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3 **Modelling Disease with inducible Pluripotent Stem Cells**

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6 Keywords: (hiPSCs, Reprogramming, Liver, Disease modelling, differentiation)

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9 **Abstract.**

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11 Understanding the physiopathology of disease remains an essential step for the

12 development of novel therapeutics. Although animal models have certainly contributed to advance

13 this enterprise, their limitations to model all the aspects of complex human disorders is one of the

14 major challenge faced by the biomedical research field. hiPSCs-derived from patients represent a

15 great opportunity to overcome this deficiency since it could cover the genetic diversity needed to

16 fully model human diseases. Here, we provided an overview of the history of hiPSCs technology

17 and discuss common challenges and approaches that we and others have faced when using hiPSCs

18 to modelling disease. Our emphasis is on liver disease, and consequently we review the progress

19 of the technology to produce functional liver cells in vitro and how these systems are being used

20 to recapitulate a diversity of developmental, metabolic, genetic, and infectious liver disorders.

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29 is now becoming essential for the development of personalized therapeutics. Nevertheless, the lack  
30 of suitable human experimental models has limited the study of these mechanisms. Indeed, animal  
31 models, although valuable, do not always recapitulate human pathophysiology and therefore  
32 cannot be used to elucidate detailed molecular mechanisms underlying human illness (5) especially  
33 when genetics is implicated. Therefore, generating human disease models recapitulating  
34 pathological events observed in patients is a priority.

35 Human induced pluripotent stem cells (6) provide new tools for disease modeling. As their  
36 in vivo counterparts (human embryonic stem cells or hESCs) (6), hiPSCs possess the ability to  
37 self-renew almost endlessly in vitro while maintaining the capacity to differentiate into virtually  
38 any cell of the human body. However, unlike hESCs, hiPSCs can be rapidly generated from  
39 patients' biopsies providing researchers with a limitless source of patient-specific material that can  
40 be used for generating specific cell types targeted by a disease (Figure 1). Furthermore, hiPSCs  
41 also overcome the ethical drawbacks associated with the generation of hESCs from human  
42 embryos while offering an amenable system model to investigate the role of particular  
43 mutations/genes on cellular phenotype. Moreover, hiPSCs can allow the assessment of the  
44 influence of individual genetic backgrounds on the severity of a cellular phenotype related to  
45 disease (7-9). Thus hiPSCs offer an invaluable window into the complex genetic interplays  
46 underlying a pathological state, especially those related to congenital or developmental disorders  
47 (10-15). In addition, single cell analysis (16), NGS analysis (17), live imaging (18, 19), loss/gain  
48 of function experiments (20, 21), and large-scale genetic (22, 23) and chemical screenings (24-27)  
49 have enhanced our ability to study large number of molecular mechanism which are difficult to  
50 approach using model organisms. Finally, hiPSCs offer the possibility of a reasonably fast

51 recapitulation in vitro of pathological phenotypes, which in vivo may need up to several decades  
52 to manifest (Cancer, Alzheimer, Diabetes, among others).

53         It is now clear that the unique characteristics of hiPSCs enable to model a diversity of  
54 disorders but also to define the role of genetic variants in disease onset and penetrance. This  
55 knowledge will be extremely useful to predict disease risk at an individual level and to design  
56 personalized therapeutics preventing the development of pathological conditions. While this is the  
57 ultimate goal, additional technological challenges must be addressed before fulfilling the clinical  
58 promises of hiPSCs. In this review, we discuss the most relevant advances made in the field of  
59 disease modeling with hiPSCs, with particular emphasis on liver diseases and also the future  
60 technological development which could accelerate clinical development.

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## 62 **HISTORICAL POINT OF VIEW: DISCOVERY AND DEVELOPMENT OF IPSCS**

### 63 **The Train of Thoughts**

64         Seminal studies carried out by Sir John Gurdon at the beginning of the 1960s represent the  
65 first examples of successful cellular reprogramming. By developing the technique of somatic cell  
66 nuclear transfer (SCNT), Gurdon and colleagues demonstrated that the information contained in  
67 the nucleus of differentiated cells could be reprogrammed after transplanting them into enucleated  
68 oocytes. This new hybrid cell was pluripotent and capable of progressing normally throughout  
69 embryonic development, contributing to the formation of all tissue types until forming adult  
70 individuals (28, 29). These observations established that the nucleus of a differentiated cell is  
71 genetically not different than their embryonic counterpart, and also suggested that molecular  
72 factors present in the embryonic environment are sufficient to reprogram somatic cells into an  
73 embryonic pluripotent state. Approximately four decades later, James Thompson established a

74 method to isolate and expand in vitro pluripotent cells from human blastocysts (30). With their  
75 capability to self-renew almost indefinitely and their potential to differentiated into virtually every  
76 cell of the body, these human embryonic stem cells (hESCs) revolutionized the biomedicine and  
77 developmental biology fields. Despite these unique characteristics, ethical concerns around the  
78 utilization of human embryos during the derivation of hESCs, have limited the clinical applications  
79 of these stem cells (31). The federal funding ban executed by the US government during the early  
80 2000s to limit the generation of new hESCs lines is one example of a legal limitation motivated  
81 by ethical concerns associated with the production of hESCs (32, 33). Consequently, the possibility  
82 to generate pluripotent stem cells equivalent to hESCs from somatic cells using nuclear  
83 reprogramming has always been an attractive option. Proof of concept for such approach was  
84 provided by forcing the fusion of somatic cells with ESCs (34, 35). These studies confirmed that  
85 factors present in pluripotent cells were sufficient to reset somatic identity back to the pluripotent  
86 state. It was no longer after these discoveries that professor Shinya Yamanaka and Kazutoshi  
87 Takahashi reported for the first time the reprogramming of mouse fibroblasts into cells with  
88 characteristics of embryonic stem cells. These “induced pluripotent stem cells” (iPSCs) were  
89 generated by resetting the transcriptional and epigenetic program of somatic cells by  
90 overexpressing a set of transcription factors previously known for their role in pluripotency (36).  
91 Although the initial attempts required the overexpression of 24 transcription factors, Yamanaka  
92 and colleagues quickly narrowed this list to four factors: Oct3/4 (Pou5F1) and Sox2, two critical  
93 components of the core pluripotency transcriptional network (37, 38); the Krüppel-like factor 4  
94 (KLF4), recognized for its potential to inhibiting p53-dependent repression of Nanog during  
95 differentiation, and posteriorly, for its capability to induce LIF-independent self-renewal of mouse  
96 ESCs (39); and lastly, the transcription factor cMyc, a well-known enhancer of cell proliferation

97 and transformation (40). Among these factors, Oct3/4, Sox2 and Klf4 were necessary for the  
98 reprogramming process, while cMyc only improved its efficiency (41).

99         Mouse iPSCs are indistinguishable from mESCs in terms of morphology, gene expression,  
100 teratoma formation capacity, proliferation, and the ability of differentiation into cells of the three  
101 germ layers (36). This extraordinary discovery prompted Yamanaka and other to reproduce these  
102 findings in human cells and only one year later, both Yamanaka's and James Thomson's groups  
103 reported almost simultaneously the generation of the first human induced pluripotent cell lines (41,  
104 42). Interestingly, Yamanaka's team accomplished this by using the same cocktail of transcription  
105 factors used for reprogramming mouse cells, while Thompson and colleagues generated hiPSCs  
106 by overexpressing a partially different set of factors (OCT4, SOX2, NANOG, and LIN28) (41,  
107 42). Thomson and colleagues showed that NANOG and LIN28 were beneficial for the  
108 reprogramming process of human cells by increasing the survival of nascent hiPSCs, although  
109 LIN28 was not essential (41, 42). More importantly, they demonstrated that hiPSCs could be  
110 generated without overexpressing cMyc. This observation proved to be valuable since cMyc can  
111 induce malignant transformation (43). Currently, the Yamanka factors remain widely used,  
112 although the original cocktail of factors have been modified multiple times to increase efficacy of  
113 reprogramming often with inconsistent success (44). In addition, many modifications have been  
114 introduced to improve the delivery of the reprogramming factors such as integration-free methods  
115 (44). Episomal vectors, Sendai viruses, and synthetic mRNAs are among the most utilized methods  
116 to generate hiPSCs (45-47) without modifications of the host genome which could interfere with  
117 disease modeling or experimental outcomes.

118 **hiPSCs as an Alternative to ESCs for Disease Modeling.**

119 hiPSCs and hESCs display telomerase activity; specific cell surface antigens such as  
120 SSEA-3, SSEA-4, Tra-1-60, and Tra-1-81; they show similar patterns of DNA methylation on  
121 gene promoters; and can differentiate into derivatives of all three primary germ layers (41, 42, 48).  
122 Furthermore, recent analyses of gene expression patterns at the single cell level confirmed previous  
123 observation that hiPSCs and hESCs are closely related to the pluripotent stem cells of the post-  
124 implantation epiblast (49-51). Nonetheless, hiPSCs have unique advantages over hESCs. Not only  
125 do they circumvent the use of embryos, but they also allow researchers to generate patient-specific  
126 pluripotent cells that can be utilized for the study in vitro of a range of biological phenomena.  
127 These include developmental disorders; the role of genetic background on cell differentiation and  
128 disease; as well as the derivation of patient-specific platforms for drug screenings (5, 44, 52-54).

129 This extraordinary potential obligates constant scrutiny of the hiPSCs technology, and  
130 elements such as genomic instability and potential of differentiation are continually being  
131 evaluated (55). Indeed, as with hESCs, there has been an active debate regarding the preference of  
132 some hiPSC lines to differentiate into specific lineages. Particular attention has been given to the  
133 reprogramming process, ever since initial observations revealed that specific epigenetic signatures  
134 from the donor cells could resist the reprogramming process, contributing to the formation of  
135 limited transcriptional and epigenetic aberrations in hiPSC lines (56-60). It was suggested that this  
136 aberrant epigenetic profile could act as an “epigenetic memory” which could increase the capacity  
137 of specific hiPSCs lines to differentiate towards lineages close to their parental cells (6, 57-59, 61).  
138 Although this hypothesis has not been completely ruled out, the fact that the “epigenetic memory”  
139 tends to disappear in cells cultured for extended periods, indicates that this phenomenon may be  
140 transient and probably dependent on the method of reprogramming and culture conditions (56, 59).  
141 Interestingly, recent findings obtained by comparing genetically matched hESC and hiPSC lines

142 revealed that hPSCs are also similar in terms of transcriptome, DNA-methylome, and capacity to  
143 differentiate into cells of three germ layers (48). This and other studies have reinforced the view  
144 that rather than “epigenetic memory”, differences in genetic background are the primary source of  
145 variability in differentiation potential (48, 61-63). Accordingly, it has been recently shown, by  
146 mapping expression quantitative trait loci (eQTL) and changes in copy-number variations (CNVs),  
147 that divergent gene expression profiles and differentiation efficiency observed among hiPSCs lines  
148 are strongly associated with changes in their genetic background (7, 22, 63). Of note, a recent  
149 report showed that very few (3 out of 64) hiPSCs lines derived from different donors display  
150 chromosomal abnormalities (64) suggesting that genetic instability is unlikely to affect hiPSCs  
151 capacity of differentiation in vitro. Overall, the available data support the view that hiPSCs are  
152 very similar to hESCs, and that most of the gene expression and epigenetic variations are  
153 consequence of genetic differences amongst donors.

154         These findings are of high relevance for disease modeling. Although it is currently unclear  
155 whether genetic background outweighs environmental factors, it is possible that hiPSC lines of  
156 different genetic backgrounds might respond differently to extracellular cues. Accordingly, it is  
157 anticipated that improvements to current protocols of differentiation will increase differentiation  
158 efficiency and homogeneity of hiPSCs of different genetic background. In that context, hiPSCs  
159 will represent an excellent opportunity to assess the effect of genetic background on cellular  
160 phenotype during cell differentiation and disease. Nonetheless, observations made with hPSCs  
161 needs to consider the impact of divergent genetic background on self-renewal and differentiation.

## 162 **MODELING DISEASES WITH HIPSCS**

163 In vitro disease modeling relies on the availability of specific cell types which are targeted by the

164 disease and display disease phenotypes. Primary cells represent the ideal solution as they are  
165 directly representative of the original tissues and organs. However, primary cells are often difficult  
166 to obtain especially from diseased tissues and they cannot be grown in vitro without losing their  
167 functional characteristics (65). Thus, the development of disease models based on human primary  
168 cells remains a very difficult enterprise despite a broad number of efforts.

169 hiPSCs have provided a unique opportunity to fill up this gap since they can be used to  
170 produce an almost infinite quantity of primary like cells in vitro from a diversity of patients.  
171 However, the key challenge has been to generate functional mature cells from hiPSCs.  
172 Accordingly, intense research has been carried out during the last decade to advance differentiation  
173 protocols and improve the functionality of hiPSCs-derived cells so they could resemble primary  
174 cells (32, 44, 66). Among the different approaches used to reach this objective, we can cite the  
175 combination, concentration, and timing of cytokines and growth factors used during the induction  
176 of differentiation (41-48), as well as the composition and density of extracellular substrates, spatial  
177 organization, and co-culture with other cell types (67-71). In their current state of development,  
178 however, cell types derived from hiPSCs in vitro still exhibit immature/fetal phenotypes (70, 71).  
179 Therefore, biological responses obtained from these cells need to be carefully considered in view  
180 of their fetal nature. Nonetheless, the interest of hiPSCs-derived cells for modeling adult disease  
181 has been clearly demonstrated for a number of tissues thereby establishing that these cells can be  
182 used to characterize disease phenotypes especially in the context of monogenic diseases, as well  
183 as the molecular mechanisms of response to drugs (72). Of note, an increasing number of disease-  
184 specific hiPSCs are generated on daily basis from patients afflicted with a variety of genetically  
185 inherited and complex disorders affecting virtually every organ (73). Extensive coverage has been  
186 given to those related to neurological and cardiac diseases (8, 52, 74), and therefore they will not

187 be described here. Instead, in this review, we will discuss some of the most significant findings  
188 regarding the use of hiPSCs to model liver diseases, which inflict a significant burden on healthcare  
189 and urgently call for effective therapies.

## 190 **Modeling Liver Disease**

191 The liver is a multifunctional organ that plays a crucial role in human physiology. It works as  
192 storage site for vitamins, minerals, and glycogen; detoxifies alcohol and drugs; synthesizes plasma  
193 proteins such as albumin and clotting factors; and produces bile necessary for lipids' digestion,  
194 among others (75). The Liver's main functional cell type is the hepatocytes, which represent 70-  
195 80% of the organ's mass. Hepatocyte polarization and interaction with non-parenchymal cells is  
196 essential for their proper function. Accordingly, they interact directly with sinusoidal endothelial  
197 cells through their basolateral surface, which facilitates communication between the parenchyma  
198 and the bloodstream. At the apical surface, tight junction formation between hepatocytes is  
199 required for canaliculus formation and bile acid transport (76). The liver can be attacked by a  
200 diversity of disease or injuries which can result in acute organ failure or chronic damage. In this  
201 last process, the liver gradually loses its natural organization due to inflammation and fibrosis  
202 which ultimately lead to cirrhosis (65). Liver disease constitutes a leading cause of death  
203 worldwide, and liver transplantation remains the only available therapy for end-stage liver failure  
204 (77). Hence, understanding the disease pathogenesis is not only crucial for developing new  
205 therapies, but also for improving diagnosis and prognosis.

206 Hepatocytes rapidly lose their metabolic activity in vitro (76) while they can only be  
207 obtained through invasive methods which are risky and often not well tolerated by patients. This  
208 aspect and their phenotypic instability drastically limit the use of primary hepatocytes to model

209 liver disease in a patient-specific way. Consequently, hiPSCs-derived liver cells represent an  
210 opportunity to overcome these limitations. Although producing hiPSCs-derived liver cells that can  
211 recapitulate the physiological responses observed in vivo has proven to be challenging (12, 70, 78,  
212 79), hiPSCs-derived liver cells have already been used to model diverse aspects of the liver  
213 pathophysiology and this review will discuss some of these applications.

#### 214 **Current Approaches for Generation of Hepatocyte Cells from hiPSCs**

215 To be useful for disease modeling, hiPSCs-derived hepatocytes or Hepatocyte Like Cells (HLCs)  
216 need to recapitulate the functional activities displayed by their native counterparts including the  
217 expression of hepatic markers, the acquisition of specialized structures, and specific enzymatic  
218 activity. Several groups have established protocols for directing the differentiation of hiPSCs  
219 toward HLCs and the most successful follow fundamental stages of embryonic development, such  
220 as the formation of definitive endoderm, foregut, hepatic endoderm, bipotential hepatoblasts, and  
221 hepatocyte-like cells (76, 80). Accordingly, our lab established a protocol for the direct generation  
222 of HLCs which mimics liver development in vitro. This approach involves the use of a chemically  
223 defined medium, Activin A, fibroblast growth factor 2 (FGF2), transient stimulation of the Wnt  
224 pathway, bone morphogenetic protein 4 (BMP4), and phosphoinositide 3-kinase (PI3K) inhibitor  
225 to derive definitive endoderm cells. Subsequently, the newly formed endoderm cells are grown in  
226 the presence of Activin A and B27 for induction of hepatic progenitors. Finally, maturation of the  
227 hepatic progenitors into HLCs is stimulated with hepatocyte growth factor (HGF) and Oncostatin-  
228 M (81) (Figure 2). After 25 days of differentiation, the resulting HLCs share several characteristics  
229 with primary hepatocytes: they display occasional binucleated cells, glycogen storage, apical  
230 micro-protrusions, and a prominent Golgi body (78, 81, 82). Furthermore, these cells express  
231 specific hepatocyte markers such as albumin, CK18, cytochrome P450 enzymes,

232 Asialoglycoprotein receptor 1 (ASGPR1), C/EBPa, and PROX1 (80). Although these observations  
233 reveal that HLCs recapitulate key features of their in vivo counterparts, the current consensus is  
234 that these cells are closer to fetal rather than adult hepatocytes ([Table 1](#)) (80). In this regard, Rashid  
235 et al. proposed that HLCs are likely to be located, from a developmental point of view, somewhere  
236 between the end of the first trimester of fetal embryonic development and adult hepatocytes (78).  
237 Consequently, HLCs exhibit the expression of the fetal markers alpha-fetoprotein (AFP) and  
238 CYP3A7 while activity of adult CYP such as CYP3A4 lag behind by several orders of magnitude  
239 in comparison to mature primary hepatocytes (52, 80, 82). Interestingly, despite this lack of  
240 complete maturation, HLCs are still able to execute hepatocyte-specific functions including:  
241 glycogen storage, bile transport, low-density lipoprotein (LDL) uptake, urea synthesis, secretion  
242 of albumin ([Figure 3](#)) and apolipoprotein B100 (ApoB100) to the extracellular medium, drug  
243 metabolism at low level, response to glucagon, and susceptibility to viral infection (76, 78, 82-  
244 84). Therefore, HLCs could be used for modeling disease in especially those concerning viral  
245 infections, lipids metabolism, and responses to hormones. However, it is important to acknowledge  
246 that in their current status, HLCs are not compatible for modeling drug toxicology or injury.

247 Hence, improvements to the current protocols of HLCs differentiation is a major focus and  
248 the current strategies include: co-culture with other hepatic cell types; media supplements to  
249 provide cell signaling and to compensate for metabolic changes occurring during differentiation;  
250 and specialization of the extracellular matrix. Regarding this last point, defined matrices  
251 containing either collagen, fibronectin, or vitronectin have already been used to generate HLCs  
252 effectively (80). Moreover, in recent publications, David Hay's group reported that hiPSCs  
253 growing on Laminins could be differentiated into HLCs with very high efficiency (~90% of the  
254 cells expressed HNF4 $\alpha$ ). Interestingly, these cells demonstrated higher P450 (CYP3A) activity

255 than commercial frozen human primary hepatocytes or HCLs derived on Matrigel (85, 86). While  
256 this observation is of great interest, the performance of the HLCs generated using this approach  
257 remain limited when compare to freshly isolated primary hepatocytes (85, 86).

258 Additional efforts for improving functional maturation of HLCs have focused on  
259 reproducing the liver microenvironment more accurately [\(Figure 4\)](#). In one approach, researchers  
260 successfully established a three-dimensional (3D) protein-based scaffold that allows the derivation  
261 of HLCs in the presence of endothelial cells and human mesenchymal stem cells (hMSCs).  
262 Although it was reported that this method generates hepatoblast like cells and specific spatial  
263 organization, single-cell transcriptomic analyses revealed that these cells still retained their fetal  
264 status (70, 71, 79). Using a different approach, Gieseck et al. reported a method to culture HLCs  
265 using a 3D collagen-based scaffold that increased the maturation of the HLCs significantly.  
266 Accordingly, these HCLs showed improved functionality when compared to HLCs grown in 2D.  
267 Specifically, 3D-HLCs displayed higher rates of drug metabolism associated with increased cell  
268 polarization and bile canaliculi formation. Interestingly, these cells remained functional in vitro  
269 for over 75 days, which may be related to improved disposal of toxins by the polarized cells (87).

270 Although both of these systems represent steps forward for the development of 3D models  
271 of liver development and disease, further work is required to generate fully matured hepatocytes.  
272 It remains to be verified whether the inclusion of other non-parenchymal cells within the organoids  
273 could improve the levels of maturation, functionality, and organization of the HLCs within the  
274 organoids.

275 Cholangiocytes could represent the missing cell type since these biliary cells have tight  
276 functional interactions with hepatocytes. Indeed, these cells regulate bile homeostasis (12, 88),

277 modulate inflammatory responses and could have an essential function in liver regeneration (89,  
278 90). Furthermore, cholangiocytes originate from the same bipotent embryonic progenitor that give  
279 rises to hepatocytes (89), which suggests a tight coordination during the formation of these two  
280 cell types in the embryonic liver. Interestingly, spatial organization of the hepatoblast during  
281 development is critical. Indeed, when the hepatoblasts localize near and around the portal vein,  
282 they create a monolayer of immature cholangiocyte progenitor called the ductal plate (91). After a  
283 series of morphogenetic rearrangements, these cells differentiate into tubular structures which  
284 ultimately form the bile ducts (92). The main functions of cholangiocytes are associated with  
285 absorption and secretion processes (91, 93). Accordingly, the polarization of these cells during  
286 differentiation is critical for reaching their maximum functionality (91). This polarization is  
287 difficult to reproduce accurately by cells organized in monolayer. Consequently, protocols that  
288 allow differentiation of hepatoblasts in 3D systems are the most effective methods to generate  
289 mature cholangiocyte-like cells (CLCs) (88, 91). Interestingly, Sampaziotis et al. recently reported  
290 a highly efficient method to generate hiPSCs-derived CLCs by using a protocol that mimics biliary  
291 development, including a final step of CLCs differentiation and functional maturation in 3D  
292 culture conditions (91). Importantly, these CLCs display functions specific to native  
293 cholangiocytes including the capacity to form branching tubular structures, the presence of primary  
294 cilia and expression of biliary markers such as CK7 (KRT7), CK19, HNF1B, GGT1, JAG1,  
295 NOTCH2, CFTR, SCR, SSTR2, AQP1, and AE2 at levels comparable to the ones found in primary  
296 cholangiocytes (88, 91). Furthermore, CLCs have the capacity to respond to acetylcholine and  
297 ATP stimuli, GGT and ALP activities, and the ability to transport bile acids. Finally, patient-  
298 specific CLCs can be used to model some aspects of polycystic and cystic fibrosis liver disease  
299 which are known to affect the biliary epithelium. Altogether, these observations show that CLCs

300 derived from hiPSCs could be used as a surrogate for primary cholangiocytes. However, as with  
301 HCLs, hiPSCs-derived CLCs have not reached a fully adult phenotype, and they still express the  
302 fetal biliary marker SOX9 (91). These results rise the question to whether CLCs also need to be  
303 surrounded by other liver cells in order to become fully mature in vitro. Hence, current efforts aim  
304 to reconstruct the cellular complexity of the liver using organoids technology. The resulting  
305 structure should include not only CLCs and HLCs but also Kupffer cells, stellate cells and  
306 endothelial cells to fully mimic the liver microenvironment.

307         Considered together, these studies demonstrate that the derivation of new and better 3D  
308 co-culture systems is feasible and could help to understand the physiopathology of a complex  
309 organ like the liver. Furthermore, to obtain in vitro-generated liver cells (hepatocytes and  
310 cholangiocytes) with maturation levels beyond the fetal stage, it will be important that new  
311 protocols of differentiation incorporate additional elements that would normally be faced by native  
312 hepatocytes during the postnatal period. These will include signals associated with the shift from  
313 placental to enteral nutrition, and gut microbiota content. This last aspect is of great importance  
314 since bacterially-derived secondary bile salts are involved in regulating the expression of  
315 cytochrome P450 isoforms, such as CYP2C9 and CYP3A4 (80).

### 316 **hiPSCs-derived Hepatocytes for Modeling Infectious Disease of the Liver**

317         A wide diversity of pathogens are known to attack the liver target especially hepatocytes.  
318 Among these pathogens, Hepatitis B virus (HBV), hepatitis C virus (HCV) and malaria are the  
319 most prevalent liver infectious agents, which combined account for more than 520 million cases  
320 of chronic liver disease worldwide (83). Primary hepatocytes represent the gold standard for  
321 studying the physiopathology of liver infection since transformed cell lines such as HEPG2 do not

322 support their life cycle. Thus, HLCs could provide a complementary platform to study mechanisms  
323 of infection, life cycle of the virus/parasite, as well as to find novel effective drugs. In this regard,  
324 HLCs have proven to be a successful in vitro system for modeling hepatitis virus infection and  
325 virus-host interactions. Indeed, it has been shown that hiPSCs acquire the expression of genes  
326 involved in hepatitis infection only after passing the definitive endoderm stage, as they  
327 differentiated towards HLCs. Notably, these HLCs are not only able to produce the viral RNAs  
328 and proteins following infection, but they can support the entire life cycle of the virus (83, 94).  
329 Furthermore, it seems that HLCs are capable of producing an appropriate antiviral response  
330 including interferon (IFN) production in response to inoculation with HCV (83, 94). Interestingly,  
331 HLCs can survive in vitro for up to a week after inoculation with HCV (83). This property offers  
332 an opportunity not only to examine the effects of relatively long-term infections on hepatocyte  
333 function but also to study in more detail the mechanisms that control permissiveness to viral  
334 infection, such as the up-regulation of micro-RNA-122 and suppression of antiviral gene IFN-  
335 induced transmembrane protein 1 (84).

336 Altogether these observations demonstrate that HLCs provide a promising platform to  
337 analyze hepatocyte responses to virus infection. However, some shortcomings must be addressed  
338 before major conclusions can be made. For example, Sakurai et al. reported that virus titers  
339 detected in culture supernatants of HBV-infected HLCs were much lower than those of primary  
340 human hepatocytes (PHHs). They attributed this result to the lack of functional maturation of the  
341 HLCs derived with current protocols of differentiation (83). Furthermore, it will also be essential  
342 to increase the diversity of hiPSCs lines used in these analyses, in order to assess the impact of the  
343 genetic background of host cells on the cellular response and efficiency of infection.

#### 344 **Inherited Metabolic Disorders**

345 Almost 70 inherited metabolic disorders (IMDs) affecting the liver have been described. Although the  
346 incidence of IMDs is relatively rare, together they affect 1 in 1000 individual and remain a major cause  
347 of liver transplantation, particularly in children (10, 75, 77). One of the most common IMDs is  $\alpha$ 1-  
348 antitrypsin deficiency (A1ATD), an autosomal recessive disorder that affects 1 in 2000 individuals  
349 of North European descent. It results from a single point mutation in the A1AT gene (the Z allele;  
350 Glu342Lys) that causes protein aggregation specifically within hepatocytes. The accumulation of  
351 protein polymers induces hepatocytes cell death which ultimately causes cirrhosis (95). While  
352 researchers have been trying to model this disease for a long time, efforts involving the use of  
353 hiPSCs are relatively recent. Accordingly, a seminal study published in 2010 by Rashid et al.,  
354 reported the generation of hiPSCs from patients with this pathology. Interestingly, they showed  
355 that these hiPSC lines were able to differentiate into HLCs that displayed key features of the  
356 cellular pathology, including accumulation of mutant  $\alpha$ 1-antitrypsin polymers in their  
357 endoplasmic reticulum (78). Importantly, in a more recent study, Tafaleng et al. used detailed  
358 microscopic and ultramicroscopic analysis to demonstrate that this system model recapitulates not  
359 only some of the biochemical features of the disease but also the morphological manifestations  
360 observed in patients. These included a delayed degradation and an abnormal accumulation of  
361 partially glycosylated A1AT protein in pre-Golgi compartments, the presence of dilated rough  
362 endoplasmic reticulum and globular inclusions partially covered with ribosomes. Interestingly,  
363 these abnormalities were not observed in HLCs derived from either wild-type donors or patients  
364 that only presented with the lung disease (13). These findings reaffirmed the notion that HLCs  
365 could be used to predict susceptibility and progression of the disease.

366 Glycogen storage disease type 1 $\alpha$  (GSD1a) and familial hypercholesterolemia (FH)  
367 represent two other IMDs which have been modelled using hiPSCs (77, 96). GSD1a regroups

368 autosomal recessive metabolic disorders caused by a deficiency of glucose-6- phosphatase activity,  
369 which catalyzes the hydrolysis of glucose-6-phosphate to glucose and phosphate, the final products  
370 in gluconeogenesis and glycogenolysis. This pathology affects 1 out 100 000 individuals and is  
371 associated with inability to maintain glucose homeostasis resulting in growth retardation,  
372 hepatomegaly, lactic acidemia, and hyperlipidemia (97). Interestingly, GSD1a-hiPSCs derived  
373 hepatocytes displayed higher levels of intracellular glycogen and lipids, which was concomitant  
374 with elevated production of lactic acid, compared with hepatocytes generated from hiPSCs derived  
375 from healthy individuals. Of note, these cells were also able to respond transcriptionally to  
376 glucagon stimulation, demonstrating that the hepatocytes generated from the GSD1a-derived  
377 hiPSC lines display some functionality related to lipids/glycogen metabolism and can response to  
378 a key hormone of intermediary metabolism (78).

379       Familial hypercholesterolemia (FH) is an autosomal dominant dyslipidemia caused by  
380 mutations in the LDL receptor gene (LDLR) that result in elevated levels of LDL-C in the plasma  
381 and premature cardiovascular disease. As hepatocytes are the principal cells that control  
382 cholesterol flux in the body, FH patients can be successfully treated with liver transplantation (11).  
383 Accordingly, some of the pathological manifestations of FH can be readily recapitulated in vitro  
384 by using patient-specific hiPSC-derived HLCs. Indeed, hiPSCs obtained from patients with FH  
385 could be differentiated into HLCs that are incapable of incorporating LDL, even though they  
386 displayed levels of differentiation and functionality comparable to wild type HLCs (78).  
387 Furthermore, in a more recent report, Cayo et al. showed that hiPSCs derived from a patient with  
388 cardiovascular disease were also able to produce HLCs. However, in addition to their incapacity  
389 to uptake LDL, these HLCs were unable to respond to statin treatment, and displayed an  
390 approximately eight-fold increase in the level of secreted apoB-100, compared with HLCs derived

391 from genetically independent control pluripotent stem cell lines (11). Together these observations  
392 demonstrated that FH-derived hiPSCs could be used effectively to model diseases affecting lipid  
393 uptake and storage in the liver.

394 The next step for the field is to demonstrate that complex liver diseases which are not  
395 defined by single genes with Mendelian penetrance can be modeled in vitro using hiPSCs. Of  
396 particular interest, hiPSCs derived HLCs could be used to better understand the molecular  
397 mechanisms by which genetic variation influences quantitative phenotypic traits related to liver  
398 function in humans. Accordingly, a recent report showed that population-based cohorts of hiPSC-  
399 derived HLCs could be used to perform genome-wide mapping and validation of functional  
400 variants and/or genes involved in metabolic functions related to the liver (8). These results confirm  
401 that hiPSCs could indeed be useful to model complex liver diseases. Nonetheless, further studies  
402 will be necessary to demonstrate that the results obtained are relevant in vivo and, in this context,  
403 animal models remain necessary to provide complementary validations.

#### 404 **Hepatic Organoids for Modeling Developmental Liver Disease**

405 Modeling diseases affecting liver development needs to consider that hepatocytes are not  
406 generated in isolation during embryonic life. In this regard, the generation of complex hepatic  
407 organoids from hiPSCs could represent a valuable tool to study developmental disorders affecting  
408 the liver. Accordingly, a recent report showed the generation of complex HOs generated through  
409 a process meant to recapitulate the natural path of liver development, including endoderm, foregut,  
410 and hepatoblast differentiation. Importantly, the mRNA and protein expression patterns observed  
411 during HOs formation are highly consistent with those patterns observed during liver development  
412 in vivo. As an example, on day 3, the majority of the endoderm cells expressed SOX17 and

413 CXCR4. By day 6, the posterior foregut-like structures express FOXA3, and by day 9, the  
414 developing HOs expressed multiple markers of the hepatoblast stage such as TBX3 and AFP.  
415 Finally, HOs express the hepatocyte marker CK18, and the formation of luminal structures that  
416 resemble bile ducts, which were surrounded by cells expressing the cholangiocyte marker CK7.  
417 Overall, these data suggest that HOs are capable of recapitulating liver development.  
418 Consequently, HOs displayed many features that are typically observed in the liver *in vivo*,  
419 including the expression of the tight junction marker ZO-1, which is important for the formation  
420 of bile canaliculi; and primary cilia, commonly observed in primary cholangiocytes *in vivo*.  
421 Moreover, the HOs display biosynthetic and drug biotransformation properties characteristic of  
422 the human liver, with some level of CYP3A4-dependent activity. Additionally, HOs contained  
423 cells that accumulated glycogen and also were capable of secreting albumin and several types of  
424 bile acids into the supernatant. Importantly, HOs displayed some capacity of self-renewal and thus  
425 could be expanded *in vitro* (12). Considering all these properties, it was proposed that HOs could  
426 be used to study the impact of genetic mutations on human liver development. As a proof of  
427 principle, HOs were used to characterize the effect of different mutations in the JAG1 gene on  
428 biliary tract development. Mutations in the JAG1 gene cause the majority (~97%) of the cases of  
429 Alagille Syndrome (ALGS), an autosomal dominant genetic disorder where the NOTCH signaling  
430 pathway is severely impeded, causing defects in the intrahepatic biliary tree (12, 98-103).  
431 Consequently, hiPSCs derived from ALGS patients (ALGS-hiPSCs) formed HOs that developed  
432 normally through the hepatoblast stage, including the formation of HLCs. However, they did not  
433 contain cholangiocytes or bile ductular structures, neither they were capable of regenerating  
434 secondary organoids. Furthermore, HOs showed signs of intrahepatic cholestasis, and fibrosis,  
435 which could be explained by the reduced levels of RNA expression for several NOTCH signaling

436 components (JAG1, NOTCH2, HEY1) and cholangiocyte markers (CK7, CFTR). These results  
437 showed that mutations in the JAG1 gene can influence not only the efficiency of HOs formation  
438 but their ability to form duct-like structures containing cholangiocytes, as well as their capability  
439 to transport bile and their capacity of regeneration (12).

440         Interestingly, not all mutations in the JAG1 genes were able to affect the formation of  
441 normal HOs. Indeed, iPSCs generated from individuals carrying the Gly274Asp JAG1 mutation,  
442 which is present in patients with cardiovascular defects but without any liver or bile duct  
443 abnormalities, were able to produce HOs with the same morphology and efficiency as control  
444 hiPSCs. Together these findings indicated that HOs can recapitulate with fidelity the phenotypes  
445 dictated by different types of JAG1 mutations in vivo (12). It is worth mentioning that, so far, there  
446 is no strong correlation between the type and location of the JAG1 mutation and the severity of  
447 the disease. Accordingly, it has been suggested that additional genomic modifiers may be  
448 responsible for the highly variable clinical manifestations that characterizes ALGS (98-103).

449         The preeminent thesis posits that ALGS is caused by haploinsufficiency of JAG1 on the  
450 basis that individuals with whole gene deletions can have identical phenotypes to those with  
451 intragenic mutations (99-104). However, it has also been reported that at least in vitro, mutant  
452 JAG1 proteins could act through a dominant negative mechanism to inhibit Notch signaling (98-  
453 103). Hence, it remains to be answered whether ALSG is consequence of haploinsufficiency or a  
454 dominant-negative effect of the mutated JAG1 gene. In this regard, Guan et al. also showed that  
455 unlike hiPSCs with a heterozygous ALGS mutation (ALGS-hiPSCs), hiPSC lines engineered to  
456 carry a heterozygous JAG1 knockout were able to efficiently form intact liver organoids that  
457 presented all the features displayed by control HOs. Interestingly, when ALGS-hiPSCs were  
458 converted to haploinsufficient knockouts, these new hiPSC lines were able to form functional HOs.

459 These data strongly suggest that ALGS liver abnormalities are caused predominantly by a  
460 dominant-negative effect of the JAG1 gene mutation (12).

461 Overall, liver organoids seem to reproduce critical events of liver development and thus  
462 could be useful to uncover new insights into human developmental disorders such ALGS. This  
463 system could overcome some of the limitations of previous in vitro methods. Increasing the  
464 complexity of the organoids by incorporating other non-parenchymal cells could also further  
465 increase the spectrum of liver disease that can be modeled in vitro.

#### 466 **Nonalcoholic Fatty Liver Disease (NAFLD) and Nonalcoholic Steatohepatitis (NASH)**

467 The first manifestation of nonalcoholic fatty liver disease (NAFLD) is the accumulation of lipids  
468 in hepatocytes (105, 106). The persistence of this condition triggers a plethora of alterations  
469 including mitochondrial dysfunction, endoplasmic reticulum stress, hepatic insulin resistance, and  
470 inflammatory response, which evolves toward nonalcoholic steatohepatitis (NASH) (106, 107).  
471 29% of patients with NASH will progress to cirrhosis and a third of these will develop cancer  
472 (108). Consistent with this statistic, NAFLD/ NASH is the second most common cause of liver  
473 transplantation (107). However, predicting the evolution of the disease is currently impossible  
474 while diagnosis involves invasive methods such as liver biopsy which are not well tolerated by  
475 patients (106, 107). Modeling NAFLD/NASH y using HLCs could facilitate the identification and  
476 functional validations of biomarkers for prognosis, stratification and drug development.  
477 Accordingly, a first attempt to model NAFLD in vitro has been recently reported by Graffmann et  
478 al., who showed that HLCs could be used to model intracellular lipid accumulation (108).  
479 Furthermore, this study shows that the resulting HLCs display the biochemical alterations  
480 associated with steatosis including an upregulation of the lipid droplet coating protein Perilipin 2,

481 as well as of numerous genes of the peroxisome proliferator-activated receptor pathway (108).  
482 Thus, this HCL model can recapitulate some of the metabolic features of NAFLD. Although these  
483 results represent a step forward in modelling NAFLD/NASH, further development is required to  
484 recapitulate in full the human pathophysiology. Indeed, disease progression is linked with  
485 lipotoxicity and not only lipid accumulation. Furthermore, it involves a complex inflammatory  
486 response which can only be produced by immune cells such as macrophages. Hence, developing  
487 co-culture systems where hepatocytes interact with other non-parenchymal cells will help further  
488 improve the utility of HLCs in recapitulating the features of NAFLD/NASH.

## 489 **CONCLUSIONS**

490 Animal models have provided a vast amount of knowledge concerning diseases and have  
491 helped to develop most of the drugs currently available. However, they clearly have limitations for  
492 modelling human complex disorders especially when genetic diversity is involved. Consequently,  
493 hiPSCs-derived from patients could deliver a novel tool to model human diseases, and their genetic  
494 mechanisms. Accordingly, hiPSCs are already used to generate cells of virtually every organ of  
495 the body and the resulting cells have been used to model a diversity of diseases. However, only a  
496 handful of studies have used hiPSCs-derived disease models to actually uncover new mechanisms  
497 of disease or to identify new drugs. The technical requirement for such work, and the lack of  
498 standardization in cell culture systems, explain in part this relatively slow progress. Furthermore,  
499 data generated from an in vitro model needs to be validated, ideally using clinical information from  
500 individual patients. Those comparative studies are time and resource consuming. Finally, a lack of  
501 functional maturation may also limit the direct relevance of cells generated from hiPSCs. However,  
502 protocols are progressing rapidly and new approaches are constantly being developed to improve

503 hepatocyte functional maturation levels, differentiation consistency, reproducibility, and scale-up  
504 conditions. In parallel, increasingly complex models for human tissues and organs are being  
505 engineered. The hope is that tissue organoids containing more than one cell type, embedded into  
506 3D conditions mimicking organ architecture will improve the function of hiPSCs-derived cells.  
507 The careful selection of control cell lines and development of hiPSC line cohorts derived from  
508 multiple genetic backgrounds will also increase reproducibility and interpretation of the data  
509 obtained from hiPSCs. Ultimately, these improvements will not only transform our capacity to  
510 study the impact of genetics on disease onset but will also allow the development of truly  
511 personalized medicine.

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878

879 Figure Legends

880 Figure 1. Schematic Representation of the Potential Use of PSCs in Biomedicine. PSCs can be  
881 generated either by isolating them from the inner cell mass (ICM) of human embryos or by  
882 reprogramming somatic cells through overexpression of a small set of transcription factors. Using  
883 cocktails of cytokines and growth factors that mimic the natural paths of development, the  
884 derivatives of the three primary germ layers—ectoderm, mesoderm and endoderm— are generated  
885 and subsequently differentiated into a diverse number of somatic cells. By choosing the right  
886 controls, PSCs can be utilized to study phenotypes associated with disease and to perform drug  
887 screening assays.

888 Figure 2. (A) Timeline of HLCs Differentiation and its Relationship with Early Human Embryonic  
889 Developments. PSCs are equivalent to the pluripotent cells from the epiblast (Carnegie Stage 5,  
890 Day 7-12 post fertilization). Definitive Endoderm (DE) cells are very efficiently generated upon  
891 induction of PSCs differentiation using a define medium containing Activin A, BMP4, FGF2, and  
892 WNT3A. This process involves a series of morphogenetic changes that resembles DE formation  
893 during gastrulation. Specification of Foregut and Hepatoblast cells is favored by the stimulation  
894 DE cells with high levels of Activin A and B27. HLCs formation and maturation is stimulated by  
895 Oncostatin M and HGF. (B) Overview of the Gut Endoderm formed During Early Mouse  
896 Embryonic Development. CS: Carnegie Stage; A (Anterior), P (Posterior), V (Ventral), D (Dorsal),  
897 FG (Foregut), MG (Midgut), HG (Hindgut). Days on top panel represent age post fertilization.  
898 Days on bottom panel represent days of in vitro differentiation. All embryonic stages are based on  
899 data from the Carnegie Collection ([https://embryology.med.unsw.edu.au/embryology/index.php/  
900 Carnegie\\_Collection](https://embryology.med.unsw.edu.au/embryology/index.php/Carnegie_Collection)), which collects data from the Human Development Anatomy Center (USA)

901 and the Carnegie Institute (USA). Also, from *New Insights into Early Human Development: Lessons for Stem Cell Derivation and Differentiation* (114), and from *Developmental Anatomy of the Human Embryo – 3D-Imaging and Analytical Techniques* (115).

904 Figure 3. Characterization of hiPSC-derived HLCs. Immunofluorescence depicting the presence and localization of Albumin (green) and HNF4a (86) in 30-day differentiated hepatocyte-like cells from hiPSCs using the protocol described in Hannah et al 2012. Nuclei were counterstained with DAPI (blue).

908 Figure 4. Schematic representation of the adult liver (8) with the gallbladder, common bile duct and network of intra-hepatic bile ducts (green) [left panel]. Yellow boxes depict examples of diseases affecting either the liver or the bile duct network. The liver consists of several hepatic lobules, which are formed by sheets of hepatocytes (8) surrounded by a network of sinusoids (blue), lined by endothelial cells [middle and left panels]. Oxygenated and nutrient-rich blood flows through the sinusoids from the portal triad (composed by the portal vein, hepatic artery and biliary duct) towards the central vein at the centre of the lobule, allowing the exchange of metabolites between the blood and the hepatocytes. Hepatocytes produce bile which is secreted into bile canaliculi (green) and transported through the bile ducts (lined by cholangiocytes) into the gallbladder. In addition, hepatic stellate cells reside in the space of Disse, between the hepatocytes and the sinusoids; and Kupffer cells, liver's resident macrophages, reside in the liver sinusoids.

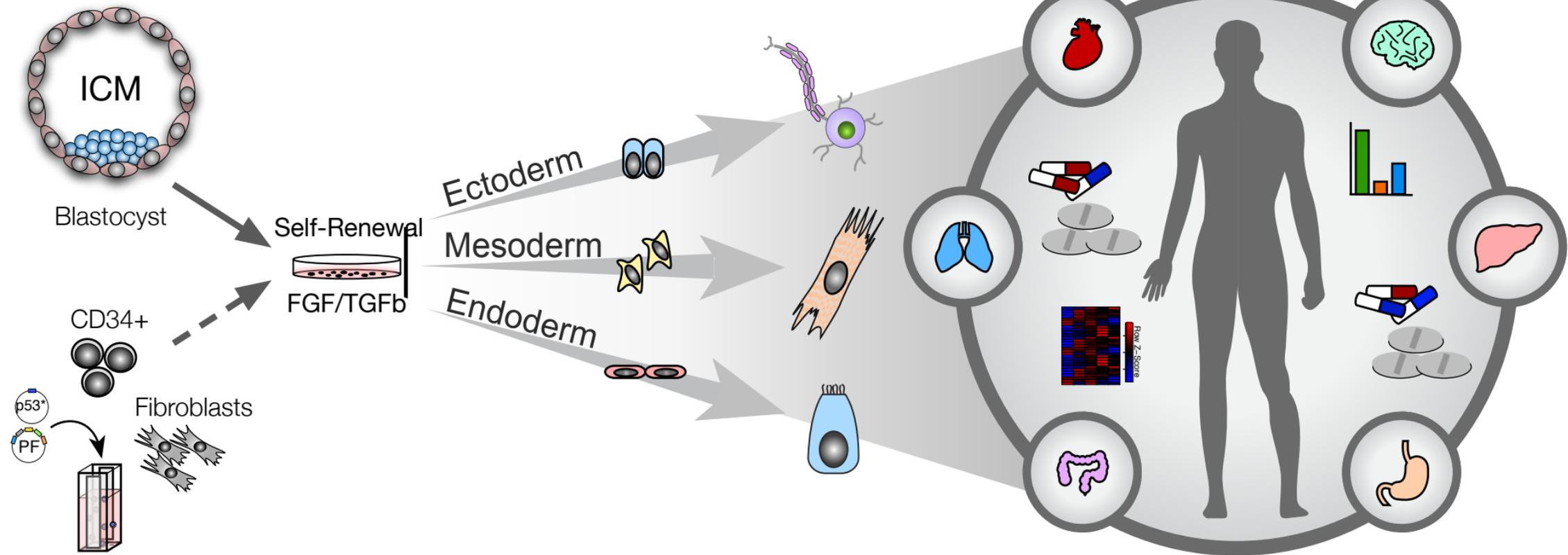
920 Table 1. Functional properties of hepatocyte-like cells (HLCs) derived from hiPSCs.

921

**Table 1: Functional properties of hepatocyte-like cells (HLCs) derived from hiPSCs**

<b>Functional Feature</b>	<b>Adult Hepatocytes</b>	<b>HLCs</b>	<b>Reference</b>
Binucleation	Yes	Yes	78, 81, 83, 84, 86, 89, 91, 100
Albumin expression/secretion	Yes	Yes	70, 78, 81, 83, 84, 86, 87, 88, 89, 90, 91, 100
a1AT expression/secretion	Yes	Yes	78, 81, 83, 86, 87, 88
AFP expression/secretion	No	Yes	70, 78, 81, 83, 84, 86, 87, 88
Urea production	Yes	Yes	83, 100
Glycogen storage	Yes	Yes	78, 83, 86, 87, 88, 91, 100
Indocyanine green uptake	Yes	Yes	81, 100
LDL uptake	Yes	Yes	78, 100
Bile canaliculi formation	Yes	Yes	90, 91
CYP3A4 expression/activity	High	Low	78, 81, 83, 84, 87, 88, 89, 91, 100
CYP3A7 expression/activity	Low	High	70, 81, 91, 100
EPCAM expression	No	Yes	89
CK18 expression	Yes	Yes	70, 78, 83, 89
HNF4a expression	Yes	Yes	70, 84, 85, 88, 89, 90, 91, 100
Susceptible to viral infection	Yes	Yes	85, 86

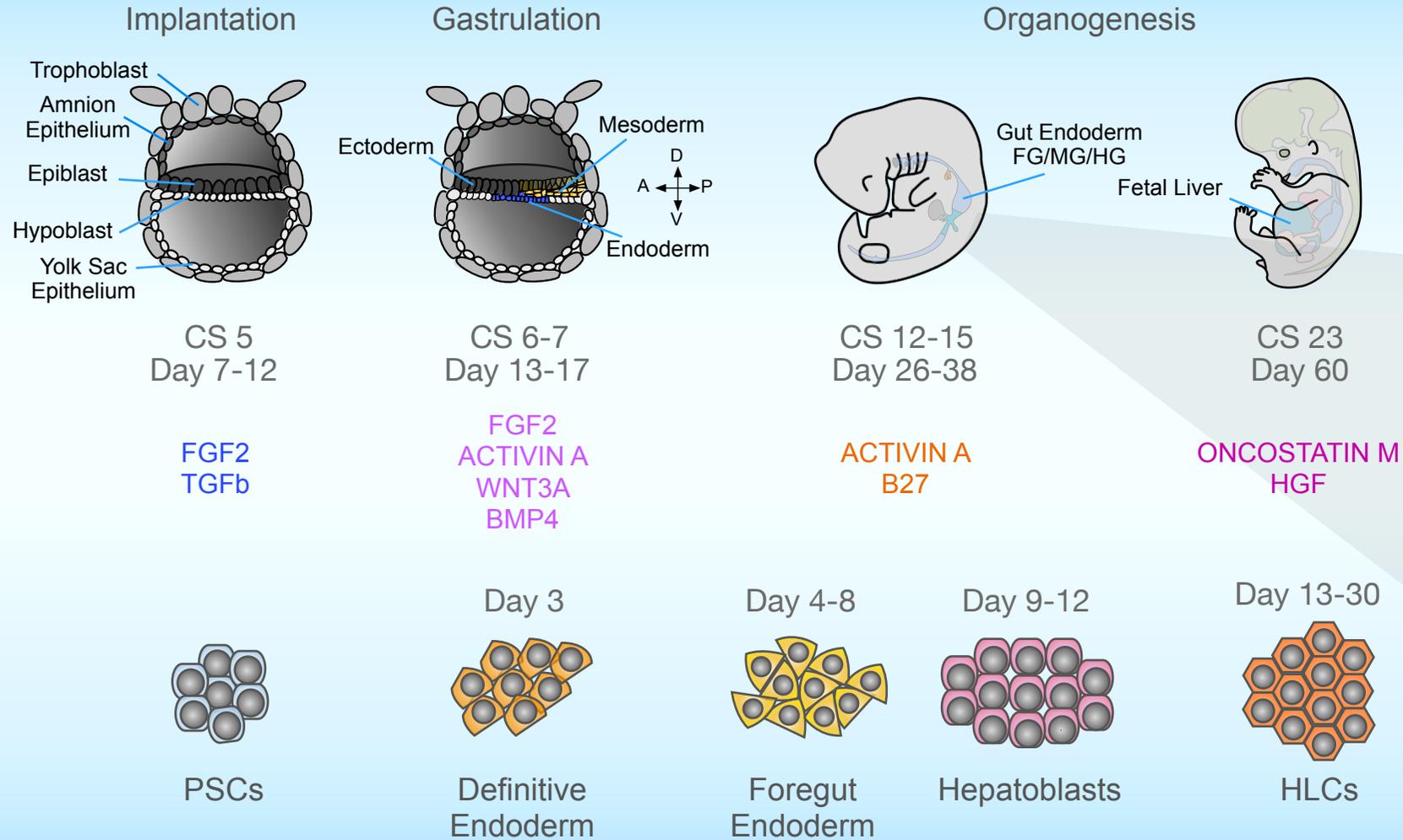
# Isolation



# Reprogramming

A

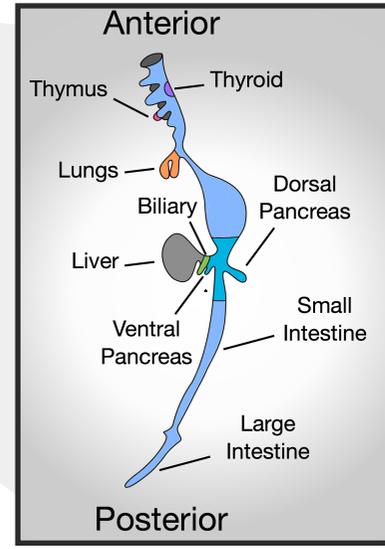
# Embryonic Development

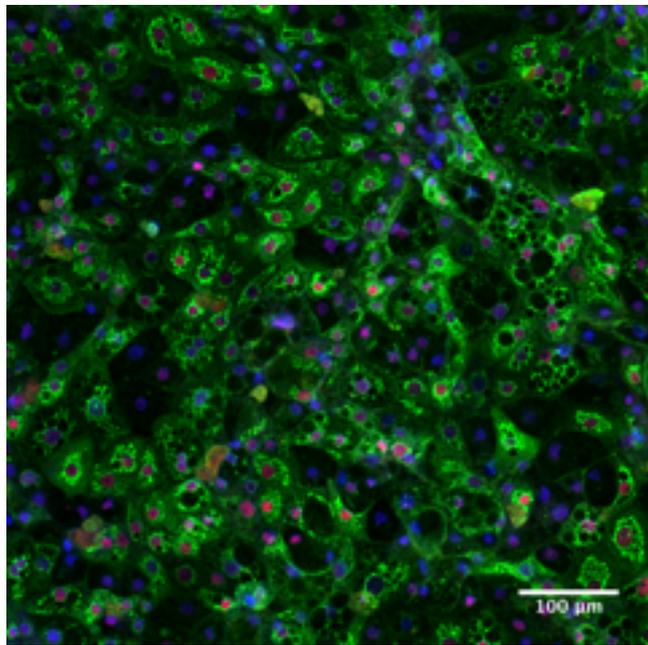


## In Vitro Differentiation

B

### Derivatives from Gut Endoderm





$\alpha$ 1-antitrypsin deficiency  
Familial Hypercholesterolemia  
Hepatitis  
NAFLD/NASH

Alagille Syndrome  
Polycystic Liver Disease  
Cystic Fibrosis

