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## **Seeing is believing: methods to monitor vertebrate autophagy *in vivo***

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19 **Autophagy is an intracellular clearance pathway that delivers cytoplasmic contents to**  
20 **the lysosome for degradation. It plays a critical role in maintaining protein homeostasis**  
21 **and providing nutrients under conditions where the cell is starved. It also helps to**  
22 **remove damaged organelles and misfolded or aggregated proteins. Thus, it is not**  
23 **surprising that defects in this pathway are associated with a variety of pathological**  
24 **conditions, such as neurodegeneration, cancer and infection. Pharmacological**  
25 **upregulation of autophagy is considered a promising therapeutic strategy for the**  
26 **treatment of neurodegenerative and infectious diseases. Studies in knockout mice**  
27 **have demonstrated that autophagy is essential for nervous system function and data**  
28 **from invertebrate and vertebrate models suggest that the efficiency of autophagic**  
29 **processes generally declines with age. However, much of our understanding of the**  
30 **intracellular regulation of autophagy comes from *in vitro* studies and there is a paucity**  
31 **of knowledge about how this process is regulated within different tissues and during**  
32 **the processes of aging and disease. Here, we review the available tools to probe these**  
33 **questions *in vivo* within vertebrate model systems. We discuss how these tools have**  
34 **been used to date and consider future avenues of research.**

35

### 36 **Autophagy cell biology**

37 In the initial steps of autophagy, a double-membraned cup-shaped precursor (called the  
38 phagophore) forms within the cytoplasm. The phagophore expands, engulfing substrates as  
39 it does so and eventually the edges fuse to form a double-membraned vesicle, the  
40 autophagosome. This traffics along microtubules to the lysosome, with which it fuses resulting  
41 in the degradation of the autophagic contents (Fig. 1). Autophagy is controlled through a  
42 conserved family of approximately thirty core genes that encode the autophagic machinery,  
43 termed the AuTophagy-related (*atg*) gene family (Feng et al., 2014). The *atg* genes were  
44 originally discovered in yeast; mutations in these genes resulted in an inability to survive

45 nutrient deprivation conditions. Most of these genes have vertebrate homologs that are named  
46 after their yeast counterparts. Interestingly, many of the yeast genes have more than one  
47 vertebrate homolog (Feng et al., 2014; Mizushima et al., 2011), which may contribute to either  
48 redundancy or to additional functional diversity.

49

50 To follow this process *in vivo*, it is necessary to label and visualise the phagophores and  
51 autophagosomes. However, few proteins are uniquely associated with autophagic vesicles  
52 and their precursors, with only one protein (LC3-II) known to label autophagic structures both  
53 prior to and after fusion with the lysosome. LC3 is one of several vertebrate homologues of  
54 ATG8. Mammalian cells have six ATG8 orthologues; the MAP1-LC3 (LC3) and GABARAP  
55 subfamilies (microtubule-associated protein 1 light chain 3 and GABA(A) receptor-associated  
56 protein families respectively), while zebrafish have eight (see Table 1). During  
57 autophagosome formation, these ATG8-family proteins are conjugated to the lipid  
58 phosphatidylethanolamine (PE) in autophagosomal membranes. This lipidation requires a  
59 protease and two ubiquitin-like conjugation systems (Ichimura et al., 2000; Mizushima et al.,  
60 1998)(Fig 1). ATG4 is a cysteine protease that cleaves the C-terminus of LC3 exposing a  
61 glycine residue. This first cleaved form of LC3 is called LC3-I. A further reaction then occurs  
62 involving a complex of ATG proteins that act as an E3-like ligase. This determines the site of  
63 LC3 lipidation and assists the transfer of LC3-I to PE to form LC3-II (Ichimura et al., 2000).

64

65 Since lipidated ATG8 proteins (such as LC3-II) are the only proteins which associate with pre-  
66 autophagosomal structures, autophagosomes and autolysosomes, they are widely accepted  
67 as being the best marker to distinguish autophagic vesicles from other cellular membranes  
68 (Klionsky et al., 2016; Mizushima et al., 2010). Measuring LC3 lipidation by western blotting,  
69 counting the number of LC3 vesicles by immunofluorescence or with fluorescently tagged LC3  
70 expression constructs, and detecting the degradation of long-lived proteins or damaged  
71 organelles are the most commonly used methods for monitoring autophagy (Klionsky et al.,  
72 2016; Mizushima et al., 2010). However, care must be taken in interpreting increases in LC3

73 levels as this may occur as a result of an increase in autophagosome formation (upregulation)  
74 or a blockage in clearance. In the latter scenario, autophagosomes are not degraded typically  
75 due to failure to fuse with lysosomes or due to an increase in lysosomal pH, which thereby  
76 inactivates the degradative enzymes (see Fig 2).

77

78 The majority of studies using these biochemical or fluorescent detection methods have only  
79 provided a snapshot of autophagic activity within a single tissue at a single time. Many studies  
80 have reported that basal levels of autophagy differ between different tissues, and we do not  
81 fully understand how these different rates are affected by pharmacological upregulation or  
82 disease pathology. Since upregulation of autophagy is considered to be a promising  
83 therapeutic strategy for the treatment of a range of disorders including neurodegeneration,  
84 infectious disease and cancer (Galluzzi et al., 2015; Rubinsztein et al., 2015), it is vital that we  
85 understand how potential therapies act in different tissues and this can only be done by *in vivo*  
86 analysis. Similarly, to understand the role of autophagy in the pathogenesis of disease, it is  
87 important to study this process in the whole animal to investigate tissue-specific changes in  
88 flux, the difference in flux between young and old animals and cell autonomous versus non-  
89 cell autonomous effects. In recent years, various transgenic reporters have been developed  
90 which may be useful to improve our understanding of autophagy *in vivo*. Together with  
91 advances in imaging such as CLEM (correlated light and electron microscopy) and lightsheet  
92 microscopy, we now have the tools to interrogate this process in living vertebrate animals.  
93 Although such imaging is in its infancy, here we review the available tools and highlight the  
94 future possibilities for studying autophagy *in vivo*.

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## 96 **Single fluorophore probes**

97 The use of a fusion construct comprising green fluorescent protein (GFP) tagged to LC3 was  
98 the first approach to examine autophagy *in vivo* in vertebrates and provided novel insights  
99 about its regulation in both physiological and pathological conditions. The overexpression of

100 Atg8 homologs fused with GFP had been previously described in other species, such as yeast,  
101 *Caenorhabditis elegans*, *Dictyostelium discoideum*, *Drosophila melanogaster* and *Arabidopsis*  
102 *thaliana* (Melendez et al., 2003; Otto et al., 2003; Rusten et al., 2004; Yoshimoto et al., 2004).

103 GFP-LC3, like endogenous LC3, becomes conjugated to the phagophore and remains on the  
104 membrane after the complete closure of the autophagosome. Autophagosomes labelled with  
105 GFP-LC3 are evident as puncta or ring-like structures by fluorescence microscopy (Kabeya et  
106 al., 2000; Mizushima et al., 2003; Mizushima et al., 2001). GFP-LC3 can also be found on the  
107 membrane of autolysosomes but to a lesser extent. The fluorescent signal of these  
108 autolysosomes is weaker and therefore distinguishable from bright autophagosomes (Kabeya  
109 et al., 2000).

110 The generation of transgenic mice expressing GFP-LC3 under the control of a ubiquitous  
111 promoter has allowed the post-mortem examination of GFP-LC3 localization by high-  
112 resolution microscopy and in almost all tissues (Mizushima et al., 2004). The overexpression  
113 of GFP-LC3 in mice permits not only qualitative but quantitative analysis of the  
114 autophagosome numbers and does not affect endogenous autophagy, since the endogenous  
115 ratio of LC3II/LC3-I is maintained. Post-mortem analysis of tissues from this transgenic mouse  
116 have been used to measure autophagosome numbers during development (Kuma et al.,  
117 2004), under starvation conditions (Mizushima et al., 2004), or in different disease states such  
118 as amyotrophic lateral sclerosis (ALS) (Tian et al., 2011), polycystic kidney disease (Tanaka  
119 et al., 2016) and cerebral ischaemia (Tian et al., 2010). In addition, primary cultures from these  
120 mice have been used for *ex vivo* real-time observations of GFP-LC3 positive autophagic  
121 structures (Mizushima, 2009; Mizushima et al., 2004).

122 An important consideration in the analysis of such reporter lines is to determine whether the  
123 fluorescent protein is a faithful reporter of the endogenous protein. Mizushima and colleagues  
124 demonstrated by western blot analysis, that the levels of endogenous LC3 and GFP-LC3  
125 protein are organ-dependent rather than uniform. In the brain, the level of expression of GFP-  
126 LC3 was comparable to endogenous LC3 whereas in other tissues GFP-LC3 was

127 overexpressed. Importantly, the integration of the GFP-LC3 transgene, upstream of an open  
128 reading frame in a pseudogene in the distal region of chromosome 2, did not cause any  
129 phenotypic or genetic abnormalities in homozygous mice (Kuma et al., 2007).

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131 Zebrafish are potentially a more tractable model to study autophagy *in vivo* since they are  
132 amenable to a most forms of fluorescent imaging due to their size and transparency.  
133 Furthermore, analysis is not restricted to embryonic stages, as their rapid development permits  
134 the analysis of functioning organs in larvae at free-swimming stages. Zebrafish have eight  
135 homologs of Atg8 (see Table 1) with high sequence similarity to their mammalian orthologues.  
136 He *et al.* generated the first transgenic zebrafish autophagy reporter lines for expressing GFP-  
137 LC3 and GFP-Gabarap under the control of the constitutive cytomegalovirus (CMV) promoter  
138 (He et al., 2009). Both transgenes showed similar expression patterns; expression being  
139 especially high in spinal cord, muscle and lens. Similar to mammalian LC3, zebrafish LC3-I  
140 conjugates to phosphatidylethanolamine to generate LC3-II. Initial studies reported that LC3-  
141 II was only observed in embryos from 24 hours post-fertilization (h.p.f.) onwards by western  
142 blotting (He et al., 2009). However Lee *et al.* detected autophagy at approximately 15 h.p.f.,  
143 evidenced by the presence autophagosomes visualized as GFP-LC3 puncta in the CMV:GFP-  
144 LC3 transgenic reporter line (Lee et al., 2014). The benefit of this model is not only the ability  
145 to perform live imaging, but also to examine multiple tissues within the same animal. Imaging  
146 of GFP-LC3 transgenic embryos by confocal fluorescence microscopy showed that the GFP-  
147 LC3 protein forms few puncta in basal conditions but the number of puncta increase after  
148 autophagy upregulation by addition of rapamycin or calpain inhibitors to the embryo medium  
149 (He et al., 2009). The fusion of autophagosomes to the lysosomes can also be detected *in*  
150 *vivo* by adding LysoTracker to the embryo medium (He et al., 2009). A dramatic increase in  
151 the co-localization of LysoTracker red-labelled lysosomes with GFP-LC3 puncta was observed  
152 upon the treatment with lysosomal protease inhibitors like pepstatin A or E64d, suggesting

153 that basal autophagic flux is high in these embryonic and early larval stages (2 and 3 d.p.f)  
154 (Mathai et al., 2017).

155 Several studies have exploited the ability to perform *in vivo* imaging in this GFP-LC3 zebrafish  
156 line, for example to study the role of autophagy in blastema formation and regeneration  
157 following fin amputation (Varga et al., 2014), or in the liver to examine autophagic responses  
158 to pharmacological manipulation (Cui et al., 2012). The ability to perform transient gene  
159 knockdown using morpholino oligonucleotides (Bedell et al., 2011) in zebrafish has enabled  
160 the rapid analysis of candidate genes in the regulation of different stages of the autophagy  
161 pathway. For example, transient silencing of *Hs1bp3*, a phosphoinositide-binding PX domain  
162 containing protein, increased the number of GFP-LC3 puncta visualized directly along the  
163 trunk of morphants compared to control embryos and this increase was greater after  
164 chloroquine treatment, suggesting increased autophagic flux *in vivo* (Holland et al., 2016). A  
165 similar approach was taken to study *spns1*, a putative lysosomal H<sup>+</sup>-carbohydrate transporter  
166 involved in senescence and in the late stages of the autophagy/lysosome pathway. Morpholino  
167 knockdown of *spns1* resulted in an accumulation of GFP puncta visualised by confocal  
168 microscopy in live embryos and was also observed in *spns1* mutants (Sasaki et al., 2014).  
169 Careful characterisation using lysotracker and mCherry-LC3 transgenic fish demonstrated this  
170 was due to a block in autophagosome degradation rather than an increase in autophagosome  
171 formation. A dual GFP-LC3;mCherry-Lamp1 reporter line recently developed by the same  
172 group was used to further elucidate the role of lysosome acidification in senescence (Sasaki  
173 et al., 2017). Although analysis was performed *in vivo* in in these examples, these studies  
174 relied on analysis of single timepoint images to assess autophagosome number and did not  
175 exploit the full potential of studying these events in the living organism.

176 One example of the power of using zebrafish for *in vivo* observations has been in the study of  
177 the innate immune response (Varga et al., 2014). Transgenic reporters have been used to  
178 track individual immune cells throughout the whole organism in response to tissue injury or  
179 infection and to study features of swarming and resolution of inflammation (Renshaw and

180 Trede, 2012). The combination of *in vivo* light microscopy and *ex vivo* electron microscopy  
181 imaging opens new directions for studying the role of autophagy in infectious diseases.

182 Transgenic GFP-LC3 zebrafish infected with *Shigella* have been used to investigate to study  
183 the process of bacterial clearance *in vivo*. Engulfed bacteria were observed to be sequestered  
184 in GFP-positive autophagosomes (Mostowy et al., 2013), a finding confirmed by post-mortem  
185 transmission electron microscopy analysis. Similarly, during *Mycobacterium*  
186 *marinum* infection in zebrafish, the bacteria were frequently found associated with GFP-LC3-  
187 positive vesicles, and these associations were particularly abundant in leukocytes. By  
188 correlative light and electron microscopy, the precise location of intracellular bacteria could be  
189 elucidated (either free, in autophagosomes or associated to lysosomes) by determining the  
190 ultrastructure of GFP-LC3-positive structures (Hosseini et al., 2014).

191 These studies highlight the importance of verifying the properties of the LC3-labelled  
192 structures. Although LC3 is the best-established marker to identify autophagosomes, it can  
193 also be associated with single membranes on phagosomes within macrophages and other  
194 phagocytic cell types where it functions in a process called LC3-associated phagocytosis  
195 (LAP) (Sanjuan et al., 2009). In this instance, following receptor-mediated phagocytosis, LC3  
196 is recruited to the single-membrane phagosomes using the same conjugation machinery as is  
197 involved with macroautophagy. Therefore, within immune cells, careful interpretation of LC3  
198 puncta is required as it may not only detect autophagosomes, but also LC3-labelled  
199 phagosomes and correlative light and electron microscopy may be critical in differentiating  
200 these processes.

201 Although these transgenic reporters are powerful tools for studying autophagic processes *in*  
202 *vivo* or in primary cultures, there are important caveats to consider. GFP-LC3 was initially  
203 described to localize exclusively on autophagic membranes. However GFP-LC3 protein can  
204 aggregate in an autophagy-independent manner without being conjugated to  
205 phosphatidylethanolamine leading to misinterpretation of the results, especially during  
206 transient expression of the transgene (Kuma et al., 2007). For example, GFP-LC3 can be

207 seen to localise with intracellular protein aggregates like huntingtin inclusions in autophagy-  
208 null cell lines suggesting that GFP-LC3 puncta do not always represent autophagic structures  
209 and therefore LC3 fluorescent localization should be carefully interpreted. Tanida and  
210 colleagues proposed the use of mutant fluorescent LC3 (the human mutation LC3DG) which  
211 cannot be lipidated as negative control (Tanida et al., 2008) and as described below,  
212 transgenic reporters using this control have now been developed (Kaizuka et al., 2016).

213 Since fluorescently tagged-LC3 labels the surface of all autophagic structures, from the  
214 formation of the phagophore to the autolysosome, no conclusions can be made about  
215 autophagy flux or dynamics by simply measuring the number of puncta. An increase in GFP-  
216 LC3 puncta may occur as a result of an increase autophagosome formation but also could be  
217 the consequence of an impairment of autolysosome formation (Klionsky et al., 2016). In cell  
218 culture, the inhibition of vacuolar acidification and consequent inhibition of lysosomal activity  
219 by bafilomycin A1 (Baf) treatment is commonly employed as a tool to investigate changes in  
220 autophagic flux (Rubinsztein et al., 2009). Such treatment prevents the downstream clearance  
221 of autophagosomes and allows a comparison of number of puncta in the presence or absence  
222 of lysosomal degradation (Klionsky et al., 2016). *In vivo*, chloroquine or ammonium chloride  
223 treatments may be employed to reduce vacuolar acidification, although these treatments are  
224 likely to be toxic at saturating concentrations and therefore, at best, can only be considered to  
225 be a partial lysosomal block. Such an approach has been used to measure cardiac autophagic  
226 flux *in vivo* in mCherry-LC3 transgenic mice (Iwai-Kanai et al., 2008). A clearer differentiation  
227 between GFP-LC3 associated with autophagosomes or with acidic lysosomes can be  
228 achieved by labelling acidic structures with LysoTracker (He et al., 2009; Sasaki et al., 2014)  
229 or with the use of additional transgenic lysosome markers such as mCherry-Lamp1 (Sasaki et  
230 al., 2017). If the co-localization of acidic structures with fluorescent LC3 puncta increases with  
231 respect to the total number of labelled structures, this may be indicative of an induction in  
232 autophagy. However, this may also occur if there is defective lysosomal function causing

233 delayed LC3 degradation, for example as observed when components of the chaperonin  
234 complex are depleted (Pavel et al., 2016).

235 A further important consideration is the degradation of GFP-LC3, which can generate free  
236 GFP fragments that may accumulate depending on the acidity of the lysosomes and  
237 degradative capacity of lysosomal compartments (Ni et al., 2011). In cell culture, LC3 was  
238 found to be degraded faster than GFP from GFP-LC3 since GFP degradation requires high  
239 lysosomal acidification. Starvation, rapamycin or incomplete suppression of autophagy by low  
240 doses of inhibitors of lysosomal acidification such as chloroquine (CQ) or Baf also led to higher  
241 levels of free GFP fragments from GFP-LC3 in several mammalian cell lines expressing GFP-  
242 LC3 (Hosokawa et al., 2006) and similarly in the liver of GFP-LC3 transgenic mice following  
243 CQ treatment (Ni et al., 2011). However, it is important to note that this phenomenon and its  
244 utility varies in different mammalian cell types and cell lines and this method has not been  
245 widely used in mammalian systems.

246

#### 247 **Dual fluorophore probes**

248 Since the GFP fluorescent signal is quenched in the acidic environment of autolysosomes,  
249 this limits the utility of this reporter for tracking vesicles during the autophagic process. To  
250 overcome these limitations, a tandem fluorescent tagged-LC3 was developed and initially  
251 characterised *in vitro* (Kimura et al., 2007). The fluorescent proteins GFP and mRFP have  
252 different properties under acidic conditions. Kimura and collaborators showed that using a  
253 tandem-tagged mRFP-GFP-LC3, GFP fluorescence (pKa 5.9) is quenched in the acidic  
254 environment of the lysosomes, whereas the red fluorescence from the mRFP tag (pKa 4.5) is  
255 maintained due to its different sensitivity to pH. As a consequence, GFP channels and mRFP  
256 channels of the same labelled cells showed different distribution patterns of puncta. The  
257 development of the tandem fluorescent mRFP-GFP-LC3 has been widely used *in vitro* to study  
258 the mechanisms regulating the maturation of autophagosomes and the fusion to lysosomes

259 in the degradative process. Due to this pH-dependent quenching of the GFP-LC3  
260 fluorescence, only mRFP-LC3 can be detected in autolysosomes (i.e. these appear red only),  
261 whereas autophagosomes can be visualised by both fluorophores (i.e. these appear orange)  
262 (see Fig.3).

263 The first *in vivo* mouse model expressing mRFP-GFP-LC3 was generated by Li and  
264 colleagues in 2014 (Li et al., 2014). Expression of the LC3 tandem reporter was ubiquitous,  
265 which allowed a better understanding of the dynamics of autophagy *in vivo* under stress  
266 conditions, such as starvation and disease. In these RFP-EGFP-LC3 mice, autophagic  
267 vacuoles were visualised as RFP- and EGFP-positive puncta, similar to *in vitro* observations  
268 in cells expressing the same construct. The model was first used to evaluate the role of  
269 autophagy in ischemia-reperfusion injury in the kidney using primary cell culture. In addition,  
270 primary cortical neurons from an independently generated mouse line have been used to  
271 investigate the interplay between chaperone proteins and autophagy (Pavel et al., 2016).  
272 Tandem construct mCherry- or RFP-GFP-LC3 have also been used in zebrafish. Transient  
273 expression of RFP-GFP-LC3 in zebrafish was used to investigate the autophagy pathway in  
274 the clearance of mycobacterium infection. Treatment with carbamazepine was shown to  
275 improve the clearance of mycobacterial infection *in vivo* and increase autophagic flux in larvae  
276 zebrafish (Schiebler et al., 2015). Stable transgenic zebrafish expressing mCherry-GFP-  
277 map1lc3b have also been used to evaluate the autophagic and late endosomal trafficking  
278 pathways in the cone photoreceptors of *synJ1*-deficient zebrafish (Allwardt et al., 2001;  
279 George et al., 2016; Van Epps et al., 2001). Live time-lapse confocal microscopy revealed an  
280 increase in the formation of autophagosome precursors and a defect in autophagosome  
281 maturation *in vivo* in *synJ1*-deficient zebrafish, resulting in the accumulation of  
282 autophagosomes. Modulation of the PI(4,5)P2 regulator, Arf6, by expressing a constitutively  
283 active mutant of Arf6, rescued the defects seen in cones of *synJ1*-deficient fish. These results  
284 suggest that Arf6a modulates positively the levels of PI(4,5)P2, substrate for SynJ1, and hence  
285 that both Arf6 and SynJ1 play a role in the same pathway to regulate autophagy in cone

286 photoreceptors (George et al., 2016). These studies highlight the potential of the zebrafish  
287 model to characterize aspects of vesicle transport *in vivo*.

288 However, as with the analysis of GFP-tagged LC3, there are additional factors to be  
289 considered when using this tandem red-green fluorescent LC3 fusion protein. Firstly, the red  
290 and green fluorescence from unconjugated LC3 exists in the cytosol of all cells. When  
291 autophagic flux is low, this background is higher. As the LC3 becomes conjugated and more  
292 puncta appear, the background fluorescence decreases (see Fig. 3). Identifying puncta  
293 against this fluorescent background is challenging and care must be taken in quantifying the  
294 number of autophagosomes in conditions where the background fluorescence changes.  
295 Secondly, due to the pH-sensitivity of the GFP signal, reduction in the green signal may  
296 depend not only on the enzymatic degradation of GFP itself but also the speed at which the  
297 lysosomal content acidifies (Mizushima et al., 2010). Thus, what one is formally assessing are  
298 the numbers of unacidified versus acidified LC3-containing vesicles, which may not always be  
299 the same as the numbers of autophagosomes prior to lysosome fusion versus autolysosomes.

300 The development of new generation of fluorescent probes may help with some of these  
301 difficulties. A new dual fluorescence probe was recently generated by the Mizushima group  
302 comprising GFP-LC3-RFP-LC3DG (Kaizuka et al., 2016). The expression of the construct  
303 results a protein that is cleaved by Atg4 proteases resulting in the equimolar amounts of two  
304 separate fluorescently tagged proteins; GFP-LC3 and RFP-LC3DG. RFP-LC3DG is a mutated  
305 form of LC3, which cannot be conjugated (see Fig. 4). It is therefore unable to attach to  
306 autophagic membranes, remaining in the cytosol and hence can be used as an internal  
307 control. However, GFP-LC3 can be lipidated and attaches to the autophagosome membrane.  
308 GFP-LC3 on the inner autophagosome membrane is degraded by autophagy whereas on the  
309 outer membrane it is deconjugated by Atg4 and returns to the cytosol. The ratio of GFP/RFP  
310 can therefore be used as a measurement of autophagic flux as it assesses LC3 degradation  
311 via a conjugation-dependent route (i.e. autophagy). However, as only a small proportion of the  
312 protein is degraded the windows of detection are limited.

313 Mice and zebrafish expressing GFP-LC3-RFPLC3DG were developed to evaluate autophagic  
314 flux in different tissues and validated to confirm that the reporter responds appropriately to  
315 drug induced autophagy upregulation (Kaizuka et al., 2016). Although the transgene was  
316 detected in several tissues by western blotting in mice, only skeletal muscle showed sufficient  
317 levels of expression for fluorescence analysis. Post-mortem analysis of muscle sections was  
318 used to evaluate fed versus fasted conditions. Interestingly, their findings suggest that slow  
319 and fast twitch muscle fibres have different levels of basal autophagy (Kaizuka et al., 2016).

320 The use of other fluorescent tandem reporters with different pH-sensitivities, such as mWasabi  
321 (pKa at 6.5 vs. pKa 5.9 of GFP) leads to a faster loss of fluorescence in the autolysosomes  
322 (Zhou et al., 2012) and may be a better tool for tracking autophagy flux *in vivo*. Both mTagRFP  
323 and mWasabi-LC3 are much brighter than mRFP/mCherry and EGFP fluorescence. mWasabi  
324 is also more acid-sensitive than EGFP and hence more easily quenched in the acidic  
325 environment of autolysosomes (Chudakov et al., 2010). In addition, the pKa of mTagRFP (4.0)  
326 is lower than that of mRFP (4.5) suggesting that mTagRFP is more stable than mRFP in acidic  
327 conditions (Shaner et al., 2004). These characteristics make discrimination of autolysosomes  
328 and autophagosomes more accurate than other fluorophores and were used to investigate the  
329 dose-dependent effect of autophagy inducers in the autophagic flux in cells (Zhou et al., 2012).  
330 However, no *in vivo* models have been created using this construct. Similarly Rosella, a  
331 tandem reporter of the fast maturing red fluorescent protein dsRed.T3 with GFP, has been  
332 used being successfully used to track labelled cytosolic proteins, mitochondria or the nucleus  
333 to the autophagic vacuole in yeast (Mijaljica et al., 2011; Rosado et al., 2008). Rosella-LC3  
334 and Mito-Rosella biosensors have been developed and characterised in HeLa cells (Sargsyan  
335 et al., 2015). These authors reported that transgenic mouse models for Rosella-LC3 and Mito-  
336 Rosella biosensors were being developed to measure mitophagy and autophagic flux in  
337 different tissues *in vivo*, although no further data have been published to date.

338

339 **pH-sensitive probes**

340 In contrast to the use of dual fluorophores to label LC3, new approaches have been developed  
341 in recent years which allow one to measure autophagic flux using a single fluorophore.  
342 dKeima, a coral-derived fluorophore, has a bimodal excitation spectrum (438 and 550 nm)  
343 with an emission spectrum peak at 620 nm (Kogure et al., 2006). The different excitation  
344 wavelengths correspond to the neutral and ionized states of the chromophore with the neutral  
345 state (438 nm excitation) predominant at neutral/high pH and the ionized state (550 nm  
346 excitation) more abundant at low pH. Therefore dual excitation ratiometric imaging (438/550  
347 nm) can be used to determine the environmental pH (Katayama et al., 2011). In cell culture  
348 experiments, dKeima was demonstrated to be delivered to lysosomes via the autophagic  
349 pathway and was observed to accumulate inside the lysosomal compartments because it is  
350 resistant to degradation by lysosomal proteases (Katayama et al., 2011). Hence ratiometric  
351 imaging over time can be used to monitor the maturation of autolysosomes and therefore  
352 autophagic flux. Furthermore, since the emission spectrum of dKeima peaks at 620 nm, this  
353 probe can be simultaneously imaged with green fluorophores (e.g. EGFP-LC3) without cross-  
354 detection or excitation (Katayama et al., 2011).

355 In addition, Keima can be targeted to either proteins or organelles. For example, Keima  
356 targeted to mitochondria (Mito-Keima) has been used to evaluate mitochondrial autophagy  
357 (mitophagy) in cell culture (Katayama et al., 2011). Mito-Keima has also been used in mice  
358 via intravenous injection of adeno-associated virus (AAV9) harbouring either Mito-Keima or  
359 Lamp1-YFP (yellow fluorescent protein) to evaluate mitophagy in cardiomyocytes of the adult  
360 heart (Shirakabe et al., 2016). Confocal imaging of thin slices of the heart showed Lamp1-  
361 YFP dots colocalizing with acidic Mito-Keima (561 nm) after 48-hour starvation of the animals,  
362 suggesting that the lysosomal degradation of mitochondria is stimulated after fasting.

### 363 **Labelling autophagic substrates**

364 An alternative approach is not to measure autophagosomes *per se* but to measure the  
365 clearance of autophagic substrates. Tau is a microtubule associated protein which is known  
366 to be an autophagy substrate (Lee et al., 2013). Zebrafish models have been developed

367 expressing a transgenic construct comprising human tau tagged with the photoconvertible  
368 fluorescent protein, Dendra. The fluorescently tagged tau protein is visible as green  
369 fluorescence but this can be converted to a red fluorescent protein by exposure to 405 nm  
370 wavelength light. This conversion labels a steady-state pool of tau protein allowing clearance  
371 kinetics to be measured without being confounded by new protein synthesis (since newly  
372 formed protein will be green). This method has been used to assess both genetic modifiers  
373 of tau clearance (Moreau et al., 2014) and also to assess clearance of wildtype and mutant  
374 forms of tau in response to autophagy stimulus (Lopez et al., 2017). Such studies have  
375 provided the first observations of substrate clearance in neurons *in vivo* (see Fig. 5). This  
376 approach has also been used to study the clearance of mutant huntingtin in cell culture  
377 (Tsvetkov et al., 2013). Although clearance of substrates is likely affected by both the  
378 proteasome and autophagy, the use of proteasome blocking agents (e.g. MG132) and  
379 lysosomal acidification inhibitors (e.g. Baf, CQ or ammonium chloride) allows discrimination  
380 between the two clearance pathways and an assessment of the relative contribution of each.

381

### 382 **Future directions/conclusions**

383 To date, much of our understanding of autophagosome formation, trafficking and degradation  
384 have come from work in cell lines or in primary cell culture. The elegant work of the Holzbaur  
385 group in studying trafficking in primary neurons has revealed important aspects of  
386 autophagosome trafficking and biogenesis (Fu et al., 2014; Maday and Holzbaur, 2014; Maday  
387 and Holzbaur, 2016). Given the tools described here, and the advances imaging techniques,  
388 it is likely that we now have the ability to investigate many of these processes *in vivo*. Indeed,  
389 such approaches have been applied to the *in vivo* trafficking of mitochondria (Drerup et al.,  
390 2017; Dukes et al., 2016; Plucinska et al., 2012). Caveats remain about the fidelity of  
391 transgenically labelled proteins, since these protein-tags are expressed in addition to the  
392 endogenous protein, typically at higher levels than the endogenous protein and are not  
393 controlled by the endogenous promoter. However, chromobody labelling may be one

394 approach that can be used to overcome this. These are small antigen recognising elements  
395 (nanobodies) fused to fluorescent reporters and have been used to label actin cytoskeleton  
396 and cell cycle associated proteins in zebrafish (Panza et al., 2015). In addition, recent  
397 advances in CRISPR- and TALEN- mediated knock-in methodologies (Albadri et al., 2017;  
398 Schmid-Burgk et al., 2016) suggest that in future it may be possible to specifically add tags to  
399 endogenous proteins. Therefore, although we have not yet exploited the full power of the  
400 transgenic, genomic editing and imaging technologies, the tools are now available to allow us  
401 to better investigate the process of autophagy in health and disease within living tissues. Since  
402 autophagy impacts on a diverse range of pathological conditions such as neurodegeneration,  
403 infection and cancer, the ability to visualise how autophagic flux is affected *in vivo* in such  
404 disease states will provide valuable information on which steps of the pathway can be  
405 manipulated for therapeutic benefit.

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408

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614

## 615 Figure Legends

616 Figure One: **Autophagosome formation.** (A) Schematic of autophagosome formation and  
617 degradation: Within the cytoplasm, double-membraned, sac-like structures called a  
618 phagophores are the first morphologically recognizable autophagic precursors and can be  
619 distinguished within cells by the proteins that associate with their membranes. A complex  
620 comprising ATG12–ATG5–ATG16L1 proteins enables the conjugation of LC3-II to the  
621 membranes. The edges of the phagophore elongate and eventually fuse while engulfing a  
622 portion of the cytoplasm. As the phagophore enlarges and approaches closure, the ATG5–  
623 ATG12–ATG16L1 complex dissociates from the outer membrane, whereas LC3-II remains  
624 associated. The resulting structure is a spherical double-membrane organelle, called the  
625 autophagosome. Following closure, autophagosomes are trafficked by dynein motors along  
626 microtubules to the perinuclear region where they fuse with the lysosomes and their contents  
627 are degraded. (B) Lipidation of LC3-II: During autophagosome formation, LC3 (and other  
628 ATG8 ubiquitin-like family proteins) are conjugated to the lipid phosphatidylethanolamine (PE)  
629 in autophagosome membranes. This lipidation requires a protease and two ubiquitin-like  
630 conjugation systems (explained in detail in Ichimura et al., 2000; Mizushima et al., 1998).  
631 ATG4 is a cysteine protease which cleaves the C-terminus of LC3 exposing a glycine residue.  
632 This first cleaved form of LC3 is called LC3-I. A further reaction then occurs involving a  
633 complex of ATG12-5 and ATG16L1, which together act as an E3-like ligase. This determines  
634 the site of LC3 lipidation and assists the transfer of LC3-I to PE in membranes to form LC3-II.  
635 ATG8/LC3 proteins may assist in the expansion and closure of autophagosomal membranes,  
636 in autophagosome-lysosome fusion and inner autophagosomal membrane degradation.

637

638 Figure Two: **Schematic diagram of conventional methods to measure rates of**  
639 **autophagy.** (A&B) Western blots for LC3-II: Measuring LC3 lipidation by western blotting is  
640 one of the best-established methods for measuring autophagic flux. However, care must be  
641 taken in interpreting increases in LC3 levels as this may occur as a result of an increase in

642 autophagosome formation (upregulation) or a blockage in clearance. To discriminate between  
643 these two scenarios, assays should be performed in basal conditions and in the presence of  
644 an agent that prevents lysosomal degradation such as bafilomycin A1 (Baf) or ammonium  
645 chloride ( $\text{NH}_4\text{Cl}$ ). (A) When autophagy is induced, LC3-II levels increase as more  
646 autophagosomes are formed. In the presence of a lysosomal blocker, LC3-II levels increase  
647 further because increased autophagosome formation still occurs, but autophagosomes cannot  
648 be cleared and therefore build up within the cell. (B) In some conditions when autophagy is  
649 blocked (for example, if fusion with the lysosome is prevented), LC3-II levels can also increase  
650 since autophagosomes may form but are not degraded. In this scenario, when LC3-II levels  
651 are measured in the presence of Baf or  $\text{NH}_4\text{Cl}$ , LC3-II levels are unchanged. The difference  
652 in patterns between (A) and (B) can be used to discriminate between autophagy induction and  
653 blockage. (C) When LC3-labelled vesicles (puncta) are measured within cells with a single  
654 fluorophore (e.g. cells expressing GFP-tagged LC3 or immunofluorescence labelling of the  
655 endogenous protein), an increase in puncta can be observed both in autophagy inducing and  
656 autophagy blockage conditions. N.B. Commercially available antibodies with cross-reactivity  
657 to zebrafish LC3 are widely available from suppliers such as from Novus Biologicals (used in  
658 He et al., 2009; Moreau et al., 2014; Lopez et al., 2017) and Cell Signaling Technology (used  
659 in Sasaki et al., 2014).

660

661 **Figure Three: Schematic diagram of the tandem mRFP-EGFP-LC3 expression to monitor**  
662 **autophagic flux.** (A) Representation of the reporter construct mRFP-EGFP-LC3 and the  
663 behaviour of its transcript upon different pH conditions. Under neutral pH conditions, both  
664 EGFP and RFP fluorescence is observed. Under acidic pH conditions, EGFP fluorescence is  
665 quenched and only red fluorescence is observed. (B) mRFP-EGFP-LC3 labelling during  
666 autophagosome biogenesis, maturation and degradation. Unlipidated mRFP-EGFP-LC3  
667 remains in the cytoplasm (light yellow) whereas lipidated mRFP-EGFP-LC3 is recruited to both  
668 inner and outer membranes of phagophores and double membrane autophagosomes. During

669 these steps of autophagosome formation, the fluorescent signal of both fluorophores, mRFP  
670 and EGFP, is visible and vesicles appear as yellow puncta. Autophagosomes eventually fuse  
671 with lysosomes to form autolysosomes. Under these acidic conditions, the contents within the  
672 inner membrane are eventually degraded. The green fluorescent signal from EGFP is  
673 quenched in the acidic lysosomal conditions whereas the mRFP signal remains, resulting in  
674 red autolysosomes. (C) Representative images of a cell expressing mRFP-EGFP-LC3 with  
675 different levels of autophagy. The combination of green and red fluorescent signals from  
676 unlipidated mRFP-EGFP-LC3 results in a yellow background in the cytoplasm of the cells. The  
677 intensity of this yellow may change dependent upon changes in the autophagy flux. Under low  
678 autophagy conditions, most of mRFP-EGFP-LC3 remains unlipidated resulting in a yellow  
679 background and only a few yellow or red vesicles (autophagosomes and autolysosomes) are  
680 seen. After autophagy induction, many new autophagosomes form and are labelled with  
681 lipidated LC3. These rapidly fuse with lysosomes. This can be observed as an increase in the  
682 number of total vesicles and the ratio of red:yellow vesicles as well as reduced yellow  
683 background. When autophagic flux is blocked, autophagosome formation may still occur. In  
684 this scenario, autophagosomes and autolysosomes accumulate but cannot be degraded and  
685 can be observed as yellow puncta. The continuous lipidation of mRFP-EGFP-LC3 as new  
686 autophagosomes form reduces the yellow background of the cytoplasm.

687 **Figure Four: Schematic diagram of the GFP-LC3-RFP-LC3DG reporter to measure**  
688 **autophagic flux.** (A) Schematic diagram of the GFP-LC3-RFP-LC3DG reporter construct.  
689 The GFP-LC3-RFP-LC3DG protein is cleaved by ATG4 resulting in the release of GFP-LC3  
690 and RFP-LC3DG in equimolar amounts. (B) GFP-LC3 becomes lipidated and binds to  
691 autophagosomes and autophagosome precursors and can be visualised as green vesicles  
692 (puncta), whereas unlipidated RFP-LC3DG remains in the cytoplasm. The GFP signal is  
693 quenched when autophagosomes fuse to lysosomes to form autolysosomes. The green signal  
694 can therefore be used as a marker for phagophores and autophagosomes, but autolysosomes  
695 are not labelled. (C) Representative images of a cell expressing GFP-LC3-RFP-LC3DG with

696 different levels of autophagy. The unlipidated RFP-LC3DG is released as an internal control  
697 at the same rate and amount as GFP-LC3 and always remains cytosolic. Levels of red signal  
698 are independent of autophagy degradation and remain unchanged upon autophagy  
699 perturbation. GFP-LC3 however can be found unlipidated free in the cytoplasm or lipidated  
700 hence bound to autophagic membranes and therefore susceptible to autophagy degradation.  
701 Under high levels of autophagic flux, GFP-LC3 becomes lipidated and degraded, and thereby  
702 the level of green signal is reduced. When autophagy is blocked, the accumulation of  
703 unlipidated GFP-LC3 and the lack of degradation of the lipidated form results in an increase  
704 in the GFP signal. The ratio of the GFP:RFP (i.e. the green signal from GFP-LC3 and the  
705 unchanged mRFP-LC3DG) is then used to measure the rate of autophagic flux.

706

707 Figure Five: **Measuring autophagy substrate clearance *in vivo*.** (A) Zebrafish were  
708 generated which express the fluorescent, photoconvertible protein Dendra tagged to human  
709 tau, a known autophagy substrate. The green fluorescent Dendra protein can be  
710 photoconverted to a red fluorescent protein by exposure to 405 nm light. (B) Mosaic  
711 expression of the transgene allows individual neurons in the spinal cord to be identified and  
712 selected for photoconversion. Images of the same neurons were taken before and  
713 immediately after photoconversion and then at 12-hour intervals. The amount of red  
714 fluorescent signal was quantified at each time point and used to calculate the clearance of tau  
715 protein. (C) Schematic diagram of the clearance kinetics of tau following in response to  
716 manipulation of autophagic flux. Treatment with autophagy inducers (green) accelerates the  
717 clearance of tau protein whereas treatment with autophagy blockers (red) slows the clearance  
718 kinetics.

719

720

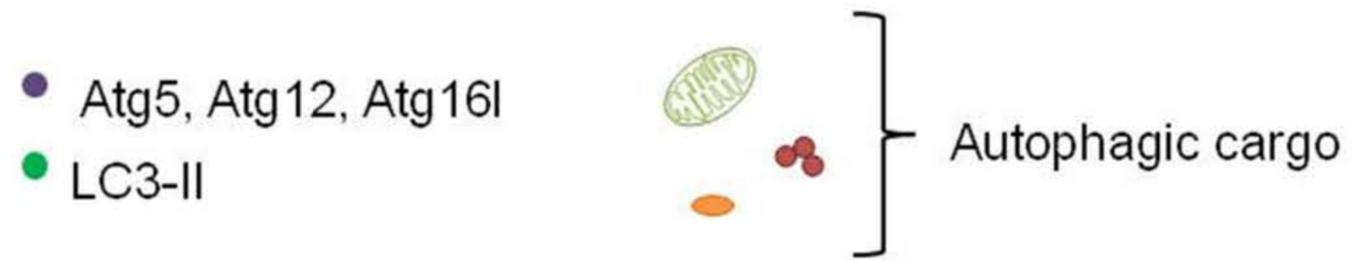
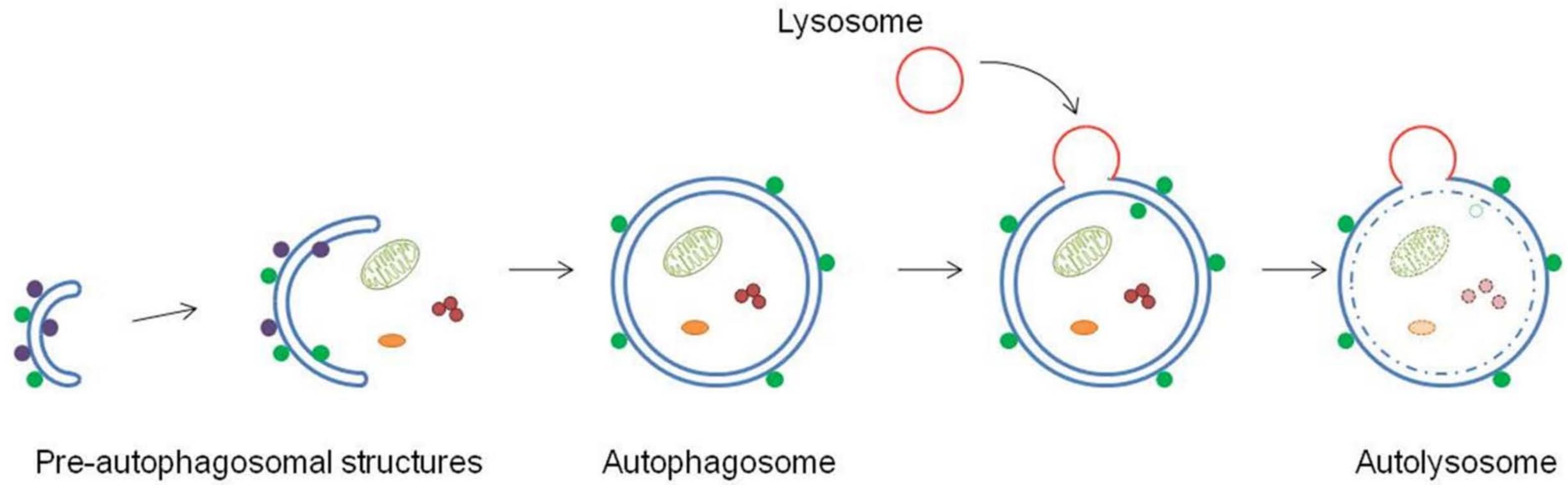
721 Table One: Comparison of zebrafish and human orthologues of ATG8

| Zebrafish gene | Description  | Ensembl ID         | Human orthologue    | Percentage identity to human orthologue |
|----------------|--|--------------------|---------------------|---|
| Map1lc3a       | microtubule-associated protein 1 light chain 3 alpha       | ENSDARG00000033609 | MAP1LC3A            | 85.95%                                  |
| Map1lc3b       | microtubule-associated protein 1 light chain 3 beta        | ENSDARG00000101127 | MAP1LC3B2           | 92.62%                                  |
| Map1lc3c       | microtubule-associated protein 1 light chain 3 gamma       | ENSDARG00000100528 | MAP1LC3C            | 65.47%                                  |
| Map1lc3cl      | microtubule-associated protein 1 light chain 3 gamma, like | ENSDARG00000075727 | No human orthologue | (58.73% identity to zebrafish map1lc3c) |
| gabarapa       | GABA(A) receptor-associated protein a                      | ENSDARG00000035557 | GABARAP             | 93.44%                                  |
| gabarapb       | GABA(A) receptor-associated protein b                      | ENSDARG00000052082 | GABARAP             | 75.66%                                  |
| Unnamed        | Unnamed  | ENSDARG00000040971 | GABARAPL1           | 58.97%                                  |
| gabarapl2      | GABA(A) receptor-associated protein like 2                 | ENSDARG00000027200 | GABARAPL2           | 96.58%                                  |

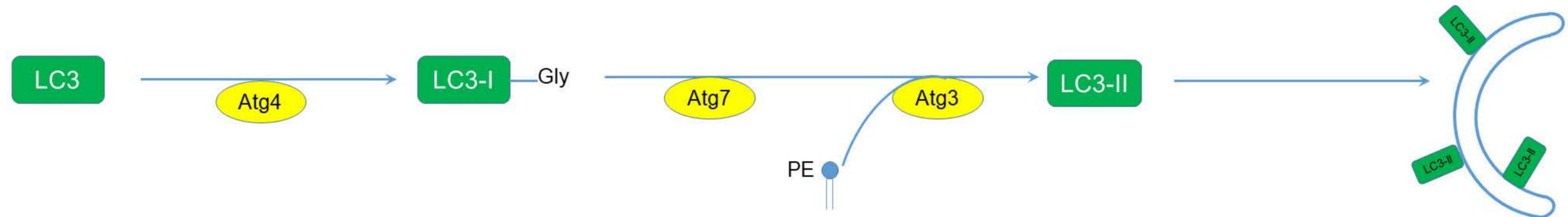
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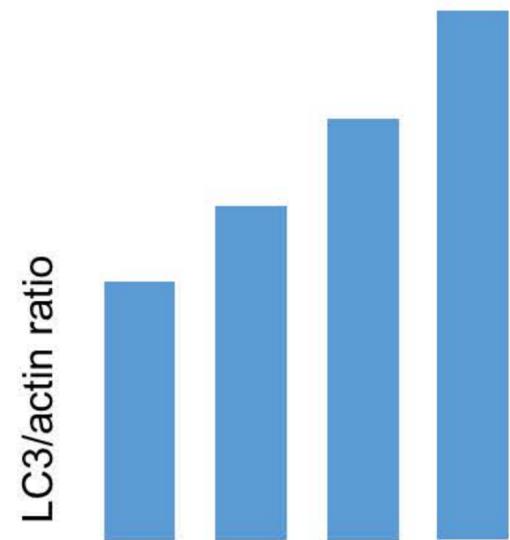
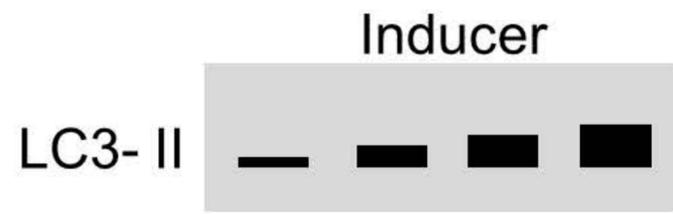
A.



B.

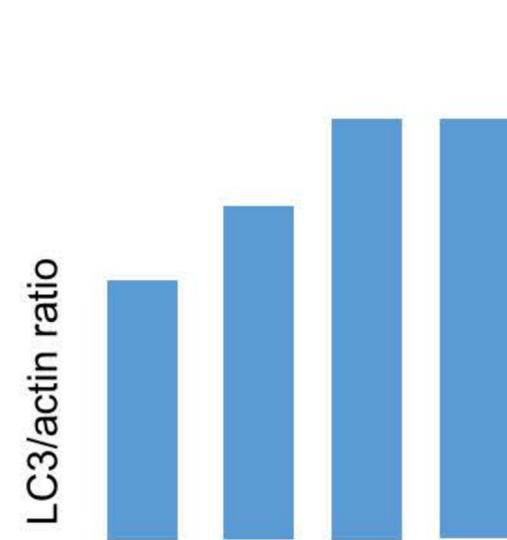
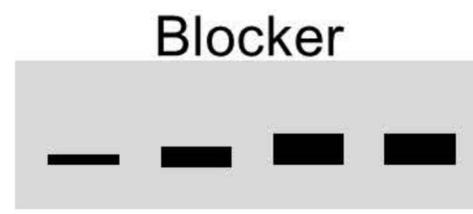


A.



Autophagy Inducer - + - +  
Baf/NH<sub>4</sub>Cl - - + +

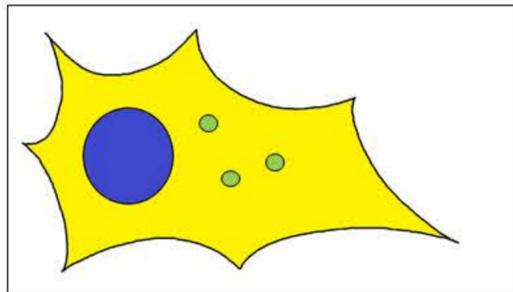
B.



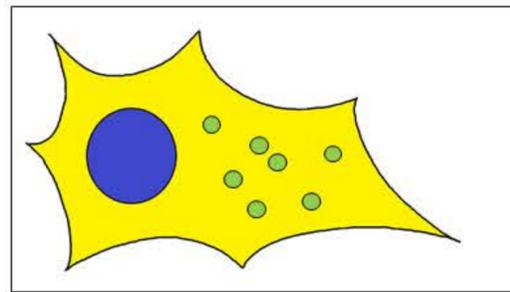
Autophagy Blocker - + - +  
Baf/NH<sub>4</sub>Cl - - + +

C.

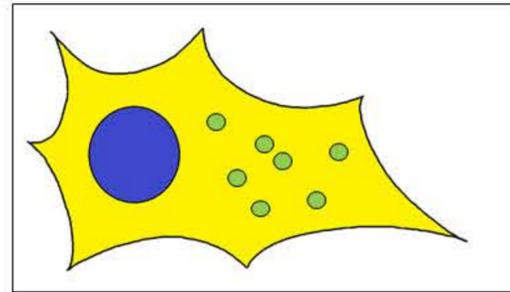
Basal autophagy



Autophagy inducer

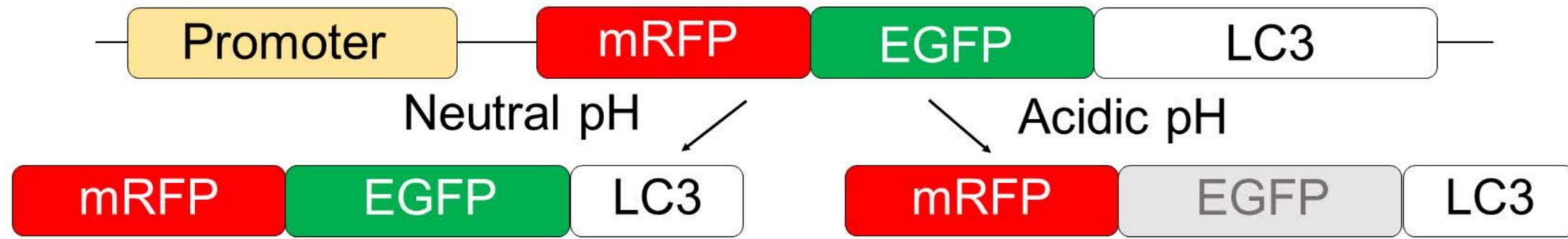
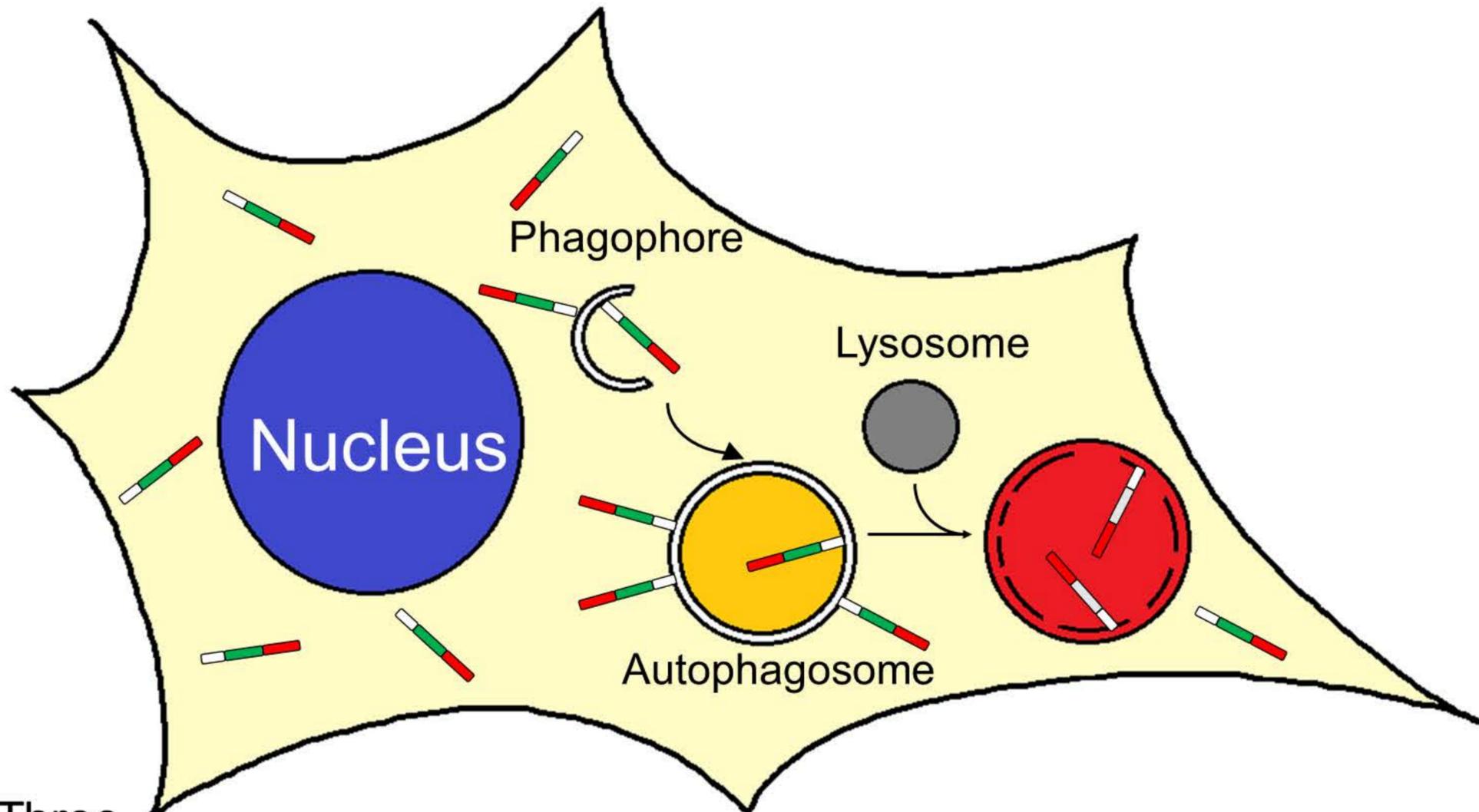


Autophagy blocker

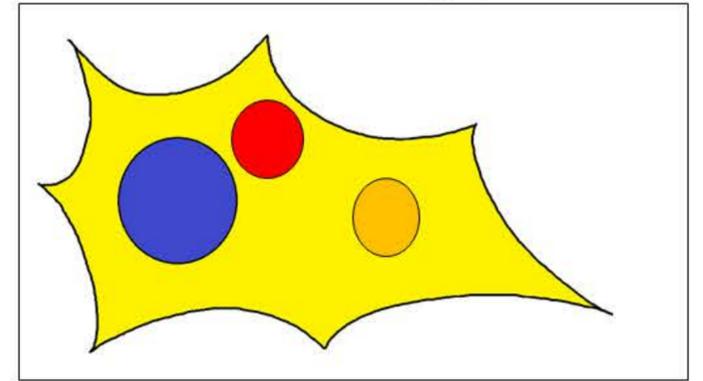


● LC3-positive vesicle

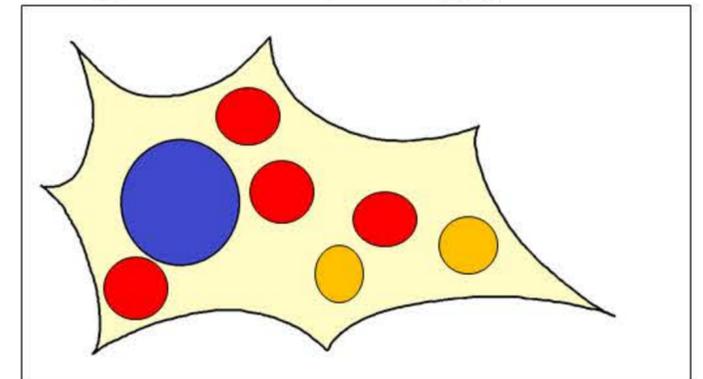
Figure Two

**A.****B.****C.**

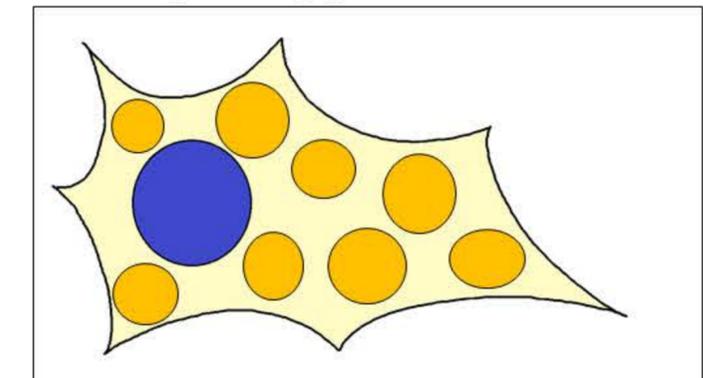
Low autophagy



High autophagy

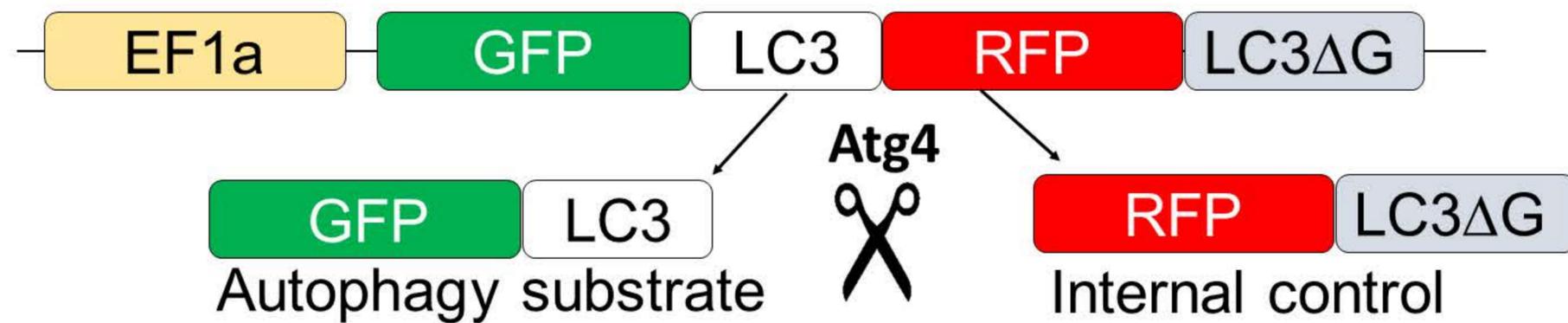


Autophagy blockade

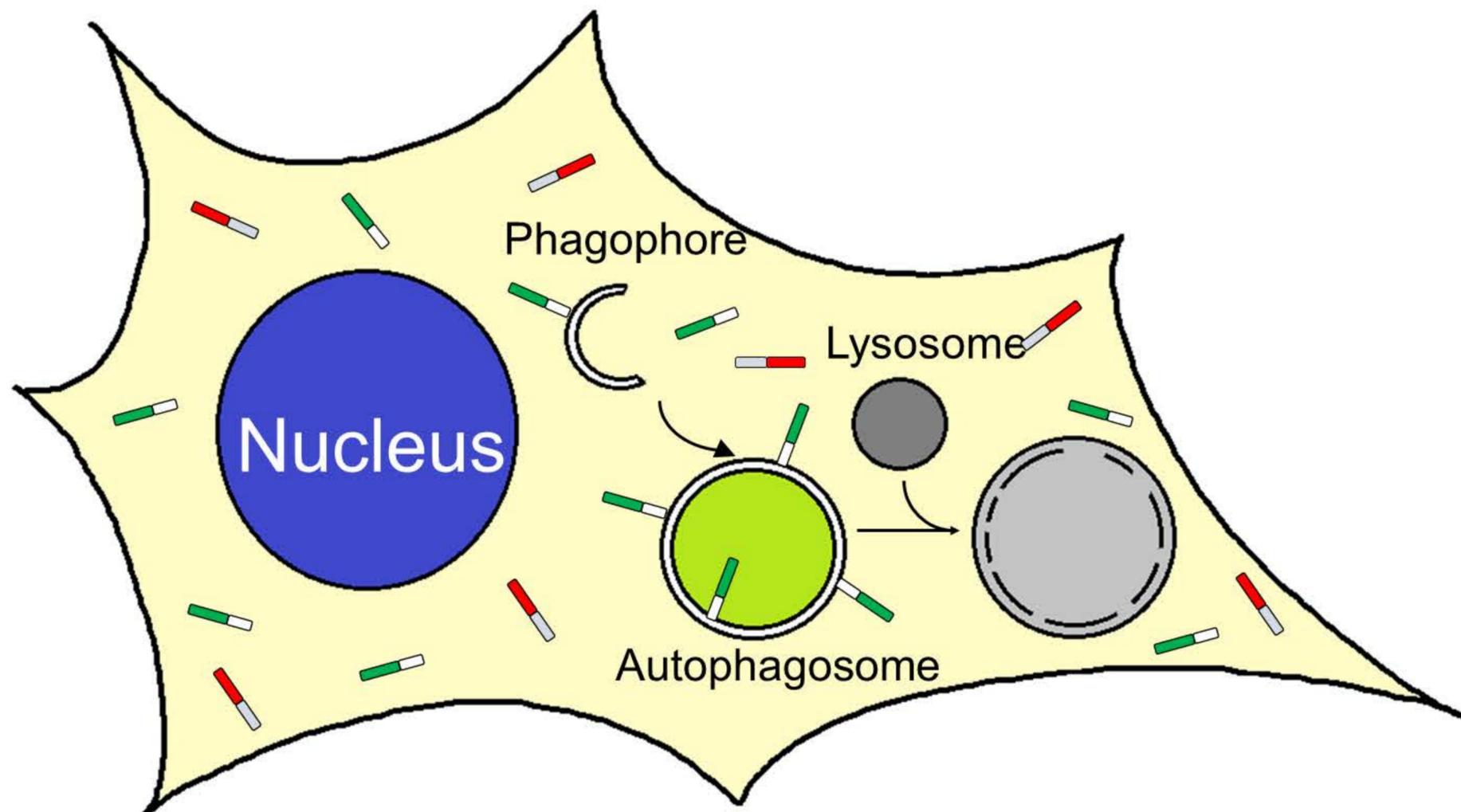


● Autophagosome  
● Autolysosome

A.



B.



C.

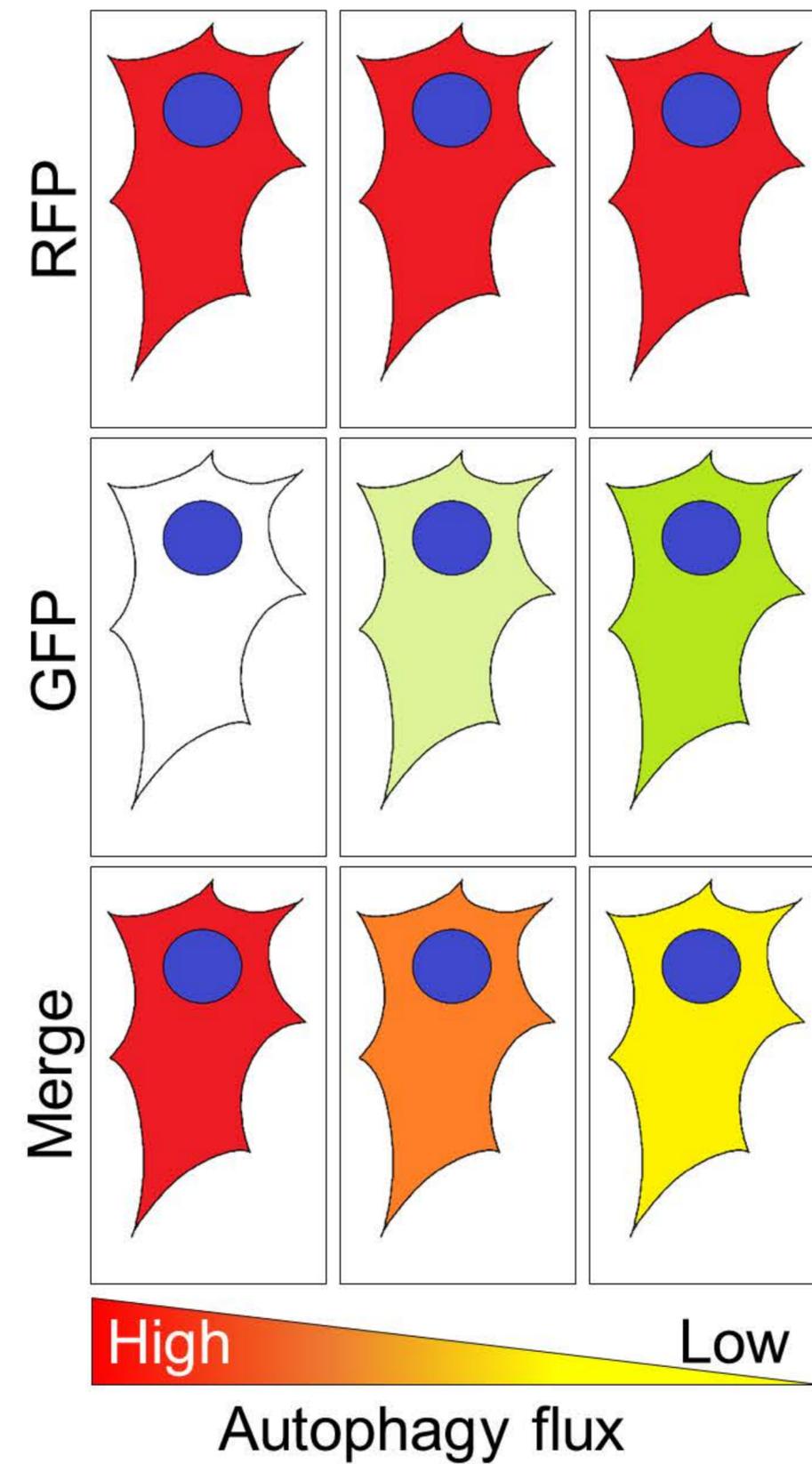
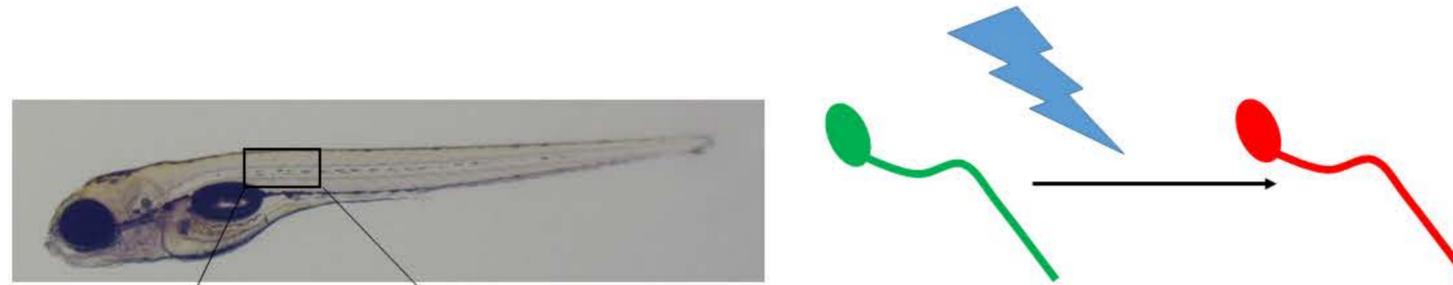
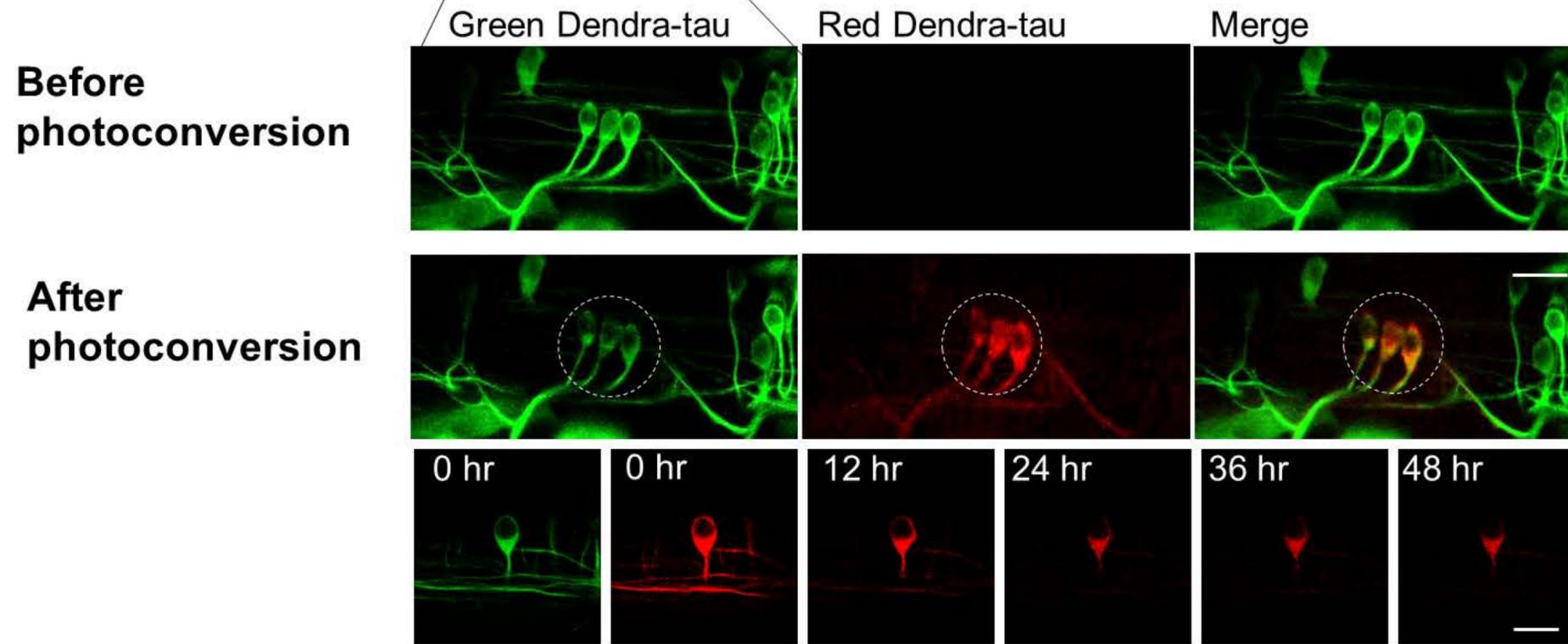


Figure Four

A.



B.



C.

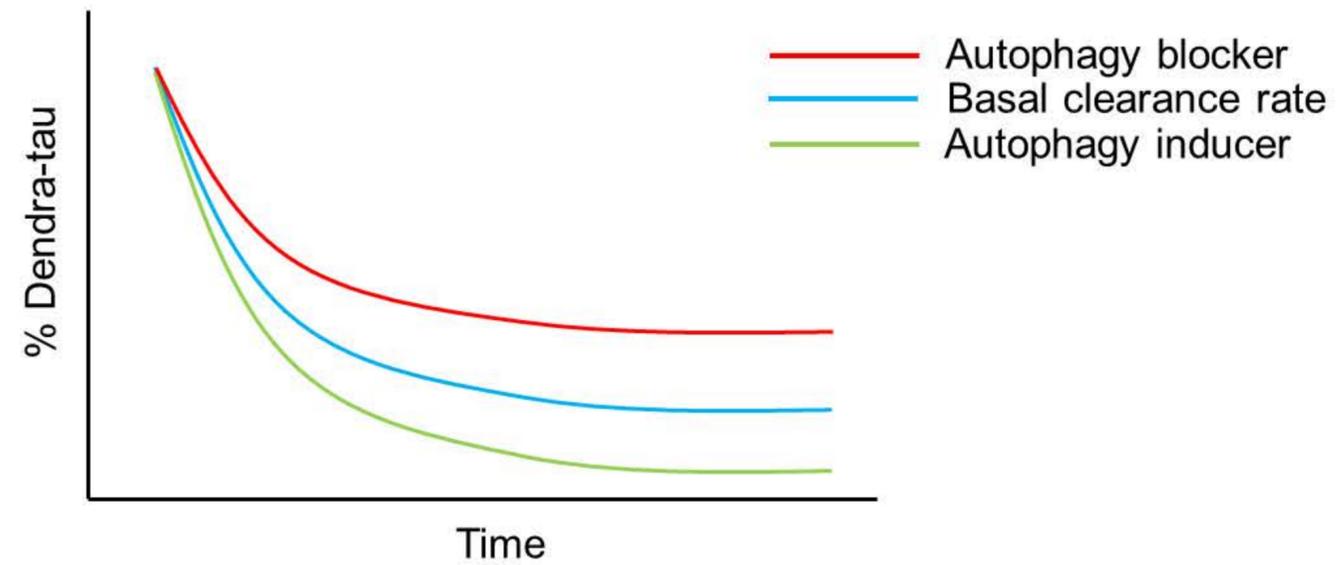


Figure Five