Reactive vaccination as a control strategy for pneumococcal meningitis outbreaks in the African meningitis belt: analysis of outbreak data from Ghana

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## Abstract

*Streptococcus pneumoniae* is increasingly recognised as an important cause of bacterial meningitis in the African meningitis belt. The World Health Organization sets guidelines for response to outbreaks of meningococcal meningitis, but there are no current guidelines for outbreaks where *S. pneumoniae* is implicated. We aimed to evaluate the impact of using a similar response to target outbreaks of vaccinepreventable pneumococcal meningitis in the meningitis belt. Here, we adapt a previous model of reactive vaccination for meningococcal outbreaks to estimate the potential impact of reactive vaccination in a recent pneumococcal meningitis outbreak in the Brong-Ahafo region of central Ghana using weekly line list data on all suspected cases over a period of five months. We determine the sensitivity and specificity of various epidemic thresholds and model the cases and deaths averted by reactive vaccination. An epidemic threshold of 10 suspected cases per 100,000 population per week performed the best, predicting large outbreaks with 100% sensitivity and more than 85% specificity. In this outbreak, reactive vaccination would have prevented a lower number of cases per individual vaccinated (approximately 15,300 doses per case averted) than previously estimated for meningococcal outbreaks. Since the burden of death and disability from pneumococcal meningitis is higher than that from meningococcal meningitis, there may still be merit in considering reactive vaccination for outbreaks of pneumococcal meningitis. More outbreak data are needed to refine our model estimates. Whatever policy is followed, we emphasize the importance of timely laboratory confirmation of suspected cases to enable appropriate decisions about outbreak response.

47 Introduction

Following the rollout of the serogroup A conjugate vaccine, MenAfriVac, across the African meningitis belt since 2010, the incidence of meningococcal meningitis due to serogroup A has sharply declined.(1) With an accompanying increase in surveillance quality, it has become increasingly clear that meningitis due to *Streptococcus pneumoniae* (Spn) represents a substantial proportion of the burden of endemic meningitis in this region. In addition to this, localized outbreaks of pneumococcal disease, in excess of normal seasonal activity, have been reported.(2–7)

55 The introduction of a 13-valent pneumococcal conjugate vaccine (PCV13) into Ghana's routine immunization programme as a 3 + 0 schedule in 2013 is expected to have 56 57 decreased the burden of invasive pneumococcal disease in children aged under five years, 58 based on observations from other African countries.(8) It has been shown in high-income 59 countries that PCVs provide indirect protection against invasive pneumococcal disease to 60 older children and adults and that this is accelerated with the use of catch-up campaigns, however the only country to show indirect benefit without a catch up campaign in older 61 62 children used a 2 + 1 schedule.(9,10) The scale of indirect effects that might be achieved 63 following routine infant PCV immunisation in African countries is not yet clear. An outbreak 64 of predominantly serotype 1 pneumococcal meningitis in the Brong-Ahafo region of Ghana in late 2015 and early 2016 demonstrated the ongoing vulnerability of older age groups and 65 the continuing potential of Spn to cause meningitis outbreaks in spite of high PCV coverage 66 67 in infants (94%).(2,11)

68 Outbreaks of meningococcal meningitis in the African meningitis belt trigger a 69 reactive vaccination response, with the public health goal of curtailing the outbreak and 70 thus preventing cases and deaths. It has been suggested that outbreaks of pneumococcal 71 meningitis due to a vaccine-preventable serotype could also merit such a response.(7,12) To 72 quantify the potential benefits of reactive vaccination for pneumococcal meningitis 73 outbreaks we modelled a reactive vaccination response to the Brong-Ahafo pneumococcal 74 meningitis outbreak. Under the current WHO guidelines applied to outbreaks of 75 meningococcal meningitis, when districts exceed a threshold of 10 suspected cases per 76 100,000 population in a week, an epidemic response is triggered. (13) Countries may submit 77 a request to the International Coordinating Group on Vaccine Provision for Epidemic 78 Meningitis Control for supplies of meningococcal vaccines to deploy in affected districts. 79 However, this process takes some time as a request must be completed and reviewed and 80 vaccine stocks must be delivered, often to inaccessible areas. For this model, we considered 81 the potential impact of mass vaccination response in affected districts with PCV13, to see 82 whether similar guidelines may be appropriate for outbreaks of pneumococcal meningitis. 83 When discussing disease in the African meningitis belt, it is important to distinguish 84 between seasonal fluctuations in endemic disease, outbreaks - which may be defined as an 85 isolated district surpassing the epidemic weekly incidence threshold, and widespread 86 epidemics, which affect whole regions or countries in a season. For the purposes of this 87 study, we define an outbreak of pneumococcal meningitis using two criteria: i) weekly 88 incidence on the regional or district level of suspected meningitis over a single dry season 89 exceeding some epidemic threshold that reflects the upper bound of dry season endemic 90 incidence, ii) where pneumococcus is the predominant cause. We retain the term "epidemic 91 threshold" for consistency with meningococcal vaccination policy, but do not mean to imply 92 that these events constitute widespread epidemics.

93

94 Methods

95 Line list data on all suspected cases of meningitis reported in the Brong-Ahafo 96 Region between 2 December 2015 (week 49, 2015) and 11 April 2016 (week 15, 2016) were 97 obtained from the Ghana Health Service. Brong-Ahafo is a predominantly rural region 98 located in central Ghana, an area previously considered to be just outside the main 99 meningitis belt. A suspected case of meningitis was defined as any person with sudden 100 onset of fever and one of the following signs: neck stiffness, flaccid neck (in infants), bulging 101 fontanelle (infants) convulsion, or other meningeal signs. (14) We determined the sensitivity 102 and specificity of a variety of incidence thresholds (10, 7, 5, and 3 suspected cases per 103 100,000 per week) for predicting a range of sizes of outbreaks (20, 40, 60, 80, and 100 104 cumulative cases per 100,000). We then modelled reactive vaccination of 5- to 29-year-olds 105 building on methods developed in an earlier paper, using an epidemic threshold of 10 106 suspected cases per 100,000 per week to define the beginning of the outbreak and an 107 endemic threshold of 2 suspected cases per 100,000 per week to define the end of the 108 outbreak.(15) We also performed a sensitivity analysis using a lower epidemic threshold of 3 109 suspected cases per 100,000 per week, which corresponds to the alert threshold for 110 meningococcal meningitis. We chose to target 5- to 29-year-olds because this would 111 effectively extend coverage to all individuals under 30 years of age (we assumed children 112 under the age of 5 years were protected by the routine infant PCV13 vaccine schedule) and 113 because the highest incidence of confirmed pneumococcal meningitis was observed in the 114 10 to 14- and 15to 29-year age groups.

As a variety of laboratory tests were used for case confirmation, aetiology was classified according to Table 1. In a large proportion of cases (60%), aetiology could not be definitively determined. For this reason, we modelled true cases of Spn meningitis weekly for each district as

$C_{\text{Spn}} = C_{\text{s,i}} (1 - p_{\text{n}}) p_{\text{Spn}}$	119	$C_{Spn} = C_{s,i} (1 - p_n) p_{Spn}$
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120	where $C_{s,i}$ is the number of suspected cases reported in week i, $p_n$ is the proportion of CSF
121	samples in the district testing negative (in Table 1, both probable and confirmed negative
122	cases), and $p_{Spn}$ is the proportion of all confirmed cases in the district due to Spn. This
123	modelled case count is hereafter referred to as likely Spn cases. Because there was some
124	uncertainty regarding false negative tests, we performed a sensitivity analysis where $p_n$ is
125	only the proportion of CSF samples in the district testing negative by two or more tests (in
126	Table 1, confirmed negative cases).
127	We then simulated vaccination with PCV13 using the same methods described in
128	Trotter et al 2015, making the following assumptions:
129	• 5- to 29-year-olds represent 52% of the population (16)
130	• Case fatality ratio for pneumococcal meningitis cases is 23%, as reported for
131	confirmed pneumococcal meningitis cases in this data set
132	• 79% of cases of pneumococcal meningitis were caused by PCV13 vaccine-type
133	serotypes (2)
134	• A single dose of PCV13 would protect at 90% of individuals 5 to 29 years of age
135	against PCV13 vaccine-type serotypes, giving two weeks for the protection to take
136	effect (17)
137	• 5% vaccine wastage
138	One district, Sene West, was excluded from the analysis despite having crossed the
139	epidemic threshold because the majority of confirmed cases were due to Nm.
140	We determined the cases prevented, deaths prevented, number needed to
141	vaccinate to prevent a case (NNV) and number needed to vaccinate to prevent a death

(NNVD) for three scenarios: where vaccination occurs immediately, two, and four weeks
after crossing the epidemic threshold (lag of zero, two or four weeks, respectively).

144

145 Results

146 Twenty of the 27 districts of the Brong-Ahafo Region were represented in the line 147 list. The districts had a mean population size of 105,000. Over the 19-week study period, 148 nine of these had cumulative suspected meningitis incidence greater than 20 suspected 149 cases per 100,000; five had cumulative incidence greater than 40 per 100,000; four had 150 cumulative incidence greater than 80 per 100,000, and three had cumulative incidence 151 greater than 100 per 100,000. For predicting larger outbreaks of 60 suspected cases per 152 100,000 and greater, all thresholds had a sensitivity and negative predictive value of 100%, 153 but a threshold of 10 per 100,000 per week had the best positive predictive values and 154 specificity (Figure 1).

Five districts (Jaman North, Nkoranza South, Tain, Techiman North, and Wenchi) crossed the epidemic threshold of 10 cases per 100,000 per week, three of which exceeded a cumulative incidence of 100 cases per 100,000 (Figure 2).

Sixty six percent of suspected bacterial meningitis cases in the five outbreak districts and 73% of confirmed pneumococcal meningitis cases occurred in 5- to 29-year-olds. Figure Shows the age distribution of suspected and confirmed incidence of meningitis in the five districts triggering the epidemic threshold.

Vaccinating individuals between 5 and 29 years of age in the five eligible districts would have required approximately 284,000 doses of vaccine (Table 3). If a vaccination campaign had been implemented within two weeks of triggering the epidemic threshold of 10 suspected cases per 100,000 per week, an estimated number of 36 cases would have 166 been prevented during the outbreak period, placing the number needed to vaccinate to

167 prevent a case at 15,300. With a delay of four weeks, 20 cases might have been prevented,

168 whereas immediate vaccination might have averted 61 cases.

Using a lower threshold of 3 cases per 100,000 per week prevents only a few more
cases, but raises the number needed the vaccinate to prevent a case significantly because
districts with much smaller outbreaks also trigger a response.

172 Using a stricter definition for bacteria negative CSFs results in a much higher

173 estimate of the number of likely Spn meningitis cases (335 cases as opposed to 176 in the

174 five outbreak districts) and a lower number needed to vaccinate to prevent a case (Table

175 S1). With a delay of two weeks, 63 cases might have been prevented, placing the number

176 needed to vaccinate at 8,800, whereas immediate vaccination might have averted 113

177 cases, placing the number needed to vaccinate at 4,800.

178

179 Discussion

180 An incidence threshold of 10 cases per 100,000 seems the most appropriate 181 epidemic threshold for pneumococcal meningitis outbreaks given the limited data available. 182 This threshold would also have been triggered in four of five previous likely outbreaks of 183 pneumococcal meningitis identified in the WHO enhanced meningitis surveillance system 184 (Solenzo, Burkina Faso, 2009; Goundi, Chad, 2009; Karangasso Vigue, Burkina Faso, 2011; 185 Pama, Burkina Faso, 2011).(1) No attempt was made to evaluate different microbiological 186 criteria for defining a pneumococcal outbreak. In this case, a simple majority of confirmed 187 cases due to Spn was required.

188 Excluding the sensitivity analysis, the number needed to vaccinate to prevent a case189 (NNV) is higher than the range of previous estimates for reactive meningococcal campaigns

(3,700 to 11,600 for 2 to 4 week lag),(15) suggesting that reactive vaccination for
pneumococcal meningitis outbreaks may be less efficient in preventing cases. Whilst the
number needed to vaccinate to prevent a death (NNVD) has not been estimated for reactive
meningococcal campaigns, the NNVD is expected to be lower for reactive vaccination in
pneumococcal outbreaks given the higher case-fatality rates typically associated with
pneumococcal meningitis.(18)

196 It is not certain how quickly immune response would build up after PCV13 in the 197 targeted age groups, however, a clinical trial of naïve 10- to 18-year-olds showed high 198 (>90%) responsiveness one month post-vaccination.(17) A conjugate vaccine like PCV13 199 would also have additional indirect benefits, decreasing carriage and transmission of 200 vaccine-type serotypes where it is used, although realizing the full indirect benefits would 201 take several months.

202 Serotype 1 was particularly dominant in this outbreak. Seven other studies in the 203 meningitis belt have reported serotype distribution of pneumococcal meningitis cases, all in 204 populations with no PCV use.(3,6,18,20-23) Overall, 45% were serotype 1. Kwambana-205 Adams 2016 reports a higher proportion of isolates belonging to serotype 1 (67% overall) in 206 the Brong Ahafo outbreak than in the other studies.(2) Among the other studies, there are 207 no marked differences between settings described as "epidemic" or "outbreak" and 208 endemic settings. There are no appropriate data available to support or contradict the 209 hypothesis that outbreaks or clusters of disease tend to be caused by a single serotype 210 because most serotyping data is published as aggregate data over many years. 211 This model is more conservative than the model used to evaluate reactive 212 meningococcal vaccination.(15) Whereas the meningococcal model assumed all cases

213 occurred in individuals under 30 years of age, this model estimates that only 70% of cases

occur in the targeted age group. The meningococcal model also assumed that 79% of
suspected cases were due to NmW. In addition, the surveillance system relies on a case
definition of meningitis; immunisation against Spn may prevent additional cases of
pneumonia and septicaemia making these estimates conservative.

However, the predictions of this model are highly dependent on the age distribution of cases, the proportion of cases due to Spn, and the overall shape of the incidence curve over time. The data from the Brong-Ahafo outbreak show a particularly strong peak in the 15- to 29-year age group, similar to distributions reported from endemic situations.(18) By contrast, the distribution of incidence of Spn meningitis from Traore 2009 peaks sharply in infants but is otherwise fairly even across age groups despite a description in the discussion of "epidemic" patterns.(3)

Because many cases had no associated laboratory data, we have chosen to model suspected Spn meningitis cases. As our sensitivity analysis has shown (Table S1), reactive vaccination may be more or less effective depending on underlying assumptions about the true proportion of suspected meningitis cases caused by *S. pneumoniae*. The case-fatality rates in each category support our classification system, with low rates in bacteria-negative cases, intermediate rates in untested cases, and high rates in Spn- and Nm-confirmed cases (Table 1).

These predictions are also dependent on how quickly the outbreak progresses. In this outbreak, 14% of suspected cases occurred within four weeks of triggering the epidemic threshold – in other words, 14% of suspected cases would be missed by a reactive response with a lag of two weeks. More suspected cases occurred in the first four weeks of past suspected pneumococcal meningitis outbreaks: 18% in Goundi, Chad in 2009, 28% in Karangasso Vigue, Burkina Faso in 2011, 21% in Pama, Burkina Faso in 2011 and 38% in
Solenzo, Burkina Faso in 2009.(1)

Our estimates, based on data from the Brong-Ahafo outbreak, suggest that reactive vaccination for pneumococcal meningitis would have prevented fewer cases per dose of vaccine than previous estimates for meningococcal meningitis reactive vaccination (a routine intervention in the African meningitis belt). As the size and duration of outbreaks are likely to vary by country and by year, data from future outbreaks are needed to refine these estimates.

245 It is clear that any reactive response must be timely in order for it to be effective. A 246 particular challenge is ensuring rapid microbiological confirmation of the organism 247 responsible for the outbreak and serotyping of pneumococcal isolates to determine if the 248 outbreak is due to a vaccine-type strain. In Brong-Ahafo, serotyping facilities were initially 249 not available locally and samples were sent to the MRC laboratory in The Gambia, leading to 250 an interval of several weeks before results were available. A technical mission from MRC the 251 Gambia provided support to establish serotyping capacity in Sunyani hospital in Brong-252 Ahafo during the course of the outbreak. In addition, CDC established PCR capability at 253 Tamali Zonal Public Health Laboratory during the outbreak, which serves Brong-Ahafo. If 254 reactive vaccination for pneumococcal meningitis outbreaks were to be recommended by 255 WHO, it will be important to ensure that other meningitis belt regions also establish and 256 maintain serotyping capacity. Even if reactive vaccination for pneumococcal meningitis 257 outbreaks is not recommended, building laboratory capacity in these regions will benefit 258 health systems more broadly.

259 This study does not evaluate the potential impact of mass preventive vaccination, or 260 of extending PCV coverage to older age groups through catch-up campaigns. WHO's 261 Strategic Advisory Group of Experts (SAGE) on Immunization reviewed primary data on PCV

vaccine schedules and their impact on carriage and disease, together with evidence from

263 modelling studies on the impact of catch-up campaigns in October 2017

264 (<u>http://www.who.int/immunization/policy/sage/en/</u>). Further work may be warranted to

265 quantify the impact of extending PCV to older ages (over 5 years) in preventive campaigns,

but this is beyond the scope of this paper.

267 With the roll-out of PCV in the African meningitis belt, the risk of pneumococcal

268 meningitis outbreaks and the need for subsequent reactive vaccination responses may

269 recede as increasing numbers of birth cohorts are protected. The WHO is currently

270 reviewing whether a different vaccination schedule with a subsequent booster dose would

be more appropriate for this setting.(12) Meanwhile, this study provides the first evidence

that pneumococcal reactive vaccination could prevent cases and save lives during confirmed

273 outbreaks. Additional work is needed to clarify the conditions warranting a response, and

the logistical implications of supplying PCV13 for reactive vaccine campaigns.

Table 1. Classification of case etiology; frequency and case fatality rates by etiology.

		, ,	
Classification	Criteria	Number	Case fatality
		of cases	rate
Spn	Any test (Pastorex, culture or PCR) indicating	168	23%
	Spn or positive gram stain		
Nm	Any test (Pastorex, culture or PCR) indicating	40	23%
	Nm or negative gram stain		
Indeterminate	Any sample with no test results	209	15%
Probable	One test (Pastorex, culture, PCR or gram stain)	366	2%
negative	failing to indicate bacteria in CSF		
Confirmed	Two or more tests (Pastorex, culture, PCR or	183	0%
negative	gram stain) failing to indicate bacteria in CSF		

276

277 Table 2. Summary of line list data for the 19-week study period by district.

District	Population	Suspected	Confirmed	Confirmed	Epidemic	Cumulative
		meningitis	Spn	Nm	threshold	incidence
		cases	meningitis	meningitis	(suspected	per 10 <sup>5</sup>
			cases	cases	weekly cases	
					10 per 10 <sup>5</sup> )	
					exceeded	
Asunafo North	143000	14	7	1	No	9.8
Asutifi North	60800	1	0	0	No	1.6
Asutifi South	60600	4	2	1	No	6.6
Atebubu-	121000	12	3	0	No	9.9
Amanten						
Berekum	149000	2	2	0	No	1.3
Dormaa East	58300	6	1	0	No	10.3
Dormaa	129000	27	7	1	No	21.0
Municipal						
Jaman South	106000	7	5	7	No	6.6
Kintampo North	109000	11	3	1	No	10.1
Pru	148000	9	3	2	No	6.1
Sene East	69400	5	1	0	No	7.2
Sunyani	141000	28	9	0	No	19.8
Municipal						
Tano South	89600	4	2	0	No	4.5
Techiman	169000	77	10	4	No	45.5
Municipal						
Jaman North	95200	364	39	2	Yes	382.3
Nkoranza South	116000	100	24	6	Yes	86.4
Sene West	66800	20	2	0	Yes	29.9
Tain	101000	145	19	12	Yes	143.6
Techiman North	67700	20	1	1	Yes	29.5
Wenchi	103000	110	28	2	Yes	106.9

279 Table 3. Cases and deaths prevented by reactive vaccination with different lag time (weeks

- 280 between crossing of incidence threshold and implementation of reactive vaccination
- 281 campaign).

	inpuign/.						
In	cidence	Lag in	Cases p	prevented (% of	Deaths	Number needed	Number needed
th	reshold	weeks	total	likely Spn [C <sub>Spn</sub> ]	prevented	to vaccinate to	to vaccinate to
			cases du	uring outbreak)		prevent a case	prevent a death
	10	0	61	35%	14	9100	39100
	10	2	36	21%	8	15300	66000
	10	4	20	11%	5	27800	120000
	3	0	63	32%	15	22500	96900
	3	2	40	20%	9	35300	152000
	3	4	23	12%	6	60500	261000

282

Figure 1. Negative predictive value (NPV), positive predictive value (PPV), sensitivity, and specificity of various incidence thresholds (3, 5, 7, and 10 suspected cases per 100,000 per week) for predicting a range of sizes of outbreaks.

286

Figure 2. Incidence of suspected meningitis, likely and confirmed pneumococcal meningitis in five districts crossing the epidemic threshold of 10 cases per 100,000. Grey vertical lines indicate beginning and end of outbreak period.

290

Figure 3. Age distribution of suspected meningitis and confirmed pneumococcal meningitis incidence in five districts crossing the epidemic threshold of 10 cases per 100,000.

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- 294

## 295 Supplementary Information

- 296 Table S1. Cases and deaths prevented by reactive vaccination with different times to
- 297 implementation using strict negative case definition.

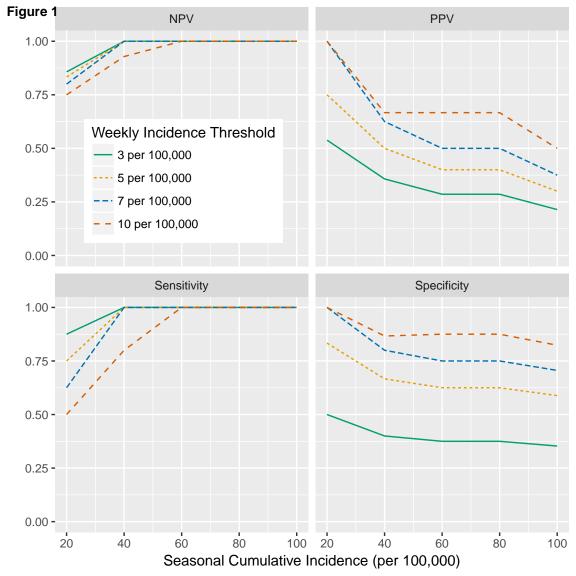
Incidence	Lag in	Cases p	revented (% of	Deaths	Number needed	Number needed
threshold	weeks	total	likely Spn [C <sub>Spn</sub> ]	prevented	to vaccinate to	to vaccinate to
		cases du	uring outbreak)		prevent a case	prevent a death
10	0	113	35%	26	4800	20900
10	2	63	19%	15	8800	37800
10	4	30	9%	7	18300	78700

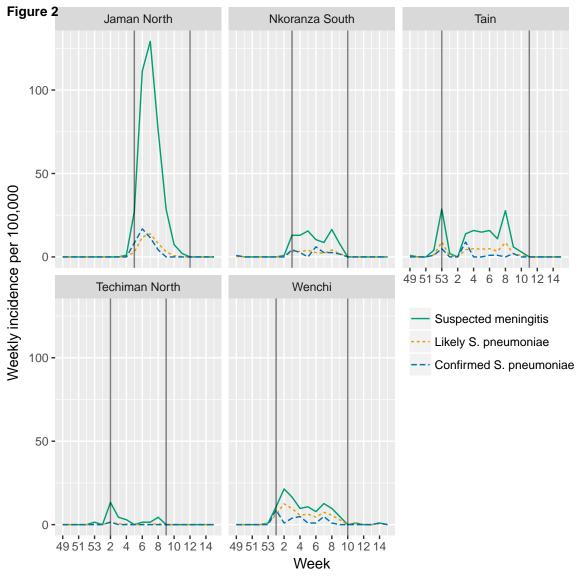
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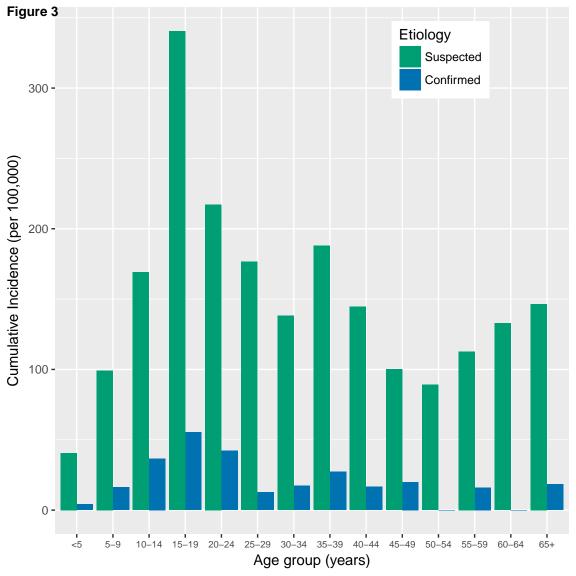
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- 303 CLT reports personal fees from GlaxoSmithKline and Sanofi Pasteur, outside the submitted
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- 307
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