

# Particle Emission Characteristics of a Gas Turbine with a Double Annular Combustor

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## ABSTRACT

The total climate, air quality and health impact of aircraft black carbon (BC) emissions depends on quantity (mass and number concentration), as well as morphology (fractal dimension and surface area) of emitted BC aggregates. This study examines multiple BC emission metrics from a gas turbine with a double annular combustor, CFM56-5B4-2P. As a part of the SAMPLE III.2 campaign, concurrent measurements of particle mobility, particle mass, particle number concentration and mass concentration, as well as collection of transmission electron microscopy (TEM) samples, allowed for characterization of the BC emissions. Mass- and number-based emission indices were strongly influenced by thrust setting during pilot combustion and ranged from <1 to 208 mg/kg-fuel and  $3 \times 10^{12}$  to  $3 \times 10^{16}$  particles/kg-fuel, respectively. Mobility measurements indicated that mean diameters ranged from 7-44 nm with a strong dependence on thrust during pilot-only combustion. Using aggregation and sintering theory with empirical effective density relationships, a power law relationship between primary particle diameter and mobility diameter is presented. Mean primary particle diameter ranged from 6-19 nm, however, laser induced incandescence (LII) and mass-mobility calculated primary particle diameters demonstrated opposite trends with thrust setting. Similarly, mass-mobility-calculated aggregate mass specific surface area and LII-measured surface area were not in agreement, indicating both methods need further development and validation before use as quantitative indicators of primary particle diameter and mass-specific surface area.

## 44 1. INTRODUCTION

45 Aircraft gas turbine engines emit particulate matter (PM) arising from incomplete combustion  
46 of fuel, lubrication oil and the conversion of fuel sulfur compounds (Timko et al., 2010).  
47 Non-volatile carbonaceous PM is referred to as soot of which, the fraction that is light-  
48 absorbing is referred to as black carbon (BC) (Petzold et al., 2013). BC emitted by aircraft  
49 engines has a positive direct radiative forcing (Lee et al., 2010; Stettler et al., 2013) and  
50 emitted BC particles are a significant source of ice nuclei, which affect the formation of  
51 contrails (Kärcher et al., 2009; Schumann et al., 2002; Schumann et al., 2013) and aviation  
52 induced cloudiness (Lee et al., 2010). These indirect climate effects are potentially  
53 significant, yet remain highly uncertain due to poor understanding of the effect of BC particle  
54 composition and morphology on ice nucleation (Bond et al., 2013).

55 Aircraft emissions during landing and takeoff lead to elevated ambient concentrations of PM,  
56 particularly in the vicinity of airports (Westerdhal et al., 2008; Zhu et al., 2011). As gas  
57 turbine soot aggregates typically have a mobility diameter less than 100 nm (Kinsey et al.,  
58 2010), health effects are potentially elevated as ultra-fine PM (<100 nm) could have greater  
59 health effects than PM<sub>2.5</sub> (<2.5 µm) (Cassee et al., 2013). PM surface area impacts the  
60 reactivity of particles in the upper atmosphere and influences the uptake of sulfuric acid  
61 (Zhang et al., 2008). In addition to the size characteristics of PM, the toxicity of PM may  
62 depend upon the composition, surface chemistry and surface charge (Bakand et al., 2012).  
63 Modelling studies have shown that morphology can affect the deposition of soot aggregates  
64 in the human respiratory tract (Broday et al., 2011).

65 Emissions of soot from gas turbine engines emanate from the incomplete combustion of fuel  
66 in the combustion chamber, the combustor. In a conventional combustor, soot is formed in  
67 the region into which fuel is sprayed, initially by PAH inception and then surface growth  
68 mechanisms (Hall et al., 1997; Wen et al., 2003). Downstream of this region, soot is  
69 consumed by oxidation processes as fuel and air mixing and addition of dilution air increase  
70 the air-to-fuel ratio. The difference between these two processes determines the concentration  
71 of soot in the engine exhaust (Cumpsty, 2003; Lefebvre et al., 2010). The rate of soot  
72 formation increases with combustion temperature, which is influenced both by the combustor  
73 inlet temperature and local air-to-fuel ratios (Wen et al., 2003). Combustor inlet temperature  
74 increases with increasing engine thrust setting and in conventional combustors, combustion  
75 temperatures generally increase concomitantly, as evidenced by higher NO<sub>x</sub> emissions at  
76 higher engine thrust settings (EASA, 2012).

77 Existing measurements of modern gas turbine PM emissions have focused on PM mass and  
78 number emissions indices (EI), emissions normalized by fuel burnt, and show that the mass  
79 EI is greatest at higher engine thrust settings (Lobo et al., 2015; Lobo et al., 2008; Timko et  
80 al., 2010; Wey et al., 2006). These existing measurements correspond to engines with  
81 conventional annular combustors. Using high resolution transmission electron microscopy  
82 (HRTEM), Vander Wal et al. (2014) reported that the nanostructure of the aggregate primary  
83 particles is amorphous at low engine thrust settings and becomes more ‘graphitic’ at higher  
84 engine thrust settings, suggestive of different soot growth mechanisms at different  
85 combustion temperatures. Also using TEM, Liati et al. (2014) showed that the primary  
86 particle size of soot aggregates was dependent on the engine thrust setting; the mode of the  
87 primary particle size distribution increased from 13 to 24 nm from 7% to 100% of maximum  
88 engine thrust setting. Durdina et al. (2014) showed that BC aggregate effective density is a  
89 function of engine thrust setting for a given aggregate mobility diameter and that the mass-

90 mobility exponent ranged from 2.37 to 2.64 for 3-5% and 50-100% engine thrust settings  
91 respectively.

92 In contrast to conventional combustors, double annular combustors (DACs) have two stages  
93 of operation: a pilot stage in the outer annulus of the combustor, and a main stage in the inner  
94 annulus. Only the outer (pilot) stage is fueled during light-off and at low power and is  
95 characterized by low local air-to-fuel ratios and low through-flow velocity to achieve good  
96 ignition and low CO and HC emissions. The main stage is characterized by high local air-to-  
97 fuel ratios and high velocity to provide a lean flame and lower combustion temperatures  
98 (Stickles et al., 2013). Compared the conventional combustor on the CFM56-5B4 engine, the  
99 DAC combustor operating with the main stage reduces NO<sub>x</sub> emissions by ~40% (EASA,  
100 2012).

101 Soot aggregate morphology also affects the particle's scattering and radiative properties.  
102 Radney et al. (2014) showed that while the mass specific absorption cross section is  
103 independent of aggregate morphology, there is increased scattering for a more compacted  
104 soot morphology and a concomitant increase in mass specific extinction cross section.  
105 Furthermore, Yon et al. (2014) have shown that multiple scattering effects can influence  
106 optical absorption measurements using laser induced incandescence (LII).

107 An analysis of the morphology of gas turbine soot and the dependence on engine operating  
108 conditions is vital to improved understanding of the climate and health impacts of aircraft PM  
109 and also to the correct interpretation of measurements using optical techniques. This paper,  
110 therefore, aims to quantify the PM mass and number EI, as well as provide an analysis of the  
111 morphology of solid particulate matter exhausted from a DAC gas turbine. Multiple in-situ  
112 and ex-situ analysis techniques are compared to measure fundamentally distinct parameters  
113 of the soot aerosol. Combinations of measurements taken as a part of the SAMPLE III.2  
114 campaign are used to determine morphology metrics that are critical in understanding the  
115 atmospheric and human health impacts of turbine particle emissions. The specific  
116 morphology metrics measured and inferred within this study are aggregate mobility  
117 distribution, mean particle specific surface area, and mean primary particle diameter as a  
118 function of aggregate mobility diameter and engine thrust setting.

## 119 2. APPROACH

120 The SAMPLE III.2 campaign was conducted at the SR Technics turbine engine test facility in  
121 Zurich, Switzerland from April 23<sup>rd</sup> to May 4<sup>th</sup>, 2012. The campaign consisted of “piggy-  
122 back” tests of turbines being validated after maintenance procedures as well as “dedicated”  
123 turbine engine testing that is the focus of this work. The dedicated test engine was a CFM  
124 International CFM56-5B4-2P engine (120 kN thrust) with double annular staged combustion  
125 fueled with Jet A-1 with an estimated sulfur concentration of 300 ppm to 800 ppm. Further  
126 details of the testing approach and apparatus are described by Crayford et al. (2012). This  
127 study focused on characterizing solid particulate matter and therefore all measurements and  
128 sampling were taken downstream of a catalytic stripper (CS) or volatile particle remover  
129 (VPR) (Giechaskiel et al., 2010; Giechaskiel et al., 2008; Khalek et al., 1995; Swanson et al.,  
130 2010).

### 131 132 2.1 EXPERIMENTAL

133 The experimental apparatus used to collect, condition, and transport the aircraft turbine  
134 exhaust to the aerosol characterization instruments on each sample line is shown in Figure 1.  
135 Data included herein was from April 28<sup>th</sup>, 29<sup>th</sup> and 30<sup>th</sup>, 2012 test dates. Geometric and

136 operational details of the sampling and transport components are described by Crayford et al.  
137 (2012). The characterization instruments and measurement techniques employed during the  
138 campaign were as follows and have been previously been reviewed in this context by Petzold  
139 et al. (2011).

140 *Aerosol thermal conditioning* (catalytic stripper and VPR). Semi-volatile material was  
141 removed by using a catalytic stripper (CS) or volatile particle remover (VPR). The CS  
142 contained two geometrically dissimilar catalyzed ceramic substrates: an oxidizing catalyst  
143 and a sulfur trap both heated to 350°C. The purpose of the oxidation catalyst is to remove the  
144 semi-volatile hydrocarbon particles and vapor. The sulfur trap removes sulfur species by  
145 adsorption. The VPR approach is similar to the CS in intent but different in methodology. It  
146 includes a 100:1 dilution of the exhaust with air heated to 150°C, a heated section with a wall  
147 temperature in the range 350°C, a room temperature dilution section to reduce the particle  
148 concentration to less than approximately 10,000 particles/cm<sup>3</sup>, and a particle number counter  
149 (condensation particle counter) with 50% detection efficiency of 23 nm.

150  
151 *Particle concentration measurement.* Particle concentration was determined by use of  
152 condensational particle counters (CPCs) with 5, 10 and 23 nm cut points, where cutpoint is  
153 defined as the particle diameter at which the particle detection efficiency is 50% (D<sub>50</sub>). Both  
154 TSI (Model 3775, 5 nm D<sub>50</sub>; Model 3772, 10 nm D<sub>50</sub>; and Model 3010, 23 nm D<sub>50</sub>) and  
155 Grimm (Model 5435, 10 nm D<sub>50</sub>) CPCs were used in the study of two separate lines, the  
156 FOCA and SAMPLE. Additional particle concentration information is given by mobility  
157 measurement devices, DMS500 (Cambustion) and SMPS (TSI), but are used as a secondary  
158 indicator of particle concentration for purposes of this study. All particle concentrations used  
159 in this study were measured downstream of a volatile particle remover, VPR (AVL APC489-  
160 CS).

161  
162 *Electrical mobility sizing.* Particle mobility (“size”) distributions were measured using TSI  
163 scanning mobility particle sizers (SMPS) (Wang et al., 1990) with 3085 nano-DMAs and  
164 3081 long-DMAs both configured with 10:1 sheath/aerosol flowrates. The SMPS were  
165 sometimes located in the secondary dilution vent line downstream of an AVL APC  
166 (Giechaskiel et al., 2010; Giechaskiel et al., 2008). A DMS500 (Biskos et al., 2005; Reavell  
167 et al., 2002) was used with its standard configuration.

168 *Mass mobility sizing* (Centrifugal particle mass analyzer, CPMA). The CPMA classifies  
169 particles by their mass-to-charge ratio by balancing the electrostatic and centrifugal forces  
170 between two concentric cylinders in motion relative to each other (Olfert et al., 2005). To  
171 determine the real-time effective particle density, particles with a given mass-to-charge ratio  
172 were transferred to the (modified, “m”) DMS500, which classified particles by their electrical  
173 mobility (Biskos et al., 2005; Reavell et al., 2002) as described by Crayford et al. (2012).  
174 Multiple charge correction was used in interpreting the combined CPMA and DMS results.

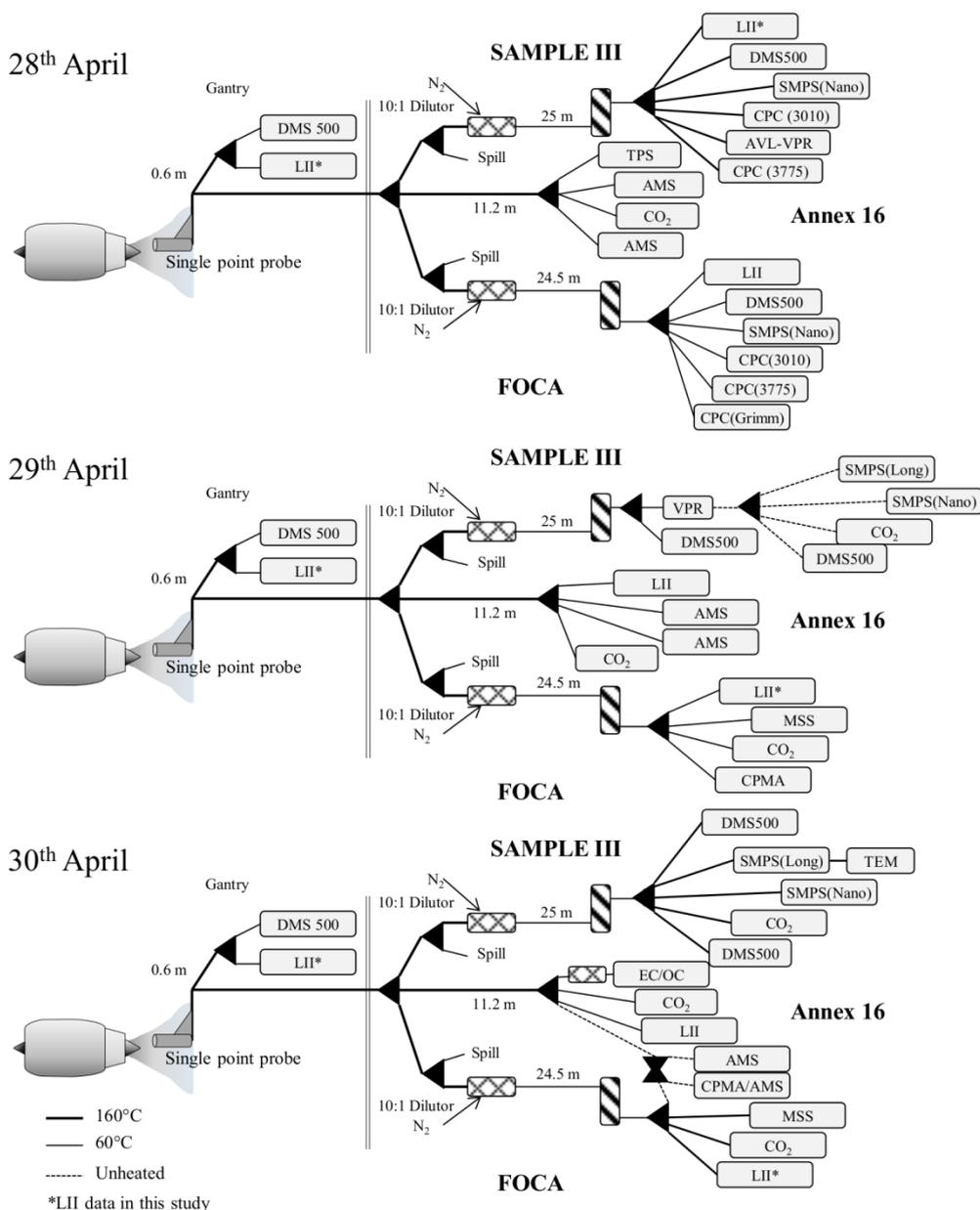
175 *Laser-induced incandescence.* Artium Technologies’ LII-300 measures the thermal emission  
176 (incandescent light) from particles heated by a pulsed laser to temperatures in the 2500 K to  
177 4500 K range (Snelling et al., 2005), making it appropriate for measuring the solid particles  
178 produced by a combustion source. The selectivity is due to the fact that the solid particles are  
179 primarily “black,” such that they absorb laser radiation and incandesce over a broad spectral  
180 range. With careful control of the laser fluence, the instrument heats the particles to the point  
181 of sublimation but not beyond, so that there is no significant mass loss while still achieving  
182 the high temperatures necessary for the incandescence to be detected.

183 *Soot-particle aerosol mass spectrometer (SP-AMS)*. The SP-AMS is used for size and  
184 composition analysis of solid and semi-volatile submicron aerosol (Jayne et al., 2000; Onasch  
185 et al., 2012). Aerosols are sampled at ambient pressure by an aerodynamic lens that contracts  
186 and expands the sampled air stream through a series of orifices. Solid particles are vaporized  
187 by a continuous-beam laser operated at 1064 nm. The resulting vapor is then ionized by  
188 electron impact at 70 eV; ions are then mass analyzed within a high-resolution time-of-flight  
189 chamber. Comparisons of aggregate AMS vacuum aerodynamic diameter with mobility  
190 diameter were used to determine the dynamic shape factor,  $\chi$ , in accordance with DeCarlo et  
191 al. (2004). The resulting shape factors, shown in SI Figure 9, were equal to or less than 1, and  
192 were concluded as biased due to the insensitivity of the AMS to aggregates with a vacuum  
193 aerodynamic diameter less than 50 nm. Further work is needed to improve the sensitivity of  
194 AMS for aggregates with diameters less than 50 nm in order to make definitive  
195 measurements of dynamic shape factors for gas turbine particles.

196 *Transmission electron microscopy*. Particles were collected onto 3 mm lacey carbon (Cu  
197 Holey carbon film 400 mesh, Agar Scientific) TEM grids using thermophoresis (Just, 2012)  
198 and electrophoresis techniques (Fierz et al., 2007). The flow of the thermophoretic sampler (1  
199 L min<sup>-1</sup>,  $\pm 50$  cm<sup>3</sup> min<sup>-1</sup>) resulted in impaction of large particles and thus an oversampling of  
200 large aggregates. Therefore, the TEM-measured primary particle data was corrected using a  
201 relation between aggregate and primary particle diameter in accordance with Dastanpour and  
202 Rogak (2014). An empirical power correlation between volume-area primary particle  
203 diameter,  $d_{va}$ , and aggregate mobility diameter,  $d_m$ , were fitted ( $d_{va} = k_{TEM} d_m^{D_{TEM}}$ , see  
204 §2.2) to the entire TEM data base. Then mean mobility diameters from multiple mobility  
205 measurements were used to determine the volume-area primary particle diameter for the 28th  
206 April at each RPM test point. The resulting TEM-determined volume-area primary particle  
207 diameters were compared with measurements from mass-mobility relations and LII.

208 *Line loss correction*. The particle mass and number correction factors for line losses were  
209 determined in accordance with ASME E-31 committee's procedure of using the downstream  
210 measured particle number mobility distribution with a mobility-dependent line loss curve to  
211 determine the initial particle mobility distribution at the exit plane of the engine. The line loss  
212 penetration was calculated using the United Technologies Research Centre (UTRC) model  
213 which contains conventional aerosol theory diffusion, thermophoretic and inertial losses  
214 (Liscinsky et al., 2010), and accounted for the 25 m line length and line temperature of  
215 160°C. For purposes of this study both the upstream and downstream distributions were  
216 assumed to fit lognormal distribution mass and number profiles. The downstream DMS500-  
217 measured total number concentration ( $N_\infty$ ), geometric mean ( $d_{pg}$ ) and geometric standard  
218 deviation ( $\sigma_g$ ) were used within an iterative routine to determine a lognormal upstream  
219 distribution that when accounting for line losses results in a best fit ( $R^2 > 0.9$ ) to the  
220 downstream lognormal particle number and mass distributions characterized by the measured  
221  $N_\infty$ ,  $d_{pg}$  and  $\sigma_g$ . DMS500 measurements on the SAMPLE III line were used in conjunction  
222 with the measured particle effective density provided by Johnson et al. (In Press 2014) to  
223 infer mass distributions from particle number distributions. The line loss correction approach  
224 was used to determine the upstream to downstream particle number and mass ratio, as well as  
225 upstream mean geometric mobility diameter and mean geometric standard deviation. The  
226 particle number and mass line loss correction factors for the various thrust settings are shown  
227 in the supporting information. All reported particle number and mass emission indices in the  
228 results section have been corrected for line losses.

229



231

232 Figure 1: Schematic of April 28<sup>th</sup>, 29<sup>th</sup> and 30<sup>th</sup> 2012 sampling system during SAMPLE III.2  
 233 campaign.

234

235 2.2 THEORETICAL

236 Aggregate aerosols are characterized by numerous metrics, many of which originate from the  
 237 fundamental characteristics measured by analysis techniques. Spherical particles are most  
 238 readily characterized by their geometric diameter ( $d_p$ ), mass ( $m_p$ ), volume ( $V$ ) and the  
 239 relation via density ( $\rho$ ),  $m_p = \rho \pi d_p^3 / 6$ ,  $m_p = \rho V$ . For non-spherical particles, similar  
 240 parameters are used to define effective metrics of diameter, mass and volume. This study  
 241 employs and tests the following analytical and semi-empirical constructs to facilitate

242 comparison between the different measurements and infer particle metrics beyond those that  
 243 are measured fundamentally by each device.

244

245 *Mass and mobility metrics*

246 Agglomerates of soot with fractal-like structures are characterized by a variety of metrics,  
 247 often related to the method of measurement. The agglomerate mobility diameter,  $d_m$ , as  
 248 measured in a differential mobility analyzer, is related to aggregate mass by primary particle  
 249 diameter,  $d_{pp}$ , mass,  $m$ , and the mass-mobility exponent,  $D_{fm}$  by the relation

$$250 \quad m = k_{fm} \left( \frac{d_m}{d_{pp}} \right)^{D_{fm}} \quad \text{Eq. 1}$$

251 where  $k_{fm}$  is the mass-mobility prefactor with units of appropriate mass (Park et al., 2004).  
 252 Soot agglomerates can also be defined by their effective density,  $\rho_{eff}$ ; the ratio of the  
 253 agglomerate mass to equivalent volume based on the mobility diameter,

$$254 \quad \rho_{eff} = \frac{m}{\pi d_m^3 / 6} = k d_m^{D_{fm}-3} \quad \text{Eq. 2}$$

255 where  $k = \frac{6}{\pi} \frac{k_{fm}}{d_{pp}^{D_{fm}}}$  (McMurry et al., 2002). The power law relationship described by Eq. 2  
 256 has been shown to fit well with experimental data using a constant prefactor  $k$ , despite the  
 257 potential for varying primary particle size, for a variety of engines, including the engine  
 258 studied here (Johnson et al., In Press 2014). The number of primary particles within a soot  
 259 aggregate is related to the overall aggregate mobility and the primary particle diameter,  $d_{pp}$ ,  
 260 by the power law relation

$$261 \quad n_{pp} = k_a \left( \frac{d_m}{d_{pp}} \right)^{2D_\alpha}, \quad \text{Eq. 3}$$

262 where  $k_a$  and  $D_\alpha$  are the pre-exponential and power law exponent, respectively. Eq. 1 can be  
 263 related to Eq. 3 where  $m = n_{pp} \rho \pi d_{pp}^3 / 6$  and thus,  $k_a = 6k_{fm} / (\rho \pi d_{pp}^3)$ . For non-ideal  
 264 aggregates, i.e. partially sintered, it is appropriate to define the primary particle diameter as a  
 265 volume area equivalent primary particle diameter,  $d_{va} \equiv \frac{6v}{a}$  and, thus  $n_{va} = \frac{v}{\pi d_{va}^3 / 6}$ , where  $v$   
 266 and  $a$  are the aggregate volume and surface area respectively. By taking the primary particle  
 267 diameter and number of primary particles as their volume area equivalent,  $d_{pp} = d_{va}$  and  
 268  $n_{pp} = n_{va}$ , Eq. 3 can be solved for volume-area primary particle diameter as a function of  
 269 measured  $d_m$  and  $m$ ,

$$270 \quad d_{va} = \left( \frac{k_a \pi \rho}{6m} (d_m)^{2D_\alpha} \right)^{\frac{1}{2D_\alpha-3}}. \quad \text{Eq. 4}$$

271 Eggersdorfer et al. (2012) have shown that Eq. 4 is valid with constant values of  $k_a=0.998$   
 272 and  $D_\alpha=1.069$  for a polydisperse mix of primary particles regardless of the sintering  
 273 mechanism or state of sintering. For particle sources where an empirical relationship has been  
 274 determined between the particle mass and the particle mobility, such as described by the  
 275 effective density relationship in Eq. 2, the mass term in Eq. 4 can be replaced with a function  
 276 of mobility. By eliminating the mass term with an empirical effective density formulation, a

277 power law relationship between the volume average primary particle diameter and the  
278 mobility diameter can be derived

$$279 \quad d_{va} = k_{va} d_m^{D_{va}}, \quad \text{Eq. 5}$$

280 where  $k_{va} = (\rho k_a/k)^{\frac{1}{2D_{va}-3}}$  and  $D_{va} = \frac{2D_{\alpha}-D_{fm}}{2D_{\alpha}-3}$ . By including empirical relations for particle  
281 mass within analytical fractal scaling laws, the physical significance of the pre-exponential  
282 constants is lost. As above, Eq. 5 assumes a constant value of  $k$  that is independent of  
283 primary particle diameter, the validity of which is tested in the results section below. This  
284 relationship can be used to relate the surface area primary particle diameter with the mean  
285 mobility diameter for each mobility distribution.

286 A relation for the particle mass-specific surface area can be derived from the definition of  
287 volume area equivalent primary particle diameter,

$$288 \quad \frac{a}{m} = \frac{6}{\rho d_{va}}. \quad \text{Eq. 6}$$

289 When particle measurements of both mass and mobility are available, Eq. 4 may be used to  
290 determine  $d_{va}$ , whereas Eq. 5 may be used if an empirical relationship is known for the  
291 aggregate effective density.

292 Dobbins et al. (1994) report a value of 1.86 g/cm<sup>3</sup> for diesel and quote six other works, in the  
293 range of 1.82 to 2.05 g/cm<sup>3</sup> that have a mean of 1.92 g/cm<sup>3</sup>. An elemental soot density of  $\rho =$   
294 1.9 g/cm<sup>3</sup> will be used for this study.

### 295 *LII primary particle size*

296 As the LII 300 instrument does not allow significant particle sublimation, the dominant  
297 cooling mechanism for the particles is conduction to the surrounding gas, associated with the  
298 surface area of the particles. Assuming monodisperse primary particles allows a direct  
299 relationship between the surface area and the primary particle diameter. During conduction  
300 cooling, the temperature difference between the particles,  $T_p$ , and the ambient gas,  $T_g$ , decays  
301 steadily with a near-single exponential behavior. An equation of the form

302 
$$T_p - T_g = A \cdot e^{-\tau} \quad \text{Eq. 7}$$

303 is fit to the temperature data (measured by the instrument with two-colour pyrometry) to  
304 determine  $\tau$ , the time constant of the exponential decay, and where  $A$  is a constant (Snelling  
305 et al., 2002). This method requires a priori knowledge of the ambient gas temperature, which  
306 is determined by thermocouple in the sample cell. The primary particle diameter,  $d_{pp}$ , is  
307 determined directly from the decay of the LII signal, using the relation derived from McCoy  
308 et al. (1974),

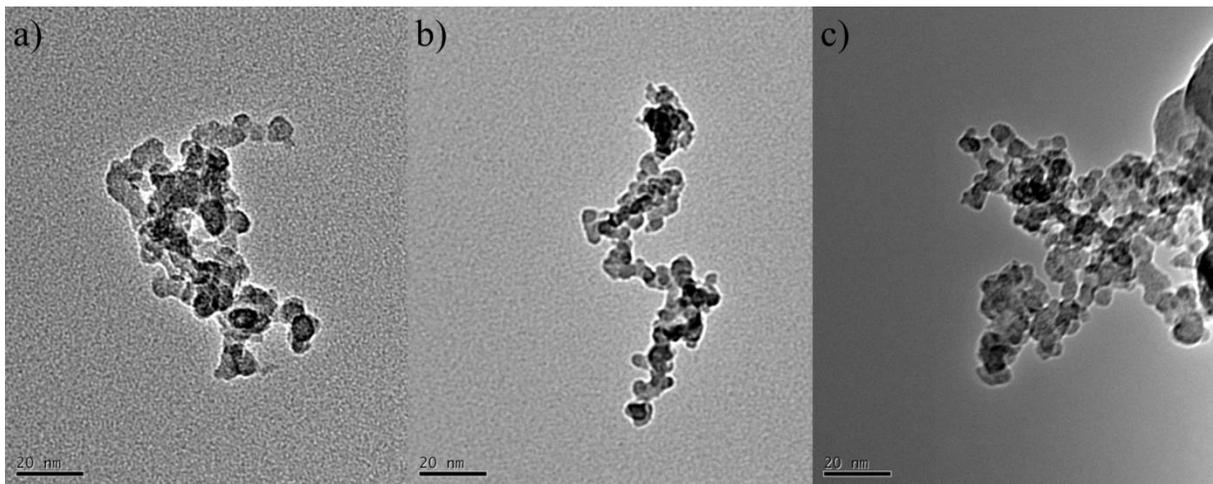
309 
$$d_{pp} = \frac{12 k_g \alpha \tau}{G \lambda_g c_p \rho_p} \quad \text{Eq. 8}$$

310 where  $k_g$  is the thermal conductivity of the ambient gas,  $\alpha$  is the thermal accommodation  
311 coefficient,  $G$  is a geometry-dependent heat transfer coefficient,  $\lambda_g$  is the mean free path in  
312 the ambient gas, and  $c_p$  and  $\rho_p$  are the specific heat and material density of the particle,  
313 respectively.

314 The assumption of monodisperse primary particles maximizes the surface area to volume  
315 ratio; in reality, there is a distribution of primary particle diameters, and these primary  
316 particles are formed into aggregates, for which there is a distribution of aggregate sizes. Both  
317 of these effects have an impact in terms of interpreting the temperature decay rates, such that  
318 the reported primary particle diameter is an effective heat transfer primary particle diameter  
319 for an equivalent population of monodisperse primary particles (Liu et al., 2006).

320  
321 **3 RESULTS**

322  
323 Engine emissions from the CFM56-5B4-2P were sampled on multiple days and different  
324 sample lines with varying sample-to-nitrogen dilution ratios, as depicted in Figure 1.  
325 Mobility-selected samples were collected downstream of a long DMA and imaged in an  
326 HRTEM. Figure 2 shows representative images of a compact, (a), and linear, (b), 15-nm  
327 mobility diameter aggregate, as well as a 50-nm, (c), mobility diameter aggregate. In all cases  
328 the particles are seen to be composed of many ( $> 30$ ) primary particles. In several cases, such  
329 as Figure 2c, the presence of higher contrast particles was observed on the surface of the  
330 lighter contrast soot. EDX analysis of these samples showed the presence of metals, such as  
331 vanadium, silicon and titanium, indicating a likelihood of ash within the particles.  
332 Quantitative EDX analysis across many particles was not conducted due to the lack of  
333 statistically significant quantities of mobility-selected particles.  
334



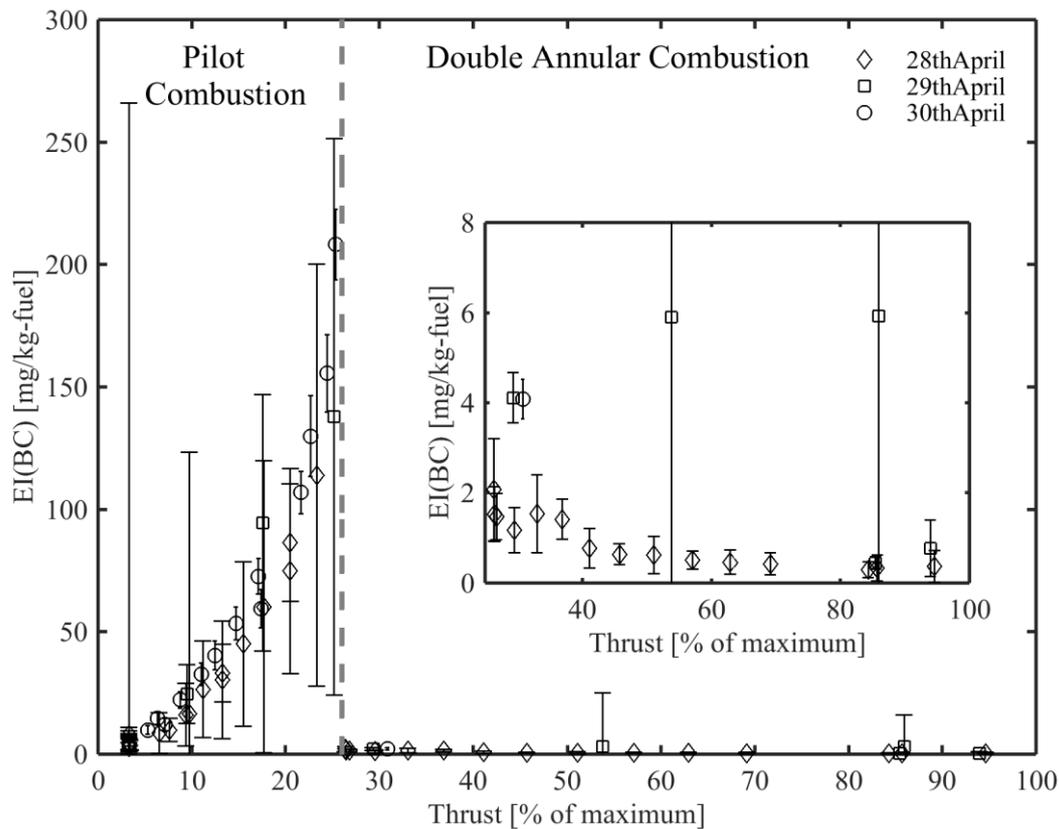
335

336 Figure 2: TEM images of 15 nm, (a) and (b), and 50 nm, (c), mobility-selected soot  
337 aggregates.

338 The LII measurements of mass concentration were conducted in parallel with CO<sub>2</sub>  
339 measurements on respective sample lines. The mass concentrations were transformed into  
340 emission indices in accordance with SAE methodology (SAE Aerospace, 2009). Analysis of  
341 the emissions indices indicated that the variability (range of measured values) between  
342 instruments and lines was less than the measured variability within a given instrument at a  
343 specific test point (see SI Figure 1). Therefore, only data from the LII noted with an asterisk  
344 in Figure 1 is presented here for clarity and consistency.

345

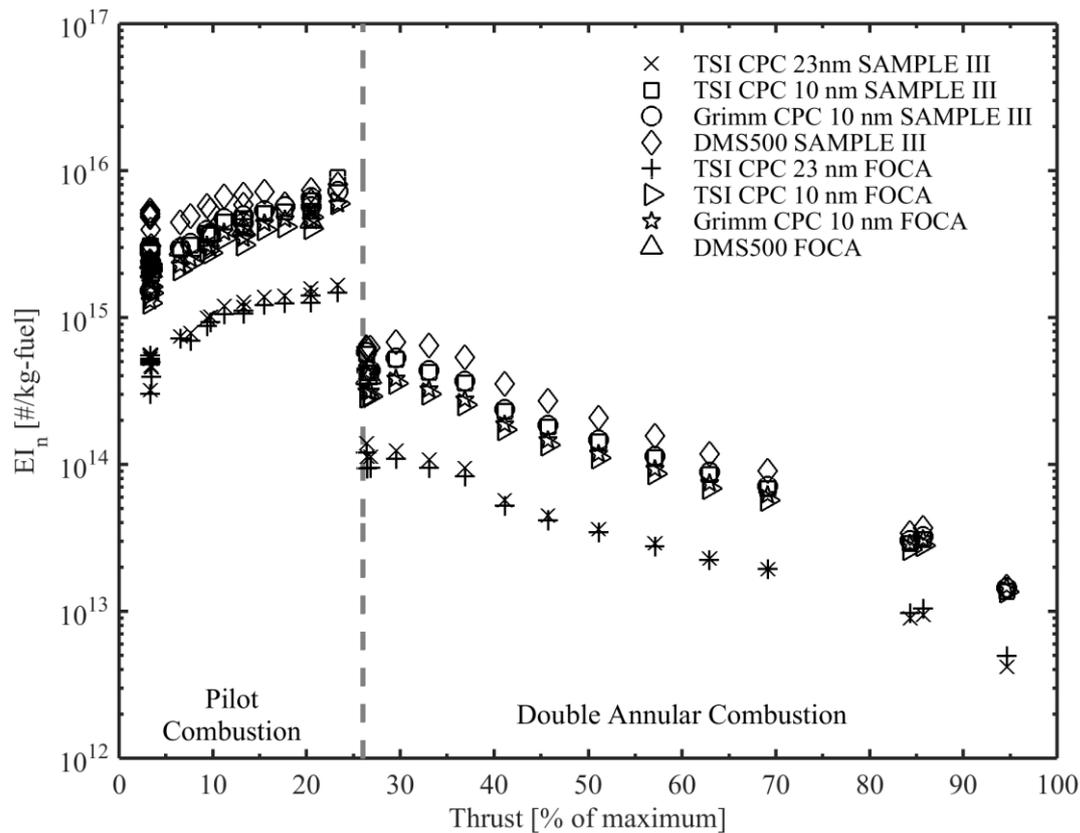
346 As shown in Figure 3, the mass emission index for the engine increases with increasing  
347 engine thrust setting while under pilot combustion. During low thrust settings only the single  
348 pilot combustor is fueled. The global stoichiometry is lean (measured global air to fuel ratio  
349 is shown in SI Figure 3), but the local stoichiometry within the pilot combustor is rich and  
350 only mixes with excess air downstream of the pilot combustion zone. The rich combustion  
351 ensures stability of the flame, but results in mass emission indices that are greater than at  
352 higher thrust conditions. At higher thrust settings where double annular combustion occurs,  
353 the mass emissions indices are less than 7 mg/kg-fuel (see Figure 3 inset) with a majority of  
354 emissions less than 2 mg/kg-fuel. The trend of mass emission index with engine thrust of the  
355 CFM56-5B4-2P is atypical when compared to conventional single-mode combustors, which  
356 tend to increase with increasing thrust setting (greater than 30%) and have EI(BC) in the  
357 range of 33 to 611 mg/kg-fuel for thrust settings greater than 45% of maximum thrust  
358 (Stettler et al., 2013). Thus, for thrust settings less than 15%, the measured mass emission  
359 indices of the CFM56-5B4-2P are typical of other engines (1-108 mg/kg-fuel). At  
360 intermediate thrust settings (15-25%) the CFM56-5B4-2P mass emission indices are greater  
361 than other engines (9-47 mg/kg-fuel), and at high thrust setting (>25%) are considerably  
362 lower than other measured engines.



363

364 Figure 3: Black carbon mass emission index, EI(BC), for CFM56-5B4-2P as measured by LII  
 365 on three separate lines for various thrust settings. Error bars represent the 90% variability  
 366 interval within a given thrust setting.

367 Particle number concentrations were measured on the 28th April 2012 from both the  
 368 SAMPLE III and FOCA lines. In Figure 4, particle number based emissions indices (EI<sub>n</sub>)  
 369 calculated according to SAE methodology (SAE Aerospace, 2009) are shown as a function  
 370 of engine thrust setting for the CFM56-5B4-2P engine. Measurements by the CPCs with D<sub>50</sub>  
 371 = 10 nm are in good agreement with the measurements from the DMS500s on both the  
 372 SAMPLE III and FOCA sample lines. However, CPCs with D<sub>50</sub> = 23 nm measure  
 373 significantly lower particle number concentration, counting between 18-38% of the total  
 374 particles counted by the D50 = 10 nm CPC from the same manufacturer (TSI), which  
 375 indicates that a majority of particles are less than 23 nm. The variability in engine emissions  
 376 at each test point is greater than the variability across different sample lines for the DMS500  
 377 and D<sub>50</sub> = 10 nm CPCs. As with the mass-based emissions index, EI<sub>n</sub> increases with engine  
 378 thrust setting during pilot combustion up to a maximum of 3×10<sup>16</sup> particles/kg-fuel. After the  
 379 engine transitions to use double annular combustion, EI<sub>n</sub> reduces by an order of magnitude  
 380 and decreases with increasing thrust to a minimum of 3×10<sup>12</sup> particles/kg-fuel at the highest  
 381 recorded thrust.

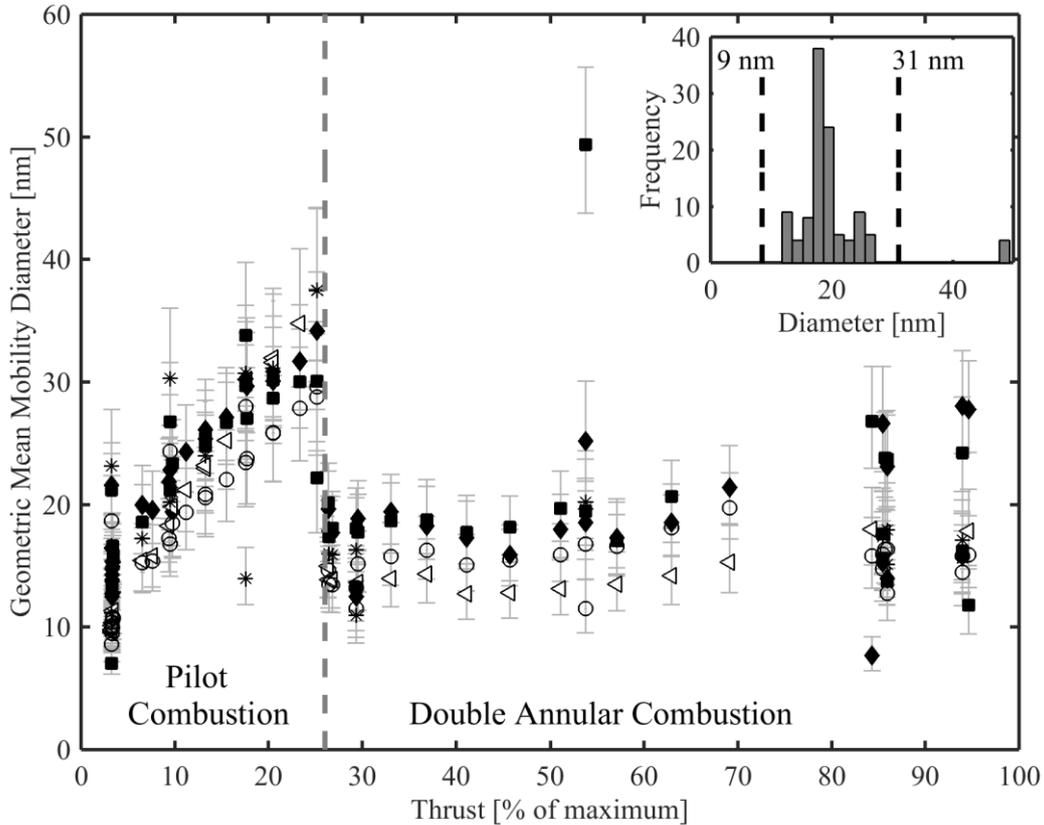


382

383 Figure 4: Black carbon number emission index,  $EI_n$ , for CFM56-5B4-2P as measured by  
 384 CPCs with  $D_{50}$  cut points of 10 nm (open symbols) and 23 nm (cross and plus sign) on two  
 385 separate lines (FOCA and SAMPLE III) for various thrust settings. The 90% variability was  
 386 not significant are were omitted for legibility of symbols, but are shown SI Figure 2.

387 At each thrust setting mobility measurements were taken on different sample lines and by  
 388 different instruments as shown in Figure 1. The resulting measurements (over  $10^5$  separate  
 389 mobility scans) were compiled and averaged over the entire test condition for each mobility  
 390 instrument. The geometric mean mobility diameters were determined for each thrust setting,  
 391 as shown in Figure 5. The aggregate geometric mean mobility diameter from the CFM56-  
 392 5B4-2P generally varied from 7 to 44 nm (two outliers excluded). The mobility diameter  
 393 increased with increasing thrust within the single pilot combustion stage from 12 nm (90%  
 394 variability interval, VI, 8-16 nm) at 4% maximum thrust to 33 nm (90% VI, 24-43 nm),  
 395 coinciding with the higher mass concentrations shown in Figure 3. Aggregate diameters for  
 396 particles produced during double annular combustion had less variation in size throughout the  
 397 entire range of thrusts with a mean particle diameter of 17 nm (90% VI, 8-26 nm, Figure 5  
 398 inset). The measurement of aggregate mobility diameter at the gantry without dilution (open  
 399 circles) typically resulted in smaller measured aggregate diameters at thrusts with high  
 400 emission indices (10-25% maximum thrust) when compared to the other thrust settings. The  
 401 largest mobility measurements were typically recorded by the SMPS systems (closed square  
 402 and triangle) which varied in their line placement. While not shown, the DMS500  
 403 measurements at times measured mobility distributions that appeared bimodal, whereas the  
 404 SMPS measurements almost exclusively measured a single mode. As with the emission index  
 405 measurements, the variability within a given thrust setting as measured by a given instrument  
 406 was greater than the variability between instruments and lines at most settings. For higher

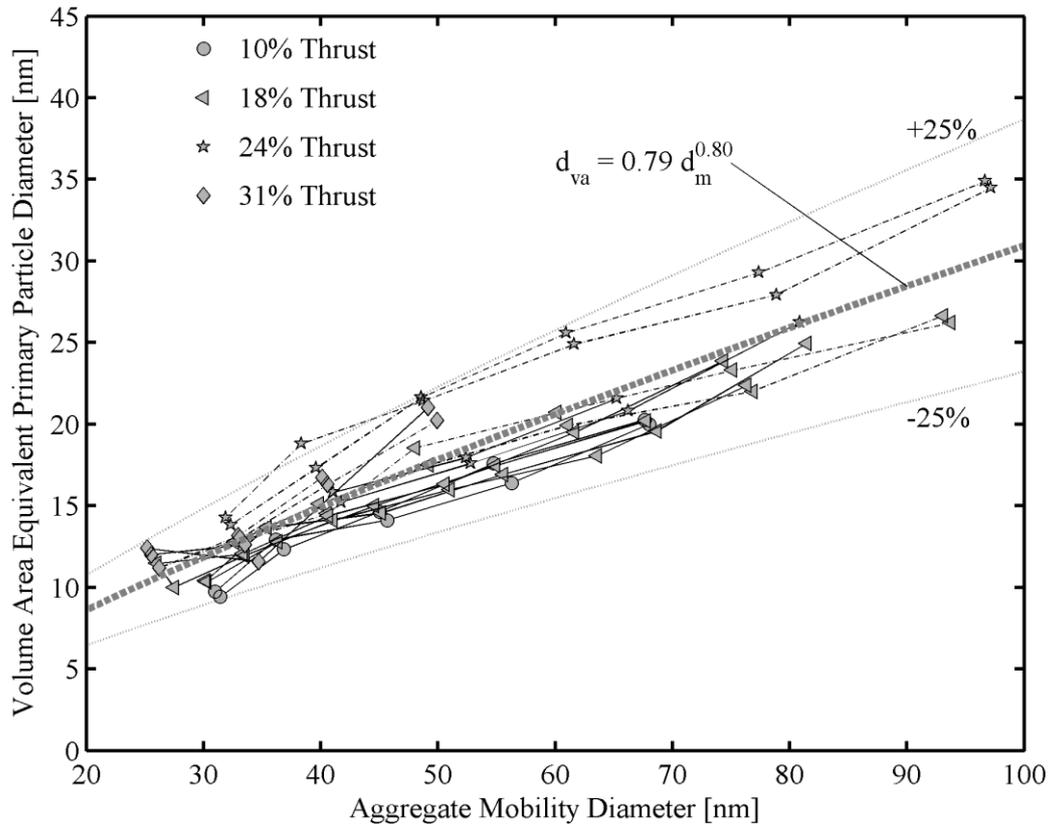
407 thrust settings, where the variability was greatest among instruments, the low concentrations  
 408 of measured particles resulted in higher variability in the measurements.  
 409



410  
 411 Figure 5: Geometric mean diameter as measured by multiple mobility instruments over a  
 412 range of engine thrusts. The measurements were taken from 28-30<sup>th</sup> April, 2012 on lines as  
 413 depicted in Figure 1 from different mobility instruments DMS500 (FOCA – asterisk,  
 414 SAMPLE – triangle, Gantry - Circle), nanoSMPS (SAMPLE – diamond), longSMPS  
 415 (SAMPLE/FOCA – square). The inset shows the frequency distribution of the mean  
 416 aggregate diameters for the DAC thrust settings. Error bars indicate the mean geometric  
 417 standard deviation of the mobility distribution across all measurements within a given test  
 418 condition.

419 As shown in §2.2,  $d_{va} = \left( \frac{k_a \pi \rho}{6m} (d_m)^{2D_\alpha} \right)^{\frac{1}{2D_\alpha - 3}}$ . Eq. 4 relates the volume area equivalent  
 420 primary particle diameter within an aggregate to the measured aggregate mass and mobility.  
 421 Mean aggregate mobilities were measured for a range of different selected masses within a  
 422 subset of engine thrusts as reported by Johnson et al. (In Press 2014). The resulting data set  
 423 (reproduced in SI Figure 4) allowed for determination of the primary particle size by  
 424 analytical methods. The resulting volume area equivalent primary particle diameters are  
 425 shown in Figure 6 as a function of aggregate mobility diameter for the denuded samples,  
 426 where primary particle size is determined according to  $d_{va} = \left( \frac{k_a \pi \rho}{6m} (d_m)^{2D_\alpha} \right)^{\frac{1}{2D_\alpha - 3}}$ . Eq. 4. As  
 427 shown, volume area equivalent primary particle diameter increases with aggregate mobility,  
 428 whereby a power-law relationship of  $d_{va} = 0.79 d_m^{0.8} \pm 25\%$  encapsulates all but one of the

429 measured data points. Fits to each individual thrust setting are shown in SI Figure 6. The  
 430 value of the power law exponent,  $D_{va} = 0.8$ , can be compared to the result calculated using  
 431 the effective density results reported by Johnson et al. (In Press 2014),  $D_{fm} = 2.76$  which  
 432 when used with a constant  $D_{\alpha} = 1.069$ , results in a power law exponent as defined in Eq. 5 of  
 433  $D_{va} = 0.72$ . The discrepancy in the two  $D_{va}$  values is a result of the difference in least squares  
 434 regression (see SI Figure 7). The trend observed here is consistent with the correlation of  
 435 primary particle size with aggregate size obtained from TEM analysis of different combustion  
 436 sources; however the value of the power law exponent measured by this method is  
 437 considerably larger than those reported by Dastanpour and Rogak (2014).



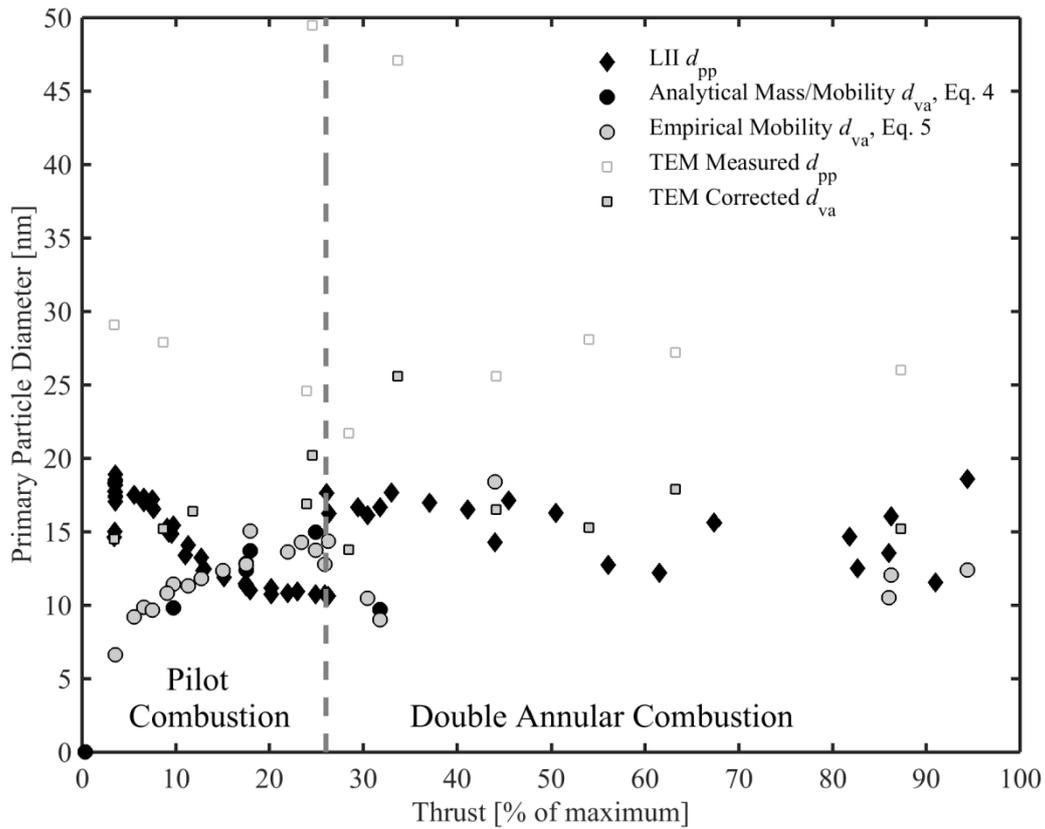
438

439 Figure 6: Volume area equivalent primary particle diameter as a function of aggregate  
 440 mobility diameter as measured by mass and mobility analysis. The grey lines correspond to  
 441 the empirical fit with the power law form of Eq. 5 ( $R^2=0.86$ ) and a  $\pm 25\%$  interval.

442 The volume area equivalent primary particle diameter, as measured by TEM and mass-  
 443 mobility techniques, are plotted in Figure 7 as they relate to thrust setting, along with the LII  
 444 effective heat transfer primary particle diameter. Primary particle diameters as measured by  
 445 LII and mass-mobility vary from 6 to 19 nm, while TEM-measured primary particle  
 446 diameters were considerably larger (18 to 47 nm). When corrected for oversampling of larger  
 447 aggregates, the TEM-measured volume area equivalent primary particle diameters were in  
 448 closer agreement (15 to 26 nm) with the range determined by mass-mobility relations.  
 449 Primary particle diameters within the pilot combustion stage demonstrate a noticeable change  
 450 with thrust setting, whereas the primary particle diameters produced during double annular  
 451 combustion show no noticeable trend with thrust setting. The LII-measured primary particle  
 452 diameter decreases from 19 to 10 nm with increasing thrust setting from 0 to 26% full thrust,

453 whereas the mass-mobility and corrected TEM primary particle diameters increased over the  
454 same thrust range. As shown in Figure 5, the aggregate diameter increases with increasing  
455 thrust setting within the pilot combustion stage, indicating that the average primary particle  
456 diameter also likely increases over that range. As the aggregate mobility diameters increase,  
457 the effective density decreases while mass increases (see SI Figures 4 and 7), which affects  
458 the radiative and convective heat removal from the aggregate surface after heating within the  
459 LII beam. The influence of effective density is not accounted for within the current LII  
460 primary particle calculation, but it is known that primary particle measurement from the LII  
461 signal decay is in better agreement within larger, less dense aggregates (Schulz et al., 2006).  
462 The impact of effective density is hypothesized to dominate measurements of primary  
463 particle size for compact aggregates and may account for the discrepancy in LII  
464 measurements. Further work is needed to accurately account for effective density effects on  
465 LII-determined primary particle diameter. Estimates of error within these measurements and  
466 derived quantities are provided within the supporting information, where it is shown that the  
467 TEM measured diameter is  $\pm 2$  nm and derived  $d_{va}$  has an uncertainty of  $\pm 26.6\%$  based on  
468 the current theoretical formulation. Current error estimates for the measured LII  $d_{pp}$  are not  
469 available, and is an active area of research.

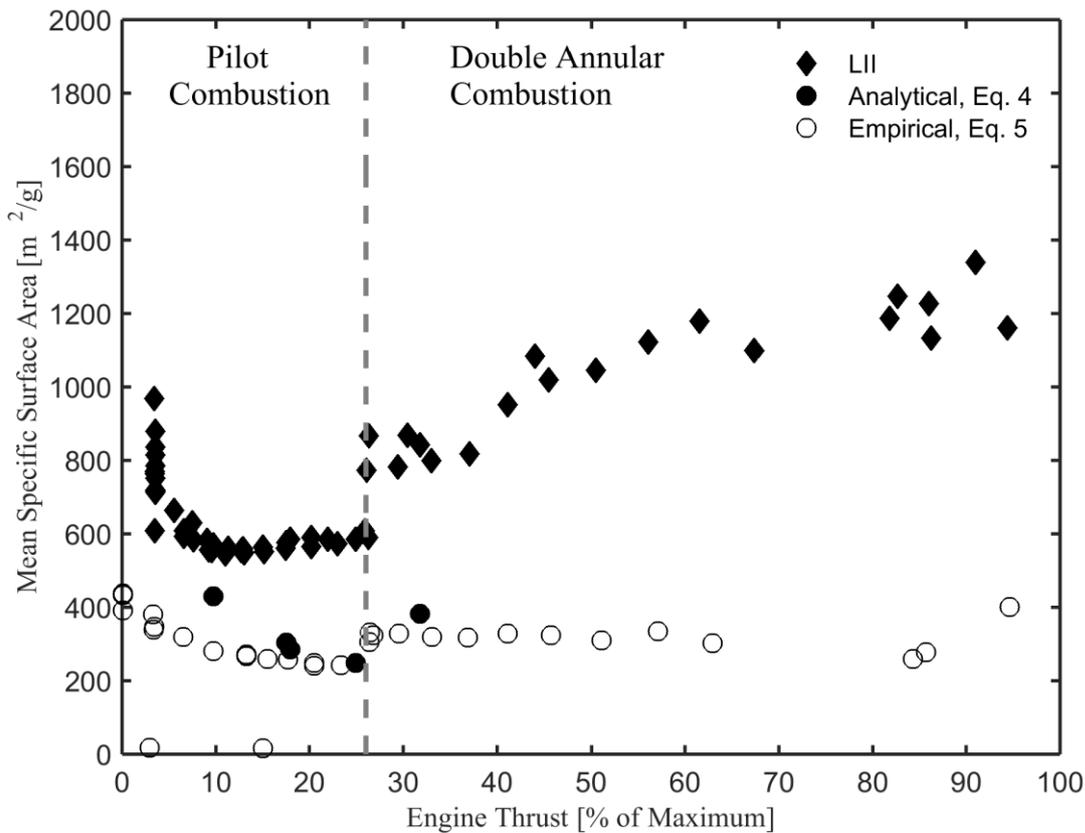
470 The observed increase in corrected TEM-measured volume area equivalent primary particle  
471 diameter from 15 to 21 nm over the pilot combustion stage and primary particle diameters  
472 typically below 18 nm during double annular combustion corroborate trends previously  
473 observed between primary particle diameter and combustion temperature for conventional  
474 combustors (Liati et al., 2014; Vander Wal et al., 1999). Lean combustion and lower  
475 temperatures during double annular combustion are the likely cause of the observed reduction  
476 in BC mass and number emissions and smaller primary particle diameters compared to  
477 conventional combustors.



478

479 Figure 7: Primary particle diameter as determined by TEM which reports number mean  $d_{pp}$   
 480 and  $d_{va}$ ; mass and mobility measurements which report number mean  $d_{va}$  and LII which  
 481 represents an effective heat transfer primary particle diameter.

482 LII gives a measure of mass specific surface area which is active for heat transfer. The heat  
 483 transfer specific surface area can be compared to the total surface area calculated from mass  
 484 and mobility relations as given by Eq. 5 and Eq. 6. The aggregate specific surface area is  
 485 plotted as a function of engine thrust in Figure 8, where the LII-measured specific surface  
 486 area is seen to vary from 552 to 1339  $m^2/g$ . The mass-mobility determined specific surface  
 487 area varied over a smaller range from 240 to 347  $m^2/g$ . Both LII measurements and mass-  
 488 mobility determined specific surface areas remained relatively constant in pilot combustion  
 489 mode while above the 5% full thrust setting. During double annular combustion the LII  
 490 specific surface areas showed an increase with thrust setting that was more pronounced than  
 491 the mass-mobility determined surface area. Previously reported soot specific surface area are  
 492 in better agreement with the mass-mobility determined specific surface area, where Ishiguro  
 493 et al. (1997) measured specific surface areas of 52 to 296  $m^2/g$  where higher surface areas  
 494 corresponded to more oxidized samples. Popovitcheva et al. (2000) report an aggregate  
 495 specific surface area for aircraft soot of 47-100  $m^2/g$  as measured by  $N_2$  thermodesorption  
 496 spectroscopy. Given the high value of LII-measured specific surface area when compared  
 497 with previous measurements, it is likely that the results are influenced by other factors, such  
 498 as effective density, as discussed previously.



499

500 Figure 8: Aggregate specific surface area as determined by LII, and analytical (Eq. 4) and  
 501 empirical (Eq. 5) mass and mobility relationships as defined in Eq. 6.

502 4 SUMMARY AND CONCLUSION

503 The BC emissions from a gas turbine with a double annular combustor, CFM56-5B4-2P,  
 504 were measured as a part of the SAMPLE III.2 campaign. TEM images indicated that the soot  
 505 consisted of aggregates composed of many (>30) primary particles for aggregate mobility  
 506 diameters as low as 15 nm. Mass-based emission indices demonstrate a unique trend from  
 507 single stage-combustion engines, whereby the EI(BC) increases rapidly with thrust setting  
 508 during pilot only combustion reaching a maximum of 80-208 mg/kg-fuel at 20-25% of  
 509 maximum thrust. At higher thrusts settings where double annular combustion occurs, the mass  
 510 emissions indices are significantly less than single-stage combustors, with measured EI(BC)  
 511 less than 8 mg/kg-fuel. Particle number emissions,  $EI_n$ , increase with engine thrust setting  
 512 during pilot combustion up to a maximum of  $\sim 10^{16}$  particles/kg-fuel. During double annular  
 513 combustion  $EI_n$  reduces by an order of magnitude and decreases with increasing thrust setting  
 514 to a minimum of  $\sim 10^{13}$  particles/kg-fuel at the highest recorded thrust setting.

515 The aggregate geometric mean mobility diameter corresponds to other modern gas engines  
 516 with diameters ranging from 7 to 44 nm. As with the emissions indices, there was a positive  
 517 correlation for mobility diameter with increasing thrust within the single pilot combustion  
 518 stage resulting in diameters ranging from 12 nm to 33 nm. Thrust setting had less impact on  
 519 the aggregate mobility diameters produced during double annular combustion where mean

520 particle diameters were 17 nm (90% VI, 8-26 nm). Concurrent aggregate mass and mobility  
521 measurements also allowed for calculation of aggregate volume average primary particle  
522 diameters, which were seen to increase with mobility diameter according to the empirical  
523 power-law relationship  $d_{va} = 0.79d_m^{0.8}$ . Assuming this relationship holds for this engine at all  
524 thrust settings, the primary particle diameters as determined by LII, TEM and mass-mobility  
525 relations were compared. The primary particle results show conflicting trends, particularly  
526 between the LII and mass-mobility determined primary particle diameters. It is hypothesized  
527 that the effective density may play a role in the effective heat transfer surface area from  
528 aggregates, which will serve to bias LII results of larger aggregates. Further work is needed  
529 for accurate measurement primary particle diameters. Measures of aggregate mass specific  
530 surface area were compared between LII and mass-mobility calculated values. While neither  
531 method is a recognized standard for determining surface area, the mass-mobility relations  
532 were closer to measures in other studies. Further work is needed to refine and validate LII-  
533 determined surface area and primary particle diameter.

534

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