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Title

The effect of communicating the genetic risk of cardiometabolic disorders on motivation and actual engagement in preventative lifestyle modification and clinical outcome: a systematic review and meta-analysis of randomised controlled trials

Authors

Sherly X. Li,¹ Zheng Ye,¹ Kevin Whelan,² Helen Truby³

¹Medical Research Council Epidemiology Unit, University of Cambridge, Cambridge, United Kingdom

²King's College London, Diabetes and Nutritional Sciences Division, London, United Kingdom

³Department of Nutrition & Dietetics, Monash University, Level 1, 264 Ferntree Gully Rd, Notting Hill, VIC, 3168, Australia

Corresponding author: Sherly X. Li

Medical Research Council Epidemiology Unit, University of Cambridge, Cambridge, United Kingdom

Email: sherly.li@mrc-epid.cam.ac.uk

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Abstract

Genetic risk prediction of chronic conditions including obesity, diabetes and cardiovascular disease currently has limited predictive power but its potential to engage healthy behaviour change has been of immense research interest. We aimed to understand if the latter is indeed true by conducting a systematic review and meta-analysis investigating whether genetic risk communication affects motivation and actual behaviour change towards preventative lifestyle modification. We included all RCTs since 2003 investigating the impact of genetic risk communication on health behaviour to prevent cardiometabolic disease, without restrictions on age, duration of intervention or language. We conducted random effect meta-analyses for perceived motivation for behaviour change and clinical changes (weight loss) and a narrative analysis for other outcomes. Within the 13 studies reviewed, 5 were vignette studies (hypothetical RCTs) and 7 were clinical RCTs. There was no consistent effect of genetic risk on actual motivation for weight loss, perceived motivation for dietary change (control vs genetic risk group SMD: -0.15, 95%CI: -1.03 to 0.73, $p=0.74$) or actual change in dietary behaviour. Similar results were observed for actual weight loss (control *versus* high genetic risk SMD: -0.29kg, 95%CI: -0.74 to 1.31, p -value: 0.58). This review found no clear or consistent evidence that genetic risk communication alone either raises motivation or translates into actual change in dietary intake or physical activity to reduce the risk of cardiometabolic disorders in adults. 8 of 13 studies were at high or unclear risk of bias. Additional larger scale high quality clinical RCTs are warranted.

1 Introduction

2 Personalised nutrition has been described as nutritional advice formulated according to an
3 individual's characteristics or that of a population subgroup.^[1] Such personal characteristics
4 may include phenotypic features and dietary preferences, with age and sex a feature of
5 personalisation evident in current nutritional guidelines.^[1] Recently, genetics has been
6 proposed to help further refine personalised nutrition. High expectations have been expressed
7 regarding the potential for translating research about lifestyle-gene interactions into
8 personalised nutrition and also that learning about personalised genetic risk may increase the
9 adoption of healthy lifestyle behaviours.^[2-5] Certainly, genetic risk may be a potent motivator
10 for behaviour change because of its biological accuracy and personal salience, which is
11 consistent with the Health Belief Model.^[6] Based upon this, a burgeoning number of
12 companies are providing direct-to-consumer (DTC) genetic testing which appear to be
13 gaining popularity with the public despite the clinical validity and utility being as yet
14 unclear.^[7,8]

15 Early research indicated that the provision of personalised genetic information favourably
16 influenced screening behaviours and medication adherence for individuals at risk of familial
17 cancers, often involving Mendelian inheritance with high penetrance genetic variants.^[9]
18 However, this cannot be assumed for the adoption of more complex 'lifestyle' health-related
19 behaviours, such as dietary modification, that are required to be adopted and sustained in
20 order to reduce the risk of developing cardiometabolic disorders such as obesity, type 2
21 diabetes (T2D) and cardiovascular disease (CVD). These highly prevalent conditions have
22 low penetrance susceptibility genetic variants plus a multifactorial aetiology. A Cochrane
23 systematic review in 2010 found little or no effect of the provision of genetic disease risk
24 estimates on change in physical activity or dietary behaviours, albeit from a limited number
25 of available studies with poor quality.^[10] This and other reviews make similar conclusions,
26 including the largest DTC-based cohort study to date (n=2037).^[11-14] Although these were
27 largely based upon vignette studies (where participants were provided with an imaginary
28 scenario of their genetic risk), recently the evidence has been enhanced by a number of
29 clinical intervention studies.

30

31 Currently, many DTC companies are providing genetic testing for multifactorial conditions
32 predicated on the above hypothesis in order to meet public demand^[15] but dangerously they
33 are embedded in an environment without regulatory frameworks to protect against misuse of

34 DTC services.^[16] Therefore, a systematic evaluation which captures these newer studies is
35 warranted to help clarify the motivational impact of genetic risk information and its effect on
36 actual behaviour and clinical outcome.

37 Therefore, we performed a systematic review and meta-analysis of randomised controlled
38 trials (RCTs) undertaken in the context of cardiometabolic disorders (obesity, T2D, CVD) to
39 investigate: 1) the effect of genetic risk testing and communication on perceived and actual
40 motivation to engage in risk reduction lifestyle modification (diet and physical activity); and
41 2) the effect of genetic risk testing and communication on actual lifestyle modification and
42 clinical outcomes.

43

44 **Method**

45 The protocol of this systematic review and meta-analysis was registered with the University
46 of York Centre for Reviews and Dissemination PROSPERO database
47 (CRD42014009096).^[17]

48

49 **Eligibility**

50 *Types of participants:*

51 Given the limited knowledge of the impact of genetic risk communication on multifactorial
52 conditions with reduced penetrance susceptibility genetic variants such as obesity, T2D
53 and/or CVD, we were interested in examining any individual, either healthy or at risk of these
54 disorders, in the context of disease prevention. No restrictions were placed on age, gender or
55 ethnicity.

56

57 *Types of interventions and comparators:*

58 We deemed any RCT assessing the provision of genetic risk prediction information for the
59 aforementioned disorders as eligible. Studies could either involve a clinical genetic test,
60 where participants undertook a real genetic test and were provided with their actual results or
61 a vignette, which was defined as a hypothetical scenario providing a fictitious but plausible
62 genetic risk.

63

64 The intervention (genetic risk information) could be compared with either a control (no
65 genetic risk information) or alternative risk information (e.g. hormone or enzyme) or both.

66

67 *Outcome measures*

68 These included motivation for, or actual, lifestyle behaviour change (diet, physical activity or
69 health screening); any physiological or clinical outcome that would result from this lifestyle
70 behaviour change (e.g. change in body weight, HbA1c or blood pressure).

71

72 Since only RCTs, representing the highest level of primary evidence were included, studies
73 of any other design, those relating to new-born screening, family history taking or
74 investigating the efficacy of diet-gene interactions were excluded. Addictive behaviours (e.g.
75 smoking) and non-lifestyle related behaviours (e.g. medication adherence) were excluded.

76

77 **Identification of studies**

78 All RCTs published on this topic, between 2003 (following completion of the Human
79 Genome Project) and June 2015 were identified without the limitation of language, length of
80 intervention and/or follow-up. Electronic searches using MEDLINE, PsycINFO, EMBASE,
81 CINAHL+, Cochrane Central Register of Controlled Trials (CENTRAL) combined search
82 terms related to genetic risk, health behaviour and either obesity, T2D and/or CVD (see
83 Supplementary Materials 1 for a sample search strategy). Inclusion of both keyword and
84 medical subject headings ensured a comprehensive search. The grey literature was searched
85 using the key terms applied to MEDLINE, including ProQuest; Trove; ETHOS and
86 Science.gov. Reference lists from previous reviews were also mined for eligible studies.
87 Prominent authors identified from subject knowledge and relevant reviews were searched by
88 name. Unpublished studies were identified via the WHO International Clinical Trials
89 Registry platform and the authors of completed but so far unpublished studies were contacted
90 for more information (via email and a reminder was sent if there was no response after two
91 weeks) (n=4 contacted/1 responded). Additionally, we contacted authors of published studies
92 that had incomplete data for our meta-analyses, thus we attempted to minimise publication
93 bias (n=5 contacted/4 responded).

94

95 **Study selection**

96 Studies were screened by title and abstract by two independent reviewers, against the
97 eligibility criteria, and if selected by both reviewers the full-text was reviewed. Any
98 disagreements regarding eligibility were resolved by discussion. All studies were eligible for

99 meta-analysis but meta-analysis was only undertaken if there were at least two studies
100 assessing the same outcome.

101

102 **Data collection**

103 Data extraction for each study followed a standard procedure, where two reviewers
104 independently extracted data according to a specific proforma (Table 1). Any discrepancies
105 between the reviewers were resolved by discussion, with the involvement of two other
106 reviewers when consensus could not be reached. If investigated outcomes (as per protocol)
107 were not reported within their publication, authors were contacted to request further
108 information.

109 **Risk of bias assessment**

110 Studies were assessed using the Cochrane Collaboration tool for assessing risk of bias in
111 RCTs.^[18] A summary statement indicating the sources of bias for each study was created
112 according to the key areas of bias advised (Table 2). Two authors independently assessed bias
113 at the study level. This information was used to interpret findings as well as indications for
114 sensitivity analysis.

115

116 **Measuring the effect of intervention and method of analyses**

117 Given that anticipated behaviours from hypothetical scenarios do not necessarily result in
118 actual behaviour change and this is a known limitation of vignette studies,^[19] results from
119 vignette and clinical RCTs are analysed and presented separately. Therefore, we have
120 distinguished between perceived versus actual motivation for change to more accurately
121 reflect the interpretation of vignette and clinical studies, respectively. A primarily narrative
122 approach to analysis would be undertaken, as pre-specified in the protocol, if high
123 heterogeneity existed between studies. A meta-analysis was conducted after homogenising
124 comparable data (Stata Statistical Software, version 13: StataCorp LP, College Station,
125 Texas). The principal summary measure was standardised mean difference (SMDs), centred
126 on zero, with values above zero favouring intervention group (genetic risk communication)
127 and values below zero favouring the comparison group. To take into account heterogeneity
128 across studies, a random effects meta-analysis was used by combining results for studies of
129 varying interventions.^[20] All analyses were conducted using the available case analysis.^[20]
130 Where results were measured at multiple time points, the furthest point in time was used in
131 meta-analysis to represent effects on long term behaviour change. Heterogeneity was

132 evaluated using the χ^2 tests and I^2 statistic. If high levels of heterogeneity existed, possible
133 sources of heterogeneity were identified (e.g. high risk of bias, comparability of control
134 group, method of risk communication) followed by narrative, rather than statistical sensitivity
135 analysis. Where possible we have stratified analysis according to level of genetic risk because
136 genotype had been previously identified as a potential effect modifier.^[10] Formal statistical
137 publication bias was not undertaken due to insufficient number of studies per outcome of
138 interest.

139

140 **Results**

141

142 **Study selection**

143 1967 unique citations were screened for inclusion and 11 publications, representing 13
144 unique studies, form this review (see Figure 1 and Table 3).

145

146 **Characteristics of included studies**

147 Of the 13 included trials, six were vignette studies (hypothetical scenarios) and seven were
148 clinical intervention studies. This included one unpublished^[21] and one semi-published
149 study^[22] where authors agreed to contribute data. The detailed characteristics of included
150 trials are shown in Table 3 and Supplementary Material 2 and 3.

151

152 All participants were recruited from the general population, where the majority of
153 participants in vignette studies were university students and in the clinical studies were
154 middle aged. Four of the seven clinical studies recruited a ‘high risk population’ (e.g.
155 overweight or met a criterion for having metabolic syndrome) but who did not have the
156 condition of interest at baseline.^[23–26] The average length of follow up for clinical studies was
157 six months. Based on available case analysis, the cumulative sample size for all studies was
158 8426, ranging from 107^[24] to 1607^[22] (median 249).

159 Participants were either randomised to two groups, comparing those provided with genetic
160 test results and a control group (either phenotypic risk feedback, standard healthy lifestyle
161 advice or no risk feedback), or three or more groups comparing the aforementioned with
162 feedback from an alternative test. Two of the vignette^[27,28] and four of the clinical
163 studies^[21,24,25,29] presented results stratified by the level of genetic conferred risk
164 (Supplementary Materials 2-3). For example, participants could possess 0, 1 or 2 risk alleles

165 for a single nucleotide polymorphism associated with the condition (e.g. *FTO* for obesity
166 risk), or were categorised according to a composite genetic risk score. Genetic risk was
167 assigned via a hypothetical scenario within vignette studies and by genotyping within clinical
168 studies. All outcomes of interest were examined in studies investigating obesity and T2D
169 whilst four studies assessed perceived motivation and actual behaviour change in the context
170 of CVD. A range of real and fictitious genetic variants were used.

171 **Risk of bias**

172 There were similar numbers of studies with low (n=5), high (n=4) and unclear risk of bias
173 (n=4) (Table 2). Vignette studies were more prone to bias (three high risk, one low risk) than
174 clinical studies (one high risk, four low risk). Attrition bias was prevalent, due to loss to
175 follow up and/or inadequate explanation for excluding certain participants. Five clinical
176 studies had published protocols.

177

178 **Trial outcomes**

179 For each of the outcomes examined, results from the clinical studies will be followed by
180 results from vignette studies.

181

182 *Motivation to change behaviour*

183 *Clinical studies*

184 In clinical studies ‘actual motivation’ was assessed after participants undertook genetic
185 testing and was provided personalised genetic results. In the clinical studies, weight loss
186 motivations were mixed. In two of the studies reviewed participants who were provided
187 genetic risk feedback were reported to possess higher motivation to lose weight (Wang C. et
188 al, unpublished result) or stage of change for weight control (OR: 1.77, 95% CI: 1.08 to 2.89,
189 p=0.023) compared to controls.^[21,29] In that study, motivation was accentuated in those with
190 a genotype for elevated risk (AA/AT vs control OR: 2.38, 95% CI: 1.33 to 4.26, p=0.003).^[29]
191 This result was not evident in another study where diabetes prevention was the focus.^[25]
192 Similarly, motivational intent for improving diet and exercise appeared unaffected by genetic
193 risk information across relevant studies.^[25] These studies were not meta-analysed because of
194 insufficient number of studies with comparable outcome measures.

195

196 *Vignette studies*

197 Vignette studies are where participant’s ‘perceived motivation’ was assessed after provision
198 of a hypothetical genetic test or supposed factual information about the genetic aetiology of a

199 disease. Firstly, the effect of genetic risk information compared to controls (not receiving
200 genetic information) on motivation for dietary modification was examined in four
201 studies.^[28,30] Although both groups reported a high motivation to change (>7 out of 10 on a
202 Likert scale), our random effects meta-analysis showed that those provided genetic risk
203 information had a slight but non-significantly lower motivation to change compared to the
204 control (SMD: -0.15, 95%CI: -1.03 to 0.73, p=0.74) (Figure 2 and Supplementary Material
205 2).^[28,30] High heterogeneity was evident: $I^2=78\%$, p=0.003 thereby reducing confidence in
206 the pooled null finding. This may be due to a study with high risk of bias and adopting a non-
207 personalised approach in communicating risk.^[29] Additionally, it may reflect age-related
208 differences where participants of studies favouring genetic risk were younger (aged 20s)
209 (Sanderson et al., and Smerecnick et al., study on hypertension A) compared to those
210 favouring the control (aged 40s) (Smerecnik et al., study on cholesterol and hypertension B)
211 who were older. Secondly, the difference in 'perceived motivation' for dietary modification
212 after provision of risk from either a genetic test or an alternative test was also examined.
213 Meta-analysis of two studies with conflicting findings showed a SMD of -0.04, 95%CI: -0.37
214 to 0.29, p-value: 0.82 with no indication of heterogeneity ($I^2=0\%$) (Figure 2).^[27,28]

215 *Actual behaviour change*

216 *Clinical studies*

217 Among the six clinical studies with interventions ranging from one to 12 months, there were
218 inconsistencies regarding whether learning about genetic risk alters dietary intake and/or
219 physical activity (Supplementary Material 3). Three studies reported differences in self-
220 reported dietary intake between the genetic and control groups^[23,26,31] whilst two others did
221 not.^[22,24]

222 The results from a study among 601 veterans at risk of T2D reported a borderline statistically
223 significant difference in macronutrient and total energy intake at three months, however, this
224 was not reported to be sustained at six months (difference in log Energy -0.1, 95% CI: -0.1 to
225 0, p=0.20).^[23] In another study of 316 probands (the first family member affected by a genetic
226 disorder) who were diagnosed with familial hypercholesterolemia (with considerably high
227 genetic penetrance for CVD), authors did not observe any difference in the proportion of
228 participants that chose to follow a low fat diet six months after genetic counselling.^[26] On the
229 other hand, results from a four arm web-based RCT (n=1269 healthy Europeans), reported
230 that overall dietary quality (Health Eating Index: genetic group was 1.4 units higher than the
231 control, p<0.01), salt and fat intake significantly improved in those who were provided
232 genetic risk information compared to controls.^[31] However, the authors reported negligible

233 differences between all personalised nutrition groups at the end of the study (levels 1-3;
234 Supplementary Material 3). Another Finnish study (n=107) concurred with such
235 inconsistencies. In a subgroup analysis by genotype, they revealed that those possessing a
236 high risk genotype (E4+) reported consuming greater quantities of dietary fat compared to
237 those with low risk genotype (E4-) and similarly compared to the control group ($p<0.05$).^[24]
238 Interestingly, no significant difference was found for quality of fat intake, vegetables, and
239 fruits or alcohol intake.^[24] Therefore, albeit the limited number of studies reviewed, there is
240 an inconsistent impact of genetic risk on dietary behaviour. Any benefit of which appear
241 short term and only if compared to interventions lacking personalisation. The heterogeneity
242 in dietary intake measurement did not enable meta-analysis for this outcome.

243 Of the five clinical studies ^[24-26,29] measuring changes in physical activity after genetic risk
244 communication, only one reported their findings, indicating no substantial effect on physical
245 activity.^[24] Only one study precluded meta-analysis.

246

247 *Vignette studies*

248 A vignette study subjected 162 Canadian undergraduate students to a psychological ‘cookie
249 eating’ experiment.^[32] Participants were randomised into three groups who received a
250 newspaper article where obesity was described based upon either i) its genetic ii) its
251 psychosocial aetiology or iii) a control where body weight was not mentioned. Despite the
252 hypothetical nature of this experiment, the group who were influenced to consider obesity as
253 genetically driven consumed significantly more cookies (mean=52.0 g, SD=41.8 g) than the
254 psychosocial group (mean= 33.1g, SD = 22.9, $p=0.02$) who were only marginally different to
255 the control group (mean= 37.0g, SD = 29.8, $p=0.08$), after adjustment for sex, age and self-
256 reported BMI.^[32]

257

258 *Clinical outcome*

259 *Clinical studies*

260 Weight loss was examined in five clinical studies, three of which investigated obesity
261 prevention.^[21,29] Preliminary results from the European study ‘Food4Me’ reported that there
262 was no statistically significant difference in 6 month weight change between intervention and
263 control groups, including in those who were overweight and/or obese at baseline.^[31] Our
264 meta-analysis of three studies comparing those provided genetic risk (either high or average
265 risk) with control groups demonstrate no difference (Figure 3).^[23,25,29] There was a standard
266 mean weight loss of 0.29 kg in favour of the control group albeit with large uncertainty for

267 those with high genetic risk compared to control 95% CI: -0.74 to 1.31, p-value: 0.58 and
268 minimal heterogeneity ($I^2= 34\%$) and similar results were observed for those at average
269 genetic risk compared to control.
270 Other clinical indicators including insulin resistance^[23] and attendance at a diabetes
271 prevention programme^[25] did not differ between those provided genetic risk information and
272 controls.

273

274 **Discussion**

275 This review investigated the effect of communicating genetic risk on lifestyle modification
276 for cardiometabolic disorders and found no evidence that this information improved
277 participants' dietary or exercise behaviour. It included recent available clinical studies that
278 build upon several related reviews, all of which concluded that there was limited support for
279 such behavioural benefits.^[10,13,33] Our findings are consistent with the updated Cochrane
280 Systematic Review for dietary (SMD: 0.12, 95%CI: -0.00 to 0.24, p:0.05) and physical
281 activity behaviours (SMD: -0.03, 95%CI: -0.14 to 0.07, p:0.54) (published whilst our review
282 was under consideration).^[34] Our review complements their results with two additional
283 clinical RCTs (Wang et al., unpublished results; Food4Me: n=1607),^[21,22] on top of five
284 vignette studies. Moreover, given that all studies measured self-reported behaviours, which
285 are subject to recall bias; our review extends beyond examining only behaviours to clinical
286 outcomes (i.e. weight loss) that may indirectly reflect changes in lifestyle and non-lifestyle
287 behaviours.

288 **Motivation for behaviour change**

289 Findings for actual motivations regarding weight change/control were mixed, with two
290 clinical studies showing that this was favourably influenced by genetic risk (Wang C. et al.,
291 unpublished results).^[29] The reason for this inconsistency may be explained by two potential
292 mediators and/or moderators relating to participant characteristics: their initial level of
293 motivation and their genetic literacy. First, qualitative evidence suggests that baseline
294 motivational status may mediate motivational change, where individuals with low baseline
295 motivation have comparatively less incentive to change lifestyle behaviour than those with
296 higher baseline motivation.^[35,36] One study demonstrated a significant benefit of genetic risk
297 communication on weight loss motivations only among those with a underweight/normal
298 BMI (Wang C. et al., unpublished results). This suggests that these participants may possess

299 pre-existing motivations for a healthy lifestyle, which may indicate the possible transferable
300 effect of motivation rather than an additive effect of genetic risk communication. Secondly,
301 there is strong evidence that genetic literacy determines understanding of genetic risk and
302 subsequent motivation to pursue healthy behaviours.^[37,38] Vassy et al., noted that
303 motivational response to low genetic risk results were dependent upon participant's genetic
304 literacy, whereby those with low genetic literacy showed higher motivation for lifestyle
305 modification.^[38] The two studies in our review that identified a motivational benefit from
306 genetic risk communication were provided as an online risk feedback,^[21,29] whereas the study
307 that did not replicate this finding employed a qualified genetic counsellor to communicate
308 risk.^[25] Since the probabilistic nature of genetic information is usually poorly understood,
309 with only 38% of US college-educated adults accurately interpreting their risk and much
310 lower when delivered online than in person,^[39] this raises intriguing questions. DTC genetic
311 services, which mostly use web-based delivery, have been criticised for their high literacy
312 demands^[40] and are discouraged by several government organisations for providing genetic
313 information without health professional support.^[41] Questions remain as to how much of this
314 difference can be attributed to the mode of delivery of risk information and whether online
315 services alone can accommodate and support varying levels of genetic and health literacy.

316

317 **Actual behaviour change**

318 There were mixed findings for dietary behaviour from the six clinical studies. Heterogeneity
319 in type of dietary behaviour (e.g. percentage of energy intake, healthy eating index) precluded
320 conducting a meaningful meta-analysis. Three studies reported a benefit of genetic risk on
321 adopting healthier eating behaviour; one identified temporary borderline significant
322 effects.^[23] The second suggesting that the process of personalisation (i.e. tailoring advice)
323 rather than the tool used to convey personalisation appears more important.^[31] A third study
324 highlighted modulation by level of genetic risk.^[24] Indeed, within the studies reviewed, those
325 that compared different forms of personalised risk information (i.e. genetic risk/counselling
326 with an alternative risk/counselling)^[23,25] did not observe significant differences in dietary
327 behaviour, unlike the studies that compared genetic risk/counselling to general health
328 advice.^[24,29] Since personalising therapy is the basis of client-centred approaches, which have
329 been found to better motivate change in various health behaviours and enhances outcomes at
330 least in the short term,^[42] this suggests that any form of personalisation may be beneficial in
331 supporting behaviour change.

332 Several non-RCT studies also fail to identify any effect of genetic risk information on
333 adopting healthy lifestyle behaviours, including a before-and-after study of 1325 employees
334 from a DTC company who were provided genetic risk information but had no observed
335 improvements in fat intake ($p=0.34$), exercise (0.39) or disease screening behaviour ($p=0.43$)
336 at 12 months follow up.^[12,43] This finding concurs with evidence from other multifactorial
337 conditions such as colorectal cancer.^[44] This lack of behaviour change has led some to
338 hypothesise that genetic risk itself may not be enough and that the provision of lifestyle
339 advice based on genetics for how to mitigate this risk incurred by genetics would encourage
340 adoption of the desired behaviours. For example, Zeevi et al., recently suggest that further
341 personalisation of dietary advice using an algorithm derived from various personal factors
342 such as sleep-wake cycle, physical activity, gut microbiota in addition to dietary habits can be
343 used successfully to moderate glycaemic response in adults when delivered by a trained
344 dietitian.^[45] This presents a new model for tailoring dietary advice that may be more robust
345 than genetic risk alone. However, the utility of personalised nutrition particularly using
346 genetic data on behaviour change is also unclear, with two small clinical trials funded by
347 nutrigenetic companies, reporting improvements in self-reported dietary intake and BMI.^[3,46]
348 However, this was not replicated within a larger multi-national personalised nutrition RCT
349 (Food4Me), which adopted country-specific validated dietary assessment tools.^[31] Hence,
350 given that perceived risk (including that from genetic risk) does not strongly influence
351 behaviour change^[47] and the limited ‘information value’ derived from the low predictive
352 power of known genetic variants,^[36,48,49] it may be unreasonable to expect genetic risk to have
353 the profound impacts on behaviour that has been claimed.

354 Lastly, a clinical study reported that elevated genetic risk resulted in higher consumption of
355 dietary fat^[24] and another which informed participants of the genetic aetiology of disease led
356 to increased unhealthy food consumption.^[32] This may be explained by maladaptive coping in
357 accordance with the ‘common sense model of illness cognition’, in which individuals’ belief
358 about disease threats guides their prevention behaviour. That is, people can cope with disease
359 threats broadly in two ways; either they reduce the threat by adapting to healthy behaviours
360 or they form maladaptive mechanisms including fatalistic responses dependent on the
361 perceived controllability of the threat.^[50] However, recent meta-analysis and qualitative
362 studies together indicate a limited fatalistic response after genetic risk feedback, measured by
363 perceived control and self-reported fatalism.^[51–53] Hence, this finding raises concern and
364 warrants further testing and monitoring.

365 **Strengths and limitations of the review**

366 This review strived to be comprehensive and included both the published and unpublished
367 literature, with effort made to contact authors where necessary. Although the scope for
368 publication bias may have been reduced considerably by direct correspondence with
369 researchers and inclusion of previously unreported data, we recognise that residual
370 publication bias deserves consideration and caution when interpreting results. However, there
371 was an insufficient number of studies included to formally test for publication bias. None of
372 the clinical studies examined potential mediators of behaviour change relevant to genetic
373 testing, including genetic and health literacy and numeracy and only two studies assessed
374 baseline motivations.^[21,29] Understanding how participants with differing characteristics
375 respond to genetic information could assist in tailoring future delivery. The meta-analyses
376 should be interpreted bearing in mind two limitations, one being that the heterogeneity in data
377 (i.e. measured outcome, condition, and methods) restricted quantitative synthesis of all
378 outcomes examined in the review and two, it is composed primarily of small studies based
379 upon the currently available literature. There was a lack of objectively measured behaviours
380 and the resulting measurement error of self-reported methods prevented firm conclusions to
381 be drawn.^[54] Hence, we look forward to the results from several on-going studies that will
382 provide much needed insight using objective methods.^[22,55,56] Lastly, none of the studies were
383 from countries outside of the USA, Canada and Europe, which interestingly coincides with
384 the availability of commercial personalised nutrition companies.^[57] Currently, an on-going
385 study in Hong Kong will provide some much needed perspectives from Asia.^[58]

386 Further results from a meta-analysis of the effect of genetic risk on perceived control,
387 effectiveness of intervention and risk can be found in Supplementary Material 4.

388 **Conclusions, implications, and recommendations for practice and research**

389 Based on the totality of the evidence currently available for inclusion within this review,
390 including both the meta-analyses and narrative synthesis, we found no clear or consistent
391 evidence that genetic risk communication alone either raises motivation or translates into
392 actual behaviour change to reduce the risk of cardiometabolic disorders in adults. With
393 genetics proposed to influence health in multiple ways,^[59] including genetic personalised
394 nutrition^[1] accompanied by public enthusiasm, the incorporation of genetic risk into practice
395 is likely to rise. Although we caution against unsupported online provision of genetic risk
396 because of the lack of demonstrated clinical utility and possible negative implications, in the

397 interim with absence of such evidence, dietitians/nutritionists may consider exploiting public
398 enthusiasm in genetic risk as another opportunity to educate across a range of preventative
399 lifestyle behaviours. This will require upskilling of the workforce in the area of genetics and
400 genomics as we have previously demonstrated.^[60,61]

401 Clearly, research is needed to untangle the effects that can be attributed to methods of
402 personalisation from genetic risk communication, for the impact on actual behaviour change.
403 Specifically, larger scale high quality clinical RCTs with objective outcome measures are
404 warranted. Participants should also be more thoroughly characterised to capture risk
405 comprehension and initial level of motivation to enable health professionals to better tailor
406 their risk feedback to these. Evaluation of clinical utility, alongside analytical and clinical
407 validity, in addition to the ethical, legal and social implications of genetic testing, according
408 to the Centre for Disease Control's ACCE framework,^[62] are current areas of enquiry. Lastly,
409 to ensure public welfare in engaging with genetic susceptibility information, policy makers
410 need to enforce stricter regulation of DTC services, which could start by setting clear
411 European frameworks.

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421 **Conflict of interest**

422 All authors declare that they have no conflict of interest

423 **Authorship statement**

424 Conceived and designed the protocol: SXL, HT, KW

425 Performed the search and screened for study eligibility: SXL, HT, KW, ZY

426 Analysed the data: SXL, ZY

427 Contributed to intellectual input of analysis: SXL, HT, KW, ZY

428 Wrote the first draft of the manuscript: SXL

429 Contributed to the writing of the manuscript and approved final version: SXL, HT, KW, ZY

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Table 1: Data extraction items

Items in the proforma	Data items extracted
Background	Source of funding, study design, aim
Method	Location, number of participants, population characteristics, length of follow up, source of genotyping/genetic risk counselling, dietary assessment method, genetic assessment method, targeted disease, measurement of outcome, intervention (type of genetic or alternative risk information) and comparator
Risk of bias	Sequence generation for randomisation, allocation concealment, effective blinding, completeness of outcome data, free from selective reporting, other biases
Results	Mean, standard deviation, confidence interval, p-value, adjustment for confounders
Conclusion	Author's conclusions and reviewers' interpretation

611

	Sufficient sequence generation?	Allocation concealment?	Effective blinding?	Incomplete outcome data addressed?	Free from selective reporting?	Free from other bias?	Overall level of bias?	Main risk of bias: judgement	
Vignette studies	Frosch, 2005 (obesity)	?	—	NA	—	+	—	Limited detail in reporting how allocation and attrition treated.	
	Sanderson, 2010 (obesity)	+	+	NA	—	+	+	Well conducted study but unclear exclusion of 1 participant.	
	Smerecnik, 2009 (hypertension and high cholesterol)	—	?	NA	—	+	+	Limited detail in reporting how allocation and attrition treated.	
	Dar-Nimrod, 2015 (obesity study 2 and 3)	?	?	NA	NA	+	+	?	Limited detail in reporting how allocation and attrition treated.
Clinical studies	Grant, 2013 (Diabetes)	+	+	NA	+	?	+	+	Rigorously conducted study.
	Marteau, 2004 (CVD)	+	+	NA	?	+	—	+	Well conducted study except for use of self-reported outcome measure.
	Voils, 2015 (Diabetes)	+	+	+	+	+	—	?	Rigorously conducted study but suitability of comparison group unclear
	Meisel, 2015 (obesity)	+	NA	NA	—	NA	?	—	Attrition leading to inadequate power to evaluate results.
	Wang, unpublished (obesity)	+	+	+	+	NA	NA	+	Well conducted trial.
	Food4Me (Whitepaper, 2015) (obesity)	+	?	?	+	NA	+	+	Rigorously conducted study with high follow-up rate, attention to psychological methodology and validated outcome measures.
	Hietaranta-Luoma, 2015 (CVD)	+	NA	?	+	+	—	?	Well conducted study but lack power and consistency in method.

Abbreviation: NA: not available

Key: Low risk of bias  Unclear risk of bias  High risk of bias 

Table 2: Summary of risk of bias judgements for studies included in this review

Table 3. Summary of studies reporting on genetic risk communication and lifestyle behaviour change

Outcomes	Clinical studies	Vignette studies	No. of participants*	Average age (yrs.)	Ethnicities reported
<i>Perceived motivation to change behaviour</i>					
Obesity	0	Sanderson, ^[28] Frosch ^[27]	440	24.9	
T2D	0	0	0	-	
CVD	0	Smerecnik ^[30] x 3	432	33.2	White, Asian, African
Total number	0	5	872		American, Other
<i>Actual motivation to change behaviour</i>					
Obesity	Wang, ^[21] Meisel ^[29]	NA	975	35.5	
T2D	Grant ^[25]	NA	108	58.7	
CVD	0	NA	0	-	African American, White,
Total number	3	NA	1083		Other
<i>Risk reducing behaviour (dietary, physical activity or other)</i>					
Obesity	Celis Morales, ^[22] Meisel ^[29]	Dar-Nimrod ^[32]	2048	27.2	
T2D	Voils, ^[23] Grant ^[25]	0	709	56.4	African American, White,
CVD	Marteau, ^[26] Hietaranta-Luoma ^[24]	0	423	51.0	Asian, Other
Total number	6	1	3180	-	
<i>Clinical outcome (BMI, weight loss, HbA1c)</i>					
Obesity	Wang, ^[21] Meisel, ^[29] Celis Morales ^[22]	0	2582	36.9	
T2D	Voils, ^[23] Grant ^[25]	0	709	56.4	
CVD	0	0	0	-	African American, White,
Total number	5	0	3291		Other
Genetic loci examined (either genotyped or used as within a hypothetical scenario)	<i>FTO, TCF7L2, PPARγ, KCNJ1, ApoE, LDAR, ApoB, GATA-2, FTO, KLF15 and a few fictitious genes</i>				
Total Overall	14	6	8426	42.2	

Abbreviation: T2D: type 2 diabetes mellitus, CVD: cardiovascular disease, NA: not applicable, FTO: *Fat mass and obesity associated gene*, TCF7L2: Transcription Factor 7-Like 2, PPARγ: Peroxisome Proliferator-Activated Receptor Gamma, KCNJ11: Potassium Channel, Inwardly Rectifying Subfamily J, Member 11, LDAR: low density lipoprotein receptor and ApoB: Apolipoprotein B, GATA-2: GATA Binding Protein 2, KLF15: Kruppel-Like Factor 15.

Note: the last name of each study's first author is listed

* number of participants based on available case analysis

Figure legends

Figure 1: Flow chart of studies identified and included in the systematic review and meta-analysis.

Figure 2: Summary of pooled standardised mean difference (SMD) in perceived motivation to change dietary behaviour via a random effects meta-analysis of vignette studies (standardised Likert scale: 1 to 10). I^2 is the between-trial heterogeneity.

Figure 3: Summary of pooled standard mean difference in weight change between genetic versus control groups via a random effects meta-analysis of clinical studies (weight change in kilograms). Abbreviation: I^2 is the between-trial heterogeneity; mths: months.