

Sequencing structural variants in cancer for precision therapeutics

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Identification of mutations that guide therapy selection for patients with cancer is now routine in many clinical centres. The majority of assays used for solid tumour profiling use DNA sequencing to interrogate somatic point mutations as they are relatively easy to identify and interpret. However many cancers, including high-grade serous ovarian, oesophageal and small cell lung cancer, are driven by somatic structural variants that are not measured by these assays. Therefore, there is currently an unmet need for clinical assays that can cheaply and rapidly profile structural variants in solid tumours. In this review we survey the landscape of “actionable” structural variants in cancer and identify promising detection strategies based on massively parallel sequencing.

37 **The necessity for increased profiling of structural variants in the clinic**

38 Sequencing of tumour cohorts has provided key insights into tumour etiology[1,2]
39 and has facilitated the development of new DNA based biomarkers for use in the
40 clinic[3]. The current paradigm for biomarker discovery involves comprehensive,
41 high-cost sequencing (usually exome or whole-genome) across moderately sized
42 cohorts, then subsequent application of cheaper, targeted sequencing for biomarker
43 detection in the clinic. This approach has yielded biomarkers that assist with
44 diagnosis[4,5], prognosis[6,7], personalised therapy selection[8,9] and clinical trial
45 stratification[10]. These biomarkers are now being internationally accepted into
46 molecular pathology as shown by a recent landmark consensus whitepaper for
47 nervous system tumour diagnosis[11] which will be incorporated into the World
48 Health Organization classification of glioma.

49

50 Comprehensive approaches such as whole-genome (WGS) and whole-exome
51 (WES) sequencing yield information on somatic point mutations, also known as
52 single nucleotide variants (SNVs, See Glossary), small somatic insertions/deletions
53 (INDELs), and somatic structural variants (SVs). These approaches have the benefit
54 of identifying multiple mutations simultaneously, albeit at increased cost. However,
55 given the reality that most actionable mutations are SNVs, the majority of clinical
56 sequencing is currently performed using low-cost targeted gene panels that
57 interrogate SNVs[12].

58

59 There are, however, many patients that will not benefit from SNV assays as their
60 cancers are characterised by high numbers of SVs rather than SNVs. Furthermore,
61 SV driven tumours may have few, if any, SNV drivers, as demonstrated by a recent
62 large-scale pan-cancer study[13]. Ciriello and colleagues analysis of 3,299 tumours
63 showed a hyperbolic relationship between the frequencies of SNVs and SVs across
64 different tumour types, potentially arising from differences in aberrant DNA repair
65 and mutagen exposure. The clinical implication of this work is that in the majority of
66 cancers the major driver mutations are either SNV or SV mutations. For common
67 cancers that are SV driven, such as high-grade serous ovarian[14], oesophageal[15],
68 neuroblastoma[16], small-cell lung cancer[17], and triple-negative breast
69 cancers[18], the current bias for implementation of SNV based assays leaves

70 clinicians with very limited data for precision medicine. In addition, these tumours are
71 also enriched for loss of classical tumour suppressor genes including *TP53*, *RB1*,
72 *NF1*, which are not directly actionable. There is a significant opportunity to extend
73 clinical options for patients if cheaper methods for structural variant profiling can be
74 developed for wider implementation, as exemplified by the repurposing of
75 trastuzumab to treat gastric and other cancers with amplification of ERBB2[19].

76

77 **Current state of the art in the clinic**

78 Traditional cytogenetic techniques such as fluorescence *in situ* hybridisation (FISH)
79 have been used clinically to profile SVs in haematological cancers for over 20
80 years[20]. However, when applied to solid malignancies, these technologies suffer
81 from a number of drawbacks, the most significant being poor performance when
82 applied to archival samples and, in contrast to haematological cancer, significant
83 barriers to their use on disaggregated tumour tissue in vitro culture or from flow
84 cytometry analyses. Recent European Guidelines for cytogenetic investigations in
85 tumours have now recommended the use of orthogonal technologies to improve SV
86 detection[21]. Alternative technologies such as array comparative genomic
87 hybridization (aCGH) have been used in large-scale clinical trials to select patients
88 with specific SVs using the OncoCopy[10] and OncoArray[22] platforms. While these
89 studies show that aCGH is a viable clinical assay, the main weaknesses are a lack of
90 standardised bioinformatics pipelines[23], high sample costs compared to equivalent
91 sequencing technologies, an inability to interrogate balanced SVs, and the
92 requirement for a different workflow in addition to those in place for panel-based
93 sequencing. We therefore focus the remainder of this review on how newer
94 sequencing approaches may enable identification of SVs in the clinic.

95

96 **Prime suspects for clinical translation**

97 Figure 1 provides an overview of common cancer related structural variation and the
98 technologies currently available for detection. For detailed descriptions on structural
99 variant classification and aetiology we direct the reader to recent reviews [24–27]. In
100 the following section we focus on cancer promoting SVs that provide strong
101 exemplars for clinical decision making.

102

103 *Oncogenic fusions - Figure 1a*

104 The prototypical oncogenic fusion, BCR-ABL in chronic myeloid leukaemia is the
105 result of a reciprocal translocation between chromosomes 22 and 9 causing a BCR-
106 ABL fusion protein[28]. Patients with this fusion respond to the tyrosine kinase
107 inhibitor imatinib, which represents the earliest example of a rationally targeted
108 cancer therapy[29]. Subsequent studies have uncovered specific fusions across a
109 range of tumour types[30,31]. These fusions commonly arise either via translocation
110 (e.g. BCR-ABL[28]), deletion (e.g. TMPRSS2-ERG[32]) or inversion (e.g. EML4-
111 ALK[33]). In cases such as TMPRSS2 and ALK, the single gene may also have
112 multiple possible fusion partners[32,34]. ALK fusions in non-small cell lung cancer
113 are now a critical target for therapy. In contrast, intensive study on the TMPRSS2-
114 ERG fusions which are found in up to 50% of prostate cancers[35], has not yet
115 demonstrated therapeutic or functional insights. At present the majority of identified
116 fusions in solid tumours have unknown function. These functional challenges mirror
117 similar problems in identifying driver SNV mutations but it is important to emphasize
118 that some cancers have specific mutator phenotypes that are selective for oncogenic
119 fusions. For example, a subset of lung adenocarcinomas have been shown to be
120 exclusively driven by fusions[36]. Conversely, fusions may also have classical loss of
121 function effects and a recent study in prostate cancer detected an inversion causing
122 a fusion of MSH2 and NRXN1, which inactivated MSH2 causing mis-match repair
123 deficiency[37].

124

125 Clinical assays to identify fusions have focused on detection of known markers.
126 Break-apart FISH, where probes located at either side of the breakpoint show a
127 separation in the presence of the fusion, has been the assay of choice for clinical
128 profiling. Where fusion specific antibodies exist, IHC has been applied as a simple
129 low-cost alternative. Alternative approaches using sequencing based methods will
130 depend highly on the recurrent nature of the underlying genomic aberration and the
131 intron size of the two fused genes. If the aberration involves a loss of genetic
132 material, then the detection task is easier as the absence of exons in the fusion
133 genes is usually a good proxy for the presence of the fusion. If the aberration
134 causing the fusion is balanced, detection becomes much more difficult[38]. If there

135 are breakpoint 'hotspots' across tumours (i.e. the double-stranded breaks occur
136 within kilobases of each other) then PCR primers can be designed either side of the
137 break to detect the fusion using fresh or fixed DNA[39]. Otherwise, if the breaks
138 occur in intermediate size regions, exon/intron capture[5] or long-range PCR[40] is
139 required, providing that the DNA is of sufficient quality to yield long enough
140 fragments[41]. Finally, for breaks which are not recurrent, paired-end WGS is the
141 preferred approach.

142

143 It has, however, been shown that fusions can be detected using targeted sequencing
144 either using a specific protocol which ligates nuclear proximal sequences for a gene
145 of interest - TLA[42] or bioinformatically from chimeric reads in existing targeted
146 sequencing data - Breakmer[43]. It is also possible to interrogate RNA rather than
147 DNA to detect fusions - if the breaks across patients result in the fusion of the same
148 exons, targeted PCR based assays can be designed to pick up the fusion product in
149 RNA, even from short fragments, with the caveat that the fusion must be expressed
150 in the cells[44]. If only one of the fusion partners is known, PCR baits for the exons
151 of the known partner can be paired with random hexamer priming to amplify the
152 fragment for any fusion partner[45].

153

154 *Oncogene amplification - Figure 1b*

155 High-level gene amplification typically arises via focal copy-number change[46]. A
156 canonical example in the clinic is the amplification of *ERBB2* in breast cancer
157 patients, resulting in HER2 overexpression which can be treated with the antagonist
158 trastuzumab[47]. Recently, *ERBB2* amplification has been demonstrated to occur in
159 many tumour types, albeit at lower frequency, supporting the potential rational use of
160 HER2 antagonist therapy[48]. Although, a recent clinical trial of off-label therapy use
161 based on molecular characterisation showed no widespread benefit suggesting this
162 strategy may be specific to certain therapies[49]. HER2 status is commonly
163 assessed using IHC, with *ERBB2* amplification interrogated using FISH or CISH if
164 IHC is uncertain[50]. Recently, it has been shown that sequencing based
165 technologies such as ddPCR show greater clinical efficacy[51]. In many cases
166 however, the interrogation of multiple genes simultaneously may improve clinical
167 decision making (Box 1).

168

169 Targeted gene panels, exome and WGS based approaches all allow detection of
170 amplifications across many chromosomal positions simultaneously, with varying
171 accuracy and sensitivity. Methods for detection generally rely on read depth,
172 heterozygous germline SNP allele frequencies, or a combination of both[52].
173 However, determining the precise number of copies can be difficult, especially for
174 samples with low tumour cell content[5]. In these cases, a copy-number aberration
175 will not be detected using low coverage sequencing unless the amplification is in
176 excess of 6 copies[5]. Conversely, while targeted gene panels generally provide a
177 read depth necessary for copy-number detection, artefacts such as PCR or
178 hybridisation efficiency can skew the estimated copy-number. Despite these caveats,
179 technical and algorithmic developments are yielding promising results, for example,
180 the ability to sensitively detect *AR* and *CYP17A1* gains in the serum of castrate
181 resistant prostate cancer patients[53].

182

183 *Enhancer hijacking - Figure 1c*

184 While there are not yet any examples of enhancer hijacking being targeted in the
185 clinic, this mechanism provides a prototypical example for both the potential of large-
186 scale tumour genomics studies to generate new targeted therapy avenues, and the
187 associated complexities with functional interpretation. A recent study of whole-
188 genome sequencing data from 137 medulloblastoma samples revealed clusters of
189 SV breakpoints at 9q34 which correlated with strong upregulation of *GFI1B* in a
190 subset of tumours[54]. Further investigation showed these SVs, made up of various
191 classes, all juxtaposed active enhancers to *GFI1B*, increasing expression of the
192 proto-oncogene. While this work reveals a potential therapeutic target for treatment
193 of a subset of medulloblastomas, routine detection of these cases would currently
194 require whole-genome sequencing coupled with gene expression profiling - a costly
195 and labour intensive diagnostic. Until WGS is widely adopted, this class of SV is
196 likely to remain undetected in a clinical setting.

197

198 *Tumour suppressor deletion - Figure 1d*

199 Deletions are the most complex class of SVs to detect and interpretation is two-fold
200 as confirmed inactivation of both copies is required. There are four common paths to

201 tumour suppressor inactivation: 1) a deleterious heterozygous germline mutation
202 coupled with loss of the functional copy, such as BRCA1 in breast cancer[55]; 2) a
203 somatic point mutation in one allele, followed by loss of the remaining copy, such as
204 TP53 in ovarian cancer[14]; 3) epigenetic silencing of one copy followed by loss of
205 the functional copy, such as *MLH1* in colorectal cancer[56]; or 4) homozygous loss of
206 both copies via two separate deletion events.

207

208 Deletions of protein coding genes causing loss of function are typically diagnosed
209 using IHC. However, IHC is commonly not applicable owing to lack of validated
210 clinical antibodies (e.g. detection of NF1 protein) or when the functional impact is a
211 result of a non-coding change such as the loss of a microRNA with unknown protein
212 target[57]. To confirm a loss of function with sequencing, two assays may be
213 required, one to confirm the copy-number change and another to confirm the
214 secondary hit on the remaining copy[58]. Depending on the distribution of
215 heterozygous germline SNPs in the region of interest, determining LOH in tissue
216 samples may require an estimate of the tumour purity (fraction of tumour cells
217 relative to normal) and ploidy. This can usually only be estimated using genome-
218 wide profiling techniques (array or sequencing based) in combination with
219 computational algorithms such as ASCAT[59] or ABSOLUTE[60] which can
220 determine the most likely purity and ploidy state. Given these limitations, the use of
221 sequencing-based assays for detecting deletions will not be sufficient for
222 unambiguous detection of loss of function without further advances in technology
223 and clinical workflow.

224

225 *Genomic instability - Figure 1e*

226 Integrating data from multiple SVs using genome-wide profiling can reveal diagnostic
227 and prognostic information which now offer clinically useful biomarkers [61–63] in
228 contrast to the current uncertainty about which single SV event is dominant or
229 actionable (discussed below). Scores for focal complex rearrangements such as the
230 complex arm aberration index (CAAI) have prognostic power in breast cancer[64]
231 and have been shown to be robust across larger cohorts of breast and high grade
232 serous ovarian cancer cases[65]. In gastrointestinal tumours, a Genomic Index (GI)
233 integrating the number and type of copy-number changes has been linked with

234 prognosis[66], and may be useful for molecularly stratifying intermediate risk disease
235 [67]. In addition other integrative measures of genomic instability developed from
236 loss of heterozygosity (LOH), telomeric allelic imbalance (TAI), and large-scale state
237 transitions (LST) have been correlated with underlying homologous recombination
238 deficiency in breast cancer and related sensitivity to platinum-based chemotherapy
239 [68] and subsequently commercialized. Similar approaches are also in development
240 for predicting response to PARP inhibitors in high grade serous ovarian cancer
241 [69,70]. Further validation of these signatures in large cohorts is now required
242 together with comparison to other signatures that may reflect specific aberrant DNA
243 damage and repair, similar to the SNV signatures identified using non-matrix
244 factorization[71].

245

246 While these approaches use complex rearrangements to compute global measures
247 of instability, it is not yet possible to accurately resolve complex events to the point
248 where underlying drivers can be determined. However, by integrating short-read
249 sequencing data with 10X Genomics synthetic long-read technology and BioNano
250 Genomics mapping, it may be feasible to resolve these events from the resulting
251 phased, long-reads[72].

252

253 **Technology frontrunners**

254 *Balancing cost and sensitivity: shallow whole-genome sequencing*

255 Advances in methods that adjust for GC sequencing bias, along with careful curation
256 (“black-listing”) of problematic genomic regions has dramatically improved depth of
257 coverage approaches for genome-wide copy number profiling[73]. It is now possible
258 to obtain a meaningful genome-wide copy-number profile from sequencing depths as
259 low as 0.1×, applied to FFPE or frozen samples[73]. If matched germline samples
260 are processed, it is possible to discern germline from somatic copy-number
261 alterations. However, even in the absence of matched germline it is still possible to
262 extract reliable copy-number profiles with clinical utility (see Box 4). In addition, if
263 the DNA is of sufficient quality, long-insert paired-end shallow whole-genome
264 sequencing can be used to obtain both copy-number and balanced rearrangement
265 information[74]. It has also been shown that these shallow whole-genome
266 sequencing (sWGS) techniques can be used to interrogate circulating tumour DNA in

267 plasma[75]. These advances pave the way for a cost effective genome-wide profiling
268 strategy for monitoring copy-number changes in tumours in response to therapy.

269

270 One caveat of these techniques in the cancer setting is that their performance is
271 tightly coupled to both tumour purity and ploidy of the sample[76]. Furthermore, a
272 critical feature for monitoring therapy response is the ability to observe and account
273 for tumour heterogeneity[77]. In the case of copy-number profiling, tumour
274 heterogeneity can be observed as a mixture of copy-number states which result in a
275 non-integer copy-number. However, to decide whether a copy-number is non-
276 integer, absolute copy-number changes rather than relative copy-number changes
277 must be observed. For this, estimates of purity and ploidy are required[59]. In the
278 absence of deeper WGS, reasonable estimates for these can be obtained via
279 histopath and FISH/CISH, or bioinformatically inferred[60]. If available, we highlight
280 in Box 2 that subclonal copy number changes are theoretically detectable with a
281 sWGS strategy. One cost-effective approach is to couple sWGS with exome seq
282 facilitating absolute copy-number calling[73].

283

284 *Getting more bang for the buck: advanced bioinformatics*

285 Advances in bioinformatic approaches have boosted our ability to extract useful
286 information out of relatively low-coverage sequencing experiments. For example,
287 exome and targeted gene panels that were originally designed to interrogate only
288 SNVs and INDELS, are now being extended to estimates of copy-number[78,79].
289 Furthermore, a perceived defect of exome capture, namely inefficient hybridization
290 causing “off target” reads, has been transformed into a useful data source for
291 reconstructing genome-wide copy-number number profiles[80].

292

293 Other improved algorithms also make it possible to go beyond the simple binary
294 score indicating presence or absence of a given mutation—by using the allele
295 frequency of a mutation it is possible reconstruct the evolution of a tumour and
296 determine the fraction of tumour cells that contain the mutation, even for SVs[37,81].
297 This may have strong clinical benefits, as detecting actionable mutations that are
298 present on the trunk of the tumour phylogenetic tree rather than the branches, may
299 allow selection of therapy that targets the bulk of the tumour cells[77].

300

301 **Some pitfalls**

302 Beyond the technical issues associated with detection, there are other factors which
303 obscure clinical decision making using SVs:

304

305 *More than one driver mutation*

306 In Box 1 we use a hypothetical scenario to illustrate the challenges faced when a
307 copy-number change is observed across multiple drivers, of which only one is the
308 true driver. In this case, neither WGS or targeted sequencing can resolve the
309 dilemma, each with their own shortcomings. The situation becomes worse when
310 dealing with unstable genomes, where complex rearrangements can result in a list of
311 putative drivers ranging in the 1000s. The SNV profiling field has faced a similar
312 challenge, thus many of the methods developed can be adapted to SVs[82].

313 Approaches that use gene and protein interaction networks to elucidate key driver
314 pathways hit by multiple mutations, offer a promising technique for narrowing the list
315 of putative driver events to the point where a targeted therapy can be rationalised
316 (methods reviewed in detail in [83]). Alternatively, integrative SV analysis (see text
317 above) may offer treatment choices for some patients.

318

319 To assist in the process of therapy rationalisation, many cancer centres have
320 appointed panels of experts to decide on the best course of treatment given complex
321 molecular results[84]. These panels are typically made up of clinicians, scientists,
322 bioinformaticians and others that collectively decide if the molecular evidence is
323 sufficient to make a therapeutic intervention. While this pipeline results in a high-level
324 of care, it is ultimately low-throughput. One way to overcome this is to ensure that
325 detailed information on the decision making process of these panels is captured so
326 that areas of redundancy and automation can be identified and throughput improved.
327 One of the critical areas for achieving this improvement is enhanced annotation of
328 structural variants and the functional impact (including possible confounders). To
329 help address this,[85] has proposed the introduction of a clinical targetability index,
330 which is supported by databases of manually curated druggable mutations including
331 the incorporation of initiatives such as My Cancer
332 Genome[<https://www.mycancergenome.org>], Targeted Cancer

333 Care[<https://targetedcancercare.massgeneral.org>], and Personalized Cancer
334 Therapy[<https://pct.mdanderson.org>]. Measures such as these will assist clinicians in
335 deciding which aberrations to target.

336

337 *Tumour heterogeneity*

338 Tumour heterogeneity is another factor that impacts strongly on the clinical
339 interpretation of mutations. Discussed extensively recently[83,86] tumour
340 heterogeneity has a profound effect on the choice of sequencing approach for
341 interrogating SVs in tumours. While WGS provides a comprehensive view of the
342 genomic makeup of a tumour, this is limited in absolute depth, revealing only
343 mutations found in the bulk of tumour cells. In contrast, targeted sequencing
344 provides a deeper, narrow view, with the potential benefit of detecting tumour
345 heterogeneity for single mutations. This may be critical in cancers where
346 identification of initially, small, resistant populations of cells is paramount. A recent
347 review by Hiley et al. [77] highlights how modelling tumour heterogeneity and
348 understanding the life history of a tumour can assist with prioritizing therapeutic
349 targets. By targeting mutations that arise early in tumour development present in all
350 cells, the bulk of the tumour will be hit by the treatment. Alternatively, by
351 characterising cells with different driver mutations, combination therapies can be
352 designed so that all observed drivers are targeted. Methods for identifying
353 heterogeneous copy-number aberrations[87] and SVs[37], are likely to have a
354 significant impact on improved decision making (See Box 3 for an example of how
355 clonality analysis is currently being used to inform therapy in the clinic). Currently,
356 though, the majority of these methods are designed to use deep WGS as input and
357 further technical and algorithmic developments are required for routine assessment
358 of copy-number heterogeneity using targeted or shallow sequencing strategies.

359

360 **Necessary hurdles**

361 A putative sequencing based biomarker must be subjected to rigorous testing of its
362 analytical validity, clinical validity and clinical utility[88] before widespread adoption in
363 the clinic. In the context of SVs, this would typically mean proving that the SV can be
364 accurately and robustly detected (analytical validity); showing that the SV associates
365 with the clinical outcome of interest, in this case, target gene activity in the tumour

366 (clinical validity); and demonstrating that the detection of the SV leads to a targeted
367 therapy which improves patient outcomes (clinical utility). These are major
368 challenges with even analytical validation presenting a significant technical and
369 analytical challenge. For the validation of the copy number calling for the
370 FoundationOne assay, multiple pools of mixed normal and tumour cell line DNA
371 were used in ratios of 20-75% tumour content for blinded calling of focal gene
372 amplification and homozygous deletion across repeat experiments[5]. Establishing
373 clinical validity for a novel SV will continue to be an expensive and time consuming
374 process as it relies upon large validation sample sets with orthogonal genomic
375 characterization with RNA profiling and protein assays. One approach to help
376 mitigate this may be the use of careful sequencing study designs which are well
377 powered to inform clinical decision making[2]. Furthermore, careful certification and
378 accreditation of the bioinformatics pipelines for processing sequencing data is
379 required to ensure analytical validity[89]. Tools such as Docker, which completely
380 encapsulate all software needed for the analysis in a virtual machine
381 (<https://www.docker.com/>) are improving reproducibility, testing and deployment. SV
382 specific accreditation of pipelines can draw on the lessons learnt from certification of
383 SNV pipelines[90], as well as guidelines developed for germline testing[91].
384 Promising examples of approaches for somatic detection are emerging such as the
385 system used by Princess Margaret Cancer Centre for clinical somatic variant
386 classification[92], however, it is important that SV profiling be integrated in these
387 systems early in their development as many of the underlying quality control
388 principles of sequencing use in the clinic apply in both cases.

389

390 **To WGS or not to WGS**

391 Since the goal of sequencing a complete genome for \$1000 was realised in 2014
392 [93], there has been continuing debate on whether the time is right to adopt WGS
393 routinely in the clinic[94]. For reasons outlined above, comprehensive
394 characterisation of SVs in specific cancers would greatly benefit from routine deep
395 WGS, however, significant barriers still need to be overcome before this could be
396 considered feasible. These include a reduction in the high human costs associated
397 with computational analysis, functional interpretation, and identification of actionable

398 drivers. Developing strategies to overcome these challenges is currently uninformed
399 as there are no studies that have directly assessed the clinical benefit of whole-
400 genome sequencing in cancer although many major cancer centres are starting to
401 grapple with the significant infrastructure required for clinical WGS. In addition,
402 several national and regional WGS sequencing efforts are underway that may
403 mitigate the analysis bottlenecks by economies of scale, albeit by imposition of
404 pragmatic or restricted bioinformatic reporting to achieve clinically useful turn around
405 times. In the UK the Genomics England 100,000 Genomes Project has now
406 sequenced 11,221 genomes from NHS patients being investigated for either rare
407 inherited disease or somatic sequencing at cancer diagnosis. Similar projects are
408 ongoing in California, Vancouver and the Netherlands.

409

410 A key technical challenge to overcome in the cancer setting is to be able to process
411 FFPE material. As far as we are aware, there is no deep WGS published with DNA
412 isolated from FFPE clinical material. In our hands the sequence yield has been too
413 low from a single sequence lane of the Illumina X10 to allow genome-wide mutation
414 analysis. However, the yield was sufficient to perform genome-wide mapping of SVs
415 (translocation and copy numbers). These challenges can be mitigated by different
416 bioinformatic methods, however, it is important to acknowledge the overhead
417 required for the development of bioinformatic approaches which provide clinical
418 grade mutation calling. In this regard it is particularly instructive to review lessons
419 learnt from developing SNV calling algorithms. Initial “state-of-the-art” calling
420 methods developed in academia showed significant discrepancies[95], and it is only
421 recently we have been able to accurately quantify the performance of the different
422 approaches via international mutation calling challenges[96]. A significant impact of
423 the Pan Cancer Analysis of Whole Genomes project (<https://dcc.icgc.org/pcawg>) has
424 been the curation of consensus calling strategies using deep WGS from over 2800
425 tumours, and with this resource we are now in a position to develop and validate
426 calls that can be deemed robust enough for clinical work.

427

428 While we still have a long way to go before sufficient capability is acquired for
429 widespread deployment of WGS in the clinic, there are select scenarios where WGS
430 is already being adopted. Box 3 and Box 4 illustrate two cases where a sWGS

431 strategy is replacing aCGH and FISH for assessing copy-number in the clinic.
432 Another likely candidate for early adoption of WGS in the clinic is genome-wide
433 characterisation of loss-of-heterozygosity. Knowledge of this, combined with
434 identification of SNV drivers assists in robust interpretation of putative targets.

435

436 **Concluding remarks**

437 Although we expect extensive, ongoing debate on the role of DNA sequencing in the
438 clinic[97], we see a clear need for cheap and accessible sequencing-based
439 approaches in the clinic to interrogate SVs and to widen rational therapeutic choices.
440 These approaches will provide a stronger basis for understanding the genomic
441 architecture of cancers and should be integrated into large-scale discovery efforts for
442 clinical biomarkers. In the short term we expect these tools will be used for
443 orthogonal validation for validated relevant SVs, however over the long term, we
444 expect modifications of sWGS to become the primary tool for SV detection. Although
445 deep whole-genome sequencing will provide the most comprehensive approach,
446 care needs to be taken to develop the correct infrastructure to ensure both test and
447 analysis costs remain low[98] and practitioners are given sufficient education in
448 interpretation of sequencing based tests[99]. Until then, a mixed strategy of shallow
449 WGS and targeted sequencing is likely to be a sufficient framework for diagnosis,
450 prognosis and tracking treatment resistance.

451

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457

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727 **Text Boxes**

728 **Box 1 - Oncogene amplification: a clinical dilemma (hypothetical)**

729 Consider a hypothetical situation where a patient presenting with non-small cell lung
730 cancer has their tumour sequenced using a targeted capture panel for all exons of
731 EGFR. The results come back negative for SNVs and INDELS, however, the assay
732 reports a copy-number gain spanning EGFR which can be targeted with a tyrosine
733 kinase inhibitor[29]. The obvious temptation here is to commence treatment with a
734 tyrosine kinase inhibitor such as erlotinib. However, what we don't know in this case,
735 is that the EGFR gain is a result of a whole chromosome level change, which
736 happens to incorporate the true tumour driver, MET, also on chromosome 7. MET
737 amplification is known to confer resistance to erlotinib, but can be targeted with
738 crizotinib. In this case, only a more comprehensive assay would have allowed us to
739 identify the true target, although this test would have come at an increased cost.
740 Furthermore, even if this assay was carried out, we would be faced with another
741 dilemma: of the two putative targets, which is the true driver gene? Which is likely to
742 be the most effective treatment? For the capture panel results, there is only one
743 possible treatment option, which in this case may have resulted in a failed response,
744 negatively impacting on the perceived efficacy of the treatment and test. Whereas, in
745 a whole-genome case, uncertainty around which is the true driver is likely to result in
746 no treatment intervention unless additional testing is carried out to determine the true
747 driver. Which is the best assay?

748

749 **Box 2 - sWGS for monitoring subclonal copy-number changes**

750 The ability to detect subclonal copy-number changes (changes present in a subset of
751 tumour cells in a sample) is important for measuring intra-tumour heterogeneity and
752 fluctuations in responsive and resistant clones during therapy. Here we show that, in
753 theory, sWGS has the power to cheaply and robustly detect subclonal copy-number
754 changes. By performing power calculations
755 (https://gmacintyre.shinyapps.io/sWGS_power/), we plot the number of reads
756 required to detect significant copy-number changes with 80% power as a function of
757 tumour purity (Figure i). If we assume a modest tumour purity of 54% (the sample

758 contains 54% tumour cells, 46% normal) and an average ploidy of 2, we show that
759 20 million reads is sufficient to detect 1 megabase copy-number changes at
760 subclonal fractions as low as 20%. This is inline with similar calculations carried out
761 for arrays[100]. This example highlights the benefit of adopting an affordable sWGS
762 strategy to measure copy-number changes linked to therapy response.

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764

765 **Box 3 - Clonality screening program using copy-number profiling at VUmc**
766 **clinic, Amsterdam**

767 The clonal relationship between tumours can be interpreted in an automated fashion
768 by calculating a likelihood ratio to distinguish tumor pairs[101]. This is used to assist
769 therapy management of patients with non-small cell lung cancer at the VUmc clinic in
770 Amsterdam. Patients which present with multiple or secondary tumors, have their
771 tumours profiled to determine whether they stem from the original primary tumor or
772 are independent tumors[102]. If tumors are clonal and derived from the primary, the
773 initial therapy is considered to have been ineffective and treatment is not repeated.
774 Whereas if the tumor is novel, therapy is continued in the hope that this tumour will
775 also respond. A low incidence rate (± 1 case/week at VUmc) combined with a
776 requirement for a fast turnover time (7 days from DNA isolations to diagnosis)
777 implies high workload, since single cases have to be processed separately. To
778 reduce per sample cost, a transition from aCGH to sWGS is currently taking place,
779 however, samples will still have to be run individually given the aforementioned low
780 incidence rate and required turnover time. To overcome this we are currently using
781 existing infrastructure that also performs sWGS for non-invasive prenatal testing
782 (NIPT, [103]). At writing of this review we have finalized the validation phase for
783 accreditation purposes to transition from arrays to sWGS for the clonality analysis,
784 where the assay has been run in parallel on both platforms. This transition from
785 arrays to sWGS will be an enormous time and cost saving measure, whilst adhering
786 to the same high diagnostic standards required by the accreditation measures
787 applied in the lab (CCKL accredited: ISO 15189:2007 certificate 111).

788

789 **Box 4 - Diagnosis of low grade gliomas warrants genome-wide copy number**
790 **profiling**

791 In low-grade gliomas, 1p and 19q codeletion is currently recommended as a
792 prognostic indicator for use in the clinic [11]. If present, a watchful waiting approach
793 is adopted rather than immediate aggressive treatment. For this diagnosis, whole
794 arm losses of 1p and 19q are mandatory; however common tests currently in use,
795 use FISH probes which sample one or few chromosomal locations[21]. Importantly, it
796 is the loss of whole chromosome arms, not parts, that are the true prognostic
797 indicators. Moreover, it has been shown that inter-observer variability analysis of
798 “oligo-dendroglioma” using histo-pathology (only) would be highly reduced by
799 measuring the clonal 1p/19q co-deletion in these tumors [11,104]. These factors
800 combined make a strong case for switching to a more comprehensive genome-wide
801 copy-number profiling strategy[7]. At the time of writing, the VUmc in Amsterdam,
802 that routinely carries out this diagnostic is opting to run all low-grade gliomas
803 samples using both sWGS (see Figure ii for an example) in parallel with the current
804 FISH test and side-by-side (thus same sequence lanes) with the NIPT and clonality
805 tests outlined in Box 3.

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Glossary

812 **aCGH:** array Comparative Genomic Hybridisation. A DNA microarray used for
813 determine copy-number, typically genome-wide.

814 **BreaKmer:** A bioinformatic algorithm which uses chimeric reads in existing
815 sequencing data to determine the existence of structural variant breakpoints.

816 **CISH:** Chromogenic In Situ Hybridization. A cytogenetic technique where probes
817 labelled with biotin or digoxigenin are hybridised to specific regions of the genome
818 and observed under a widefield microscope.

819 **ddPCR:** Digital droplet polymerase chain reaction. PCR performed on a single
820 molecule that has been isolated in a water-oil emulsion droplet.

821 **FFPE:** Formalin fixed paraffin embedded. A term used to describe tissue samples
822 that have been fixed in a formalin solution and embedded in paraffin wax blocks.

823 **FISH:** Fluorescent In Situ Hybridisation. A cytogenetic technique where fluorescently
824 labelled probes are hybridised to specific regions of the genome and observed under
825 a fluorescent microscope.

826 **IHC:** Immunohistochemistry. The detection of antigens from tissue sections using
827 specific antibodies that bind the antigen with either chromogenic or fluorescent
828 reporters.

829 **INDEL:** Insertion/deletion (somatic). A small stretch of base-pairs, typically 3-10 in
830 length that is either deleted or inserted in the tumour genome.

831 **MLPA:** Multiplex ligation-dependent Probe Amplification. A multiplex PCR assay for
832 detecting changes in copy-number.

833 **PCR:** Polymerase chain reaction. A molecular technique used to amplify DNA.

834 **SNP array:** Single nucleotide polymorphism array. A DNA microarray used to call
835 germline variation that can also be used to determine copy-number.

836 **SNV:** Single-nucleotide variant (somatic). A mutation resulting in the change of a
837 single base-pair in the genome of a tumour cell.

838 **SV:** Structural variant (somatic). A double stranded break in the DNA of a tumour cell
839 resulting in either a balanced rearrangement where no DNA is lost such as an
840 inversion or translocation, or in an unbalanced rearrangement such as a deletion or
841 amplification (also known as a copy-number aberration).

842 **sWGS:** Shallow whole-genome sequencing. Short read sequencing of tumour
843 genomes with limited read depth, typically less than 1x coverage.

844 **TLA:** Targeted locus amplification. A technique where regions of interest are
845 amplified along with their ligated sequence determined via cross linking of physically
846 proximal sequence.

847 **WGS:** Whole-genome sequencing. Short-read sequencing of an entire tumour or
848 normal genome. Typically 30x coverage.

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855 **Figure Legends**

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857 **Figure i** - Power curves for detecting a copy-number change of. The y-axis is tumour
858 purity (the fraction of tumour cells in the sample) and the x-axis is number of aligned
859 reads. Any sample lying above the curve will have sufficient power to detect a copy-
860 number change +1 with a bin size of 500kb. The percentage label next to each curve
861 represents the percentage of tumour cells containing the copy-number change.

862

863 **Figure ii** - Chromosomal copy-number profile of a diffuse low grade glioma with a
864 1p/19q co-deletion generated by sWGS with DNA isolated from FFPE. Co-deletion of
865 the entire 1p and 19q chromosomal arms are indicative of a watchful waiting
866 treatment strategy. The y-axis represents normalized log₂ sequence read counts per
867 bin, and the x-axis represents 15 kb bins ordered by genomic position from
868 chromosomes 1 to 22.

869

870 **Figure 1** - A schematic of how different classes of structural variation can result in a
871 potentially “actionable” genomic change. Alongside each is a summary of the
872 sequencing and non-sequencing based tools that can be used for detection ordered
873 from most comprehensive, to least. Where applicable, a prototypical example of a
874 targetable mutation arising as a result of the class of structural variation is listed,
875 along with its associated treatment. a) and c) are examples of balanced structural
876 variants that do not result in any loss or gain of genetic material. In contrast, b) and
877 d) are examples of unbalanced structural variants, also known as copy-number
878 aberrations, that involve changes in the amount of genetic material in the nucleus.
879 The technologies listed that interrogate all of these aberrations in a clinical setting
880 involve a trade-off between cost, resolution, comprehensiveness, and applicability to
881 formalin-fixed clinical samples. (Reviewed in [25,84,105].)