Measurement of the bone endocortical region using clinical CT

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Abstract
The extent of the endocortical region and cortical bone mineral density (cBMD) throughout the proximal femur are of interest as both have been linked to fracture risk and osteoporosis treatment response. Non-invasive in-vivo clinical CT-based techniques capable of measuring the cortical bone attributes of thickness, density and mass over a bone surface have already been proposed. Several studies have robustly shown these methods to be capable of producing cortical thickness measurements to a sub-millimetre accuracy. Unfortunately, these methods are unable to provide high quality cBMD estimates, and are not designed to measure any attributes over the endocortical region of cortical bone. In this paper, we develop a cortical bone mapping based technique capable of providing an improved cBMD estimate and a measure of the endocortical width, while maintaining similar quality cortical thickness and trabecular bone mineral density (tBMD) estimates. The performance of the technique was assessed using a paired dataset of ex-vivo QCT and HR-pQCT scans across 72 proximal femurs. The HR-pQCT scans were analysed using a new method developed for this study: high resolution tissue classification (HRTC). In HRTC the cortical, endocortical and sub-surface trabecular bone features are extracted from the partially resolvable microarchitectural details in the HR-pQCT scan. We demonstrate that measurement of the endocortical extent from QCT is possible with an accuracy of \(0.15 \pm 0.71\) mm, and that local cBMD can be measured down to densities of 300 mg/cm³.

Keywords: computed tomography, endocortical region, bone mineral density, cortical thickness

1. Introduction
Fragility fractures due to osteoporosis and other skeletal diseases are a significant public health burden (Kanis et al., 2013; World Health Organisation, 2007), which is set to increase as the global population continues to age. The societal cost of hip fractures is particularly severe due to high morbidity and mortality associated with this fracture (World Health Organisation, 2007). The quantity and severity of fragility fractures can be reduced through early identification and treatment of those at risk. Fracture risk prediction, treatment assessment and the monitoring of osteoporosis disease progression in the proximal femur are hence all of particular interest.

In current clinical practice, areal bone mineral density (aBMD), a two dimensional measure of bone mineral density extracted from Dual-energy X-ray Absorptiometry (DXA) scans, is used to assess fracture risk and treatment effectiveness (World Health Organisation, 2007). Despite widespread use, DXA scans are not capable of directly measuring three dimensional features of bone (Ohnaru et al., 2013) or focal variations in bone structure, both of which have been linked to fracture risk (Holzer et al., 2009; Poole et al., 2012; Verhulp et al., 2008). Thus a three dimensional imaging modality combined with a technique capable of preserving and extracting these features is desirable. As most mineralised bone is either cortical or subsurface trabecular bone, this is the region of particular interest for modelling (Yang et al., 2012).

Quantitative Clinical CT (QCT) scans provide such a three dimensional measure of BMD but have limited resolution. Much of the cortex is a thin structure well below this resolution, and its measurement is challenging. Several techniques exist for measuring cortical and subsurface trabecular features from QCT (Hangartner and Gilsanz, 1996; Hangartner and Short, 2007; Streekstra et al., 2007; Treece et al., 2010). These techniques, however, are only marginally more effective than aBMD-based methods at fracture risk prediction and treatment assessment (Poole et al., 2012; Treece et al., 2015; Yang et al., 2012). Currently, the most accurate are model-based techniques. These use a transect of cortical and subsurface trabecular bone sampled from the QCT scan, a parametrised estimate of the underlying density, and an estimation of the imaging blur, to model the underlying cortical features using deconvolution.

Cortical Bone mapping (CBM) is one of these model-based techniques. Many local measurements are made over the surface of the bone and combined to provide surface maps of the measured features. This allows focal regional variations between populations to be detected. Previous implementations used a simple three tier model.

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to represent the density in profile across the cortex at each surface location (Treece and Gee, 2015). This three tier model is only capable of measuring the cortical thickness, the cortical bone mineral density (cBMD) and the trabecular bone mineral density (tBMD), where these measurements are single aggregate values across the entire cortical or trabecular region included in the profile. These values can be used to calculate the mass surface density (MSD), which is the mass of mineralised cortical bone per unit area over the periosteal surface (Treece et al., 2012). However, the CBM model does not measure the endocortical region, which exists between the compact cortical bone and the porous trabecular bone (Ma, 2014). It has been suggested that this is a region of elevated remodelling in later life (Seeman and Delmas, 2006; Zebaze et al., 2013). As such, it may provide an early indication of deteriorating bone quality, and a measure of targeted regeneration caused by therapies for the treatment of osteoporosis.

The initial implementation of CBM used a single fixed cBMD value applied over the measurement surface: this increased the stability and precision of the technique (Treece et al., 2010, 2012). In addition to not providing any local cBMD measurement, it also limited the accuracy of the model at any location where the cortical density varied from the global estimate, and increased the bias of cortical thickness for thin structures (Treece and Gee, 2015). For these reasons, a two phase model-based fitting technique termed CBMv2, aimed at relaxing the rigidity of the cBMD constraint, was proposed (Treece and Gee, 2015). Although this technique enabled local cBMD measurements, while improving the overall stability of the method and improving the quality of the cortical thickness measurements, the quality of the cBMD measurements remained poor (Treece and Gee, 2015).

cBMD is affected by both the degree of mineralisation of bone (DMB) and porosity of cortical bone (Augat and Schorlemmer, 2006; Boivin et al., 2008; Follet et al., 2004; McCalden et al., 1993). The DMB is an intrinsic property of bone tissue, while porosity is a property of the microarchitecture of bone. cBMD increases with DMB but decreases with porosity, while fracture risk decreases with DMB and increases with porosity. Hence a decrease in cBMD due to either mechanism increases fracture risk. The relative contributions of each to cBMD cannot be distinguished at the comparatively low resolution of QCT. Instead it is only possible to measure the average cBMD, which is still a significant indicator of bone strength and fracture risk (Augat and Schorlemmer, 2006; Follet et al., 2004; McCalden et al., 1993). The aim of this work is to extend the CBM technique to both measure the thickness of the endocortical region for the first time from QCT scans, and to more accurately measure the cBMD. In Section 2 the current CBM technique is detailed before a more representative model of the cortical and subsurface trabecular region, and a new variable cBMD fitting scheme, are introduced. In Section 3 the quality of the endocortical thickness and cBMD measures are assessed, against equivalent measures extracted from HR-pQCT scans, using a dataset of paired QCT and HR-pQCT scans. In Section 4 the ability of the new model and fitting scheme to measure the cortical and subsurface structure of bone is examined. Conclusions on the suitability of the proposed model and fitting scheme are then drawn in Section 5.

2. Methods

2.1. Constrained three-tier rectangle model

CBM is a pipeline-based technique, as shown in Figure 1, in which many features are measured at different locations over a surface and combined into surface maps of each feature. Each set of feature measurements is extracted from a parametrised model that describes the density variation along a transect through cortical and subsurface trabecular bone at a particular surface location. Previous work has used the three-tier rectangle model, termed the ‘rectangle model’ throughout the remainder of this paper, shown in Figure 2A. The model has instantaneous transitions between the different tissues and a constant density over the entire cortical cross-section. It is also constrained at each surface location to share the same globally fixed cBMD value. The remaining model features and the image point spread function (PSF) are then varied, until the resulting density profile estimate best matches the density profile sampled from the image. This is achieved with the Levenberg-Marquardt optimisation method (LMM) as discussed in Section 2.3. The resulting models can be used to generate cortical thickness and tBMD surface maps.

A key component of this algorithm is the convolution of the density model with the image PSF, which is presumed to be Gaussian, to produce the density profile estimate. Convolution is an O(N^2) operation. This can be reduced to an order O(N) integration by first differentiating Eqn 1a and then applying the ‘Sifting’ property of the subsequent Dirac Delta functions as shown in Eqn 1c (Treece et al., 2012), where y_{st}, y_{cb}, y_{tb}, x_F, x_E and \sigma respectively correspond to the density of soft tissue, the density of cortical bone, the density of trabecular bone, the location of the cortex periosteal edge, the location of the cortex endosteal edge, and the standard deviation of the Gaussian PSF. H(x) represents the unit step function, and erf() is the error function that results from integrating a
The model-fitting problem is ill-posed due to the large number of model parameters relative to the information available in the blurred density profile. This is the reason for using the simple rectangle model, and constraining the cortical density to reduce the number of unconstrained parameters to five. The recently proposed CBMv2 method relaxes the rigidity of the cBMD constraint by performing two CBM measurement steps: the first with the global cBMD constraint, and the second with a locally adjusted cBMD constraint based upon the difference between the local blur estimate from the initial CBM measurement and a global estimate of the PSF blur. This allows for some variability in the cBMD, while maintaining the number of unconstrained parameters at five.

2.2. Endocortical region model

The endocortical region is the interface between the cortical and trabecular bone. It is of particular interest as it has been suggested that this is the primary site of remodelling in later life, when most fragility fractures occur (Seeman and Delmas 2006; Zebaze et al. 2013). The increased endocortical remodelling has been linked to a decrease in the DMB and also an increase in porosity (Boivin et al. 2008; Follet et al. 2004; Zebaze et al. 2013). The presence in the model of the ‘Sifting’ property can be applied to the resulting Dirac Delta functions, producing the more complex result in Eqn [3]. The required integral of the error function is not computed and accessed at runtime using a look-up table.

\[
\begin{align*}
y(x) &= y_{st} + (y_{cb} - y_{st}) \cdot H(x - x_P) \\
g(x) &= \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{x^2}{2\sigma^2}} \\
y_{blur}(x) &= y(x) \ast g(x) \\
&= \frac{y_{st} + y_{tb}}{2} + \frac{y_{cb} - y_{st}}{2} \cdot \frac{x - x_P}{\sigma\sqrt{2}} \\
&\quad + \frac{y_{tb} - y_{cb}}{2} \cdot \frac{x - x_E}{\sigma\sqrt{2}} (1c)
\end{align*}
\]

The required integral of the error function is not computed and accessed at runtime using a look-up table.

The endocortical region model is called the ‘endocortical model’ throughout the rest of this paper. Having effectively split the location of the endosteal edge in two, we can measure the ‘dense’ cBMD (dBMD), the dense cortical bone thickness, the width of the endocortical region and tBMD. These may also be combined to derive measures of the cortical bone thickness \(t_{cb}\) and cBMD. Eqn [2] is used to calculate these measurements by assuming the cortical bone region extends half-way through the endocortical region. In this and the following Eqn [3] the meaning of \(y_{st}, y_{cb}, x_P\), and \(\sigma\) remain unchanged compared to Eqn [1a] while \(y_{cb}\) replaces the \(y_{cb}\) of the rectangle model and corresponds to dBMD. \(x_{Ecb}\) and \(x_{Etb}\) correspond to the location of the beginning and end of the endocortical region.

\[
\begin{align*}
th_{cb} &= (x_{Ecb} - x_P) + \frac{1}{2} (x_{Etb} - x_{Ecb}) \\
y_{cb} &= y_{cb} + \frac{x_{Ecb} - x_P}{\partial x_{cb}} + \frac{1}{2} \cdot \left( \frac{x_{Ecb} - x_{Etb}}{\partial x_{cb}} \right)
\end{align*}
\]

Once again, it is desirable to avoid performing an \(O(N^2)\) convolution at each step in the optimisation process. The presence in the model of \(R(x)\), the Ramp function, requires an additional integration-differentiation step before the ‘Sifting’ property can be applied to the resulting Dirac Delta functions, producing the more complex result in Eqn [3]. The required integral of the error function is not supported in standard libraries, however it can be precomputed and accessed at runtime using a look-up table.
\[ y(x) = \frac{y_{st} + (y_{tb} - y_{st})H(x - x_p)}{x_{Eth} - x_{Eth}^{cb}} R(x - x_{Eth}^{cb}) + \frac{y_{tb} - y_{st}}{x_{Eth} - x_{Eth}^{cb}} R(x - x_{Eth}) - \frac{y_{tb} - y_{st}}{x_{Eth} - x_{Eth}^{cb}} R(x - x_{Eth}^{tb}) \]  

\[ Y_{\text{error}}(x) = y(x) \cdot g(x) \]  

\[ \epsilon = e^{k(t - t_{\text{minimum}})} \]  

The LMM is also used to fit the endocortical model. As with the constrained rectangle model, the dBMd value can be fixed before performing CBM to increase the stability of the optimiser. However, without any other changes to the overall CBM process, the stability will be lower than the rectangle CBMv2 method, due to the inclusion of the additional endocortical width parameter that further destabilises the already ill-posed problem. This can be counteracted, to some extent, through the careful management of the optimisation process.

2.3. CBM optimisation

In the CBM method an optimiser is used to select those model parameters which give the best possible alignment with the sampled image densities. This is a non-linear least-squares problem, in which the squared error between the sampled image density and the blurred parametrised model is minimised, by iterative improvement of an initial guess, using the LMM (Moré, 1978). Crucial to this process is a problem-specific cost function which, when provided with the model parameters, updates an error vector containing differences between the modelled and sampled densities, as well as some other constraints relating to the physical validity of the model. It is this error vector which is minimised by the LMM.

2.3.1. Validity of model parameters

A density model is physically invalid if it has a negative cortical thickness, a cBMd less than the tBM, or a negative or extremely large Gaussian blur. The endocortical model is also invalid if it has a negative endocortical thickness. The error vector includes an element for each possible physically unrealistic trait; Eqn 4 is used to define the error associated with each such trait. \( \epsilon \) is the error reported in the error vector; \( k \) is the scaling factor associated with that trait; \( t \) is the model trait; and \( t_{\text{minimum}} \) is the model trait value below which the model is physically invalid. The error value approaches 0 for valid traits, and exponentially increases with the magnitude of an invalid trait. \( k \) is chosen to produce similar magnitude errors from each model trait.

2.3.2. Optimiser weighting function

The error vector also includes an element for the signed difference between each sampled density value and the blurred model. These error values are scaled by a weighting function, which was used in previous implementations of the CBM method to encourage the optimiser to converge on a model that was closely aligned to the underlying periosteal surface and hence improve the model fit (Trece et al. 2010). As such, the weighting function controls the relative importance assigned to discrepancies between the blurred model and the sampled image density along the profile, with a tighter fit expected over portions of the profile assigned a higher relative weight. This means that the shape of the weighting function can be used to improve the quality of specific model traits, which has lead to the use of several different weighting functions as shown in Figure 3.

The rectangular CBMv2 method uses the ‘CBMv2 rectangle model’ weighting function, whose peak is aligned with the initial estimate of the periosteal edge, i.e. the location of the initial surface segmentation. As previously described, in the first LMM optimisation, all other model values are initialised to the same generic constants for all locations. The results of this optimisation are used to initialise the second LMM, having re-aligned the weighting function to the updated periosteal edge estimate. The third LMM optimisation then makes use of the new cBMd constraint, adjusted based upon the image blur. The remaining weighting functions included in Figure 3 are used in the optimisation of the endocortical model by the Smoothly spatially constrained CBM (SSC-CBM) method described in Section 2.4. Note that the repeated optimisation runs are very fast, since the initial model parameters are usually very close to the converged solution.

2.4. Smoothly spatially constrained CBM (SSC-CBM)

The reduced stability of the endocortical model compared to the rectangle model can be combated by improv-
ing the quality of the dBMD estimate used to constrain the endocortical model and by appropriately penalising physically invalid models. Improved dBMD measurements are also clinically desirable as dBMD is linked to bone strength and fracture risk \cite{Augat2006, Follet2004, McCalden1993}. The pipeline shown in Figure 4 was developed to provide improved dBMD estimates. Its design was based on the surface mapped dBMD values observed in the proximal femur of HR-pQCT scans.

The pipeline contains two endocortical CBM measurement steps. The first is a partially constrained CBM method that is used to produce dBMD estimates with three LMM optimisations of which the first two use the rectangle model to improve stability. The first LMM uses a generically initialised and dBMD-constrained rectangle model. These model parameters are used to initialise the second LMM, which is still constrained by the generic dBMD value but with an aligned weighting function. The resulting parameters initialise the final LMM, which is an entirely unconstrained endocortical model with a generic endocortical thickness. This partially constrained configuration has greater stability than using a fully unconstrained optimisation \cite{Pakdel2012}. The resulting dBMD surface map is noisy, so it was spatially smoothed using Gaussian distance-weighted precision smoothing. The σ of the Gaussian distance filter was specified globally at 6 mm, while the precision value was calculated locally from estimates of the variance of the LMM model parameters. These smooth locally-varying dBMD values were used as constraints in the second CBM measurement step.

The endocortical SSC-CBM method uses the ‘unaligned’ and ‘aligned’ weighting function, shown in Figure 3. The ‘unaligned rectangle model’ is used with generically initialised rectangle models to produce periosteal alignment of the model. The ‘aligned rectangle model’ weighting function is used with rectangle models that have been initialised with the results from a previous LMM optimisation. The initial cortical thickness value is used to define the width of the uniformly weighted region of the weighting function. The ‘aligned endocortical model’ weighting function is similarly used with endocortical models initialised with the results from a previous LMM optimisation.

3. Experiments and results

3.1. Data acquisition

The quality of the measurements produced with the proposed methods was assessed using direct comparison between QCT and HR-pQCT scans from an ethically approved study of cadaveric proximal femurs. This is the same dataset used in the initial assessment of the CBMv2 method \cite{Treece2015}. The left and right femurs of 18 females and 17 males were stripped of soft tissue, submerged in a saline solution, then vacuum packed to remove air bubbles, and scanned. The mean age of the individuals was 77 years (range 59-96 years). The QCT data was acquired using a Brilliance64 scanner at 120 kV with a pixel sizing of $0.33 \times 0.33 \times 1 \text{ mm}^3$. The Hounsfield Units were converted to density using a QRM-BDC calibration phantom scanned with each specimen.

The reference HR-pQCT data was captured using an XTremeCT scanner at 70 kV with a pixel sizing of $0.082 \times 0.082 \times 0.082 \text{ mm}^3$. The HR-pQCT images were converted to density using a linear calibration curve provided by the manufacturer combined with a quadratic correction for non-linearities in the HU to BMD relationship as detailed in Appendix A. This gave a total of 74 paired high and low-resolution data sets from 37 patients. Two scan pairs were excluded, one for high levels of ring artefact in the HR-pQCT scan, and one for a HR-pQCT scan imaged with a restricted field of view. Further details of this study have been published previously \cite{DallAra2013a}.

3.2. High resolution tissue classifier (HRTC)

HR-pQCT scans were used to produce ‘gold standard’ measurements for direct comparison with the results of the newly proposed CBM scheme. This was achieved with a new technique called the High Resolution Tissue Classifier (HRTC), which produces localised cortical thickness, endocortical thickness, cBMD and tBMD measurements over a periosteal surface. The HRTC technique was developed as no previously published techniques provide localised measurements of both the dense cortical, endocortical and trabecular regions \cite{Burghardt2010, Hildebrand1997, Laib1997, Liu2010, Treece2010, Treece2012, Zebaze2014}. However, measurements from the HRTC method are defined in-line with equivalent aspects from other published HR-pQCT methods. HRTC has four stages as shown in Figure 3 sampling, thresholding, sectioning, and modelling of the different regions.

The HRTC uses many closely sampled parallel profiles to discriminate between microstructure features and the cortical and subsurface trabecular macrostructure features that are actually of interest here. The profiles are sampled over a uniform grid at the pixel resolution within a fixed radius centred about each surface measurement location. The density samples along each profile are then thresholded as either ‘bone’ or ‘not bone’ in the thresholding step in Figure 3. The thresholds used were selected to be the mean of non-ossified and fully mineralised tissue densities in each scan. This allows the percentage of the profiles passing through bone at each sample location to be recorded, revealing the cross-sectional tissue structure at each sample location along the profile. The percentage profile is used in the sectioning step of Figure 3 as this provides a more reliable measure of the cross-sectional structure than either a single density profile as illustrated.

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3.3. Experimental pipeline

Figure 7 shows the pipeline used to evaluate the quality of the endocortical SSC-CBM method against both the HRTC validation method, and the previous ‘best’ implementation of the CBM: the rectangular CBMv2 method. First, a single canonical surface was used to segment each scan, as this allows for direct comparison between the measurements made on each paired set of QCT and HRpQCT scans, and between scans of different individuals. The canonical surface was registered to a manually segmented surface in each match HRpQCT scan. The rectangular CBMv2 and the endocortical SSC-CBM methods were applied to the QCT scans, while the HRTC validation technique was applied to the HRpQCT scans. Two iterations of mesh connectivity-based precision-weighted smoothing were performed over the CBM measurements, which were matched with two iterations of evenly weighted smoothing over the HRTC measurements. The CBM precisions were extracted from the estimated model parameter variances of the final LMM optimisation at each measurement site. The smoothing was performed to remove outlier measurements with very low precision optimisations. Figure 7 shows a representative example of the models produced by the endocortical SSC-CBM and HRTC methods at the same measurement location.

3.4. Results

The canonical surface contains 5580 measurement locations resulting in more than 400000 measurements across the 72 scans in the validation dataset. The quality of the
cortical, dense cortical, endocortical and trabecular region measures produced by the two CBM methods were assessed against each other, and the HRTC validation measurements. Table 1 shows the measurement bias and precision for both CBM methods, while Figures 9-11 show confidence interval (CI) plots of CBM measurements against the corresponding HRTC measurements. These are used to compare the physical features measured by the HRTC and CBM methods.

The bias and precision values recorded in Table 1 are defined through comparison with the equivalent HRTC measurements, and as in previous publications (Treece and Gee 2013; Treece et al. 2010, 2012) these values are calculated over three different cortical thickness ranges: 0.3–1 mm, 1–3 mm, and 3–6 mm. The table also records the overall stability, defined as the percentage of successfully fit models out of the total number of measurement locations for each method. The CI plots show the median-based bias and the percentile-based precision at each HRTC value in combination with the frequency distribution of the

Figure 6: A two dimensional representation of the three dimensional bone microstructure and parallel profile sampling. (A) The microstructure of cortical and sub-surface trabecular bone. (B) Two sampled profiles; profile ii passes through substantially more bone than profile i despite the similar microstructure around each profile. (C) Two parallel sampled profiles, which produce much more similar aggregate density profiles.

Figure 7: The pipeline used to evaluate the effectiveness of the proposed endocortical SSC-CBM implementation against both the CBMv2 method and HR-pQCT based HRTC method. (A) The registration and model fitting applied to each QCT and HR-pQCT scan pair. (B) The comparisons made between the methods.
HRTC values. Figure 8 shows the CI plots for the rectangular CBMv2 method, while Figures 10 and 11 display the CI plots for the endocortical SSC-CBM method. The rectangle model lacks any measure of the dense cortical and endocortical regions, so the bias and precision values relating to these regions are omitted from the table. The cortical thickness and cBMD of the endocortical model were measured indirectly using Eqn 2. All other regions were directly measured from the endocortical model. The surface density for each method was also calculated across each thickness range as defined in previous work (Treece and Gee, 2015; Treece et al., 2012) and included in Table 1. The cortical thickness, cBMD, tBMD and surface density CI plots for both methods are included in Figures 9 and 10, while the additional dense cortical thickness, dBMD and endocortical width measurements of the endocortical SSC-CBM method are displayed in Figure 11.

4. Discussion

The values reported in Table 1 and Figures 9, 10, 11 show that the endocortical SSC-CBM method is able to measure the thickness of the endocortical region, while broadly maintaining the quality of the cortical thickness, tBMD and MSD measurements from the CBMv2 method. The endocortical SSC-CBM method can measure endocortical thickness with an overall accuracy of $-0.15 \pm 0.71$ mm. The CI plots and accuracies reported in Table 1 show that the endocortical thickness measurements are accurate over the range of 1 – 6 mm, but the thicknesses are underestimated for values less than 1 mm. In addition, the endocortical thickness precisions are lower than the cortical thickness measurements made by both CBM methods. Despite this, the overall quality of the endocortical thickness measurements is notable in comparison to the cortical thickness measurements of previously validated CBM methods (Treece and Gee, 2015; Treece et al., 2012, 2010) that were used to detect statistically significant focal changes in cortical thickness in response to osteoporosis treatment therapies (Allison et al., 2015; Poole et al., 2015; Whitmarsh et al., 2015) and across populations with differing fracture risk profiles (Poole et al., 2016; Treece et al., 2015).

The rectangular CBMv2 and endocortical SSC-CBM methods both relax the single fixed density constraint applied to the model during CBM. The quality of the resulting density constraint directly affects the quality of the resulting models. Unfortunately, the constraint maps cannot be directly compared as one is of cBMD measures and the other is of dBMD measures. Instead, the cBMD values derived from the SSC-CBM endocortical model using Eqn 2 can be compared with the cBMD constraint applied to the rectangular CBMv2 model. As shown in Table 1 and Figures 9a and 10a, both methods substantially overestimate the cBMD. Despite this, the quality of the SSC-CBM cBMD measurement is improved with a visibly more linear relationship and an overall accuracy of $172 \pm 149$ mg/cm$^3$, compared with $249 \pm 164$ mg/cm$^3$ for rectangular CBMv2 method.

Eqn 2 is used to derive cortical thickness and cBMD measurements from the endocortical model. It assumes the cortical bone extends halfway along the endocortical region: it is worth considering the validity of this assumption. This is investigated in Figure 12 where Eqn 2 and the dense cortical and endocortical HRTC measurements were used to calculate derived HRTC cBMD and cortical thickness measurements; these are plotted against the measured HRTC cortical bone measurements. This shows the relationships assumed in Eqn 2 result in a slight but consistent overestimation of cBMD and cortical thickness. This does not match the overall errors in the cortical measurements, which are also impacted by the systematic over- and under-estimations in the dBMD and dense cortical thickness measurements shown in Figure 11. A closer inspection of these CI plots show that the endocor-
Figure 9: CI validation plots comparing the results of the rectangular CBMv2 method against the HRTC method.
Figure 10: CI validation plots comparing the results of the endocortical SSC-CBM method against the HRTC method.
Figure 11: CI validation plots comparing the results of the endocortical SSC-CBM method against the HRTC method, for measurements which were not previously possible from QCT.
tical SSC-CBM measurements of the dense cortical region are linearly correlated over all but the thinnest and lowest density measurements. This is where the stability of the optimiser is challenged most by the fundamental lack of information contained within the sampled density profile.\(^{(3)}\)

The endocortical SSC-CBM method can hence be used to measure the cortical thickness, cBMD, tBMD and endocortical extents. The definition of cortical thickness and tBMD measures remain unchanged from previous studies,\(^{(3)}\) namely, the cortical thickness defines the width of the cortical region, and the tBMD defines the average density of the subsurface trabecular region. The cBMD value measured by the endocortical SSC-CBM method is the mean BMD value across the cortical region as reported by the HRTC method. This is in contrast to previous studies where the cBMD defined the maximum BMD value within the cortical region.\(^{(4)}\) The new cBMD value provides an aggregate measure of cumulative effect of DMB and porosity on cBMD, which should provide a better indication of fracture risk than just the impact of DMB.\(^{(5)}\)

The endocortical SSC-CBM method was developed in response to a systematic underestimation of cortical thickness and overestimation of tBMD for large cortical thicknesses. It is believed that these errors result from a slight decrease in BMD across the cortex due to beam hardening. The peristeal-centred weighting functions used by the rectangular CBMv2 method preferentially weight discrepancies between the modelled and the sampled density profile near the peristeal edge. This can result in the optimiser over-fitting the endosteal edge location of the model to decrease the discrepancies in the cortex caused by beam hardening. This may explain some of the underestimation in cortical thickness of the rectangular CBMv2 method that occurs for cortical thicknesses greater than 3 mm. This effect is largely counteracted by instead using the aligned weighting functions in the endocortical SSC-CBM method, as illustrated by the fairly constant bias in the SSC-CBM cortical thickness measurements greater than 2 mm.

The initial validation of the rectangular CBMv2 method used FWHM HRpQCT measurements, rather than HRTC, though from the same paired dataset used in this study. The quality of the measurements reported in Table 1 and Figure 9 broadly agree with those reported in Treece et al. (2015), although there are subtle differences in the cortical thickness, cBMD and mass surface density measurements that stem from differences between the FWHM and HRTC.

<table>
<thead>
<tr>
<th>Stability (%)</th>
<th>0.0 ≤ t &lt; 6.0</th>
<th>99.6</th>
<th>100.0</th>
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<tr>
<td>Cortical Thickness (mm)</td>
<td>0.3 ≤ th &lt; 1.0</td>
<td>0.06±0.23*</td>
<td>0.10±0.24</td>
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<tr>
<td></td>
<td>1.0 ≤ th &lt; 3.0</td>
<td>0.07±0.41</td>
<td>−0.21±0.37*</td>
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<tr>
<td></td>
<td>3.0 ≤ th &lt; 6.0</td>
<td>−0.28±0.69*</td>
<td>−0.34±0.61</td>
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<tr>
<td>Cortical Density (mg/cm(^3))</td>
<td>0.3 ≤ th &lt; 1.0</td>
<td>321±153</td>
<td>173±148*</td>
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<tr>
<td></td>
<td>1.0 ≤ th &lt; 3.0</td>
<td>164±127*</td>
<td>208±152</td>
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<td></td>
<td>3.0 ≤ th &lt; 6.0</td>
<td>129±88*</td>
<td>118±121</td>
</tr>
<tr>
<td>Dense Cortical Thickness (mm)</td>
<td>0.3 ≤ th &lt; 1.0</td>
<td>-</td>
<td>0.08±0.14</td>
</tr>
<tr>
<td></td>
<td>1.0 ≤ th &lt; 3.0</td>
<td>-</td>
<td>−0.56±0.36</td>
</tr>
<tr>
<td></td>
<td>3.0 ≤ th &lt; 6.0</td>
<td>-</td>
<td>−0.83±0.72</td>
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<tr>
<td>Dense Cortical Density (mg/cm(^3))</td>
<td>0.3 ≤ th &lt; 1.0</td>
<td>-</td>
<td>233±154</td>
</tr>
<tr>
<td></td>
<td>1.0 ≤ th &lt; 3.0</td>
<td>-</td>
<td>306±163</td>
</tr>
<tr>
<td></td>
<td>3.0 ≤ th &lt; 6.0</td>
<td>-</td>
<td>170±142</td>
</tr>
<tr>
<td>Endocortical Thickness (mm)</td>
<td>0.3 ≤ th &lt; 1.0</td>
<td>-</td>
<td>−0.47±0.43</td>
</tr>
<tr>
<td></td>
<td>1.0 ≤ th &lt; 3.0</td>
<td>-</td>
<td>0.12±0.74</td>
</tr>
<tr>
<td></td>
<td>3.0 ≤ th &lt; 6.0</td>
<td>-</td>
<td>0.58±0.93</td>
</tr>
<tr>
<td>Trabecular Density (mg/cm(^3))</td>
<td>0.3 ≤ th &lt; 1.0</td>
<td>−10±4.42</td>
<td>−17±4.42</td>
</tr>
<tr>
<td></td>
<td>1.0 ≤ th &lt; 3.0</td>
<td>42±49</td>
<td>22±44*</td>
</tr>
<tr>
<td></td>
<td>3.0 ≤ th &lt; 6.0</td>
<td>80±77</td>
<td>17±58*</td>
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<tr>
<td>Mass Surface Density (mg/cm(^3))</td>
<td>0.3 ≤ th &lt; 1.0</td>
<td>20.7±11.0*</td>
<td>17.2±12.1</td>
</tr>
<tr>
<td></td>
<td>1.0 ≤ th &lt; 3.0</td>
<td>26.1±22.9*</td>
<td>12.4±23.4</td>
</tr>
<tr>
<td></td>
<td>3.0 ≤ th &lt; 6.0</td>
<td>20.3±44.0*</td>
<td>10.1±44.7</td>
</tr>
</tbody>
</table>

Table 1: A comparison of the biases, precisions and overall stability of the two CBM methods assessed. The biases and precisions are calculated using a paired t-test (bias) and F-test (precision) at p < 0.001. The stability of each method is the % of successfully fit valid models out of the set of all measurement locations where a valid HRTC model exists.
methods and the inclusion of two iterations of precision-based smoothing. The FWHM method used to validate previous CBM methods used the same weighting function to stabilise the optimisation process. This means its cortical thickness measurements will share any errors caused by the optimiser’s response to beam hardening due to unequal weighting along the profile. The FWHM method also used the peak density measured over the cortical region to define the cBMD, which is in contrast to the mean density measurement used by the HRTC method. The mean cBMD is more clinically relevant as it incorporates the mean effects of both porosity and DMB, which both impact bone strength (Ammann and Rizzoli, 2003; Bala et al., 2010).

5. Conclusion

The endocortical SSC-CBM method provides a model of the cortical and subsurface trabecular region from which the cortical thickness, cBMD, tBMD and endocortical width can be measured at many locations over the proximal femur. Its ability to more accurately measure the cBMD at lower densities and to measure the endocortical width, while still providing similar quality cortical thickness and tBMD measurements distinguishes it from previously published QCT based techniques. The quality of the endocortical SSC-CBM and rectangular CBMv2 methods were assessed using direct comparisons between paired HR-pQCT and QCT measurements. This necessitated the development of the HRTC method, a new HR-pQCT technique, as no existing techniques were capable of providing localised cortical thickness, cBMD, tBMD and endocortical thickness measurements.

The endocortical SSC-CBM method provides sub-millimetre endocortical thickness measurements with accuracies of $-0.47 \pm 0.43$ mm, $0.12 \pm 0.74$ mm and $0.58 \pm 0.93$ mm over cortical thickness ranges of $0.3-1$ mm, $1-3$ mm, and $3-6$ mm, and cBMD measurements down to a density of $300 \text{ mg/cm}^3$, while maintaining similar quality cortical thickness and MSD measurements as CBMv2. Although this investigation shows it is possible to measure the thickness of the endocortical region from QCT resolution data, the clinical relevance of this measurement still needs to be established.

6. Acknowledgements

The authors extend their sincere thanks to Dieter Pahr, of the Vienna University of Technology, for providing the HR-pQCT and QCT scans of the ex vivo femurs. (2010).

Appendix A. Appendices

Appendix A.1. QCT Calibration

The QCT scans included a QRM-BCD calibration phantom, which contain three cores with known densities of $0 \text{ mg/cm}^3$, $100 \text{ mg/cm}^3$ and $200 \text{ mg/cm}^3$. In each scan each core was sampled in a central location across all slices along its length. These values were averaged to produce three HU values, which were matched with their associated density. The linear calibration coefficients for each scan were then calculated as the linear fit between the paired HU and density values. The individually calculated coefficients for each scan were then combined to give an average set of coefficients. This was only performed after the coefficients were viewed to ensure there were no outliers. A representative calibration curve is shown in Figure A.13A.

Appendix A.2. HRpQCT Calibration

The reference HR-pQCT data was captured using an XTremeCT\textsuperscript{4} scanner at 70 kV with a pixel sizing of $0.082 \times$

\textsuperscript{4}Scanco Medical AG Switzerland
0.082 × 0.082 mm². No calibration phantoms were included in this dataset, so an alternative calibration method had to be used. The manufacturer did provide a linear calibration curve based upon the scan settings. However due to the expected non-linearities in the HU to BMD relationship, this was not used directly. Instead, it was combined with paired HR-pQCT and QCT values extracted from the scans to generate a quadratic calibration curve. Specifically, the manufacturers linear HR-pQCT calibration curve and the linear QCT calibration curve were used to fabricate paired HR-pQCT and QCT HU values over the BMD 0 – 200 mg/cm³ range defined by the QRM-BCD phantom. In addition, paired HR-pQCT and QCT image values were extracted from corresponding profiles across each image pair. In each case two values were recorded: the median low, and the median high value. The median low value is the median of the first twenty percent of the profile, which should lie entirely in the surrounding saline solution. The median high value if the maximum of the QCT profile, and the median of the maximum values recorded across the multiple HR-pQCT profiles. The profiles were then filtered to remove all profiles where the cortical thickness was measured to be less than 3 mm, as image blur might start effecting the measured QCT high median value in these cases, or where the cortex overlaps the portion of the profile used to generate the low median estimates. The remaining pairs were then combined with the fabricated data pairs before a quadratic regression line was fit as shown in Figure A.13B. This was combined with the linear QCT calibration curve to give a cubic HR-pQCT calibration curve. A representative curve is shown in Figure A.13C.

References


Figure A.13: (A) The linear calibration applied to QCT scans to convert QCT HU units into BMD values. (B) The quadratic calibration curve and the data from which this was generated, used to convert HR-pQCT HU into QCT HU units. (C) The complete quadratic calibration curve applied to HR-pQCT scans to convert HR-pQCT HU units into BMD values.


