

Article

Aggregation of antibody drug conjugates at room temperature: SAXS and light scattering evidence for colloidal instability of a specific subpopulation.

B. Frka-Petescic, Drazen Zanchi, Nicolas Christophe MARTIN, S. Carayon, S. Huille, and Christophe Tribet

Langmuir, Just Accepted Manuscript • DOI: 10.1021/acs.langmuir.6b00653 • Publication Date (Web): 29 Apr 2016

Downloaded from <http://pubs.acs.org> on May 2, 2016

Just Accepted

“Just Accepted” manuscripts have been peer-reviewed and accepted for publication. They are posted online prior to technical editing, formatting for publication and author proofing. The American Chemical Society provides “Just Accepted” as a free service to the research community to expedite the dissemination of scientific material as soon as possible after acceptance. “Just Accepted” manuscripts appear in full in PDF format accompanied by an HTML abstract. “Just Accepted” manuscripts have been fully peer reviewed, but should not be considered the official version of record. They are accessible to all readers and citable by the Digital Object Identifier (DOI®). “Just Accepted” is an optional service offered to authors. Therefore, the “Just Accepted” Web site may not include all articles that will be published in the journal. After a manuscript is technically edited and formatted, it will be removed from the “Just Accepted” Web site and published as an ASAP article. Note that technical editing may introduce minor changes to the manuscript text and/or graphics which could affect content, and all legal disclaimers and ethical guidelines that apply to the journal pertain. ACS cannot be held responsible for errors or consequences arising from the use of information contained in these “Just Accepted” manuscripts.



1
2
3
4
5
6
7 Aggregation of antibody drug conjugates at room
8
9
10
11 temperature: SAXS and light scattering evidence for
12
13
14
15
16 colloidal instability of a specific subpopulation.
17
18
19
20

21 *B. Frka-Petesic,^{1†} D. Zanchi,^{1,3} N. Martin,^{1‡} S. Carayon,² S. Huille,² C. Tribet.^{1,*}*
22
23

24 ¹ Ecole Normale Supérieure-PSL Research University, Département de Chimie, Sorbonne
25
26 Universités - UPMC Univ Paris 06, CNRS UMR 8640 PASTEUR, 24 rue Lhomond, 75005
27
28 Paris, France.
29
30

31
32 ² SANOFI R&D, Analytics & Formulation Department, Global Biologics, 13 quai Jules Guesde
33
34 - BP 14 - 94403 - Vitry-sur-Seine, France.
35
36

37
38 ³ Université Paris Diderot-Paris 7, 5 Rue Thomas Mann, 75013 Paris, France.
39
40
41
42
43
44

45 ABSTRACT
46
47
48

49 Coupling an hydrophobic drug onto monoclonal antibodies via Lysine residues is a common
50
51 route to prepare antibody-drug conjugates (ADC), a promising class of biotherapeutics. But a
52
53 few chemical modifications on protein surface often increases aggregation propensity, without
54
55 clear understanding of the aggregation mechanisms at stake (loss of colloidal stability, self-
56
57
58
59
60

1
2
3 assemblies, denaturation...), and the statistical nature of conjugation introduces polydispersity in
4
5 the ADC population, which raises questions on whether the whole ADC population becomes
6
7 unstable. To characterize the average interactions between ADC, we monitored small angle X-
8
9 ray scattering in solutions of monoclonal IgG1 human antibody drug conjugate, with average
10
11 degree of conjugation of 0, 2, or 3 drug molecules per protein. To characterize stability, we
12
13 studied kinetics of aggregation at room temperature. Intrinsic Fuchs stability ratio of the ADC
14
15 was estimated from the variation over time of scattered light intensity and hydrodynamic radius,
16
17 in buffers of varying pH, and at diverse sucrose (0% or 10%) and NaCl (0 or 100 mM)
18
19 concentrations. We show that stable ADC stock solutions became unstable upon pH shift, well
20
21 below the pH of maximum average attraction between IgGs. Data indicates that aggregation can
22
23 be ascribed to a fraction of ADC population usually representing less than 30 mol% of the
24
25 sample. In contrast to the case of (monodisperse) monoclonal antibodies, our results suggest that
26
27 a poor correlation between stability and average interaction parameters should be expected as a
28
29 corollary of dispersity of ADC conjugation. In practice, the most unstable fraction of the ADC
30
31 population can be removed by filtrations, which affects remarkably the apparent stability of the
32
33 samples. Finally, the lack of correlation between the kinetic stability and variations of the
34
35 average inter-ADC interactions is tentatively attributed to the uneven nature of charge
36
37 distributions and the presence of patches on the drug-modified antibodies.
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Introduction.

Aqueous solutions of therapeutic proteins and particularly of antibodies are now competing with small molecular drugs in the treatment of cancers, autoimmune diseases, and several other human diseases, to such an extent that monoclonal antibodies (mAb) became key players in the research for new drugs.^{1,2} The versatility of molecular design of mAb now fuels growing interest for the study of patentable modifications of approved antibodies. In this context, antibody-conjugated particles³ or antibody-drug conjugates (ADC), prepared by coupling mAbs with small drug molecules,⁴ appear as one of the most promising emerging class of biotherapeutics, as they can ensure improved targeting of drugs. ADC may be either randomly modified, or prepared by single, site-specific coupling yielding well-defined compounds with typically one or a few drug molecule per protein. However, biomedical acceptance of new therapeutic proteins, even when they are derived from a formerly approved parented antibody, requires to address stability issues and in particular to alleviate aggregation. In the case of non-conjugated monodisperse mAbs, these requirements are generally fulfilled by decreasing possible attractive interactions between pairs of proteins and between proteins and interfaces, which translates to 1) a proper choice of the protein sequence, buffer conditions and excipients,^{5,6} and 2) limiting contacts with hydrophobic interfaces.^{7,8} But the development of ADC has given rise to specific concerns related to conjugation-dependent loss of stability, specifically when ADCs are conjugated to highly hydrophobic molecules. Open questions about ADC stability include 1) the role of conjugated drugs in ADC-ADC interactions, and in particular in inter-ADC attractions and 2) the possible role of the polydispersity introduced by the chemical coupling (e.g. how sensitive are ADC interactions to the number and distribution of a few chemical modifications on the surface of the protein?). Compared to the parent mAb, a more complex interplay emerges accordingly

1
2
3 between heterogeneity of ADC, conformational stability, oligomerization and aggregation
4 propensity. In particular, aggregation-prone ADC may correspond to a subfraction of the whole
5 population of conjugated antibodies.
6
7
8
9

10
11 We report here a study of ADC aggregation rate as a function of buffer condition, comparing
12 parent mAb (ADC0) with mAb carrying on average ~2 (ADC2) or ~3 (ADC3) drug molecules.
13 We aimed to identify whether formation of aggregates correlated with inter-ADC average
14 interaction. Aqueous solutions of ADC in buffers of varying pH, in the presence or not of
15 sucrose and/or NaCl, were used to modulate either long-range Coulomb interactions, or short-
16 range hydration-related interactions between proteins. The colloidal stability of ADC solutions
17 was monitored by variation over time of the mean scattered light intensity, and measurement of
18 hydrodynamic radii by dynamic light scattering (DLS). Kinetics data were fitted to a slow
19 aggregation model yielding apparent Fuchs stability ratios (based on Smoluchowski's theory, and
20 recently used for characterization of protein aggregation,⁹ including for antibody^{10, 11}). Small
21 angle X-ray scattering (SAXS) enabled us to estimate the influence of buffer composition on
22 inter-ADC interactions, and on the shape of ADC molecules. Herein we demonstrate that
23 aggregation involved a pH and excipient-dependent subpopulation of ADCs. Our results also
24 point out the irrelevance of average interaction parameters (e.g. 2nd virial coefficient) to predict
25 stability conditions of ADC. In contrast, kinetics analysis is better suited to investigate on the
26 origin of instability.
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Experimental.

Aliquoted stock solutions of ADCs.

Water was deionized with a MilliQ Millipore purification system. NaCl (99.9% electrophoretic grade), HCl, NaOH, Histidine were from Sigma-Aldrich. Monoclonal human IgG1 (characteristic features and sequence detailed in ref. ¹²), named ADC0 in the following, was provided by Sanofi as 11.6 g.L⁻¹ stock solutions in 10% w/v sucrose, 10 mM Histidine buffer pH 6.5. Antibody-drug conjugate at two different degrees of conjugation were prepared from ADC0 by random coupling a hydrophobic drug on the amine group of Lysines (cf. patent ¹², reaction in aqueous solution of 3 to 5 molar equivalents of the *N*-hydroxysuccinimide activated ester end of an oligoethylenoxide-containing linker). This procedure yielded mixtures of antibody conjugated molecules having diverse degree of modification around the average one, and a diversity of the distribution of attachment points on the protein. No polishing of this polydispersity was attempted. The reaction mix was filtered (pore size 0.2 μm) to remove antibody aggregates that may form during the reaction. Finally, the solution was ultrafiltered and ADCs were obtained in 10 mM Histidine-HCl buffer. Sucrose was added to the solution by mixing with 10 mM Histidine-HCl Sucrose 40%w/v buffer in order to achieve a solution of ADC in a 10 mM Histidine-HCl Sucrose 10%w/v buffer, hereafter referred to as HS buffer. Final concentrations were determined by UV absorbance measurements, using extinction coefficients of 1.47 L.g⁻¹.cm⁻¹ for ADC0 (at 280 nm), and 0.114 L.g⁻¹.cm⁻¹ for the conjugated drug (at 322 nm). In solutions of ADC2 and ADC3, the protein concentration was determined by subtracting the absorbance at 280 nm due to the conjugated drug, as follows: [ADC] (g.L⁻¹) = 0.6934 × (OD₂₈₀ - 0.5656 × OD₃₂₂) where OD_λ are absorbances at the wavelength λ, and

1
2
3 coefficients were calibrated using standard solutions of known compositions. The degree of
4 conjugation, or Drug-Antibody Ratio (DAR) – i.e. average number of Lysine coupled with the
5 drug per IgG molecule – was estimated from UV-vis absorbance and using a Mw of 150 kDa
6 yielding 2.25 and 3.5 for ADC2 and ADC3 respectively, which compares reasonably with the
7 determination of DAR of 2.1 and 3.1 respectively as measured by mass spectroscopy (Sanofi
8 personal communication). One large batch of each stock ADC solution (ADC0, ADC2 and
9 ADC3) was fractionated into 1 mL aliquots that were kept frozen (-80°C) until used.
10 Concentrations of stock solutions were 11.6 g.L⁻¹ (ADC0), 5.9 g.L⁻¹(ADC2), and 5.8 g.L⁻¹
11 (ADC3).
12
13
14
15
16
17
18
19
20
21
22
23
24

25
26 Sample purity was assessed by size exclusion chromatography (HPSEC) using a SEC-Viscotek
27 system equipped with Protein P3000 CLM 3026 columns, UV and RI detectors, at elution rate of
28 0.5 mL.min⁻¹ (eluent Na₂HPO₄-KH₂PO₄ 0.45 M pH7, and 0.25 M KCl in acetonitrile:water 20%
29 v/v). The main peak of ADC monomers may be flanked by minor peaks of oligomers or
30 aggregates (Figure SII in Supporting Info) or degradation peptides. The peptide degradation
31 products were essentially not detected in fresh solutions (Figure SII in Supporting Info), and the
32 peak area due to oligomers represented less than 2% of the area of monomers in ADC0 and
33 ADC2, or ca. 4% in ADC3.
34
35
36
37
38
39
40
41
42
43
44

45 *Kinetics (buffer composition, dilution procedures in buffer)*

46
47
48

49 To prepare solutions, a frozen aliquot of ADC (1 mL) was rapidly thawed at room temperature
50 and used within the next two days. Storage buffer (10 mM Histidine-HCl pH 6.5, 10% w/v
51 sucrose) will be noted as “HSB pH 6.5”; the same buffer without sucrose is noted “HB pH 6.5”.
52 To minimize osmotic stresses or large pH heterogeneities upon dilution, the dilute solutions for
53
54
55
56
57
58
59
60

1
2
3 light scattering or SAXS experiments were prepared by mixing one volume (typically 0.5 mL) of
4
5 ADC diluted in HSB pH 6.5 with the same volume of another buffer at pHi (10 mM Histidine-
6
7 HCl, 10% w/v sucrose solution, with pHi adjusted in the window between pH 6.5 and pH 10
8
9 prior to mixing). Similarly, ADC solutions at low sucrose content (and/or with NaCl) were
10
11 prepared by first diluting the stock ADC in “HB pH 6.5”, down to twice the final concentration,
12
13 and then mixing this preparation into the same volume of “HB pHi“ buffer with or without NaCl.
14
15 200 ppm sodium azide was also added in the second buffer as a biocide agent. The pH of the
16
17 final mixtures fell in between 6.5 and pHi, and it was measured with a pHmeter. ADC solutions
18
19 were filtered through a syringe filter (Anotop 10, pore size 20 nm or 100 nm, Whatman) either
20
21 prior to the 1:1 v/v mixing (i.e. filtration of the stable dilute solutions of ADC at pH 6.5), or after
22
23 mixing with the buffer at pHi.
24
25
26
27
28
29

30 *Light scattering*

31
32

33
34 The aggregation kinetics of ADC at room temperature were assessed by measuring the increase
35
36 with time of the intensity of the light scattered at a fixed angle of 90°, using a Brookhaven
37
38 instruments System (BI-200SM goniometer, BI-9000AT correlator, equipped with a 30 mW
39
40 laser diode operating at a wavelength of 637 nm). Decalin scattering was used as a standard to
41
42 calibrate the intensity. Initial concentrations of ADC were adjusted (from ~ 1 g.L⁻¹ to 0.005 g.L⁻¹)
43
44 in order to observe a significant increase of scattered intensity within a few hours. At these
45
46 dilution conditions, aggregation was sufficiently slow to be negligible during the first 30s, a time
47
48 that were necessary to mix solutions and fix the cell in the scattering device. The scattering
49
50 intensity recorded in solutions at pH 6.5 prior to pH shift was constant. Subsequently to pH shift,
51
52 dilution, and/or addition of sucrose or NaCl, the ADC solutions were kept at rest at 25°C.
53
54 Changes in the scattering intensity were measured as a function of incubation time. Regularly
55
56
57
58
59
60

1
2
3 during incubation, a 2-minute long record of homodyne intensity-intensity correlation function
4 was performed and size distribution of scatterers were determined by CONTIN and NNLS
5
6 procedures, to yield apparent Stokes radius, R_h .
7
8
9

10 11 *Circular Dichroism*

12
13
14 CD spectra of ADC were recorded at 20°C in the Jasco J/815 spectrophotometer, using quartz
15 cells of 1 mm path length. ADC were diluted from their stock solutions into 10 mM NaH₂PO₄-
16 NaOH buffer, 10% sucrose, at pH adjusted at 6.5, 7.3, or 7.7 (measurements in HS buffer were
17 not possible because of the high UV absorbance of 10mM Histidine). The specific ellipticity [θ]
18 was calculated according to the equation:
19
20
21
22
23
24
25

$$26 \quad [\theta] = \frac{0.1 \times \theta_e \times M_R}{l \times c} \quad (\text{Eq. 1})$$

27
28 where θ_e is the measured ellipticity in millidegrees, l is the path length (cm), and c is the ADC
29 concentration (in g.L⁻¹). M_R is the mean residue molar mass (we used $M_R = 113.5 \text{ g.mol}^{-1}$).
30
31
32
33
34
35
36
37

38 *SAXS*

39
40
41 Synchrotron radiation X-ray scattering was measured on SWING beamline at the SOLEIL
42 synchrotron facility in Saclay, France. The incident beam energy was 12 keV. The modulus q of
43 the scattering vector is given by $q = 4\pi \sin(\theta/2)/\lambda$, where θ is the scattering angle, λ is the X-ray
44 wavelength which was 0.1 nm. In most experiments the sample to detector (Aviex CCD)
45 distance was set to 1817 mm, covering the q -range from 0.06 nm⁻¹ to 7 nm⁻¹. Measurements were
46 performed using a thermostated flow-through device for the injection of samples (30-50 μ L
47
48 sample placed in the capillary cell between two air bubbles and flowed continuously during the
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 SAXS data acquisition in order to avoid sample degradation by the X-rays). Experiments were
4
5 temperature-controlled at 25°C. Typically 40 successive frames of 0.5 s each were recorded for
6
7 both samples and ADC-free buffers. Each raw frame was corrected from pixel sensitivity, and
8
9 angularly averaged. The final spectra and experimental errors were obtained by averaging over
10
11 all frames and subtracting the buffer spectrum from the sample spectrum. Intensities were scaled
12
13 to absolute units using the scattering of water.
14
15
16
17
18
19
20
21

22 *Computation of distorted mAb structures*

23
24

25 Model distorted mAb structures were generated using the PyMol Molecular Graphics System
26
27 (Schrödinger, LLC). First, the X-ray crystal structure of Fab regions of the ADC used in this
28
29 work was superimposed onto the Fab arms of an arbitrarily chosen template mAb monoclonal
30
31 antibody from RSCB Protein Data Bank (pdb entry 1IGT). The Fc domain of this template
32
33 murine mAb was also replaced with a human Fc (pdb file 3DO3). Structural alignment was
34
35 performed using the align function within PyMol, by minimizing the RMS deviation of carbon α
36
37 and backbone atoms of the template and the model. Second, the angle between the Fab and Fc
38
39 domains were calculated from the dot product between two vectors. Briefly, each vector was
40
41 defined as the long axis though each Fab or Fc region, each defined as the line passing through
42
43 the centers of gravity of the immunoglobulin domain folds at the two ends of the Fab and Fc
44
45 regions (resp. V_L-V_H , $C_{H3}-C_L$, $C_{H1}-C_{H2}$, see Figure SI2 in SI). The angle between one Fab
46
47 fragment and the Fc domain was then altered by rotation of the Fab arm in the Fab-Fc plane
48
49 ($\pm 10^\circ$ angle increments), The origin of rotation was defined at the onset of the hinge region
50
51 between the Fab and Fc domains (see Figure SI2 in SI). Covalent bonds between Fc and Fab
52
53
54
55
56
57
58
59
60

1
2
3 domains in the hinge region were artificially broken to preserve the native shape of each domain
4
5 all along the rotation pathway, and it was ensured that no superimposition occurred between
6
7
8 atoms from the Fab and Fc domains (which limited the rotation to $\pm 50^\circ$ angle).
9
10

11 12 13 14 15 *Electrostatic potential calculations and Lysine substitutions* 16

17
18 Electrostatic calculations were performed on the model mAb described above (obtained after
19
20 structural alignment of our model Fab domains and a human Fc domain onto the template 11GT
21
22 mAb) with the Adaptive Poisson-Boltzmann Solver (APBS) package (PARSE forcefield,
23
24 dielectric constant of 80 – resp. 2 - for the protein exterior –resp. interior, 10 mM ionic strength,
25
26 probe size of 1.4 Å).^{13, 14} The propKa software package^{15, 16} was used to assign the pKa values
27
28 of each residue and thus fix their protonation state at pH 7.8. To model drug conjugation onto
29
30 ADC0, target Lysine residues were chosen somewhat arbitrarily at the boundary between highly-
31
32 positive regions and protruding negative poles, or in zwitterionic regions, and the surface
33
34 potential was recalculated after substitution by neutral Leucine residues assuming preservation of
35
36 the native structure. The PyMol Molecular Graphics System (Schrödinger, LLC) was used to
37
38 visualization the isocontour potential (± 1 kT/e). The isoelectric points of the substituted
39
40 structures were also calculated using the propKa software package.
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Results.

1- Destabilization of ADC upon variation of buffer composition.

The instability of ADC in various buffers was assessed by recording the scattering intensity of ADC solutions prepared at varying pH and a fixed protein concentration. Solutions were obtained by diluting a filtered (Anotop 10, 0.1 μm pore size) aliquot of stock ADC ($\sim 6 \text{ g.L}^{-1}$, in HS buffer pH 6.5) with 5-50 fold larger volume of HS buffer pH 6.5, prior to mixing at $t = 0$ (two-fold dilution) with 10 mM Histidine-HCl buffer of pH > 6.5 and pH < 10 (final volume 0.8-1 mL, and final pH measured with a glass electrode, cf. method section). In solutions of ADC2 and ADC3, the shift of pH immediately resulted in an increase of light scattering intensity, indicating that pH shift triggered aggregation (Figure 1). Species of diameter $> 100 \text{ nm}$ were detected by DLS beyond incubation times of a few minutes (Figure 1D), and diameters could reach micrometer range in the case of ADC3. Dilution in buffer of pH 6.5 did not destabilize the ADC, which confirms that it was the change in buffer pH, and not handling conditions, that made ADC unstable. In both ADC2 and ADC3 solutions, the kinetics of aggregation depended markedly on pH, and the maximum rate of the initial intensity variation was reached at pH ~ 7.8 . To accurately record intensity variation, it was necessary to optimize the dilution factor in order *i*) to avoid that substantial amount of aggregate had formed during the time period required to mix and load the sample in the DLS apparatus ($< 20 \text{ s}$), and *ii*) to measure intensity variations over a time window of several minutes. To this aim, solutions of ADC3 were diluted to a significantly lower concentration (0.03 g.L^{-1} in Figure 1B) than solutions of ADC2 (0.10 g.L^{-1}), suggesting a lower stability of the ADC having higher conjugation ratio. Similar measurements conducted with solutions of the non-modified mAb required to use the highest experimental concentration (0.6 g.L^{-1} ADC0 in Figure 1C).

1
2
3 At long times, the scattered intensity reached a plateau that depended on buffer condition (Figure
4 2A), but aggregates continued to grow in size (continuous increase of apparent hydrodynamic
5 radius as measured by dynamic light scattering, DLS). The plateau intensity was thus reached
6 due to the finite value of the wave-vector transfer, $q = 4\pi/\lambda \sin(\theta/2)$: according to Rayleigh-
7 Gans-Debye light scattering theory, it is expected that the scattering becomes independent on the
8 size of scattering species when this size reaches the same order of magnitude as the laser
9 wavelength. In this regime, the total intensity varies in proportion to the concentration of
10 scattering species. Here, the diameters of aggregates were above 100 nm, and typically of 300
11 nm-1000 nm (Figure 1D). Intensities measured at time > 900 s were thus assumed to be
12 proportional to the concentration of aggregates. Consequently, the observation of a plateau
13 intensity together with continuous increase of apparent radius suggests that the quantity of
14 proteins contributing to the aggregates reaches a maximum, while protein-clusters continuously
15 coalesce and stick to each other. An index of aggregation was defined as the height of the plateau
16 intensity, $I-I_0$ (where I_0 is the initial scattering intensity), normalized by the contribution of IgG
17 monomers to the initial scattering (I_{mono} , Figure 2). At the pH of maximum destabilization (pH \sim
18 7.8 for ADC2 and ADC3, pH < 7.5 for ADC0) and at incubation time long enough to alleviate
19 further significant variation of scattering intensity (here $t > 900$ s), this index reached ca. 3.5
20 (ADC0), 12 (ADC2), and > 52 (ADC3). The variation of the index of aggregation suggests that
21 protein clusters form faster and/or involve higher amount of protein upon increasing the degree
22 of conjugation of ADC.
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

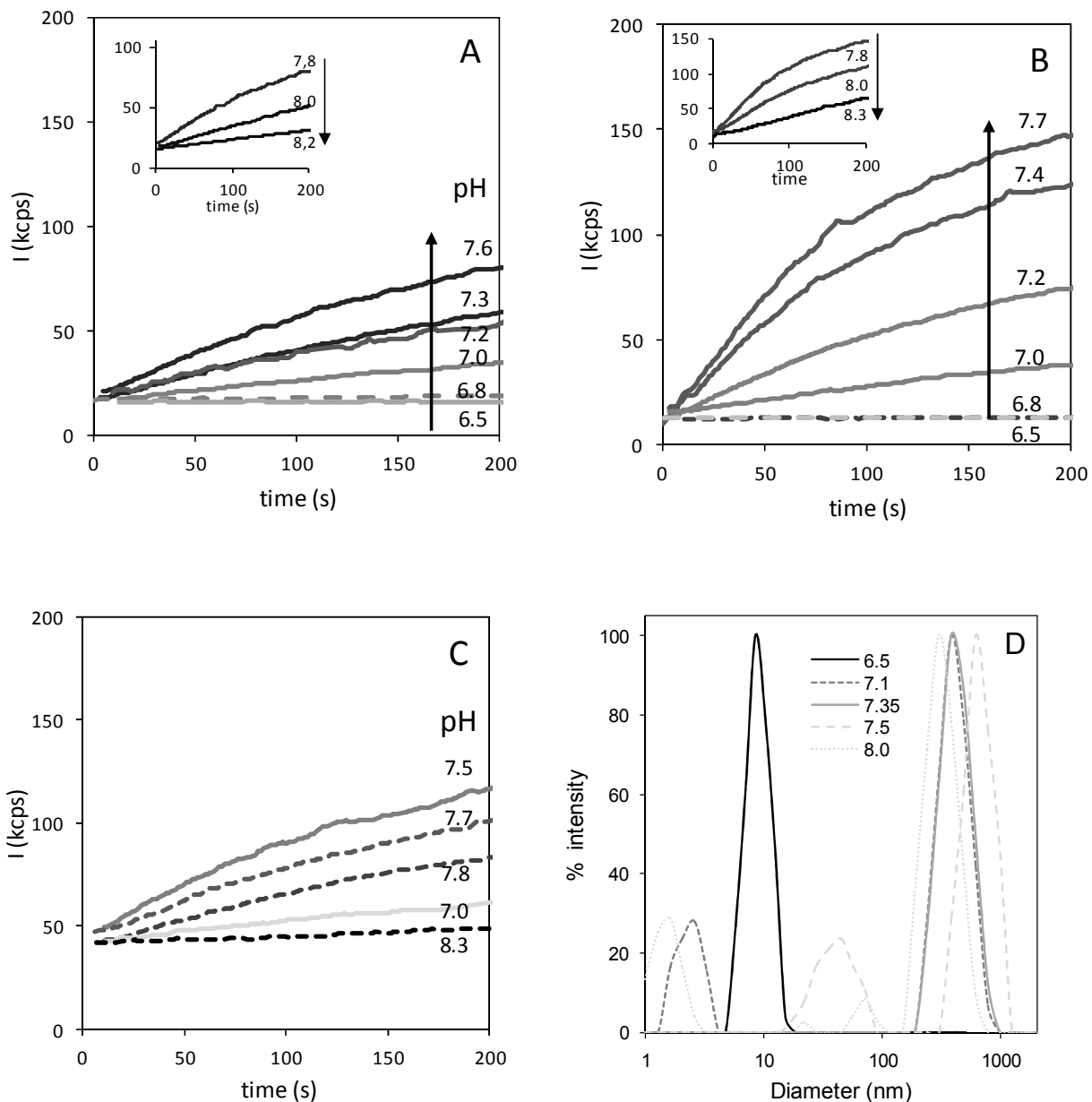


Figure 1. Aggregation kinetics of ADCs triggered by pH shift, and characterized by light scattering. The pH shift was obtained by dilution of stock solutions at pH 6.5 in Histidine-HCl-sucrose buffers, "HS buffer", to a final ADC concentration of (A) 0.1 g.L^{-1} ADC2 (B) 0.03 g.L^{-1} ADC3, (C) 0.6 g.L^{-1} ADC0, (D) examples of size distribution in solutions of ADC2, as determined by NNLS fit of correlations functions (shown in SI Figure SI3) recorded at time 20-30 min after pH shift. Reference intensity scattered by decalin = 6 kcps, temperature 25°C , scattering angle 90° .

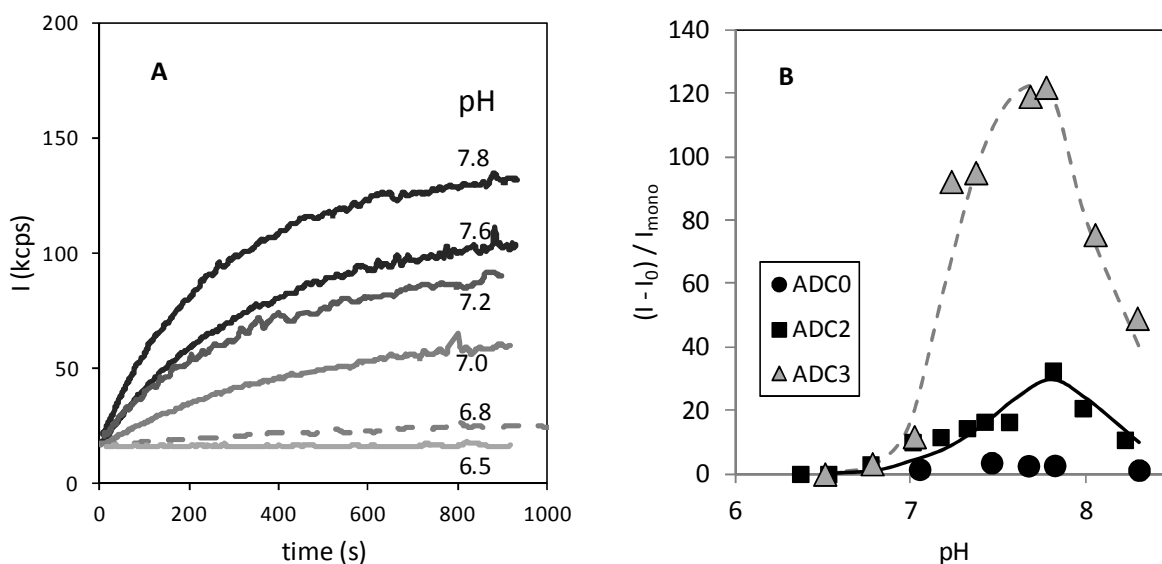


Figure 2. Plateau of scattering intensity reached at long incubation times as a function of pH in the HS stress buffer. (A) Variation of intensity scattered by a solution of ADC2 (same condition as in Figure 1). (B) pH-dependence of the normalized intensity reached at $t = 500$ s, where I_0 is the intensity measured at time 0, and I_{mono} is the calculated intensity scattered by ADC monomers in the absence of aggregation. Lines are guide to the eye.

2- Effect of sample filtration.

Prior to light scattering measurements, filtration of protein solutions was indispensable to remove contributions from dusts and pre-formed aggregates that may be present in stock solutions, and to ensure that only monomers contributed to scattering at time zero. For instance, in the case of ADC0 (unmodified mAb), the aggregation involved a very minor fraction of the whole protein population (cf Figure 2B) that can be small compared to aggregates possibly formed during freezing/thawing manipulations. Possible consequences of filtration, and

1
2
3 specifically adsorption on membranes, shall however not be overlooked because of the
4 hydrophobicity of ADC. UV-vis spectra of ADC solutions before and after filtration were clearly
5
6 indicative of a marked loss of material on the filters (Figure SI5 in SI). Namely, in the first drop
7
8 (50 μL) leaving the filter, the protein concentration in filtrate was decreased to less than a tenth
9
10 of the initial concentration. Concentration increased gradually with increasing volume passed
11
12 through the filter (Figure 3A). We estimated by integration over the filtered volumes that a total
13
14 amount of 60 μg of protein was adsorbed before reaching saturation of the filter (Figure 3C).
15
16 Impact of filtration on the degree of conjugation (DAR) was estimated from the ratio of
17
18 absorbance at 280 nm (protein+drug contributions) to absorbance at 322 nm (drug contribution).
19
20 It is presented in Figure 3B for a set of filters, showing that Anotop ones did not lead to a
21
22 significant variation of DAR (5% is of the order of experimental uncertainty), whereas ADC of
23
24 higher DAR were preferentially retained on Millex filters. To avoid bias, we fixed as a standard
25
26 condition of preparation throughout this study: filtration through Anotop membranes that were
27
28 presaturated by passing 100 μL of the protein solution, which ensured that in the absence of
29
30 aggregates, [ADC] in filtrates was equal within uncertainty to [ADC] prior to filtration.
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

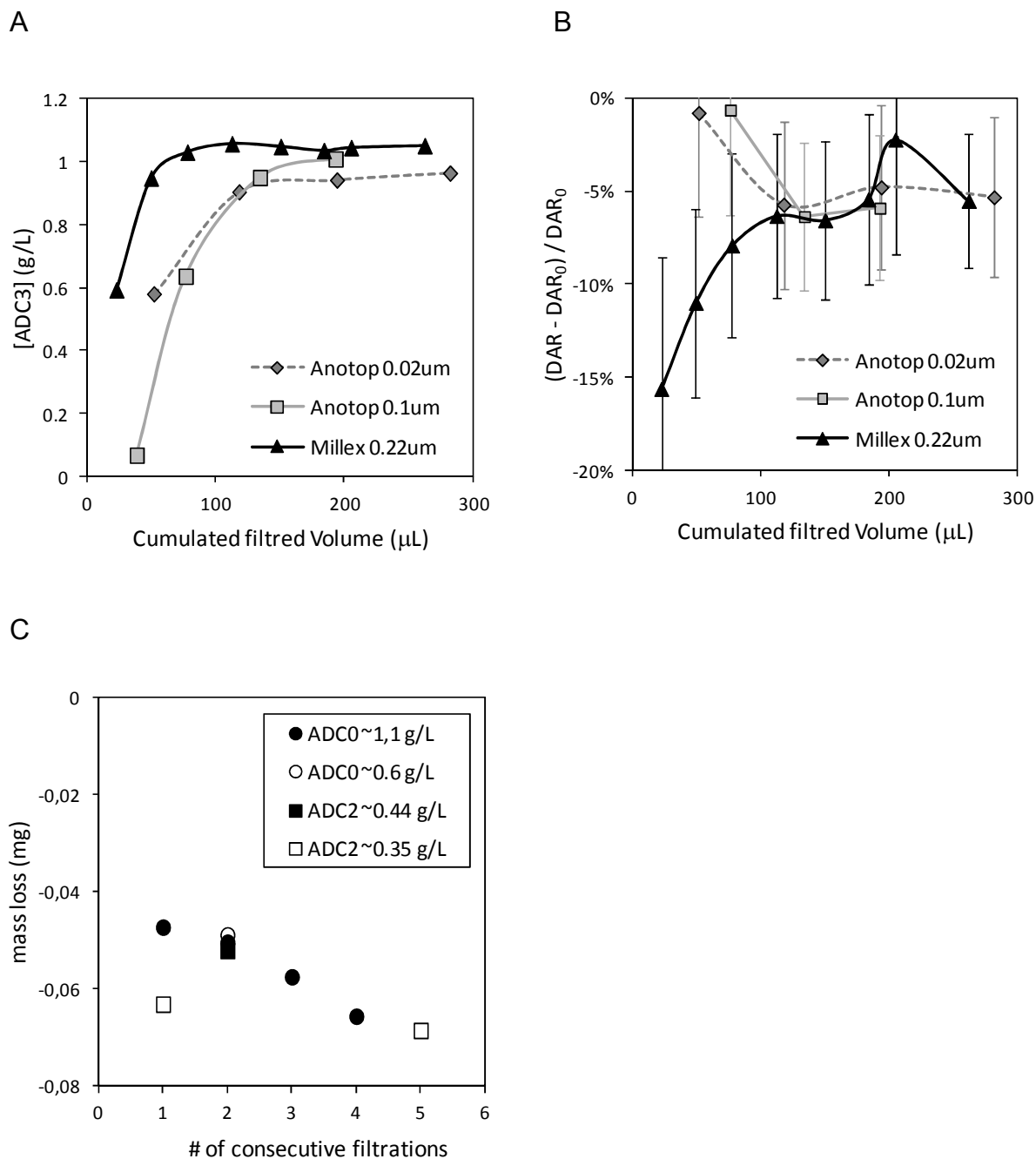


Figure 3. Variation of composition of filtrates of ADC solutions at pH 6.5 due to adsorption on filters. (A) concentration of ADC3 in filtrate vs filtered volume of a stock solution at $1 \text{ g}\cdot\text{L}^{-1}$, (B) DAR in filtrate as determined by spectrophotometry (same solution as in (A)), (C) Total mass adsorbed on Anotop $0.02 \mu\text{m}$ filter during repetitive filtration steps through the same filter (sample volume of 1 mL was fully filtered at each step, ADC concentrations in samples quoted in the Figure).

1
2
3 Filtration through presaturated filters was used to estimate the effect of aggregation on the
4 DAR of soluble fractions. To this aim, aliquots of the stock solutions of ADC (unfiltered) were
5 diluted into Histidine-sucrose buffers at various final pHs, and incubated for 18h. UV-vis spectra
6 of solutions were measured immediately after dilution, then at time 18h prior and after filtration
7 (Anotop 10, 100 nm pore size). Several successive spectra were collected during the dropwise
8 filtration (every ca. 150 μ L) until no variation could be detected between two successive
9 measurements. The composition of filtrates was thus considered to reflect the fraction of soluble
10 (monomer + oligomers) ADC. Representative pH conditions are reported in Table 1. In the
11 majority of samples, turbidity was low prior to filtration enabling accurate measurements of
12 [ADC] and DAR (Figure 4A), except with ADC3 at pH >7.8 (Figure 4B). Absorbance of filtrates
13 at 280 nm was systematically lower than before filtration, suggesting that a fraction of ADC
14 formed aggregates with diameters > 100 nm. Absorbance at 280 nm and 322 nm were used to
15 determine apparent DAR (see method section). The decrease of DAR in filtrates as compared to
16 its initial value before filtration, suggested that ADC with higher DAR aggregated preferentially.
17 Small decrease of DAR in the (typically predominant) filterable fraction corresponded to a
18 marked increase of DAR in aggregates, well above 2 and typically > 3.5 (i.e. beyond the
19 apparent DAR measured in ADC3 stock solution). To estimate the average DAR reached in the
20 aggregated fraction (i.e. retained on the filter), we calculated in Table 1 the ratio $([\text{drug}]_{\text{unfiltered}} -$
21 $[\text{drug}]_{\text{filtered}}) / ([\text{ADC}]_{\text{unfiltered}} - [\text{ADC}]_{\text{filtered}})$ where [drug] and [ADC] are molar concentrations.
22 These measurements confirmed the poorer stability of antibody-drug conjugates having the
23 highest DAR values in the polydisperse population of ADC.
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

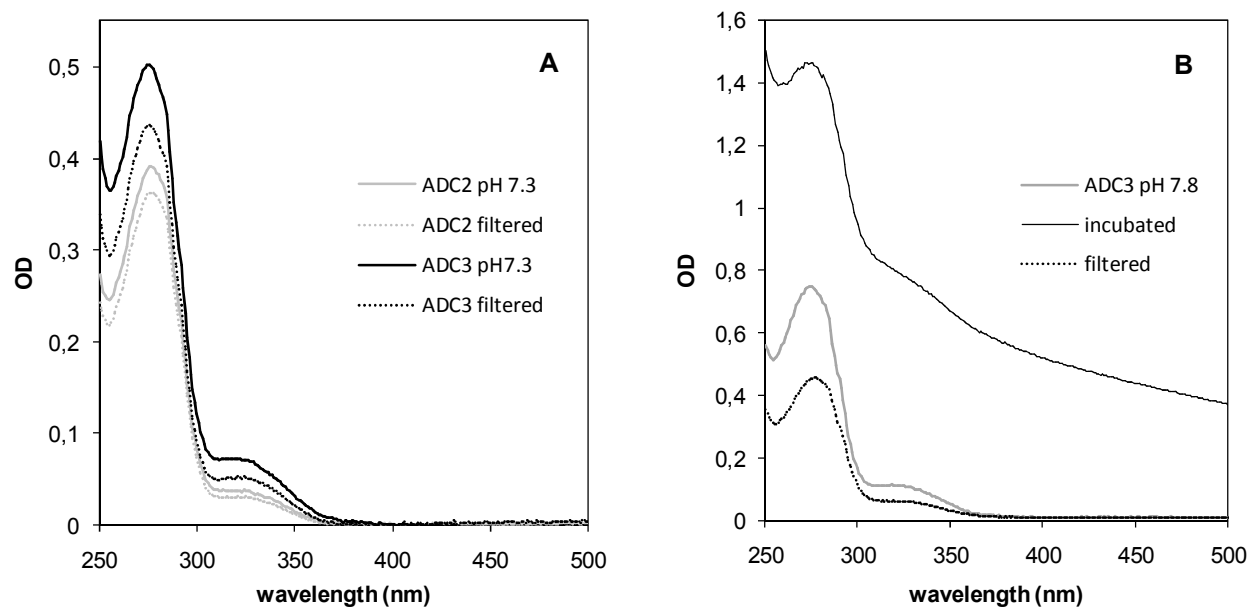


Figure 4. UV-vis. absorption spectra of solutions of ADC incubated for 18h in HS buffer prior or after filtration on Anotop 10 (100 nm pore size). (A) pH 7.3 and $0.25\text{g}\cdot\text{L}^{-1}$ ADC2, or $0.3\text{g}\cdot\text{L}^{-1}$ ADC3; (B) pH 7.8 and $0.5\text{g}\cdot\text{L}^{-1}$ ADC3; "incubated" stands for the unfiltered sample at time 18h after mixing in the stress buffer.

Table 1. DAR variation and % filter-removed aggregates in solutions of ADC as determined by UV-vis spectrophotometry at time 18h after pH shift (incubation at room temperature, unfiltered solution or filtrates from protein-saturated Anotop 10, see text).

	ADC2 ($0.25\text{g}\cdot\text{L}^{-1}$)				ADC3 (0.5 or $0.3\text{g}\cdot\text{L}^{-1}$)			
pH	6.8	7.2	7.8	8.2	6.8	7.3	7.8	8.2
%filtered out ^a	6%	3.0%	8%	2.5%	7%	13%	40%	32%
DAR unfiltered	2.35	2.25	2.30	2.15	3.40	3.55	nd ^c	nd ^c
DAR in filtrate	2.15	2.33	2.17	2.0	3.20	3.20	2.90	2.85
DAR aggregates ^b	$\sim 5.3\pm 0.7$	nd	3.8 ± 0.4	nd	4.4 ± 0.5	5.5 ± 0.2	4.6 ± 0.1	5.2 ± 0.1

^a % aggregates retained in filter = $100 \times ([\text{ADC}]_{\text{filtr}} - [\text{ADC}]_0) / [\text{ADC}]_0$, where $[\text{ADC}]_0$ is the concentration prior to filtration and $[\text{ADC}]_{\text{filtr}}$ in the filtrate.

^b see text for the definition. Uncertainty was estimated using an experimental error of ± 0.5 mDO for the absorbances, which introduces uncertainties of ~ 0.05 - 0.07 in the DAR. "nd" indicates that DAR in aggregates could not be reliably estimated due to low % aggregates.

^c not measurable, due to high turbidity (see Figure 4B)

1
2
3 Finally, to assess the role of nascent clusters in ADC instability, we considered the effect of a
4 filtration performed just after the pH shift. In this alternative protocol, aggregates that were
5 rapidly formed upon dilution in the running buffer were removed at time < 60 s, i.e. after the
6 application of the pH stress conditions. Data clearly pointed to an important impact of filtration
7 on aggregation kinetics. In contrast to the slow increase of scattered intensity by mixtures that
8 were filtered prior to pH shift (see section above), filtration within 60 s after mixing the stock
9 ADC into the Histidine-HCl stress buffer led to fully stable solutions. Here, scattered intensity
10 and measured average diameters were constant for days, irrespective of pH (ADC0 and ADC2 in
11 Figure SI4 in SI). Hydrodynamic diameters were compatible with the preservation of monomers
12 of the protein in these samples. This lack of aggregation in post-stress filtered solutions indicates
13 that removal of aggregates formed at short time cancels further aggregation. The residual fraction
14 of soluble ADC in filtrate is thus stable. Depending on pH, it may represent a 70% - 90% of the
15 whole initial population (see below % aggregates in Table 2)
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37

38 *3- Kinetics stability in different buffer conditions.*

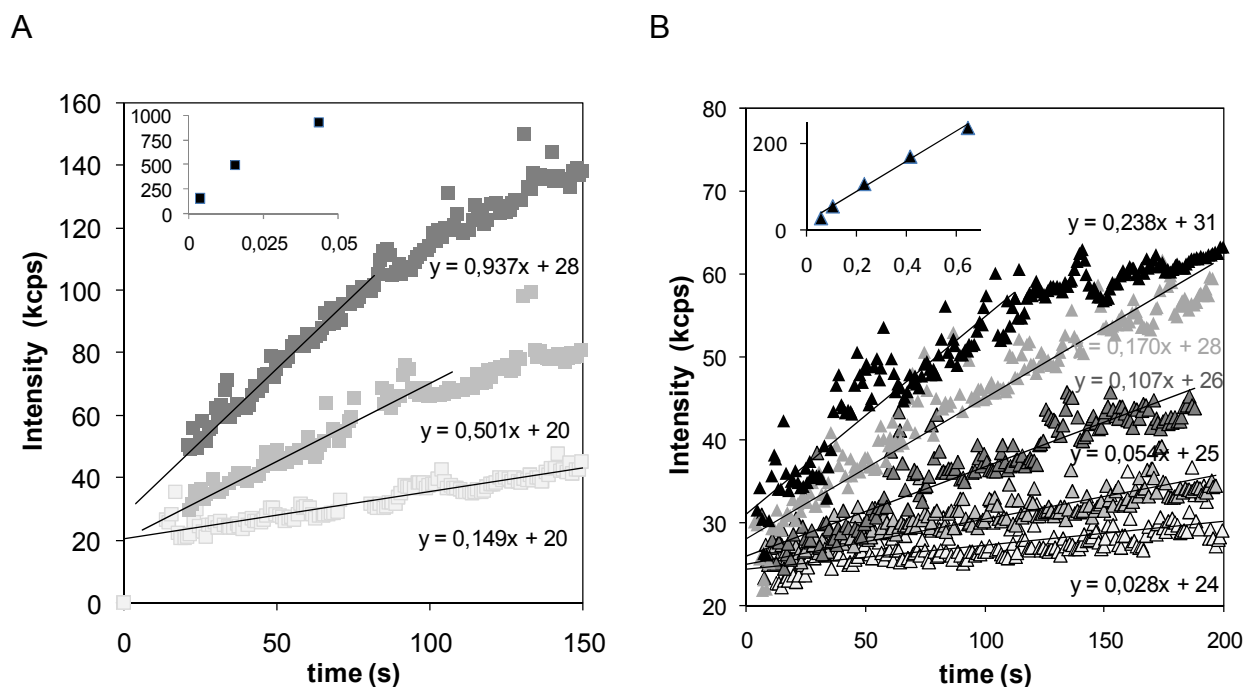
39
40
41
42 In order to access to a quantitative index of stability as a function of buffer conditions, the
43 kinetics data were fitted to the model of slow colloid aggregation developed by Smoluchowski
44 and recently used to analyze "cold-set" protein aggregation^{9,10,11}). At the onset of irreversible
45 aggregation of colloids, this theory predicts that the average molar mass varies in proportion to
46 time and initial particle concentration. This variation is reflected in the linear increase of
47 scattered intensity, given in Equation 2, as long as the form factor of aggregates does not
48 modulate the signal. Equation 2 shall thus be valid at short time, until aggregates are larger than
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 50-100 nm (and as long as deviation from linearity is negligible, which interestingly, goes much
4
5 beyond the formation of protein dimers).
6
7

$$I(t) = I(0) \left(1 + \frac{k[ADC]}{2W} t \right) \quad \text{Eq. 2}$$

8
9
10
11
12
13 where W is the Fuchs stability ratio, t is the incubation time, $[ADC]$ the concentration of
14 protein participating to aggregation, k a constant taken here to be equal to $8k_B T/3\eta$, with η the
15 buffer viscosity, k_B the Boltzmann constant and T the temperature. The validity of equation 2 was
16 experimentally assessed by measurements at increasing ADC concentrations in similar
17 conditions as described in section 1, and Figures 1 and 2. Figure 5 (and Figure SI6 in SI) zooms
18 on the initial increase of scattered light by solutions of ADC initially kept at pH 6.5 (Anotop-
19 filtered) and rapidly mixed at time zero with an Histidine-sucrose buffer at a higher pH (1:1
20 volume ratio). In the range of concentrations shown, the initial slope of variation of intensity vs
21 time increased from almost zero (a slope < 0.01 kcps/s was of the order of noise produced by
22 dusts or bubbles after mixing) to > 60 kcps in 100 s. The rate of intensity variation appeared to
23 increase in proportion to the square of $[ADC]$ (insets in Figure 5 and SI6 in SI) as expected from
24 equation 2. Two practical points are however important to consider. First, scattering suffers from
25 a high sensitivity to small amount of large particles, dust or microbubbles, that may affect the
26 quality of data. They appeared as abrupt spikes of intensity with short durations (1s-3s). Obvious
27 spikes were removed from raw data prior to fitting. Second, the scattering at short times can be
28 matched to equation 2 only if theoretical expectation of the absence of aggregates at time zero is
29 fulfilled, which implies that the initial scattered intensity must be equal to scattering from buffer
30 + ADC monomers. For instance, measurements at high $[ADC]$ did enable linear extrapolations,
31 but with lack of consistency in term of the value of initial intensity. At "too" high $[ADC]$, a
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 significant amount of aggregation occurred within the mixing time and/or sampling time (1s
4 sampling and a few seconds needed to mix and then relax the agitation). When practiced in the
5 relevant concentration conditions (as in Figure 1 and 5, ADC2 < 0.3 g/L and ADC3 < 0.03 g/L)
6 contributions of monomers of ADC to scattering were low (< 3 kcps) and extrapolated initial
7 intensities were close to the intensity scattered by the buffer. In Figure 5, extrapolation of
8 measured intensities to time zero did generally not exceed 20% increase compared to scattering
9 from the buffer+ADC, which indicates that no, or only limited aggregation, took place before we
10 started recording.
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



47
48 **Figure 5.** Variation with ADC concentration of the aggregation kinetics triggered by pH shift
49 at $t = 0$, and characterized by light scattering. Experimental conditions were the same as in
50 Figure 1.(A) ADC2 at pH 8.0 ± 0.05 and 0.06, 0.125, 0.21 $\text{g} \cdot \text{L}^{-1}$; (B) ADC3 at pH 8.0 ± 0.05 and
51 0.08, 0.01, 0.015, 0.02, 0.025 $\text{g} \cdot \text{L}^{-1}$. Lines are fits to linear variations of the intensity vs time,
52 with corresponding equation shown in the Figure. Insets plot the fitted slopes ($\times 1000$) vs the
53 square of [ADC] ($\times 1$ ADC2 or $\times 1000$ ADC3).
54
55
56
57
58
59
60

1
2
3 Apparent effective stability ratio, W_{eff} , was then determined by using in Equation 2 the total
4 concentration of ADC (aggregation-prone fraction assumed to be 100%). Although this
5 calculation overestimated the amount of ADC truly entering in the aggregation process, it gave
6 an average index of the kinetics stability. Not surprisingly, W_{eff} of the different ADC in the same
7 buffer followed the order $ADC3 < ADC2 < ADC0$ (Figure 6). W_{eff} was highly sensitive to pH
8 (variation of W_{eff} on more than 5 decades), suggesting an important role of the protein ionization
9 state. W_{eff} changed to a lesser extent (variation by a factor of 100) with adding 100 mM NaCl or
10 sucrose in buffers at fixed pH (Figure 6B). The increasing stability ratio upon increasing the
11 ionic strength near pH of minimum stability ($7 < \text{pH} < 8$), indicates the presence of coulomb
12 attractions between unstable ADC. The decrease of the stability ratio in the presence of sucrose
13 (an osmolyte favoring protein compactness and folding) suggests that conformational stability
14 was not the main origin of aggregation. The conventional trend associated with preferential
15 hydration of proteins is that the presence of sucrose facilitates interprotein contacts, which is
16 here reflected by faster aggregation.¹⁷
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

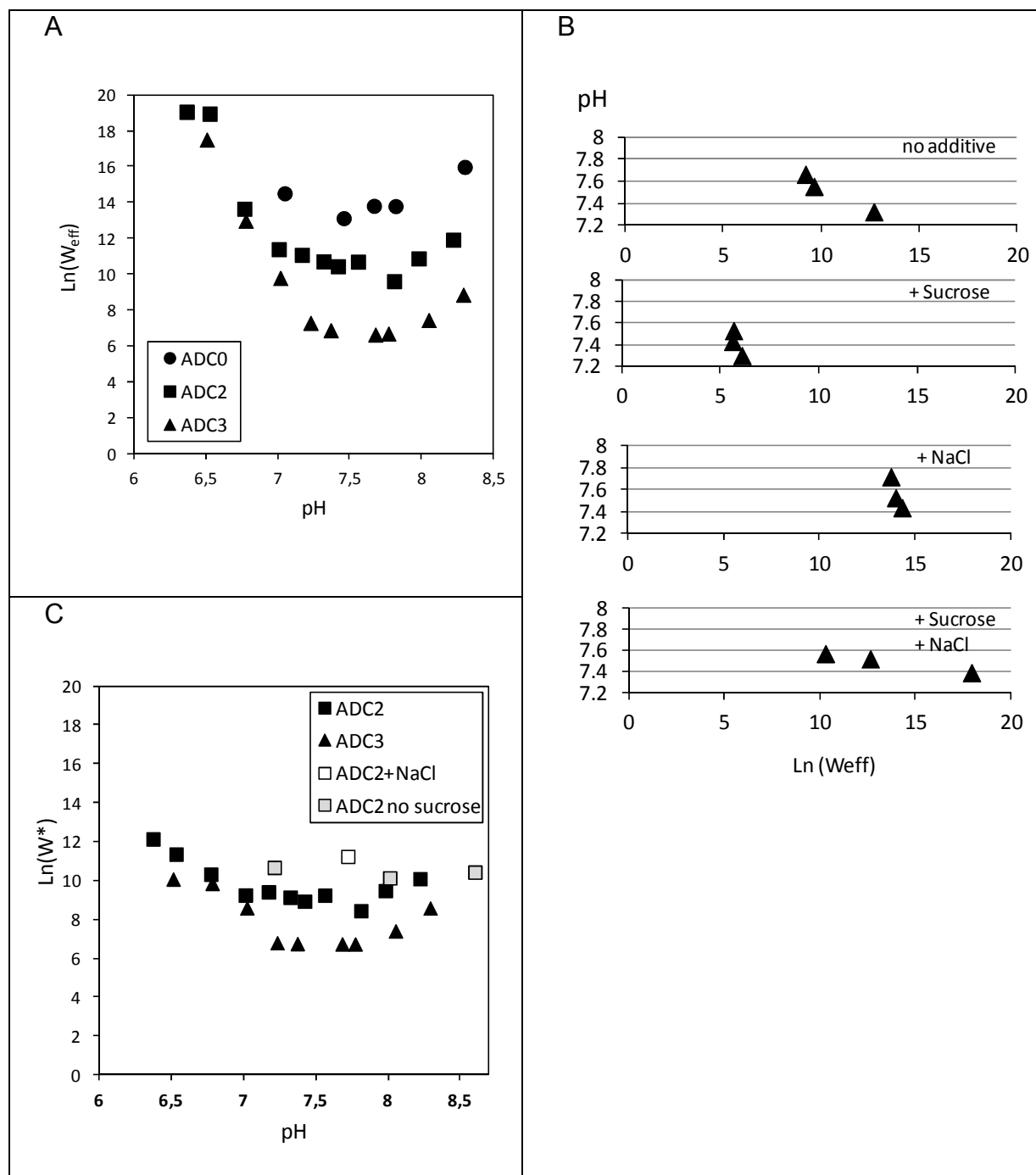


Figure 6. Fuchs stability ratio of ADCs determined from kinetic data in Figure 1 and Equation 2. (A) W_{eff} in buffer with no NaCl and with sucrose, calculated using the total ADC concentration in eq. 2, (B) W_{eff} of ADC3 determined in buffer containing or not sucrose (0 ; 0.3 M), and NaCl (0; 100 mM) in addition to 10 mM Histidine-HCl (C) $W^* = W_{eff} \times$ (fraction of ADC aggregated at long time, as estimated from data in Figure 2).

1
2
3 Plateaus of intensity varied in reverse order compared to the kinetic stability ratio W_{eff} (ADC3
4 >> ADC2 > ADC0), suggesting that lower (average) stability as estimated from W_{eff} was
5 associated with larger fraction of unstable ADC. To define a stability ratio that is not averaged
6 over the whole population, and is representative of the fraction of unstable ADCs, we calculated
7 W^* using in Equation 2 the concentration of the unstable fraction, which reads $W^* = W_{eff} \times$
8 (%aggregation-prone ADC). The weight-fraction of aggregates was determined in a few
9 reference conditions, by ultracentrifugation of representative solutions and measurement by
10 spectrophotometry of the residual soluble fraction of ADC in supernatants (in concentrated
11 samples to reach measurable absorbance values). Aggregates typically represented a minor
12 fraction of 15%- 30% of the total protein concentration (Table 2). Data in Table 2 were used to
13 calibrate the plateau intensities for ADC2 and ADC3 that were assumed to vary in proportion to
14 the % aggregated ADC (see Section 1), and thus to calculate W^* . Interestingly, variation of W^*
15 with pH, NaCl, sucrose, and even difference between ADC2 and ADC3 were diminished
16 compared to W_{eff} (Figure 6C) but the overall impacts of pH or DAR variation remained
17 qualitatively the same.
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41

42 **Table 2.** Weight fraction of insoluble ADC2 measured after 24h incubation in stress buffer at
43 diverse pH.
44
45

46 buffer	47 HB+sucrose	48 HB+sucrose	49 +sucrose+NaCl	50 +sucrose+NaCl	51 HB	52 HB
53 pH	54 7.55	55 8.3	56 7.6	57 8.25	58 7.6	59 8.05
60 % aggregates	25	20	13	15	15	35

Initial concentration 0.55 g.L^{-1} ; concentration of soluble ADC was determined in supernatants after ultracentrifugation at $200\,000 \times g$ for 15 min.; buffer composition was 10 mM Histidine-HCl ("HB"), with 100 mM NaCl (+ NaCl), and/or 0.3 M sucrose (+sucrose).

1
2
3 4- SAXS study of average protein-protein interaction and preservation of protein shape.
4
5

6
7 Characterization by small angle scattering of IgG1 monomers has been the purpose of several
8 reports, showing nicely that inter-mAb interaction, and intra-mAb shape can be analyzed by
9 SAXS or SANS over a large concentration range (for discussion on form factor and interaction
10 potentials of mAbs see ref.^{18,19,20,21,22}). In particular, the applicability of SAXS/SANS in
11 monitoring acid-induced, or heat-induced, antibody aggregation was demonstrated.^{18,22} To
12 analyse data, the following assumption are required: weak inter-aggregates interaction ($S(q)$ of
13 aggregates neglected), and additive contributions from aggregates and non-aggregated species, in
14 order to subtract the contribution of monomer/oligomers from profiles of aggregate-containing
15 samples (using scattering profiles of filtered, aggregate-free, samples).¹⁸ Solutions of ADC0 and
16 ADC2 prepared at pH 6.5 predominantly contained monomers of ADC (*vide supra*) so that
17 SAXS profiles in these conditions were expected to compare with published profiles of mAbs,
18 and to be used as reference for further analysis of aggregate-containing solutions. ADC
19 scattering was measured by SAXS as a function of pH, in buffers supplemented or not with
20 sucrose (0.3 mol.L⁻¹) and NaCl (100 mM), i.e. in conditions that yielded aggregation. SAXS
21 spectra recorded at increasing ADC concentrations are shown in Figure 7. To reliably identify
22 the signature of ADC-ADC interactions, we first determined the reference scattering curve
23 reached in the absence of interaction, i.e. the form factor $P(q)$ of ADC (represented as the full
24 lines in Figures 7). $P(q)$ was calculated by linear extrapolation to the zero-concentration limit of
25 the concentration-normalized scattering intensities. To this aim, the curves in Figure 7 were
26 obtained by subtracting the buffer scattering intensity to raw intensity data, and dividing the
27 result by ADC concentration in g/L. This calculation introduced a significant noise at $q > 0.2 \text{ \AA}^{-1}$.
28 Alternative normalization uses the assumption of a good matching with Porod law at high q , and
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

superimposes the data to the same q^{-4} decrease at q above a threshold (typically $> 0.15 \text{ \AA}^{-1}$, see 18,21).

Form factors of ADC0 can be superimposed in the q region $> 0.03 \text{ \AA}^{-1}$ with form factor calculated similarly for ADC2 at pH 6.5. The absence of variation of $P(q)$, specifically in the high q window, indicates that attachment of the drug on mAb did not significantly affect the overall shape of the protein (which is sensitive to changes of the hinge angle between Fc and Fab regions, see below).

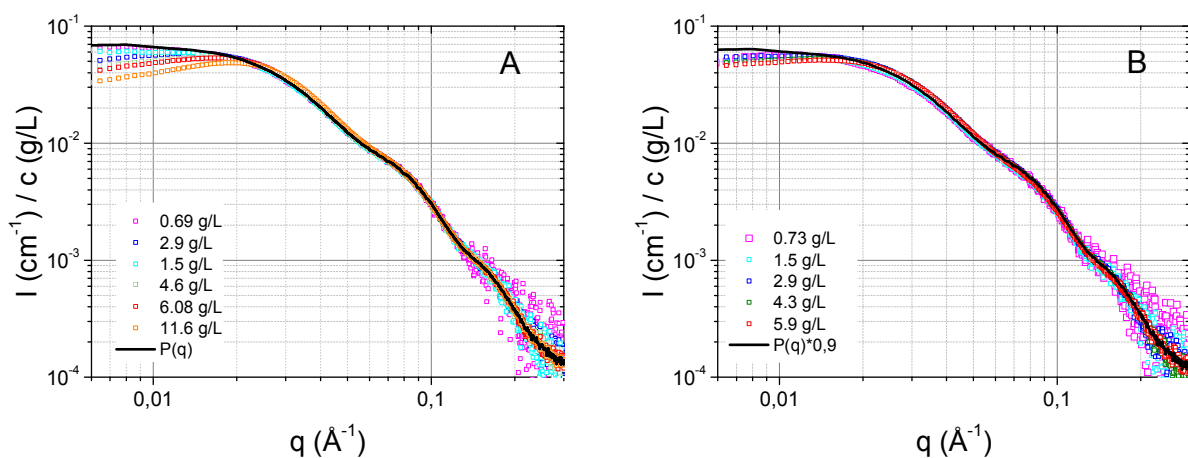


Figure 7. SAXS scattering spectra of ADC0 (A) and ADC2 (B) at varying ADC concentration in 10mM Histidine buffer pH 6.5. In both figures, $P(q)$ is the form factor of ADC0 calculated by extrapolation of data in Figure 7A to zero concentration.

Using $P(q)$ as a reference curve corresponding to zero average interaction between ADC molecules, the plot of concentration-normalized spectra at a fixed ADC concentration (at 4.35 g.L^{-1}) enabled to rank the buffer conditions as a function of the degree of repulsion (low- q drop) or attraction (low- q increase compared to $P(q)$). To estimate graphically the transition pH between attractive and repulsive regimes, we represented $S_{app}(q)$, the ratio of the measured

1
2
3 scattered intensity to $P(q)$ (shifted by a constant factor adjusted between 0.95 and 1.05 in order to
4 account for pipetting errors, and to reach a value of 1 at $q > 0.05 \text{ \AA}^{-1}$, Figure 8). This apparent
5 structure factor deviates from unity at low q due to contributions of correlation in the radial
6 density of ADCs, with upturns corresponding to overconcentration at short interADC distances,
7 i.e. average attractions between the proteins. In the case of ADC0 and ADC2, flat fluctuating
8 values around 1.0 were obtained for $q > 0.025 \text{ \AA}^{-1}$, suggesting that this normalization fairly
9 reports the structure factor. Both samples displayed repulsive patterns at pH 6.5, though with
10 different magnitude of the low- q variation of S_{app} . Not surprisingly, increasing pH decreased
11 repulsion and enhanced attraction, presumably because of the gradual charge neutralization of
12 the proteins. In the case of ADC0, i.e. when aggregates were absent or in negligible fraction, it
13 was possible to measure by light scattering the corresponding virial coefficient that was found to
14 vary from $5.3 \cdot 10^{-4} \text{ mol.mL.g}^{-2}$ (pH 6.5) down to $-2.1 \cdot 10^{-4} \text{ mol.mL.g}^{-2}$ (pH9.0). Results shown in
15 SI Figure SI7 confirmed the qualitative picture obtained from SAXS: i) the predominance of
16 repulsive interactions between ADC0 at pH<7.8, ii) a balance of attraction-repulsion at pH
17 between 7.8 and 8.4 (virial coefficient lower than experimental errors), and inter-ADC0
18 attractions at pH 9.0. With increasing DAR, a clear trend was the shift of the balanced (zero)
19 mean interaction to lower pHs. The slight drift of S_{app} over the whole q range shown in Figure
20 8C (ADC3) may indicate in addition a contribution of oligomers that was not further analyzed.
21 Figure 8D summarizes the results by ranking sample conditions (pH, NaCl) along a scale
22 indicative of the global magnitude of the low- q variation of S_{app} . In buffer without NaCl,
23 conditions cancelling interactions are listed as pH ≈ 8.5 (ADC0), pH ≤ 8.0 (ADC2), and pH > 7.0
24 (ADC3). Addition of 100 mM NaCl turns repulsive interaction into attractive ones (pH 6.5) or
25 slightly decreases the magnitude of repulsion (at high pH, Figure SI8 in SI).

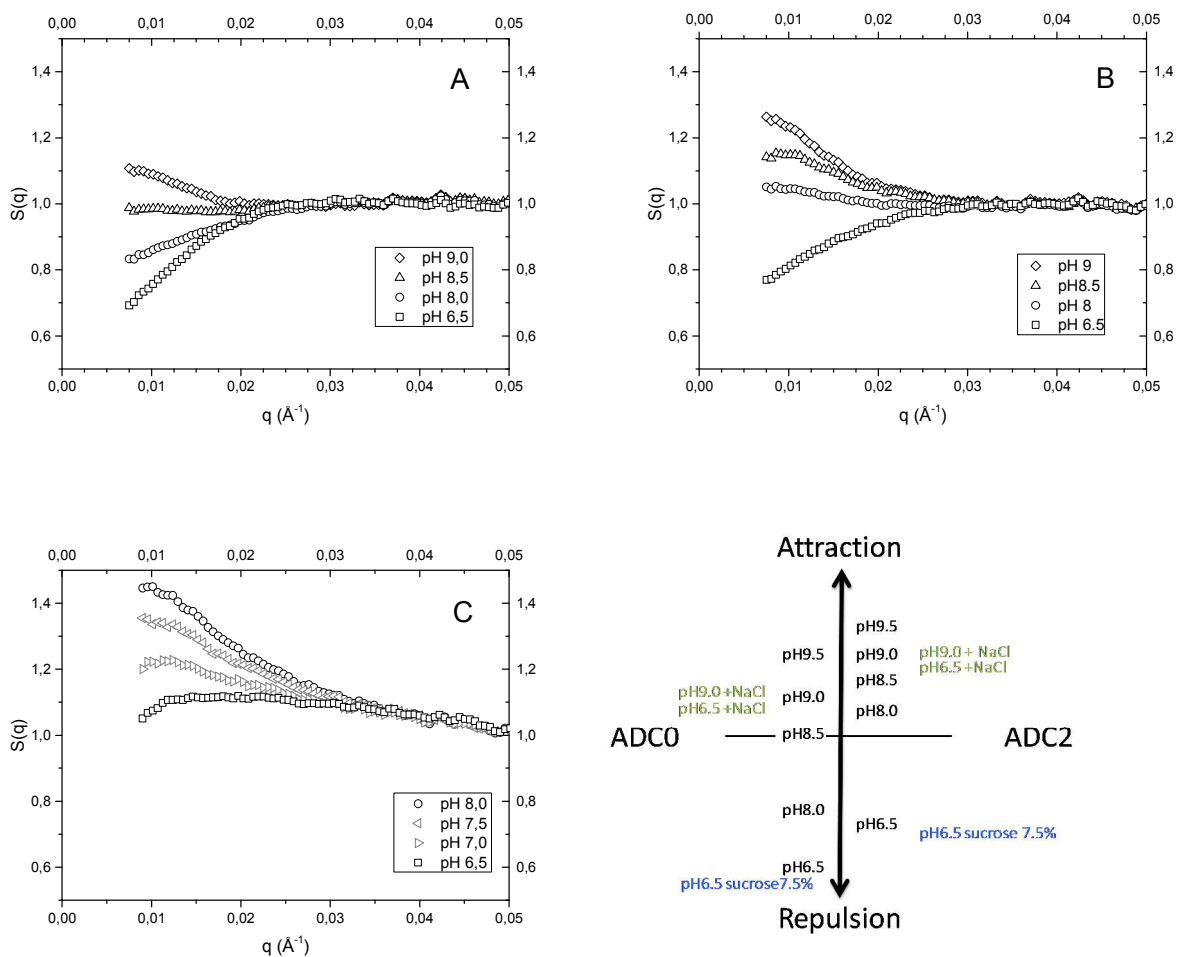
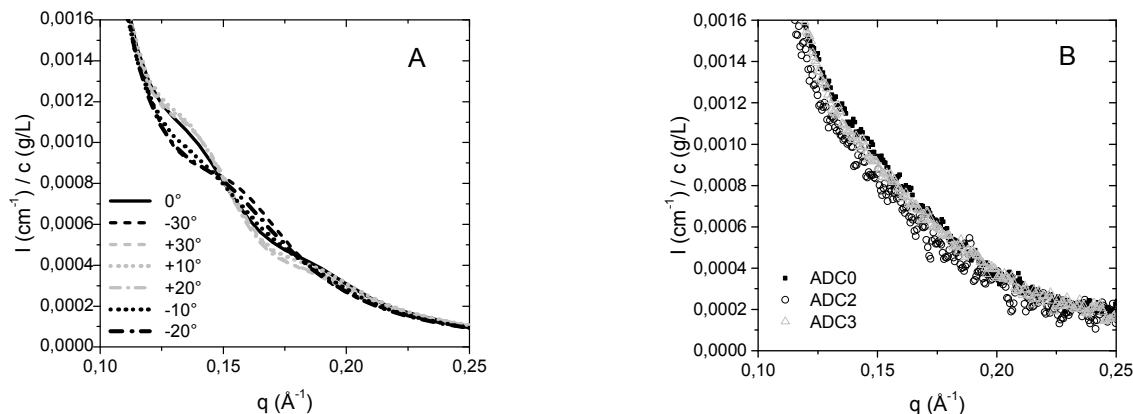


Figure 8. Variation with pH of inter-ADC interactions as indicated by scattering spectra at low q . Representation of $S_{app}(q) = I(q)/P(q)$ for ADC in 10 mM Histidine-HCl solutions. (A) 4.35 g.L^{-1} ADC0, (B) 4.35 g.L^{-1} ADC2, (C) 5 g.L^{-1} ADC3; (D) buffer conditions qualitatively ranked in the order of increasing mean interprotein interaction, as estimated from the rate of low- q variation of S_{app} in Figures 8A-C, and Figure SI8 in ESI. The horizontal black line indicates the boundary of vanishing mean interaction.

1
2
3 SAXS from ADC solutions prepared at pH > 6.5 were recorded on samples that were not
4 filtered, and thus included contributions from aggregates. The absence of experimentally
5 significant differences in the high- q ($>0.025\text{\AA}^{-1}$) scattering of ADC2 at pH 6.5 and pH 8 suggests
6 that aggregation did not introduce detectable correlations between the molecules. This is a hint
7 speaking in favor of *i*) preservation of ADC native-like shape (at the resolution of SAXS), and *ii*)
8 absence of specific orientation between concomitant proteins in aggregates. To estimate the
9 magnitude of deformation on IgG monomers that could be detected by SAXS, we generated
10 model structures based on pdb crystal structures subjected to relative rotations of the Fab vs Fc
11 domains (see experimental section). The pdb file 1IGT was somewhat arbitrarily chosen among
12 available crystal structures as a starting scaffold, essentially because SAXS profile of unmodified
13 1IGT was closer to $P(q)$ of ADC0 in the high q range (Fig. SI9 in SI). SAXS scattering curves
14 were then calculated for each distorted structure using CRY SOL routine of the ATSAS
15 package.²³ Calculated SAXS profiles revealed that the more obvious indications of relative
16 rotations between the domains could be seen in the q -range between 0.1 and 0.2 \AA^{-1} (Figure 9A).
17 The impact of shape distortion has been exploited in advanced structural characterization based
18 on generation of ensembles of antibody structures to fit high- q SAXS profiles.²² Our present
19 illustration is also consistent with the model proposed by Yearley and coll., who calculated the
20 form factor based on a robust Y-shaped antibody-like structure (providing general trends that do
21 not depend on a specific crystallographic structure).²¹ It was demonstrated in this work that
22 variation of the angles between the two Fab domains, or between one Fab and the Fc domain, is
23 reflected by significant shifts at $q < 0.08\text{\AA}^{-1}$, and also clear variations of the slope around
24 inflexion points present in the 0.08-0.2 \AA^{-1} window. By comparing the theoretical profiles in
25 Figure 9A, we estimated that rotation by 20° or more of one single domain is likely to be
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

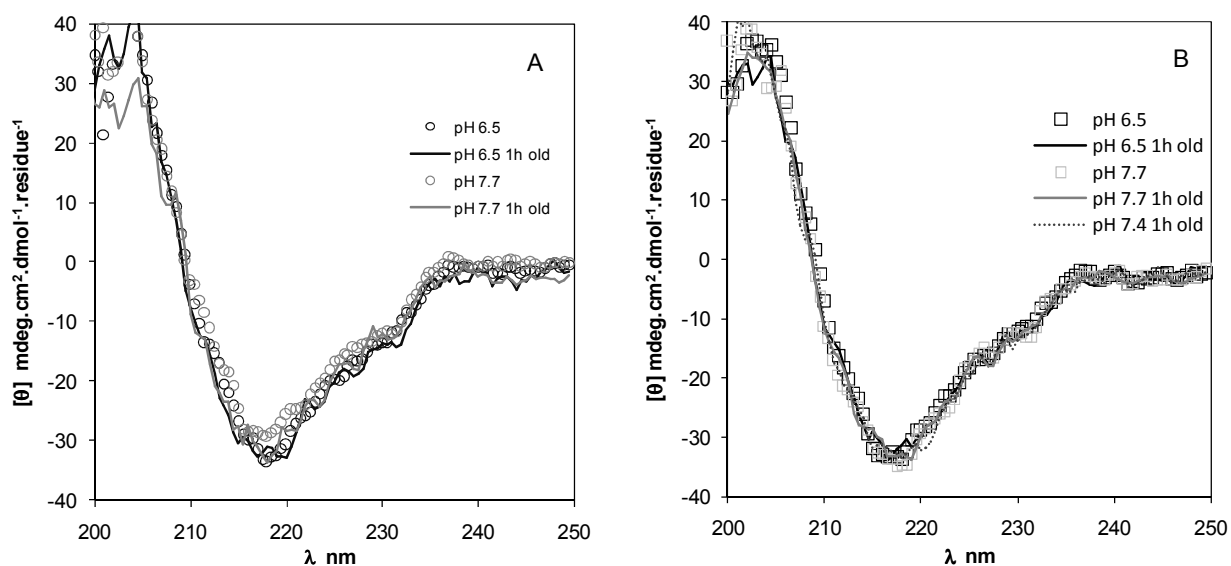
1
2
3 detected by SAXS. Figure 9B shows the comparison of experimental SAXS profiles for ADC0,
4
5 ADC2 and ADC3 in this range of q . The similarity of all three profiles zoomed on the relevant q -
6
7 range suggests that the relative orientation and position of Fab and Fc domains were similar in
8
9 ADC0, ADC2 and ADC3.
10
11
12
13
14



15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31 **Figure 9.** SAXS profiles zoomed in the q range where modifications of the tertiary structure are
32 expected to significantly change the profile. (A) Calculated SAXS profiles of model structures
33 generated from pdb 1IGT file and distorted by rotation of one Fab region relative to the Fc
34 domain (see experimental section and Figure SI2 in ESI), (B) experimental SAXS data recorded
35 for ADCs.
36
37
38
39
40
41
42

43 The absence of significant change in the structural features of ADC when they were subjected
44 to pH stress was assessed in addition by circular dichroism measurements. The CD spectra
45 recorded immediately after dilution at pH 6.5, 7.4, or 7.7 did not show statistically significant
46 differences (Figure 10). At $t = 1$ h, when aggregates were formed, the absence of significant
47 change in CD spectra indicated that the secondary structure was not evolving in this time
48 window. Due to the high absorbance of Histidine in UV, phosphate buffer (with 10% sucrose)
49 was used in CD experiments. This modification did not qualitatively modify the propensity to
50
51
52
53
54
55
56
57
58
59
60

1
2
3 aggregate, though we noticed that aggregation was slower in phosphate compared to Histidine
4 buffers. abrupt, stress-induced, increase of apparent hydrodynamic radius in Fig SI3, while no
5
6 change is detected at the molecular level (CD spectra). This result differs from what is generally
7
8 reported in mAb solution. For instance, measurements on acidic pH-stressed mAbs, as reported
9
10 by B. Veestergaard,²² show a significant loss of monomers while denatured oligomers and
11
12 HMWS become predominant. In ADC however, the predominant scattered intensity goes with a
13
14 minor fraction, about 20%, of the ADC undergoing aggregation (Table 2). Preservation of
15
16 monomer in the soluble, non-aggregated, population is confirmed by stability of radii <12nm
17
18 after filtration (Fig SI4 in SI). In contrast to mAbs solutions, application of a stress has
19
20 presumably a variable impact on the different molecules of ADC present in the same solution,
21
22 which result in "lack" of denaturation of most ADC molecules.
23
24
25
26
27
28
29



51
52
53
54
55
56
57
58
59
60

Figure 10. Circular dichroism spectra of ADC diluted at time zero in 10 mM Na_2HPO_4 - NaH_2PO_4 , 10% sucrose stress buffers. (A) 0.12 g.L^{-1} ADC0; (B) 0.188 g.L^{-1} ADC2. "pH6.5" or "pH 7.7" stand for data recorded immediately after dilution (scans duration 3 min.), "1h old" indicates spectra recorded one hour after dilution.

1
2
3
4
5
6
7 Discussion.

8
9
10 *Kinetic stability vs mean colloidal interactions*

11
12
13 It is generally proposed that well below temperature conditions required for unfolding, here
14 near or below room temperature, the mean interactions between proteins in solution correlate
15 with propensity to form aggregates. Average interaction, or virial coefficients, are used as direct
16 criteria to predict the stability of mAb (see for instance relationship with phase transition
17 studies,^{24,25} SAXS or SANS measurements,^{18,21,26} predictions based on colloidal stability²⁷).
18 General models based on Fuchs stability ratio and correlation with inter-protein
19 attraction/repulsion have accordingly been reported to apply to mAb cold-set aggregation by
20 Morbidelli et al.^{9,11} Alternative origins of instability essentially involve conformational
21 transitions, near conditions of structural destabilization of the native fold (mechanisms based on
22 non-native aggregation, such as in amyloid aggregation²⁸). Conformational instability is
23 typically expected to be significant in mAb aggregation above 40°-50°C.^{29,30,31,32,33} (for a recent
24 review on aggregation mechanisms see³⁴) In the present study, kinetics data lacked the signature
25 of conformational transition (no lag time nor nucleation regime, no evidence for autocatalysis),
26 which justified the use of the colloidal stability model.
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46

47
48 Maximum aggregation propensity was reached in the pH range 7 to 8 for ADC2 and ADC3, as
49 reflected by the smooth minimum in W_{eff} near pH 7.8. In contrast, mean inter-protein interactions
50 reflected by SAXS spectra appeared to vary monotonously from weakly repulsive to attractive
51 with increasing pH from 6.5 to 8.5, suggesting that maximum attraction between ADCs was
52 reached near or above pH 8.5-9.0. Somewhat surprisingly, the evolution toward attractive
53
54
55
56
57
58
59
60

1
2
3 interactions by increasing the pH above 8 was accompanied by an increase of W_{eff} , i.e. stronger
4
5 average attractions above pH 8 corresponded to slower aggregation kinetics. Other apparent
6
7 inconsistency between interaction pattern and kinetic stability include the stabilizing effect of
8
9 added NaCl at pHs far from the pH of maximum attractive interaction (i.e. far from the
10
11 isoelectric point), where Coulomb repulsions should limit interprotein collisions. Mean attraction
12
13 between ADC is accordingly NOT predictive of the kinetics of aggregation. Polydispersity is the
14
15 major difference between ADC and model solutions of mAb. Covalent coupling of mAb with the
16
17 drug molecules yielded here a mixture of proteins having diverse drug:mAb ratios, and a variety
18
19 of possible surface distribution of the conjugation points, including uneven ones that may locally
20
21 form densely modified patterns. As opposed to SAXS (that give access to average interactions
22
23 over the whole population of ADC), kinetics of aggregation is primarily sensitive to the unstable
24
25 (sub)fraction of ADCs. Apparent inconsistencies between variations of the average interaction
26
27 parameters and kinetics of aggregation, together with our measurements of the amount of
28
29 aggregates, usually $< 30\%$ of the total protein, likely reveals the specific contribution to
30
31 instability of a subpopulation of ADCs. The predominant contribution of an unstable fraction is
32
33 also consistent with the high stability reached when samples were filtered a few second after
34
35 application of the pH stresses (removal of the unstable fraction).
36
37
38
39
40
41
42
43
44
45
46
47

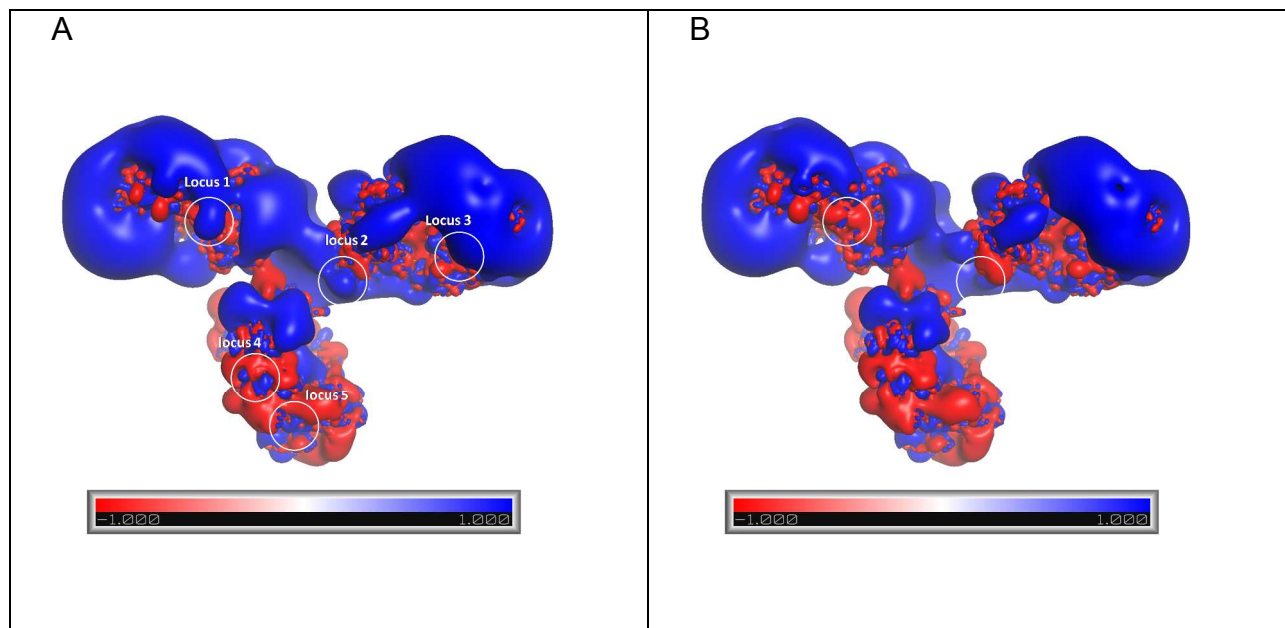
48 *Influence of Lysine modification onto surface charge distribution on ADC*

49
50

51 We illustrate in this section the presence of patches of opposite charges on ADC, that was
52
53 inferred from the increase of W^* with increasing NaCl at pH $\sim 7-8$. Figure 11 shows various mAb
54
55 configurations that favor or not the protrusion of local negatively-charged patches upon
56
57
58
59
60

1
2
3 heterologous substitution in ADC0 of two positively-charged Lysine residues by a neutral
4 Leucine ones (model calculated at pH 7.8, see experimental section). The impact of the
5 substitution of two Lysine residues on Coulomb potential was generally weak if the residues
6 were embedded in a positively charged region (Figure 11B). In contrast, modification of two
7 Lysines could turn a weakly charged region into an obvious anionic, protruding pole (Figure
8 11C) with a range of negative potential similar to the highest positive protrusions seen in Fab
9 domains. The estimated isoelectric point did not shift by more than 0.1 pH units, from 8.89 down
10 to 8.79 in all the configurations tested (Figure 11D). These purely qualitative and arbitrary
11 considerations confirm that a small number of modifications on Lysine are sufficient to create
12 charge configurations on ADC that display both positive and negative regions at pH 7.8, while
13 being well below the global isoelectric point. Therefore, we propose that stabilization of ADC in
14 the presence of NaCl, a behavior that was observed experimentally at pH ~ 7-8, presumably
15 involves the decrease of Coulomb attractions between positively and negatively charged patches,
16 i.e. an electrostatic surface potential markedly differing from the charge pattern of ADC0 (N.B.:
17 this does not preclude that the charged patches may also be hydrophobic, due to attachment of
18 the drug). The hypothesis of the involvement of ionic patches in inter-protein bridging is not
19 new. It has been reported in reversible phase transition (coacervation) of native globular
20 proteins.³⁵ Recent studies suggest that coulomb attraction can also favor irreversible aggregation
21 of monoclonal and polyclonal antibodies.^{36,37,38} The main difference with previous studies relies
22 here on the fact that in the case of ADCs, patches are due to the chemical modification and their
23 number and composition are accordingly governed by statistic distribution of the modified
24 residues.
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



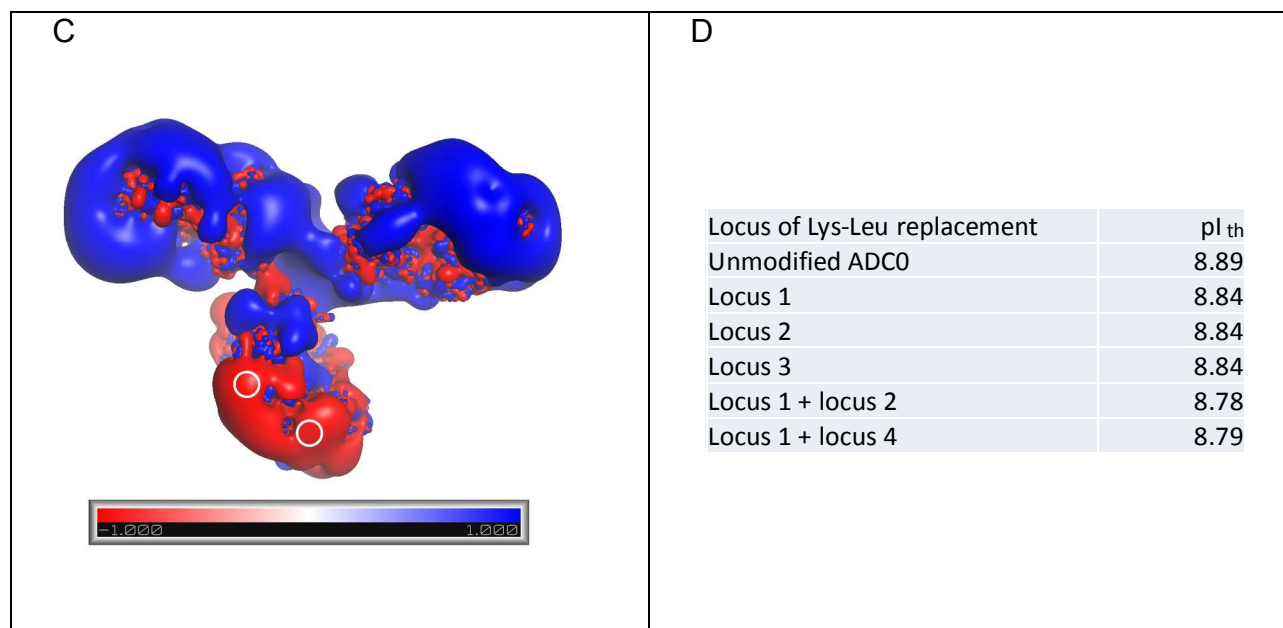


Figure 11. Electrostatic surface isocontour ($\pm 1 k_B T/e$) of mAb (ADC0) calculated using Adaptive Poisson-Boltzman Solver software with 10 mM ionic strength and pH 7.8 as parameters. (A) Unmodified ADC0 and identification by circles of Lysine residues located in regions of near-neutral or weakly negative mean charge. (B) Illustration of an isopotential of a doubly-modified ADC (Lysine replaced by Leucine in loci 1 and 2), i.e. near positive "blue" protrusions in Fab domains (similar results were obtained with pairs of modifications at the loci 1, 2 or 3 shown in Figure 11A); (C) Illustration of an isopotential of a doubly-modified ADC where replacement of Lysine by Leucine were made at loci 4 and 5. (D) pI calculated with the "propKa software" package.^{16,15}

Conclusions.

Results from light scattering and SAXS on antibody-drug conjugates established the distinct influence of composition parameters of the solution (pH, ionic strength, sucrose) on room-temperature aggregation rate and interprotein interactions. In particular, determination of ADC kinetic stability and of average interADC interactions as a function of pH, and with or without NaCl in buffers, suggest that the rate of formation of ADC clusters can be maximal under

1
2
3 conditions corresponding to weak average repulsions between the proteins. The conjugation of
4
5 monodisperse monoclonal antibody introduces a degree of polydispersity in ADC that is
6
7 proposed to be at the origin of the counterintuitive observation of a minimal stability at pH
8
9 conditions corresponding experimentally to average repulsions, or weak interactions between
10
11 ADC. Involvement of a pH-dependent subset of the whole population of ADC is validated by
12
13 measurement of the fraction of aggregated proteins that typically reached plateaus below 20-
14
15 35 mol%, and by the high, days-long stability of the filtrates obtained just after a pH-triggered
16
17 destabilization (containing the majority of the initial ADCs). Overall, this study demonstrates
18
19 that ADC polydispersity (here due to the number and distribution of chemically modified
20
21 Lysines) should not be overlooked, particularly when developing formulation of therapeutic
22
23 antibody-drug conjugates. On more fundamental grounds, protein conjugates having a structural
24
25 diversity due to the variability of discrete, residue-specific, surface modifications provide a new
26
27 experimental model that opens interesting questions in the field of colloid aggregation.
28
29
30
31
32
33
34
35
36
37

38 **Supporting Information.** SEC analysis of ADC, images of distorted IgG, UV-vis. absorption
39
40 spectra before and after filtrations, light scattering data, SAXS spectra are available free of
41
42 charge via the Internet at <http://pubs.acs.org>.
43
44
45
46
47

48 **Corresponding Author**

49
50
51 * christophe.tribet@ens.fr
52
53

54 **Present Addresses**

55
56
57 †University of Cambridge (UK), Dept of Chemistry ; ‡ University of Bristol, Dept of Chemistry.
58
59
60

Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

ACKNOWLEDGMENT

This work was supported by the French National Research Agency (program Blanc International, grant ANR 2010-INT 1501, and program Investissement d'Avenir ANR-11-LABX-0011-01, and by SANOFI research grant to BFP. Authors are grateful to Javier Perez and Aurélien Thureau for their help and advice in SAXS measurements at SOLEIL. We thank Sophie Norvez from MMC laboratory in ESPCI for her help with circular dichroism.

REFERENCES

1. Leader, B.; Baca, Q.J.; Golan, D.E. Protein therapeutics: a summary and pharmacological classification. *Nat. rev., Drug Discov.* **2008**, *7*, 21-39. .
2. Biologic drugs set to top 2012 sales. *Nat. Med.* **2012**, *18* (5), 636-636.
3. Ma, L.L.; Tam, J.O.; Willsey, B.W.; Rigdon, D.; Ramesh, R.; Sokolov, K.; Johnston, K.P. Selective Targeting of Antibody Conjugated Multifunctional Nanoclusters (Nanoroses) to Epidermal Growth Factor Receptors in Cancer Cells. *Langmuir* **2011**, *27* (12), 7681-7690.
4. Chari, R.V.; Miller, M.L.; Widdison, W.C. Antibody-drug conjugates: An emerging concept in cancer therapy. *Angew. Chem. Int. Ed.* **2014**, *53*, 3796-3827.
5. Roberts, C.J.; Das, T.K.; Sahin, E. Predicting solution aggregation rates for therapeutic proteins: Approaches and challenges. *Int. J. Pharm.* **2011**, *418* (2), 318-333.
6. Brummitt, R.K.; Nesta, D.P.; Roberts, C.J. Predicting Accelerated Aggregation Rates for Monoclonal Antibody Formulations, and Challenges for Low-Temperature Predictions. *J. Pharm. Sci.* **2011**, *100* (10), 4234-4243.
7. Castellanos, M.M.; Pathak, J.A.; Colby, R.H. Both protein adsorption and aggregation contribute to shear yielding and viscosity increase in protein solutions. *Soft Matter* **2014**, *10* (1), 122-131.

- 1
 - 2
 - 3
 - 4
 - 5
 - 6
 - 7
 - 8
 - 9
 - 10
 - 11
 - 12
 - 13
 - 14
 - 15
 - 16
 - 17
 - 18
 - 19
 - 20
 - 21
 - 22
 - 23
 - 24
 - 25
 - 26
 - 27
 - 28
 - 29
 - 30
 - 31
 - 32
 - 33
 - 34
 - 35
 - 36
 - 37
 - 38
 - 39
 - 40
 - 41
 - 42
 - 43
 - 44
 - 45
 - 46
 - 47
 - 48
 - 49
 - 50
 - 51
 - 52
 - 53
 - 54
 - 55
 - 56
 - 57
 - 58
 - 59
 - 60
8. Rudiuk, S.; Cohen-Tannoudji, L.; Huille, S.; Tribet, C. Importance of the dynamics of adsorption and of a transient interfacial stress on the formation of aggregates of IgG antibodies. *Soft Matter* **2012**, *8* (9), 2651-2661.
9. Owczarz, M.; Motta, A.C.; Morbidelli, M.; Arosio, P. A Colloidal Description of Intermolecular Interactions Driving Fibril-Fibril Aggregation of a Model Amphiphilic Peptide. *Langmuir* **2015**, *31* (27), 7590-600.
10. Wu, H.; Xie, J.J.; Morbidelli, M. Kinetics of cold-set diffusion-limited aggregations of denatured whey protein isolate colloids. *Biomacromolecules* **2005**, *6* (6), 3189-3197.
11. Arosio, P.; Rima, S.; Lattuada, M.; Morbidelli, M. Population Balance Modeling of Antibodies Aggregation Kinetics. *J. Phys. Chem. B* **2012**, *116* (24), 7066-7075.
12. Bouchard, H.; Commercon, A.; Fromond, C.; Mikol, V.; Parker, F.; Sassoon, I.; Tavares, D. Antibodies that specifically bind to EPHA2 receptor **2014**, US patent 2014, US 8,668,910 B2.
13. Dolinsky, T.J.; Nielsen, J.E.; McCammon, J.A.; Baker, N.A. PDB2PQR: an automated pipeline for the setup of Poisson-Boltzmann electrostatics calculations. *Nucleic Acids Res.* **2004**, *32*, W665-W667.
14. Baker, N.A.; Sept, D.; Joseph, S.; Holst, M.J.; McCammon, J.A. Electrostatics of nanosystems: Application to microtubules and the ribosome. *Proc. Natl. Acad. Sci. USA* **2001**, *98* (18), 10037-10041.
15. Sondergaard, C.R.; Olsson, M.H.M.; Rostkowski, M.; Jensen, J.H. Improved Treatment of Ligands and Coupling Effects in Empirical Calculation and Rationalization of pK(a) Values. *J. Chem. Theory Comput.* **2011**, *7* (7), 2284-2295.
16. Olsson, M.H.M.; Sondergaard, C.R.; Rostkowski, M.; Jensen, J.H. PROPKA3: Consistent Treatment of Internal and Surface Residues in Empirical pK(a) Predictions. *J. Chem. Theory Comput.* **2011**, *7* (2), 525-537.
17. Timasheff, S.N. Protein hydration, thermodynamic binding, and preferential hydration. *Biochemistry* **2002**, *41* (46), 13473-13482.
18. Castellanos, M.M.; Pathak, J.A.; Leach, W.; Bishop, S.M.; Colby, R.H. Explaining the Non-Newtonian Character of Aggregating Monoclonal Antibody Solutions Using Small-Angle Neutron Scattering. *Biophys. J.* **2014**, *107* (2), 469-476.
19. Lilyestrom, W.G.; Shire, S.J.; Scherer, T.M. Influence of the Cosolute Environment on IgG Solution Structure Analyzed by Small-Angle X-ray Scattering. *J. Phys. Chem. B* **2012**, *116* (32), 9611-9618.
20. Lilyestrom, W.G.; Yadav, S.; Shire, S.J.; Scherer, T.M. Monoclonal Antibody Self-Association, Cluster Formation, and Rheology at High Concentrations. *J. Phys. Chem. B* **2013**, *117* (21), 6373-6384.
21. Yearley, E.J.; Zarraga, I.E.; Shire, S.J.; Scherer, T.M.; Gokarn, Y.; Wagner, N.J.; Liu, Y. Small-Angle Neutron Scattering Characterization of Monoclonal Antibody Conformations and Interactions at High Concentrations. *Biophys. J.* **2013**, *105* (3), 720-731.
22. Skamris, Th.; Tian, X.; Thorolfsson, M.; Karkov H. S.; Rasmussen H.B.; Langkilde A. E.; Vestergaard B. Monoclonal Antibodies Follow Distinct Aggregation Pathways During Production-Relevant Acidic Incubation and Neutralization. *Pharm Res* **2016**, *33*:716-728.
23. Petoukhov, M.V.; Franke, D.; Shkumatov, A.V.; Tria, G.; Kikhney, A.G.; Gajda, M.; Gorba, C.; Mertens, H.D.T.; Konarev, P.V.; Svergun, D.I. New developments in the ATSAS program package for small-angle scattering data analysis. *J. Appl. Cryst.* **2012**, *45*, 342-350.

- 1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
24. Wang, Y.; Latypov, R.F.; Lomakin, A.; Meyer, J.A.; Kerwin, B.A.; Vunnum, S.; Benedek, G.B. Quantitative Evaluation of Colloidal Stability of Antibody Solutions using PEG-Induced Liquid-Liquid Phase Separation. *Molecular Pharm.* **2014**, *11* (5), 1391-1402.
 25. Wang, Y.; Lomakin, A.; Latypov, R.F.; Laubach, J.P.; Hideshima, T.; Richardson, P.G.; Munshi, N.C.; Anderson, K.C.; Benedek, G.B. Phase transitions in human IgG solutions. *J. Chem. Phys.* **2013**, *139* (12).
 26. Tessier, P.M.; Wu, J.; Dickinson, C.D. Emerging methods for identifying monoclonal antibodies with low propensity to self-associate during the early discovery process. *Expert Opin. Drug Deliv.* **2014**, *11* (4), 461-465.
 27. Arzensek, D.; Kuzman, D.; Podgornik, R. Colloidal interactions between monoclonal antibodies in aqueous solutions. *J. Colloids Interf. Sci.* **2012**, *384*, 207-216.
 28. Murray, B.; Rosenthal, J.; Zheng, Z.; Isaacson, D.; Zhu, Y.; Belfort, G. Cosolute Effects on Amyloid Aggregation in a Nondiffusion Limited Regime: Intrinsic Osmolyte Properties and the Volume Exclusion Principle. *Langmuir* **2015**, *31* (14), 4246-4254.
 29. Arosio, P.; Jaquet, B.; Wu, H.; Morbidelli, M. On the role of salt type and concentration on the stability behavior of a monoclonal antibody solution. *Biophys. Chem.* **2012**, *168*, 19-27.
 30. Sahin, E.; Grillo, A.O.; Perkins, M.D.; Roberts, C.J. Comparative Effects of pH and Ionic Strength on Protein-Protein Interactions, Unfolding, and Aggregation for IgG1 Antibodies. *J. Pharm. Sci.* **2010**, *99* (12), 4830-4848.
 31. Thakkar, S.V.; Sahni, N.; Joshi, S.B.; Kerwin, B.A.; He, F.; Volkin, D.B.; Middaugh, C.R. Understanding the relevance of local conformational stability and dynamics to the aggregation propensity of an IgG1 and IgG2 monoclonal antibodies. *Protein Sci.* **2013**, *22* (10), 1295-1305.
 32. Brummitt, R.K.; Nesta, D.P.; Chang, L.Q.; Chase, S.F.; Laue, T.M.; Roberts, C.J. Nonnative Aggregation of an IgG1 Antibody in Acidic Conditions: Part 1. Unfolding, Colloidal Interactions, and Formation of High-Molecular-Weight Aggregates. *J. Pharm. Sci.* **2011**, *100* (6), 2087-2103.
 33. Broersen, K.; Weijers, M.; de Groot, J.; Hamer, R.J.; de Jongh, H.H.J. Effect of protein charge on the generation of aggregation-prone conformers. *Biomacromolecules* **2007**, *8* (5), 1648-1656.
 34. Amin, S.; Barnett, G.V.; Pathak, J.A.; Roberts, C.J.; Sarangapani, P.S. Protein aggregation, particle formation, characterization & rheology. *Curr. Opin. Colloid Interf. Sci.* **2014**, *19* (5), 438-449.
 35. Yan, Y.; Seeman, D.; Zheng, B.; Kizilay, E.; Xu, Y.; Dubin, P.L. pH-Dependent Aggregation and Disaggregation of Native beta-Lactoglobulin in Low Salt. *Langmuir* **2013**, *29* (14), 4584-4593.
 36. Brunsteiner, M.; Flock, M.; Nidetzky, B. Structure Based Descriptors for the Estimation of Colloidal Interactions and Protein Aggregation Propensities. *Plos One* **2013**, *8* (4).
 37. Martin, N.; Ma, D.; Herbet, A.; Boquet, D.; Winnik, F.M.; Tribet, C. Prevention of Thermally Induced Aggregation of IgG Antibodies by Noncovalent Interaction with Poly(acrylate) Derivatives. *Biomacromolecules* **2014**, *15* (8), 2952-62.
 38. Yadav, S.; Shire, S.J.; Kalonia, D.S. Viscosity behavior of high-concentration monoclonal antibody solutions: Correlation with interaction parameter and electroviscous effects. *J. Pharm. Sci.* **2012**, *101* (3), 998-1011.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

TOC graphic:

