

Genetic drivers of cerebral blood flow dysfunction in traumatic brain injury: a speculative synthesis

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Abstract

Cerebral autoregulatory dysfunction after traumatic brain injury (TBI) is strongly linked to poor global outcome in patients at 6 months after injury. However, our understanding of what drives this dysfunction is limited. Genetic variation among individuals within a population gives rise to single nucleotide polymorphisms (SNPs) that have the potential to influence a given patient's cerebrovascular response to an injury. Associations have been reported between a variety of genetic polymorphisms and global outcome in patients with TBI, but few studies have explored the association between genetics and cerebrovascular function after injury. In this Review, we explore polymorphisms that might play an important part in cerebral autoregulatory capacity after TBI. We outline a variety of SNPs, their biological substrates and their potential role in mediating cerebrovascular reactivity. A number of candidate polymorphisms exist in genes that are involved in myogenic, endothelial, metabolic and neurogenic vascular responses to injury. Furthermore, polymorphisms in genes involved in inflammation, the central autonomic response and spreading cortical depression might drive cerebrovascular reactivity. Identification of candidate genes involved in cerebral autoregulation after TBI provides a platform and rationale for further prospective investigation of the link between genetic polymorphisms and autoregulatory function.

Key Points

1. Impaired cerebral autoregulation after traumatic brain injury (TBI) has been linked to poor global outcome; mechanisms involved in the regulation of cerebrovascular reactivity are complex and multifaceted, both in the healthy and diseased state.
2. Single nucleotide polymorphisms (SNPs) related to myogenic, endothelial, neurotransmitter and metabolic mechanisms of cerebrovascular biology are all likely to contribute to cerebrovascular reactivity in the setting of TBI.
3. To date, polymorphisms related to nitric oxide synthase and the renin–angiotensin system have been studied most extensively in relation to cerebral autoregulatory dysfunction both in healthy individuals and in those with TBI, with specific mutations linked to impaired function.
4. Other polymorphisms related to inflammatory response to injury, central autonomic response and spreading cortical depression carry the potential to affect cerebral autoregulation.
5. Numerous candidate polymorphisms exist that might be involved in cerebral autoregulation and vascular reactivity.
6. Future prospective multi-centre Bayesian analysis of genotype data from TBI populations will be required to better understand potential mechanisms involved in impaired vascular reactivity and develop therapeutic targets.

[H1] Introduction

Traumatic brain injury (TBI) is a global public health concern, with an estimated 50 million people undergoing this injury per year.^{1,2} TBI has a bimodal age distribution, predominantly occurring in the young and elderly,³ and poses a major disability and economic burden worldwide.¹ The main causes of TBI include, but are not limited to, motor vehicle incidents, falls and assaults.^{1,3} TBI occurs as a spectrum of disease, ranging from milder forms such as concussion, which carries potential long-term morbidity, to severe injury that requires admission to the intensive care unit (ICU), with a mortality of 30 to 40%.^{1,4,5} The majority of the mortality exists within the populations of moderate to severe TBI, and is attributed to the primary injury, secondary insults (such as hypoxia or hypotension) and the development of complications, including those localized to the cranial vault and systemic issues associated with critical illness.⁵

Impaired cerebral autoregulation after moderate and severe TBI is one such physiological complication associated with patient outcome. The link between impaired cerebral autoregulation in moderate and severe TBI and poor patient outcome in TBI is now well established,⁶⁻⁸ with area under the receiver operating curve in the range of 0.6 to 0.8 in association with mortality and morbidity at 6 months post injury.^{6,7} Invasive and non-invasive multi-modal techniques, such as transcranial Doppler (TCD) ultrasonography or intra-cranial pressure monitoring, enable us to continuously follow metrics of cerebral autoregulatory capacity in patients with moderate or severe TBI^{9,10} and provide real-time assessment of vascular reactivity through the acute and sub-acute phase of illness. However, an improved understanding of the biological mechanisms underlying autoregulatory failure in TBI is needed to enable the development of interventions that mitigate this pathogenic process.

Exploration of genetic associations in TBI provides a means to relate molecular pathogenic mechanisms to human disease. Many of the insights in this area have come from animal studies¹¹⁻¹³ and studies on the effects of genetics on outcomes in adult TBI.¹⁴ However, data in humans are currently limited in this area, despite the availability of several approaches to explore human disease biology in TBI,¹⁵ including the examination of CSF and brain microdialysate and the use of molecular imaging techniques such as PET.¹⁶ This lack of data is not surprising as these tools are resource intensive and so their application to a long list of potential molecular targets is difficult. Consequently, translation of the numerous findings of pathogenic mechanisms that have been identified in animal studies to therapies for human TBI remains a considerable challenge, both in terms of the number of candidate mechanisms that can be studied, and in determining whether changes in candidate molecular mediators are a cause or consequence of a

disruption in autoregulation. A means of prioritizing the molecular mechanisms to be tested is needed to enable the most potentially rewarding candidates to be addressed with the sophisticated tools that we now have at our disposal.

Genome wide association studies (GWAS) in human disease provide an unbiased and cost-effective way to identify molecular mechanisms that are likely to be relevant to human disease biology. Such analyses could enable the selection of strong therapeutic candidates for investigation through exploratory approaches, or via examination of the effects of drug interventions that are targeted to the molecular pathways controlled by genes identified by GWAS. However, GWAS can be limited with regards to identification of rare genetic variants. Such rare variants can have a larger effect on phenotype and a more causal relationship to disease than common genetic variants and their associations.¹⁷

This Review hopes to provide a platform for future genetic exploration into the molecular mechanisms involved in the regulation of cerebrovascular reactivity after TBI. Here, we overview some of the main theoretical mechanisms in cerebral blood flow (CBF) regulation, including myogenic, endothelial, neurotransmitter and metabolic theories. In addition, we introduce local inflammatory response, development of spreading cortical depression and autonomic dysfunction as additional potential drivers of impaired autoregulation after TBI. We then summarize genetic polymorphisms that have been associated with normal and abnormal vascular biology in a range of human disease, in relation to the outlined theories of CBF control and suggest additional drivers of dysfunction in TBI, to enable prioritization of potential molecular targets in understanding and treating dysautoregulation following moderate and severe TBI.

[H1] Mechanisms of cerebral autoregulation

Cerebral autoregulation is defined as the ability of the cerebral vessels to maintain a constant CBF in the setting of variations in the pressure that drives blood flow— that is, mean arterial pressure and cerebral perfusion pressure (where cerebral perfusion pressure = mean arterial pressure – intra-cranial pressure).¹⁸ The mechanism of this regulation is believed to involve variations in cerebral vessel calibre (that is, internal diameter) in response to changes in the driving pressure. In 1959, Lassen provided the original description of the relationship between CBF and mean arterial pressure in humans — the cerebral autoregulatory curve — which was based on an amalgamation of CBF values from patients monitored

during hemodynamic manipulations.¹⁸ This description, which has been validated in animal models and human studies, describes a mean arterial pressure range of 50–150 mm Hg within which physiologically normal cerebral autoregulation can take place and a relatively constant CBF can be maintained. Mean arterial pressure outside of this range exceeds the cerebral vessel's ability to regulate CBF and leads to deleterious consequences. Below the lower pressure limit of autoregulation, CBF cannot be maintained, leading to hypoperfusion, ischaemia and, potentially, infarct. The effects of this circumstance are exemplified by the increased morbidity and mortality observed in adults with TBI with perfusion pressure values below the individual's optimal target (determined via monitoring of autoregulation in that individual through the pressure reactivity index (PRx) — a moving correlation between slow-wave vasogenic fluctuations in intra-cranial pressure and mean arterial pressure).^{19–21} Similarly, above the upper pressure limit of autoregulation, the cerebral vessels are unable to regulate flow, which leads to a state of hyperperfusion. This hyperperfusion can overwhelm the capillary bed due to elevated capillary filling pressures, which leads to cerebral oedema, impaired nutrient transport and, potentially, intra-cerebral haemorrhage. Findings in patients with TBI also support an association between poor outcome and a cerebral perfusion pressure value above an individual's optimal pressure.²¹

Although the calibre of vessels involved in this cerebral autoregulatory process is debated, pre-capillary arterioles, which measure up to a few hundred microns in diameter, remain the most probable candidates. The exact mechanisms involved in the innate ability of cerebral vessels to regulate CBF through changes in tone and calibre are also debated. Current models of CBF control include myogenic, metabolic, neurotransmitter-mediated and endothelial-based mechanisms.^{22–25} The myogenic mechanism encompasses the direct mechanical reflex response of cerebral vessels to the variations in cerebral perfusion pressure experienced.^{26–29} As perfusion pressure rises, the stretch and shear stress experienced by the tunica media increases, leading to a vasoconstriction reflex, ensuring constant CBF. Conversely, low cerebral perfusion pressure leads to relaxation of the cerebral vessels in the context of decreased stretch of the tunica media.²⁸ However, biochemical changes such as carbon dioxide or reactive oxygen species have also been observed to drive cerebrovascular responses *in vitro* and *in vivo*.^{25,30–33} Metabolic mechanisms of CBF control involve byproducts of cerebral metabolism (such as lactate or reactive oxygen species during anaerobic metabolism) that can affect or dictate cerebral vessel calibre.^{30,32–34} This process involves the control of capillary flow via capillary pericytes.³⁵ In states of hypoperfusion, cerebral metabolism quickly shifts to anaerobic metabolism, resulting in the generation of lactate and other metabolic products^{30,32–34}. These products are thought to generate

changes in vessel calibre and promote restoration of adequate CBF. However, the production of sufficient concentrations of metabolic byproducts to affect cerebral vasoreactivity takes time; therefore, the metabolic theory of autoregulation does not explain the rapid temporal responses to changes in mean arterial pressure or cerebral perfusion pressure observed in cerebral vessels. The neurotransmitter theory of autoregulation suggests that all cerebrovascular reactivity is driven by direct neural input via the *nervi vasorum*. This mechanism would account for the rapidity of cerebral vascular response, but does not fully explain other biochemical responses. Finally, changes in perfusion pressure are also thought to dictate direct endothelial responses that regulate vessel calibre. Such endothelial-based mediators include NOS expression, eicosanoid release^{35,36} and endothelin response.³⁶

Aside from these mechanisms, other aspects of the injury response might modulate cerebrovascular reactivity. For example, the inflammatory response seems to have a role in outcome after TBI and subarachnoid haemorrhage.^{37,38} Inflammatory responses to both primary and secondary brain injury might promote autoregulatory dysfunction. In addition, autonomic responses mediated by catecholamine action on cerebral vasculature might directly modulate vascular tone and regulate autoregulatory capacity after TBI.³⁹ Other evidence suggests that abnormal cerebral electrophysiological responses to injury might modulate cerebrovascular reactivity. Spreading cortical depression has emerged as potential player in impaired cerebrovascular reactivity in animal models.⁴⁰ The presence, or increased frequency, of such electrophysiological patterns might also drive autoregulatory dysfunction after injury. Finally, disruption of solute and nutrient transport across the blood–brain barrier (BBB) might play a part in the potentiation of autoregulatory dysfunction.

The subsequent sections of this Review address the molecular and cellular mechanisms involved in each of these mechanisms, and highlight key genetic polymorphisms that could link them to human disease (FIG. 1).

[H1] Myogenic mechanisms

The myogenic mechanistic theory for cerebral autoregulatory response proposes that the tunica media undergoes stretch-mediated or shear-stress-mediated responses to changes in perfusion pressure or blood flow. A natural next step in exploring this theory is to investigate the involvement of potential

mechanisms affecting smooth muscle tone. Table 1 provides a list of candidate SNPs that are potentially involved in the cerebrovascular myogenic response, which warrant further.

[H2] Calcium signalling. Calcium channel-dependent contraction and relaxation in smooth muscle play an important part in cerebrovascular responsiveness. As such, SNPs in calcium channels have the potential to dictate the degree of cerebrovascular responsiveness in both the healthy and diseased state. Mutations in voltage-dependent calcium channel subunits can lead to altered smooth muscle response to changes in flow and calcium influx. The regulation of calcium-activated potassium channel and transient receptor potential (TRP) channel function might also lead to calcium-mediated changes in cerebrovascular tone.⁴¹ Studies in fawn-hooded hypertensive rats suggest that dual specificity protein phosphatase 5 (DUSP5) is a main mediator of renal and middle cerebral artery tone via inactivation of mitogen-activated protein kinase (MAPK) activity, which leads to decreased regulation of downstream large calcium-activated potassium channels and TRPs.^{41,42} Cerebral autoregulatory capacity was preserved in *Dusp5* knock-out rats, as tested via cortical laser Doppler flowmetry during hyper-ventilatory and cerebral perfusion pressure challenges, suggesting that the role of *Dusp5* in CBF control is still unclear. Mutations within a particular family of DUSPs (those linked to activity of MAPK or other phosphatases) might be predicted to lead to autoregulatory dysfunction in humans, but no *DUSP* mutations related to cerebral vessel function in humans have been reported to date. However, several well-documented polymorphisms occur in the TRP channel proteins and have been implicated in disease states that involve abnormal cerebrovascular biology.⁴³ SNPs in the TRP type M (TRPM) channel have been linked to diseases associated with cerebrovascular dysfunction, including migraine.⁴³ Furthermore, TRPM calcium channels are downstream targets for glutamate-mediated N-methyl-D-aspartate (NMDA) receptor activation, a pathway known to be upregulated during neuropathological states. TRPM2 has been postulated as a potential target for the downstream effects of glutamate-mediated excitotoxicity (see section on neurotransmitters and cerebral autoregulatory response for further discussion of glutamate-mediated excitotoxicity in cerebral autoregulation)⁴⁴. TRPM4, a calcium-activated and calcium-modulating channel that influences intracellular and extracellular concentrations of calcium, also might modulate vascular tone, although this activity has yet to be definitively demonstrated. TRPM8, which is known to play a prominent part in trigeminal-mediated thermoreception, has recently been indicated as a potential player in cerebral vasoconstrictive responses, and is also known to be regulated by voltage gated glutamate channels (VGLUT) within the brain.^{43,45,46} Voltage-dependent

calcium channel, DUSP and TRPM SNPs, therefore, warrant exploration in the context of autoregulatory capacity in TBI.

[H2] Angiotensin. In addition to calcium-mediated mechanisms, vascular myogenic responses are also modulated by the angiotensin system. Mutations in genes encoding angiotensin-converting enzyme (ACE) and the angiotensin II receptor have been linked to the development of delayed ischaemic neurological deficits in subarachnoid haemorrhage.^{47,48} The type 2 angiotensin II receptor (AGTR2) A/C SNP (rs11091046) has been linked to the development of aneurysmal subarachnoid haemorrhage. Both the recessive AGTR2 A/C allele SNP (OR 4.70, 95% CI 1.43–15.4) and the recessive effect of the insertion allele of the ACE rs4340 insertion–deletion polymorphism (also known as rs4646994; OR 3.63, 95% CI 1.04–12.7) were linked to an increased risk of symptomatic cerebral vasospasm.⁴⁷ Similarly, another study demonstrated a link between impaired reactivity to CO₂, measured using TCD ultrasonography, and the angiotensinogen (AGT) rs699 CC genotype ($P=0.00028$) in healthy elderly volunteers.⁴⁹ In addition, research in patients with TBI supports the link between ACE rs7221780 (OR 2.67, 95% CI: 1.25 – 5.72) and rs8066276 (OR 3.82, 95% CI: 1.80 – 8.13) and global patient outcome, with the minor alleles linked to a worse 6-month Glasgow Outcome Scale (GOS) score.⁵⁰ Furthermore, the ACE rs4646994 insertion–deletion polymorphism has been linked to worse cognitive and motor outcome in D allele carriers at ~1 month after TBI ($P = 0.001$).⁵¹ Given these results, polymorphisms involving ACE, AGTR2 and the renin–angiotensin system could have an important role in the cerebral myogenic response and autoregulatory capacity, and might alter patient functional outcome in TBI.

[H1] Endothelial Mechanisms

Changes in intraluminal perfusion pressure or shear stress produced by changes in blood flow can lead to alterations in endothelial expression of vasoactive mediators. Mediators of cerebrovascular tone that are expressed in the endothelium include, but are not limited to: nitric oxide synthase (NOS), adenosine receptors, endothelin and eicosanoids. Table 2 displays the SNPs that are potentially linked to endothelial mediation of vascular tone and cerebral autoregulation.

[H2] Nitric oxide synthase. Several isoforms of NOS are expressed, including endothelial (NOS3), inducible (NOS2) and neuronal-specific variants (NOS1), which each affect vascular tone in different organ systems throughout the body. NOS activity leads to the production of nitric oxide, a potent

vasodilator, and promotes endothelial-mediated vasodilation during states of hypoxia and ischaemia through the generation of cyclic guanosine monophosphate and decreased levels of intracellular calcium.⁵² NOS3 activity in particular is intimately intertwined with endothelial adenosine,⁵³ appearing to modulate cerebral vascular responses in experimental subarachnoid haemorrhage (via NOS3 and endothelial adenosine responses in the development of vasospasm). Furthermore, NOS3 displays close association with endothelin function, as exemplified in the development of preterm infant intraventricular haemorrhage (via the association of NOS3 and endothelin-1 polymorphisms).⁵⁴ Dysfunctional NOS activity can lead to the development or potentiation of pathological states. A variety of SNPs in genes that regulate NOS and other downstream mediators in the nitric oxide pathway have been linked to vascular pathology. In patients with severe TBI, a *NOS3* -786 C/C loss-of-function genotype has been linked to increased mortality ($P = 0.022$) and reduced cortical CBF velocity ($P = 0.015$), as defined by TCD-based assessments of the middle cerebral artery, internal carotid artery, anterior cerebral artery and posterior cerebral artery ($p < 0.001$ for all).⁵⁵ Furthermore, autoregulatory function, measured using the transient hyperaemic response following brief carotid compression, is impaired in the same cohort ($P = 0.010$). Similarly, the *NOS3* 894 G/T and T/T loss-of-function genotypes displayed dramatic differences in cerebrovascular CO₂ reactivity, tested using TCD, with a decrease in CBF velocity in the G/T genotype and an increase in CBF velocity in the T/T genotype ($P = 0.005$).⁵⁵ Additionally, data from patients with subarachnoid haemorrhage suggest that NOS activity is linked to the development of cerebral vasospasm and delayed ischaemic neurological deficit, with reduced NOS3 and increased NOS2 activity linked to worse spasm. Patients harbouring the rs2070744 C allele of *NOS3* have an increased risk of cerebral vasospasm after aneurysmal subarachnoid haemorrhage (OR 2.936, 95% CI 1.048–8.226, $P = 0.040$).^{56,57} Finally, possible links have been found between a variety of *NOS2* and *NOS3* polymorphisms and migraine.⁵⁸ All of these NOS polymorphisms deserve further exploration with regards to their relationship to autoregulatory function, both in healthy states and in diseased states such as TBI.

[H2] Adenosine receptors. The endothelial adenosine receptors A1 and A2A are also known to play a part in the regulation of cerebrovascular tone through downstream effects that include inhibition of adenylate cyclase, reduction in cyclic adenosine monophosphate and inhibition of N-type, P-type and Q-type calcium channels.^{53,59} Adenosine-mediated agonism of these receptors is triggered during hypoxia, ischaemia or inflammation, and might interact with NOS-mediated responses.⁵⁹ Results from animal models suggest that agonism of adenosine A1 and A2A receptors might reduce the incidence of cerebral

vasospasm in experimental subarachnoid haemorrhage^{53,59}. Although no link between adenosine receptor polymorphisms and cerebrovascular response in humans has been reported to date, the effect of these polymorphisms on cerebral autoregulatory responses in TBI deserves exploration.

[H2] Endothelin receptors. Endothelin signalling via G-protein-coupled endothelin receptors (ET_A, ET_{B1}, ET_{B2} and ET_C) can modulate NOS3-mediated vascular responses through phosphorylation of NOS3 by phosphatidylinositol-3-kinase.⁵⁴ Polymorphisms in *EDN1*, encoding endothelin 1, have been linked to a variety of vascular diseases, including hypertension,⁶⁰ coronary artery disease,⁶¹ migraine⁵⁸ and cervical artery dissection.⁶¹ An association has been suggested between the rs2070699 ($P = 0.03$) and rs1626492 ($P = 0.02$) polymorphisms in *EDN1*, and the development of migraine with aura.⁵⁸ Furthermore, SNPs in genes encoding endothelin receptors — *EDNRA* –231 AA and *EDNRB* rs9544636 — have been linked to migraines,⁵⁸ and link has also been suggested between *EDNRA* rs5335 SNP G allele carrier status and subarachnoid haemorrhage related vasospasm (OR 4.62, 95% CI 1.31–16.26, $P = 0.017$).⁵⁷ However, these SNPs require exploration in the setting of autoregulatory dysfunction in the TBI population.

[H2] Eicosanoid and prostaglandin biology. The association of polymorphisms in genes involved in eicosanoid and prostaglandin biology with cardiovascular and cerebrovascular disease has been well documented. The main mechanisms thought to be involved in this relationship include platelet dysfunction, modulation of vascular tone through prostaglandin G-protein-coupled receptors and endothelial damage. Furthermore, systemic vasoconstriction by prostaglandin I2 seems to be mediated through endothelial serotonergic responses (an association that is addressed in more detail in the next section).^{62,63} Consequently, a link might exist between such SNPs and cerebral autoregulatory function in TBI, although data supporting this association currently are lacking.

[H1] Neurotransmitter Mechanisms

Neurotransmitters have a key role in neuronal action potential propagation, intracellular communication and regulation of cellular homeostasis within the brain. Naturally, some of these transmitters directly influence the cerebrovascular response and autoregulatory capacity. Some potential key players in neurotransmitter function and cerebrovascular reactivity include catechol-O-methyltransferase (COMT), brain-derived neurotrophic factor (BDNF), calcitonin-related polypeptide alpha (CALCA), 5-hydroxytryptamine (5-HT; serotonin) receptors, monoamine oxidase (MAO) and VGLUT

channels. Table 3 lists the SNPs in genes involved in neurotransmitter signalling that might affect autoregulatory function. The effects of these SNPs on outcome have been attributed largely to alteration of neurotransmitter tone, which affects cognitive processing¹⁴. However, the effects of these neurotransmitters on vasoregulation could also be an important mechanistic link between genetic polymorphism and outcome.

[H2] Catechol-O-methyltransferase. COMT degrades catecholamine-based neurotransmitters and pharmacologic agents through methylation of the catechol group present within these compounds. Inactivation of endogenous and exogenous catecholamine-based agents leads to decreased levels of dopamine, norepinephrine and epinephrine, all of which have direct cerebral vasoactive properties. Polymorphisms in COMT have been associated with migraine and with decreased response to migraine pharmacotherapy.⁵⁸ COMT polymorphisms have been shown to affect global, behavioural and neuropsychiatric patient outcomes after TBI.^{64–66} The COMT Val158Met SNP is the most well documented of these polymorphisms, with presence of the Met allele associated with improved GOS scores at 6 months (2.87, 95% CI 1.20–6.86)⁶⁴ and improved 1–2-month Wisconsin Card Sorting Test results.⁶⁶ These results suggest a link between COMT polymorphisms and cerebrovascular responses in TBI. However, no data are currently available that directly demonstrate this link.

[H2] Brain-derived neurotrophic factor. BDNF is a messenger molecule that promotes synaptic plasticity and neuronal survival.⁶⁷ Furthermore, BDNF has been linked to cerebrovascular and neuroinflammatory responses after injury.^{68,69} Studies in patients with TBI support a link between BDNF SNPs and global, behavioural and neuropsychiatric outcomes.¹⁴ An increased risk of mortality at 7 days after TBI was observed in carriers of the BDNF rs6265 Val66Met allele and rs7124442 C allele ($P = 0.0286$).⁷⁰ Furthermore, 1-year survival was increased in individuals with BDNF rs6265 Val/Val and rs7124442 T/T genotypes ($P = 0.006$). Similarly, other studies have linked the BDNF rs6265 Met allele carrier status to worsened neuropsychiatric performance after TBI.^{71–73} Preliminary animal data also suggests a role for the BDNF Val66Met polymorphism in enhanced glutamatergic transmission,⁷⁴ which could play a part in glutamate mediated excitotoxicity in the setting of injury. No direct link between polymorphisms in BDNF and cerebrovascular response in TBI has been made to date. However, BDNF rs6265 Val allele carrier status has been linked to reversible cerebral vasoconstriction syndrome ($P < 0.001$), a cerebral condition characterized by cerebral vasospasm.⁶⁸ In addition to the direct effect of BDNF SNPs on neurological disease, polymorphisms in BDNF and CALCA might also modulate one another.^{58,75} CALCA

has a direct vasodilatory effect on cerebral vessels, and is believed to be responsible for neurogenic inflammation. The CALCA rs1553005 GC genotype has been linked to migraines, and preliminary data suggests an interaction with the *BDNF* rs2049046 AT genotype (OR 1.88, 95% CI 1.2–2.93, $P = 0.005$), with both genotypes being higher in migraineurs.⁷⁵ However no formal studies have examined the association between CALCA and outcome or cerebrovascular response in patients with TBI, so the question of whether BDNF and CALCA SNPs have a substantial effect on autoregulatory capacity remains.

[H2] 5-hydroxytryptamine. Serotonin leads to vasoconstriction through upregulation of prostaglandin I2 function and inhibition of NOS3. This effect seems to be mediated through the endothelial 5-HT receptors 1 and 2^{62,63}. Polymorphisms in genes encoding 5-HT receptor 1A (*HTR1A*), 5-HT receptor 1B (*HTR1B*,) and 5-HT receptor 2A (*HTR2A*) are linked to the development of migraine.⁵⁸ In addition, SNPs in *SLC6A4*, encoding the sodium-dependent serotonin transporter have been linked to migraines.⁵⁸ Furthermore, a link has been found between the *SLC6A4* rs25531 G/G SNP and depression after TBI,^{14,76} as assessed by patient health questionnaire at 12 months (OR 3.34, 95% CI 1.135–9.849, $p=0.029$ for G/G genotype). However, at present, no direct link between SNPs in 5-HT-related genes and cerebrovascular response in patients with TBI has been found. Thus, further exploration of these SNPs and their association with cerebrovascular reactivity in TBI is warranted.

[H2] Monoamine oxidase. MAO is an enzyme involved in the metabolism of catecholamine neurotransmitters, and some subtypes of MAO also display an affinity for 5-HT. A 30 base-pair variable number tandem repeat (VNTR) in MAO type A (*MAOA*) has been associated with migraine with aura in men ($P = 0.043$).⁵⁸ Furthermore, in individuals with prefrontal cortical lesions after TBI, a link has been found between 3.5 or 4 repeat MAOA VNTR alleles, which have high transcriptional activity, and the development of increased aggression, as assessed using the neuropsychiatric inventory agitation/aggression subscale.^{14,77} Aside from these findings, research regarding MAO SNPs and cerebrovascular response remains preliminary, and further exploration is required within the population of patients with TBI.

[H2] Voltage-gated glutamate channels. Glutamate is one of the main excitatory neurotransmitters within the CNS, and delivers many of its effects through engagement with a number of receptors (including NMDA, α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) and metabotropic

receptors), some of which stimulate changes in intracellular calcium. Alterations in glutamate biology have been linked to numerous diseases, including refractory seizures and neuropsychiatric conditions. Upregulation of glutamate neurotransmission and consequent excitotoxic cell injury has been well documented in the setting of ischaemia and injury.⁷⁸ NMDA receptor-mediated increases in intracellular calcium levels represent a key mechanism in this context. Furthermore, glutamate and its mediators have been implicated in spreading cortical depression, a electrophysiological phenomenon associated with cerebrovascular dysfunction).^{40,79} Further information on spreading cortical depression and some polymorphisms that might be involved can be found in Supplementary Box 1. In addition, elevated extracellular glutamate concentrations were found to be associated with worse global outcome and impaired autoregulatory capacity in patients with moderate to severe TBI, as measured by PRx.^{80,81} TBI outcome and vascular biology might also be affected by genetic variation in other parts of the glutamatergic neurotransmission pathway — for instance, through variations that result in downregulation of the glial high-affinity glutamate transporter solute carrier family 1 member 2 (SLC1A2), which is responsible for regulating levels of glutamate in the CNS.⁸² Studies have suggested that polymorphisms in VGLUT channels modulate TRPM8-mediated calcium channel function (as described in the previous section on myogenic mechanisms). In addition, individuals who carry the rs74174284 G allele in *SLC17A7* (also known as *VGLUT1*) have delayed recovery after mild TBI ($P = 0.018$), and the C allele is associated with worse motor ImpACT (Immediate Post-Concussion Assessment and Cognitive Test) scores at patient admission ($P = 0.012$).⁸³ Polymorphisms in *GRIN2A*, which encodes an NMDA receptor subunit, have been linked to altered outcome in patients with mild TBI, with carriers of a long GT VNTR (≥ 25 repeats) having delayed recovery ($P = 0.0433$).⁸⁴ In addition, the *CACNA1A* Ser218Lys SNP was linked to cerebral oedema in a small series of patients with mild TBI, potentially mediated through neuronal calcium influx and increased glutamate mediated excitotoxicity.⁸⁵ In addition to TBI, the *SLC1A2* A to C SNP (rs3794087) has been linked to migraines and cluster headaches.⁵⁸ Furthermore, the *CACNB2* rs7076100 SNP seems to be related to an increased risk of migraine, which is again thought to be secondary to increased calcium triggering glutamate excitotoxicity.⁵⁸ The direct effect that glutamate and its downstream effects have on cerebral autoregulation remain unknown; however, the studies discussed here support the consideration of glutamate-related genes in future TBI genomic studies related to autoregulatory capacity.

[H1] Metabolic Mechanisms

The metabolic theory of cerebral autoregulatory response focuses on the downstream effects of metabolic byproducts on cerebral vascular tone and response. Numerous aspects of cerebral metabolism are disrupted during TBI. These alterations include a switch to anaerobic metabolism and impairment of BBB nutrient transport, both of which might affect cerebrovascular reactivity. Table 4 lists metabolism-related SNPs that might participate in autoregulatory function.

[H2] Anaerobic metabolism. The switch from aerobic to anaerobic metabolism in cerebral injury is facilitated by regional, and potentially global, impairment to cerebral blood flow and nutrient delivery. Findings from animal studies show that lactate — one of the main metabolic byproducts of anaerobic glucose metabolism — directly inhibits arterial smooth muscle contraction via inhibition of calcium influx across the sarcolemma.⁸⁶ Thus, impaired autoregulation might lead anaerobic metabolism and lactate production, and lactate accumulation might in turn drive worsening autoregulatory capacity. Polymorphisms within the mitochondrial DNA might play an important part in aberrant glucose metabolism, lactate production and lactate handling, owing to the vital role of this organelle in glucose metabolism. Furthermore, mitochondrial respiratory chain dysfunction in cerebrovascular endothelial cells was found to result in compromised BBB permeability in a mouse model of stroke, which could promote autoregulatory dysfunction.⁸⁷ Thus, mitochondrial polymorphisms might have important role in a pathway that can indirectly potentiate autoregulatory dysfunction in TBI.

An increased lactate:pyruvate ratio, as assessed by cerebral microdialysis, was associated with impaired cerebrovascular reactivity, as measured by PRx, in patients with TBI.^{80,81} PRx is one of the few continuous measures of cerebral autoregulatory capacity that has been validated against Lassen's cerebral autoregulatory curve in animal models,⁸⁸ so the association between lactate:pyruvate ratio and PRx is an important one. Impaired PRx, and thus autoregulatory capacity, might be presumed to lead to impaired CBF and nutrient delivery. Thus, in the setting of autoregulatory dysfunction, anaerobic metabolism would dominate and lead to an elevation in lactate, and subsequently to an elevation in the lactate:pyruvate ratio. However, lactate might also affect autoregulation in other ways. In a cohort of patients with TBI who were heterogeneous with regards to severity, mitochondrial DNA haplogroup K was linked to a reduced risk on the GOS of 4 or 5 at 6 months (OR 0.21, 95% CI 0.38–1.82).⁸⁹ Similarly, the mitochondrial DNA-10398G polymorphisms seem to lead to reduced disability at 6 and 12 months after severe TBI (p=0.02), as assessed by GOS.⁹⁰ These differences in outcome related to mitochondrial DNA haplotypes and polymorphisms might be attributed to the differences in aerobic and anaerobic

metabolism associated with these genetic changes. These findings are preliminary and further exploration is required.

[H2] Blood–brain barrier dysfunction. Transport of nutrients and waste products across the BBB is frequently impaired in the setting of cerebral injury. The BBB is composed of astrocytic foot processes (separated by gap junctions), basement membrane and endothelial cells (separated by tight junctions). Each aspect of the BBB is involved in the transport process. A variety of channels, intracellular junctions and active or passive transmembrane processes are involved solute transport, both of nutrients and of waste products. Consequently, polymorphisms in BBB transport processes might facilitate early transition to anaerobic metabolism in the setting of injury, and subsequently promote autoregulatory failure. The ATP binding cassette (ABC) , and its various isoforms, regulate solute transport across the BBB. In adults with TBI, the *ABCC1* GG SNP (OR 0.73, 95% CI 0.55–0.98, $P = 0.04$ for poor outcome) and *ABCB1* TT SNP (OR 0.71, 95% CI 0.55–0.92, $p=0.01$) show a trend towards improved GOS at 6 months (controlling for Glasgow coma score, age, sex, and injury severity score).⁹¹ In addition, SNPs in a variety of different ABCs are linked to elevated intra-cranial pressure and CT-based cerebral oedema in patients with moderate or severe TBI.⁹²

The aquaporin 4 (AQP4) channel is the primary astrocytic channel responsible for water homeostasis across the BBB. AQP4 is known to be impaired by lactate accumulation. The *AQP4* rs3763043 TT genotype has been linked to worse 6 month GOS (OR 5.15, 95% CI 1.60–16.5, $P = 0.0006$), whereas *AQP4* rs3875089 C allele carrier status was associated with improved outcomes (OR 0.18, 95% CI 0.07–0.50, $p=0.0009$ for poor outcome).⁹³ Finally, the matrix metalloproteinases (MMP), a family of endopeptidases responsible for degradation of various extracellular proteins, are also known to affect BBB integrity in the setting of injury. Cerebral microdialysis studies in TBI have shown an increase in the levels of MMP7, MMP8 and MMP9 (amongst other MMPs) in cerebral extracellular fluid after injury, and some data also suggest a link between certain MMPs and patient outcome.^{94,95} Although no data is available that directly links MMP SNPs to TBI pathogenesis, data in the field of migraine supports an association between SNPs in *MMP2*, *MMP3* and *MMP9* with the increased risk of migraine.⁵⁸

[H1] Other polymorphisms of interest

Other genetic polymorphisms might affect cerebral autoregulatory function after injury, but data in these areas are limited. Polymorphisms related to the inflammatory response,^{96–101} autonomic response^{102–105} or spreading cortical depression^{40,106} after TBI all have the potential to affect cerebrovascular reactivity. In addition, some systemic vascular and cardiovascular polymorphisms deserve consideration in future studies assessing the link between genetic polymorphisms and autoregulatory dysfunction. Such polymorphisms include those associated with increased vascular tone in systemic hypertension and coronary vasospasm, as well as those linked to NOS pathways,^{60,107,108} the renin–angiotensin system,^{60,107} adenosine receptors¹⁰⁹ and the eicosanoid pathway.¹¹⁰ A brief overview of these additional polymorphisms is provided in Supplementary Box 1.

[H1] Limitations and considerations

Current knowledge of genetics in TBI, including of SNPs related to cerebral autoregulation, is far from complete. To date, only a small selection of specific SNPs have been investigated for their relation to global or neuropsychiatric outcomes in adult TBI.¹⁴ Furthermore, the basic biology of the mechanisms involved in CBF control (including myogenic, endothelial, neurotransmitter-based and metabolic mechanisms) is incompletely understood.^{22,23,25} Our Review provides an initial framework for exploring this pathophysiological landscape, which we expect to evolve as new data emerge.

Some of the SNPs discussed in this review emerged from outside of the study of TBI. Many other cerebral conditions are linked to vascular dysfunction (including migraine, stroke and subarachnoid haemorrhage-related vasospasm), and it is important to consider the SNPs that have been linked to these other pathologies, as they might be involved in CBF regulation and the autoregulation response after TBI. We also make passing reference to SNPs linked to cardiovascular (such as coronary vasospasm) and systemic vascular diseases (such as essential hypertension), which might play a part in cerebral vessel responses. Despite the differences between these diseases and TBI, these SNPs deserve consideration on account of their role in cerebral autoregulatory failure.

Many of the mechanisms that we suggest here are based on preliminary findings and will require further experimental evidence and validation. To date, the majority of studies on individual SNPs involved in autoregulatory dysfunction are single centre and, mainly, single study.¹⁴ The statistical significance of some of the associations described were weak (that is, p-values were close to 0.05), which limits the strength of the conclusions that can be made regarding the potential association between various genes

or SNPs and cerebrovascular function. Many of the studies considered in this article had small sample sizes (that is, fewer than 500 patients), which is a common issue with many studies of TBI and is likely to have affected the statistical significance, or lack thereof, of the SNPs described. As such, these previous genetic studies should be considered exploratory, rather than definitive. However, the SNPs featured in this Review provide a logical basis for future study and elucidation of molecular pathways involved in cerebral autoregulatory dysfunction after TBI. To identify any potential false positive associations, these SNPs will require investigation with large sample sizes and multi-centre collaboration to obtain definitive evidence of their association, or lack thereof. Such large studies will require substantial funding and longitudinal recruitment of patients to overcome this limitation, particularly if evaluated via GWAS, given the sample size and power requirements of such studies.^{14,111–114}

The complexity of TBI care also must not be underestimated. The heterogeneity in primary injury patterns, secondary injury mechanisms and intensive care unit therapies administered all affect cerebral autoregulation,^{115–117} and any monitoring parameters related to this process. Importantly, some of these injury-related mechanisms might operate only in close vicinity to focal lesions, whereas other effects might be global.^{115,118,119} Age and sex are also well known to affect response to therapies in TBI and cerebral autoregulatory response to injury,^{7,120–124} and require acknowledgment as confounding factors. In addition, whether the same mechanisms are involved in impaired cerebrovascular reactivity in both mild TBI^{125–127} and moderate to severe TBI is unknown.^{6–9} Future studies will need to be carefully planned to account for such heterogeneity, further requiring large samples sizes and multi-centre collaboration.

Data from multi-centre consortiums in TBI research, such as TRACK-TBI¹²⁸ and CENTER-TBI¹²⁹ provide one solution for the accumulation of the sample sizes needed for such analyses. The highly granular data needed to fully explore the association between genetic variation and autoregulatory dysfunction might be available in only a subset of such cohorts. However, in a second stage analysis, the accumulation of samples sizes from these and other large consortia will enable us to test the effect of genes on patient outcomes in large sample sizes, even if detailed autoregulatory phenotypes are not fully documented in these patients.

The techniques used to characterize the phenotype of autoregulatory dysfunction also require optimization. Currently, the most commonly used method consists of continuously updated moving

correlation coefficients between slow-wave vasogenic fluctuations in intra-cranial pressure and mean arterial pressure.⁹ In TBI, PRx is most commonly used among these indices^{6,9,130} and has been validated to measure autoregulation in animal models.^{88,131,132} However, PRx is a global representative of autoregulatory capacity, despite it being based on a focal intra-cranial pressure measurement.⁶ We know that autoregulatory responses to injury can be heterogeneous, with hemispheric or regional differences.^{118–120} Consequently, future studies might benefit from considering a multi-modal approach to the measurement of cerebral autoregulation. Such assessment could consist of continuous regional autoregulatory measures, such as those derived from near-infrared spectroscopy⁹ or robotic TCD.¹³³ This approach would enable continuous assessment of regional cerebral autoregulation after TBI and its association with different genetic polymorphisms. Use of near-infrared spectroscopy and TCD technology, in combination with non-invasive continuous blood pressure monitoring, enables cerebral autoregulation to be continuously and non-invasively monitored during follow-up or in an outpatient setting after invasive monitoring devices have been discontinued.^{134,135} These approaches have not been used previously, and might shed light on the association between long-term morbidity after TBI and ongoing cerebral autoregulatory dysfunction. In this context, the use of advanced imaging — such as functional MRI with arterial spin labelling (ASL) or blood oxygen level dependent (BOLD) contrast — has been pioneered in patients with mild TBI or concussion.^{125–127} These approaches promise not only detailed spatial assessment of regional vascular regulation in the chronic phase, but also exploration of regional effects in the acute phase of severe injury. Furthermore, incorporation of cerebral microdialysis^{81,136} and monitoring of oxygen levels¹³⁷ in brain tissue of those with moderate to severe TBI could enable the exploration of links between abnormal vascular function and tissue biochemistry in the context of genetic variation. Such comprehensive approaches on large and complex data sets would incorporate various data structures (such as time series and ordinal categorical data) and might require application of machine learning or deep learning techniques to aid with handling such large data sets, but could yield important insights.

[H1] Future directions

The data presented here provide plausible evidence that genetic polymorphisms influence vascular biology after TBI and affect patient outcomes. Exploration is now needed to determine the best way to test the hypotheses posed in this Review in the context of TBI.

The hypothesis that the polymorphisms highlighted in this Review have a role in impaired cerebral autoregulation in TBI might be addressed most robustly by GWAS. However, although a positive result from such an analysis is robust, false negatives are highly likely as they take no account of prior knowledge of the molecular mechanisms involved in CBF control that we have provided from the analysis of vascular biology in this article, and both patient and injury heterogeneity. We believe that the scientific questions that emerge from this Review could be better answered by using Bayesian approaches that do take account of these priors.¹³⁸ Other approaches might be needed to investigate the effects of rare variants (with a minor allele frequency <1%).¹³⁹ These variants might have a substantial biological effect, but remain undetected by GWAS in the sample sizes currently available for TBI (~10,000 participants when aggregated across multiple studies). Targeted approaches that use selected polymorphism testing to confirm initial GWAS hits might be possible (as has been the case for pharmacogenomics of antiplatelet agents¹⁴⁰) but will require polymorphism targets for validation, which need to emerge from initial GWAS or Bayesian analyses.

The availability of parametric phenotypes confers statistical power that can enable inferences to be made with modest sample sizes with whole genome sequencing.¹⁴¹ Consequently, development of optimal phenotypic metrics are needed for genetic studies of autoregulation in. We have provided suggestions throughout the article regarding phenotypes of interest — such as autoregulatory efficiency, measured via continuously updated indices of cerebrovascular reactivity that are based on a variety of multi-modal invasive and non-invasive methods of cerebral monitoring.^{4,5} These quantitatively measured phenotypes enable focused studies of gene subsets that are informed by initial GWAS and Bayesian analysis.

Many of the large collated datasets that enable such analysis are currently confined to patients with moderate to severe TBI, and use invasive monitoring to provide the inputs for analysis of autoregulatory efficiency. Although some vascular behaviour might be dominated by the severity of TBI, variations in host response might also modulate the pathophysiological phenotype of TBI across the severity spectrum. Consequently, it is important to explore techniques that can be used to characterize autoregulation in mild forms of injury — such as functional MRI with arterial spin labelling¹²⁷ or BOLD contrast^{125,126}, and continuous non-invasive blood pressure monitoring coupled with TCD ultrasonography^{9,133,135,142} or near-infrared spectroscopy^{9,134,143}. Furthermore, it is important to study the

association of long-term regional autoregulatory capacity with clinical outcomes in patients with TBI at follow-up.

Conclusions

Impaired cerebral autoregulation after TBI leads to substantial morbidity and mortality. Development of therapies to prevent and treat dysautoregulation in TBI requires exploration of genetic predisposition towards altered autoregulation. We have highlighted some candidate SNPs that might play a part in cerebral autoregulation. Polymorphisms involved in the myogenic, endothelial, neurotransmitter-based and metabolic cerebrovascular response provide key targets for investigation. Furthermore, SNPs related to some potential drivers of autoregulatory failure (including inflammatory response, autonomic response and spreading cortical depression) also merit exploration.

Competing Interests statement

P.S. and M.C. have financial interests in a part of licensing fee for ICM+ software (Cambridge Enterprise Ltd, UK). D.K.M. has consultancy agreements and/or research collaborations with GlaxoSmithKline, Ornim Medical, Shire Medical, Calico, Pfizer, Pressura, Glide Pharma, and NeuroTraumaSciences.

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Author contributions

All authors reviewed and edited the manuscript before submission. F.A.Z, E.T., J.D., P.J.H. and D.K.M. contributed substantially to the discussion of content. F.A.Z. researched data for the article and wrote the manuscript.

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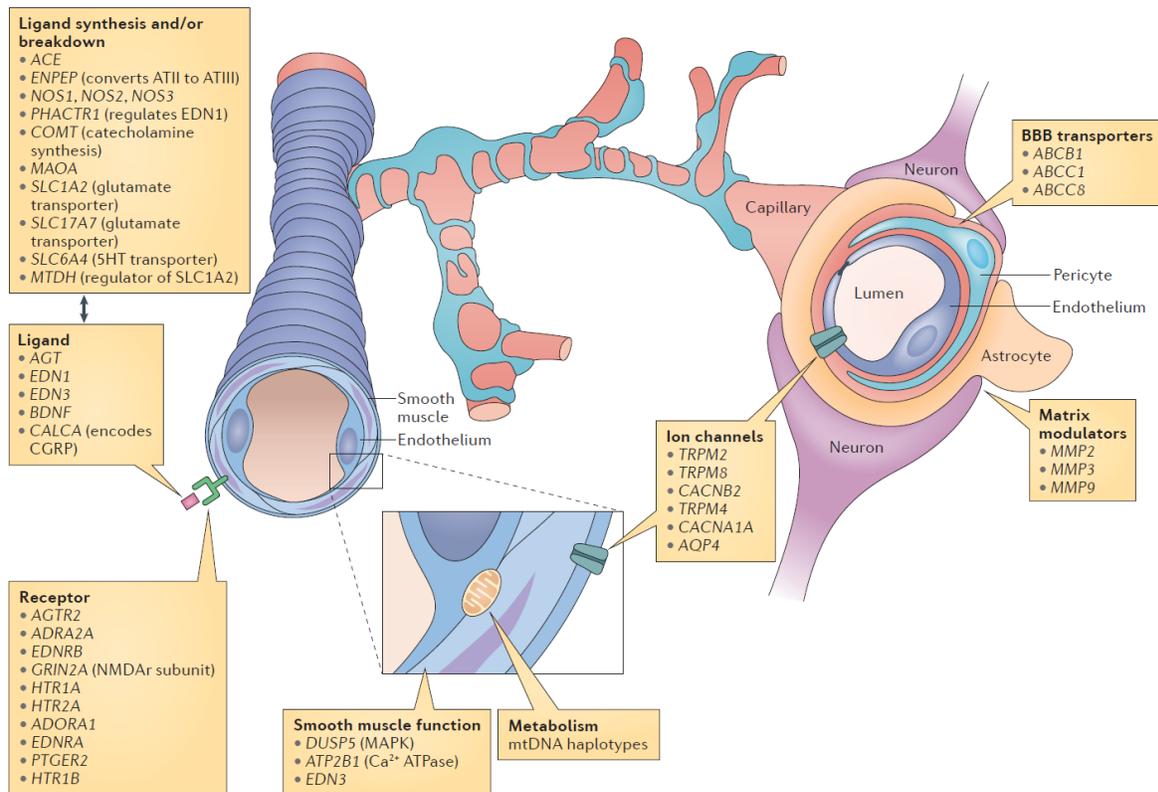
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Figure 1 | Potential role of genetic polymorphisms in the control of cerebral autoregulation



Summary of the theorized mechanisms and genetic polymorphisms involved in the modulation of cerebrovascular tone. Figure displays a cerebral arteriole, with smooth muscle and endothelial layers, with surrounding pericyte and astrocyte. Left side of figure highlights genetic polymorphisms that are potentially involved in neurotransmitter or endothelial responses to changes in perfusion pressure, with genes subcategorized into ligand synthesis and/or breakdown, ligands, and receptors. The bottom portion highlights genes involved in smooth muscle function, ion channels and metabolism. Finally, the right side of the figure lists genes potentially involved in blood–brain–barrier integrity and matrix modulation.

Table 1 | Candidate polymorphisms linked to the cerebrovascular myogenic response

<u>Gene name</u>	<u>Variant-associated Polymorphism : rs number or mutation</u>	<u>Chromosome</u>	<u>Protein and role</u>	<u>Relevant pathological conditions associated to date with polymorphisms</u>
DUSP5 ^{41,42}	A wide variety of SNPs identified to date	10q25.2	Dusp5. A serine-threonine phosphatase that inactivates MAPK, resulting in decreased regulation of calcium activated potassium channels and TRP channels.	None described in humans to date, but potential for a variety of vascular issues exists.
TRPM2 ^{43,44}	A wide variety SNPs identified to date that are linked to dysfunction	21q22.3	TRPM2. A calcium permeable cation channel that has a potential role in vascular tone and excitotoxicity.	-Potential role in status epilepticus and glutamate-mediated excitotoxicity. -Potential downstream mediator of vascular tone.
TRPM4 ⁴³	A wide variety SNPs identified to date that are linked to cardiovascular dysfunction.	19q33.3	TRPM4. A calcium permeable cation channel that has a potential role in vascular tone and excitotoxicity.	-Cardiovascular dysfunction -Glutamate-mediated excitotoxicity -Potential downstream mediator of vascular tone
TRPM8 ^{43,45,46}	rs17862920 rs10166942 rs17863838 rs10187654 rs10166942	2q37.1	TRPM8. A calcium permeable cation channel, known to be involved in calcium regulation, and has a potential role in vascular tone. Mutations can lead to both loss of function and increased calcium transport, and mediate a variety of downstream effects.	-Migraine
ACE ^{47,48}	rs4340 (aka. rs464994) rs1799752 rs7221780 rs8066276	17q23.3	Angiotensin converting enzyme. Cleaves angiotensinogen to angiotensin I. Mutation leads increased circulating levels of angiotensin I.	-SAH related VSP (rs4340) -haemorrhagic stroke (rs1799752) -Poor global outcome post-TBI (rs7221780, rs8066276) -Poor neuropsychiatric performance post-TBI (rs4340)
AT2 ⁴⁷	rs11091046	Xq23	Angiotensin type 2 receptor. The major agonist of this	-Essential hypertension -SAH related VSP

			receptor is angiotensin II. Mutation leads to enhanced binding of angiotensin II, resulting in gain-of-function effects that mediate increased vasopressor effects and subsequent vascular remodeling in association with the RAS.	
AGT ⁴⁹	rs699 rs2004776	1q42.2	Angiotensinogen. Mutation potentially leads to increased angiotensin I levels and increased hemodynamic effects. Linked to autoregulation dysfunction.	-Essential hypertension (rs699, rs2004776) -Impaired autoregulation in healthy volunteers (rs699)
ENPEP ⁶⁰	rs33966350 rs6825911	4q25	Glutamyl aminopeptidase. Converts angiotensin II to angiotensin III. Mutations lead to reduced function and protein byproducts which stimulate angiotensin type 1 receptors.	-Essential hypertension
ATP2B1 ⁶⁰	rs17249754	12q21.3 3	Calcium ATPase. Plays a major part in intracellular calcium homeostasis. Mutation leads to increased vascular tone.	-Essential hypertension

ACE = angiotensin converting enzyme, AGT = angiotensinogen, AT = angiotensin type, DUSP = dual specificity phosphatase, MAPK = mitogen activated protein kinase, rs = reference number for SNP,

SAH = subarachnoid haemorrhage, SNP = single nucleotide polymorphism, TBI = traumatic brain injury, TRPM = transient receptor protein type-M, VSP = vasospasm. All SNP information was gained

from the referenced literature and through both ClinVar and dbSNP online databases

Table 2 | Candidate polymorphisms linked to endothelial mediation of cerebral autoregulation.

<u>Gene name</u>	<u>Variant-associated Polymorphism: rs number or mutation</u>	<u>Chromosome</u>	<u>Protein and role</u>	<u>Relevant pathological conditions associated to date with polymorphisms</u>
ADORA1 ^{53,59}	rs3766553 rs10920573	1q32.1	Adenosine A1 receptor. Mutations lead to failure to induce the NOS pathway and thus trend toward vascular constriction.	-Linked to the development of early and late post-traumatic epilepsy -Linked to vasospasm in animal models of SAH.
ADORA2A ^{53,59}	rs2298383 (1976 C/T mutation)	22q11.23	Adenosine A2A receptor. Mutation leads to failure to induce the NOS pathway, trending towards impaired modulation of vascular tone.	-Linked to impairment of dipyridamole-mediated vasodilation during coronary stress testing -Linked to vasospasm in animal models of SAH
EDN1 ^{54,58,60,61}	rs2070699 rs1626492 rs1800541	6p24.1	Endothelin 1. A potent vasoactive mediator. Mutation leads to altered vascular tone.	-Migraine -Increased risk of aneurysmal SAH
EDNRA ^{57,58}	(-231) A/G mutation rs5335	4q31.22- q31.23	Endothelin receptor type A. Triggers vasoconstriction. Mutation leads to altered function and can increase vascular tone.	-Migraine -SAH related VSP
EDNRB ⁵⁸	rs9544636	13q22.3	Endothelin receptor type B. A regulator of vascular tone. Mutation leads to altered tone and subsequent altered vascular tone.	-Migraine
EDN3 ⁶⁰	rs6015450	20q13.32	Mediator of endothelin 3 pathway. Mutation leads to increase vascular tone.	-Essential hypertension

NOS1 ⁵²	rs41279104 rs1060499530	12q24.22	Neuronal nitric oxide synthase.	-Cardiac defects
NOS2 ⁵⁸	rs3833912 rs2297518 rs2779249 rs2297518	17q11.2	Inducible nitric oxide synthase. Mutations typically cause a loss of function, leading to vascular spasm.	-Migraine
NOS3 ⁵²⁻⁵⁷	rs2070744 (786 C/T mutation) rs1799983 (894 G/A mutation) rs3918226 rs743506 rs3918166	7q36.1	Endothelial nitric oxide synthase. Mutations lead to loss of function and increased risk of vascular spasm.	-Essential hypertension -Poor global outcome in TBI -Impaired autoregulation in TBI -Impaired CO ₂ reactivity in TBI -Increased SAH related VSP -Linked to coronary VSP -Migraine
PHACTR1 ⁶¹	rs9349379	6q24.1	Phosphatase and actin regulator 1. A regulator of EDN1 activity, and consequently of vascular tone.	-Essential hypertension -Coronary artery disease -Migraine
PTGER2 ^{62,63,110}	rs17197	14q22.1	Prostaglandin E2 EP2 receptor.	-Essential hypertension

EDN = endothelin, EDNR = endothelin receptor, NOS = nitric oxide synthase, PHACTR = phosphatase and actin regulatory protein, PTGER = prostaglandin E receptor, rs = reference number for SNP, SAH = subarachnoid hemorrhage, SNP = single nucleotide polymorphism, TBI = traumatic brain injury, VSP = vasospasm. All SNP information was gained from the referenced literature and through ClinVar and dbSNP online databases.

Table 3 | Candidate single nucleotide polymorphisms linked to neurotransmitter-based effects on cerebral autoregulation.

<u>Gene name</u>	<u>Variant-associated Polymorphism: rs number or mutation</u>	<u>Chromosome</u>	<u>Protein and role</u>	<u>Relevant pathological conditions associated to date with Polymorphisms</u>
BDNF ^{14,67-69,75}	rs6265 rs7124442 rs2049046	11p14.1	Brain derived neurotropic factor. A multi-function protein. BDNF signaling has downstream consequences on cerebrovascular tone and neuroinflammatory response. Mutation can lead to various responses; for example, poor localization to secretory granules.	-Migraine -RCVS -Global outcome in TBI -Neuropsychiatric outcome in TBI
CACNA1A ⁸⁵	S218L Mutation	19p13	Alpha-1 subunit of P/Q-type calcium channels. These channels mediate neurotransmitter release. The S218L mutation potentially leads to increased neuronal influx of calcium and enhanced glutamate-mediated excitotoxicity	-Cerebral oedema post-TBI -Migraine -SCD
CACNB2 ⁵⁸	rs706100	10p12	Beta-2 subunit of P/Q-type calcium channels. Involved in neuronal calcium homeostasis. The rs706100 mutation is believed to trigger calcium influx and glutamate-mediated excitotoxicity	-Migraine
CALCA ^{58,75}	rs1553005	11p15.2	Calcitonin and calcitonin-gene related peptides. CALCA rs1553005 leads to	-Migraine

			migraine in conjunction with BDNF rs2049046 SNP	
COMT ^{14,58}	rs4680	22q11.21	Catechol-O-methyltransferase. An enzyme involved in the metabolism of catecholamine-based neurotransmitters and drugs.	-Migraine -Global outcome in TBI is potentially improved in Met allele carriers -Neuropsychiatric outcome in TBI
GRIN2A ⁸⁴	rs3219790 (VNTR of GT tract)	16p13.2	NMDA receptor subunit. Glutamate is the main agonist of these channels.	-Linked to increased duration of recovery in mild TBI.
HTR1A ^{58,62,63}	rs6295	5q12.3	Serotonin receptor 1A. Serotonin is known to have direct endothelial action and mediate vasomotor tone.	-Migraine
HTR1B ^{58,62,63}	rs6297	6q14.1	Serotonin receptor 1B. Serotonin is known to have direct endothelial action and mediate vasomotor tone.	-Migraine
HTR2A ^{58,62,63}	rs2070040 rs6313	13q14.2	Serotonin receptor 2A. Serotonin is known to have direct endothelial action and mediate vasomotor tone.	-Migraine
MAOA ^{14,58,77}	30 bp VNTR rs6323	Xp11.3	Monoamine oxidase type A. An enzyme involved in metabolism of catecholamine-based neurotransmitter, with some subtypes displaying high affinity for serotonin.	-Migraine -Aggression in TBI patients with PFC lesions
MTDH ⁵⁸	rs1835740	8q22.1	Metadherin. A cell surface protein with a transmembrane domain. Involved in glutamate homeostasis.	-Migraine -Potential involvement in glutamate mediated excitotoxicity
SLC1A2 ^{58,82}	rs3794087	11p13	Excitatory amino acid transporter 2. A glutamate transporter that regulates CNS glutamate levels. Mutations are linked to impaired transport of	-Glutamate-mediated excitotoxicity -Migraine

			glutamate and increased excitotoxicity.	
SLC6A4 ^{14,58,76}	rs25531 VNTR S Tin2	17q11.2	Sodium-dependent serotonin transporter	-Post-TBI depression -Migraines
SLC17A7 ^{14,83}	rs74144284	19q13.33	Vesicular glutamate transporter 1. Regulates CNS levels of glutamate. Mutations lead to increased excitotoxicity.	-Prolonged recovery in TBI.

BDNF = brain derived neurotrophic factor, CACN = calcium channel, CALCA = calcitonin related polypeptide alpha, COMT = catechol-O-methyltransferase, CNS = central nervous system, GRIN = HTR = serotonin receptor, MAO = monoamine oxidase, MTDH = metadherin, NMDA = n-methyl d-aspartate, PFC = pre-frontal cortex, RCVS = reversible cerebral vasoconstriction syndrome, rs = reference number for SNP, SCD = spreading cortical depression, SLC = solute carrier, SNP = single nucleotide polymorphism, TBI = traumatic brain injury, VNTR = variable number tandem repeat. *All SNP information was gained from the referenced literature in the manuscript, table and through both ClinVar and dbSNP online databases.

Table 4 | Candidate single nucleotide polymorphisms linked to metabolic effects on cerebral autoregulation.

<u>Gene name</u>	<u>Variant-associated Polymorphism: rs number or mutation</u>	<u>Chromosome</u>	<u>Protein and role</u>	<u>Relevant pathological conditions associated to date with Polymorphisms</u>
AQP4 ^{14,93}	rs3763043 rs3875089	18q11.2	Encodes for aquaporin-4 channel, the main astrocytic foot process water channel involved in water homeostasis across the BBB. Mutation leads to loss of function.	-Cerebral edema -Global outcome in TBI
ATPBC-B1 (ABCB1) ^{14,91,92}	rs1045642	7q21.12	Encodes for BBB transporter, ATP-binding cassette subtype B1. Mutation leads to loss of function.	-Linked to global outcome in TBI
ATPBC-C1 (ABCC1) ^{1491,92}	rs4148382	16p13.11	Encodes for BBB transporter, ATP-binding cassette subtype C1. Mutation leads to loss of function.	-Linked to global outcome in TBI
ATPBC-C8 (ABCC8) ^{14,91,92}	rs2283261 rs3819521 rs2283258 rs1799857	11p15.1	Encodes for BBB transporter, ATP-binding cassette subtype C8. Mutation leads to loss of function.	-Linked to cerebral edema in TBI
mtDNA haplotypes ⁸⁹	Haplotypes – J, T, U, K	mtDNA	Mediates aerobic metabolism, amongst other functions.	-Linked to global outcome in TBI

mtDNA ⁹⁰	-10398A mutation -10398G mutation	mtDNA	Mediates aerobic metabolism, amongst other functions.	-Linked to global outcome in TBI
MMP2 ⁵⁸	rs2285053	16p12.2	Encodes for matrix metalloproteinase-2, involved in degrading extracellular proteins.	-Migraine
MMP3 ⁵⁸	rs35068180	11q22.2	Encodes for matrix metalloproteinase-3, involved in degrading extracellular proteins.	-Migraine
MMP9 ⁵⁸	rs3918242 rs2234681 rs17576	20q13.12	Encodes for matrix metalloproteinase-9, involved in degrading extracellular proteins.	-Migraine

ABCC = ATP binding cassette, AQP = aquaporin, ATP = adenosine triphosphate, ATPBC = adenosine triphosphate binding cassette, BBB = blood brain barrier, MMP = matrix metalloproteinase, mtDNA = mitochondrial DNA, rs = reference number for SNP, SNP = single nucleotide polymorphism, TBI = traumatic brain injury. *All SNP information was gained from the referenced literature in the manuscript, table and through both ClinVar and dbSNP online databases.