Extension of moment projection method to the fragmentation process

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Abstract

The method of moments is a simple but efficient method of solving the population balance equation which describes particle dynamics. Recently, the moment projection method (MPM) was proposed and validated for particle inception, coagulation, growth and, more importantly, shrinkage; here the method is extended to include the fragmentation process. The performance of MPM is tested for 13 different test cases for different fragmentation kernels, fragment distribution functions and initial conditions. Comparisons are made with the quadrature method of moments (QMOM), hybrid method of moments (HMOM) and a high-precision stochastic solution calculated using the established direct simulation algorithm (DSA) and advantages of MPM are drawn.

Keywords: Fragmentation, breakage, method of moments, population balance, moment projection method, particulate systems

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1 1. Introduction

Fragmentation (also referred to as breakage) is a process by which parti-2 cles break into two or more fragments leading to an increase in the number 3 of particles [1]. For this reason it plays an important role in a number of 4 chemical processes [2]. In fluidised-bed combustion, the rate of fragmenta-5 tion during particle burnout influences the overall burning rate of single coal 6 particles [3]. Arguably, in practical combustion systems, predicting particle 7 destruction can be as important as predicting particle formation and growth. 8 It is found in Ref. [4] that the inclusion of fragmentation improved model 9 predictions of soot particle size distributions (PSDs) from a diesel engine. 10

The evolution of the PSD with time is described by the population bal-11 ance equation (PBE) with mechanisms which modify the particles such as 12 inception, coagulation (otherwise known as aggregation), growth, and shrink-13 age where particles reduce in mass and are eventually removed from the 14 system [5–7]. In Ref. [8] the PBE for a particulate system undergoing frag-15 mentation is studied and it is found that the PSD obeys a first-order linear 16 ordinary integro-differential equation. The complexity of the equation de-17 pends on the fragmentation kernel and fragment distribution function, and 18 analytical solutions only exist for certain restrictive cases. 19

A number of methods have been proposed to solve these types of equations which can be broadly classified as: method of moments (MOM) (see, e.g., Refs. [2, 4–7, 9–21]), sectional method (see, e.g., Refs. [1, 9, 22–29]) and stochastic method (see, e.g., Refs. [11, 30–35]). These methods often encompass a trade-off between physical detail and computational efficiency. In the stochastic method the particle population is represented by an en-

semble of stochastic particles and the particle processes are treated proba-26 bilistically [36]. The stochastic solution has been proven to converge to the 27 deterministic solution of the PBE [33]. The method easily allows a highly 28 detailed particle description; however, under certain conditions, the compu-29 tational time [34] and memory requirement [35] can be intractable. Sectional 30 methods divide the mass range into a finite number of sections [24]. The 31 PSD within each section evolves according to a ordinary differential equa-32 tion which can be solved by standard solvers (see, e.g., Refs. [25–28]). The 33 computational time rapidly scales with the number of internal coordinates 34 tracked and the number of sections required to achieve convergence [29]. 35

When the PBE is written in terms of one or two internal coordinates, 36 MOM is a particularly attractive option for its computational efficiency [13, 37 14]. The PBE is rewritten in terms of moments and one solves for just the 38 first few moments which are usually sufficient for most practical applica-30 tions [37]. Development of MOM for the fragmentation/breakage process is 40 a particularly active field of research (see, e.g., Refs. [7, 15]). In Ref. [7] the 41 hybrid method of moments (HMOM) [6] is extended to model the fragmen-42 tation of soot aggregates in laminar flames. HMOM combines the numerical 43 ease of the method of moments with interpolative closure (MOMIC) [37] and 44 the accuracy of the direct quadrature method of moments (DQMOM) [21] 45 with a source term for the smallest particles based on the negative infinity 46 moment. The production of the smallest particles was assumed to be pro-47 portional to the mass lost from the large particles. Symmetric fragmentation 48 was assumed where one particle fragments into two identical particles. In 49 this paper we test HMOM, albeit a spherical particle description, for both 50

⁵¹ symmetric fragmentation and erosion distribution functions.

Another widely used moment method that has been used to address 52 breakage is the quadrature method of moments (QMOM) [17–20] where the 53 PSD is approximated by a weighted summation of Dirac delta functions. The 54 performance of QMOM for simultaneous aggregation and breakage problems 55 with different combinations of aggregation and breakage kernels, fragment 56 distribution functions and initial conditions has been investigated in Ref. [20]. 57 A quadrature approximation with two nodes was found to be sufficiently ac-58 curate for most cases except for symmetric fragmentation with a constant 59 kernel and erosion with a size-dependent kernel. Increasing the number of 60 nodes did not help in decreasing the error in some cases. However, across all 61 cases aggregation was dominant. The accuracy of QMOM in treating pure 62 breakage problems or where breakage is the dominant process has not been 63 addressed yet. This paper will be a step in this direction. 64

In Ref. [38] a finite-size domain complete set of trial functions method 65 of moments (FCMOM) is proposed which uses a series of Legendre polyno-66 mials to reconstruct the PSD, thus closing the moment equations. However, 67 because only a finite number of polynomials can be determined, certain val-68 ues of the reconstructed PSD can be negative [39]. An alternative method 69 is the extended quadrature method of moments (EQMOM) where a set of 70 non-negative continuous kernel density functions such as gamma, beta and 71 lognormal functions is adopted to approximate the PSD. In terms of the re-72 constructed PSD this method can achieve very high accuracy and is able to 73 handle the shrinkage problem. However, information about the shape of the 74 PSD is needed a priori to select a suitable kernel density function. Both

FCMOM and EQMOM are focused on the reconstruction of the PSD while
for most practical applications only the first few moments are needed.

Recently, a moment projection method (MPM) [5] was developed to ad-78 dress the shrinkage of particles. It directly solves the moment transport 79 equation and tracks the number of the smallest particles using the algo-80 rithm by Blumstein and Wheeler [40]. A similar algorithm for solving the 81 Gauss-Radau quadrature is given by Golub [41, 42]. In both algorithms the 82 derivation is given in terms of orthogonal polynomials which is straightfor-83 ward and can be easily modified to treat the cases in which zero, one or two 84 particle mass classes are fixed. The ability of MPM to simulate shrinkage 85 problems was investigated and the advantages of the method was highlighted. 86 To be able to model fragmentation accurately one has to be able to model the 87 number of the smallest particles accurately which are formed under strong 88 fragmentation. Therefore, fragmentation is a natural extension of MPM. 80

For quadrature-based moment methods a very important consideration 90 is the realisability of the moment set [43]. Realisability is related to the 91 existence of an underlying PSD that corresponds to a set of moments. The 92 moments are linked to each other under complex mathematical relationships. 93 If the numerical schemes do not preserve these relationships the set of mo-94 ments can be unrealisable, i.e., no PSD can be described by such moments 95 or they lead to unphysical distributions (e.g. negative weights and abscis-96 sas). The generation of unrealizable moments usually arises from the spatial 97 transportation of moments [44]. Even if a suitable closure is established for 98 the moment transport equation, numerical advection and diffusion schemes 99 can still lead to unrealizable moment sets. This realisability problem can be 100

avoided by properly designing the numerical schemes. For example, recently 101 in Ref. [45] a high-order-volume-schemes for quadrature-based moment meth-102 ods is introduced to guarantee the realisability of moments. The idea of the 103 discretization scheme is to construct the moment flux terms through inter-104 polation of the quadrature weights rather than the moments at the faces of 105 the cells. By doing this the realisability problem can be prevented. Another 106 scheme developed to preserve the realisability of moments can be found in 107 Ref. [46] where the moments are not transported directly. Instead they use 108 the canonical moments which are easy to control and guarantee the moment 109 vector to stay in the moment space by transporting them separately. In light 110 of realisability, here we restrict our attention to the moment closure method. 111 The aim is to investigate the MPM error in isolation. Therefore we are simu-112 lating a spatially homogenous PBE with no moment advection and diffusion 113 terms. The moments always remain realizable during the whole simulation 114 time span. While for the application of MPM to spatially inhomogeneous 115 systems, moments realizability can be guranteed by adopting the realizable 116 finite-volume methods. 117

In this work, different types of fragmentation kernels, fragment distribu-118 tion functions and initial conditions are imposed and the results are compared 119 with QMOM, HMOM and a high-precision stochastic solution. Both QMOM 120 and HMOM have the advantages of mathematical simplicity, numerical ro-121 bustness and ease of implementation. The stochastic solution was obtained 122 with 131,072 stochastic particles in a single run and is used as "exact" so-123 lution in this work. The paper is organized as follows. Section 2 presents 124 the moment of methods for solving the PBE as well as the mathematical for-125

mulation and numerical algorithm of MPM. In Section 3 the performance of
MPM is tested for different test cases and in Section 4 principal conclusions
are summarised.

¹²⁹ 2. Moment methods for population balance equations

130 2.1. Population balance equation

A spatially homogeneous population of particles with a discrete-mass distribution is considered in this work. The smallest particles have mass m_1 and particles in the mass class *i* have mass $m_i = im_1$. The PBE governing the evolution of the distribution can be written as:

$$\frac{\mathrm{d}N(i,t)}{\mathrm{d}t} = R(i,t) + W(i,t) + S(i,t) + G(i,t) + F(i,t), \quad i = 1, 2, \dots, \infty, \ (1)$$

where N(i, t) is the number of particles in the mass class *i* at time *t* which we will refer to as N_i from hereon. This is known as a particle number representation of the PSD. *R*, *W*, *S*, *G* and *F* are the inception, growth, shrinkage, coagulation and fragmentation terms, respectively. The specific functional forms used in this work are as follows:

$$R(i = 1, t) = I_{m_1},\tag{2}$$

$$W(i,t) = K_{\rm G}(i-\delta)N_{i-\delta} - K_{\rm G}(i)N_i, \qquad (3)$$

$$S(i,t) = K_{\rm Sk}(i+\delta)N_{i+\delta} - K_{\rm Sk}(i)N_i,$$
(4)

$$G(i,t) = \frac{1}{2} \sum_{j=1}^{i} K_{\rm Cg}(j,i-j) N_j N_{i-j} - \sum_{j=1}^{\infty} K_{\rm Cg}(i,j) N_i N_j, \qquad (5)$$

$$F(i,t) = \sum_{j=i}^{\infty} K_{\mathrm{Fg}}(j) P(i|j) N_j - K_{\mathrm{Fg}}(i) N_i, \qquad (6)$$

where I_{m_1} is the inception kernel which describes the rate of formation of the 140 smallest particles. $K_{\rm G}$ and $K_{\rm Sk}$ are the growth and shrinkage kernels, respec-141 tively, where δ refers to the mass change in a single growth or shrinkage event 142 which can be different. K_{Cg} is the coagulation kernel which describes the rate 143 at which particles collide and stick together. Lastly, $K_{\rm Fg}$ is the fragmenta-144 tion kernel which describes the frequency with which particles fragment and 145 P(i|j) is the fragment distribution function which represents the number of 146 particles of mass class i formed by the fragmentation of particles of mass 147 class j. 148

The choice of fragmentation kernel and fragment distribution function 149 are important because for certain combinations, "shattering" may occur [47, 150 48]. In a process analogous to gelation (but in the opposite sense), a finite 151 fraction of the mass shatters into an infinite number of particles of zero mass 152 and for this reason mass is not conserved [49]. This usually occurs when 153 the fragmentation rate increases as the particles become smaller. Note that 154 self-similar solutions where the PSD does not vary with time are of special 155 interest as the PSD is independent of initial conditions and most experimental 156

systems evolve to the point where this behaviour is reached [50]. It is found
in Ref. [51] that a self-similar PSD is achieved when the fragmentation kernel
is of the power type and the fragment distribution function depends on the
parent-daughter particle mass ratio.

Many different functional forms of the fragment distribution function have been proposed, however some physical constraints must be fulfilled [51, 52]:

$$P(i|j) = 0, \quad \text{for } i > j, \tag{7}$$

$$\sum_{i=1}^{j} iP(i|j) = j.$$
 (8)

The first equation states that fragmentation can only lead to the formation 163 of particles of mass class i smaller than the parent particle mass class j, 164 while the second equation is the conservation of mass where the total mass 165 class of particles resulting from the breakup of a particle of mass class j166 must be equal to j. In this work, we only consider binary fragmentation and 167 the fragment distribution functions are reported in Table 1; a discussion of 168 multiple fragmentation can be found in Ref. [51]. Symmetric fragmentation 169 leads to the formation of two equal mass fragments, whereas in the case of 170 erosion one fragment is of the smallest mass class i = 1 while the other is of 171 the mass class i = j - 1. 172

173 2.2. Moment equations

As mentioned earlier, an efficient approach for solving the PBE is MOM where the PBE is transformed into a set of moment equations and integral quantities such as the total particle number and mass are computed. This is achieved by applying the definition, moment of order k of the PSD

Table 1: Fragm	entation a	distribution	functions.
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Mechanism	P(i j)
Symmetric fragmentation	$\begin{cases} 2 & \text{if } i = j/2 \\ 0 & \text{otherwise} \end{cases}$
Erosion	$\begin{cases} 1 & \text{if } i = 1 \\ 1 & \text{if } i = j - 1 \\ 0 & \text{otherwise} \end{cases}$

$$M_k = \sum_{i=1}^{\infty} i^k N_i, \quad k = 0, 1, 2, \dots,$$
(9)

¹⁷⁸ to Eq. (1), leading to

$$\frac{\mathrm{d}M_k}{\mathrm{d}t} = R_k(M) + G_k(M) + W_k(M) + S_k(M, N_1) + F_k(M, N_1), \qquad (10)$$

179 where

$$R_k(M) = m_1^k I_{m_1}, (11)$$

$$G_k(M) = \frac{1}{2} \sum_{i=1}^{\infty} \sum_{j=1}^{i-1} i^k K_{\text{Cg}}(j, i-j) N_j N_{i-j} - \sum_{i=1}^{\infty} \sum_{j=1}^{\infty} i^k K_{\text{Cg}}(i, j) N_i N_j,$$
(12)

$$W_{k}(M) = \sum_{i=1}^{\infty} K_{\rm G}(i-\delta)i^{k}N_{i-\delta} - \sum_{i=1}^{\infty} K_{\rm G}(i)i^{k}N_{i}, \qquad (13)$$

$$S_k(M, N_1) = \sum_{i=1}^{\infty} K_{\rm Sk}(i+\delta) i^k N_{i+\delta} - \sum_{i=1}^{\infty} K_{\rm Sk}(i) i^k N_i,$$
(14)

$$F_k(M, N_1) = \sum_{j=1}^{\infty} \sum_{i=1}^{j} K_{\rm Fg}(j) i^k P(i|j) N_j - \sum_{i=1}^{\infty} K_{\rm Fg}(i) i^k N_i.$$
(15)

Evaluation of the moment source terms depends on the kernel function K. It is assumed that when the smallest particles shrink they are removed from 181 the system, while for the fragmentation process the smallest particles are 182 unbreakable. Depending on the specific kernels used the shrinkage and frag-183 mentation source terms S_k and F_k can depend on the number of the smallest 184 particles N_1 . These will be specified later. Where realistic kernels are used, 185 fractional- or even negative-order moments are encountered [14]. Therefore, 186 the mathematical difficulty of MOM lies in obtaining closure for these mo-187 ment source terms using a finite set of moments. This requires either a priori 188 assumptions about the shape of the PSD or a suitable closure scheme. One 189 of the more widely used closure methods is MOMIC [37] where closure is 190 accomplished by Langrange polynomial interpolation of the logarithm of the 191 whole-order moments whose values are available at each integration step of 192 Eq. (10). By separating interpolation for positive- and negative-order mo-193

¹⁹⁴ ments, MOMIC shows very high accuracy in the treatment of mono-modal ¹⁹⁵ PSDs undergoing growth and coagulation and satisfactory accuracy for bi-¹⁹⁶ modal PSDs formed under persistent nucleation [6]. However, MOMIC can-¹⁹⁷ not handle shrinkage as it does not track N_1 . Likewise, it cannot rigorously ¹⁹⁸ treat fragmentation especially erosion where a large number of particles ac-¹⁹⁹ cumulate in the smallest particle mass class.

200 2.3. Moment projection method

The mathematical formulation and numerical algorithm of MPM have already been presented in Ref. [5], however, pertinent details are repeated here for the reader's convenience. In MPM, we approximate the true PSD by assuming that all particles are distributed into a finite number of particle mass classes. The k-th order moment of the approximated PSD can then be expressed as:

$$\widetilde{M}_k = \alpha_1^k \widetilde{N}_{\alpha_1} + \sum_{j=2}^{N_p} \alpha_j^k \widetilde{N}_{\alpha_j}, \quad k = 0, \dots, 2N_p - 2,$$
(16)

where α_j is the particle mass, \widetilde{N}_{α_j} is the number of particles of the mass α_j , and N_p is the number of particle masses used to represent the PSD. The symbol "~" is used to indicate approximations of the corresponding quantity from the true PSD. α_j and \widetilde{N}_{α_j} are chosen such that the empirical moments are equal to the moments from the true PSD:

$$\overline{M}_k = M_k. \tag{17}$$

Applying Eq. (17) to Eq. (10), we obtain:

$$\frac{\mathrm{d}\widetilde{M}_k}{\mathrm{d}t} = R_k(\widetilde{M}) + G_k(\widetilde{M}) + W_k(\widetilde{M}) + S_k(\widetilde{M}, N_1) + F_k(\widetilde{M}, N_1).$$
(18)

To evaluate the boundary flux term N_1 present in the shrinkage and fragmentation terms, we fix the first particle mass to be equal to the smallest particle mass of the true PSD: $\alpha_1 = m_1$. Therefore, \tilde{N}_{α_1} is an approximation of the number of the smallest particle which allows us to express Eq. (18) as:

$$\frac{\mathrm{d}M_k}{\mathrm{d}t} = R_k(\widetilde{M}) + G_k(\widetilde{M}) + W_k(\widetilde{M}) + S_k(\widetilde{M}, \widetilde{N}_{\alpha_1}) + F_k(\widetilde{M}, \widetilde{N}_{\alpha_1}).$$
(19)

As can be seen from Eq. (19), \widetilde{M}_k is directly evaluated from the moment transport equation which allows us to take advantage of MOMIC when realistic kernels are used. However, this introduces an interpolation error. The aim here is to investigate the MPM error in isolation, therefore constant kernels are adopted:

$$R_k(\widetilde{M}) = m_1^k I_{m_1}, \qquad k = 0, \dots, 2N_p - 2, \qquad (20)$$

$$G_{k}(\widetilde{M}) = \begin{cases} -\frac{1}{2}K_{\rm Cg}\widetilde{M}_{0}^{2}, & k = 0, \\ 0, & k = 1, \\ \frac{1}{2}K_{\rm Cg}\sum_{r=1}^{k-1} \binom{k}{r} \widetilde{M}_{r}\widetilde{M}_{k-r}, & k = 2, \dots, 2N_{\rm p} - 2, \end{cases}$$

$$W_{k}(\widetilde{M}) = \begin{cases} 0, & k = 0, \\ K_{\rm G}\sum_{r=1}^{k} \binom{k}{r} \delta^{r}\widetilde{M}_{k-r}, & k = 1, \dots, 2N_{\rm p} - 2, \end{cases}$$
(21)
$$(21)$$

$$S_{k}(\widetilde{M},\widetilde{N}_{\alpha_{1}}) = \begin{cases} -K_{\mathrm{Sk}}\widetilde{N}_{\alpha_{1}}, & k = 0, \\ K_{\mathrm{Sk}}\sum_{r=1}^{k} \binom{k}{r} (-\delta)^{r}\widetilde{M}_{k-r}, & k = 2, \dots, 2N_{\mathrm{p}} - 2. \end{cases}$$
(23)

The fragmentation source term depends on the fragment distribution function. For symmetric fragmentation it is:

$$F_{k}(\widetilde{M},\widetilde{N}_{\alpha_{1}}) = \begin{cases} K_{\mathrm{Fg}}(\widetilde{M}_{0}-\widetilde{N}_{\alpha_{1}}), & k=0, \\ 0, & k=1, \\ K_{\mathrm{Fg}}(2^{1-k}-1)(\widetilde{M}_{k}-\alpha_{1}^{k}\widetilde{N}_{\alpha_{1}}), & k=2,\ldots,2N_{\mathrm{p}}-2, \end{cases}$$

$$(24)$$

²²⁴ and for erosion:

$$F_{k}(\widetilde{M},\widetilde{N}_{\alpha_{1}}) = \begin{cases} K_{\mathrm{Fg}}(\widetilde{M}_{0}-\widetilde{N}_{\alpha_{1}}), & k=0\\ 0, & k=1, \\ K_{\mathrm{Fg}}\alpha_{1}^{k}\widetilde{M}_{0}+K_{\mathrm{Fg}}\sum_{r=1}^{k} \binom{k}{r} (-\alpha_{1})^{r}\widetilde{M}_{k-r}, & k=2,\ldots,2N_{\mathrm{p}}-2. \end{cases}$$

$$(25)$$

In Ref. [30] a fragmentation kernel with a linear dependence on particle mass is used to study the wet granulation of particles. Since the fragmentation moment source term can be evaluated based on the whole-moments, we also investigate the same fragmentation kernel which for symmetric fragmentation is:

$$F_{k}(\widetilde{M}, \widetilde{N}_{\alpha_{1}}) = \begin{cases} K_{\mathrm{Fg}}(\widetilde{M}_{1} - \alpha_{1}\widetilde{N}_{\alpha_{1}}), & k = 0, \\ 0, & k = 1, \\ K_{\mathrm{Fg}}(2^{1-k} - 1)(\widetilde{M}_{k+1} - \alpha_{1}^{k+1}\widetilde{N}_{\alpha_{1}}), & k = 2, \dots, 2N_{\mathrm{p}} - 2, \end{cases}$$
(26)

230 and for erosion:

$$F_{k}(\widetilde{M},\widetilde{N}_{\alpha_{1}}) = \begin{cases} K_{\mathrm{Fg}}(\widetilde{M}_{1} - \alpha_{1}\widetilde{N}_{\alpha_{1}}), & k = 0, \\ 0, & k = 1, \\ K_{\mathrm{Fg}}\alpha_{1}^{k}\widetilde{M}_{1} + K_{\mathrm{Fg}}\sum_{r=1}^{k} \binom{k}{r} (-\alpha_{1})^{r}\widetilde{M}_{k-r+1}, & k = 2, \dots, 2N_{\mathrm{p}} - 2. \end{cases}$$

$$(27)$$

The challenge now is determining α_j and \tilde{N}_{α_j} such that Eq. (17) is true while fulfilling the requirement that $\tilde{N}_{\alpha_1} \cong N_1$ to close the moment source terms due to shrinkage and fragmentation. This can be achieved using the Blumstein and Wheeler algorithm [40] which can be found in Appendix 2. The numerical procedure of MPM is summarized in Algorithm 1.

Algorithm 1: Moment projection method algorithm.

Input: Moments of the PSD $M_k(t_0)$ for $k = 0, ..., 2N_p - 2$ or the PSD itself

 $N(i, t_0)$ for $i = 1, \ldots, \infty$ at initial time t_0 ; final time t_f .

Output: Empirical moments of the PSD $\widetilde{M}_k(t_f)$ for $k = 0, ..., 2N_p - 2$ at final

time $t_{\rm f}$ where $N_{\rm p}$ is the number of particle masses used to approximate the PSD.

Calculate the moments of the true PSD using Eq. (9):

$$M_k(t_0) = \sum_{i=1}^{\infty} i^k N(i, t_0), \quad k = 0, \dots, 2N_p - 2$$

For $\widetilde{M}_k = M_k$, solve Eq. (16) for \widetilde{N}_{α_1} (α_1 is fixed) and α_j and \widetilde{N}_{α_j} ($j = 2, \ldots, N_p$) using Algorithm 2:

$$\widetilde{M}_k(t_0) = \alpha_1^k \widetilde{N}_{\alpha_1}(t_0) + \sum_{j=2}^{N_p} \alpha_j^k \widetilde{N}_{\alpha_j}(t_0), \quad k = 0, \dots, 2N_p - 2.$$

 $t \leftarrow t_0, \ \widetilde{M}_k(t) \leftarrow \widetilde{M}_k(t_0);$

while $t < t_f$ do

Integrate Eq. (19) over the time interval $[t_i, t_i + h]$ using a fouth-order Runge-Kutta method:

$$\frac{\mathrm{d}\widetilde{M}_k}{\mathrm{d}t} = R_k(\widetilde{M}) + G_k(\widetilde{M}) + W_k(\widetilde{M}) + S_k(\widetilde{M},\widetilde{N}_{\alpha_1}) + F_k(\widetilde{M},\widetilde{N}_{\alpha_1})$$

with initial condition:

$$\begin{pmatrix} \widetilde{M}_k(t_i)\\ \widetilde{N}_{\alpha_1}(t_i) \end{pmatrix} = \begin{pmatrix} \widetilde{M}_{k,i}\\ \widetilde{N}_{\alpha_1,i} \end{pmatrix},$$

where $R_k(\widetilde{M})$, $G_k(\widetilde{M})$, $W_k(\widetilde{M})$ and $S_k(\widetilde{M}, \widetilde{N}_{\alpha_1})$ are given by Eqs. (20), (21), (22) and (23), respectively. The form of $F_k(\widetilde{M}, \widetilde{N}_{\alpha_1})$ depends on the fragmentation kernel and fragment distribution function as given by Eqs. (24–27).

Use Blumstein algorithm to update α_j and \widetilde{N}_{α_j} , and assign solution at

$$\begin{split} t_{i+1} &= t_i + h: \\ \begin{pmatrix} \widetilde{M}_{k,i+1} \\ \widetilde{N}_{\alpha_1,i+1} \end{pmatrix} \leftarrow \begin{pmatrix} \widetilde{M}_k(t_i + h) \\ \widetilde{N}_{\alpha_1}(t_i + h) \end{pmatrix} \\ i &\leftarrow i+1; \end{split}$$

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237 3. Numerical results

As the focus of this paper is to test MPM for the process of fragmentation, we devise a number of test cases which can be classified into the following three categories: (1) pure fragmentation, (2) simultaneous coagulation and fragmentation, and (3) all particle processes combined (inception, growth, coagulation, shrinkage and fragmentation). It is assumed that the smallest particles are unbreakable, i.e., $K_{\rm Fg}(i = 1) = 0$. Log-normal, unimodal and parabolic PSDs are supplied as the initial condition.

Numerical results are compared to those from HMOM, QMOM and a high-precision stochastic solution calculated using the direct simulation algorithm (DSA). HMOM was originally developed for bivariate PBEs [6, 7]. We modify this method so that it is applicable to monovariate PBEs. Details on the modifications made, with a focus on the fragmentation process, can be found in Appendix B.

251 3.1. Pure fragmentation

The fragmentation kernels, fragment distribution functions and initial conditions used to test pure fragmentation are reported in Table 2.

For Case 1 particles undergo symmetric fragmentation with a constant kernel; a log-normal distribution is supplied as the initial condition. The moment transport equation with the fragmentation moment source term in Eq. (24) is solved. The particle masses α_j and the corresponding number of particles \tilde{N}_{α_j} describing the evolution of the moments of the PSD are computed using MPM and are shown in Fig. 1. Four particle masses are used to approximate the PSD. α_j (j = 2, 3, 4) decrease as particles fragment

Case	$K_{\rm Fg}(i)$		P(i j)	$N_i(t=0)$	
1 4	$\int 0$	i = 1	Symmetric	$N_i = 10^5 \exp(-(\log(2^{i-1}) - \log(32))^2 / 0.05),$	
	20	i > 1	fragmentation	$i = 1, \dots, 10$	
2	$\int 0$	i = 1	Erosion	$N_i = 100, i = 30$	
	2i	i > 1			
$3 \qquad \begin{cases} 0\\ 0.2i \end{cases}$	i = 1	Symmetric	$N_i = 10^5 \exp(-(\log(2^{i-1}) - \log(16))^2 / 0.05),$		
	0.2i	i > 1	fragmentation	$i = 1, \dots, 10$	
4	$\int 0$	i = 1	Symmetric fragmentation	N = 10000 $i = 256$	
	0.2i	i > 1		$N_i = 10000, t = 250$	
5	$\int 0$	i = 1	Erosion	$N_i = 300i = 10i^2$ $i = 1$ 30	
	2	i > 1		$N_i = 500t - 10t$, $t = 1, \dots, 50$	
6	$\int 0$	i = 1	Erosion	$N_i = 100 \exp(-(\log(i) - \log(25))^2 / 0.05),$	
	2	i > 1		$i = 1, \ldots, 100$	
7 .	$\int 0$	i = 1	Erosion	$N_i = 100 \exp(-(\log(i) - \log(25))^2 / 0.05),$	
	2i	i > 1		$i = 1, \dots, 100$	

 Table 2: Cases used for the comparison of pure fragmentation.

to form increasingly smaller particles. The number of particles of the largest mass \tilde{N}_{α_4} decreases leading to an initial increase in \tilde{N}_{α_2} and \tilde{N}_{α_3} before also decreasing. \tilde{N}_{α_1} increases and shows an asymptote at around $N = 3.0 \times 10^6$ as particles of the smallest mass m_1 are formed which are assumed to not be able to fragment further.

To assess the accuracy of the moments calculated using MPM the following relative error metric is used:



Figure 1: Evolution of the particle mass α_j (left panel) and the corresponding number of particles N_{α_j} (right panel) obtained using MPM for case 1.

$$M_{k,\text{error}} = \frac{|\widetilde{M}_k - M_k|}{M_k},\tag{28}$$

where M_k is the k-th order moment from a high-precision stochastic solution. 268 Figure 2 shows the relative moment errors computed using MPM with $N_{\rm p} = 4$ 269 for case 1. $M_{k,\text{error}}$ shows cusp points when the function $(\widetilde{M}_k - M_k)$ changes 270 sign which was also observed in Ref. [20] for QMOM. In general, MPM shows 271 very high accuracy. Although the relative errors in the higher-order moments 272 (k = 5, 6) show an overall increase, the errors at t = 0.8 s is at most 10^{-4} . 273 By contrast, the relative errors in the lower-order moments (k = 0, 2) show 274 an overall decrease. Note that as mass is conserved in MPM the errors in 275 the first-order moment (total particle mass) is 0. 276

To investigate the sensitivity of the results to the number of particle masses, $N_{\rm p}$, moments are computed using MPM with $N_{\rm p} = 3$, 4 and 5 and compared with the stochastic solution. Figure 3 shows that for case 1 at least four particle masses (dotted line) are required for there to be no obvious



Figure 2: Error in the k-th order moment obtained using MPM relative to a highprecision stochastic solution for case 1.

discrepancy in \widetilde{M}_0 . Interestingly, \widetilde{M}_0 at longer residence times displays little 281 sensitivity to $N_{\rm p}$. The time-averaged (t = 0 to 0.8 s) relative moment errors, 282 $M_{k,\text{error}}$, as a function of N_{p} and k for case 1 are listed in Table 3. As expected, 283 higher accuracy is generally observed when more particle masses are used: 284 there is about an order-of-magnitude decrease in the errors in the lower order 285 moments (k = 0, 2, 3) when N_p is increased from 3 to 5. However, this is 286 not the case for the higher order moments (k = 4, 5, 6) where there is in fact 287 an increase in errors when $N_{\rm p}$ is increased from 4 to 5. 288

For Case 2 particles undergo erosion where the parent particle mass class is reduced by one and a particle of the smallest mass class is formed. The



Figure 3: Sensitivity of the zeroth moment M₀ to the number of particle masses N_p obtained using MPM for case 1. The stochastic solution (continuous line) is shown as a point of reference.

rate is controlled by a mass-dependent kernel and a unimodal distribution is 291 supplied as the initial condition. The moment transport equation with the 292 fragmentation moment source term in Eq. (27) is solved. The time evolution 293 of α_j and \widetilde{N}_{α_j} obtained using MPM is shown in Fig. 4. At t = 0, the third 294 and fourth particle masses are positioned on either side of the particles at 295 mass class i = 30. As these particles reduce in mass, α_i (j = 2, 3, 4) all move 296 towards the position of the new parent particle class to better represent these 297 particles. This is reflected as an increase in α_2 (and α_3) and a decrease in 298 α_4 . The evolution of \widetilde{N}_{α_j} is similar to that of case 1. 299

k	$N_{\rm p} = 3$	$N_{\rm p} = 4$	$N_{\rm p} = 5$
0	$3.9 imes 10^{-2}$	$1.3 imes 10^{-2}$	8.2×10^{-3}
1	0	0	0
2	8.8×10^{-3}	2.3×10^{-3}	9.7×10^{-4}
3	2.3×10^{-3}	5.2×10^{-4}	2.1×10^{-4}
4	4.0×10^{-4}	$9.6 imes 10^{-5}$	2.3×10^{-4}
5	-	1.6×10^{-5}	2.8×10^{-4}
6	-	1.2×10^{-6}	3.1×10^{-4}
7	-	-	3.1×10^{-4}
8	-	-	3.2×10^{-4}

Table 3: Average error in the k-th order moment obtained using MPM relative to a highprecision stochastic solution for different particle masses N_p for case 1.



Figure 4: Evolution of the particle mass α_j (left panel) and the corresponding number of particles N_{α_j} (right panel) computed using MPM for case 2.

Figure 5 shows the sensitivity of M_0 to the number of particle masses computed using MPM for case 2. It can be seen that there is no discernable



Figure 5: Sensitivity of the zeroth moment M_0 to the number of particle masses N_p obtained using MPM for case 2. The stochastic solution (continuous line) is shown as a point of reference.

difference between MPM and the stochastic method across all particle masses. 302 This is due to the mass-dependent kernel used where the only source of error 303 in the fragmentation moment source term $F_k(\widetilde{M}, \widetilde{N}_{\alpha_1})$ is in \widetilde{N}_{α_1} (see Eqs. (26) 304 and (27) for k = 0) as opposed to both \widetilde{M}_0 and \widetilde{N}_{α_1} for mass-independent 305 kernels (see Eqs. (24) and (25) for k = 0) such as in case 1. The time-306 averaged relative errors (t = 0 to 2 s) are listed in Table 4. Overall, the 307 errors are lower than in case 1 but the observations that can be made are 308 similar. Note that each increment in the number of particle masses requires 309 the solution of two extra moments (See Eq. (16)). Smaller tolerances have to 310

k $N_{\rm p} = 3$ $N_{\rm p} = 4$ 0 2.7×10^{-4} 1.1×10^{-4} 1 0 0	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$V_p = 5$
1 0 0	5×10^{-5}
	0
2 3.6×10^{-6} 8.4×10^{-8} 5.	9×10^{-8}
3 1.9×10^{-6} 8.3×10^{-8} 6.	7×10^{-8}
4 1.8×10^{-6} 5.8×10^{-8} 9.	3×10^{-8}
5 - 5.4×10^{-8} 9.	5×10^{-8}
6 - 5.3×10^{-8} 9.	4×10^{-8}
7 8.	8×10^{-8}
8 8.	1×10^{-8}

Table 4: Average error in the k-th order moment obtained using MPM relative to a highprecision stochastic solution for different particle masses N_p for case 2.

³¹¹ be used for the time integration of the set of ODEs and increases the stiffness ³¹² of the eigenvalue-eigenvector problem solved via the Blumstein and Wheeler ³¹³ algorithm, thus leading to a higher computational cost. For this reason, four ³¹⁴ particle masses will be used in the rest of this paper.

Case 3 is similar to case 2 except that a mass-dependent kernel is used. 315 The moment transport equation with the fragmentation moment source term 316 in Eq. (26) is solved. We now compare MPM to other moment methods: 317 HMOM and QMOM with four nodes. Figure 6 shows a comparison of M_0 be-318 tween MPM, HMOM and QMOM with the stochastic solution as a reference. 319 There is an excellent agreement between MPM and the stochastic method 320 apart from a slight underprediction at intermediate times. Both HMOM 321 and QMOM overestimate M_0 but the performance by HMOM is worse. It 322



Figure 6: Comparison of the zeroth moment M_0 between MPM (four particle masses), QMOM (four nodes), HMOM and the stochastic solution for case 3.

was initially puzzling but it became clear to us that in HMOM particles are 323 represented as either small or large particles which is a coarser assumption 324 than the four particles masses or nodes used in MPM and QMOM, respec-325 tively. Second, it is assumed that the rate at which the smallest particles are 326 formed is proportional to the overall fragmentation rate [7]. However, there 327 exist situations where particles fragment and the smallest particles are not 328 formed, for example, in symmetric fragmentation. Although QMOM incurs 329 some errors, when particles are small enough, it implicitly tracks the number 330 of the smallest particles which keeps its accuracy high. The results for case 4 331

where a unimodal distribution is supplied as the initial condition is similar (see Fig. 7).



Figure 7: Comparison of the zeroth moment M_0 between MPM (four particle masses), QMOM (four nodes), HMOM and the stochastic solution for case 4.

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For case 5, particles undergo erosion with a constant kernel and the moment transport equation with the fragmentation source term in Eq. (25) is solved. Unlike case 2 where there are only particles at mass class i = 30 at t = 0 s, the parabolic distribution for this case has particles in the smallest mass class. Therefore, the ability to accurately track the number of the smallest particles is particularly important. Both HMOM and QMOM are not able to even capture the steady-state M_0 at t = 20 s as shown in Fig. 8.



Figure 8: Comparison of the zeroth moment M_0 between MPM (four particle masses), QMOM (four nodes), HMOM and the stochastic solution for case 5.

For cases 6 and 7, particles undergo erosion and a log-normal distribution 341 is supplied as the initial condition. A constant fragmentation kernel is used 342 in case 6 while a mass-dependent fragmentation kernel is used in case 7. 343 M_0 computed using the different methods for cases 6 and 7 are shown in 344 Figs. 9 and 10, respectively. The results for case 6 is similar to case 5 345 where HMOM overpredicts and QMOM underpredicts M_0 . When a mass-346 dependent fragmentation kernel is used in Case 7, the agreement is much 347 improved. As highlighted before, one reason for the improved performance is 348 that when the mass-dependent kernel is used, the source term for the zeroth-349



Figure 9: Comparison of the zeroth moment M_0 between MPM (four particle masses), QMOM (four nodes), HMOM and the stochastic solution for case 6.

order moment is governed by the total particle mass which is insensitive to
the number of the smallest particles, thus decreasing the errors in computing
the moments. In both cases, MPM exhibits the highest accuracy regardless
of the fragmentation kernel used.

Based on the above results, the following observations can be made: MPM is the most accurate amongst the different method of moments studied for the pure fragmentation process. Across all of these test cases, the agreement between M_0 obtained using MPM and the stochastic method is excellent. The source term developed in HMOM tends to overestimate the formation of the



Figure 10: Comparison of the zeroth moment M_0 between MPM (four particle masses), QMOM (four nodes), HMOM and the stochastic solution for case 7.

smallest particles. Because QMOM does not explicitly track the number of
the smallest particles, the performance of QMOM is worse for erosion than
for symmetric fragmentation.

362 3.2. Simultaneous coagulation and fragmentation

In this section, the performance of MPM is tested for simultaneous coagulation and fragmentation processes. Depending on the coagulation and fragmentation kernels used, the PSD will evolve differently and result in different total particle numbers at steady state. Four cases are developed to investigate the competition between these two processes as shown in Table 5.

Case	$K_{ m Fg}(i)$
8	$\int 0 \qquad i=1$
0	$0.02 \ i > 1$
Q	$\int 0 \qquad i=1$
	200 i > 1
10	$\int 0 \qquad i=1$
	$0.02i \ i > 1$
11	$\int 0 \qquad i=1$
	$200i \ i > 1$

The fragmentation kernel is systematically varied while the coagulation ker-**Table 5:** Cases used for the comparison of simultaneous coagulation and fragmentation.

Note: $K_{\text{Cg}} = 0.02 \text{ s}^{-1}, P(i|j) = \text{erosion}, N_{30}(t=0) = 100.$

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nel is left unchanged. For all of these cases, fragmentation takes the form
of erosion and the unimodal distribution in case 2 is supplied as the initial
condition.

For case 8, the coagulation and fragmentation kernels are identical. M_0 372 computed using the different methods are shown in the left panel of Fig. 11. 373 The process is dominated by coagulation as shown by the decrease in M_0 . 374 Therefore, very few particles accumulate in the first particle mass class as 375 these particles tend to collide with each other to form particles of larger 376 mass. Since constant kernels are used, no closure problem is present in the 377 coagulation moment equation and all the methods generate almost the same 378 results as the stochastic method. Also shown in Fig. 11 (right panel) are the 379 corresponding results for case 9 where the fragmentation kernel is four orders-380



Figure 11: Comparison of the zeroth moment M₀ between MPM (four particle masses), QMOM (four nodes), HMOM and the stochastic method for case 8 (left panel) and case 9 (right panel).

of-magnitude larger than the coagulation kernel. The process is dominated by fragmentation and the accumulation of the smallest particles plays an important role: HMOM overestimates the formation of the smallest particles, thus overestimating M_0 ; MPM shows the highest accuracy while slight discrepancy is observed between the QMOM and stochastic solutions. Cases 10 and 11 are similar to cases 8 and 9 except that mass-dependent fragmentation kernels are used. Similar conclusions can be drawn from Fig. 12.

388 3.3. Combined processes

In this section, MPM is tested against QMOM, HMOM and the stochastic method for the combined processes of inception, growth, coagulation, shrinkage and fragmentation. The specifics of the two test cases are shown in Table 6. The total particle number and mass of particles computed using the different methods for cases 12 and 13 are shown in Figs. 13 and 14, respectively. It can be seen that MPM exhibits a very high accuracy that was



Figure 12: Comparison of the zeroth moment M₀ between MPM (four particle masses), QMOM (four nodes), HMOM and the stochastic method for case 10 (left panel) and case 11 (right panel).

Table 6: Cases used for the comparison of combined processes.

Case	$K_{\rm Fg}(i)$		$N_i(t=0)$
19	$\int 0$	i = 1	$100 \exp(-(\log(i) - \log(25))^2 / 0.05),$
12	$\int 2 \times 10^{-5} i$	i > 1	$i = 1, \dots, 100$
13	$\int 0$	i = 1	$N_i = 1000 \ i = 50$
10	2×10^{-5}	i > 1	1, 1000, 7 00
Note: $I_{m_1} = 100 \text{ s}^{-1}$, $K_{\rm G} = 20 \text{ s}^{-1}$, $K_{\rm Cg} = 2 \times 10^{-5} \text{ s}^{-1}$, $K_{\rm Sk} = 30 \text{ s}^{-1}$ and			
P(i j) =	= erosion.		

also observed for pure fragmentation and simultaneous coagulation and fragmentation. M_0 decreases mainly due to the shrinkage of particles—rather than coagulation—as evidenced by the corresponding decrease in M_1 . The shrinkage process leads to a zeroth order moment equation containing a term corresponding to the loss of particles of the smallest size [12, 39]. In order to



Figure 13: Comparison of the zeroth order moment M_0 (left panel) and the first order moment M_1 (right panel) between MPM (four particle masses), QMOM (four nodes), HMOM and the stochastic method for case 12.



Figure 14: Comparison of the zeroth order moment M_0 (left panel) and the first order moment M_1 (right panel) between MPM (four particle masses), QMOM (four nodes), HMOM and the stochastic method for case 13.

evaluate this term, the value of the PSD at the smallest internal coordinate is required which is not available in QMOM. As expected, Figs. 13 and 14 show that QMOM fails to predict the evolution of M_0 and therefore M_1 . Although HMOM is able to predict the consumption of particles, it shows a significant $_{404}$ $\,$ discrepancy compared with the stochastic solution.

405 4. Conclusion

In this paper, the moment projection method (MPM) was extended to 406 include the fragmentation process. MPM was tested against cases involving 407 (1) pure fragmentation, (2) simultaneous coagulation and fragmentation, and 408 (3) combined processes of inception, growth, coagulation, shrinkage and frag-409 mentation with different fragmentation kernels, fragment distribution func-410 tions and initial conditions. The numerical results were compared against 411 the hybrid method of moments (HMOM) and the quadrature method of 412 moments (QMOM) with four nodes and a high-precision stochastic solution 413 calculated using the direct simulation algorithm (DSA). 414

By fixing the first particle mass α_1 to be equal to the smallest particle 415 mass m_1 , the evolution of the smallest particles could be tracked in MPM 416 with a high accuracy. The accuracy was shown to generally improve with the 417 number of particle masses, $N_{\rm p}$, with $N_{\rm p} = 4$ being the best compromise be-418 tween accuracy and computational efficiency. In all the test cases considered 419 in this work, MPM is capable of accurately predicting the time evolution of 420 the moments while the agreement with HMOM and QMOM tend to be less 421 good when fragmentation dominates. Future work includes application of 422 MPM to real particle processes such as soot formation in flames. It remains 423 to be seen how effective is MPM for more complicated PBEs with additive 424 kernels and/or free-molecular Brownian kernel. 425

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429 Nomenclature

Upper-case Roman

- **D** Eigenvectors of matrix **P**
- F Source term due to fragmentation
- G Source term due to coagulation
- I_{m_1} Inception rate of particles of the smallest mass m_1
- $K_{\rm Cg}$ Coagulation kernel
- $K_{\rm Fg}$ Fragmentation kernel
- $K_{\rm G}$ Growth kernel
- $K_{\rm Sk}$ Shrinkage kernel
- 430 M Moment
 - N Number
 - **P** Symmetric tridiagonal matrix as a function of recursion coefficients a and b
 - P Fragment distribution function
 - R Source term due to inception
 - S Source term due to shrinkage
 - \mathbf{V} Eigenvalues of matrix \mathbf{P}
 - W Source term due to growth

Lower-case Roman

- a, b Recursion coefficients
 - h Time interval
 - i particle mass class
- m Mass
- r Recursive function
- t Time
- w weight

Greek

- 431
- α Particle mass
- δ Particle mass change in a growth or shrinkage process

Subscripts

- f Final
- L Large
- p Particle
- 0 Initial or zero
- 1 Smallest particle mass class

Symbols

- \widetilde{x} Approximation of x
- \widehat{b} Integral of fragmentation distribution function

Abbreviations

- DQMOM Direct quadrature method of moments
 - DSA Direct simulation algorithm
- EQMOM Extended quadrature method of moments
- FCMOM Finite-size domain complete set of trial functionss method of mo-

ments

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- HMOM Hybrid method of moments
- MOM Method of moments
- MOMIC Method of moments with interpolative closure
 - MPM Moment projection method
 - ODE Ordinary differential equation
 - PBE Population balance equation
 - PSD Particle size distribution
- QMOM Quadrature method of moments

433 Appendix A. Blumstein-Wheeler algorithm

This algorithm is used to determine the particle masses and the numbers used to approximate the PSD from the empirical moments. The algorithm is implemented in Matlab and makes use of the eig function to determine the eigenvalues and eigenvectors.

Algorithm 2: Blumstein-Wheeler algorithm.

Input: The empirical moments \widetilde{M}_k for $k = 0, 1, \ldots, 2N_p - 2$.

Output: The particle masses α_j and the corresponding number of particles \widetilde{N}_{α_j} for

$$j = 1, 2, \dots, N_{\rm p}.$$

Create a $N_{\rm p} \times 2 N_{\rm p}$ matrix ${\bf Z}$ with zeros in all elements.

Determine the elements of the first row of matrix **Z**: $Z_{1,l} = \widetilde{M}_{l-1}$ for $l = 1, \ldots, 2N_p - 1$.

For $a_1 = \widetilde{M}_1 / \widetilde{M}_0$ and $b_1 = 0$, determine the recursion coefficients a_k and b_k :

for k = 2 to N_p do

for l = k to $2N_p - 1$ do

The elements of \mathbf{Z} must satisfy the following recursion relation:

$$Z_{k,l} = Z_{k-1,l+1} - a_{k-1}Z_{k-1,l} - b_{k-1}Z_{k-1,l};$$
$$a_k = \frac{Z_{k,k+1}}{Z_{k,k}} - \frac{Z_{k-1,k}}{Z_{k-1,k-1}}; \quad b_k = \frac{Z_{k,k}}{Z_{k-1,k-1}}.$$

For $r_1 = 1/(m_1 - a_1)$ where m_1 is the smallest particle mass, determine the recursion function:

 $r_k = 1/(m_1 - a_k - b_k r_{k-1}), \quad k = 2, \dots, N_p - 1.$

As we fix the smallest particle mass, replace $a_{N_{\rm p}}$ with:

$$a_{N_{\rm p}} = m_1 - b_{N_{\rm p}} r_{N_{\rm p}-1}.$$

Construct a symmetric tridiagonal matrix \mathbf{P} with a_k as the diagonal and the square roots of b_k as the co-diagonal:

$$\mathbf{P} = \begin{bmatrix} a_1 & -\sqrt{b_2} & 0 & \cdots & 0 \\ -\sqrt{b_2} & a_2 & -\sqrt{b_3} & \cdots & 0 \\ 0 & -\sqrt{b_3} & a_3 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & a_{N_p} \end{bmatrix}$$

Solve for the eigenvalues ${\bf V}$ and eigenvectors ${\bf D}$ of matrix ${\bf P}:$

$$\left[\mathbf{V},\mathbf{D}\right] = \operatorname{eig}(\mathbf{P}).$$

Solve for α_j and \widetilde{N}_{α_j} :

$$\alpha_j = \mathbf{V}(j, j), \quad \widetilde{N}_{\alpha_j} = \widetilde{M}_0 \mathbf{D}(1, j)^2$$

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439 Appendix B. Hybrid method of moments

The hybrid method of moments (HMOM) was originally developed for bivariate population balance equations (PBEs) based on particle volume and surface area [6, 7]. Here we revise the method so that it is applicable to monovariate PBEs. Below is a brief description of HMOM based on particle mass for symmetric fragmentation with a constant kernel.

Following the idea in Ref. [6], the particles are discretised into two modes: particles of the smallest mass class i_0 and particles of the large mass class i_L . The moments can then be represented as:

$$M_k = N_{i_0} i_0^k + N_{i_L} i_L^k, (B.1)$$

where N_{i_0} and N_{i_L} are the number of particles of mass i_0 and i_L , respectively. The fragmentation moment source term for symmetric fragmentation with a constant kernel (Eq. (24)) can then be written as:

$$\frac{\mathrm{d}M_k}{\mathrm{d}t} = \begin{cases} K_{\mathrm{Fg}}N_{i_{\mathrm{L}}}, & k = 0, \\ 0, & k = 1, \\ (2^{1-k} - 1)K_{\mathrm{Fg}}i_{\mathrm{L}}^k N_{i_{\mathrm{L}}}, & k > 1. \end{cases}$$
(B.2)

451 The source term for N_{i_0} is given by the negative infinity order moments:

$$\frac{\mathrm{d}N_{i_0}}{\mathrm{d}t} = \lim_{k \to -\infty} \frac{\mathrm{d}M_k/\mathrm{d}t}{i_0^k}.$$
(B.3)

452 Applying Eq. (B.3) to Eq. (6) for symmetric fragmentation, we obtain:

$$\frac{\mathrm{d}N_{i_0}}{\mathrm{d}t} = 2K_{\mathrm{Fg}}N_{2i_0}.\tag{B.4}$$

The only unknown term N_{2i_0} corresponds to the intermodal transfer of particles from the second mode to the first during the fragmentation process. To close this term, in Ref. [6] it is assumed that the rate of transfer is proportional to the overall fragmentation rate with a coefficient equal to the mass ratio between the two modes i_0/i_L . As a result, Eq. (B.4) can be transformed into:

$$\frac{\mathrm{d}N_{i_0}}{\mathrm{d}t} = \frac{2i_0^2}{i_{\mathrm{L}}^2} K_{\mathrm{Fg}} N_{i_{\mathrm{L}}}.$$
(B.5)

assuming the remaining two quantities in Eq. (B.1) are obtained by inverting the system with two known moments:

$$N_{i_{\rm L}} = M_0 - N_{i_0},\tag{B.6}$$

461 and

$$i_{\rm L} = \frac{M_1 - N_{i_0} i_0}{N_{i_{\rm L}}}.$$
 (B.7)

Algorithm 3 describes the numerical procedure of HMOM for symmetric fragmentation with a constant kernel. HMOM for other processes (inception, growth, shrinkage, coagulation, symmetric fragmentation with a massdependent kernel, erosion fragmentation with a constant or mass-dependent kernel) can be obtained in a similar way. The details are not given here for simplicity.

Algorithm 3: Hybrid method of moments algorithm.

Input: PSD supplied as initial condition $N(i, t_0)$ for $i = 1, ..., \infty$ at initial

time t_0 ; final time t_f .

Output: Moments $M_k(t_f)$ for k = 0, 1, ... at final time t_f .

Calculate the moments of the true PSD using Eq. (9):

$$M_k(t_0) = \sum_{i=1}^{\infty} i^k N(i, t_0), \quad k = 0, \dots, 2N_p - 2.$$

Determine the number and mass of the large particles $N_{i_{\rm L}}(t_0)$ and $i_{\rm L}(t_0)$, respectively, by solving Eqs. (B.6) and (B.7).

 $t \leftarrow t_0, M_k(t) \leftarrow M_k(t_0);$

while $t < t_{\rm f}$ do

Integrate Eq. (B.2) for the moments $M_k(t+h)$ over the time interval [t, t+h] (using an ODE solver) with $N_{i_0}(t)$, $N_{i_L}(t)$ and $i_L(t)$ as the initial condition.

Integrate Eq. (B.5) for the number of smallest particles $N_{i_0}(t+h)$ over the time interval [t, t+h] with $N_{i_0}(t)$, $N_{i_L}(t)$ and $i_L(t)$ as the initial condition.

Determine $N_{i_{\rm L}}(t+h)$ using Eq. (B.6) with the obtained $M_0(t+h)$ and $N_{i_0}(t+h)$.

Determine $i_{\rm L}(t+h)$ using Eq. (B.7) with the obtained $M_1(t+h)$, $N_{i_0}(t+h)$ and $N_{i_{\rm L}}(t+h)$.

Increment $t \leftarrow t + h$.

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⁴⁶⁹ Appendix C. Qudrature method of moments

The quadrature method of moments (QMOM) used in this work is similar to the one in Ref. [20]. This method was originally derived from continuous PSD approaches. Here we give a simple description about the way QMOM is used for fragmentation processes with a discrete-mass distribution.

In order to apply the QMOM, the fragmentation equation must first be transformed into moment equation which is the same as Eq. (15):

$$\frac{\mathrm{d}M_k}{\mathrm{d}t} = \sum_{j=1}^{\infty} \sum_{i=1}^{j} K_{\mathrm{Fg}}(j) i^k P(i|j) N_j - \sum_{i=1}^{\infty} K_{\mathrm{Fg}}(i) i^k N_i.$$
(C.1)

⁴⁷⁶ The QMOM is based on the following quadrature approximation:

$$M_k \approx \sum_{\alpha=1}^N i_{\alpha}^k w_{\alpha}, \tag{C.2}$$

where N is the number of quadrature nodes. i_{α} and w_{α} are respectively the quadrature abscissas and weights and their values can be determined using a product-different (PD) algorithm from lower-order moments [53]. Applying Eq. (C.2) to Eq. (C.1) leads to

$$\frac{\mathrm{d}M_k}{\mathrm{d}t} = \sum_{\alpha=1}^N K_{\mathrm{Fg}}(i_\alpha) w_\alpha \widehat{b}(i_\alpha) - \sum_{\alpha=1}^N i_\alpha^k K_{\mathrm{Fg}}(i_\alpha) w_\alpha, \qquad (C.3)$$

481 where

$$\widehat{b}(i_{\alpha}) = \sum_{i=1}^{i_{\alpha}} i^k P(i|i_{\alpha}).$$
(C.4)

⁴⁸² For symmetric fragmentation

$$\widehat{b}(i_{\alpha}) = 2^{1-k} i_{\alpha}^k, \tag{C.5}$$

and for erosion 483

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$$\hat{b}(i_{\alpha}) = 1^k + (i_{\alpha} - 1)^k.$$
 (C.6)

Note that $K_{\text{Fg}}(i_{\alpha} = 1) = 0$ since the smallest particles cannot fragment. 484

Algorithm 4 describes the numerical procedure of QMOM for fragmenta-485 tion process. QMOM for other processes can be obtained in a similar way. 486 The details are not given here for simplicity.

Algorithm 4: Quadrature method of moments algorithm.

Input: PSD supplied as initial condition $N(i, t_0)$ for $i = 1, ..., \infty$ at initial

time t_0 ; final time t_f .

Output: Moments $M_k(t_f)$ for k = 0, 1, ... at final time t_f .

Calculate the moments of the true PSD using Eq. (9):

$$M_k(t_0) = \sum_{i=1}^{\infty} i^k N(i, t_0), \quad k = 0, \dots, 2N - 1.$$

Determine the values of i_{α} and w_{α} ($\alpha = 1, ..., N$) based on the 2N moments using the PD algorithm.

$$t \leftarrow t_0, M_k(t) \leftarrow M_k(t_0);$$

while $t < t_{\rm f}$ do

488

Integrate Eq. (C.3) for the moments $M_k(t+h)$ over the time interval

[t, t + h] (using an explicit Runge-Kuta method):

$$\frac{\mathrm{d}M_k}{\mathrm{d}t} = \sum_{\alpha=1}^N K_{\mathrm{Fg}}(i_\alpha) w_\alpha \hat{b}(i_\alpha) - \sum_{\alpha=1}^N i_\alpha^k K_{\mathrm{Fg}}(i_\alpha) w_\alpha,$$

with the quadrature abscissas and weights: i_{α} , w_{α} ($\alpha = 1, \ldots, N$).

Update i_{α} and w_{α} using the PD algorithm with the obtained $M_k(t+h)$.

Increment $t \leftarrow t + h$.

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