## Metabolic exhaustion in infection, cancer and autoimmunity McKinney EF and Smith KGC

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#### 6 Abstract

7 It has become increasingly clear that changes in metabolism are not just 8 consequences of T cell activation but rather are essential drivers of that process, 9 shaping the extent and nature of differentiation and function. The process of T 10 cell exhaustion has been linked to the outcome of chronic immune responses in multiple contexts including chronic infection, cancer and autoimmunity. Factors 11 12 regulating the development and maintenance of exhaustion are of increasing 13 interest as the target of therapeutic modulation. Recent work has shown T cell 14 immunometabolism to be integral to the control and development of T cell exhaustion. Early metabolic changes are responsible for later emergence of 15 exhaustion, do not simply reflect changes secondary to chronic activation and 16 17 are modifiable. An increased understanding of this metabolic control promises to 18 improve our ability to modulate T cell immunity to chronic antigen stimulation 19 in multiple contexts.

### 2021 Introduction

22 The ability to sustain T cell function despite persisting antigen stimulation 23 contributes to the clearance of both chronic infection and cancer. However, 24 where T cell immunity targets self antigen or excessively damages healthy tissue, 25 maintaining robust responses can prove harmful rather than helpful. T cell 26 exhaustion has emerged as a key mechanism through which CD8 T cells lose 27 effector capacity during persistent stimulation, curtailing their ability to cause 28 damaging immunopathology but also facilitating viral persistence or hindering 29 tumour-targeted responses. A substantial body of work using murine models of 30 chronic LCMV virus infection has identified the molecular, transcriptional and 31 functional basis of T cell exhaustion. Recent studies have implicated early 32 metabolic signalling during T cell activation in the later development of 33 exhaustion. In doing so this work has highlighted the potential for metabolic 34 signals to shape T cell differentiation, and has focussed attention on the potential 35 of immunometabolic therapies in multiple contexts.

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### 37 T cell differentiation and metabolism

38 The process of T cell activation and differentiation involves rapid proliferation, 39 cytokine production and development of cytotoxic effector function. For this to 40 happen, cells must balance increasing energy demands with an increasing need for substrates to allow growth and division. Bioenergetic needs of naïve or 41 42 quiescent T cells are primarily met by mitochondrial oxidative phosphorylation (OXPHOS), an efficient means of generating ATP from a glucose substrate<sup>1</sup>. On 43 activation, however, there is a switch to aerobic glycolysis<sup>2</sup> known as the 44 'Warburg effect', a comparatively inefficient process in which fewer ATP 45 molecules are generated per molecule of glucose processed<sup>3</sup>. A T cells choice of 46 47 aerobic glycolysis initially makes little sense as it is not only inefficient, but seemingly unnecessary – cells switch to glycolysis even when abundant oxygen 48 49 is available. However, the trade off is that a greater number of metabolic 50 intermediates are produced for anabolic growth, including those for nucleotide

51 and amino acid synthesis<sup>4</sup>, maintenance of cellular redox balance 52 (NAD+/NADH)<sup>5</sup> and acetyl-CoA production for lipid synthesis through citrate 53 processing<sup>6</sup>. The switch to aerobic glycolysis is also required for the 54 development of full effector function (IL-2 and IFN- $\gamma$  release), as the glycolytic 55 enzyme GAPDH otherwise restricts translation of IFN- $\gamma$  mRNA<sup>2</sup>. 56 However, effector differentiation is not the only goal of T cell activation and the 57 switch to aerobic glycolysis is not complete<sup>2</sup>. Following antigen encounter and 58 eradication an expanded, persistent population of memory T cells shows a faster, 59 more robust response to subsequent activation (Fig. 1). For such memory to 60 develop during a primary T cell burst, aerobic glycolysis-driven effector function 61 must be balanced with other metabolic pathways including OXPHOS<sup>7</sup> and fatty 62 acid oxidation (FAO)<sup>8,9</sup>. A central role for mitochondria in this balance has been 63 highlighted by marked dynamic changes in their ultrastructure: T<sub>mem</sub> show 64 fusion of mitochondrial cristae into networks whereas their T<sub>eff</sub> counterparts 65 undergo fission and remain expanded<sup>10</sup>. The mature memory phenotype is also fuelled by an increased mitochondrial biomass<sup>11</sup> and spare respiratory capacity 66 (SRC), driven by IL-15-mediated upregulation of carnitine palmitovltransferase 67 (CPT1a)<sup>7</sup>. CPT1a activity controls miotochondrial FAO and fosters the ability to 68 69 generate a stronger, longer burst of both aerobic glycolysis and OXPHOS on 70 restimulation<sup>11</sup>. However, it should be noted that the role of FAO has been 71 demonstrated using pharmacological inhibition of CPT1a, the specificity of which 72 has been brought into question by recent genetic deletion studies<sup>12</sup>. Much 73 complexity surrounding the role of FAO in T cell differentiation remains to be 74 unpicked.

75

76 **FIGURE 1** Balance between  $T_{eff}/T_{mem}$  and metabolic pathways associated

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It is to be expected that dynamic metabolic changes must occur to keep pace with
the rapid changes in cellular function that accompany T cell activation<sup>13</sup>.
However, these studies have together implicated cellular metabolism – and
mitochondrial biomass and function in particular - as a key driver of T cell
phenotype, rather than simply reflecting changes in energy demand during
differentiation<sup>8,14</sup>. With immunometabolism taking centre stage, it has now

become clear that the development and maintenance of T cell exhaustion are alsounder metabolic regulation.

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### 87 Exhaustion and metabolism

88 T cell exhaustion is associated with progressive dysfunction characterised by 89 limited proliferation, cytokine production and effector capacity that is driven by 90 persistent, high levels of antigen exposure and a relative lack of costimulatory 91 'help' signals<sup>15</sup>. Exhaustion was originally identified in the murine LCMV model 92 of chronic viral infection but has since been identified during numerous other 93 chronic infections, in the tumour microenvironment and during 94 autoinflammatory responses<sup>16,17</sup>. Its development controls persistence and 95 outcome of cellular immunity to persistent antigen and has been associated with disease outcome in each case<sup>15,17</sup>. More recent work has demonstrated that 96 97 exhaustion is also associated with significant bioenergtic compromise in the 98 setting of both chronic viral infection and the tumour microenvironment<sup>18-20</sup>. 99 The observed metabolic dysfunction precedes the development of exhaustion per

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100 *se* and has been mechanistically linked to early inhibitory PD1 signals. However,

- 101 this is only one part of a complex cascade modulating metabolism and the
- 102 balance of effector function, cellular survival and exhaustion. To date, studies
- 103 have focussed on catabolic metabolism, mitochondrial function and on pathways
- 104 controlling them. Consequently, this review is similarly focussed, but this should
- not be taken to preclude the potential for downstream synthetic pathways toalso play an important role.
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108 In the LCMV model of chronic viral infection, late emergence of T cell dysfunction 109 is preceded by both transcriptional and functional changes suggesting glucose deprivation, with suppression of both glycolysis and OXPHOS<sup>18,21</sup>. Glucose 110 111 transport is limited in early exhaustion with downregulation of GLUT1-mediated 112 transport. However, alterations in glucose uptake were seen to be modest, 113 prompting a search for changes in mitochondrial mass and function. This showed 114 that mitochondria of exhausted cells (Texh) are larger, but dysfunctional. 115 Increased mitochondrial biomass was accompanied by a paradoxical reduction 116 in mitochondrial function (Oxygen consumption rate, OCR) and by increases in 117 both mitochondrial depolarisation and reactive oxygen species (ROS) production 118 relative to their effector counterparts (Teff). Ultrastructural changes in the 119 mitochondria, including elongation of cristae, were also apparent<sup>18</sup> although it is 120 less clear how these relate to ultrastructural changes already known to 121 differentiate classic memory and effector cell populations<sup>10</sup>. It is challenging – 122 particularly in vivo - to quantify the degree of metabolic switching required to 123 induce these mitochondrial changes (as it is with associated parameters such as 124 spare respiratory capacity (SRC))<sup>7</sup>, and thus to understand their importance.in 125 directing T cell fate. 126 CD8<sup>+</sup> tumour-infiltrating lymphocytes (TIL) also exhibit an exhausted 127 phenotype<sup>22,23</sup> that limits anti-tumour responses, and can be reversed by cancer 128 immunotherapy with impressive results<sup>16</sup>. Like Texh in chronic LCMV infection, 129 TIL exhaustion in multiple murine models shows evidence of glucose deprivation 130 and mitochondrial dysfunction. As in Texh in the LCMV model, reduced TIL 131 glucose uptake was matched by reduced mitochondrial function (OCR) and increased depolarisation<sup>19,20</sup>. However, while bioenergetic changes of Texh were 132 133 broadly similar in both TIL and chronic viral models, there were also differences. 134 Whereas Texh in LCMV infection showed greater mitochondrial biomass and 135 increased ROS production<sup>18</sup>, TILs showed a reduction in both<sup>19</sup>. The apparent 136 contribution of PD1 signaling to metabolic dysfunction was also different in the 137 two contexts. Early metabolic dysfunction of Texh in LCMV-cl13 infection responded to either genetic deletion of PD1 (adoptive transfer of transgenic 138 Pdcd1<sup>-/-</sup> LCMV-specific P14 T cells) or to PDL1 blockade<sup>18,19</sup>. However, 139 140 mitochondrial biomass was not substantially altered by PD1 blockade in TILs. 141 even though the blockade resulted in effective tumour regression<sup>19</sup>. These 142 context-specific differences in metabolic features of exhaustion require further 143 investigation but some explanations can be offered based on our increasing 144 appreciation of metabolic factors controlling T cell effector function, survival and 145 exhaustion.

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### 147 Metabolic substrate availability and exhaustion: Goldilocks and the 3

148 substrates

149 Emerging evidence has implicated several metabolic pathways as important

150 players in the development of T cell exhaustion. However it should be noted that, 151 as with their contribution to T cell differentiation more broadly, their respective

152 roles are actually integrated, with changes in one influencing many others.

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### 154 (FIGURE 2: metabolic substrates and T cell exhaustion)

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### 156 **Hypoxia and exhaustion**

Both metabolic and effector dysfunction of TIL is clearly driven by and restricted 157 to the tumour microenvironment<sup>19,20,22,23</sup>. Local metabolic substrate availability 158 159 is an important factor shaping exhaustion and may also explain some of the 160 variation in metabolic parameters between exhaustion models (Fig. 2a). 161 It has long been known that the tumour microenvironment is hypoxic and that 162 this favours tumour growth<sup>24</sup>. However, recent work has revealed the importance of hypoxia in driving the metabolic dysfunction of exhaustion. The 163 164 tumour suppressor gene *Vhl* does not interact with Hif (hypoxia inducible factor) 165 subunits under hypoxic conditions, allowing Hif to translocate to the nucleus and 166 mediate transcription of target genes. Genetic deletion of Vhl unleashed Hif 167 activity during persistent T cell responses resulting in fatal immunopathology during chronic LCMV infection but improving survival in an experimental 168 169 melanoma model as T cells maintained effector function instead of exhausting<sup>25</sup>. 170 This work further supports the prevailing theory that exhaustion has evolved as 171 a mechanism by which chronic infection is 'tolerated' rather than risk fatal injury 172 during an attempt to clear it<sup>17</sup>. It also highlights the importance of the 173 hypoxia/Vhl/Hif pathway in controlling T cell effector function during persistent 174 antigen stimulation. A metabolomic study of Vhl-deficient murine CD8<sup>+</sup> T cells 175 (with consequent high levels of Hif activity) subsequently identified S-2-176 hydroxyglutarate (S2HG) as a key immunometabolite controlling persistent CTL 177 activity in both immunisation and tumour models<sup>26</sup>. S2HG levels are increased by 178 TCR stimulation in a Hif-dependent fashion but may also be influenced by other 179 metabolic pathways including glutaminolysis promoting both effector 180 differentiation and persistence<sup>26</sup>. It also appears that the contribution of hypoxic Vhl/Hif signalling to exhaustion is distinct from the contribution of classic 181 182 inhibitory receptors. In the absence of Vhl (P14 *Vhl*-/- in the LCMV-cl13 model), 183 classic inhibitory receptors such as LAG3 and PD1 were still induced during 184 chronic infection but exhaustion did not develop, resulting in lethal 185 immunopathology driven by an aggressive CD8<sup>+</sup> CTL response. Hypoxia is a constant presence in conditions of rapid T cell infiltration, 186 proliferation and activation whether this is in the TME, virally infected tissue or 187 188 in the context of autoinflammatory disease. It is clear that the T cell metabolic 189 response to this hypoxic microenvironment is an important determinant of its 190 ability to sustain an effector response and consequently of disease outcome. 191 However, the exact impact of hypoxia on T cell effector function remains unclear 192 with some studies suggesting that limiting Hif expression (by knockdown rather

193 than genetic deletion) can improve rather than attenuate effector capacity<sup>27</sup>.

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### 195 **Glucose and exhaustion**

T cell activation is characterised by aerobic glycolysis, the switch away from
 OXPHOS despite the presence of sufficient oxygen to maintain it<sup>13</sup>. However, a

198 lack of glucose availability in - or a lack of uptake from - the microenvironment 199 does have important consequences for persistence of T cell effector function 200 (Fig. 2b). Some studies have suggested that limiting glycolysis can favour 201 OXPHOS-mediated memory formation and enhanced persistent effector 202 function<sup>28</sup>, although others have demonstrated a need for ongoing glycolysis to 203 maintain effector function<sup>29</sup>. This apparent discrepancy reflects a need for 204 glycolysis-driven effector function to be counterbalanced by a concurrent need 205 for memory formation, driven by alternative metabolic pathways such as Hif, 206 FAO and OXPHOS. At least some glycolysis is clearly required for initial T cell 207 activation and for the development and maintenance of proliferation and effector 208 function<sup>2,13</sup>. Similarly, even in the setting of enforced constitutive glycolysis 209 (achieved through conditional deletion of *VhI*) a long-lived memory population 210 does develop, albeit skewed towards an effector-memory phenotype<sup>29</sup>. A 211 balanced contribution of both effector-associated glycolysis and memorypromoting metabolism such as FAO and OXPHOS is required for optimal T cell-212 mediated control of pathogen or tumour<sup>30</sup>. Skewing the response too far in either 213 214 direction could hamper persistent effector function. Texh cells do show an early reduction in GLUT1-mediated glucose uptake and 215 early gene expression profiles consistent with glucose deprivation<sup>18,19,31</sup>. When 216 217 TIL or Texh cells from chronic LCMV infection were exposed to conditions of 218 glucose deprivation, they showed attenuation of both TCR and NFAT signalling 219 with associated defects in Ca<sup>2+</sup> flux, akin to those charcterising T cell anergy<sup>31,32</sup>. 220 Altered levels of the glycolytic metabolite phosphoenolpyruvate (PEP)<sup>31</sup> in Texh 221 also contribute to the observed metabolic and effector dysfunction and do not 222 simply reflect secondary changes in metabolism. Glycolysis-induced PEP 223 accumulation was identified as necessary to sustain both Ca2+ and NFAT 224 signalling - and hence effector function - following TCR activation, with a loss of 225 IFN- $\gamma$  and CD40L expression when it was suppressed<sup>31</sup>. 226 This same study also reminds us that cells are continually competing for metabolic substrates in their local microenvironment – and it is not just a T cells 227 228 ability to take up and use a substrate that determines metabolic fitness, the 229 competing ability of neighbouring cells may be just as important. Overexpression 230 of the enzyme hexokinase-2 in tumour cells increased their rate of glycolysis 231 with evidence of glucose deprivation developing in TILs as a consequence<sup>31</sup>. This 232 competition for substrate has been elegantly demonstrated in the TME, where 233 tumour cell-driven changes in the availability of glucose were reflected in a 234 reduction in TIL effector capacity<sup>20</sup>. A reduction in tumour cell glycolysis may at 235 least in part explain the reversal of TIL exhaustion and the success of 'checkpoint' inhibitory receptor blockade<sup>20</sup>. The degree to which such 236 237 competition for substrate contributes to exhaustion in the context of either 238 chronic infection or autoimmune responses is less clear, although is very likely to 239 play a role.

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### 241 Amino acids, survival and effector differentiation

242 Enhanced T cell survival in an exhausting tumour microenvironment has also

- 243 been shown through increased uptake and metabolism of L-Arginine, that is
- 244 usually specifically depleted during T cell activation<sup>33</sup>. Increased L-Arginine
- correlated with improved T cell survival with differentiation skewed towards the
- 246 replicative, CCR7<sup>+</sup> central memory (T<sub>cm</sub>) phenotype (**Fig. 2c**). Once again,

247 availability of the metabolic substrate controlled the response as restoring 248 depleted L-Arginine levels improved cytotoxic capacity both in vitro and in 249 vivo<sup>33</sup>. Once again, enhanced memory differentiation was associated with 250 attenuation of effector function, in this case a reduction in IFN- $\gamma$  production 251 (although, consistent with memory differentiation, equivalent levels were 252 produced on secondary restimulation)<sup>33</sup>. Cellular uptake of other amino acids (AA), including leucine<sup>34</sup> and glutamine<sup>35</sup> via the AA transporters slc7a5 and 253 254 ASCT2 respectively, are important for initiation, maintenance and integration of 255 TCR signals with downstream metabolic sensors mTOR. They have not as yet 256 been investigated in the context of exhaustion but their expression and AA 257 availability is likely to also play a role. The critical AA transporter CD98, a 258 downstream target of the metabolic regulator c-Myc<sup>36</sup> and a heterodimer 259 incorporating slc7a5, does show elevated expression on memory but not 260 exhausted CD8<sup>+</sup> T cells during chronic infection<sup>37</sup>.

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Together, these data make it clear that competition for metabolic substrate
within the local microenvironment is a critical factor influencing the balance of
effector function and T cell persistence during exhaustion<sup>19,20,31</sup>.

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#### 266 Metabolic exhaustion in different models: the same but different

The majority of studies to date consistently demonstrate metabolic dysfunction
preceding exhaustion in different contexts. Furthermore, the observations are
not restricted to murine models of disease. TIL from human cancer have been
shown to have a similarly dysfunctional metabolic phenotype<sup>19,38</sup> while human
CD8<sup>+</sup> T cells specific for chronic persistent (HBV)<sup>39,40</sup> but not acute (influenza)<sup>40</sup>

or recurrent virus infections (CMV)<sup>39</sup> have been shown to have similar
limitations in glucose uptake and mitochondrial biomass.

However, while bioenergetic changes of Texh appear broadly similar in both TIL and chronic viral models, there are also differences. Whereas Texh in chronic

276 LCMV infection showed greater mitochondrial biomass and increased ROS

production<sup>18</sup>, TILs showed a reduction in both<sup>19</sup>. The apparent contribution of

PD1 signalling to metabolic dysfunction was also different in the two contexts.

Early metabolic dysfunction of Texh in LCMVcl13 infection responded to either genetic deletion of PD1 (adoptive transfer of transgenic *Pdcd1*-/- LCMV-specific

P14 T cells) or to PDL1 blockade<sup>18,19</sup>. However, mitochondrial biomass was not
 substantially altered by PD1 blockade in TILs, even though the blockade resulted

in effective tumour regression<sup>19</sup>.

Sampling of T cells at different stages of exhaustion (a dynamic process reflecting
 a continuum of dysfunction<sup>41</sup>) and from microenvironments comprising different

substrate availabilities may explain at least some of the variance observed.

However, other factors may also contribute. Texh cells are known to be

heterogeneous, with two principal subsets defined by both their level of PD1

289 expression and reciprocal expression of the transcription factors Tbet and

290 Eomes<sup>30</sup>. The Tbet<sup>hi</sup>Eomes<sup>lo</sup>PD1<sup>int</sup> subset show greatest proliferative potential,

 $291 \qquad \text{are the lineage precursors of their more cytotoxic Tbet^{lo} Eomes^{hi} PD1^{hi} \\$ 

counterparts and are the dominant subset responding to PD1-PDL1 blockade<sup>42</sup>.

 $\label{eq:linear} 293 \qquad \mbox{In LCMV, the Tbet}{}^{\rm lo}\mbox{Eomes}{}^{\rm hi}\mbox{PD1}{}^{\rm hi}\mbox{ subset was shown to have more severe}$ 

- 294 metabolic dysfunction consistent with its 'terminally exhausted' phenotype. The
- distribution of these subsets amongst TIL is not currently clear <sup>19,38</sup> but the lack

- of .a metabolic response to PD1 blockade<sup>19</sup> suggests they are likely to be
- 297 enriched for 'terminally exhausted' cells. The observation that adoptive transfer
- of TIL into an acute viral infection setting (VV-OVA) fails to restore their
- function, is consistent with it<sup>19</sup>. Despite this, a bioenergetic revival following PD1
- 300 blockade, such as is seen in chronic infection<sup>18</sup>, may still occur in tumour models
- and contribute to revival of tumoricidal function. However, it may be occurringout with the tumour microenvironment in less terminally-exhausted T cells and
- 303 consequently not be visible within it.
- 304
- 305 Exhaustion is a spectrum rather than a binary state<sup>15</sup> and both its extent and the
- nature of its induction may differ depending on the context. TILs show an
   exhausted phenotype only within the tumour microenvironment, remaining fully
- 308 functional in the periphery of tumour-bearing mice<sup>15,19</sup> or in human cancer<sup>22,38</sup>.
- 309 Indeed, TIL metabolic dysfunction could not be reproduced on co-culture of CD8+
- 310 T cells with isolated tumour cells in vitro<sup>19</sup> indicating an important and complex
- 311 role of the microenvironment in its induction. By contrast, exhaustion is
- 312 apparent between different mice rather than between different anatomical
- locations in the same mouse<sup>43</sup>. Those receiving the clone 13 strain of LCMV
- develop chronic infection and exhaustion, while those receiving the highly
   related Armstrong strain have robust memory differentiation following an acute,
- 316 self-terminating infection.
- 317 It is clear that T cell bioenergetics vary markedly between different tissues
- 318 during infection<sup>18,19</sup> and also between distinct tumour models<sup>19</sup>, highlighting the
- importance of context for their development and maintenance. Given evidencedemonstrating the importance of local microenvironmental signals, substrate
- availability and the phenotype of neighbouring cell types (both immune and
   stromal), it is less surprising that, in different contexts, T cells come to be
- 323 exhausted by different paths.
- 324

# 325 Early T cell metabolism may control exhaustion, but what controls early T 326 cell metabolism?

- Multiple lines of evidence support the assertion that metabolic pathways control
  the development of T cell exhaustion, although exact in vivo mechanisms remain
  to be fully elucidated. But what signals control those metabolic pathways early
  after activation? A series of elegant studies have now identified major signalling
  pathways controlling the balance between effector and memory differentiation.
  More recently, these pathways have been investigated in both TIL and chronic
- infection models of T cell exhaustion, indicating an important role there also.
- 335 During T cell activation and proliferation the intracellular serine/threonine
- 336 kinase mTOR (mechanistic target of rapamycin) integrates diverse
- 337 environmental signals including those derived from nutrient, cytokine and TCR
- 338 signalling pathways<sup>44</sup>. Effector differentiation is favoured by TCR-triggered
- PI3K/Akt activity, driving mTOR-dependent<sup>14</sup> inactivation of the transcription
- factor FOX01<sup>45</sup>. FOX01 serves to repress effector function and promote memory
- formation by increasing expression of the transcription factor Eomesodermin
- and by concurrently repressing Tbet<sup>46</sup>. In the presence of TCR signals, such
   FOX01 inactivation tips this balance towards Tbet-mediated effector
- 344 differentiation (**Fig. 3**). It is also clear that many signals feed into this complex

- junction, including those dependent on the local availability of metabolic
- 346 substrates considered above, including hypoxia, glucose, immunometabolites
- such as S2HG, PEP and amino acids such as L-arginine<sup>25,29,31,33</sup>.
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## FIGURE 3: metabolism integrates inhibitory and TCR signalling in exhaustion

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### 352 Inhibitory receptor control: PD1 and T cell metabolism

353 It is now becoming apparent that early inhibitory receptor signalling in activated 354 T cells can modulate T cell metabolism to influence the later development of 355 exhaustion. It has long been known that T cell exhaustion is driven by high levels 356 of sustained TCR signalling<sup>41,47</sup>. However recent work has shown that, despite 357 ongoing antigen exposure and TCR triggering, downstream PI3K/AKT/mTOR 358 signalling in exhaustion is not similarly sustained<sup>18,48</sup> – in other words, persistent 359 antigen may drive exhaustion but this does not correspond to persistent downstream TCR signals. PD1 expression is induced on antigen-stimulated cells 360 361 by TCR signals through NFATc<sup>49-51</sup>. However, PD1 ligation is capable of 'desensitising' the TCR signal, allowing a degree of ongoing nuclear FOX01 362 activity that in turn sustains both further PD1 expression and ongoing TCR 363 desensitisation<sup>48</sup>. This establishes a self-reinforcing cycle that restrains 364 development of full effector function with an associated switch away from 365 366 aerobic glycolytic metabolism<sup>48,52</sup>. These data suggest that persistent PD1 signalling actually facilitates T cell 367

- 367 These data suggest that persistent PD1 signaling actually facilitates 1 cell 368 survival in the face of persistent antigenic stimulus. By desensitising TCR signals,
- 369 PD1 may actually serve to maintain a degree of bioenergetic fitness in the face of
- 370 persistent antigen exposure. We have seen that exhausted T cells do show
- evidence of metabolic dysfunction<sup>18,19</sup>. However, without persistent PD1 signals
  this as lable as a PD1 set the set of a click of a cli
- this could be much worse. PD1 can therefore act to facilitate an ongoing, if
   attenuated, T cell response in the context of persistent TCR activation<sup>48</sup>. This
- 373 attenuated, T centesponse in the context of persistent TCK activation 8. This
   374 system is complex, however genetic deletion of PD1 can counterintuitively
- allow the later accumulation of 'terminally exhausted' cells (*Pdcd1*-/-)<sup>53</sup>. However,
  the details of how PD1 facilitates survival with attenuated function remain
  unclear and its effects are likely to vary with the time post stimulation (early
  uargue late, and diaguagion of PCC1a below)
- 378 versus late, see discussion of PGC1a below).
- This role for PD1 in T cell differentiation also makes evolutionary sense. It is likely that exhaustion has evolved as a means of carefully balancing control of chronic infection with the risk of causing damaging immunopathology<sup>17</sup>. During
- 382 acute T cell activation, a feedback loop is established whereby strong TCR signals
- 383 drive Akt -mediated cytotoxicity (**Fig. 3**) but also drive PD1 expression, limiting
- that TCR signalling. Should TCR signals persist, they will be desensitised by PD1,
- 385 allowing preservation of bioenergetic fitness sufficient to sustain a longer-term,
- albeit more restrained, T cell response.
- 387 These new insights into metabolic control of T cell exhaustion have important
- implications for the design of therapies aimed at its modulation. This schema
- may also initially seem at odds with observations that PD1 blockade can promote
   restoration of cytotoxicity in both TILs<sup>16</sup> and in chronic viral infection<sup>54</sup>.
- 391 However, PD1-driven desensitisation of TCR signals and preservation of some
- 392 metabolic fitness serves to keep T cells in the fight the response to persistent
- antigen is, after all, more of a marathon than a sprint. Reversing exhaustion

- 394 through inhibitory receptor blockade shows much promise as a therapeutic
- approach in chronic infection or cancer<sup>23,54,55</sup>. PD1 blockade can clearly induce
- increased cytotoxicity of exhausted CD8+ T cells. However, if this occurs through
- promoting 'terminal' differentiation of more cytotoxic Tbet<sup>lo</sup>Eomes<sup>hi</sup>PD1<sup>hi</sup> cells at
- the expense of a more durable and metabolically-fit Tbet<sup>hi</sup>Eomes<sup>lo</sup>PD1<sup>int</sup>
   population<sup>30,53</sup>, such therapy could effectively undercut the immune systems
- 400 capacity to maintain an ongoing response. The predicted result would be
- 401 eradication of the targeted antigen (whether tumour or virus) in some cases
- 402 where induced cytoxicity was sufficient, but perhaps a 'deeper' state of
- 403 subsequent exhaustion where it isn't and residual metabolic fitness was lost
- 404 through inhibitory receptor blockade.
- 405
- 406 What is less clear at this stage is exactly how this perpetuating cycle is
- 407 established in the first place. While the microenvironmental signals discussed
- 408 above are important, costimulatory signalling is very likely to also contribute. A
- lack of costimulatory signals during TCR triggering results in a hyporesponsive
   state termed anergy<sup>32</sup>. Costimulatory signals, such as those received through
- 411 CD28 binding<sup>56,57</sup> or cytokines such as IL-2 (ref <sup>58</sup>), are critical for upregulation of
- 412 glucose, AA transporters and the FAO regulator CPT1a that facilitate the
- 413 metabolic switch underlying T cell activation<sup>13,57</sup>. A failure of such initial
- 414 costimulation results in comparative metabolic quiescence and anergy<sup>59</sup>.
- 415 Exhaustion is distinct from anergy: T cells have received sufficient stimulatory
- 416 signals to activate, but they continue to receive them and become dysfunctional.
- 417 However, inadequate costimulation (rather than absent costimulation as in
- 418 anergy) also contributes to development of exhaustion<sup>60,61</sup> and is likely to
- promote the early PD1-driven cycle of bioenergetic compromise promoting laterexhaustion (Fig. 3).
- 421

### 422 **Other inhibitory receptors**

- 423 Just as the precise nature of metabolic dysfunction of exhausted cells appears 424 different in different contexts (see above), so the mechanism by which it 425 develops may also be distinct. A range of coinhibitory receptors are highly expressed on exhausted T cells and play distinct but synergistic roles in driving 426 427 that phenotype<sup>49</sup>. Mitochondrial dysfunction of TILs is apparent in the tumour microenvironment of mulliple mouse models<sup>19,20,31</sup> and in human cancers<sup>19,38</sup>. 428 However, the degree of metabolic dysfunction does not directly parallel the 429 430 concurrent level of inhibitory receptor expression<sup>19,26</sup> in each context. 431 Exhaustion can clearly develop in the absence of specific inhibitory receptor expression<sup>18,53</sup> and inhibitory receptor expression can be present without the 432 433 development of exhaustion, for example where Vhl/Hif signals are absent<sup>25</sup>. 434 Further, whereas metabolic changes are important drivers of exhaustion early 435 during TCR signalling, exhaustion can be at least partially reversed at later stages 436 with increased cytotoxicity despite no clear modulation of metabolism<sup>18,19</sup>. 437 Together, these data suggest that multiple, redundant signals must contribute to 438 the development of exhaustion and its associated metabolic dysfunction. The 439 summated input from these cell surface and local environmental signals 440 contribute to the resulting degree of exhaustion.
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### 442 **mTOR and metabolism in exhaustion**

443 During T cell activation mTOR acts to integrate multiple signals including from

- 444 metabolic, cytokine and TCR signalling pathways<sup>44</sup>. Perhaps unsurprisingly,
  445 mTOR activity has therefore been shown to have a central role in T cell
- 446 metabolism and, more recently, in exhaustion. It was, however, initially a
- 447 surprise when the immunosuppressive drug rapamycin, an inhibitor of mTOR,
- 448 was found to promote T cell memory differentiation and the associated switch
- 449 away from aerobic glycolysis towards FAO metabolism<sup>8,14</sup>. It has been used for
- 450 some time as an immunosuppressive agent capable of limiting TCR-driven
- 451 activation and proliferation. However, mTOR has since been shown to directly
- 452 promote phosphorylation and inactivation of FOX01, promoting effector
- differentiation through downstream Tbet-mediated effects<sup>45,46,62,63</sup>.
  Early mTOR blockade in the LCMV-cl13 chronic viral infection model also
- 455 modestly improved mitochondrial fitness of exhausted cells (depolarisation and
- 456 size<sup>18</sup>) suggesting that chronic mTOR signals downstream of the TCR can
- 457 contribute to the metabolic changes of exhaustion, even if TCR signals are
- 458 downtuned by PD1. It has been observed that blocking mTOR actually impairs
- 459 TIL response to PD1 blockade, suggesting that ongoing mTOR signalling to at
- least some degree may be needed to preserve effector function<sup>18,19</sup>.
- 461

The evidence for mTOR involvement in the metabolic phenotype of exhaustion
highlights the complex, dynamic balance between 'terminal' effector function and
T cell persistence. While mTOR signalling may in part drive the cell away from
mitochondrial metabolism toward anaerobic glycolysis, these changes are a
necessary part of effector differentiation. Both are required for adequate ongoing
T cell responses to persistent antigen and the degree to which either path is
inhibited impacts on the resulting phenotype<sup>30</sup>.

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### 470 **FOXO signals and exhaustion: death, survival and metabolism**

471 FOXO transcription factors integrate signals from growth factors, substrate 472 availability and inflammation and in turn regulate cellular growth, differentiation 473 and metabolism<sup>64</sup>. The balance of FOXO signalling is clearly important in 474 directing CD4<sup>+</sup> regulatory T cell differentiation<sup>65,66</sup>, however this balance also 475 plays an important cell-intrinsic role in CD8<sup>+</sup> T cell differentiation and in 476 directing metabolic dysfunction of exhaustion. FOXOs 1 and 3 are expressed in T 477 cells<sup>64</sup>. FOXO1 activity promotes T cell survival and persistence<sup>67,68</sup> while FOXO3 478 activity limits survival through pro-apoptotic effects mediated by Bim and 479 PUMA<sup>69-71</sup>. Ablating FOXO3 activity (*Foxo3<sup>-/-</sup>* CD8<sup>+</sup> T cells) restricts the 480 contraction phase post-stimulation allowing greater persistence of effector CD8+ T cells<sup>72</sup>, facilitating clearance of chronic LCMV infection<sup>73</sup> and control of HIV in 481 humans<sup>74</sup>. By contrast, FOXO1 signalling can maintain a pluripotent stem cell 482 483 phenotype<sup>75</sup> and is required for differentiation of effector CD8<sup>+</sup> T cells into a functional memory subset<sup>76</sup> through its downstream modulation of the 484 transcription factors Tbet and Eomes<sup>46</sup>. It is therefore likely that the balance of 485 FOXO signalling following TCR signals will determine the balance of effector fate 486 487 and cellular persistence during chronic antigen exposure. 488 However, the balance of FOXO-driven regulation is complex<sup>64</sup> and a complete 489 picture of its contribution to the metabolic dysfunction of exhaustion has yet to 490 emerge with several apparent contradictions persisting. For example, the role of

491 FOXO3 in promoting apoptosis and effector differentiation is at odds with its

- 492 apparent ability to maintain PGC1a expression and metabolic fitness (described
- below). Similarly, despite its role in promoting stem cell-like persistence, FOX01
- also appears to be necessary for differentiation of the terminally exhausted
- 495 Tbet<sup>1</sup><sup>o</sup>Eomes<sup>hi</sup>PD1<sup>hi</sup> subset<sup>48</sup>. These apparent discrepancies may reflect the
- 496 complex integration by FOXOs of multiple other pathways including hypoxic
- 497 signalling<sup>77</sup>, metabolic pathways<sup>78</sup> and nutrient availability<sup>79</sup>. A future challenge
- 498 will be to fully understand the complex role of these transcription factors in
- 499 regulating metabolism during exhaustion.
- 500

### 501 **PGC1a: regulation at the heart of metabolic exhaustion?**

- 502 The ability to sustain energy production during rapid cellular proliferation
- 503 requires mitochondrial biogenesis and the induction of gene expression
- 504 programmes regulating substrate uptake and use. This process is controlled by 505 the transmissional as a timeter  $PCC1_{20}$  the transmission of t
- the transcriptional coactivator PGC1a<sup>80</sup> through control of both nuclear
   respiratory factors (Nrf) and mitochondrial transcription factor A (Tfam)<sup>81</sup>. It is
- 500 respiratory factors (NT) and mitochondrial transcription factor A (Ifam)<sup>o1</sup>. It 507 now clear that PGC1a is central to the metabolic dysfunction of exhaustion in
- 508 both LCMVand tumour models. PGC1a shows reduced levels of expression in
- both TIL<sup>19</sup> and Texh in the LCMV model<sup>18</sup>, while its overexpression limits
- mitochondrial dysfunction <sup>18,19</sup>, facilitating the emergence of polyfunctional CD8
   T cells<sup>18</sup> that drive tumour infiltration and regression<sup>19</sup>.
- 512 The FOXO family of transcription factors both FOXO1<sup>19,82</sup> and FOXO3<sup>19,83</sup> have
- 513 each been shown to promote PGC1a expression. Conversely, PGC1a expression is 514 represented by TCP, driven Alst size all  $p_{12}^{(2)}$  which is the subset base between  $p_{12}^{(2)}$
- 514 repressed by TCR-driven Akt signalling<sup>82</sup> which in turn phosphorylates and
- 515 inactivates FOXOs 1 and 3<sup>81</sup>. Therefore persistent antigen/TCR signalling may 516 serve to maintain Akt signalling and promote effector differentiation, but
- serve to maintain Akt signalling and promote effector differentiation, but
  metabolic fitness will also be curtailed as FOXO-driven PGC1a expression is
- 518 restricted (**Fig. 3**).
- 519 It remains unclear, however, exactly how complex signals from multiple
- 520 inhibitory receptors including PD1 are integrated to modulate PGC1a levels and 521 whether this is the only or the most important transcription factor modulating
- 521 whether this is the only of the most important transcription factor modulating 522 early metabolic fitness. Absence of PD1 from the onset of a T cell response
- 523 (through genetic deletion, *Pdcd1-/-*) facilitates a later expansion of exhausted
- 524 cells in the absence of inhibitory signals restraining cytotoxicity and terminal
- 525 differentiation<sup>53</sup>. This demonstrates that PD1 is not essential for the
- 526 development of exhaustion and its expression at certain points may even limit its
- 527 development. Genetic ablation of PD1 also results in higher expression of
- 528 PGC1a<sup>18</sup> suggesting that, if PD1 is acting to preserve a degree of early
  529 bioenergetic fitness and to facilitate later survival despite ongoing stimulation, it
  530 is unlikely to be doing so through PGC1a. Other data showing delayed tumour
- progression after PD-1 blockade in the absence of metabolic changes in TIL also
   suggest additional mechanisms<sup>27</sup>. Further studies will be required to clarify the
- 533 complexity of these signalling events.
- 534

### 535 Autoimmune disease, metabolism and exhaustion

- 536 T cell exhaustion regulates cellular immunity during the response to persistent
- 537 antigenic stimulation, whether that is during chronic viral infection or in the
- 538 tumour microenvironment. Exhaustion has also been inversely associated with a
- relapsing course of autoinflammatory disease, another instance of immune
- reactivity to persistent antigen (in this case, 'self'). A transcriptional signature

- 541 specific for exhaustion correlates with a reduced rate of relapse in multiple
- 542 diseases<sup>61</sup> and features of T cell exhaustion correlate with favourable response
- 543 during immunotherapy of type 1 diabetes<sup>84</sup>. Such observations are consistent
- 544 with the evolution of exhaustion as a mechanism to limit immunopathology in
- the face of persistent TCR stimulation<sup>17,41</sup>. A broadly similar phenotype of
- exhaustion is apparent in the distinct contexts of chronic viral infection and the
- 547 tumour microenvironment. These broad similarities are accompanied by specific
- differences, too, likely driven by distinct signals received in each context. It is
  likely that immunometabolism also plays a key role in control of effector.
- 549 Inkely that initiation etabolism also plays a key role in control of effector, 550 persistent or exhausted T cell responses in autoimmunity.
- 551

552 It is clear that metabolic 'switches' are not only important for the initiation of T 553 cell activation<sup>13</sup> but are also responsible for controlling an ongoing balance of 554 effector/memory differentiation and exhaustion<sup>18,19</sup>. Many studies have sought 555 to directly compare measures of metabolic fitness in autoinflammatory disease, 556 typically making comparison with uninflamed, healthy control tissues and 557 sampling cells during or after active autoinflammation and immunosuppressive 558 therapy<sup>85</sup>. In this context it is unsurprising to find altered metabolism as a 559 secondary consequence of immune activation or therapeutic modulation -560 indeed it would be surprising not to find it. This highlights the need for 561 appropriate control for variable proliferation and immune activation in models 562 and assays of immunometabolism, such as those used during LCMV models 563 (where adoptive transfer of small numbers of transgenic, LCMV-specific P14 T 564 cells facilitates their direct comparison with host cells in an equivalent 565 context<sup>18,53</sup>). Evidence to support a primary role of exhaustion-associated 566 metabolic dysfunction in autoinflammatory disease is more limited.

567

568 Several recent genetic studies have, however, implicated exhaustion-associated 569 metabolic pathways in the onset and progression of multiple autoimmune 570 phenotypes. Perhaps the most striking example is the recurrent association of a 571 variant allele in neutrophil cytosolic factor 1 (Ncf1) with onset or severity of 572 multiple autoinflammatory diseases<sup>86</sup>. Ncf1 is a necessary for activation of 573 NADPH oxidase and consequent production of superoxide during the respiratory 574 burst. Reduction in ROS levels in the presence of a mutant allele leads to 575 increased severity and relapse in mouse models of multiple sclerosis and 576 rheumatoid arthritis<sup>86,87</sup> and shows association with multiple human 577 autoimmune diseases<sup>86</sup>. Redox signalling can be controlled by PD1 signalling in T 578 cells<sup>88</sup> and ROS generation has been implicated in the metabolic dysfunction of 579 exhaustion<sup>18,19</sup>. However, a direct link between NCF1 function and exhaustion 580 remains to be tested.

- 581The process of T cell exhaustion has thus far been associated with the
- progression rather than onset of autoinflammatory disease<sup>61,84</sup>, although
- 583 exhaustion-associated inhibitory receptors have also been linked to broken
- tolerance<sup>17</sup>. A genome-wide association study of clinical outcome has identified a
- variant allele in FOXO3 associated with disease progression (but not
- 586 susceptibility) in Crohn's disease, rheumatoid arthritis and malaria infection<sup>89</sup>.
- Alongside the association of T cell exhaustion with outcome in Crohn's disease<sup>61</sup>
   this suggests a possible role for FOXO3-driven metabolic control of T cell
- 589 exhaustion in autoinflammatory disease. However, FOXO3 the Crohn's associated

variant allele has not as yet been functionally tested in T cells and a monocyte-

- 591 intrinsic signalling pathway directing TGF- $\beta$  and TNF production is at least partly 592 responsible for the association<sup>90</sup>.
- 593

### 594 Summary

During T cell activation changes in cellular metabolism are inevitably required to
sustain the rapid changes in cell function. Increasing evidence suggests that
metabolic changes do not simply react to changes in T cell differentiation but
drive them. It is now apparent that the integration of complex early signals from
a cells microenvironment is critical both for initiating activation and for
regulating the nature of 'downstream' differentiation. These signals direct
phenotypes of effector function and memory development, but also of T cell

602 exhaustion during chronic antigen exposure.

- 603 In both chronic infection and tumour models where exhaustion is apparent,
- 604 metabolic changes in T cells occurred rapidly, prior to the emergence of
- 605 exhaustion *per se*, and were maintained into later stages. Multiple observations
- 606 therefore confirm a prominent role for cellular metabolism in 'upstream' control
  607 of exhaustion rather than simply reflecting changes secondary to its
  608 development.
- A wealth of evidence also indicates that signalling pathways promoting cellular
- 610 persistence/survival can be dissociated from those controlling effector function.
- 611 Signals promoting aerobic glycolysis during activation may enhance effector
- 612 differentiation but this may be at the expense of memory potential. Conversely,
- 613 the use of alternative pathways such as lipid metabolism at the expense of
- aerobic glycolysis may do the opposite. However, both processes are required inparallel to appropriately mount an effective, durable T cell response to persistent
- 616 antigen<sup>30</sup>. As such, the metabolic balance between effector function and
- 617 persistence represents another example of the 'Goldilocks' principle, in which
- 618 effector and memory differentiation must be neither too much nor too little, but
- 619 'just right' in order to appropriately deal with infection without the development
- 620 of immunopathology. Future challenges will include quantifying the extent to
- which each metabolic pathway contributes to T cell function, how each iscontrolled and how we might harness that knowledge to modulate metabolism
- 623 and hence immunity. To achieve this, our understanding of T cell metabolism
- 624 during human disease will have to catch up with the advances driven by
- 625 informative mouse models of cancer and infection. However, modulating
- 626 immunometabolism shows promise as a means of controlling chronic T cell
- 627 responses in infection, cancer and autoinflammatory disease.
- 628
- 629 630

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