Month of conception and learning disabilities: A record-linkage study of 801,592 children.

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Running title
Month of conception and learning disabilities

Abbreviations
ASD  autistic spectrum disorder
CI   confidence interval
IL   interleukin
IQR  inter-quartile range
N    number
OR   odds ratio
SIMD Scottish Index of Multiple Deprivation
SMR  Scottish Morbidity Record
Q    quarter
USA  United States of America
UV   ultra-violet

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Abstract

Learning disabilities have profound, long-lasting health sequelae. Affected children born over one year in the USA generated an estimated lifetime cost of $51.2 billion. Studies suggest autistic spectrum disorder may vary by season of birth, but few studies have examined whether this is also true of other causes of learning disabilities. We undertook Scotland-wide record linkage of education (annual pupil census) and maternity (Scottish Morbidity Record 02) databases for 801,592 singleton children attending Scottish schools 2006-2011. We modelled monthly rates using principal sine and cosine transformations of the month number, and demonstrated cyclicity in the percentage of children with special educational needs; highest among children conceived in the first quarter (January-March) and lowest in the third (July-September) (8.9% vs 7.6%, p<0.001). Seasonal variations were specific to autistic spectrum disorder, intellectual disabilities, and learning difficulties (eg dyslexia), and were absent for sensory or motor/physical impairments, and mental, physical or communication problems. Seasonality accounted for 11.4% (95% CI 9.0%-13.7%) of all cases. Some biologically plausible causes of this variation, such as infection and maternal vitamin D levels, are potentially amendable to intervention.

Key terms

educational status; obstetric delivery; intellectual disabilities; developmental disabilities; seasonal variation
Introduction

Some environmental exposures, such as infection and vitamin D, follow seasonal patterns. Fetal development represents a unique period of vulnerability to environmental perturbations, and there are multiple pathways by which season of fetal development could plausibly impact on long-term outcomes, in particular those associated with abnormal brain development. Several studies have demonstrated higher risk of schizophrenia among people born during winter months (1). Studies of neurodevelopmental disorders have been fewer in number, largely restricted to autistic spectrum disorder (ASD) and have produced contradictory results. Some studies have demonstrated seasonal patterns for ASD (2-9), but others have not (10-13). The aim of this study was to determine whether the risk of children having special educational needs varies by month of conception and, if so, whether seasonality is restricted to specific causes of special educational needs, such as ASD.
Methods

Pupil census

Ascertainment of special education need and its cause was obtained from anonymous, routinely collected educational data. In Scotland, an annual pupil census is conducted of all children who are attending local authority maintained or grant aided schools. It covers both primary and secondary schools, and includes mainstream schools, special schools and special classes/units attached to mainstream schools. Special schools are specifically designed to provide education to children with profound and complex disabilities whose needs cannot be met in mainstream schools. According to data from the 2011 Scottish Census, 99% of children with learning disabilities aged 5-16 years are in some form of education. Children on long-term illness absence are also included in the pupil census.

The information collected includes whether the schoolchild has a record of special educational need; defined as being unable to benefit fully from school education without help beyond that normally given to schoolchildren of the same age. Both schools and local authorities have a statutory duty to identify children with special educational needs, provide support and review its provision. We included special educational need attributed to intellectual disabilities, dyslexia, other specific learning difficulties, visual impairment, hearing impairment, deaf-blind, physical or motor impairments, language or speech disorder, ASD, and social, emotional and behavioural difficulties. We excluded special educational need due to bereavement or interrupted learning as well as more able pupils and young carers. Young carers are defined as individuals aged 18 years or under who help to look after a relative who requires support due to disability, illness, mental health problems, or drug or
alcohol abuse. Schoolchildren who contributed to more than one annual pupil census were classified as having special educational need if it was recorded in any year.

Maternity database

The Scottish Morbidity Record (SMR02) collects information on all women discharged from Scottish maternity hospitals. Gestation at delivery, sex and absolute birthweight were used to derive sex-, gestation-specific birthweight centiles within the study population. Date of conception was derived from date of delivery minus gestational age at delivery plus two weeks. Children’s postcodes of residence at the time of delivery were used to determine their level of socioeconomic deprivation using the Scottish Index of Multiple Deprivation (SIMD). The SIMD is derived from 38 indicators across 7 domains (income; employment; health; housing; geographic access; crime; and education, skills and training) using information collected at the level of datazone of residence (median population 769). The SIMD is then categorised into quintiles for the Scottish population as a whole (http://www.scotland.gov.uk/Topics/Statistics/SIMD), from 1 (most deprived) to 5 (least deprived).

Inclusion and exclusion criteria

Record linkage was undertaken using probabilistic matching based on date of birth, sex and postcode of residence. The linkage methodology has been reported and validated previously, and shown to be 99% accurate for singleton children (14). Inclusion in this study was restricted to children who attended school during any of the academic years 2006/2007 to 2011/2012. We excluded individuals who were aged <4 years or >19 years at the time of the
pupil census and individuals for whom maternal age was recorded as less than 10 years, birth weight was recorded as less than 400g or greater than 6,500g, or gestation at delivery was recorded as less than 24 weeks or greater than 44 weeks. Multiple births were excluded because, in the absence of the children’s names, we could not ensure that the pupil census record was linked to the correct child.

**Statistical analyses**

Since 2006, children with more than one type of special educational need have had all types recorded. Therefore, children could contribute to the analyses of more than one type of special educational need. Continuous variables were summarised using the median and inter-quartile range and compared using the Kruskal-Wallis tests. Categorical data were summarized using frequencies and percentages and compared using Pearson chi-square tests.

We modelled the monthly rates using principal sine and cosine transformations of the month number. Statistical significance was assessed using a likelihood ratio test for the sine and cosine terms and, for causes which demonstrated a seasonal pattern, we superimposed the cosinor curve on figures. We calculated the mean monthly incidence over the entire study period and the percentage deviation from the mean for each calendar month. The total seasonal variation was derived by summatting the deviation from the mean for the peak and trough months. For further analyses, month of delivery was categorised into quarters selected to reflect the peak and trough months: Q1 (January-March); Q2 (April-June); Q3 (July-September); and Q4 (October-December).

The characteristics that varied by both calendar year quarter of conception and presence or absence of special education need were treated as potential confounders or mediators in the subsequent analyses, and multivariable analysis was performed using binary logistic
regression. The p values for all hypothesis tests were two-sided and actual p values are quoted. All analyses were performed using Stata 14.1. Approval to undertake the study was granted by the Public Benefit and Privacy Panel.
Results

The pupil censuses undertaken between 2006 and 2011, collected data on 1,011,585 children. Of these, 811,860 (80.3%) could be linked to Scottish maternity records (SMR02). We excluded 10,268 children from the study: 8,585 (83.7%) were not singleton births; 41 (0.4%) had an estimated gestation at delivery of <24 weeks or >44 weeks; 1,096 (10.7%) had missing data on gestation at delivery; 486 (4.7%) were born at 24-44 weeks gestation but had a birthweight <400 or >6,500 grams; 49 (0.5%) were aged <4 or >19 years at the time of the pupil census; and 11 (0.1%) had maternal age recorded as <10 years. Therefore, the study population comprised 801,592 children. Of these, 66,786 (8.3%) children had at least one record of special educational need: 7,937 (1.0%) ASD; 17,942 (2.2%) intellectual disabilities; 37,319 (4.7%) learning difficulties; 4,360 (0.5%) sensory impairment; 10,391 (1.3%) communication problems; 6,401 (0.8%) physical/motor impairment; 5,814 (0.7%) physical health problems; and 1,219 (0.2%) mental health problems. Children with special educational needs differed from those without in relation to many maternal and pregnancy characteristics (Table 1).

The monthly incidence rates of special educational need were plotted by month of conception and there were clear seasonal patterns for overall special educational need, ASD, intellectual disabilities and learning difficulties (Figure 1). There was no clear evidence of a seasonal pattern for physical/motor impairments, physical health, sensory problems, mental health or communication problems. The likelihood ratio tests of the principal sine and cosine terms in the regression models varied univariately by month of conception for all special educational needs, ASD, intellectual disabilities and learning difficulties (Table 2) and the cosinor models have been superimposed on Figure 1. Overall, special educational needs demonstrated a peak
in February and a trough in July/August (Table 2). Therefore, the calendar year was categorised into quarters in subsequent analyses: Q1 (January-March); Q2 (April-June); Q3 (July-September) and Q4 (October-December).

The prevalence of special educational need was higher among children conceived in calendar year Q1 and lowest in Q3: overall (8.9% vs 7.6%, p<0.001), ASD (1.0% vs 0.9% p=0.002), intellectual disabilities (2.4% vs 2.0%, p<0.001) and learning difficulties (5.1% vs 4.1% p<0.001). There were differences between children with and without special educational need in terms of maternal age, socioeconomic deprivation quintile, parity, pre-eclampsia, previous spontaneous abortion, gestational age at delivery, sex- gestation-specific birthweight centile and mode of delivery (Table 1). These factors also varied by month of conception. Therefore, these were treated as potential confounders or mediators. Adjusting for these covariates plus year of conception in the multivariable binary logistic models had minimal effect on the associations (Table 3). The population attributable percentages were: 11.4% (95% CI 9.0%-13.7%) overall; 14.9% (95% CI 11.8%-18.0%) for learning difficulties; 15.1% (95% CI 10.6%-19.5%) for intellectual disabilities; and 11.7% (95% CI 4.6%-18.3%) for ASD.

We re-ran the models including only the 246,594 (30.8%) children who were born at 40 weeks gestation. The incidence of overall special education needs displayed a clear seasonal pattern by month of conception (p<0.001), with the peak in February and trough in August and a total monthly variation of 2.77%. The percentage of children who developed special educational needs was 8.7% for those conceived in Q1 compared to 6.9% for those conceived in Q3 (p<0.001). Compared to children conceived in Q3, the overall risk of special educational needs was higher among those conceived in Q1 (adjusted OR 1.20, 95% CI 1.15-
1.25, p<0.001), Q2 (adjusted OR 1.09, 95% CI 1.05-1.14, p<0.001) and Q4 (adjusted OR 1.12, 95% CI 1.07-1.17, p<0.001).
Discussion

There was marked variation in the risk of special educational needs in relation to the month of conception. This variation was not dependent on data driven selection of reference and exposure categories, as sine and cosine terms of month of conception demonstrated strong associations with the risk of special educational needs. Previous studies have generated inconsistent findings in relation to ASD, but the majority of high quality studies have shown associations (2-9, 15, 16). However, only 11.9% of Scottish schoolchildren with a record of special educational needs had ASD, and we also found the same pattern of association with learning difficulties and intellectual disabilities. Collectively, the diagnoses which showed seasonal variation accounted for 86.9% of cases of special educational need. Moreover, analysis by attributable fraction indicated that 11.4% of all cases could potentially be prevented if the risk throughout the year was reduced to that observed in Q3. In the USA, the lifetime costs associated children born over one year with intellectual disabilities have been estimated to be around $51.2 billion (17). Therefore, preventing 11.4% of cases could save around $5.8 billion in the USA along. Hence, we showed that seasonal variation in the month of conception is a major and previously unrecognised determinant of a substantial proportion of the economic burden resulting from learning disability.

The findings persisted after adjustment for potential confounders, and the lack of a seasonal pattern in sensory, communication, physical and motor causes of special educational need demonstrate the specificity of the associations and suggests that they are unlikely to reflect residual confounding. The reported incidence of ASD has increased over time, due to increased awareness. However, adjustment for year of conception did not alter the results. Sub-group analysis of children born at 40 weeks confirmed the same pattern of risk in
relation to month of conception. Hence, the patterns observed are suggestive of an environmental exposure that occurs at a critical developmental stage prior to labour and delivery, rather than secondary to seasonal variation in the gestational age at delivery. The nature of the present study does not allow us to determine the mechanism of association. However, two plausible exposures which demonstrate seasonal cyclicity have been previously implicated in the aetiology of ASD, namely maternal infection and maternal vitamin D levels.

Animal models support an effect of maternal infection on the neurodevelopment of the offspring. Exposure of pregnant mice to influenza virus has both short and long lasting deleterious effects on the developing brain structure in the progeny (18). The resultant changes include abnormal corticogenesis which is associated with development of abnormal behaviour in mice (19). In wild-type mice, maternal immune activation of pregnant rodents produces offspring with abnormalities in behaviour, histology, and gene expression which are similar to schizophrenia and ASD. However, this does not occur in the IL-6 null mutant animals, suggesting that IL-6 may lie on the causal pathway. The results of human studies are inconsistent. Atladottir et al. studied all children born in Denmark between 1980 and 2005 (20). They found no overall association between maternal infection during pregnancy and ASD but observed an increased risk of ASD associated with maternal viral infection during the first trimester and bacterial infection during the second trimester. A case control study including 538 children with ASD and 163 with developmental delays demonstrated associations between both conditions and fever during pregnancy (21). A further case control study found that both ASD and developmental delay were associated with elevated second trimester levels of a number of cytokines in the mother's blood (22). In a study of 689,196 births in Denmark, there was an increased risk of ASD among children whose mothers
suffered from autoimmune diseases such as rheumatoid arthritis and coeliac disease (23). Not all studies have shown positive associations, and an analysis of the English autism register relating to births between 1953 and 1988 demonstrated no increases in autism cases coinciding with influenza epidemics (24).

Maternal serum levels of vitamin D are important for normal brain development and demonstrate marked seasonal changes, as the majority of vitamin D is derived from exposure to sunlight (25). Low concentrations have been associated with changes in brain size and morphology (26, 27). Animal models have demonstrated that offspring deficient in vitamin D late in pregnancy or across the whole of pregnancy displayed adult brain dysfunction and hyperlocomotion. Low exposure to ultraviolet radiation has been mooted as a possible explanation for the higher incidence of ASD in high latitude countries, urban areas and dark-skinned people (25). Whitehouse et al. measured 25-dihydroxyvitamin D concentrations in 743 Caucasian mothers at 18 weeks gestation. They found an association with language impairment at 5 and 10 years of age (28). Swedish investigators measured 25-hydroxyvitamin D in the dried blood spots obtained from children shortly after birth (29). They found lower levels in 58 children with ASD than their siblings. Intervention studies conducted in early childhood have shown that administration of multivitamins containing vitamin D can reduce symptoms in children with ASD (30), and improve normal childhood cognition (31).

There is a well established link between vitamin D and autoimmunity. A systematic review by Yang et al. demonstrated that the vitamin D receptor is located on many immune cell lines enabling vitamin D to moderate the relationship between normal immunological function and development of autoimmune disease (32). Copico et al. recently demonstrated seasonal variations in more than 4,000 protein-coding mRNAs in white blood cells and adipose tissues
resulting in seasonal variations in immunological markers such as interleukin 6 and C reactive protein (33).

Most previous studies have examined month of delivery, rather than month of conception. However, variations in gestation at delivery make it difficult to draw conclusions about which trimester may be most critical, in terms of seasonally patterned exposures. By studying month of conception, we could be certain of the calendar months covered by the first and second trimesters in the whole study population and, in the sub-group analysis of children born at 40 weeks gestation, we could also be certain of the months covered by the third trimester as well as obviating any bias due to known seasonal variations in the risk of preterm delivery.

Our study was large and non-selective, including children in public schools across the whole of Scotland. The pupil census does not include private schools but, in Scotland, fewer than 5% of children attend private schools. We were able to examine a range of causes of special educational need and therefore to explore whether seasonal patterning was specific to one or more causes. We used existing databases but these are subjected to regular quality assurance checks. The proportion of children recorded as having ASD in the pupil census has progressively risen year on year over the last decade, due to improved awareness and better diagnostic services, and reached 1.7% in the most recent (2015) pupil census. Consequently, for the period of our study, 2006-2011, the children recorded as having ASD are likely to be those at the more severe end of the spectrum. For other types of special education needs, the prevalence derived from the pupil census was very similar to that reported in the 2011 Scottish Census.
Twenty percent of children could not be linked to an SMR02 record. According to the 2011 Scottish Census, 11% of Scottish residents aged 5-19 years were born outside of Scotland and, therefore, should not be linkable to Scottish maternity records. Therefore, 9% of Scottish schoolchildren were born in Scotland but could not be linked to their maternity record. We previously undertook a validation study of the pupil census-SMR02 linkage process and demonstrated that more than 99% of the linkages attached the child to the correct maternity record (14). We compared the pupil census data for linked and unlinked children and found very similar prevalence of any SEN (8% vs 7%) and SEN due to ASD (both 1%), intellectual disabilities (both 2%) and learning difficulties (4% vs 5%). We adjusted for potential confounders. As with any observational study, residual confounding is possible. However, adjustment for maternal, obstetric and demographic characteristics had a minimal effect. Moreover, the association was specific for certain causes of special educational need: the failure to find any seasonal patterning for sensory, communication, physical and motor causes suggests that residual confounding is unlikely. Adjustment for birth weight and gestation of delivery made little difference to the results suggesting that very little, if any, of the association between month of conception and learning difficulties is mediated via these factors.

A relative weakness of the present study is that we lacked biological samples to test potential aetiological hypotheses directly. We were unable to relate the risk of special educational need to serologically proven viral infections or maternal values of vitamin D. Further studies will be required to address this issue. The timing of the peaks and troughs were, however, consistent with either an infectious or vitamin D link. The study by Atladottir et al. suggested that the first trimester may be a critical period for exposure to viral infection (20). In the United Kingdom, the incidence of influenza is highest between January and March.
which was the timing of conception associated with the highest risk of special educational need. The patterns observed were also consistent with UV exposure dependent changes in maternal vitamin D levels playing a role. Animal models suggest that the third trimester may be a critical period for exposure to UV radiation (34). In the United Kingdom, there is insufficient UVB radiation in sunlight between November and March to produce vitamin D (25). In our study, the incidence of special educational need peaked among children whose third trimester covered November to February.

In conclusion, we demonstrated that season of conception is strikingly associated with the subsequent risk of special educational need in the offspring. The patterns observed were consistent with putative biological explanations of seasonal variation, namely infection exposure during the first trimester and reduced UV exposure in the third trimester. As seasonal variability accounts for a substantial health economic burden of disease and biologically plausible causes of this variation are potentially amendable to intervention, these observations are potentially highly relevant for public health.
Funding

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Conflicts of interest

None declared
References


Table 1. Characteristics of study participants by presence or absence of special educational need

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<td>1 (most deprived)</td>
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<td>2</td>
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<td>14,706 (22.1)</td>
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<tr>
<td>3</td>
<td>135,066 (18.4)</td>
<td>12,242 (18.4)</td>
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<td>4</td>
<td>128,668 (17.6)</td>
<td>10,834 (15.6)</td>
<td></td>
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<td>5 (least deprived)</td>
<td>123,727 (16.9)</td>
<td>8,324 (12.5)</td>
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<td>no</td>
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<td>64,633 (96.8)</td>
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<td><strong>Previous therapeutic abortion</strong></td>
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<td>656,604 (89.4)</td>
<td>58,702 (87.9)</td>
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<td>6,666 (10.0)</td>
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<td>≥2</td>
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<td>1,404 (2.1)</td>
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<td>94</td>
<td>14</td>
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<td><strong>Previous spontaneous abortion</strong></td>
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<td>52,303 (78.3)</td>
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<td><strong>Gestational age (weeks)</strong></td>
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<td>5,820 (8.7)</td>
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<td>34,745 (4.7)</td>
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<td>29,433 (4.0)</td>
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<td></td>
<td>Median (IQR)</td>
<td>Median (IQR)</td>
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<tr>
<td>--------</td>
<td>--------------</td>
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<td>--------</td>
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<tr>
<td></td>
<td>Maternal age (years)</td>
<td>Maternal age (years)</td>
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<tr>
<td></td>
<td>28 (24-32)</td>
<td>28 (23-32)</td>
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N number; IQR inter-quartile range
Table 2. Percentage by which monthly incidence of special educational need, overall and by cause, deviated from overall incidence.

<table>
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<tr>
<th></th>
<th>January</th>
<th>February</th>
<th>March</th>
<th>April</th>
<th>May</th>
<th>June</th>
<th>July</th>
<th>August</th>
<th>September</th>
<th>October</th>
<th>November</th>
<th>December</th>
<th>Total variation*</th>
<th>P value**</th>
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<td>Special educational need</td>
<td>0.32</td>
<td>1.70</td>
<td>0.70</td>
<td>0.98</td>
<td>0.44</td>
<td>-0.45</td>
<td>-1.24</td>
<td>-1.17</td>
<td>-0.51</td>
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<td>-0.10</td>
<td>-0.27</td>
<td>2.94</td>
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<td>ASD</td>
<td>0.03</td>
<td>0.09</td>
<td>0.05</td>
<td>0.11</td>
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<td>-0.03</td>
<td>-0.05</td>
<td>-0.15</td>
<td>-0.05</td>
<td>-0.04</td>
<td>0.03</td>
<td>-0.02</td>
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<td>Intellectual disabilities</td>
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<td>0.54</td>
<td>0.22</td>
<td>0.22</td>
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<td>-0.42</td>
<td>-0.17</td>
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<td>-0.13</td>
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<td>-0.13</td>
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<td>0.08</td>
<td>-0.01</td>
<td>0.06</td>
<td>0.02</td>
<td>-0.05</td>
<td>-0.02</td>
<td>0.00</td>
<td>0.01</td>
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<td>-0.04</td>
<td>-0.06</td>
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<td>0.13</td>
<td>0.01</td>
<td>0.04</td>
<td>0.06</td>
<td>-0.09</td>
<td>-0.03</td>
<td>-0.12</td>
<td>0.01</td>
<td>-0.04</td>
<td>0.07</td>
<td>-0.02</td>
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<td>0.042</td>
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<tr>
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<td>0.13</td>
<td>0.04</td>
<td>0.02</td>
<td>-0.02</td>
<td>-0.02</td>
<td>-0.10</td>
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<td>-0.02</td>
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<td>0.04</td>
<td>0.01</td>
<td>0.00</td>
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<td>0.02</td>
<td>0.01</td>
<td>0.01</td>
<td>0.00</td>
<td>0.00</td>
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<td>0.03</td>
<td>-0.01</td>
<td>0.00</td>
<td>0.07</td>
<td>0.133</td>
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</table>

ASD autistic spectrum disorder
*sum of highest and lowest deviations
**derived from likelihood ratio test of principal sine and cosine terms in regression models for each outcome
Table 3. Univariable and multivariable binary logistic regression models of the association between calendar year quarter of conception and special educational need, overall and by cause, additionally adjusted for calendar year of conception.

<table>
<thead>
<tr>
<th></th>
<th>Univariate</th>
<th>Multivariable*</th>
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<tbody>
<tr>
<td></td>
<td>Q1</td>
<td>Q2</td>
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<tr>
<td></td>
<td>OR (95% CI)</td>
<td>P value</td>
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<tr>
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<td>1.20 (1.17, 1.22)</td>
<td>&lt;0.001</td>
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<td>ASD</td>
<td>1.10 (1.04, 1.18)</td>
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<tr>
<td>Intellectual disabilities</td>
<td>1.23 (1.18, 1.29)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Learning difficulties</td>
<td>1.23 (1.19, 1.27)</td>
<td>&lt;0.001</td>
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<tr>
<td>Sensory impairment</td>
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<tr>
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<td>1.09 (1.02, 1.17)</td>
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<tr>
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<td>Physical health problems</td>
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<tr>
<td>Mental health problems</td>
<td>1.17 (0.99, 1.38)</td>
<td>0.059</td>
</tr>
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</table>

Q quarter; OR odds ratio; CI confidence interval; SEN special educational needs; ASD autistic spectrum disorder
*adjusted for sex, maternal age, socioeconomic deprivation quintile, parity, pre-eclampsia, previous spontaneous and therapeutic abortion, gestational age at delivery and sex, gestation-specific birthweight centile and year of conception
Figure 1. Crude monthly incidence (%) and pure cosinor models of additional educational support needs

1. Any ASN

2. ASD

3. Intellectual disability

4. Learning difficulty