

**Carotenoid dietary intakes and plasma concentrations are associated with heel bone ultrasound attenuation and osteoporotic fracture risk in the EPIC-Norfolk cohort.**

Richard PG Hayhoe<sup>1</sup>, Marleen AH Lentjes<sup>2</sup>, Angela A Mulligan<sup>2</sup>, Robert N Luben<sup>2</sup>, Kay-Tee Khaw<sup>2</sup>, and Ailsa A Welch<sup>1</sup>.

<sup>1</sup>Department of Population Health and Primary Care, Norwich Medical School, Faculty of Medicine and Health Sciences, University of East Anglia, Norwich, NR4 7TJ.

<sup>2</sup>Department of Public Health and Primary Care, Institute of Public Health, University of Cambridge, Strangeways Research Laboratory, Worts Causeway, Cambridge, CB1 8RN.

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Corresponding Author: Dr Richard Hayhoe, Department of Population Health and Primary Care, Norwich Medical School, Faculty of Medicine and Health Sciences, University of East Anglia, Norwich, NR4 7TJ. Email: r.hayhoe@uea.ac.uk Tel: 01603 593852

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**1 ABSTRACT**

2 Carotenoids are found in abundance in fruits and vegetables and may be involved in the  
3 positive association of these foods with bone health. This study aimed to explore associations  
4 of dietary carotenoid intakes and plasma concentrations with bone density status and  
5 osteoporotic fracture risk in a European population. Cross-sectional analyses (n=14,803) of  
6 bone density status, using calcaneal broadband ultrasound attenuation (BUA), and  
7 longitudinal analyses (n=25,439) of fractures cases were conducted on data from the  
8 prospective EPIC-Norfolk cohort of middle-aged and older men and women. Health and  
9 lifestyle questionnaires were completed, and dietary nutrient intakes were derived from 7-day  
10 food diaries. Multiple regression demonstrated significant positive trends in BUA for women  
11 across quintiles of dietary alpha-carotene intake (p=0.029), beta-carotene intake (p=0.003),  
12 beta-cryptoxanthin (p=0.031), combined lutein and zeaxanthin (p=0.010), and lycopene  
13 (p=0.005). No significant trends across plasma carotenoid concentration quintiles were  
14 apparent (n=4,570). Prentice-weighted Cox regression showed no trends in fracture risk  
15 across dietary carotenoid intake quintiles (mean follow-up 12.5 years), except for lower risk  
16 of wrist fracture for women with higher lutein and zeaxanthin intake (p=0.022); nevertheless,  
17 inter-quintile differences in fracture risk were found for both sexes. Analysis of plasma  
18 carotenoid data (mean follow-up 11.9 years) showed lower hip fracture risk in men across  
19 higher plasma alpha-carotene (p=0.026) and beta-carotene (p=0.027) quintiles. This study  
20 provides novel evidence that dietary carotenoid intake is relevant to bone health in men and  
21 women, demonstrating that associations with bone density status and fracture risk exist for  
22 dietary intake of specific carotenoids and their plasma concentrations.

23

## 23 INTRODUCTION

24 Nutrition is an important modifiable factor influencing bone health<sup>(1)</sup>, and thus an optimised  
25 diet could help reduce age-related osteoporotic bone deterioration and risk of fracture, an  
26 increasingly critical issue in our ageing population. The significance of dietary calcium and  
27 vitamin D to bone, especially during development, has been well established in the  
28 literature<sup>(2)</sup>, although the true benefits of supplementation in later life has been subject to  
29 recent debate<sup>(3)</sup>. Research has now begun to appreciate that other nutrients may be similarly  
30 important. In particular, growing evidence supports the importance of micronutrients and  
31 antioxidants abundant in fruit and vegetables, including magnesium and potassium<sup>(4)</sup>, and  
32 vitamin C<sup>(5)</sup>.

33  
34 Carotenoids are a class of phytochemicals found in particular abundance in yellow-orange  
35 and dark-green leafy vegetables<sup>(6)</sup>. Their chemical structure contains a conjugated double-  
36 bond chain forming a chromophore which confers a specific colour, e.g. yellow (lutein),  
37 orange ( $\beta$ -carotene), or red (lycopene), and provides antioxidant properties and potential for  
38 energy transfer reactions<sup>(6)</sup>. They were originally hypothesised to exert their effects on bone  
39 via provitamin A activity since Vitamin A, in its active form as retinoic acid, is known to  
40 regulate the balance between osteoblastic bone formation and osteoclastic bone resorption,  
41 upregulate vitamin D receptors, and have an anabolic effect on bone, except at high doses  
42 where it may accelerate bone resorption<sup>(7)</sup>. However, some carotenoids (lutein, zeaxanthin,  
43 and lycopene) do not possess provitamin A activity and thus the positive effect on bone health  
44 of non-provitamin A carotenoids supports the concept of a mechanism separate to vitamin A.  
45 Reactive oxygen species (ROS) have been shown by *in vitro* experiments, including those  
46 using human cell lines, and *in vivo* animal studies to be involved in multiple processes with  
47 the potential to adversely affect bone remodelling. These include suppressing osteoblastic  
48 differentiation<sup>(8)</sup>, increasing osteoclastogenesis<sup>(9,10)</sup> and osteoclastic differentiation<sup>(10,11)</sup>, and  
49 activating the transcription factor nuclear factor- $\kappa$ B involved in bone resorption signalling<sup>(11)</sup>.  
50 Thus the potent independent antioxidant activity of carotenoids has the potential to reduce  
51 bone resorption and lower fracture risk<sup>(12)</sup>. *In vitro* studies suggest that carotenoids may also  
52 have direct stimulatory effects on osteoblast proliferation and differentiation<sup>(13,14,15)</sup>.

53  
54 A number of epidemiological studies have investigated links between carotenoids and bone  
55 health. There is some evidence of associations between higher specific carotenoid intakes and  
56 greater bone density<sup>(16,17,18,19)</sup> or lower incidence of hip fractures<sup>(20,21)</sup>, and that higher plasma

57 carotenoid concentrations are associated with greater bone density<sup>(22)</sup> and lower risk of  
58 developing osteoporosis<sup>(23,24)</sup>. However, these studies have had limited generalisability due to  
59 their focus on discrete population groups with small cohort size, and predominantly non-  
60 European participants. The current study thus aimed to explore potential associations of  
61 dietary carotenoid intakes and plasma concentrations ( $\alpha$ -carotene,  $\beta$ -carotene,  $\beta$ -  
62 cryptoxanthin, lutein and zeaxanthin, and lycopene) with bone density status and risk of  
63 osteoporotic fractures in a general UK population of middle-aged and older men and women.  
64 This was achieved using data from a large prospective cohort and performing cross-sectional  
65 analysis of broadband ultrasound attenuation of the heel bone in addition to longitudinal  
66 analysis of the occurrence of incident fractures of the hip, spine, and wrist.

67

## 68 **MATERIALS AND METHODS**

69

### 70 *Study population*

71 The European Prospective Investigation into Cancer and Nutrition (EPIC) was established as  
72 a collaboration involving ten Western Europe countries. EPIC-Norfolk is one of the UK  
73 subcohorts, described in detail previously<sup>(25)</sup>. A baseline health-check was attended by 25,639  
74 free-living men and women aged 39-79 years between 1993 and 1997. A second health-check  
75 was attended by 17,304 of the participants aged 42-82 years between 1998 and 2000. The  
76 Norfolk District Health Authority Ethics Committee approved all procedures and written  
77 informed consent was provided by participants according to the Declaration of Helsinki.

78

### 79 *Exposure variables*

80 Dietary carotenoids: Daily dietary intakes of  $\alpha$ -carotene,  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lutein  
81 and zeaxanthin, lycopene, and pre-formed retinol, were estimated from 7-day food diaries  
82 using the methodology described below for dietary covariates.

83 Plasma carotenoids: Blood was sampled by peripheral venepuncture at baseline, and plasma  
84 fractions with sodium citrate were stored in liquid nitrogen at -196°C until analysed by  
85 reversed-phase high-performance liquid chromatography, to determine plasma  $\alpha$ -carotene,  $\beta$ -  
86 carotene,  $\beta$ -cryptoxanthin, lutein and zeaxanthin, lycopene, and retinol, concentrations<sup>(26)</sup>.

87 Correlation between matched dietary and plasma continuous scale variables was assessed by  
88 Pearson correlation coefficient.

89

## 90 *Covariates*

91 At each health-check height and weight were recorded according to standard protocols<sup>(25)</sup>, and  
92 participants completed a health and lifestyle questionnaire (HLQ). Smoking status was  
93 categorised as *current*, *former*, or *never*; family history of osteoporosis was categorised as *yes*  
94 or *no*; menopausal status (women only) was categorised as *pre-menopausal*, *peri-menopausal*  
95 (*<1 year*), *peri-menopausal (1-5 years)*, or *post-menopausal*; and HRT status (women only)  
96 was categorised as *current*, *former*, or *never* users. Physical activity over the preceding 12  
97 months was assessed using a questionnaire which placed participants into *inactive*,  
98 *moderately inactive*, *moderately active*, and *active* categories by a method validated against  
99 heart-rate monitoring data<sup>(27)</sup>. A 7-day food diary was used to estimate dietary intake of each  
100 participant<sup>(28)</sup>; participants recorded the quantity and type of all food, drink, and supplements  
101 consumed within a 7-day period. Validation has shown this to be more accurate in estimating  
102 dietary nutrient intake than food-frequency questionnaires (FFQ)<sup>(25,29)</sup>. DINER (Data Into  
103 Nutrients for Epidemiological Research) software was used to record the 7-day food diary  
104 information<sup>(30)</sup>, before further translation of the data for nutrient analysis using DINERMO<sup>(31)</sup>.  
105 All data entries were checked by nutritionists trained in use of the system<sup>(31)</sup>. The contribution  
106 of supplements was quantified using the Vitamin and Mineral Supplement (ViMiS)  
107 database<sup>(32)</sup>.

108

## 109 *Outcome variables*

110 Quantitative ultrasound measurements of the calcaneus (heel bone) were taken at the second  
111 health-check using a CUBA (contact ultrasound bone analyser) device (McCue Ultrasonics,  
112 Winchester, UK) following standard protocols. Broadband ultrasound attenuation (BUA;  
113 dB/MHz) measurements were taken at least in duplicate for each foot of the participant, and  
114 the mean of the left and right measures was used for analysis. Each of the five CUBA devices  
115 used in the study was calibrated daily with its physical phantom. In addition, calibration  
116 between devices was checked monthly using a roving phantom. The coefficient of variation  
117 was 3.5%. The CUBA method of bone density assessment has been shown capable of  
118 predicting fracture risk<sup>(33)</sup>, and is cheaper and simpler to conduct in general practice settings  
119 compared to the gold-standard of Dual X-ray absorptiometry (DXA).

120

121 Fracture incidence data were collected by questionnaire at each health-check, and the East  
122 Norfolk Health Authority database (ENCORE) of hospital attendances by Norfolk residents  
123 was also available for data linkage to corroborate self-reported data<sup>(34)</sup>. Incidence of all

124 osteoporotic fractures in the cohort, up to the end of March 2009, was thus determined by  
125 retrieving data using each participant's NHS number and searching for events logged using  
126 International Classification of Diseases 9 and 10 diagnostic codes for osteoporotic hip, spine,  
127 or wrist fractures (the three most common sites of osteoporotic fracture<sup>(35)</sup>).

128

### 129 *Statistical analysis*

130 The High Performance Computing Cluster supported by the Research and Specialist  
131 Computing Support service at the University of East Anglia was used for statistical data  
132 analysis with STATA software (v.13; Stata Corp., Texas). Prior study of this population has  
133 shown sex differences in age-related changes in bone, with greater deterioration evident in  
134 women<sup>(33)</sup>, and thus sex stratification was used in all our analyses. Differences between values  
135 of variables for men and women were tested using t-test for continuous or chi-square for  
136 categorical variables. Any p-values <0.05 were considered to be statistically significant in  
137 individual analyses.

138

### 139 *Cross-sectional analyses*

140 Cross-sectional analyses were conducted using data taken at the second health-check,  
141 combined with dietary or plasma data from the first health-check; 14,803 participants had  
142 complete data for diet and ultrasound analyses, and 4,570 had complete data for plasma and  
143 ultrasound analyses (see **Fig. 1**). Multivariable adjusted regression with ANCOVA was used  
144 to investigate differences in calcaneal BUA across sex-specific dietary intake quintiles of  
145 carotenoid or pre-formed retinol. Trend testing was achieved by treating the median values  
146 for quintiles as a continuous variable<sup>(36)</sup>. Each model was adjusted for important biological,  
147 lifestyle, and dietary factors: age, BMI, family history of osteoporosis, menopausal and HRT  
148 status in women, corticosteroid use, smoking status, physical activity, calcium intake, total  
149 energy intake, and calcium and vitamin D containing supplement use, known to influence  
150 BUA in this population<sup>(33,37,38,39,40)</sup>. To help correct for dietary misreporting, days of food  
151 diary completed, and the ratio of energy intake to estimated energy requirement<sup>(41)</sup>, were  
152 included in all diet models. A number of different models were also tested for comparison  
153 purposes: models using residual adjustment for energy intake<sup>(42)</sup> where we adjusted for energy  
154 prior to defining the nutrient quintiles, in place of using unadjusted nutrient quintiles and  
155 adding energy as a covariate in the regression model; models including dietary fat or fibre as  
156 covariates since evidence suggests these may affect dietary carotenoid absorption<sup>(43)</sup>; models  
157 including a variable quantifying total fruit and vegetable intake; and models combining food

158 and supplement intakes, since excluding supplements may underestimate total nutrient  
159 intake<sup>(44)</sup>. Least square means for each quintile were calculated for all models. To minimise  
160 missing data exclusions, some missing values were recoded: missing menopausal status data  
161 (2.8%) as pre-menopausal if <50 y and never-user of HRT, or postmenopausal if >55 y or a  
162 current or former HRT user; missing smoking status data (0.7%) as former smokers.  
163 Participants missing data for other variables in the multivariable model were excluded. In  
164 separate analyses, calcaneal BUA was investigated across sex-specific plasma concentration  
165 quintiles of specific carotenoids in a model with the covariates described above, but excluding  
166 dietary and supplement use data.

167

### 168 *Longitudinal analyses*

169 Longitudinal analyses used data from the first health-check together with data of hospital  
170 recorded fractures for cohort participants (all cohort hip, spine, and wrist fracture cases up to  
171 31<sup>st</sup> March 2009; follow-up time was calculated as the time between an individual's first  
172 health-check and this cut-off date, or death if earlier); data for diet and fracture analyses were  
173 available for 25,439 participants, and for plasma and fracture analyses for 7,474 participants  
174 (see **Fig. 1**). Prentice-weighted Cox regression was used to investigate associations between  
175 incidence of fractures and sex-specific quintiles of specific carotenoid or retinol dietary  
176 intakes, or plasma concentrations, using the same adjustments as BUA models. Missing  
177 values were treated in the same way as in BUA models. Total risk of hip, spine, or wrist  
178 fracture was calculated as the risk of the first occurrence of one of these fractures; this does  
179 not consider multiple fractures and therefore the sum of the specific-site fracture incidences  
180 does not sum to the total.

181

## 182 **RESULTS**

183 Selected characteristics are summarised in **Table 1**. The significant differences evident  
184 according to sex supports our use of sex-specific model analyses. Mean dietary and  
185 supplement derived intakes of specific carotenoids and pre-formed retinol are shown for the  
186 study population ( $\alpha$ -carotene,  $\beta$ -cryptoxanthin, lutein and zeaxanthin, and lycopene  
187 supplement contributions were negligible; individual means  $\leq$  150 ng/day). However, no UK  
188 Reference Nutrient Intake (RNI) values<sup>(45)</sup> for carotenoids are currently available for  
189 comparison. Retinol plasma concentrations below 10  $\mu$ g/dL are considered to indicate severe  
190 deficiency; 10 to 20  $\mu$ g/dL indicates mild deficiency<sup>(46)</sup>. Three individuals (0.07%) with  
191 plasma carotenoid data in the ultrasound cohort (n=4570) were mildly deficient according to

192 these criteria and one (0.02%) was severely deficient; 11 individuals (0.15%) of the fracture  
193 cohort with plasma data (n=7474) were mildly deficient and three (0.04%) were severely  
194 deficient.

195

#### 196 *Correlations between dietary carotenoid intakes and plasma concentrations*

197 A number of weak, but significant, correlations were identified between dietary carotenoid  
198 intakes and plasma concentrations. Dietary  $\alpha$ -carotene intake was significantly correlated  
199 with plasma  $\alpha$ -carotene concentration in both men ( $r=0.497$ ,  $p<0.001$ ,  $n=2355$ , ultrasound  
200 cohort;  $r=0.496$ ,  $p<0.001$ ,  $n=2380$ , fracture cohort) and women ( $r=0.373$ ,  $p<0.001$ ,  $n=2201$ ,  
201 ultrasound cohort;  $r=0.368$ ,  $p<0.001$ ,  $n=2219$ , fracture case cohort). Dietary  $\beta$ -carotene intake  
202 was significantly correlated with plasma  $\beta$ -carotene concentration in both men ( $r=0.311$ ,  
203  $p<0.001$ ,  $n=2355$ , ultrasound cohort;  $r=0.311$ ,  $p<0.001$ ,  $n=2380$ , fracture cohort) and women  
204 ( $r=0.280$ ,  $p<0.001$ ,  $n=2201$ , ultrasound cohort;  $r=0.275$ ,  $p<0.001$ ,  $n=2219$ , fracture case  
205 cohort). Dietary  $\beta$ -cryptoxanthin intake was significantly correlated with plasma  $\beta$ -  
206 cryptoxanthin concentration in both men ( $r=0.395$ ,  $p<0.001$ ,  $n=2355$ , ultrasound cohort;  
207  $r=0.397$ ,  $p<0.001$ ,  $n=2380$ , fracture cohort) and women ( $r=0.390$ ,  $p<0.001$ ,  $n=2201$ ,  
208 ultrasound cohort;  $r=0.388$ ,  $p<0.001$ ,  $n=2219$ , fracture case cohort). Dietary lutein and  
209 zeaxanthin intake was significantly correlated with plasma lutein and zeaxanthin  
210 concentration in both men ( $r=0.211$ ,  $p<0.001$ ,  $n=2355$ , ultrasound cohort;  $r=0.212$ ,  $p<0.001$ ,  
211  $n=2380$ , fracture cohort) and women ( $r=0.214$ ,  $p<0.001$ ,  $n=2201$ , ultrasound cohort;  $r=0.212$ ,  
212  $p<0.001$ ,  $n=2219$ , fracture cohort). Dietary lycopene intake was significantly correlated with  
213 plasma lycopene concentration in both men ( $r=0.275$ ,  $p<0.001$ ,  $n=2355$ , ultrasound cohort;  
214  $r=0.279$ ,  $p<0.001$ ,  $n=2380$ , fracture cohort) and women ( $r=0.294$ ,  $p<0.001$ ,  $n=2201$ ,  
215 ultrasound cohort;  $r=0.293$ ,  $p<0.001$ ,  $n=2219$ , fracture cohort). Pre-formed dietary retinol  
216 intake was not significantly correlated with plasma retinol concentration in either men  
217 ( $r=0.039$ ,  $p=0.056$ ,  $n=2355$ , ultrasound cohort;  $r=0.038$ ,  $p=0.062$ ,  $n=2380$ , fracture cohort) or  
218 women ( $r=0.013$ ,  $p=0.539$ ,  $n=2201$ , ultrasound cohort;  $r=0.014$ ,  $p=0.516$ ,  $n=2219$ , fracture  
219 cohort).

220

#### 221 *Associations between dietary carotenoid intakes and bone density*

222 Mean calcaneal BUA values stratified by sex and quintiles of specific dietary carotenoid or  
223 pre-formed retinol intakes are shown in **Fig. 2** for the fully adjusted model (unadjusted data  
224 are shown in **Supplementary Table 1**). In women, significant positive linear trends were



225 apparent across quintiles of  $\alpha$ -carotene intake ( $p=0.029$ ),  $\beta$ -carotene intake ( $p=0.003$ ),  $\beta$ -  
226 cryptoxanthin intake ( $p=0.031$ ), combined lutein and zeaxanthin intakes ( $p=0.010$ ), and  
227 lycopene intake ( $p=0.005$ ), for fully adjusted BUA; a significant negative trend was apparent  
228 across retinol intake quintiles ( $p=0.037$ ). Individual significant differences in fully adjusted  
229 BUA in quintiles vs. quintile 1 were also identified for women for quintiles 3 (1.5% higher;  
230  $n=1662$ ,  $p=0.023$ ) and 5 (2.3% higher;  $n=1662$ ,  $p=0.001$ ) for  $\beta$ -carotene intake; and quintiles  
231 4 (1.8% higher;  $n=1663$ ,  $p=0.007$ ) and 5 (1.7% higher;  $n=1662$ ,  $p=0.011$ ) for combined lutein  
232 and zeaxanthin intake (see Fig. 2). The associations described between BUA and carotenoid  
233 intake were no different when food and supplement contributions were combined in the  
234 model, except that with the combined intake data no trend in BUA across retinol quintiles was  
235 evident.

236

### 237 *Associations between plasma carotenoid concentrations and bone density*

238 Analysis of bone density measures according to plasma carotenoid concentration quintiles,  
239 adjusting for all covariates previously described, with the exception of dietary factors, showed  
240 no significant linear trends in BUA for either men or women (see **Fig. 3**). Nevertheless, a  
241 significant difference in fully adjusted BUA was identified for men between quintile 2 and  
242 quintile 1 for plasma lutein and zeaxanthin (3.2% higher;  $n=473$ ,  $p=0.015$ ). Unadjusted data  
243 are shown in **Supplementary Table 2**.

244

### 245 *Associations between dietary carotenoid intakes and fracture risk*

246 Fully adjusted total risk of hip, spine, or wrist fractures showed a significant negative linear  
247 association in men with quintiles of dietary  $\alpha$ -carotene ( $n=11510$ ,  $p=0.040$ ) and  $\beta$ -carotene  
248 ( $n=11510$ ,  $p=0.044$ ) intake. A significant negative trend was also present in women for the  
249 association between wrist fracture risk and lutein and zeaxanthin intake quintiles ( $n=13929$ ,  
250  $p=0.022$ ). **Table 2** shows all trend  $p$  values and quintile 1 vs. 5 comparisons. In men, total hip,  
251 spine, and wrist fracture risk was lower in  $\alpha$ -carotene intake quintile 5 vs. quintile 1 (0.71  
252 (95% CI: 0.53, 0.95);  $p=0.020$ ); and hip fracture risk was lower in  $\alpha$ -carotene intake quintile  
253 3 vs. quintile 1 (0.64 (95% CI: 0.42, 0.99);  $p=0.046$ ), and  $\beta$ -cryptoxanthin intake quintile 5 vs.  
254 quintile 1 (0.65 (95% CI: 0.42, 0.99);  $p=0.046$ ). In women, hip fracture risk was lower in  
255 lutein and zeaxanthin quintile 4 vs. quintile 1, (0.75 (95% CI: 0.58, 0.98);  $p=0.032$ ). A  
256 negative linear association was evident across pre-formed retinol intake quintiles for wrist  
257 fracture risk ( $n=11510$ ,  $p=0.005$ ) in men. Also in men, compared to dietary retinol quintile 1

258 total fracture risk was lower in quintile 5 (0.71 (95% CI: 0.52, 0.97); p=0.033); wrist fracture  
259 risk was lower in quintile 4 (0.44 (95% CI: 0.24, 0.81); p=0.008) and quintile 5 (0.33 (95% CI:  
260 0.17, 0.65); p=0.001); and spine fracture risk was lower in quintile 3 (0.56 (95% CI: 0.33,  
261 0.96); p=0.033).

262  
263 The associations between carotenoid intakes and fracture risk were no different when food  
264 and supplement contributions were combined in the model. However, pre-formed retinol  
265 analyses showed a number of differences when supplements were included. There was no  
266 significant difference in total fracture risk in men between retinol quintile 1 and 5 with the  
267 combined intake data, although the differences in risk between quintile 2 and quintile 1 (0.67  
268 (95% CI: 0.50, 0.90); p=0.008) and quintile 3 and quintile 1 (0.72 (95% CI: 0.53, 0.96);  
269 p=0.028) were significant. Other significant retinol inter-quintile differences, in addition to  
270 those found in diet only analyses, were: wrist fracture risk for men in quintile 3 vs. quintile 1  
271 (0.37 (95% CI: 0.20, 0.69); p=0.002); spine fracture risk for men in quintile 2 (0.31 (95% CI:  
272 0.17, 0.56); p=0.048), quintile 4 (0.59 (95% CI: 0.36, 0.96); p=0.036), and quintile 5 (0.54  
273 (95% CI: 0.30, 0.97); p=0.040) vs. quintile 1; and wrist fracture risk for women in quintile 5  
274 vs. quintile 1 (0.64 (95% CI: 0.43, 0.96); p=0.031).

275  
276 *Associations between plasma carotenoid intakes and fracture risk*

277 In men, but not women, there was a significant linear trend for lower hip fracture risk across  
278 plasma  $\alpha$ -carotene quintiles (p=0.026) and plasma  $\beta$ -carotene quintiles (p=0.027) (see **Table**  
279 **3**). In women, fracture risk was significantly lower in  $\alpha$ -carotene quintile 3 than quintile 1 in  
280 the fully adjusted model for both total fracture (0.70 (95% CI: 0.50, 0.96); p=0.028) and hip  
281 fracture (0.63 (95% CI: 0.41, 0.97); p=0.035); hip fracture risk in women was also lower in  
282 plasma retinol quintile 4 vs. quintile 1 (0.64 (95% CI: 0.41, 0.99); p=0.044).

283  
284 **DISCUSSION**

285 This study has shown significant associations between dietary carotenoid intake and a  
286 quantitative measure of bone density exist in a UK population cohort, after adjustment for  
287 important biological, lifestyle and other dietary covariates. In women, dietary intake quintiles  
288 of dietary  $\alpha$ -carotene,  $\beta$ -carotene,  $\beta$ -cryptoxanthin, combined lutein and zeaxanthin, and  
289 lycopene were all positively linearly associated with calcaneal BUA, such that individuals  
290 with higher intake of each of these carotenoids had higher BUA measurements; pre-formed  
291 retinol was negatively associated. Significant associations of BUA with quintiles of plasma

292 carotenoid concentration were much more limited, with no significant trends apparent, and  
293 only a single inter-quintile association evident for lutein and zeaxanthin in men. Nevertheless,  
294 the magnitude of the effects seen with the dietary analyses is highly relevant to bone health<sup>(33)</sup>,  
295 for example the difference between the median  $\beta$ -carotene intakes in quintiles 5 and 1 for  
296 women (3462 and 792  $\mu\text{g}/\text{day}$ ) could be accounted for by the additional intake of just one  
297 small carrot and yet is associated with 2.3% greater BUA. Moreover, this study included  
298 longitudinal analysis of the risk of osteoporotic fracture, demonstrating significant linear  
299 trends for lower risk of wrist fracture across dietary retinol quintiles in men and dietary lutein  
300 and zeaxanthin quintiles in women, and lower hip fracture risk across plasma  $\alpha$ - and  $\beta$ -  
301 carotene concentration quintiles in men. A number of significant differences in fracture risk  
302 were also shown between individual quintiles of dietary carotenoid intake or plasma  
303 concentration. These include lower total hip, spine, and wrist fracture risk in the highest  
304 versus lowest intake quintiles of dietary  $\alpha$ -carotene in men, as well as lower hip fracture risk  
305 in the highest  $\beta$ -cryptoxanthin intake quintile in men and with higher lutein and zeaxanthin  
306 intake in women. This study is to our knowledge the first comprehensive epidemiological  
307 analysis of the relevance of specific dietary and plasma carotenoids with bone density status  
308 and risk of osteoporotic fractures in a large European mixed-sex cohort. The findings thus  
309 provide an important advance to the current research evidence.

310  
311 Inclusion of a variable quantifying total fruit and vegetable intake in our regression models  
312 caused an attenuation of the associations of carotenoids with BUA (data not shown),  
313 suggesting potential effects of other components in fruits and vegetables in addition to  
314 carotenoids. However, despite this attenuation, the associations of carotenoids with BUA  
315 remained significant, indicating that the effects of carotenoids independent of total fruit and  
316 vegetable consumption are important. The mechanisms by which carotenoids may influence  
317 bone metabolism are not fully understood, although a number of theories have been proposed.  
318 Some, but not all carotenoids have pro-vitamin A activity and therefore may have effects on  
319 bone health via this mediator<sup>(7)</sup>, all have antioxidant activity likely to be protective of bone<sup>(12)</sup>,  
320 and members of the carotenoid family have also been shown experimentally to have direct  
321 stimulatory effects on osteoblast proliferation and differentiation at physiologically relevant  
322 concentrations<sup>(20)</sup>.

323

324 Our results suggest that the effects on bone health may differ for specific carotenoids, a

325 situation also evident in previous carotenoid research<sup>(16,17,21)</sup>. In the Framingham Osteoporosis  
326 Study, participants had lower risk of hip fracture or non-vertebral fracture if they were in the  
327 highest tertile of total carotenoid or lycopene intake, respectively, but no associations were  
328 evident for  $\alpha$ - or  $\beta$ - carotene,  $\beta$ -cryptoxanthin, or lutein and zeaxanthin<sup>(21)</sup>. It is possible that  
329 this occurrence may be due to differing ranges and magnitude of intakes for different  
330 carotenoids. Indeed, specific carotenoids are found in differing concentrations in different  
331 fruits and vegetables: unpublished composition analysis conducted for the EPIC-Norfolk  
332 cohort showed  $\alpha$ -carotene predominantly sourced from root vegetables, especially carrots  
333 (65% of total);  $\beta$ -carotene also sourced significantly from carrots (35%) and other root, dark  
334 green leafy, and fruiting vegetables;  $\beta$ -cryptoxanthin from citrus fruits, mainly oranges; lutein  
335 mainly from peas (16%), with broccoli, cabbages, and other leafy vegetables providing  
336 approximately 10% each; zeaxanthin mostly from citrus fruits (19% from oranges), apples  
337 (>10%), and green leafy and fruiting vegetables; and lycopene from fruiting vegetables,  
338 mainly tomatoes (35%) and tinned beans in tomato sauce (15%). However, it is also possible  
339 that underlying mechanisms of action may be different and more potent for some carotenoids  
340 compared to others. We know that all carotenoids are capable of antioxidant activity with  
341 potential to counter the negative influence of oxidative stress on bone health<sup>(12)</sup>, but others,  
342 for example  $\beta$ -cryptoxanthin<sup>(7)</sup>, have been shown to have direct effects on bone metabolism.  
343 The fact that differing magnitudes of effects appear to exist leads us to speculate that the  
344 universal antioxidant activity may not be the dominant mechanism for all carotenoids.  
345 Another factor is the potential for differential absorption which may affect interpretation, but  
346 makes the plasma data presented in this study particularly useful. Indeed, although low serum  
347 concentrations of  $\alpha$ - and  $\beta$ -carotene, lycopene,  $\beta$ -cryptoxanthin, and zeaxanthin have been  
348 demonstrated in a study of Italian women with osteoporosis, and likewise for lycopene and  $\beta$ -  
349 cryptoxanthin in US women<sup>(12)</sup>, only one small Japanese study has been published detailing a  
350 longitudinal analysis of serum carotenoids and bone health, observing lower risk of  
351 osteoporosis development with higher serum  $\beta$ -carotene and  $\beta$ -cryptoxanthin<sup>(23)</sup>.

352

353 Our findings showed correlation between dietary intakes of carotenoids and their plasma  
354 concentrations, corroborating previous studies<sup>(47,48)</sup>. The relatively weak nature of these  
355 correlations has also been noted previously and attributed to various influences including  
356 seasonality, obesity, and day to day variation in an individual's dietary intake and plasma  
357 concentrations<sup>(49)</sup>. No correlation was identified for dietary retinol intake and plasma retinol

358 concentration. Between extremes of severe deficiency and excess, plasma retinol is tightly  
359 homeostatically controlled<sup>(50)</sup> which could explain the lack of correlation with dietary intake  
360 in our data<sup>(44)</sup>. Our results for bone density status in women confirm the detrimental effects of  
361 higher dietary vitamin A retinol-equivalent intakes reported elsewhere<sup>(51)</sup>, and although not  
362 directly replicated in associations of diet and fracture risk, plasma retinol data corroborates  
363 this with a lower comparative risk of fracture in quintile 4 vs. 1 than quintile 5 vs. 1.

364

### 365 *Strengths and Limitations*

366 This study provides important observational evidence of associations between specific  
367 carotenoid dietary intakes or plasma concentrations and bone health, in the largest European  
368 study on this subject to date. Nevertheless, we were limited in the data available for analysis.  
369 In particular, plasma carotenoid data was only available for a smaller subset of the full cohort  
370 which may have reduced the power of our analyses. In terms of anthropometric indices, blood  
371 pressure and blood lipids, the EPIC-Norfolk cohort is representative of the UK population<sup>(25)</sup>.  
372 We acknowledge that hospital admission data may underestimate fracture incidence,  
373 particularly of spine fractures, and this could differ by sex. Furthermore, record linkage used  
374 to determine fracture cases precluded the ability to discriminate between low and high trauma  
375 fractures. The influence of this on our findings is expected to be small, as the proportion of  
376 high trauma fracture cases in this demographic group is likely to be low<sup>(52)</sup>. It is an advantage  
377 of our study that data for both sexes were analysed since different effects were evident in men  
378 and women, a situation often apparent in bone health. For example, data from a Chinese  
379 cohort study showed that total carotenoid and  $\alpha$ - or  $\beta$ -carotene and lutein/zeaxanthin were all  
380 inversely associated with hip fracture risk in men, but no significant associations were  
381 identified for women<sup>(20)</sup>. Our data similarly shows the strongest associations for fracture risk  
382 in men, although the ultrasound data is conversely more significant in women. Sex  
383 differences in fruit and vegetable consumption or reporting may be responsible for differences  
384 in the associations with bone identified here and in previous studies<sup>(53)</sup>, although since  
385 carotenoids are fat-soluble the different adiposity of men and women could also influence  
386 their bioavailability and effects.

387

388 Accurate estimation of dietary nutrient intake is critical to the validity of the findings of this  
389 type of study. The quantitative 7-day food diary method used here has been validated  
390 previously and is expected to provide more precise dietary intake figures compared to FFQs  
391 or 24-hour recall methods<sup>(31)</sup>. Dietary and lifestyle data used in longitudinal analyses were

392 collected at baseline and thus variation in food consumption and lifestyle behaviours could  
393 have influenced our findings. We have focused our attention on models using nutrient  
394 composition data from food intake only, thus potentially underestimate total nutrient intakes  
395 including supplements. Carotenoids from supplements have been suggested to have greater  
396 bioavailability than those derived from foods and thus may make an important contribution to  
397 plasma carotenoid concentrations<sup>(6)</sup>. In this cohort, no fundamental differences were apparent  
398 between models combining food and supplement contributions and those using food  
399 contributions only, although some additional inter-quintile differences in fracture risk were  
400 apparent for pre-formed retinol analyses when supplements were included, a likely result of  
401 extension of the upper intake range. Previous studies have shown absorption of carotenoids is  
402 positively associated with dietary lipid intake, in particular monounsaturated fatty acids, and  
403 may also be affected by dietary fibre<sup>(43)</sup>. However, in our dietary BUA model, the effect of  
404 inclusion of dietary fat or fibre was minimal (data not shown). Food preparation may also  
405 affect carotenoid stability, which combined with food carotenoid content variability due to  
406 cultivation practices, season, and ripening status<sup>(6)</sup> may have reduced the accuracy of  
407 carotenoid intake estimations from the food diaries used in this study. In addition to the direct  
408 influence of dietary carotenoid intake, plasma carotenoid concentrations are influenced by the  
409 rate of uptake into, and efflux from, other tissues<sup>(54)</sup>. Inter-individual variability in these  
410 processes may thus make plasma concentrations less reliable as a biomarker of dietary intake  
411 and may partly explain the discrepancies between diet and plasma results presented here.  
412 Indeed it has been suggested that adipose tissue concentrations are likely to give a better  
413 indication of long-term carotenoid status<sup>(55,56)</sup>. Metabolism and absorption of carotenoids and  
414 thus their measurable plasma concentrations may also be influenced by other physiological or  
415 lifestyle factors, including inflammatory profile<sup>(57)</sup>, adiposity<sup>(58)</sup>, and smoking<sup>(59)</sup>.  
416 Inflammatory profile may be particularly relevant to the cohort analysed here, since chronic  
417 low-grade inflammation is common in older populations, and thus should be investigated by  
418 future studies with reference to bone health.

419

## 420 **Conclusions**

421 This study has shown positive associations of dietary intake and plasma concentration of  
422 specific carotenoids with a quantitative ultrasound measure of bone density status and lower  
423 fracture risk in a general population group. The results are insufficiently consistent to make  
424 definitive conclusions, but are nevertheless supportive of the hypothesis that dietary intakes of  
425 fruit and vegetables rich in carotenoids and other antioxidants are beneficial to adult bone,

426 which once confirmed by clinical trial may provide a valuable approach for public health  
427 strategies to improve bone health in our ageing population.

428

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431

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435

#### 436 **Conflict of Interest**

437 None.

438

#### 439 **Authorship**

440 AAW developed the research question with RPGH who analysed the data and drafted the  
441 manuscript. AAW also arranged data collection in conjunction with RNL, who implemented  
442 record linkage. MAHL and AAM prepared dietary and supplement data. K-TK is principal  
443 investigator of the EPIC-Norfolk Study. All authors contributed to data interpretation, review  
444 of the manuscript and its approval.

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**Fig. 1** – Study population flowchart.

**Fig. 2** – Fully adjusted calcaneal BUA of 6490 men and 8313 women from the EPIC-Norfolk cohort, stratified by sex and dietary intake quintiles of specific carotenoids or retinol.

Full Model: age, BMI, family history of osteoporosis, menopausal and HRT status in women, corticosteroid use, smoking status, physical activity, calcium intake, total energy intake, calcium and vitamin D containing supplement use, days of food diary completed, and the ratio of energy intake to estimated energy requirement.

Retinol as pre-formed intake only.

Data plotted as mean  $\pm$  SD. \* = P value  $<0.05$  vs. Quintile 1; \*\* = P value  $<0.01$ , according to ANCOVA.

**$\alpha$ -carotene intake (mean  $\pm$  SD;  $\mu\text{g/day}$ ) per quintile (Q).** *Men:* mean,  $406 \pm 363$ ; Q1,  $40 \pm 36$ ; Q2,  $188 \pm 41$ ; Q3,  $339 \pm 46$ ; Q4,  $515 \pm 60$ ; Q5,  $948 \pm 399$ . *Women:* mean,  $403 \pm 356$ ; Q1,  $50 \pm 40$ ; Q2,  $196 \pm 40$ ; Q3,  $337 \pm 44$ ; Q4,  $509 \pm 60$ ; Q5,  $922 \pm 416$ .

**$\beta$ -carotene intake (mean  $\pm$  SD;  $\mu\text{g/day}$ ) per quintile (Q).** *Men:* mean,  $2069 \pm 1207$ ; Q1,  $757 \pm 254$ ; Q2,  $1366 \pm 146$ ; Q3,  $1871 \pm 150$ ; Q4,  $2472 \pm 212$ ; Q5,  $3877 \pm 1199$ . *Women:* mean,  $2036 \pm 1206$ ; Q1,  $758 \pm 247$ ; Q2,  $1352 \pm 139$ ; Q3,  $1832 \pm 142$ ; Q4,  $2428 \pm 206$ ; Q5,  $3813 \pm 1294$ .

**$\beta$ -cryptoxanthin intake (mean  $\pm$  SD;  $\mu\text{g/day}$ ) per quintile (Q).** *Men:* mean,  $406 \pm 569$ ; Q1,  $15 \pm 9$ ; Q2,  $56 \pm 17$ ; Q3,  $168 \pm 52$ ; Q4,  $447 \pm 123$ ; Q5,  $1343 \pm 622$ . *Women:* mean,  $455 \pm 570$ ; Q1,  $25 \pm 13$ ; Q2,  $89 \pm 29$ ; Q3,  $243 \pm 61$ ; Q4,  $540 \pm 124$ ; Q5,  $1380 \pm 613$ .

**Lutein and zeaxanthin intake (mean  $\pm$  SD;  $\mu\text{g/day}$ ) per quintile (Q).** *Men:* mean,  $1095 \pm 870$ ; Q1,  $334 \pm 127$ ; Q2,  $642 \pm 72$ ; Q3,  $899 \pm 80$ ; Q4,  $1244 \pm 130$ ; Q5,  $2355 \pm 1144$ . *Women:* mean,  $1136 \pm 930$ ; Q1,  $363 \pm 123$ ; Q2,  $659 \pm 71$ ; Q3,  $915 \pm 80$ ; Q4,  $1263 \pm 132$ ; Q5,  $2482 \pm 1256$ .

**Lycopene intake (mean  $\pm$  SD;  $\mu\text{g/day}$ ) per quintile (Q).** *Men:* mean,  $1428 \pm 1671$ ; Q1,  $126 \pm 117$ ; Q2,  $556 \pm 121$ ; Q3,  $1028 \pm 160$ ; Q4,  $1693 \pm 242$ ; Q5,  $3735 \pm 2416$ . *Women:* mean,  $1289 \pm 1365$ ; Q1,  $147 \pm 116$ ; Q2,  $524 \pm 104$ ; Q3,  $932 \pm 134$ ; Q4,  $1546 \pm 233$ ; Q5,  $3297 \pm 1764$ .

**Retinol intake (mean  $\pm$  SD;  $\mu\text{g/day}$ ) per quintile (Q).** *Men:* mean,  $773 \pm 1297$ ; Q1,  $177 \pm 52$ ; Q2,  $295 \pm 29$ ; Q3,  $403 \pm 35$ ; Q4,  $561 \pm 68$ ; Q5,  $2431 \pm 2212$ . *Women:* mean,  $622 \pm 1159$ ;

Q1, 138 ± 41; Q2, 233 ± 22; Q3, 309 ± 25; Q4, 425 ± 45; Q5, 2004 ± 2069.

**Fig. 3** – Fully adjusted calcaneal BUA of 2362 men and 2208 women from the EPIC-Norfolk cohort, stratified by sex and plasma concentration quintiles of specific carotenoids or retinol.

Full Model: age, BMI, smoking status, physical activity, family history of osteoporosis, menopausal and HRT status in women, and corticosteroid use.

Data plotted as mean ± SD. \* = P value <0.05 vs. Quintile 1, according to ANCOVA.

**α-carotene (mean ± SD; µg/dL) per quintile (Q).** *Men:* mean, 7.7 ± 5.7; Q1, 2.5 ± 0.8; Q2, 4.6 ± 0.5; Q3, 6.5 ± 0.6; Q4, 8.9 ± 0.9; Q5, 16.0 ± 7.3. *Women:* mean, 10.2 ± 6.9; Q1, 3.5 ± 1.1; Q2, 6.1 ± 0.6; Q3, 8.5 ± 0.8; Q4, 12.0 ± 1.2; Q5, 20.8 ± 7.2.

**β-carotene (mean ± SD; µg/dL) per quintile (Q).** *Men:* mean, 20.0 ± 12.4; Q1, 7.9 ± 2.1; Q2, 12.9 ± 1.2; Q3, 17.4 ± 1.5; Q4, 23.5 ± 2.1; Q5, 38.3 ± 14.1. *Women:* mean, 26.7 ± 16.2; Q1, 10.7 ± 2.8; Q2, 17.4 ± 1.6; Q3, 23.4 ± 1.7; Q4, 31.0 ± 2.6; Q5, 50.9 ± 18.3.

**β-cryptoxanthin (mean ± SD; µg/dL) per quintile (Q).** *Men:* mean, 7.6 ± 6.1; Q1, 2.2 ± 0.7; Q2, 4.0 ± 0.5; Q3, 6.0 ± 0.6; Q4, 8.8 ± 1.0; Q5, 17.0 ± 7.0. *Women:* mean, 10.8 ± 8.6; Q1, 3.2 ± 0.9; Q2, 5.7 ± 0.7; Q3, 8.5 ± 0.9; Q4, 12.5 ± 1.6; Q5, 23.9 ± 10.3.

**Lutein & zeaxanthin (mean ± SD; µg/dL) per quintile (Q).** *Men:* mean, 19.8 ± 8.5; Q1, 10.5 ± 2.0; Q2, 14.9 ± 1.0; Q3, 18.2 ± 1.0; Q4, 22.8 ± 1.6; Q5, 32.8 ± 7.8. *Women:* mean, 21.1 ± 9.4; Q1, 11.0 ± 2.0; Q2, 15.5 ± 1.1; Q3, 19.5 ± 1.1; Q4, 24.0 ± 1.6; Q5, 35.5 ± 8.9.

**Lycopene (mean ± SD; µg/dL) per quintile (Q).** *Men:* mean, 30.0 ± 17.7; Q1, 10.3 ± 3.5; Q2, 19.0 ± 2.1; Q3, 26.7 ± 2.4; Q4, 36.6 ± 3.5; Q5, 57.5 ± 14.4. *Women:* mean, 32.0 ± 18.3; Q1, 10.9 ± 3.5; Q2, 20.4 ± 2.4; Q3, 28.9 ± 2.6; Q4, 39.4 ± 3.6; Q5, 60.3 ± 14.0.

**Retinol (mean ± SD; µg/dL) per quintile (Q).** *Men:* mean, 52.8 ± 12.2; Q1, 37.9 ± 4.8; Q2, 46.1 ± 1.7; Q3, 51.4 ± 1.7; Q4, 57.7 ± 2.1; Q5, 70.8 ± 9.6. *Women:* mean, 49.7 ± 12.0; Q1, 35.0 ± 3.9; Q2, 43.1 ± 1.6; Q3, 48.5 ± 1.6; Q4, 54.6 ± 1.9; Q5, 67.4 ± 9.6.

**Table 1** – Selected characteristics of the ultrasound analysis cohort (n=14803) and the fracture cohort (n=25,439) from EPIC-Norfolk, stratified by sex.

Selected Characteristics	Ultrasound cohort <sup>a</sup>			Fracture cohort <sup>b</sup>		
	Men	Women	P <sup>c</sup>	Men	Women	P <sup>c</sup>
	n=6490	n=8313		n=11510	n=13929	
Age (years)	62.9 ± 9.0	61.6 ± 9.0	<0.001	59.7 ± 9.3	58.9 ± 9.3	<0.001
BMI (kg/m <sup>2</sup> )	26.9 ± 3.3	26.5 ± 4.4	<0.001	26.5 ± 3.3	26.2 ± 4.3	<0.001



BUA (dB/MHz)	90.1 ± 17.5	72.1 ± 16.5	<0.001	--	--	
Dietary derived intake						
Alpha-carotene (µg/day)	406 ± 363	403 ± 356	0.601	390 ± 366	389 ± 387	0.862
Beta-carotene (µg/day)	2069 ± 1207	2036 ± 1206	0.108	1988 ± 1220	1958 ± 1291	0.061
Beta-cryptoxanthin (µg/day)	406 ± 569	455 ± 570	<0.001	378 ± 574	426 ± 557	<0.001
Lutein & zeaxanthin (µg/day)	1095 ± 870	1136 ± 930	0.006	1048 ± 884	1087 ± 1013	0.001
Lycopene (µg/day)	1428 ± 1671	1289 ± 1365	<0.001	1385 ± 1750	1238 ± 1470	0.001
Retinol <sup>d</sup> (µg/day)	773 ± 1297	622 ± 1159	<0.001	780 ± 1571	610 ± 1239	<0.001
Calcium intake (mg/day)	942 ± 289	784 ± 243	<0.001	919 ± 298	766 ± 249	<0.001
Total energy intake (kcal/day)	2285 ± 502	1731 ± 379	<0.001	2240 ± 527	1694 ± 395	<0.001
Supplement derived intake						
Beta-carotene (µg/day)	39 ± 673	68 ± 833	0.023	41 ± 706	65 ± 804	0.012
Retinol (µg/day)	202 ± 402	256 ± 421	<0.001	180 ± 383	238 ± 417	<0.001
Ca containing supplement use	102 (1.6)	505 (6.1)	<0.001	165 (1.4)	746 (5.4)	<0.001
VitD containing supplement use	1621 (25.0)	2773 (33.4)	<0.001	2570 (22.3)	4273 (30.7)	<0.001
Plasma concentration						
Alpha-carotene (µg/dL)	7.7 ± 5.7 <sup>e</sup>	10.2 ± 6.9 <sup>f</sup>	<0.001	7.2 ± 5.6 <sup>g</sup>	9.7 ± 7.4 <sup>h</sup>	<0.001
Beta-carotene (µg/dL)	20.0 ± 12.4 <sup>e</sup>	26.7 ± 16.2 <sup>f</sup>	<0.001	19.2 ± 12.0 <sup>g</sup>	25.7 ± 16.1 <sup>h</sup>	<0.001
Beta-cryptoxanthin (µg/dL)	7.6 ± 6.1 <sup>e</sup>	10.8 ± 8.6 <sup>f</sup>	<0.001	7.2 ± 5.9 <sup>g</sup>	10.5 ± 9.0 <sup>h</sup>	<0.001
Lutein & zeaxanthin (µg/dL)	19.8 ± 8.5 <sup>e</sup>	21.1 ± 9.4 <sup>f</sup>	<0.001	19.2 ± 8.5 <sup>g</sup>	20.9 ± 9.6 <sup>h</sup>	<0.001
Lycopene (µg/dL)	30.0 ± 17.7 <sup>e</sup>	32.0 ± 18.3 <sup>f</sup>	<0.001	29.0 ± 19.6 <sup>g</sup>	30.7 ± 18.4 <sup>h</sup>	<0.001
Retinol (µg/dL)	52.8 ± 12.2 <sup>e</sup>	49.7 ± 12.0 <sup>f</sup>	<0.001	52.5 ± 12.8 <sup>g</sup>	50.1 ± 12.7 <sup>h</sup>	<0.001
Smoking			<0.001			<0.001
Current	555 (8.6)	721 (8.7)		1471 (12.8)	1691 (12.1)	
Former	3609 (55.6)	2697 (32.4)		6233 (54.2)	4446 (31.9)	
Never	2326 (35.8)	4895 (58.9)		3806 (33.1)	7792 (55.9)	
Physical activity			<0.001			<0.001
Inactive	1792 (27.6)	2188 (26.3)		3549 (30.8)	4232 (30.4)	
Moderately inactive	1626 (25.1)	2714 (32.6)		2833 (24.6)	4469 (32.1)	
Moderately active	1615 (24.9)	1990 (23.9)		2650 (23.0)	3096 (22.2)	
Active	1457 (22.5)	1421 (17.1)		2478 (21.5)	2132 (15.3)	
Family history of osteoporosis			0.001			0.001
No	6313 (97.3)	7792 (93.7)		11203 (97.3)	13120 (96.6)	
Yes	177 (2.7)	521 (6.3)		307 (2.7)	809 (3.4)	
Corticosteroid use			0.391			0.077
Current or former (>3 months)	272 (4.2)	426 (5.1)		351 (3.0)	480 (3.4)	
Never (<3 months)	6218 (95.8)	7887 (94.9)		11159 (97.0)	13449 (96.6)	
Menopausal status						
Pre-menopausal	--	484 (5.8)		--	2342 (16.8)	
Peri-menopausal (<1 y)	--	272 (3.3)		--	754 (5.4)	
Peri-menopausal (1-5 y)	--	1461 (17.6)		--	2494 (17.9)	

Post-menopausal	--	6096 (73.3)	--	8339 (59.9)
HRT				
Current	--	1764 (21.2)	--	2824 (20.3)
Former	--	1490 (17.9)	--	1582 (11.4)
Never	--	5059 (60.9)	--	9523 (68.4)

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<sup>a</sup>Ultrasound group characteristics at 2<sup>nd</sup> health-check (time of ultrasound). <sup>b</sup>Fracture group characteristics at 1<sup>st</sup> health-check or time of consent. <sup>c</sup>Differences between men and women using t-test for continuous or chi-square for categorical variables. <sup>d</sup>Retinol as pre-formed intake only. <sup>e</sup>n=2362. <sup>f</sup>n=2208. <sup>g</sup>n=3817. <sup>h</sup>n=3657. Values are mean  $\pm$  SD or frequency (percentage).

**Table 2** – Risk of hip, spine, and wrist fractures in the EPIC-Norfolk cohort population at follow-up *versus* baseline, stratified by sex and dietary intake quintiles of specific carotenoids or retinol (Prentice-weighted Cox proportional hazard ratio and 95% CI, quintile 1 as reference).

<b>Men</b>		<b>Fracture incidence and risk</b>							
		<b>Total fractures</b>		<b>Hip fracture</b>		<b>Spine fracture</b>		<b>Wrist fracture</b>	
(µg/day)		Incidence	Hazard ratio	Incidence	Hazard ratio	Incidence	Hazard ratio	Incidence	Hazard ratio
Alpha-carotene intake	Q1	111/2302	1.00 (ref)	57/2302	1.00 (ref)	33/2302	1.00 (ref)	28/2302	1.00 (ref)
(µg/day)	Q5	85/2302	0.71 (0.53-0.95)*	44/2302	0.71 (0.47-1.06)	22/2302	0.61 (0.35-1.07)	23/2302	0.79 (0.45-1.40)
	Total	467/11510	<i>P trend</i> = 0.040	228/11510	<i>P trend</i> = 0.111	149/11510	<i>P trend</i> = 0.096	115/11510	<i>P trend</i> = 0.730
Beta-carotene intake	Q1	103/2302	1.00 (ref)	55/2302	1.00 (ref)	31/2302	1.00 (ref)	23/2302	1.00 (ref)
(µg/day)	Q5	85/2302	0.77 (0.57-1.03)	39/2302	0.70 (0.46-1.07)	27/2302	0.78 (0.46-1.33)	25/2302	0.93 (0.52-1.68)
	Total	467/11510	<i>P trend</i> = 0.044	228/11510	<i>P trend</i> = 0.181	149/11510	<i>P trend</i> = 0.132	115/11510	<i>P trend</i> = 0.540
Beta-cryptoxanthin intake	Q1	102/2302	1.00 (ref)	59/2302	1.00 (ref)	22/2302	1.00 (ref)	25/2302	1.00 (ref)
(µg/day)	Q5	79/2302	0.80 (0.59-1.08)	36/2302	0.65 (0.42-0.99)*	29/2302	1.38 (0.78-2.44)	18/2302	0.69 (0.37-1.28)
	Total	467/11510	<i>P trend</i> = 0.115	228/11510	<i>P trend</i> = 0.190	149/11510	<i>P trend</i> = 0.846	115/11510	<i>P trend</i> = 0.088
Lutein & zeaxanthin	Q1	96/2302	1.00 (ref)	48/2302	1.00 (ref)	31/2302	1.00 (ref)	26/2302	1.00 (ref)
(µg/day)	Q5	81/2302	0.82 (0.61-1.12)	41/2302	0.90 (0.56-1.38)	25/2302	0.74 (0.43-1.27)	20/2302	0.70 (0.39-1.27)
	Total	467/11510	<i>P trend</i> = 0.143	228/11510	<i>P trend</i> = 0.929	149/11510	<i>P trend</i> = 0.131	115/2302	<i>P trend</i> = 0.230
Lycopene	Q1	109/2303	1.00 (ref)	61/2303	1.00 (ref)	33/2303	1.00 (ref)	23/2303	1.00 (ref)
(µg/day)	Q5	69/2302	0.79 (0.58-1.07)	35/2302	0.85 (0.56-1.31)	19/2302	0.67 (0.38-1.20)	19/2302	0.83 (0.44-1.56)
	Total	467/11510	<i>P trend</i> = 0.137	228/11510	<i>P trend</i> = 0.386	149/11510	<i>P trend</i> = 0.298	115/11510	<i>P trend</i> = 0.552
Retinol	Q1	105/2302	1.00 (ref)	41/2302	1.00 (ref)	40/2302	1.00 (ref)	29/2302	1.00 (ref)
(µg/day)	Q5	467/11510	0.71 (0.52-0.97)*	44/2302	1.11 (0.70-1.77)	28/2302	0.61 (0.36-1.05)	16/2302	0.33 (0.17-0.65)**
	Total	260/6538	<i>P trend</i> = 0.106	228/11510	<i>P trend</i> = 0.966	149/11510	<i>P trend</i> = 0.404	115/11510	<i>P trend</i> = 0.005

**Women**

Alpha-carotene intake (µg/day)	Q1	233/2786	1.00 (ref)	142/2786	1.00 (ref)	42/2786	1.00 (ref)	73/2786	1.00 (ref)
	Q5	223/2785	0.97 (0.80-1.16)	127/2785	0.89 (0.69-1.13)	53/2785	1.42 (0.94-2.15)	72/2785	0.98 (0.70-1.37)
	Total	1165/13929	<i>P trend = 0.372</i>	665/13929	<i>P trend = 0.172</i>	249/13929	<i>P trend = 0.129</i>	398/13929	<i>P trend = 0.777</i>
Beta-carotene intake (µg/day)	Q1	254/2786	1.00 (ref)	153/2786	1.00 (ref)	48/2786	1.00 (ref)	84/2786	1.00 (ref)
	Q5	218/2785	0.88 (0.73-1.07)	121/2785	0.81 (0.63-1.04)	54/2785	1.29 (0.86-1.92)	73/2785	0.87 (0.63-1.20)
	Total	1165/13929	<i>P trend = 0.340</i>	665/13929	<i>P trend = 0.203</i>	249/13929	<i>P trend = 0.224</i>	398/13929	<i>P trend = 0.558</i>
Beta-cryptoxanthin intake (µg/day)	Q1	260/2786	1.00 (ref)	154/2786	1.00 (ref)	60/2786	1.00 (ref)	86/2786	1.00 (ref)
	Q5	223/2785	0.89 (0.74-1.07)	120/2785	0.82 (0.64-1.04)	45/2785	0.85 (0.57-1.26)	84/2785	1.00 (0.73-1.36)
	Total	1165/13929	<i>P trend = 0.646</i>	665/13929	<i>P trend = 0.293</i>	249/13929	<i>P trend = 0.831</i>	398/13929	<i>P trend = 0.708</i>
Lutein & zeaxanthin (µg/day)	Q1	246/2786	1.00 (ref)	141/2786	1.00 (ref)	52/2786	1.00 (ref)	88/2786	1.00 (ref)
	Q5	221/2785	0.93 (0.78-1.13)	134/2785	1.01 (0.79-1.29)	46/2785	1.00 (0.66-1.50)	64/2785	0.72 (0.52-1.00)
	Total	1165/13929	<i>P trend = 0.123</i>	665/13929	<i>P trend = 0.545</i>	249/13929	<i>P trend = 0.884</i>	398/13929	<i>P trend = 0.022</i>
Lycopene (µg/day)	Q1	267/2787	1.00 (ref)	151/2787	1.00 (ref)	58/2787	1.00 (ref)	87/2787	1.00 (ref)
	Q5	185/2785	0.92 (0.76-1.12)	92/2785	0.89 (0.68-1.16)	43/2785	1.08 (0.72-1.61)	72/2785	0.99 (0.71-1.36)
	Total	1165/13929	<i>P trend = 0.150</i>	665/13929	<i>P trend = 0.097</i>	249/13929	<i>P trend = 0.475</i>	398/13929	<i>P trend = 0.700</i>
Retinol (µg/day)	Q1	222/2786	1.00 (ref)	120/2786	1.00 (ref)	57/2786	1.00 (ref)	84/2786	1.00 (ref)
	Q5	240/2785	0.93 (0.76-1.14)	149/2785	1.00 (0.77-1.31)	44/2785	0.68 (0.44-1.05)	72/2785	0.81 (0.57-1.15)
	Total	1165/13929	<i>P trend = 0.449</i>	665/13929	<i>P trend = 0.864</i>	249/13929	<i>P trend = 0.171</i>	398/13929	<i>P trend = 0.194</i>

Total risk is for the first occurrence of one of these fractures and therefore the sum of the specific-site fracture incidences do not sum to the total.

Full model: age, BMI, family history of osteoporosis, menopausal and HRT status in women, corticosteroid use, smoking status, physical activity, calcium intake, total energy intake, calcium and vitamin D containing supplement use, days of food diary completed, and the ratio of energy intake to estimated energy requirement.

Retinol as pre-formed intake only.

\*  $p < 0.05$ ; \*\*  $p < 0.01$  versus quintile 1, according to ANCOVA.

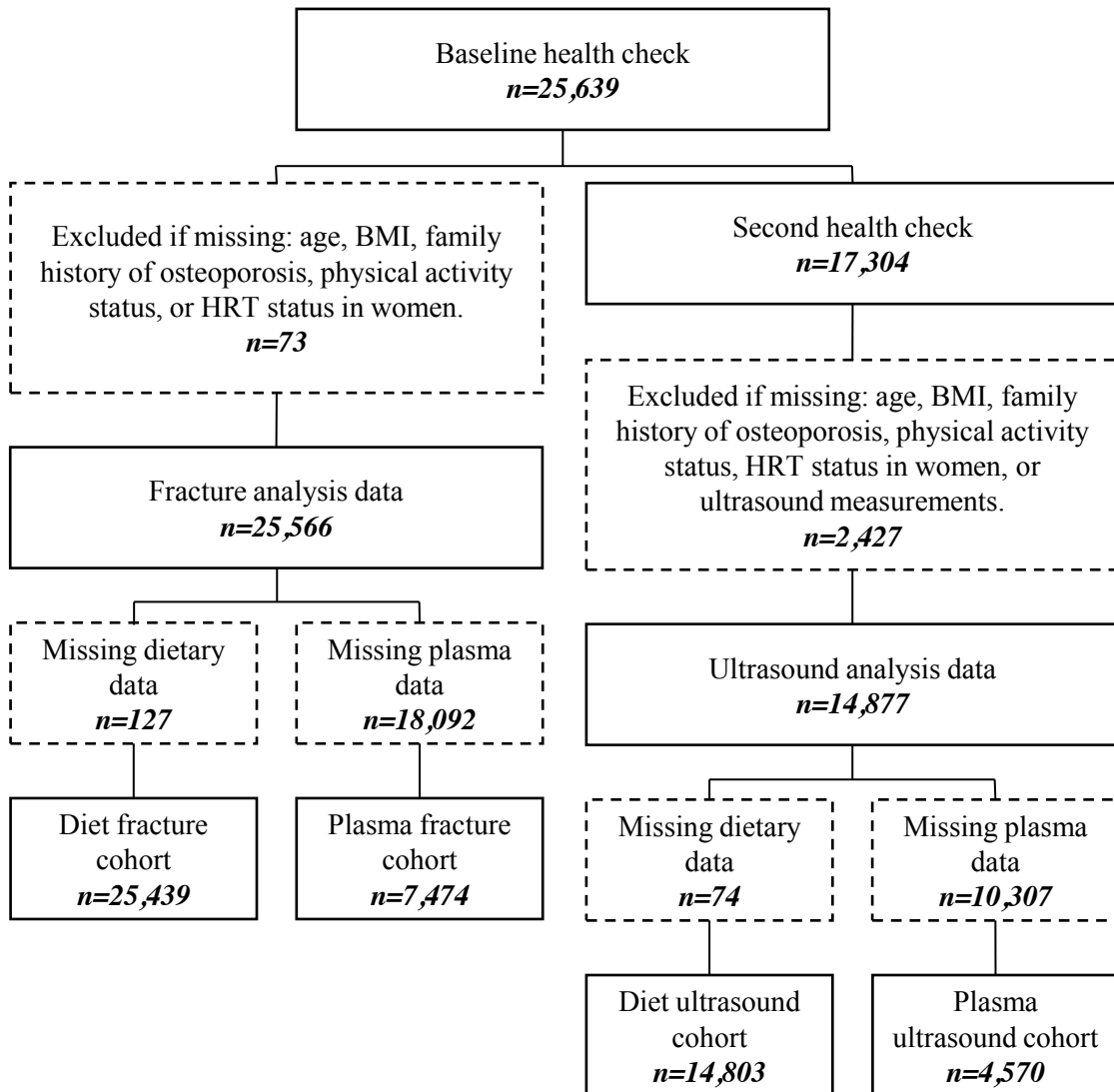
**Table 3** – Risk of hip, spine, and wrist fractures in the EPIC-Norfolk cohort population at follow-up *versus* baseline, stratified by sex and serum concentration quintiles of specific carotenoids or retinol (Prentice-weighted Cox proportional hazard ratio and 95% CI, quintile 1 as reference).

<b>Men</b>		<b>Fracture incidence and risk</b>							
		<b>Total fractures</b>		<b>Hip fracture</b>		<b>Spine fracture</b>		<b>Wrist fracture</b>	
		Incidence	Hazard ratio	Incidence	Hazard ratio	Incidence	Hazard ratio	Incidence	Hazard ratio
Alpha-carotene (µg/dL)	Q1	32/764	1.00 (ref)	18/764	1.00 (ref)	9/764	1.00 (ref)	8/764	1.00 (ref)
	Q5	28/763	0.69 (0.41-1.16)	12/763	0.52 (0.25-1.11)	9/763	0.92 (0.35-2.39)	7/763	0.60 (0.21-1.73)
	Total	175/3817	<i>P trend = 0.062</i>	88/3817	<i>P trend = 0.026</i>	63/3817	<i>P trend = 0.594</i>	33/3817	<i>P trend = 0.474</i>
Beta-carotene (µg/dL)	Q1	33/764	1.00 (ref)	18/764	1.00 (ref)	10/764	1.00 (ref)	7/764	1.00 (ref)
	Q5	41/763	1.00 (0.62-1.63)	13/763	0.52 (0.25-1.09)	16/763	1.65 (0.72-3.82)	13/763	1.46 (0.54-3.90)
	Total	175/3817	<i>P trend = 0.744</i>	88/3817	<i>P trend = 0.027</i>	63/3817	<i>P trend = 0.151</i>	33/3817	<i>P trend = 0.360</i>
Beta-cryptoxanthin (µg/dL)	Q1	29/764	1.00 (ref)	16/764	1.00 (ref)	10/764	1.00 (ref)	6/764	1.00 (ref)
	Q5	35/763	1.12 (0.68-1.85)	16/763	0.91 (0.45-1.85)	15/763	1.53 (0.67-3.48)	4/763	0.58 (0.16-2.09)
	Total	175/3817	<i>P trend = 0.655</i>	88/3817	<i>P trend = 0.282</i>	63/3817	<i>P trend = 0.360</i>	33/3817	<i>P trend = 0.239</i>
Lutein & zeaxanthin (µg/dL)	Q1	29/764	1.00 (ref)	12/764	1.00 (ref)	16/764	1.00 (ref)	1/764	1.00 (ref)
	Q5	37/763	1.07 (0.65-1.75)	13/763	0.85 (0.39-1.90)	18/763	1.04 (0.52-2.09)	6/763	5.15 (0.61-43.4)
	Total	175/3817	<i>P trend = 0.970</i>	88/3817	<i>P trend = 0.809</i>	63/3817	<i>P trend = 0.840</i>	33/3817	<i>P trend = 0.947</i>
Lycopene (µg/dL)	Q1	44/764	1.00 (ref)	27/764	1.00 (ref)	12/764	1.00 (ref)	7/764	1.00 (ref)
	Q5	29/763	0.79 (0.49-1.29)	10/763	0.54 (0.26-1.13)	15/763	1.40 (0.64-3.08)	6/763	0.82 (0.26-2.57)
	Total	175/3817	<i>P trend = 0.339</i>	88/3817	<i>P trend = 0.107</i>	63/3817	<i>P trend = 0.529</i>	33/3817	<i>P trend = 0.659</i>
Retinol (µg/dL)	Q1	42/764	1.00 (ref)	23/764	1.00 (ref)	16/764	1.00 (ref)	5/764	1.00 (ref)
	Q5	34/763	0.76 (0.49-1.20)	16/763	0.67 (0.35-1.27)	14/763	0.84 (0.41-1.72)	5/763	0.93 (0.27-3.23)
	Total	175/3817	<i>P trend = 0.293</i>	88/3817	<i>P trend = 0.475</i>	63/3817	<i>P trend = 0.482</i>	33/3817	<i>P trend = 0.723</i>

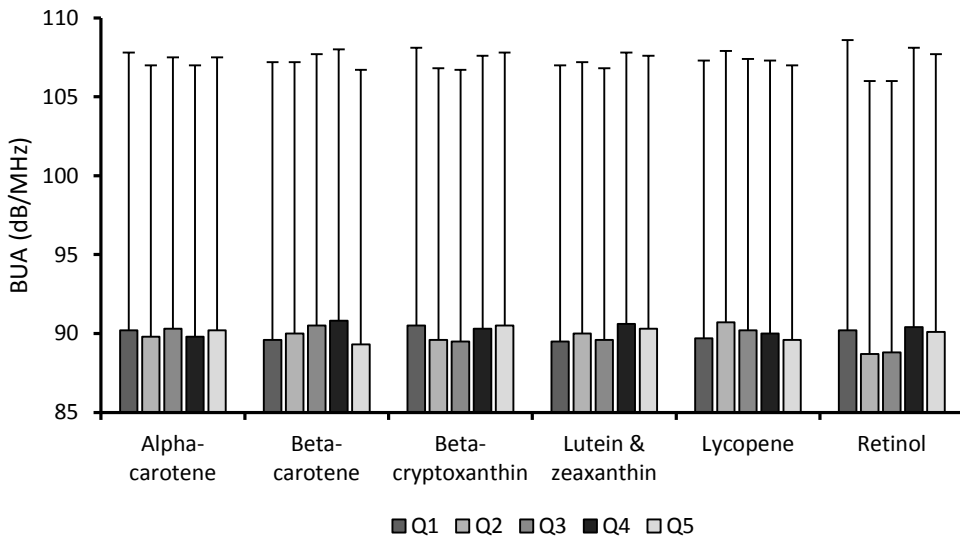
<b>Women</b>									
Alpha-carotene (µg/dL)	Q1	84/732	1.00 (ref)	50/732	1.00 (ref)	22/732	1.00 (ref)	24/732	1.00 (ref)
	Q5	81/731	0.79 (0.57-1.08)	47/731	0.74 (0.49-1.12)	15/731	0.60 (0.30-1.20)	32/731	1.15 (0.66-2.01)
	Total	386/3657	<i>P trend = 0.422</i>	232/3657	<i>P trend = 0.265</i>	89/3657	<i>P trend = 0.278</i>	121/3657	<i>P trend = 0.241</i>
Beta-carotene (µg/dL)	Q1	56/732	1.00 (ref)	29/732	1.00 (ref)	20/732	1.00 (ref)	16/732	1.00 (ref)
	Q5	78/731	0.96 (0.67-1.38)	48/731	1.00 (0.62-1.63)	15/731	0.50 (0.25-1.02)	26/731	1.27 (0.66-2.45)
	Total	386/3657	<i>P trend = 0.378</i>	232/3657	<i>P trend = 0.249</i>	89/3657	<i>P trend = 0.160</i>	121/3657	<i>P trend = 0.563</i>
Beta-cryptoxanthin (µg/dL)	Q1	73/732	1.00 (ref)	42/732	1.00 (ref)	17/732	1.00 (ref)	22/732	1.00 (ref)
	Q5	85/731	0.98 (0.72-1.35)	51/731	0.97 (0.64-1.46)	15/731	0.75 (0.37-1.52)	29/731	1.16 (0.66-2.04)
	Total	386/3657	<i>P trend = 0.873</i>	232/3657	<i>P trend = 0.651</i>	89/3657	<i>P trend = 0.245</i>	121/3657	<i>P trend = 0.180</i>
Lutein & zeaxanthin (µg/dL)	Q1	58/732	1.00 (ref)	31/732	1.00 (ref)	17/732	1.00 (ref)	20/732	1.00 (ref)
	Q5	81/731	1.02 (0.72-1.44)	55/731	1.20 (0.77-1.90)	16/731	0.69 (0.34-1.40)	22/731	0.86 (0.46-1.60)
	Total	386/3657	<i>P trend = 0.862</i>	232/3657	<i>P trend = 0.752</i>	89/3657	<i>P trend = 0.420</i>	121/3657	<i>P trend = 0.589</i>
Lycopene (µg/dL)	Q1	99/732	1.00 (ref)	61/732	1.00 (ref)	20/732	1.00 (ref)	30/732	1.00 (ref)
	Q5	63/731	0.85 (0.62-1.18)	38/731	0.96 (0.63-1.46)	14/731	0.97 (0.48-1.96)	22/731	0.87 (0.49-1.54)
	Total	386/3657	<i>P trend = 0.733</i>	232/3657	<i>P trend = 0.971</i>	89/3657	<i>P trend = 0.974</i>	121/3657	<i>P trend = 0.575</i>
Retinol (µg/dL)	Q1	71/732	1.00 (ref)	46/732	1.00 (ref)	12/732	1.00 (ref)	22/732	1.00 (ref)
	Q5	79/731	1.00 (0.72-1.39)	51/731	0.98 (0.65-1.46)	21/731	1.51 (0.74-3.10)	19/731	0.81 (0.43-1.50)
	Total	386/3657	<i>P trend = 0.695</i>	232/3657	<i>P trend = 0.473</i>	89/3657	<i>P trend = 0.279</i>	121/3657	<i>P trend = 0.495</i>

Total risk is for the first occurrence of one of these fractures and therefore the sum of the specific-site fracture incidences do not sum to the total.

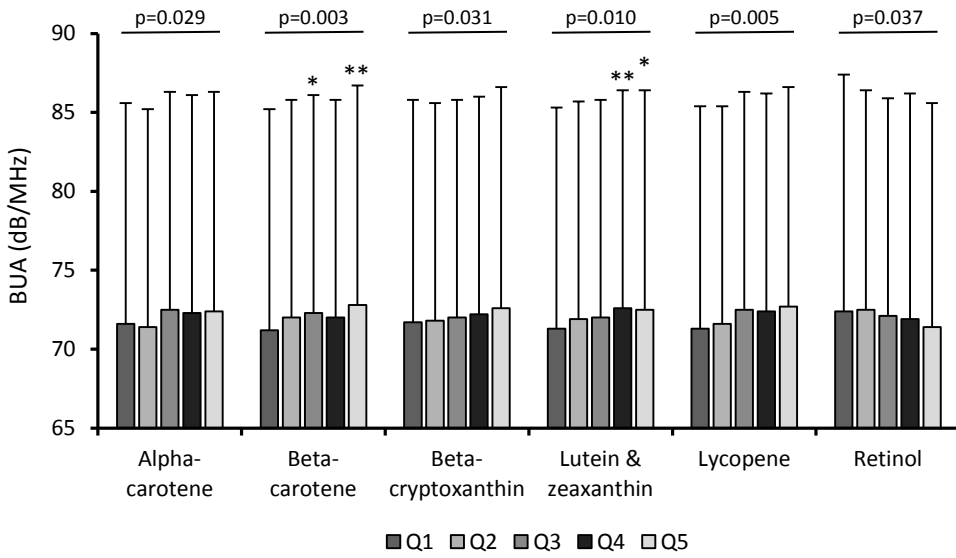
Full model: age, BMI, family history of osteoporosis, menopausal and HRT status in women, corticosteroid use, smoking status, physical activity.



Men

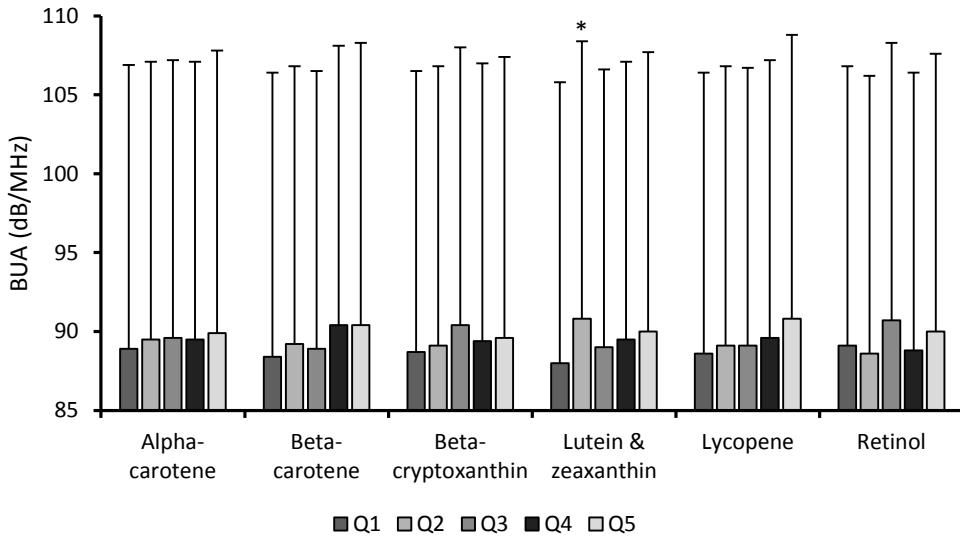


Women

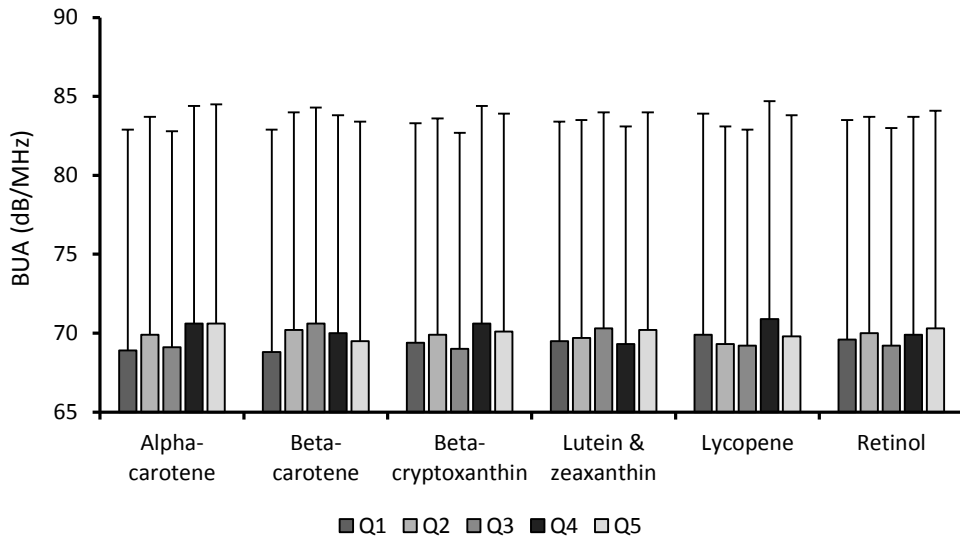




Men



Women



SUPPLEMENTARY TABLES – Hayhoe *et al*, 2017**Table 1** – Unadjusted calcaneal BUA of 6490 men and 8313 women from the EPIC-Norfolk cohort, stratified by sex and dietary intake quintiles of specific carotenoids or retinol.

Men	Dietary carotenoid intake						P for trend
	Total n=6490	Quintile 1 n=1298	Quintile 2 n=1298	Quintile 3 n=1298	Quintile 4 n=1298	Quintile 5 n=1298	
Alpha-carotene (µg/day)	90.1 ± 17.5	90.0 ± 17.2	89.7 ± 17.4	90.3 ± 17.7	89.8 ± 17.6	90.4 ± 17.7	0.587
Beta-carotene (µg/day)	90.1 ± 17.5	89.3 ± 17.2	89.9 ± 17.5	90.6 ± 17.7*	90.9 ± 17.3*	89.6 ± 17.9	0.520
Beta-cryptoxanthin (µg/day)	90.1 ± 17.5	89.9 ± 17.9	89.5 ± 17.5	89.4 ± 17.6	90.6 ± 17.0	90.9 ± 17.5	0.019
Lutein & zeaxanthin (µg/day)	90.1 ± 17.5	89.1 ± 17.0	89.9 ± 17.8	89.9 ± 17.5	90.8 ± 17.2*	90.6 ± 18.1*	0.027
Lycopene (µg/day)	90.1 ± 17.5	89.4 ± 18.2	90.3 ± 17.5	90.2 ± 16.9	90.2 ± 17.3	90.2 ± 17.7	0.468
Retinol (µg/day)	90.1 ± 17.5	90.0 ± 17.9	89.7 ± 18.0	90.3 ± 17.4	90.6 ± 17.3	89.7 ± 17.0	0.569
Women	n=8313	n=1663	n=1663	n=1662	n=1663	n=1662	P for trend
Alpha-carotene (µg/day)	72.1 ± 16.5	71.6 ± 17.0	71.5 ± 16.0	72.3 ± 16.3	72.2 ± 16.2	72.7 ± 16.8	0.032
Beta-carotene (µg/day)	72.1 ± 16.5	70.9 ± 16.2	72.0 ± 16.4	72.1 ± 16.4*	72.2 ± 16.5*	73.1 ± 16.9***	0.001
Beta-cryptoxanthin (µg/day)	72.1 ± 16.5	71.0 ± 16.5	71.6 ± 17.0	72.1 ± 16.4	72.6 ± 16.3**	73.0 ± 16.1***	<0.001
Lutein & zeaxanthin (µg/day)	72.1 ± 16.5	70.4 ± 16.4	71.8 ± 16.5*	72.2 ± 16.1**	73.5 ± 16.3***	72.4 ± 17.0**	0.001
Lycopene (µg/day)	72.1 ± 16.5	70.1 ± 16.2	70.5 ± 16.6	72.2 ± 16.4***	73.3 ± 16.1***	74.3 ± 16.8***	<0.001
Retinol (µg/day)	72.1 ± 16.5	72.6 ± 17.0	72.6 ± 16.6	71.9 ± 16.4	72.1 ± 16.1	71.0 ± 16.3**	0.002

Data as mean ± SD. \* p<0.05; \*\* p<0.01; \*\*\* p<0.001 vs. Quintile 1, according to ANCOVA.

SUPPLEMENTARY TABLES – Hayhoe *et al*, 2017**Table 2** – Unadjusted calcaneal BUA of 2362 men and 2208 women from the EPIC-Norfolk cohort, stratified by sex and plasma concentration quintiles of specific carotenoids or retinol.

Men	Plasma carotenoid concentration						P for trend
	Total n=2362	Quintile 1 n=473	Quintile 2 n=472	Quintile 3 n=473	Quintile 4 n=472	Quintile 5 n=472	
Alpha-carotene (µg/dL)	89.5 ± 17.8	88.9 ± 18.2	89.5 ± 17.1	89.7 ± 17.4	89.5 ± 18.0	89.8 ± 18.2	0.555
Beta-carotene (µg/dL)	89.5 ± 17.8	88.8 ± 17.7	89.4 ± 17.5	88.8 ± 16.8	90.3 ± 18.1	89.9 ± 18.8	0.247
Beta-cryptoxanthin (µg/dL)	89.5 ± 17.8	88.5 ± 18.1	89.2 ± 17.4	90.4 ± 17.5	89.4 ± 18.0	89.8 ± 17.9	0.407
Lutein & zeaxanthin (µg/dL)	89.5 ± 17.8	88.3 ± 17.5	90.8 ± 17.4*	89.2 ± 17.9	89.2 ± 18.1	89.8 ± 17.9	0.568
Lycopene (µg/dL)	89.5 ± 17.8	88.5 ± 17.8	89.0 ± 17.5	89.0 ± 17.3	89.7 ± 17.6	91.1 ± 18.5*	0.015
Retinol (µg/dL)	89.5 ± 17.8	88.8 ± 17.8	88.9 ± 17.7	90.8 ± 17.8	88.9 ± 17.8	89.9 ± 17.6	0.357
Women	n=2208	n=442	n=442	n=441	n=442	n=441	P for trend
Alpha-carotene (µg/dL)	69.8 ± 16.2	69.6 ± 16.8	70.3 ± 16.0	69.0 ± 15.8	70.5 ± 16.5	69.6 ± 16.0	0.939
Beta-carotene (µg/dL)	69.8 ± 16.2	71.4 ± 16.5	71.1 ± 15.8	70.4 ± 16.4	68.6 ± 16.0**	67.4 ± 16.1***	<0.001
Beta-cryptoxanthin (µg/dL)	69.8 ± 16.2	69.7 ± 16.8	70.1 ± 17.4	69.3 ± 16.5	70.1 ± 15.6	69.8 ± 14.7	0.919
Lutein & zeaxanthin (µg/dL)	69.8 ± 16.2	71.1 ± 16.2	70.1 ± 17.0	70.7 ± 16.0	68.5 ± 16.0*	68.6 ± 15.7*	0.008
Lycopene (µg/dL)	69.8 ± 16.2	67.2 ± 16.3	68.6 ± 16.4	69.3 ± 15.8*	72.3 ± 15.8***	71.6 ± 16.2***	<0.001
Retinol (µg/dL)	69.8 ± 16.2	70.7 ± 17.2	70.1 ± 16.4	68.2 ± 15.4*	69.7 ± 16.1	70.3 ± 15.9	0.781

Data as mean ± SD. \* p<0.05; \*\* p<0.01; \*\*\* p<0.001 vs. Quintile 1, according to ANCOVA.