

1 ***Title: Decadal-Scale Litter Manipulation Alters the Biochemical and Physical Character of***  
2 ***Tropical Forest Soil Carbon***

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14 **Abstract**

15           Climate change and rising atmospheric carbon dioxide (CO<sub>2</sub>) concentrations are likely to  
16 alter tropical forest net primary productivity (NPP), potentially affecting soil C storage. We  
17 examined biochemical and physical changes in soil C fractions in a humid tropical forest where  
18 litter manipulation changed total soil C stocks. We hypothesized that: (1.) low-density soil  
19 organic C (SOC) fractions are more responsive to altered litter inputs than mineral-associated  
20 SOC, because they cycle relatively rapidly. (2.) Any accumulation of mineral-associated SOC  
21 with litter addition is relatively stable (i.e. low leaching potential). (3.) Certain biomolecules,  
22 such as waxes (alkyl) and proteins (*N*-alkyl), form more stable mineral-associations than other  
23 biomolecules in strongly weathered soils. A decade of litter addition and removal affected bulk  
24 soil C content in the upper 5 cm by +32% and -31% in total quantity, respectively. Most notably,  
25 C concentration in the mineral-associated SOC fraction was greater in litter addition plots  
26 relative to controls by 18% and 28% in the dry and wet seasons, respectively, accounting for the  
27 majority of increased bulk soil C stock. Radiocarbon and leaching analyses demonstrated that the  
28 elevated mineral-associated SOC consisted of new and relatively stable C, with only 3% of  
29 mineral-associated SOC leachable in salt solution. <sup>13</sup>C NMR spectroscopy indicated decadal-  
30 scale stability of waxes (alkyl C) and microbial biomass compounds (*O*-alkyl and *N*-alkyl C) in  
31 mineral-associated SOC with litter removal, and losses plant-derived compounds (aromatic and  
32 phenolic C). We conclude that shifts in tropical forest NPP, as will likely occur with global  
33 change, are likely to alter the quantity, biochemistry, and stability of C stored in strongly  
34 weathered tropical soils.

35

## 36 **1. Introduction**

37           Soil organic carbon (SOC) storage in humid tropical forests is likely to be affected by  
38 shifting net primary productivity (NPP) in response to global change. Remote sensing data show  
39 increased NPP for much of the tropics during recent decades in response to warming, increased  
40 rainfall (Nemani et al., 2003), and elevated atmospheric carbon dioxide (CO<sub>2</sub>) (Lewis et al.,  
41 2009). At the same time, field studies have observed that warming (Clark et al., 2013) and drying  
42 (Tan et al., 2013) can suppresses NPP in tropical forests. This spatial variation may result from  
43 increased dry season duration in some areas (Boisier et al., 2015), versus increased wet season  
44 rainfall in other areas with climate change (Feng et al., 2013). Rainfall seasonality, in turn, is  
45 related to intra-annual shifts in SOC stocks, as shown for a humid forest in Panama (Turner et  
46 al., 2015). Since ~30% of global SOC stocks are in tropical forests (Jobbagy and Jackson, 2000),  
47 potential interacting effects of altered NPP and seasonality are of broad significance.

48           Changes in NPP are most likely to affect SOC storage via altered litterfall and/or root  
49 turnover. A global meta-analysis of 70 litter addition experiments showed a 31% overall increase  
50 in SOC content to 5 cm depths, with the largest changes in six tropical or sub-tropical studies  
51 included in the study (Xu et al., 2013b). More recently, litter manipulation in a humid  
52 Panamanian forest also resulted in significant changes in SOC stocks to 20 cm depths (Tanner et  
53 al., 2016). However, we know very little about how altered litterfall might affect the  
54 biochemistry, physical character, and stability of tropical SOC.

55           A useful conceptual model divides SOC into three fractions (Sollins et al., 1999): (1)  
56 free-debris SOC, which visually resembles decomposing litter and roots, is unattached to mineral  
57 particles, and tends to have younger C than other fractions in tropical soils (Marin-Spiotta et al.,  
58 2008); (2) occluded-debris SOC, which is litter that has been incorporated into aggregate

59 structures, or which is otherwise easily dispersible from soil; (3) mineral-associated SOC, often  
60 referred to as the “heavy fraction,” which consists of organic compounds sorbed to mineral  
61 surfaces via physiochemical mechanisms (Kleber et al., 2015). Studies of clay-rich tropical soils  
62 have found that the majority of SOC resides in the mineral-associated fraction (Trumbore, 1993;  
63 Glaser et al., 2000; Marin-Spiotta et al., 2009), which is generally thought to be the most stable,  
64 long-term SOC stock (Trumbore and Zheng, 1996; Torn et al., 1997; von Lutzow et al., 2006).  
65 Recent evidence, however, suggests that the mineral-associated SOC fraction can contain  
66 heterogeneous sub-fractions that turn over on different timescales (Sollins et al., 2006; Cusack et  
67 al., 2011b), with certain compounds like proteins comprising the most stable portion of mineral-  
68 associated SOC (Kleber et al., 2007). In humid tropical forests in particular waxy substances and  
69 other lipids may also contribute to more stable soil fractions (Cusack et al., 2011a).

70         Here, we investigate the biochemistry, physical character, and stability of SOC after a  
71 decade of litter manipulation in a humid Panamanian forest, following up on documented shifts  
72 in SOC stocks with litter addition or removal at these sites (Tanner et al., 2016). We measured  
73 three SOC fractions in surface soils, where the greatest changes in SOC stocks were documented,  
74 during a wet and a dry season. We hypothesized that: (1) changes in bulk SOC concentrations  
75 with litter manipulation are explained by shifts in rapidly cycling free-debris and occluded-debris  
76 SOC fractions, because these are likely to change over short timescales; (2) the quantity and  
77 chemical character of mineral-associated SOC is relatively insensitive to decadal-scale changes  
78 in litter inputs; (3) any new SOC accumulation in mineral-associated soil fraction with litter  
79 addition is relatively stable (i.e., low solubility); (4) waxy (alkyl) and protein-rich (*N*-alkyl) C  
80 groups represent the most stable portion of mineral-associated SOC, persisting on decadal

81 timescales even in the absence of new litter inputs. Finally, (5) seasonal shifts in SOC result  
82 primarily from changes in the free-debris and occluded-debris SOC fractions.

83

## 84 **2. Materials and Methods**

### 85 ***2.1 Site and Soil Collection***

86 Research was conducted in a lowland semi-deciduous tropical forests on the Gigante  
87 Peninsula in the Barro Colorado Nature Monument, Panama (9°06'N, 79°54'W) (Windsor,  
88 1990). The forest is a tropical moist forest *sensu* Holdridge et al. (1971). The site has a tropical  
89 monsoon seasonal climate, mean annual rainfall is 2600 mm, and mean annual temperature is  
90 26°C (Leigh, 1999). High tree species richness and the stature (canopy heights > 35 m) of this  
91 forest are characteristic of an old (> 200 years) seasonal primary forest (Leigh et al., 1996).  
92 Litterfall peaks during the dry season from December to April, and decreases by 50% during the  
93 wet season (Turner et al., 2015) Soils are moderately acidic (pH 4.8 – 5.4) kaolinitic Oxisols  
94 developed on basalt (Yavitt et al., 2009). The soils lack a distinct O horizon other than a  
95 continuous cover of leaf litter that builds up during the dry season and is virtually fully  
96 decomposed by the end of the wet season. Soils were classified in profile pits outside of plots  
97 according to Soil Taxonomy (SoilSurveyStaff, 1999). Soil were well-structured, with medium  
98 and coarse subangular blocky structure in the upper parts of the profile, breaking to strong fine  
99 subangular blocky. Some shrink-swell clays are evident in surface soils at these sites, possibly  
100 resulting from African dust inputs (Gross et al., 2016).

101 Fifteen 45 × 45 m litter manipulation plots were established in 2000 and trenched to a  
102 depth of 50 cm. The sides of the trenches were lined with heavy plastic. This depth was chosen  
103 to contain fine roots and deter growth into or out of plots, since >92% of fine root biomass is in

104 the top 50 cm of soil in forests in this region (Yavitt and Wright, 2001; Cusack et al., 2018).  
105 Monthly transfer of litter from five removal plots to five addition plots began in January 2003,  
106 and five control plots were included ( $n = 5$ ). Litter was removed by rake and by hand monthly  
107 and evenly distributed onto the litter addition plots. A study to 1-m depth after 6 years of litter  
108 manipulation at these sites showed that litter addition and removal significantly increased and  
109 decreased bulk soil C stocks to 20 cm depth, respectively, with the greatest effect in the upper 5  
110 cm of the profile (Tanner et al., 2016). This was consistent with an earlier study at 3 years  
111 showing significant treatment effects on organic matter concentrations in the top 2 cm of soils at  
112 the site (Vincent et al., 2010). Our goal was to better understand the physiochemical nature of the  
113 changing SOC stocks documented in these previous studies.

114 Soils for this study were collected during the dry season in February 2013, and the wet  
115 season in July 2013. Soils were sampled from the surface 5 cm using a 2.5 cm diameter constant-  
116 volume soil corer, thus sampling equivalent depths and volumes in all treatments. Surface litter  
117 was gently removed and coring began at the surface of the mineral soil. In litter addition plots a  
118 spatially heterogeneous duff layer had developed, and this was included in sampling where it  
119 was present. Three soil cores were sampled from 10 random stratified points within the 30 x 30  
120 m core area of each plot, then pooled and homogenized to give one representative sample per  
121 plot. The 0 – 5 cm depth was selected because it showed the strongest changes in bulk soil C  
122 with litter manipulation at 6 years (Tanner et al., 2016), and this depth has shown the strongest  
123 changes in litter manipulation experiments more broadly (Xu et al., 2013a).

124 Bulk density cores were collected using a 5 cm diameter corer in each plot. Gravimetric  
125 soil moisture, pH, bulk density, and SOC fractions (see below) were measured on fresh soils.  
126 Loss on ignition (LOI) was also measured for dry season samples to assess changes in the

127 mineral content of soils across treatments to the same depth. Carbon content normalized to  
128 mineral mass was assessed as an alternative to the strategy used here of collecting samples from  
129 a constant depth and volume across plots.

130         Given the previously documented change in bulk density with litter removal at these sites  
131 (Tanner et al., 2016), an alternative sampling approach could have been to adjust sampling depth  
132 in an attempt to collect equivalent mass. Soil volume increases and bulk density declines as  
133 organic matter is added. Thus, we would have had to sample to shallower depths in litter removal  
134 plots and deeper depths in litter addition plots to collect equivalent mass. This would pose  
135 problems because the composition of SOC changes with depth. For example, the proportion of  
136 dense, mineral-associated SOC increases with depth. We therefore determined that sampling  
137 equivalent mass would have masked any increased importance of low-density organic matter in  
138 surface soils, as reviewed by Sollins and Gregg (2017). Since our goal was to characterize the  
139 physiochemical characteristics of the change in surface SOC including chemical shifts related to  
140 changes in bulk density, we decided to sample to constant depth and volume rather than correct  
141 for changes related to bulk density.

142

## 143 ***2.2 Soil Fractionation***

144         Density fractionation was used to separate soils into three soil SOC pools using a solution  
145 of C-free sodium polytungstate (SPT) following Swanston et al. (2005), with modifications as  
146 noted. This method was chosen over particle size fractionation because it puts greater emphasis  
147 on adsorption and aggregation processes, rather than the fate of particulate organic matter (Moni  
148 et al., 2012). The density fractions included: (1) free-debris SOC, which was collected as the  
149 fraction of the soil that easily floated in dense liquid with minimal disturbance (sometimes

150 referred to as the “free light fraction” in the literature). (2) Occluded-debris SOC, which was  
151 collected as floatable SOC that was released from soil via mixing and dispersed via sonication at  
152 450 J/mL (sometimes referred to as the “occluded light fraction”). This SOC fraction is generally  
153 considered to turnover more slowly than the free-debris SOC fraction because of its physical  
154 protection with aggregate structures, as shown in an Australian Oxisol (Golchin et al., 1995).  
155 This fraction may also represent SOC at more advanced stages of decomposition than the free-  
156 debris, as suggested by elevated alkyl:*O*-alkyl ratios (Golchin et al., 1994). However, the  
157 quantity and chemistry of SOC released via sonication can be highly variable across soils (Wagai  
158 et al., 2009), and may include debris buried inside macro-aggregates, debris entrained in the  
159 organic coatings that have built up at the surface of micro-aggregates, and/or individual organic  
160 particles released from mineral grains by sonication. Iron-oxide particles in Oxisols are often  
161 bound up in exceptionally stable micro-aggregates (<5  $\mu\text{m}$ ), and these were not likely broken  
162 down by the method used here. (3) Mineral-associated SOC, which was collected as the dense  
163 pellet of soil remaining after removing the above two fractions (sometimes referred to as the  
164 “dense” or “heavy” fraction). This fraction generally represents the most stable and oldest soil C.  
165 Separation of fractions was done in sodium polytungstate (SPT) mixed to a density of 1.7  $\text{g}/\text{cm}^3$ ,  
166 following initial tests to identify optimal density and dispersal parameters (Appendix S1).

167 Bulk soils and SOC fractions were analyzed for C and N concentrations on a Costech  
168 Elemental Analyzer (Valencia, CA) using acetanilide as a standard. While the focus of this paper  
169 is on SOC, we included parallel N analyses to understand broader effects of litter manipulation  
170 on soil organic matter (SOM) N content and C:N ratios, particularly in relation to SOM protein  
171 content versus other C functional groups.

172



173 **2.3  $\Delta^{14}\text{C}$  and  $^{13}\text{C}$  NMR of Mineral-Associated SOC**

174 Because the mineral-associated SOC fraction commonly contains the longest-term soil C  
175 sink (Sollins et al., 1999), we conducted extensive chemical analysis of this C fraction to  
176 determine the potential effects of changing NPP on long-term tropical soil C storage.

177 First, we measured the  $^{14}\text{C}$  content of the mineral-associated SOC fraction to assess  
178 relative changes in the overall age of this C fraction with litter addition and removal. The spike  
179 in atmospheric  $^{14}\text{CO}_2$  from nuclear bomb testing in the 1960s and subsequent declines make it  
180 possible to assess relative ages of plant organic matter inputs to ecosystems on decadal  
181 timescales (Trumbore, 2000). The mineral-associated SOC fraction was measured for  $\Delta^{14}\text{C}$  at the  
182 WM Keck Carbon Cycle Accelerator Mass Spectrometry (AMS) Laboratory at UC Irvine  
183 following sample graphitization (Vogel et al., 1984). AMS analytical precision was  $\pm 2.2$  ‰ for  
184 these samples, and results are reported as the per mil (‰) deviation from a standard normalized  
185 for  $^{13}\text{C}$ .

186 We also assessed changes in the organic chemistry of the mineral-associated SOC  
187 fraction to understand how litter addition and removal altered this important C fraction. The  
188 organic chemistry of the mineral-associated fraction was assessed using  $^{13}\text{C}$  nuclear magnetic  
189 resonance (NMR) spectroscopy following hydrofluoric acid treatment (details in Appendix S1),  
190 which removes mineral and glass fractions to reduce magnet interference, and concentrates SOC  
191 to reduce noise in the spectra (Schmidt et al., 1997). This method also removes a portion of  
192 acid-soluble C as DOC from mineral associations (Gelin et al., 2001), leaving a sample of  
193 mineral-free, concentrated organic matter that is not soluble in hydrofluoric acid. Here, the  
194 hydrofluoric-acid-insoluble portion of mineral-associated SOC was 60.5% of the C contained in  
195 the mineral-associated fraction (Table S6), which is within the range found for a comparison of

196 different soil types (Hockaday et al., 2009). The mineral-associated SOC remaining after  
197 hydrofluoric acid treatment is the least dynamic, least soluble component of this pool.

198 Cross polarization  $^{13}\text{C}$  NMR was conducted on the concentrated SOC after hydrofluoric  
199 acid treatment using a 300 MHz Bruker Avance III NMR spectrometer (Bruker BioSpin,  
200 Billerica, MA) at Baylor University (Waco, TX). The 4mm sample probe was operated at a  
201 magic angle spinning (MAS) frequency of 12 kHz (details in Appendix S1). Resulting spectra  
202 were assessed for the relative contributions of seven C functional groups by integrating the signal  
203 magnitude on the  $\delta$ -scale. Carbon functional groups are followed in parentheses by some of the  
204 many common C compounds in which they occur: (1.) alkyl 0 to 45 ppm (e.g., waxes, other  
205 lipids); (2.) *N*-alkyl+methoxyl (hereafter *N*-alkyl) 45 to 60 ppm (e.g., proteins, peptides,); (3.) O-  
206 alkyl 60 to 95 ppm (e.g., cellulose, other carbohydrates); (4.) di-O-alkyl 95 to 110 ppm (e.g.  
207 hemicellulose); (5.) aromatic 110 to 145 ppm (e.g., lignin, tannin); (6.) phenolic 145 to 165 ppm  
208 (e.g., acids, tannin (Min et al., 2015)); and (7.) amide+carboxyl 165 to 215 ppm (e.g., chitin,  
209 proteins, peptides, and hemicellulose) (Li et al., 2015). The alkyl:*O*-alkyl ratio was calculated as  
210 an index of decomposition stage, with greater ratios generally indicative of later stages of litter  
211 decomposition (Preston et al., 2009).

212

#### 213 ***2.4 Soil C Stability and DOC Leaching***

214 The stability of C was assessed in each SOC density fraction by measuring the solubility  
215 of organic matter as DOC and TDN leached in the SPT supernatant plus approximately 1-L of  
216 deionized water (DI). This approach specifically mobilized DOC from cation and anion  
217 exchange sites, in an analogous approach to the commonly used potassium chloride (KCl) salt  
218 extractions for collecting DOC from soil (Swift, 1996). Floatable debris fractions were rinsed

219 with DI in filter holders through 0.4  $\mu\text{m}$  membrane filters until approximately 1-L was reached,  
220 which both cleaned the fraction of SPT, and allowed us to conduct the leaching experiment. The  
221 dense fraction was rinsed with DI in centrifuge tubes by shaking, centrifuging, decanting of  
222 supernatant. Decanted supernatant was then filtered as above, and any residue on the filter was  
223 added back to the dense fraction. Initial rinses included removal of the SPT from the fraction.  
224 Thus, all C in initial bulk soils was accounted for either in SOC solid fractions, or in leachate  
225 from the fractionation procedure and subsequent leaching (details in Appendix S1). Leachate  
226 samples were analyzed on a Shimadzu TOC-L with TDN analyzer (Columbia, MD).

227

## 228 *2.5 Statistical Analyses*

229 Data from the litter manipulation plots were analyzed using analysis of variance  
230 (ANOVA) and analysis of covariance (ANCOVA). The effects of season, treatment, and their  
231 interaction were tested for bulk soil C and N content, C and N in each density fraction,  $^{14}\text{C}$   
232 content of the mineral-associated fraction, and for  $^{13}\text{C}$  NMR chemical regions of the mineral-  
233 associated fraction. Effects of season and treatment were also tested for DOC and TDN leached  
234 from each SOC fraction. We used post-hoc Tukey HSD means separation tests to assess  
235 differences among litter treatments and seasons ( $n = 5$  per season and litter treatment). Post-hoc  
236 regressions were used to determine relationships between continuous variables across seasons ( $n$   
237  $= 30$  for bulk soils, 30 for each density fraction). We used JMP 11 statistical software (SAS  
238 Institute). Data are presented as means  $\pm 1$  S.E., using  $p < 0.05$  for statistical significance.

239

## 240 **3. Results**

241 After 10 years of litter manipulation, bulk soil C concentrations from 0 – 5 cm depths

242 were significantly greater with litter addition (+32%), and significantly lesser with litter removal  
243 (-31%) relative to control plots (Figure 1a, Table 1). Similar significant effects were observed for  
244 soil N concentrations, but the magnitude of the differences were not as large, so soil C:N values  
245 were significantly affected by treatment, with the lowest levels in litter removal plots (Table 1).

246 Bulk density was also significantly greater in litter removal plot ( $0.97 \pm 0.05 \text{ g/cm}^3$ )  
247 relative to the other treatments, while there was no significant difference between control ( $0.73 \pm$   
248  $0.03 \text{ g/cm}^3$ ) and litter addition plots ( $0.79 \pm 0.05 \text{ g/cm}^3$ ,  $n = 5$ ), and no seasonal effect (Table 1).  
249 This change represents a relatively large (+28%) increase in bulk density with litter removal  
250 relative to the other two treatments. Our approach of sampling equivalent depths and volumes  
251 across treatments explicitly did not correct for changes in bulk density, since these changes were  
252 due to addition of low-density organic matter, which we did not want to dilute by sampling to  
253 greater depth. Nonetheless, we can evaluate the effect of the change in bulk density on our bulk  
254 soil C content values. Using published data from our sites for C concentration versus depth to  
255 200 cm at six years (Tanner et al., 2016), we can calculate the depths we would have had to  
256 sample, and the resulting effect on bulk soil C content. The 28% greater bulk density that we  
257 observed with litter removal relative to controls is equivalent to a 1.4 cm increase in effective  
258 soil depth. Using the published soil depth profiles, we calculate that this greater effective soil  
259 depth would lead to a 2.4 % increase in C for litter removal plots relative to control plots, if our  
260 goal had been to calculate C stocks on a per-mass of soil basis. This is a small proportion of our  
261 observed 31% decline in bulk soil C concentration with litter removal.

262 Another approach is to normalize soil C content to mineral content. Loss on ignition  
263 values were significantly lower in litter removal plots ( $17.5 \pm 0.3 \%$ ) relative to other treatments,  
264 indicating greater content of minerals/ash, and there was no significant difference between

265 control and litter addition plots ( $19.7 \pm 0.3$  % and  $20.6 \pm 0.8$ , respectively,  $n = 5$ ). Using these  
266 LOI values and bulk density to standardize soil C content to mineral content for 0 – 5 cm depth,  
267 there was significantly greater soil C content per mineral content in litter addition plots ( $98.5 \pm$   
268  $4.5$  mg-C/g-mineral-content) versus control plots ( $75.3 \pm 3.0$  mg-C/g-mineral-content), with the  
269 lowest values in litter removal plots ( $49.5 \pm 2.5$  mg-C/g-mineral-content,  $p < 0.05$ ). Thus,  
270 normalizing soil C to mineral content gave similar differences between addition vs. control  
271 (+31%), and removal vs. control (-34%) as did C concentration and C stock data sampling  
272 equivalent volume (Table 1).

273

### 274 ***3.1 Soil Fraction C Contents, Relative Masses, and C Concentrations***

275 Soil organic C content was greater with litter addition versus controls and litter removal  
276 for all three soil fractions (Figure 1), with accompanying changes in C concentrations within  
277 each fraction (Table S2), and changes in the relative masses of the three fractions (Figure S2a),  
278 such that the contribution of each fraction to total soil C shifted significantly with litter  
279 manipulation (Figure S2b). There were also seasonal effects on all fractions, with interactions  
280 between season and treatment effects. The most important effect of litter manipulation on the  
281 mineral-associated SOC fraction, in terms of the net effect on bulk soil C content, was a change  
282 in C concentration within this fraction. In contrast, the most important effect on the free-debris  
283 and occluded-debris SOC fractions was an increase in the relative mass of these fractions as a  
284 proportion of total soil mass with litter addition. Results for the mineral-associated fraction are  
285 presented first, followed by the two floatable fractions together.

286

#### 287 ***3.1.1. Mineral-Associated SOC Fraction***

288           The mineral-associated SOC fraction comprised the largest portion of greater bulk soil C  
289 with litter addition (Figure 1a). The changes in mineral-associated soil C content resulted from  
290 greater C concentrations in this soil fraction with litter addition, and lesser C concentrations with  
291 litter removal (Table S2). Even though average C concentrations for the mineral-association  
292 SOC fraction were low relative to the other two fraction (3 – 5% C in mineral-association SOC,  
293 versus 20 – 40% C in the floatable fractions, Table S2), the large relative mass of this soil  
294 fraction (91 – 97 % of soil mass, Figure S2a) meant that it dominated net changes in soil C  
295 content. So, even with the relatively low average C concentrations, the mineral-association SOC  
296 fraction contributed the largest overall proportion of soil C content, containing 64 – 82 % of total  
297 soil C (Figure S2b).

298           There was also a seasonal effect on C concentrations within the mineral-associated  
299 fraction, with greater C concentrations overall and larger differences among treatments during  
300 the wet season (Figure 1a). Specifically, mineral-associated C concentrations were  $4.0 \pm 0.2$  % in  
301 control plots versus  $4.7 \pm 0.2$  % in litter addition plots during the dry season (Table S2),  
302 representing an 18% increase. During the wet season, mineral-associated C concentrations were  
303  $4.3 \pm 0.1$  % in control plots, versus  $5.5 \pm 0.2$  % in litter addition plots (Table S2), representing an  
304 28% increase in C concentration. Mineral-associated C concentrations in litter removal plots  
305 were 25% lower than control plots the dry season, and 28% lower than control plots during the  
306 wet season (Table S2). Nitrogen concentrations in the mineral-associated soil fraction showed  
307 similar significant shifts with litter removal, but the magnitude of changes in N concentration  
308 were not as large, so C:N ratios in the mineral-associated SOC fraction were lower in litter  
309 removal plots than in litter addition plots, indicating a relative enrichment in N with litter  
310 removal (Table S2).

311           Assessing the total C content within the mineral-associated fraction, using C  
312 concentrations and the mass of this fraction as a proportion of soil mass, there was  $43.5 \pm 2.1$   
313 mg-C/g-bulk-soil in mineral-associated SOC in litter addition plots,  $38.7 \pm 1.7$  mg-C/g-bulk-soil  
314 in control plots, and  $28.9 \pm 1.6$  mg-C/g-bulk-soil in litter removal plots in the dry season (Figure  
315 1a). In the wet season there was  $50.7 \pm 2.0$  mg-C/g-bulk-soil in the mineral-associated SOC  
316 fraction in litter addition plots,  $41.0 \pm 1.1$  mg-C/g-bulk-soil in control plots, and  $30.2 \pm 1.5$  mg-  
317 C/g-bulk-soil in litter removal plots (Figure 1a). Thus, litter addition plots had 12% greater  
318 mineral-associated SOC content than controls during the dry season, and 24% greater during the  
319 wet season relative to controls. Litter removal plots showed less of a seasonal effect on mineral-  
320 associated SOC content, with removal plots 25% lesser than controls during the dry season, and  
321 26% lesser during the wet season relative to controls. Comparing shifts from the dry season to  
322 the wet season, mineral-associated SOC content increased 17% in the litter addition plots from  
323 the dry to the wet season, 6% in control plots, and only 4% in litter removal plots. These  
324 seasonal shifts illustrate the interaction between litter treatment and season, with wet season  
325 increases in mineral-associated SOC content much greater in litter addition versus litter removal  
326 plots.

327

### 328 ***3.1.2. Low-Density SOC Fractions***

329           Unlike the mineral-associated SOC fraction, the change in soil C content attributable to  
330 the two floatable fractions (Figures 1b and 1c) was related primarily to shifts in their relative  
331 masses within soil (Figure S2a), rather than large changes in their C concentrations (Table S2).  
332 Nonetheless, there were significant but small effects of litter manipulation and season on C

333 concentration in the free-debris SOC fraction (Table S2). The occluded-debris fraction had no  
334 significant effect of litter manipulation on C concentrations.

335         The shift in the relative masses of these fractions with litter manipulation was quite large.  
336 For the free-debris SOC fraction, relative mass was 89% and 37% greater in litter addition plots  
337 relative to controls during the dry and wet seasons, respectively (Table S2, Figure S2a), and -  
338 63% and -58% lesser in litter removal plots relative to controls during the dry and wet seasons,  
339 respectively. The occluded-debris SOC fraction did not show strong treatment effects during the  
340 dry season, but during the wet season its mass was 74% greater in litter addition plots relative to  
341 controls, and -18% lower in litter removal plots relative to controls (Table S2, Figure S2a).  
342 Given the sizeable shifts in the proportional masses of the two low-density fractions with litter  
343 manipulation, and their large C concentrations, their overall contributions to total soil C content  
344 was significant, even though their proportional masses were small, contributing only 1-6% of  
345 total soil mass (Table S2).

346         Using C concentration values and soil mass proportions to calculate the C content in the  
347 two low-density SOC fractions, the free-debris SOC fraction had  $17.7 \pm 3.4$  mg-C/g-bulk-soil in  
348 litter addition plots,  $9.2 \pm 1.0$  mg-C/g-bulk-soil in control plots, and  $3.4 \pm 0.5$  mg-C/g-bulk-soil  
349 in litter removal plots in the dry season (Figure 1b). In the wet season, there was  $10.3 \pm 2.4$  mg-  
350 C/g-bulk-soil in litter addition plots,  $7.2 \pm 1.0$  mg-C/g-bulk-soil in control plots, and  $2.6 \pm 0.3$   
351 mg-C/g-bulk-soil in litter removal plots for the free-debris SOC fraction (Figure 1b). This  
352 represents 92% greater free-debris C content in litter addition plots versus controls in the dry  
353 season, and 43% greater free-debris C content in litter addition plots versus controls during the  
354 wet season, as well as 63% less in litter removal plots versus controls during the dry season, and  
355 64% less in litter removal plots versus controls during the wet season.



356 In the occluded-debris SOC fraction, there was  $6.1 \pm 1.1$  mg-C/g-bulk-soil in litter  
357 addition plots,  $3.6 \pm 1.1$  mg-C/g-bulk-soil in control plots, and  $3.8 \pm 0.5$  mg-C/g-bulk-soil in  
358 litter removal plots in the dry season (Figure 1a). In the wet season, there was  $12.1 \pm 3.5$  mg-C/g-  
359 bulk-soil in litter addition plots,  $5.8 \pm 1.8$  mg-C/g-bulk-soil in control plots, and  $3.9 \pm 1.0$  mg-  
360 C/g-bulk-soil in litter removal plots for the free-debris SOC fraction (Figure 1c). This represents  
361 69% more occluded-debris C in litter addition plots versus controls during the dry season, and  
362 108% more occluded-debris C in litter addition plots versus controls during the wet season.  
363 There was no significant decrease in occluded-debris C content with litter removal in the dry  
364 season, but there was 33% less occluded-debris C content in litter removal plots versus controls  
365 during the wet season.

366 There were also overall seasonal effects on the proportion of soil mass and the proportion  
367 of C content for the two low-density SOC fractions. The free-debris SOC fraction was a larger  
368 proportion of total soil C (Figure S2b) and of total soil mass (Figure S2a, Table S2) during the  
369 dry versus wet season. In contrast, the occluded-debris fraction represented a significantly larger  
370 proportion of total soil C (Figure S2b) and of total soil mass (Table S2) during the wet versus dry  
371 season. Thus, seasonal patterns for C content in the occluded-debris fraction mirrored patterns  
372 for the mineral-associated SOC fraction, and the occluded-debris fraction had opposite  
373 seasonality (Figure 1).

374

### 375 ***3.2. Organic Chemistry and $\Delta^{14}\text{C}$ Age of Mineral-Associated SOC***

376 The  $\Delta^{14}\text{C}$  of the mineral-associated SOC fraction was significantly greater with litter  
377 removal versus addition, with no seasonal effect (Figure 2). Because the  $\Delta^{14}\text{C}$  signal in surface  
378 soil is dominated by recent C inputs from plant litter, the greater values in litter removal plots

379 correspond to an older overall age of the mineral-associated SOC fraction, versus a younger  
380 overall mineral-associated SOC fraction in litter addition plots with greater dominance by recent  
381 litter inputs.

382 Solid-state  $^{13}\text{C}$  NMR spectroscopy revealed decadal-scale effects of litter manipulation  
383 on the organic chemistry of mineral-associated SOC, with no seasonal effects. All C groups  
384 responded to litter manipulation except amide+carboxyl C. Overall, alkyl C, *N*-alkyl C, and *O*-  
385 alkyl C were proportionally larger components of mineral-associated SOC in litter removal  
386 versus addition plots, while di-*O*-alkyl C, aromatic C, and phenolic C showed the opposite  
387 pattern (Table 2). These changes resulted in an overall shift in the chemical nature of mineral-  
388 associated SOC, as illustrated by comparing representative spectra from litter addition and  
389 removal plots (Figure 3). Thus, there was a significant treatment effect on the ratio of (alkyl + *O*-  
390 alkyl + *N*-alkyl):(phenolic + aromatic) C (Table 2). There was also a significant interaction  
391 between season and treatment only for the alkyl:*O*-alkyl ratio in mineral-associated SOC, with  
392 significantly greater ratios in litter addition plots ( $1.5 \pm 0.04$ ) versus control ( $1.4 \pm 0.03$ ) and  
393 removal plots ( $1.3 \pm 0.02$ ) during the dry season only, and no effect during the wet season. This  
394 dry season pattern is the opposite pattern of what would be expected for more advanced stages of  
395 decomposition in litter removal plots. Overall, these alkyl:*O*-alkyl ratio for mineral-associated  
396 SOC were all significantly greater than values obtained for occluded-debris SOC at varying  
397 sonication energy levels, suggesting that our method appropriately separated these two fractions  
398 (Appendix S1).

399 Two C chemical groups were significantly related to the  $\Delta^{14}\text{C}$  content of mineral-  
400 associated SOC. Mineral-associated  $\Delta^{14}\text{C}$  was positively significantly correlated to *O*-alkyl C  
401 ( $R^2 = 0.18$ ,  $n = 30$ ), indicating older C present when more *O*-alkyl C was present. In contrast,

402 mineral-associated  $\Delta^{14}\text{C}$  was negatively significantly correlated to phenolic C ( $R^2 = 0.27$ ,  $n =$   
403 30), indicating younger C present when more phenolic C was present.

404

### 405 ***3.3. SOC Stability: DOC Leaching***

406 The proportion of salt-extractable C (i.e., leachable DOC) in each soil fraction, expressed  
407 as mg-C-leached/g-C retained, varied across SOC fractions, with no effect of season. Overall,  
408 DOC leaching was 5–14 % of the stable C in the free-debris (“free floatable”) fraction, 15–30 %  
409 of the stable C in the occluded-debris (“dispersible floatable”) fraction, and only 2–3 % of the  
410 stable C in the mineral-associated (“dense”) fraction (Figure 4, Table S3). Thus, all SOC  
411 fractions had a component that was salt-extractable, but this proportion was smallest in the  
412 mineral-associated fraction, indicating that more of the C in this fraction was relatively stable.

413 The effect of litter manipulation on the proportion of C that was salt-extractable varied  
414 across SOC fractions. In the free-debris fraction, a significantly greater proportion of DOC was  
415 leachable from litter removal plots ( $12.7 \pm 0.8$  %) relative to control ( $6.2 \pm 0.6$  %) or litter  
416 addition plots ( $4.9 \pm 0.4\%$ ), suggesting a high level of instability and solubility for the small  
417 stock of free-debris organic matter remaining in litter removal plots after a decade (Figure 4a). In  
418 contrast, the mineral-associated SOC fraction had a significantly greater proportion of leachable  
419 DOC in litter addition plots ( $2.9 \pm 0.3$  %), relative to control ( $2.3 \pm 0.2$  %) or litter removal plots  
420 ( $1.8 \pm 0.1$  %, Figure 4b), indicating that C remaining in mineral associations in litter removal  
421 plots after a decade was strongly sorbed to mineral surfaces and stable. Significant treatment  
422 effects on proportional losses of total dissolved N (TDN) were similar to those for DOC,  
423 although N was much more mobile overall than C (Table S3).

424 Overall, the proportion of C that was leachable as DOC from the mineral-associated

425 fraction was positively correlated with the total quantity of C in that fraction ( $R^2 = 0.66$ ,  $p <$   
426  $0.05$ ,  $n = 30$ ). Accordingly, bulk quantities of leachable DOC per g soil showed similar treatment  
427 effects as did soil C concentrations across fractions (Figures S4 versus Figure 1, Table S2), and  
428 so did trends for leachable TDN per g soil (Tables 2, S5). Total DOC leaching was  $1.1 \pm 0.2$  mg-  
429 C/g-bulk-soil in litter addition plots,  $0.9 \pm 0.1$  mg-C/g-bulk-soil in control plots, and  $0.5 \pm 0.04$   
430 mg-C/g-bulk-soil in litter removal plots in the dry season (Figure 1a). In the wet season DOC  
431 leaching was  $1.6 \pm 0.3$  mg-C/g-bulk-soil in litter addition plots,  $0.9 \pm 0.1$  mg-C/g-bulk-soil in  
432 control plots, and  $0.6 \pm 0.1$  mg-C/g-bulk-soil in litter removal plots (Figure S4). These quantities  
433 represent very small fractions of total soil C content (Figure 1), ranging from just 1.7 – 3.1 % of  
434 total soil C leachable as DOC.

435

#### 436 **4. Discussion**

437 The effects of litter manipulation on bulk soil C concentrations after 10 years in these  
438 Panamanian forests indicate a sustained effect, with similar effects observed from 0 – 2 cm depth  
439 after three years (Vincent et al., 2010), and from 0 – 20 cm depth after six years (Tanner et al.,  
440 2016). The increase in soil C content with litter addition occurred despite an apparent priming  
441 effect of added litter on soil CO<sub>2</sub> fluxes at these sites (Sayer et al., 2011). Similar to our  $\pm 30\%$   
442 change in bulk soil C content with litter addition or removal, two years of litter manipulation in a  
443 Costa Rican forest on Ultisols also changed bulk soil C concentrations by approximately  $\pm 30\%$   
444 (Leff et al., 2012). In contrast, a review of litter manipulations in temperate forests showed  
445 generally non-significant or small effects on bulk soil C content (Sayer, 2006). Our results, in  
446 conjunction with Leff et al. (2012). and the few tropical studies in the meta-analysis (Xu et al.,  
447 2013b), suggest that soil C stocks in strongly weathered tropical forest soils may be more

448 sensitive to changes in NPP over shorter time periods than soils in temperate sites. Such a trend  
449 may reflect faster C cycling, greater overall C inputs to soils per year, and/or sorptive  
450 characteristics of the strongly weathered clay soils common in humid tropical forests.

451

#### 452 ***4.1. Elevated SOC across Soil Fractions with Litter Addition***

453 The substantial accumulation of organic matter that we observed in the mineral-  
454 associated SOC fraction was somewhat surprising, since this soil fraction is commonly a  
455 relatively stable pool of long-term soil C storage (Schmidt et al., 2011). Elevated mineral-  
456 associated SOC may have resulted in part from the elevated soil pH observed in litter addition  
457 plots (Tanner et al., 2016), since increased pH can mobilize DOC from organic matter  
458 (Whitehead et al., 1981), transporting C from litter down into mineral soils. Mobilized DOC may  
459 then have sorbed to the relatively large number of binding sites common on clay surfaces in  
460 highly weathered tropical forest soils (Chorover and Sposito, 1995). Or, DOC may have  
461 associated with other organic compounds already sorbed to mineral surfaces (Kleber et al.,  
462 2007), or to surfaces of very stable microaggregates (< 1  $\mu\text{m}$  diameter) (Torrent et al., 1990).

463 The low-density SOC fractions also accumulated in soils with litter addition, as expected,  
464 indicating that decomposition rates and other C losses did not accelerate to balance new litter  
465 inputs. The greater levels of occluded-debris SOC in litter addition plots could have resulted  
466 from organic matter incorporation into aggregate structures, particularly during formation and  
467 dissolution of aggregates over the course of rainfall seasonality (Dimoyiannis, 2009). In contrast  
468 to our results, a 50-year experiment in two temperate forests on silt-loam soils with lower clay  
469 content found that litter addition increased only the low-density SOC fractions, while litter  
470 removal reduced both low-density and mineral-associated SOC fractions (Lajtha et al., 2014). A

471 better understanding of the ecosystem and soil characteristics that promote rapid accumulation of  
472 organic matter into stable mineral associations could help us better predict C cycle responses to  
473 global change across biomes.

474

#### 475 ***4.2. Stability of C in SOC Fractions: Potential DOC Leaching***

476 As hypothesized, C incorporated into the mineral-associated soil fraction was  
477 subsequently relatively stable, as demonstrated by its low solubility and leaching potential.  
478 Similarly, a range of soil orders from North and Central American sites showed rapid  
479 incorporation of added <sup>15</sup>N into the mineral-associated fraction in a laboratory study, with  
480 subsequent low levels of solubility (Strickland et al., 1992). A desorption study using goethite  
481 and a subsoil similarly showed <3% desorption of organic matter when leaching solution was  
482 similar to sorption solution, and elevated desorption only under elevated ion concentrations or  
483 elevated pH (Kaiser and Zech, 1999). Thus, it appears that in one direction organo-mineral  
484 associations can be highly dynamic (e.g., rapid mineral sorption of organic compounds), but in  
485 the other direction, losses from this pool are more limited (i.e., not leachable as DOC),  
486 illustrating how this fraction can be a reservoir of long-term soil C storage. The longer-term  
487 stability of elevated mineral-associated SOC in litter addition plots merits further investigation.

488 Interestingly, the litter manipulation effect on DOC leaching as a proportion of extant  
489 SOC was different for the two low-density SOC fractions compared with the mineral-associated  
490 fraction. Litter removal versus addition plots had greater DOC leaching from low-density SOC  
491 fractions, but lesser DOC leaching from mineral-associated SOC fractions. This opposite  
492 treatment effect likely reflects the different physiochemical nature of organic matter in these  
493 different SOC fractions. Organic matter in the low-density fractions is comprised primarily plant

494 or microbial macro tissues at some stage of decomposition, whereas the mineral-associated SOC  
495 fraction contains small organic compounds sorbed to mineral surfaces out of DOC. Thus, high  
496 levels of DOC leaching from low-density SOC fractions in litter removal plots likely resulted  
497 from the rapid dissolution of very decomposed macro tissues. In contrast, low levels of DOC  
498 leaching from the mineral-associated DOC fraction in litter removal plots likely resulted because  
499 the organo-mineral associations remaining after a decade of *in situ* leaching with rainwater were  
500 the most stably sorbed portion of mineral-associations.

501

### 502 **4.3. Changes in the $\Delta^{14}\text{C}$ of Mineral-Associated SOC**

503 Our radiocarbon data support growing evidence that only a portion of the mineral-  
504 associated SOC fraction is stable over the long term, whereas another portion is dynamic on  
505 decadal timescales. The relatively greater  $\Delta^{14}\text{C}$  values of mineral-associated SOC in litter  
506 removal plots suggest decadal-scale retention of relatively older C, assuming that the majority of  
507 C in surface soils originated from NPP during the past 60 years (Trumbore, 2000). In contrast,  
508 the lesser  $\Delta^{14}\text{C}$  values in mineral-associated SOC in litter addition plots suggest an accumulation  
509 of relatively recent C inputs. Because  $\Delta^{14}\text{C}$  is an integrated measure of all C included in the SOC  
510 fraction, the “modern” overall ages measured here do not preclude the presence of some much  
511 older C. For example, mineral-associated SOC fractions of surface soils in Puerto Rico had  $\Delta^{14}\text{C}$   
512 values of 74–81 ‰, similar to values here, and turnover times calculated using archived samples  
513 and a two-pool model showed that > 30% of the C had turnover times on the scale of millennia  
514 (Hall et al., 2015). The mineral-associated SOC fraction in general may be more accurately  
515 represented using a two-pool model (Torn et al., 2013). Thus, a portion of our mineral-associated  
516 SOC fraction is likely very old, and the treatment effect we observed suggests that this older

517 portion was the most stable component of mineral-associated SOC.

518

#### 519 ***4.4. Changes in the Organic Chemistry of Mineral-Associated SOC***

520 Mineral-associated SOC chemistry changed after a decade of litter removal, with  
521 increased dominance of alkyl, *O*-alkyl, and *N*-alkyl C, which is likely indicative of the relative  
522 stability of these C functional groups on mineral surfaces. The increased dominance of *N*-alkyl C  
523 groups in litter removal plot mineral associations points to the importance of proteins. Proteins  
524 have been put forth as a central component of stable mineral-associated SOC, because they sorb  
525 strongly to mineral surfaces and have hydrophobic outward-facing protons, leading to further  
526 associations with a wide range of organic compounds (Kleber et al., 2007). Several recent  
527 laboratory and field studies also provide support for the central role of microbial proteins in the  
528 formation of stable organo-mineral associations. One set of lab experiments showed rapid and  
529 preferential sorption of microbial proteins to mineral surfaces (Swenson et al., 2015), and  
530 another set showed that microbial biomass products dominated SOC accumulation in model soils  
531 (Kallenbach et al., 2016). In the field, a European forest litter decomposition experiment  
532 demonstrated that C from added litter became stably associated with mineral surfaces after  
533 incorporation into microbial biomass (Hatton et al., 2012), and an isotope labeling experiment in  
534 temperate grassland soils showed that soluble C compounds from litter were stored in SOC after  
535 microbial uptake (Cotrufo et al., 2015). In our study, the lower C:N ratios we observed in  
536 mineral-associated SOC with litter removal also suggest more advanced stage of microbial  
537 processing (Baisden et al., 2002), and/or greater protein content. Together, these results supply  
538 growing evidence that microbial biomass products like proteins play a dominant role in the  
539 formation of stable, mineral-associated SOC.



540 Other C groups have also been posited as playing a role in long-term, stable C retention  
541 in soils. In particular, aromatic and phenolic compounds like lignin, as well as alkyl C,  
542 representative of waxes and other lipids, have been seen to be preferentially preserved in soils  
543 (Baldock et al., 1997; De Marco et al., 2012) (Baldock et al., 1992). However, there is  
544 conflicting data about the long-term stability of aromatic C in particular. For example, field data  
545 from cultivated soils demonstrated that added aromatic compounds (lignin) were lost relatively  
546 rapidly from soils, with no preferential retention (Gleixner and Poirier, 2001). More generally,  
547 there is growing consensus in the literature that few, if any, organic compounds are retained in  
548 soils over the long-term based solely on their chemical structure (i.e. without some form of  
549 physical protection like sorption to minerals) (Schmidt et al., 2011) (Lehmann and Kleber,  
550 2015). For aromatic-rich compounds, desorption experiments suggest that the sometimes  
551 observed preferential retention of compounds like lignin may occur because aromatics can bind  
552 more strongly to mineral surfaces than other compounds in some cases (Kaiser and Zech, 1999;  
553 Kaiser, 2003). In our data, we saw no evidence for long-term retention of aromatic C in the  
554 mineral-associated SOC fraction. Also, the negative relationship between  $\Delta^{14}\text{C}$  and phenolic C  
555 in mineral-associated SOC indicated that phenolics contributed a relatively younger, more  
556 dynamic portion of this SOC fraction. We did see increased dominance of alkyl C with litter  
557 removal, as expected. The waxy, tough leaves characteristic of these Panamanian forests (Coley,  
558 1983) likely had an influence on SOC chemistry (Kögel-Knabner, 2002). Alternately, alkyl C in  
559 soils may be more strongly related to soil microbial biomass rather than litter chemistry, as  
560 observed in subtropical plantations in China (Wang et al., 2015). Here, the increased dominance  
561 of alkyl C in litter removal plots was in mineral-associated SOC, suggesting that even this  
562 relatively recalcitrant C group depended on mineral associations for protection from

563 decomposition.

564         In contrast to alkyl C, *O*-alkyl C, representative of carbohydrates like cellulose, is  
565 generally lost from litter quickly during microbial decomposition (Preston et al., 2009). Thus, the  
566 ratio of alkyl:*O*-alkyl C has been used to measure the extent of microbial processing of organic  
567 matter in litter and soil (Baldock et al., 1997; LaRowe et al., 2012; Keiluweit et al., 2016). It was  
568 therefore surprising that the Panama litter removal plots had elevated proportions of both alkyl  
569 and *O*-alkyl C in mineral-associated SOC, and significantly lower soil alkyl:*O*-alkyl ratios  
570 relative to control plots. Also, the positive relationship between  $\Delta^{14}\text{C}$  and *O*-alkyl C indicated  
571 that *O*-alkyls contributed a relatively older, more stable portion of mineral-associated SOC.  
572 Although unusual, preferential retention of *O*-alkyl C has been observed in some other forests,  
573 particularly for plant litters with high alkyl:*O*-alkyl starting ratios (e.g. waxy leaves), and  
574 resistant *O*-alkyl C compounds (e.g. from tannins) (Almendros et al., 2000). Also, cell wall  
575 polymers in fungal and bacterial biomass (e.g., chitin and peptidoglycan) are comprised largely  
576 of *O*-alkyl C plus proteins. Thus, the preferential retention of *O*-alkyl C that we observed with  
577 litter removal may indicate a particularly resistant carbohydrate group from plant litter, but it  
578 seems more likely, together with the *N*-alkyl data (above), that increased *O*-alkyl C indicated  
579 dominance of microbial biomass products in mineral-associated SOC following litter removal.

580         Overall, our data indicate that most plant-derived compounds, of which phenolic and  
581 aromatic C are indicative, are not retained in mineral associations over the long-term in humid  
582 tropical forest soils. Rather, microbial biomass compounds like proteins and cell wall polymers  
583 (containing *O*-alkyl and *N*-alkyl C groups), as well as lipids (alkyl C), appear to be preferentially  
584 retained on mineral surfaces in these soils on a decadal timescale. Our  $^{13}\text{C}$  NMR data also  
585 suggest that a revised ratio of (alkyl C + *O*-alkyl C + *N*-alkyl):(aromatic + phenolic), may be a

586 useful indicator of the biochemical stability of SOC in strongly weathered tropical forest soils.

587

#### 588 ***4.5. Different Seasonal Effects Across SOC Fractions***

589 Our data indicate that dry-season declines in mineral-associated and occluded-debris  
590 SOC explain an observed overall decrease in bulk soil C stocks during the dry season at these  
591 sites (Turner et al., 2015). The wet season increase in mineral-associated SOC, particularly with  
592 litter addition, may indicate the importance of rainfall leaching DOC from litter downward and  
593 subsequent DOC sorption to mineral surfaces (Qualls et al., 2002). The wet-season increase in  
594 occluded-debris SOC could be related to elevated formation and dispersal of macro aggregates (2  
595 – 4.75 mm diameter), which is common during wet periods in seasonally dry sites (Bach and  
596 Hofmockel, 2016). In contrast, the wet-season decline in free-debris SOC likely reflects a two-  
597 fold increase in forest floor decomposition rates during the wet season in these Panamanian  
598 forests relative to the dry season (Wieder and Wright, 1995). Overall, our data suggest a seasonal  
599 transfer of SOC between fractions, with added litter incorporated into free-debris space during  
600 the dry season, and then possibly transferred to occluded-debris and/or mineral associated  
601 fractions during the subsequent wet season, along with C from new litter.

602

#### 603 **5. Conclusion**

604 This study illustrates that the physical and biochemical nature of soil C stocks will be  
605 sensitive to changes in tropical forest NPP with global change. Most notably, the relatively stable  
606 mineral-associated SOC fraction showed large changes with a decade of litter manipulation.  
607 Litter addition promoted the accumulation of C into organo-mineral associations that were  
608 relatively stable (i.e. not leachable as DOC), suggesting that strongly weathered tropical soils

609 have the capacity to store more C if tropical forest NPP increases. Litter removal demonstrated  
610 that the most stable portion of mineral-associated SOC had elevated dominance of lipids like  
611 waxes (alkyl C), and microbial biomass products like proteins and cell walls (*N*-alkyl and *O*-  
612 alkyl C). In contrast, plant-derived compounds, characterized by aromatic and phenolic C,  
613 formed a more dynamic portion of mineral-associated SOC, demonstrating that these may have  
614 limited importance for long-term soil C storage in strongly weathered tropical soils. Seasonal  
615 patterns indicated an accumulation of free-debris SOC during the dry season, followed by  
616 occluded-debris and mineral-associated SOC increases during the wet season, promoting an  
617 overall increase in bulk soil C during the wet season. Thus, a change in the duration or severity  
618 of the dry season may interact with changes in tropical forest NPP to alter soil C storage in  
619 tropical forests. For example, NPP-driven increases in mineral-associated SOC could diminish  
620 under drying scenarios. Overall, our findings show that changes in tropical forest NPP are likely  
621 to cause large changes in the quantity, stability, and biochemical character of soil C stocks.

622

623

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630

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851
- 852

853 **Figure Legends**

854 **Figure 1.** (A.) Bulk soil C concentrations, made up of three SOC fractions, are shown for litter  
855 addition (L+), control (C), and litter removal (L-) plots during a wet and dry season (0 – 5 cm  
856 depths). Bulk soil C concentrations were significantly greater in L+ versus C and L-, driven  
857 primarily by greater mineral-associated SOC. (B.) Free-debris SOC was greater in L+ versus C  
858 and L-, with the largest treatment effect during the dry season. (C.) Occluded-debris SOC was  
859 greater in L+ versus L-, with the largest treatment effect during the wet season. ^ indicates  
860 significantly greater free-debris SOC during the dry season; # indicates significantly greater  
861 mineral-associated and occluded-debris SOC fractions during the wet season. Tukey HSD means  
862 separation results are shown in capital letters for bulk soil C concentrations, and lower case  
863 letters for SOC fractions, showing differences across both seasons. Averages are shown for each  
864 fraction  $\pm$  one SE for that fraction (n = 5).

865

866 **Figure 2.** Average radiocarbon ( $\Delta^{14}\text{C}$ ) values for mineral-associated SOC are shown for litter  
867 addition (L+), control (C), and litter removal (L-) plots. All  $\Delta^{14}\text{C}$  values reflect  $^{14}\text{C}$  released  
868 during bomb testing in the 1960s (i.e., “modern” values), so lesser  $\Delta^{14}\text{C}$  values in L+ versus L-  
869 indicate more retention of recent C inputs into mineral-associated SOC with litter addition.  
870 Letters shown in the bars indicate Tukey HSD differences in the mean among the treatments.  
871 There were no seasonal effects so values were pooled. Averages are shown  $\pm$  one SE (n = 5).

872

873 **Figure 3.** Representative  $^{13}\text{C}$  NMR spectra are shown for mineral-associated SOC in a litter  
874 removal plot (black line, L-), and a litter addition plot (gray line, L+). Chemical shift regions  
875 shown on a unitless  $\delta$ -scale represent seven SOC functional groups: alkyl (0-45 ppm), N-

876 alkyl+methoxyl (45-60 ppm), *O*-alkyl (60-95 ppm), di-*O*-alkyl (95-110 ppm), aromatic (110-145  
877 ppm), phenolic (145-165 ppm), and amide+carboxyl (165 – 215 ppm). The proportion of total  
878 SOC in the alkyl, N-alkyl and O-alkyl regions was significantly after a decade of litter removal  
879 versus addition (Table 2).

880

881 **Figure 4.** The proportion of C leached as DOC is shown for (A.) free-debris SOC, and (B.)  
882 mineral-associated SOC, comparing litter addition (L+), control (C), and litter removal (L-)  
883 plots. (A.) A greater proportion of free-debris SOC was leachable as DOC in L- versus L+. (B.)  
884 In contrast, a greater proportion of the mineral-associated SOC was leachable as DOC in L+  
885 versus L-, suggesting greater C desorption. Letters indicate Tukey HSD differences in the mean  
886 among the treatments. There were no seasonal effects so values were pooled. Averages are  
887 shown  $\pm$  one SE (n = 5).

888 **Tables**

889 **Table 1:** Effects of litter manipulation on bulk soil chemistry and soil characteristics are shown for a wet and a dry season in Panama

890 (0 – 5 cm depth). Averages are given  $\pm$  one SE (n = 5).

891

Season	Treatment	Bulk Soil %C <sup>a</sup>	Bulk Soil %N <sup>a</sup>	Bulk Soil C:N ratio <sup>a</sup>	Soil Moisture g-water/ g-soil <sup>a, b, c</sup>	Bulk Density (g/cm <sup>3</sup> ) to 5 cm depth <sup>a</sup>	C Stock (mg/cm <sup>2</sup> ) to 5cm depth <sup>a</sup>	Leachable DOC mg-C/g-soil <sup>a</sup>	Leachable TDN $\mu$ g-N/g-soil <sup>a</sup>
Dry	Addition	6.7 $\pm$ 0.5	0.63 $\pm$ 0.02	10.7 $\pm$ 0.8	0.43 $\pm$ 0.03	0.82 $\pm$ 0.08	269 $\pm$ 20	1.1 $\pm$ 0.3	254 $\pm$ 38
	Control	5.2 $\pm$ 0.3	0.52 $\pm$ 0.02	9.9 $\pm$ 0.3	0.42 $\pm$ 0.01	0.78 $\pm$ 0.03	200 $\pm$ 14	0.9 $\pm$ 0.1	213 $\pm$ 40
	Removal	3.6 $\pm$ 0.2	0.38 $\pm$ 0.01	9.4 $\pm$ 0.4	0.35 $\pm$ 0.01	0.99 $\pm$ 0.04	180 $\pm$ 15	0.5 $\pm$ 0.04	128 $\pm$ 5
Wet	Addition	7.3 $\pm$ 0.6	0.61 $\pm$ 0.04	12.0 $\pm$ 0.8	0.72 $\pm$ 0.02	0.76 $\pm$ 0.02	278 $\pm$ 25	1.6 $\pm$ 0.3	227 $\pm$ 35
	Control	5.4 $\pm$ 0.3	0.51 $\pm$ 0.02	10.5 $\pm$ 0.3	0.66 $\pm$ 0.02	0.68 $\pm$ 0.03	184 $\pm$ 15	0.9 $\pm$ 0.1	150 $\pm$ 15
	Removal	3.7 $\pm$ 0.2	0.38 $\pm$ 0.02	9.6 $\pm$ 0.4	0.52 $\pm$ 0.01	0.95 $\pm$ 0.06	175 $\pm$ 16	0.6 $\pm$ 0.1	111 $\pm$ 17

892

**a** significant effect of treatment

**b** significant effect of season

**c** interacting effect of treatment and season

893

894

895

896

897 **Table 2.** The percentages of SOC belonging to seven C functional groups as detected using <sup>13</sup>C NMR are shown for the mineral-  
 898 associated SOC fraction in litter addition, control, and litter removal plots. Examples of common environmental organic compounds  
 899 containing each C group are listed in parentheses, and chemical shift regions of the spectra are given for each C group. There were no  
 900 seasonal effects so data are pooled for two seasons. Averages are given ± one SE (n = 5).

901

<b>Plant Litter Treatment</b>	<b>Alkyl C</b> (waxes, other lipids) 0-45 ppm <sup>a</sup>	<b>N-Alkyl + Methoxyl C</b> (proteins, peptides) 45-60 ppm <sup>a</sup>	<b>O-Alkyl C</b> (cellulose, other carbohydrate)s 60-95 ppm <sup>a</sup>	<b>Di-O-Alkyl C</b> (hemicellulose) 95-110 ppm <sup>a, b</sup>	<b>Aromatic C</b> (lignin, tannin) 110-145 ppm <sup>a</sup>	<b>Phenolic C</b> (acids, tannin) 145-165 ppm <sup>a</sup>	<b>Amide + Carboxyl C</b> (chitin + hemicellulose) 165-215 ppm <sup>b</sup>	<b>Ratio of (Alkyl+O-alkyl +N-alkyl):(phenolic + aromatic) C<sup>a</sup></b>
Addition	25.3 ± 0.5	9.2 ± 0.2	18.2 ± 0.4	7.3 ± 0.3	17.4 ± 0.4	7.9 ± 0.2	14.7 ± 0.5	2.1 ± 0.08
Control	25.4 ± 0.3	9.7 ± 0.2	19.5 ± 0.3	7.0 ± 0.2	16.4 ± 0.3	7.2 ± 0.1	14.8 ± 0.4	2.3 ± 0.06
Removal	28.4 ± 0.5	10.3 ± 0.2	21.1 ± 0.5	6.4 ± 0.2	14.3 ± 0.4	5.9 ± 0.3	13.6 ± 0.4	3.0 ± 0.1

902

903 **a** Significant effect of litter manipulation.

904 **b** While cellulose and hemicellulose are both examples of carbohydrates, which are built from O-Alkyl C, hemicellulose contains  
 905 carboxyl C and can thus be distinguished in NMR spectra. Glucuronic acid is a major constituent of hemicelluloses in gymnosperms

906 and angiosperms, and glucuronic acid contains carboxyl C. The *N*-Acetyl glucosamine polymer (chitin) is the primary cell wall  
907 component of fungi, and as the name indicates, chitin contains acetyl groups. Acetyl C is a type of carboxyl C. Therefore, both  
908 hemicellulose and chitin contain carboxyl C in measurable quantities. Hemicellulose and chitin are both carbohydrates and both cell  
909 wall components of abundant organisms in the ecosystem.

910



Figure 1  
a)

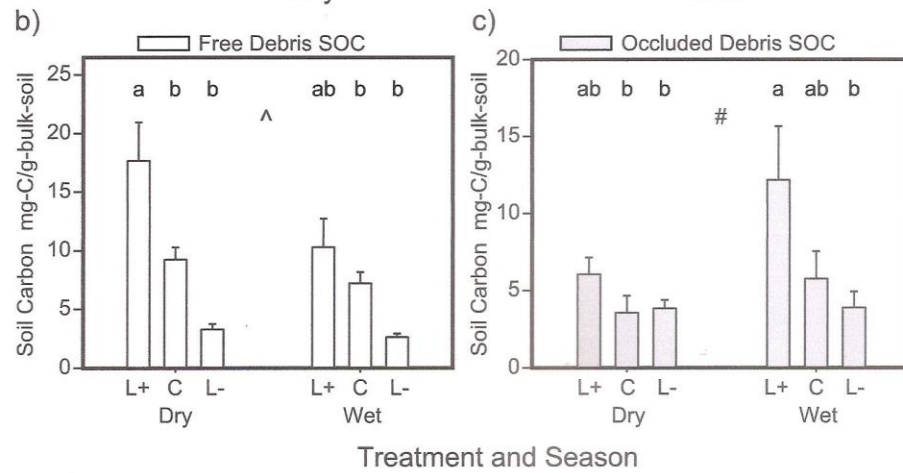
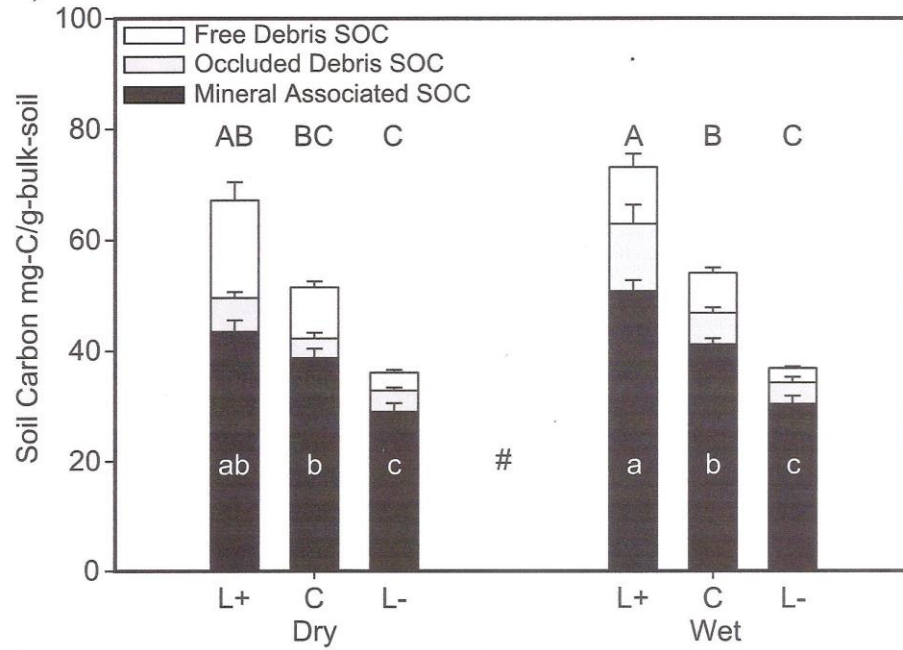


Figure 2

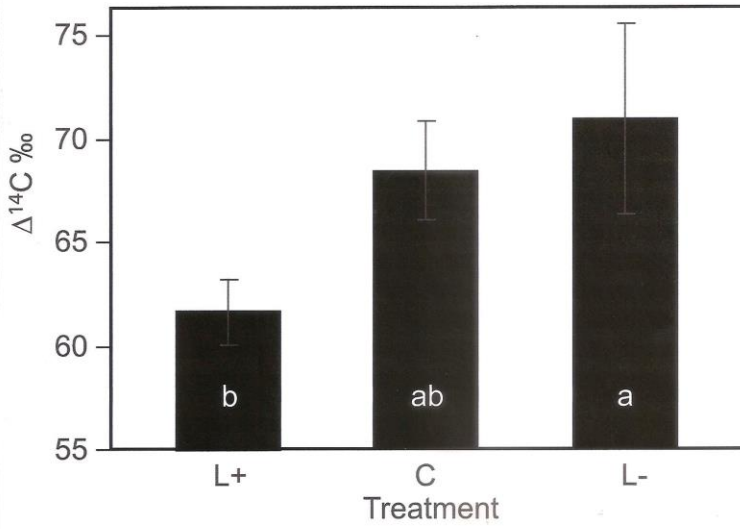


Figure 3

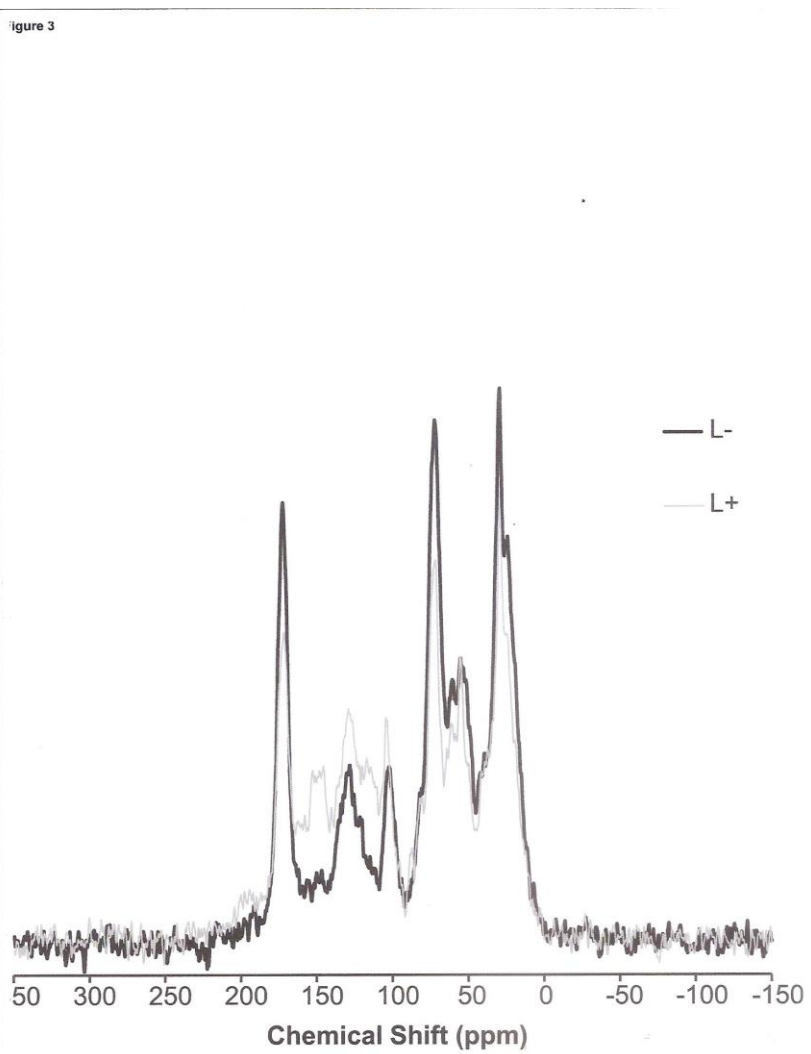


Figure 4

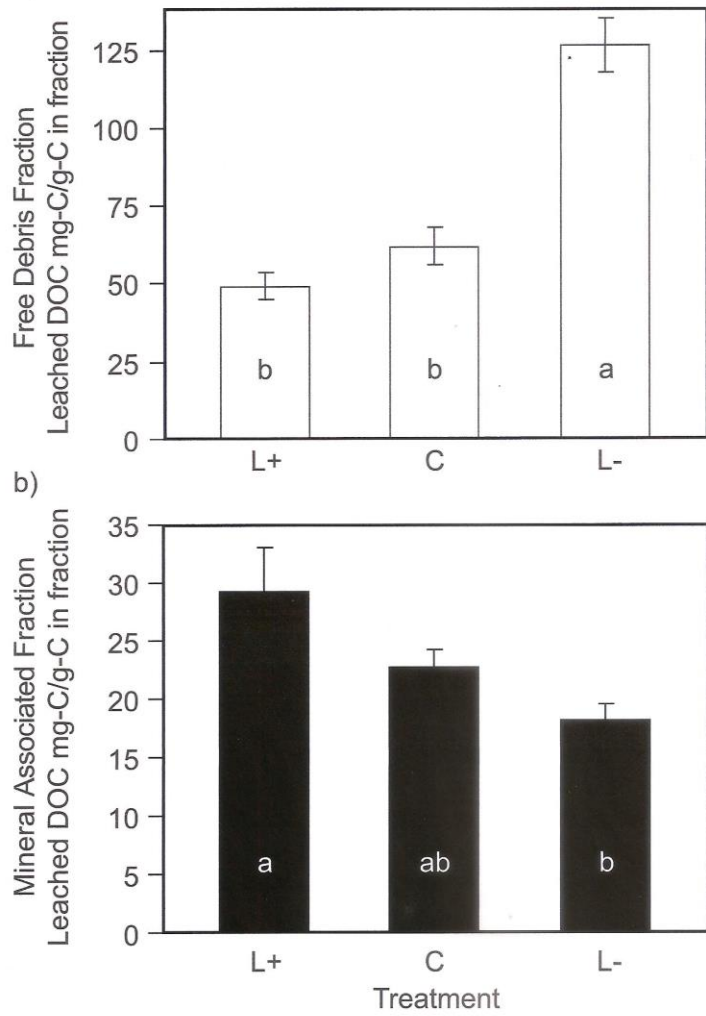
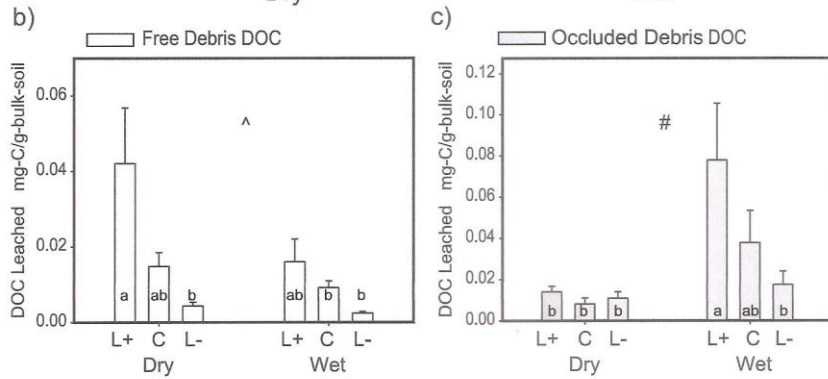
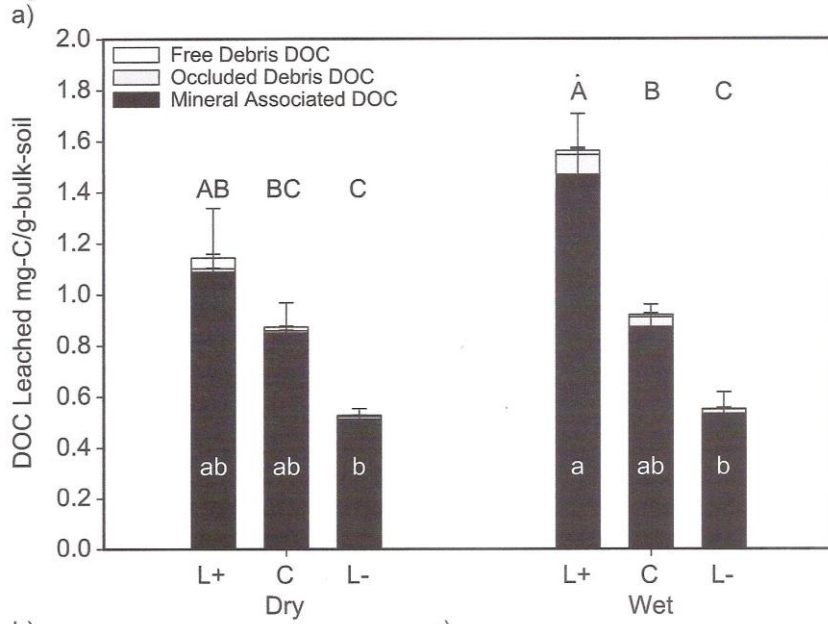


Figure S2



Treatment and Season



Figure S4

