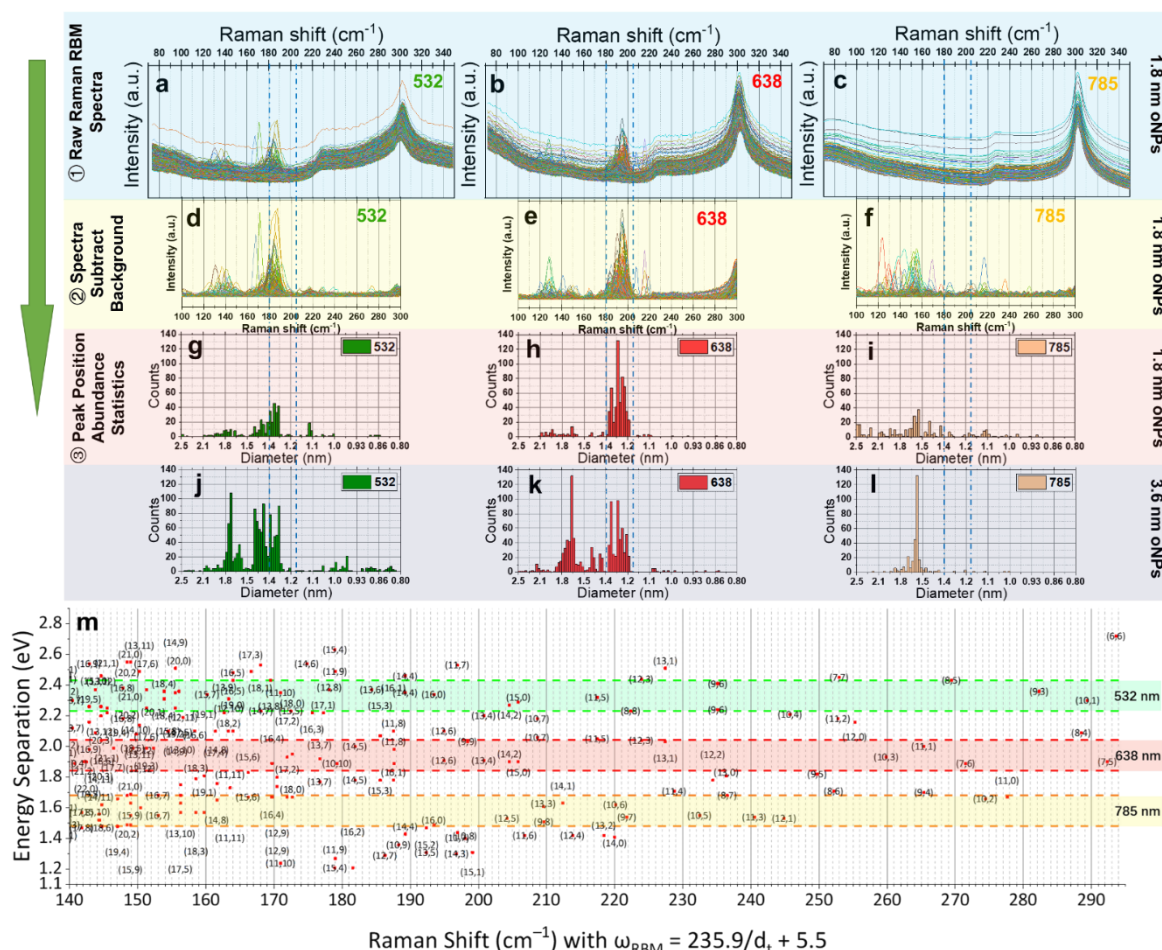
## 2.2.2. Constrain SWCNTs Diameter by Fe Catalysts

In Figure 6, we compared the diameter distribution of SWCNTs grown from size-selected 1.8 nm (Figure 6 a-i) and 3.6 nm (Figure 6 j-l) Fe-oNPs catalysts. Resonant Raman spectroscopy shows the RBM peaks of SWCNTs in the laser spot excited with laser phonons[3, 13]. We follow our reported



method[8] on Raman mapping characterization and data analysis. In Figure 6 a-c, we show the raw Raman spectra of 1.8 nm Fe-oNPs on RBM region detected by 532, 638, and 785 nm lasers.

The peak position of each spectrum is identified after background removal (SiO<sub>2</sub>/Si signal, Figure 6 d-f). Based on RBM peaks frequency ( $\omega_{\text{RBM}}$ ) to the diameter of SWCNT ( $d_t$ ) relationship for samples grown on SiO<sub>2</sub>/Si substrates[14],  $\omega_{\text{RBM}} = 235.9/d_t + 5.5$ , we obtain the diameter distribution statistics from the peak position abundance statistics results (Figure 6 g-l).



**Figure 6.** The comparison between constraint effects on the diameter of SWCNTs grown from Fe catalysts with different sizes. (a–c) Raw Raman spectra at RBM region (70–350 cm<sup>-1</sup>) of SWCNTs grown from 1.8 nm Fe-oNPs detected by 532, 638, and 785 nm lasers. (d–f) The peak position of each spectrum is identified after background removal (SiO<sub>2</sub>/Si signal). The spectra tails around 290–300 cm<sup>-1</sup> are generated during background removal; (g–i) For SWCNTs grown from 1.8 nm Fe-oNPs, the peak position abundance statistics obtained by different lasers are normalized by pixel number and laser spot area. (j–l) For SWCNTs grown from 3.6 nm Fe-oNPs, the normalized peak position abundance statistics. (m) Modified Kataura plot is used to identify the resonant chiralities. Regions of diameter from 1.18–1.4 nm are marked by dash-dotted lines.

Here, with Raman  $x - y$  2D mapping results from 532, 638, and 785 nm lasers, respectively, the resonant peak position abundance statistics results are summarized with a normalized scale so that peak counts can be compared between different lasers. However, as is well known, the scale of detectable SWCNTs in each Raman mapping result depends on both diameter distribution of the sample and whether resonance happens with the laser wavelength, according to Kataura Plot[15, 16], as shown in Figure 6 m.

In our results, for abundance detected by 638 nm laser (Figure 6 h vs k), it is clear that 1.8 nm Fe-oNPs catalysts preferentially produce more 1.2–1.4 nm SWCNTs and fewer for  $d_t > 1.4$  nm.

A similar trend can also be seen by 532 nm laser (Figure 6 g vs j), SWCNTs with  $d_t > 1.4$  nm is much suppressed with 1.8 nm Fe-oNPs catalysts, although  $1.2 < d_t < 1.3$  nm cannot be detected by 532 nm laser because of a lack of resonance and the known bias of the chirality distribution to the armchair chiralities with liquid catalysts like Fe[17].

For 785 nm laser results (Figure 6 i vs l), the disappearance of 1.2–1.4 nm SWCNT enrichment is also due to the poor resonance condition and the chirality distribution propensity for armchair chiralities. The suppressed abundance peak around 1.6 nm tends to verify the constraint effect from the size of catalysts.

### 3. Discussion

To pursue single chirality SWCNT growth, the mechanisms underlying the chirality selection of SWCNTs are of great importance. However, many theoretical contributions have been proposed, but always lack sound experimental verification. Among them, interface energy driven nucleation thermodynamics[18–20] combined with CNT growth kinetics[18], as well as the catalyst epitaxy with symmetry matching[4, 21, 22] are the most favorable directions.

Before the realization of chirality enrichment, normally specific diameter enrichment in SWCNT product is the precondition. However, not all the grown SWCNTs possess diameters match that of their catalysts, which is known as tangential growth ( $d_t \sim d_{\text{catalyst}}$ ). Sometimes, the diameter of a grown SWCNT can be smaller than that of its catalyst ( $d_t < d_{\text{catalyst}}$ ), and this is known as perpendicular growth.

With in-line size selection using a DMA, we can directly select small diameter catalysts. Although CNT growth is not fixed to either perpendicular growth or tangential growth, small catalysts provide a limited upper bound to the diameter of CNTs regardless of the growth mode. Here, we verified, with 1.8 nm Fe-oNPs that the diameter of SWCNTs can be enriched  $< 1.4$  nm. Consequently, the resultant chirality choices are significantly reduced. Further, combined with the declined chiral angle distribution, the chirality choices are again reduced.

The SWCNT diameter distribution results from 1.8 nm Fe-oNPs also implies that the size distribution of catalysts set by NP mobility size selection can still be inherited by SWCNTs, even though Fe is believed to remain in a liquid state during CNT growth and led to more aggregation and Ostwald ripening than solid catalysts.

With the electrospray technique, owing to its chemistry-independent property, as long as mixed solutions of corresponding soluble compounds are prepared, similar alloyed catalysts such as Mo-Fe, W-Fe, Re-Ni etc. can also be produced with precise manipulation of stoichiometry.

Further, combined with proper processing, the formed single crystal nano-catalyst with different chemistry and stoichiometry give researchers, for the first time, an ability to manipulate the interface between CNT and catalyst, where the interfacial energy has been reported to determine the chiral preference of SWCNTs by affecting the possibility of nucleation, the growth speed and even the growth time.

### 4. Conclusions

With the mechanism of one nanoparticle formed from one atomized droplet, we produce  $\text{W}_6\text{Co}_7$  alloyed nanoparticles successfully with the same target chemistry in a continuous gas-phase method. They act as the solid catalyst to grow SWCNTs with chirality control. As an example, for liquid catalysts, we produce the gas-phase size-selected Fe based nanoparticles with a size down to 1.5–1.8 nm. The small diameter of these liquid catalysts shows an obvious diameter constraint effect on SWCNTs, with diameter highly enriched  $< 1.4$  nm.

We assert that the two techniques shown here can be used to provide a useful set of experimental designs to support or rule out potential theoretical mechanisms, facilitating measuring the physical parameters, finally forming a cohesive and useful theory that can guide the development of models, experiments and production of chirality-controlled CNTs.

### 5. Materials and Methods



### 5.1. Nanoparticle Synthesis, Size Selection, and Collection

**Production of alloyed W<sub>6</sub>Co<sub>7</sub>-nanoparticles:** An aqueous mixed metal salt solution is prepared with 25  $\mu\text{M}$   $(\text{NH}_4)_6\text{H}_2\text{W}_{12}\text{O}_{40}$  (Sigma-Aldrich 463922), 350  $\mu\text{M}$   $\text{Co}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$  (Sigma-Aldrich 203106), and 20 mM  $\text{CH}_3\text{CO}_2\text{NH}_4$  (Sigma-Aldrich A1542, as a buffer agent which will vaporize before size-selection). The mixed solution is atomized into nano-droplets suspended in clean air as a carrier gas through an Electrospray Aerosol Generator (TSI Inc. 3480). For the electrospray process, a silica capillary with an inner diameter of 40  $\mu\text{m}$  is used to obtain a cone-jetting mechanism with a proper flow rate of carrier gas (~2 slpm) and 2 kV high voltage. A radioactive charge neutralizer (TSI 3077) is used to discharge the nano-droplet to avoid the splitting with the removal of water in the nano-droplet, leaving precipitated sNPs. The sNPs are then sent through a DMA (TSI 3085). The DMA selects particles with a prescribed mobility-equivalent diameter and a very narrow range. The DMA-selected nanoparticles are sent into an electrostatic precipitator for collection onto the target substrate.

**Production of size-selected Fe-oNPs:** Clean air (20 sccm) is set to pass through the container of ferrocene powder (Sigma-Aldrich F408) at room temperature. Another clean air (1 slpm) carries the vapor of ferrocene through an alumina tube in a furnace whose temperature is set to 700°C, the nucleated polydisperse Fe-oNPs are first charged using a radioactive charge neutralizer (TSI 3077) and then size-selected as above. The DMA-selected nanoparticles are sent into an electrostatic precipitator for collection onto the target substrate.

### 5.2. Substrate-based CVD growth of CNTs

To avoid or reduce possible aggregation, oNPs are first reduced in  $\text{H}_2$  with the temperature slowly rising to 400°C to obtain mNPs. Then the temperature is raised quickly to 850°C (100 K/min). Ethanol vapor as the carbon feedstock is introduced immediately when the final temperature is reached (850°C) in an Ar carrier gas stream. The growth time is set to only 10 min. After growth, the carbon-rich environment is expelled by  $\text{H}_2$ , and the samples are cooled to room temperature.

### 5.3. Aerosol Characterization, AFM, HRTEM, EDS, and Raman Mapping

Aerosol size distributions of all NPs are analyzed using a Scanning Mobility Particle Spectrometer (SMPS), which consists of a combination of a DMA (TSI 3085) and a Condensation Particle Counter (CPC, TSI 3756). AFM is conducted on a Veeco Dimension Pro AFM on Peakforce mode. Both Transmission electron microscopy (TEM) and Energy-dispersive X-ray spectroscopy (EDS) elements mapping were conducted on a Thermo Scientific (FEI) Talos F200X G2 TEM operating at 200 kV. TEM images were acquired using a Ceta 16M CMOS camera. EDS was performed in Scanning transmission electron microscopy (STEM) mode with images acquired using the High angle annular dark field (HAADF) detector with EDX spectra/maps collected using the Super-X EDS detector system which consists of 4 windowless silicon drift detectors. Prior to TEM the NPs were dispersed onto  $\text{Si}_3\text{N}_4$  TEM grids. Raman mapping is conducted in the RBM range, with 532, 638, and 785 nm lasers. The step size is set to 3  $\mu\text{m}$  in both the x and y directions.

## 6. Patents

Pertaining UK patent has been filed with application number as 1917638.7., which is partially resulting from the work reported in this manuscript.

**Author Contributions:** Conceptualization, X.Z., B.G., M.D. and A.B.; methodology, X.Z., Y.D., B.G., M.D. and A.B.; validation, X.Z., Y.D., B.G., M.D. and A.B.; formal analysis, X.Z.; data curation, X.Z.; writing—original draft preparation, X.Z. and Y.D.; writing—review and editing, B.G., M.D. and A.B.; supervision, M.D. and A.B.; project administration, A.B.; funding acquisition, A.B., X.Z.. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by EPSRC project ‘Advanced Nanotube Application and Manufacturing (ANAM) Initiative’ [grant numbers EP/M015211/1] and EPSRC Underpinning Multi-439 User Equipment Call [grant numbers EP/P030467/1], the National Key R&D Program of China (Grant 440 No. 2018YFA0208402) and

the National Natural Science Foundation of China (Grant Nos. 11634014 441 and 51820105002). The APC was funded by Cambridge University's RCUK and/or COAF block grants.

**Acknowledgments:** The authors specially thanks Dr. Maksim Mezhericher, Dr. Weiya Zhou, Dr. Huaping Liu, Prof. Kaihui Liu and Fengrui Rao for their useful guidance and information. The authors thank Mingzhao Wang, Zhanyu Wang, Dr. Sarah Stevenson, Dr. Yanchun Wang, Liron Issman, Dr. Fiona Smail for their kind support and useful discussion. The author also thanks Dr. Heather Greer, Dr. Adarsh Kaniyoor, and Jenny Mizen for TEM and Raman experiment support.

**Conflicts of Interest:** The authors declare no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish the results.

## References

1. Y. Li, H. Wang, C. Priest, S. Li, P. Xu, G. Wu, Advanced Electrocatalysis for Energy and Environmental Sustainability via Water and Nitrogen Reactions, *Adv. Mater.* n/a(n/a) 2000381.
2. M.F.L. De Volder, S.H. Tawfick, R.H. Baughman, A.J. Hart, Carbon Nanotubes: Present and Future Commercial Applications, *Science* 339(6119) (2013) 535-539.
3. X. Zhang, L. Song, L. Cai, X.Z. Tian, Q. Zhang, X.Y. Qi, W.B. Zhou, N. Zhang, F. Yang, Q.X. Fan, Y.C. Wang, H.P. Liu, X.D. Bai, W.Y. Zhou, S.S. Xie, Optical visualization and polarized light absorption of the single-wall carbon nanotube to verify intrinsic thermal applications, *Light-Sci. Appl.* 4 (2015) 8.
4. F. Yang, X. Wang, D. Zhang, J. Yang, D. Luo, Z. Xu, J. Wei, J.-Q. Wang, Z. Xu, F. Peng, X. Li, R. Li, Y. Li, M. Li, X. Bai, F. Ding, Y. Li, Chirality-specific growth of single-walled carbon nanotubes on solid alloy catalysts, *Nature* 510 (2014) 522.
5. X. Zhao, F. Yang, J. Chen, L. Ding, X. Liu, F. Yao, M. Li, D. Zhang, Z. Zhang, X. Liu, J. Yang, K. Liu, Y. Li, Selective growth of chirality-enriched semiconducting carbon nanotubes by using bimetallic catalysts from salt precursors, *Nanoscale* 10(15) (2018) 6922-6927.
6. S.M. Bachilo, L. Balzano, J.E. Herrera, F. Pompeo, D.E. Resasco, R.B. Weisman, Narrow (n,m)-Distribution of Single-Walled Carbon Nanotubes Grown Using a Solid Supported Catalyst, *Journal of the American Chemical Society* 125(37) (2003) 11186-11187.
7. S. Ahmad, Y. Liao, A. Hussain, Q. Zhang, E.-X. Ding, H. Jiang, E.I. Kauppinen, Systematic investigation of the catalyst composition effects on single-walled carbon nanotubes synthesis in floating-catalyst CVD, *Carbon* 149 (2019) 318-327.
8. X. Zhang, B. Graves, M.D. Volder, W. Yang, T. Johnson, B. Wen, W. Su, R. Nishida, S. Xie, A. Boies, High Precision Solid Catalysts for Investigation of Carbon Nanotube Synthesis and Structure, *Science Advances*, 6:eabb6010 (2020) in press.
9. T.J. Johnson, M. Irwin, J.P.R. Symonds, J.S. Olfert, A.M. Boies, Measuring aerosol size distributions with the aerodynamic aerosol classifier, *Aerosol Science and Technology* 52(6) (2018) 655-665.
10. D. Yuan, L. Ding, H. Chu, Y. Feng, T.P. McNicholas, J. Liu, Horizontally Aligned Single-Walled Carbon Nanotube on Quartz from a Large Variety of Metal Catalysts, *Nano Letters* 8(8) (2008) 2576-2579.
11. L. Kang, S. Zhang, Q. Li, J. Zhang, Growth of Horizontal Semiconducting SWNT Arrays with Density Higher than 100 tubes/ $\mu\text{m}$  using Ethanol/Methane Chemical Vapor Deposition, *Journal of the American Chemical Society* 138(21) (2016) 6727-6730.
12. Y. Hu, L. Kang, Q. Zhao, H. Zhong, S. Zhang, L. Yang, Z. Wang, J. Lin, Q. Li, Z. Zhang, L. Peng, Z. Liu, J. Zhang, Growth of high-density horizontally aligned SWNT arrays using Trojan catalysts, *Nat. Commun.* 6(1) (2015) 6099.
13. X. Zhang, F. Yang, D. Zhao, L. Cai, P. Luan, Q. Zhang, W. Zhou, N. Zhang, Q. Fan, Y. Wang, H. Liu, W. Zhou, S. Xie, Temperature dependent Raman spectra of isolated suspended single-walled carbon nanotubes, *Nanoscale* 6(8) (2014) 3949-3953.
14. D. Zhang, J. Yang, F. Yang, R. Li, M. Li, D. Ji, Y. Li, (n,m) Assignments and quantification for single-walled carbon nanotubes on SiO<sub>2</sub>/Si substrates by resonant Raman spectroscopy, *Nanoscale* 7(24) (2015) 10719-10727.
15. H. Kataura, Y. Kumazawa, Y. Maniwa, I. Umez, S. Suzuki, Y. Ohtsuka, Y. Achiba, Optical properties of single-wall carbon nanotubes, *Synthetic Metals* 103(1) (1999) 2555-2558.
16. K.H. Liu, J. Deslippe, F.J. Xiao, R.B. Capaz, X.P. Hong, S. Aloni, A. Zettl, W.L. Wang, X.D. Bai, S.G. Louie, E.G. Wang, F. Wang, An atlas of carbon nanotube optical transitions, *Nat. Nanotechnol.* 7(5) (2012) 325-329.

17. F. Ding, A.R. Harutyunyan, B.I. Yakobson, Dislocation theory of chirality-controlled nanotube growth, *P Natl Acad Sci USA* 106(8) (2009) 2506-2509.
18. V.I. Artyukhov, E.S. Penev, B.I. Yakobson, Why nanotubes grow chiral, *Nat. Commun.* 5 (2014) 4892.
19. E.S. Penev, K.V. Bets, N. Gupta, B.I. Yakobson, Transient Kinetic Selectivity in Nanotubes Growth on Solid Co-W Catalyst, *Nano Letters* 18(8) (2018) 5288-5293.
20. K.V. Bets, E.S. Penev, B.I. Yakobson, Janus Segregation at the Carbon Nanotube–Catalyst Interface, *ACS Nano* (2019).
21. S. Zhang, L. Kang, X. Wang, L. Tong, L. Yang, Z. Wang, K. Qi, S. Deng, Q. Li, X. Bai, F. Ding, J. Zhang, Arrays of horizontal carbon nanotubes of controlled chirality grown using designed catalysts, *Nature advance online publication* (2017).
22. X. Wang, F. Ding, How a Solid Catalyst Determines the Chirality of the Single-Wall Carbon Nanotube Grown on It, *The Journal of Physical Chemistry Letters* (2019) 735-741.
23. J. Li, Y. He, Y. Han, K. Liu, J. Wang, Q. Li, S. Fan, K. Jiang, Direct Identification of Metallic and Semiconducting Single-Walled Carbon Nanotubes in Scanning Electron Microscopy, *Nano Letters*. (2012), 12, 8, 4095–4101
24. M.Parhizkar, P. Reardon, J. Knowles, R. Browning, E.Stride, R. Pedley, T. Grego, M.Edirisinghe, Performance of novel high throughput multi electrospray systems for forming of polymeric micro/nanoparticles, *Materials & Design*. (2017), 126, 73-84



© 2020 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (<http://creativecommons.org/licenses/by/4.0/>).