

Electro- and Solar-driven Fuel Synthesis with First Row Transition Metal Complexes

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Abstract

The synthesis of renewable fuels from abundant water or the greenhouse gas CO₂ is a major step toward creating sustainable and scalable energy storage technologies. In the last few decades, much attention has focused on the development of non-precious metal-based catalysts and, in more recent years, their integration in solid state support materials and devices that operate in water. This review surveys the literature on 3d metal-based molecular catalysts and focuses on their immobilization on heterogeneous solid-state supports for electro-, photo- and photoelectrocatalytic synthesis of fuels in aqueous media. The first sections highlight benchmark homogeneous systems using proton and CO₂ reduction 3d transition metal catalysts, and commonly employed methods for catalyst immobilization, including a discussion of supporting materials and anchoring groups. The subsequent sections elaborate on productive associations between molecular catalysts and a wide range of substrates based on carbon, quantum dots, metal oxide surfaces, and semiconductors. The molecule-material hybrid systems are organized as 'dark' cathodes, colloidal photocatalysts, and photocathodes, and their figures of merit are discussed alongside system stability and catalyst integrity. The final section extends the scope of this review to prospects and challenges in targeting catalysis beyond 'classical' H₂ evolution and CO₂ reduction to C₁ products, by summarizing cases for higher-value products from N₂ reduction, C_{x>1} products of CO₂ reduction, and other reductive organic transformations.

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1 Introduction

1.1 Motivation and Strategy

The worldwide reliance on fossil fuels as energy carriers and raw material for industrial products presents several challenges for the coming decades. Reserves are finite, and their combustion for power generation and use by the petrochemical industry has substantially contributed to rising atmospheric CO₂ levels,¹⁻³ which has recently been emphasized by the 2015 United Nations Climate Change Conference in Paris.⁴ Worldwide energy demand is steadily increasing, and fossil fuels made up more than 80% of global energy consumption in 2016.^{5,6} Alternatives such as wind, hydro, and solar power are rapidly growing sources of sustainable electricity, but their intermittency makes energy storage a contemporary challenge. Renewable electricity also does not provide combustible fuels for use in the transport sector, in particular aviation and heavy freight over long-distances, nor feedstock chemicals for making plastics, rubbers, fertilizers, and pharmaceuticals. New approaches are therefore needed to provide sustainable resources for fuels and commodity production.

CO₂ is the thermodynamically stable end product of numerous chemical and biological oxidation reactions,^{2,7} and the reverse processes that form chemicals from CO₂ thus require energy input.^{7,8} The Sun continuously supplies approximately 100,000-120,000 TW to Earth, more than 5000 times the current global primary energy consumption of 18 TW, making it the most sustainable energy source available to humanity.^{5,9-11} Nature harnesses this energy through photosynthesis, using sunlight to drive fixation of atmospheric CO₂ under mild conditions on an estimated scale of 100-120 gigatonnes per annum.^{12,13} This biological process provides a strategy for employing light to capture CO₂ and convert it into a chemical energy vector, thereby closing the carbon cycle and simultaneously alleviating global warming.² Mimicry of the natural pathways through artificial photosynthetic design can provide us with a route to solar fuels (Figure 1).

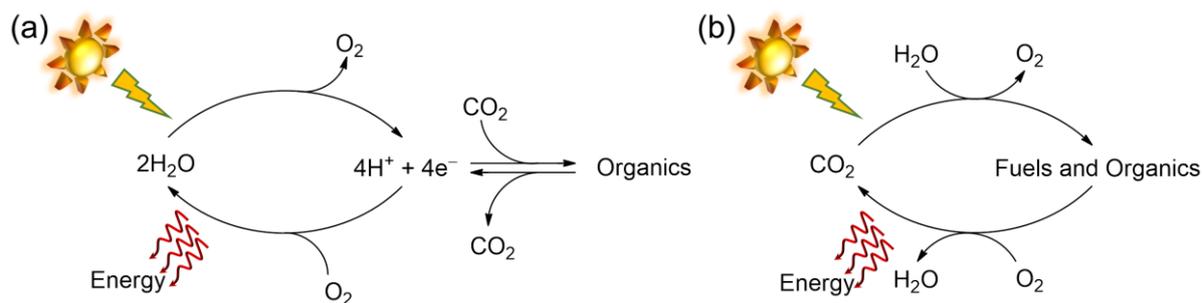


Figure 1. Schematic representation of (a) natural and (b) artificial photosynthesis.

'Solar fuels' describes any concentrated chemical energy carrier with long-term storage capacity that contains chemical bonds in which solar energy has been stored.^{14,15} This

review primarily focuses on pathways to these fuels that have been among the most intensely researched in the last decades: those employing molecular catalysts with the ability to use sunlight directly (photoresponsive systems) or indirectly (by photosensitizer-coupling) to generate H₂ and products from CO₂ reduction. Photocatalytic processes that produce these products are collectively described as artificial photosynthesis,¹⁶ as they take inspiration from the first step of natural photosynthesis where water is photocatalytically split into dioxygen and 'hydrogen'.⁹ 'Hydrogen' refers here to the combination of protons and low potential electrons extracted from H₂O, which in nature are then used along with CO₂ to form organic compounds. These chemicals are the basis of our global energy economy, providing us with 'fuels' to sustain life, whether sugars for metabolism, or fossil fuels for generating electricity and powering transportation. Combining the 'hydrogen' bound in these fuels with O₂ releases energy, reversing the process and thereby liberating H₂O and CO₂ (Figure 1).⁹ In this regard, photocatalytic production of H₂ through water splitting can be seen as the most basic form of artificial photosynthesis, providing molecular hydrogen as a solar fuel, albeit without the advantage of carbon fixation for producing valuable organic compounds.¹

Nature has evolved enzymes for reducing protons and CO₂ with excellent performance under mild biological conditions, and has thereby provided a blueprint for effectively achieving the catalytic reactions central to generating solar fuels. These archetypal biological systems are therefore vital to advancing our understanding of the fundamental aspects of small molecule activation, which may allow for improved design of catalysts and catalytic processes for fuel production and manufacture of commodities.^{1,17} However, while fuel-producing enzymes can reach high turnover rates with excellent selectivity for specific transformations, they are typically sensitive to operational conditions such as pH, temperature, and the presence of O₂, and are restrictively expensive to isolate for large-scale applications.^{11,16-19} Solid-state material catalysts are often stable but may exhibit low product selectivity, giving mixtures of carbon-based products from CO₂ reduction.^{20,21} In contrast, synthetic coordination complex catalysts can achieve excellent product selectivity with acceptable long-term stability and tolerance to experimental conditions.²¹⁻²³ They are also amenable to mechanistic investigation through in situ spectroscopy, and their catalytic properties can be tailored through variation of the metal, and through rational ligand design.^{21,24} Immobilizing molecular catalysts on solid-state supports can thus provide a system displaying single-site catalysis, enhancing selectivity and simplifying identification of the active species, while also facilitating product isolation and catalyst recycling.^{21,24,25} Furthermore, use of a suitable semiconductor (SC) support can supply the energy required for substrate transformation directly through absorption of solar light.^{20,25}

1.2 Biological Templates for Molecular Artificial Photosynthesis

Whether considering H₂ evolution by hydrogenases (H₂ases), reversible reduction of CO₂ to CO by carbon monoxide dehydrogenases (CODHs), or extraction of protons and electrons from H₂O by the oxygen evolving complex (OEC) in Photosystem II, these inspirational biocatalysts generally possess one (or more) Earth-abundant 3d transition metal element in their active sites.^{17,26} The metal centers typically cycle between different oxidation states, reversibly storing electrons and protons that can be added to or extracted from the relevant substrates, whilst also providing a binding site at which transformation of these molecules can be carefully controlled.^{17,26} The identity of these metal sites in nature has been influenced by their bioavailability, but noteworthy differences also exist in the chemistry of 3d transition metals compared to heavier analogues. For example, while iron(II) and nickel(II) complexes rapidly undergo ligand exchange, the corresponding ruthenium/osmium(II) and palladium/platinum(II) complexes tend to be kinetically inert.²⁷ This greater lability results in kinetically controlled chemistry for the lighter elements, imparting unique reactivity profiles. In combination with their vastly greater availability (elemental abundance in Earth's crust in mg kg⁻¹ = Fe: 5.63×10⁴, Ni: 8.4×10¹, Ru: 1×10⁻³, Os: 1.5×10⁻³, Pd: 1.5×10⁻², Pt: 5×10⁻³), these properties make the 3d transition metals the most promising candidates for appreciating and reproducing subtle aspects of metalloenzyme reactivity.²⁸ In-depth studies of the natural systems have revealed recurring characteristics that help shape reactivity, and the following overview highlights selected examples with features that have been, or have the potential to be, applied for enhancing the activity of synthetic systems.

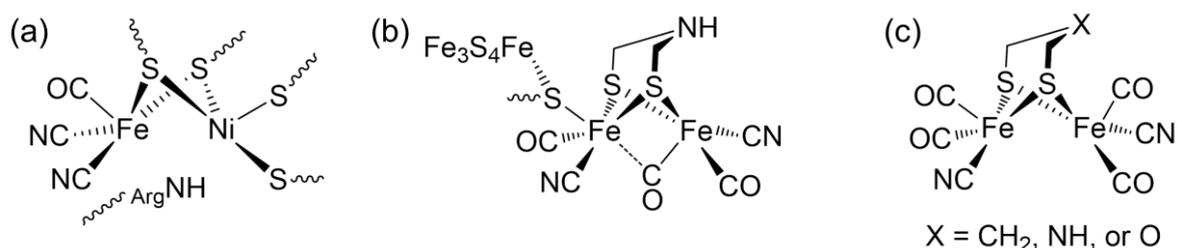


Figure 2. Structures of (a) [NiFe]-H₂ase active site, (b) [FeFe]-H₂ase active site, and (c) synthetic cofactors used to reconstitute [FeFe]-H₂ases.

Two types of metalloenzyme with particular relevance to catalytic H₂ generation are the [FeFe]- and [NiFe]-H₂ases, both of which reversibly interconvert two electrons and two protons into molecular hydrogen.^{29,30} Although the [FeFe]-H₂ases are generally more sensitive to irreversible O₂ inactivation, they show greater activity for H₂ production, competing with metallic platinum in terms of performance and reaching rates of up to 10⁴ s⁻¹.^{16,31-33} The names of these enzymes reflect the composition of their bimetallic active sites: the [NiFe]-H₂ases possess one nickel and one iron atom, whereas the [FeFe]-H₂ases

utilize two iron centers (Figure 2a-b). Both feature biologically unusual organometallic CO and CN⁻ ligation at the Fe atoms, with thiolates as the remaining donors in both terminal and bridging (μ) positions. A subclass of the [NiFe]-H₂ases also exists in which one of the terminally coordinating amino acids has been substituted for a selenocysteine residue, with these enzymes being known as [NiFeSe]-H₂ases.^{19,29,30} Each H₂ase typically contains several iron-sulfur clusters that facilitate electron transport to and from the protein surface, with the [FeFe]-H₂ase active site being directly wired to one such [4Fe4S] cluster through a bridging cysteine residue at the 'proximal' iron (Fe_p) center.

Precise mechanistic details for each type are still under debate, but both are thought to operate through reversible heterolytic dissociation of the H-H bond into a metal-hydride and a proton. The hydride coordinates as a bridging ligand between the two metal atoms in [NiFe]-H₂ases, whereas it occupies a terminal position on the 'distal' iron (Fe_d) in [FeFe]-H₂ases. In the former, the proton is thought to be initially accepted by an arginine residue close to the active site.³⁴ In contrast, [FeFe]-H₂ases possess a unique azadithiolate cofactor, HN(CH₂S)₂²⁻, which provides a neighboring amine group that acts as the proton acceptor.²⁹ The contribution of this basic nitrogen to reactivity is emphasized by a pair of studies that introduced dithiolate cofactors with differing central functionalities (X(CH₂S)₂²⁻, where X = CH₂, NH, or O) into an apo-[FeFe]-H₂ase (Figure 2c).^{35,36} Activity similar to the wild-type was only observed for the holo-enzymes reconstituted with N-containing cofactors.^{35,36} The presence of a proximal proton acceptor site thus plays a vital role in the mechanism of H₂ases, and is a feature especially applicable to the activity of molecular complexes.

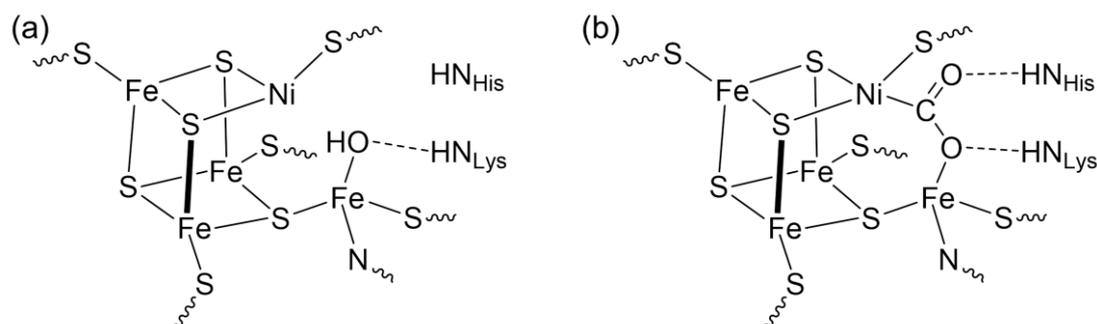


Figure 3. Structure of [NiFe]-carbon monoxide dehydrogenase active site with (a) reduced nickel center in the absence of substrate, and (b) bound CO₂ after incubation with NaHCO₃.

CODHs are metalloenzymes that convert CO to CO₂ at the thermodynamic potential, and thus serve as archetypes for achieving this catalytic transformation. Despite the existence of two types of these biocatalysts, namely the [CuMo]-CODHs and [NiFe]-CODHs, only the latter is known to perform the reverse reaction, reducing CO₂ to CO at a rate of 45 s⁻¹.^{37,38} The active site in [NiFe]-CODHs is formed by one nickel and one iron center, further supported by a Fe₃S₄ cluster that provides two μ -sulfido ligands to the nickel and one to the

iron (Figure 3).^{39,40} Crystals incubated under reducing conditions show a structure with the nickel additionally ligated by a cysteine residue in an unusual three-coordinate T-shaped geometry, which may hint at a fourth hydride ligand.^{40,41} The iron coordination sphere is completed by a cysteine, a histidine, and a fourth water-derived ligand oriented toward the nickel site, with a lysine providing an H-bonding contact and thought to promote reversible conversion of the Fe-bound aqua ligand from water into hydroxide.^{40,42,43} Crystal treatment with the same reducing conditions in the presence of NaHCO₃ resulted in a structure consistent with binding of CO₂, featuring a two atom bridge with Ni–C and Fe–O bonds.⁴⁰ Both CO₂-derived O-atoms are also closely associated with two nearby protein residues (Figure 3b).⁴⁰ These observations imply that H-bonding interactions may contribute to stabilizing a metallo-carboxylate intermediate, which has also been detected by in situ infrared (IR) spectroscopic studies.^{42,44} Site-directed substitution of either amino acid for alanine shows diminished activity, whereas double mutants are entirely inactive.^{39,45} Fine details of the catalytic cycle are still under debate, particularly the involvement of a nickel-hydride species, but there is strong evidence that H-bonding and proton shuttling functionality are essential for activity, as in the case of the H₂ases discussed above.⁴²

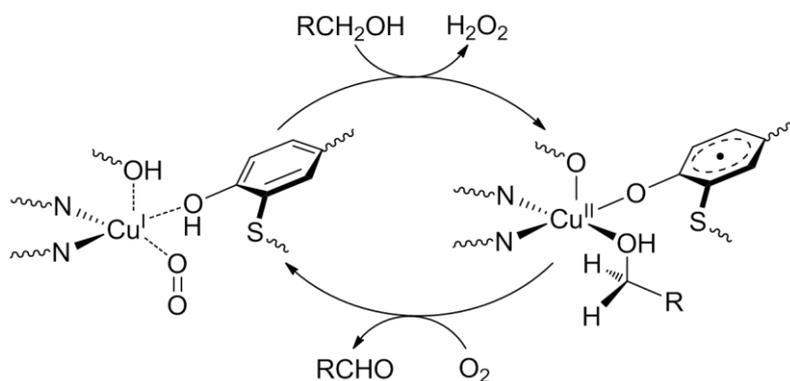


Figure 4. Catalytic cycle of galactose oxidase showing a non-innocent tyrosine ligand that cooperates with a copper ion to achieve a two-electron substrate transformation.

An additional factor that can contribute to catalysis is the presence of redox non-innocent ligands. Reversible storage of redox equivalents is typically assigned to changes in metal center oxidation states, but the supporting organic scaffold can also participate, thereby lowering reorganization energy by delocalizing charge density and making electron transfer (ET) more facile.^{17,46} Nature uses such non-innocence in photosynthesis, for instance when light absorption in Photosystem I causes an electron to be temporarily stored in the porphyrin-like macrocyclic ligand of a Mg-containing chlorophyll complex.^{46,47} A further example can be found in the tyrosine/tyrosyl redox pair, which accepts electrons extracted from water by the Mn-containing OEC in Photosystem II, and acts in concert with a copper ion in galactose oxidase for the two-electron oxidation of primary alcohols into aldehydes

(Figure 4).^{17,46,48} Although the tyrosine residue does not directly coordinate to the [CaMn₄]-cluster in the OEC, in both scenarios proton-coupled electron transfer (PCET) occurs.^{17,46,48} This coupling is vital for optimal activity, especially for processes going beyond single-electron transformations, as it can avoid the formation of high-energy intermediates.^{16,49} This is particularly important for the multi-electron reduction reactions of CO₂ (Equations 1-7 below), which can thermodynamically proceed at relatively modest potentials.^{1,22}

1.3 Structure and Activation of CO₂

CO₂ is a non-polar linear molecule with a pair of short bonds of 1.16 Å.^{7,28} The individual bonds are polarized due to the electronegativity of the constituent elements, resulting in an electrophilic C-atom and nucleophilic O-atoms.^{7,23} Nevertheless, the electrons in CO₂ are tightly bound, with a first ionization potential of 13.8 eV, imparting non-basic character to the O-atoms and a limited affinity for Brønsted and Lewis acids.^{1,23,50} ET into the anti-bonding lowest unoccupied molecular orbitals (LUMOs) of CO₂ increases the C–O bond lengths, and simultaneously induces geometric distortion away from linearity.^{7,51} Bending of the molecule is associated with a significant cost in terms of reorganization energy, as reflected by the very negative potential required to achieve uncoupled outer-sphere reduction of CO₂ by one electron.^{1,7,23} Coupling reduction with energetically favorable bond formation can partially offset this penalty.^{22,52,53} CO₂ activation is thus often achieved by inner-sphere ET to the C-atom coupled with interaction of Lewis acids at the O-atoms, as illustrated by the CO₂-bound CODH structure (Figure 3).^{1,7,23,40} This bifunctional activation analogous to frustrated Lewis pairs is also reminiscent of the mechanism used by H₂ases, and highlights the importance of functionality that can simultaneously provide electrons and protons to facilitate PCET.^{1,22}



All values are reported vs normal hydrogen electrode (NHE) at pH 7.

The multi-electron reduction of CO₂ can yield a variety of products with relevance to catalytic fuel synthesis, shown in Equations 1-7.^{22,54-56} As emphasized above, these reactions are usually proton coupled, and therefore functionality with appropriate proton

donor-acceptor properties in suitable proximity to the catalytic site can be expected to assist in such multi-electron substrate transformations.^{1,8,22} However, as these reactions occur at similar thermodynamic potentials (E°) different mechanistic pathways can compete, giving product mixtures and thus resulting in poor selectivity. This is particularly problematic when operating in aqueous media, as the generally more facile proton reduction reaction will become a significant competing process.^{21,22,57,58} The relative concentrations of CO_2 (0.033 M at 298 K under 1 atm CO_2)^{1,28} and $\text{H}_2\text{O}/\text{H}^+$ substrates in an aqueous environment therefore provide a challenge, but can be influenced by changes in pH, and can even lead to direct production of industrially useful syngas ($\text{CO} + \text{H}_2$).^{2,21,59,60} Selectivity for a particular product can be tuned by using molecular catalysts, with the most frequently observed products synthesized from aqueous CO_2 to date being H_2 , CO , and HCO_2H .^{21-23,58} These compounds are produced through two-electron reduction reactions, and are thought to form largely as a result of a number of distinct mechanisms.

1.4 Mechanistic Pathways

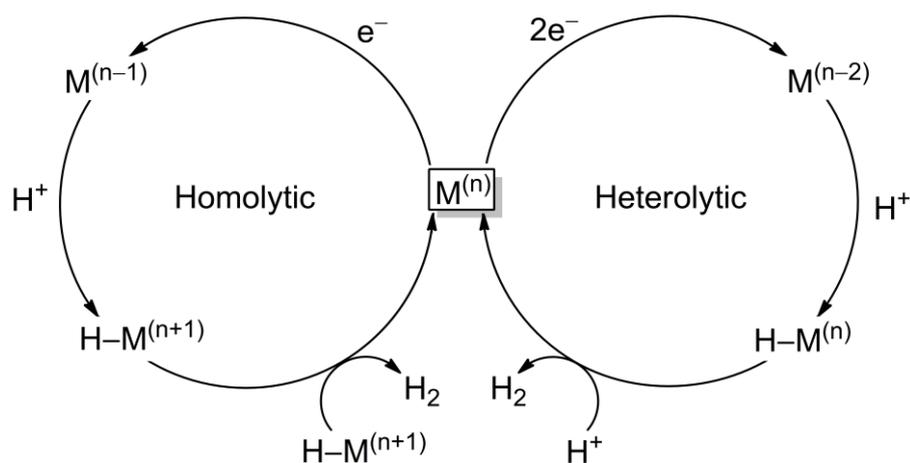


Figure 5. Simplified mechanistic pathways for H_2 evolution by a molecular catalyst (M) with oxidation state n ($\text{M}^{(n)}$).⁶¹

Extensive experimental and theoretical studies have revealed several mechanistic processes which contribute to H_2 formation when mediated by transition metal-containing molecular catalysts. A generalized scheme depicting possible homolytic and heterolytic pathways is shown in Figure 5.^{22,61} Catalytic H_2 production by molecular complexes is generally thought to proceed through metal-hydride intermediates, which are formed by reduction and protonation of a transition metal center.^{22,61-63} The hydrides produced can subsequently form H_2 by two possible routes. In the homolytic mechanism, two metal hydride complexes react with each other via reductive elimination, both donating a proton and an electron to yield H_2 . Alternatively, a further reduced metal hydride species can be protonated and release H_2 through a heterolytic mechanism.^{22,61} Both pathways can also

function simultaneously, and in some cases the dominant route in operation depends upon the experimental conditions employed, such as pH, catalyst concentration, or proton source.²² It should be noted that although these labels are widely used to refer to the two pathways, the descriptors homolytic and heterolytic actually describe the reverse bond cleavage reactions.

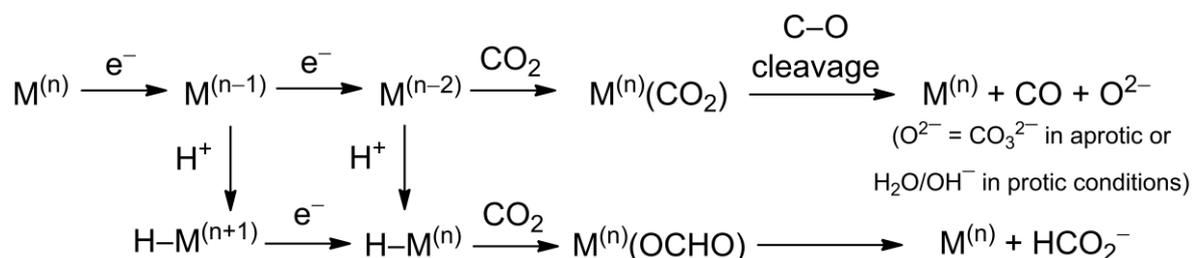


Figure 6. Competing pathways for CO and HCO₂H formation from CO₂, showing the pivotal role of the metal-hydride in determining product distribution. Either of the two hydrides shown can undergo side reactions to form H₂ as in Figure 5. Oxide (O²⁻, above right) is produced as either CO₃²⁻ or H₂O/OH⁻.

Metal-hydride intermediate formation is also believed to influence the products of CO₂ reduction.^{22,64,65} Reaction of CO₂ with molecular complexes usually occurs in one of two ways, either by insertion into a metal-hydride bond or by binding to a vacant coordination site at the metal center (Figure 6).^{22,23} The usual pathway by which CO₂ inserts into a metal-hydride is referred to as 'normal', and is thought to be promoted by electrostatic attractions between the polarized O-C and M-H bonds, positioning the electrophilic carbon-atom in close proximity to the nucleophilic hydride moiety.^{23,66,67} In contrast, electron-rich metals possessing free binding sites favor CO₂ activation through monodentate C-coordination, leading to a metallo-carboxylate species with O-atoms sterically exposed and available for protonation.^{23,51} Though both insertion and coordination can proceed through different routes that vary in the mode of CO₂ interaction with the metal center, the former process typically affords HCO₂H as a product, whereas the latter has a greater tendency to yield CO.^{22,65,68} O-coordinated CO₂-adducts have been implicated in exceptions to this general trend, but the above reactivity profiles are the most commonly observed.⁶⁹⁻⁷¹ The much greater availability of protons in aqueous solution in comparison to CO₂ thus means thorough experimental analysis is required in order to accurately assess product distribution.

1.5 Figures of Merit and Practical Considerations

Several key parameters are typically used to evaluate the performance of a catalytic system.^{61,72-74} Selectivity describes the tendency of a catalyst to produce a particular reaction product, and is usually reported as a molar percentage of total conversion (Equation 8).

$$Selectivity(\%) = 100 \times \frac{n_{prod}}{n_{total}} \quad (8)$$

Where n_{prod} is the product of interest (mol) and n_{total} is the sum of total products formed (mol).

Turnover number (TON) and turnover frequency (TOF) are used to quantify catalyst performance, and reflect the stability of the system and the rate at which product is generated.^{61,73,74} TON_{prod} represents the overall number of moles of a specific product generated per mole of catalyst (Equation 9), either during the course of bulk controlled potential electrolysis (CPE), or upon irradiation of a photocatalytic system. TOF_{prod} is defined as the TON_{prod} per unit of time (Equation 10).

$$TON_{prod} = \frac{n_{prod}}{n_{cat}} \quad (9)$$

$$TOF_{prod} = \frac{TON_{prod}}{t} \quad (10)$$

Where n_{cat} is the amount of catalyst present in the system (mol), and t is time (s).

More elaborate methods that can grant insights from the interpretation of electrochemical data alone have been published elsewhere.^{61,75-77} For example, the observed rate constant can be used to estimate TOF, and is obtained directly from cyclic voltammetry (CV) by numerical treatment of changes in current.^{61,77} While TOF values derived in such a way are a useful parameter for benchmarking catalytic activity, they do not always give results that accurately reflect experimental findings, and provide little information about stability and performance under operational conditions.^{23,75,78} It should be noted that the electrocatalytic metrics discussed in this review are primarily based on the results of product quantification (gas chromatography, ion chromatography, etc.), which can furthermore allow determination of Faradaic efficiency (FE). This metric gives the conversion efficiency between electricity and products, and can reveal if electron flow is being redirected to parasitic reactions (e.g. solvent, electrode, and/or catalyst decomposition).²¹ FE_{prod} is determined by comparing quantified product with charge passed during electrolysis (Equation 11).

$$FE_{prod}(\%) = 100 \times \frac{zF \times n_{prod}}{Q} \quad (11)$$

Where z is the number of electrons required for the reduction reaction, F is the Faraday constant (96485 C mol⁻¹), and Q is the total charge (C) passed.

Onset potential (E_{onset}) and overpotential (η) are also important electrocatalytic parameters. The former indicates the minimum electrochemical potential that must be applied for a catalytic reaction to commence with a particular catalyst, though a greater

applied potential (E_{appl}) is often used to achieve faster reaction rates (up to the maximum rate of the catalyst) and measurable quantities of product.^{21,72,74} The overpotential is obtained by the difference between the applied potential and the thermodynamic potential under the experimental conditions (E°), and represents the driving force required to achieve the reduction reaction at a particular rate with the catalyst of interest (Equation 12).^{21,61,72}

$$\eta = E_{\text{appl}} - E^{\circ} \quad (12)$$

Meaningful comparison of this parameter between systems is challenging, as different criteria are used for defining which E_{appl} value is relevant, and a variety of methods are employed for determining E° under non-standard conditions.^{72,73} For organic media containing proton donors, converting reference electrode potentials and calculating E° both rely on a number of assumptions about pK_a values, and contributions from ion-pairing and homo-association, which can greatly differ from aqueous conditions.^{72,74} Experimental determination of E° in such media is possible, and standardized methods for defining η have been suggested, but neither approach is widely employed in the literature.^{72,73} Due to these uncertainties, the values herein are given versus their reported reference electrodes when measured in non-aqueous media, and η is largely used conceptually to facilitate discussion rather than as an absolute property. The E_{onset} is instead reported, and taken as the value at the base of the catalytic wave for simplicity, but an accurate comparison between systems requires that all of the features of a catalyst are considered.

In comparison with electrocatalysis, photocatalytic systems employ additional components. A dye or photosensitizer (PS) is usually required, and while photoreduction coupled to water oxidation can be achieved in a Z-scheme similar to oxygenic photosynthesis, most experiments with molecular catalysts are carried out with a sacrificial electron donor (SED).^{22,79} The PSs can be metal complexes, organic dyes, or inorganic SCs, and their corresponding absorption wavelengths, excited state lifetimes, photostabilities, and redox properties greatly affect the catalytic performance of the light-driven system.⁷⁹ The PSs used in the homogeneous systems outlined in Section 2 and in several heterogeneous constructs discussed later in this manuscript are depicted in Figure 7. A great variety of SEDs are known, with triethanolamine (TEOA) and ascorbic acid (AA) being amongst the most commonly employed under aqueous conditions.^{79,80} Quantum yield (QY), which is dependent on the above factors and reflects the conversion of photons to products formed, is also used as a measure of system efficiency.^{80,81} It can be determined by comparing quantified product (mol) with the molar quantity of monochromatic photons incident on the sample during photocatalysis (Equation 13).

$$QY_{\text{prod}}(\%) = 100 \times \frac{zn_{\text{prod}}}{n_{\text{photons}}} \quad (13)$$

The irradiation wavelength (λ) used is important as it determines whether a system can operate using the solar spectrum. When assessing a catalyst for photocatalytic performance, the TON, QY, and durability of the system are important parameters. Although there are frequently similarities between catalyst performance under electrocatalytic and photocatalytic conditions, a substantial number of factors contribute to activity in each case, and thus results from the two types of experiments do not always correlate seamlessly. Nevertheless, when applied in combination the complementary nature of the two approaches often allows for deeper insights and a greater understanding of the system under investigation.

In addition, sources of both the reaction products and catalytic activity should be closely examined. Isotopic labelling studies are crucial for establishing the origin of reaction products, especially when using CO_2 as a substrate to produce carbon-containing compounds.⁵⁵ Molecular integrity should be investigated, as a number of first row transition metal complexes can decompose into active nanoparticles under catalytic conditions, and metallic impurities in supporting electrolyte solution may act in a similar manner.^{21,82-85} Ruling out such scenarios is vital to correctly assigning the catalytically active species, and entire articles dedicated to the topic are available.^{86,87} Procedures should furthermore be reported in sufficient detail to assess the validity of the results. For example, one widely used test involves adding Hg^0 to poison metallic particles by amalgamation or surface adsorption. It must be conducted with a large excess and strong stirring, such that the Hg^0 contacts the entire reaction solution, but lack of experimental details often makes it difficult to determine if this was the case. The working electrode (WE) material can also have a significant influence on the apparent catalytic activity through direct reaction with the substrate or by altering the properties of the molecular catalyst under investigation.^{23,88-90} The above factors should be considered when comparing transition metal-containing molecular complexes, particularly if meaningful structure-activity relationships for improving catalysts are to be revealed.

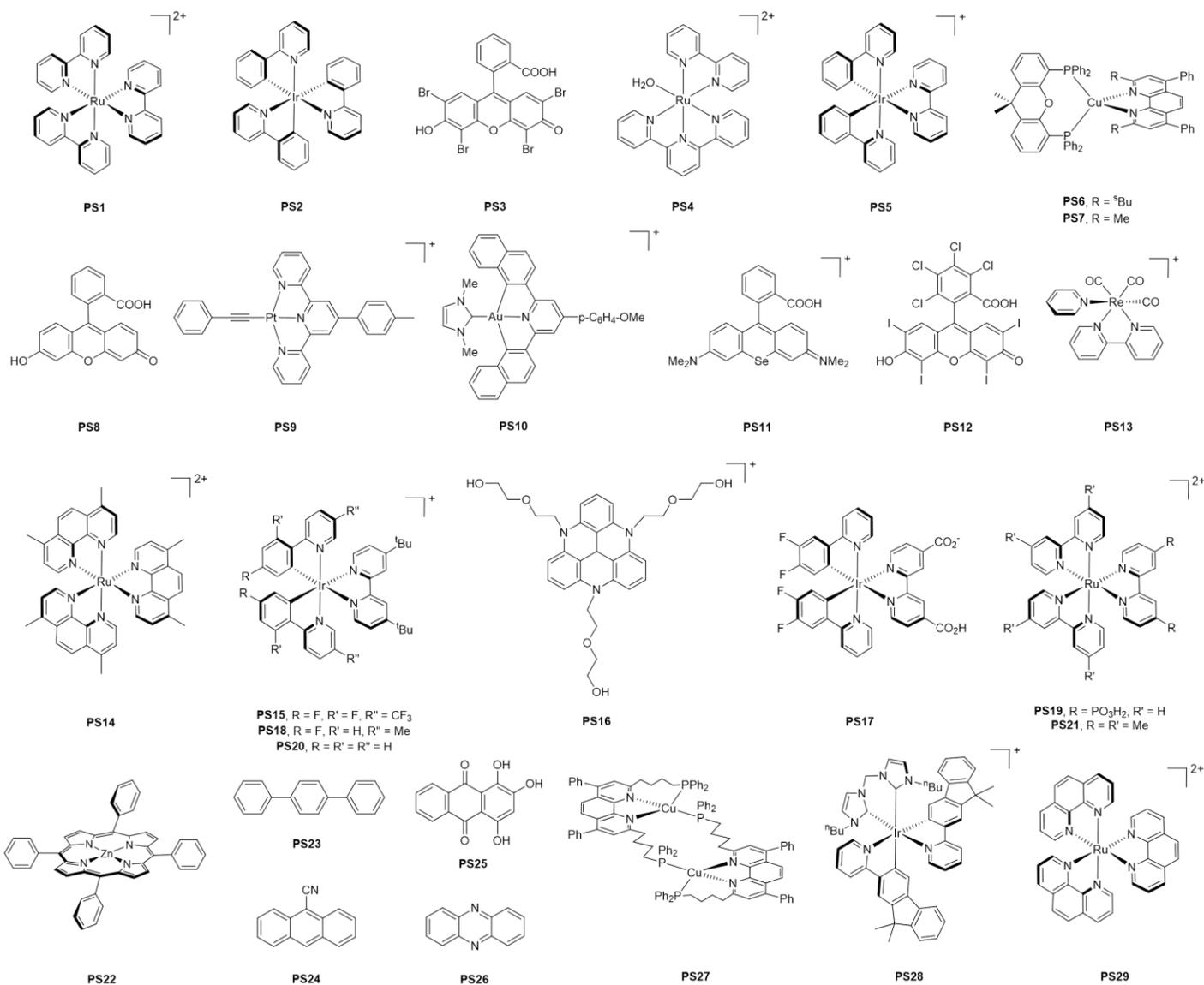


Figure 7. Photosensitizers used in photocatalytic systems described in this review (counter ions have been omitted for clarity).

2 Homogeneous Electrocatalysts

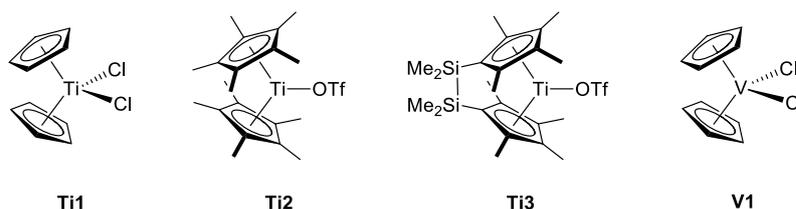
This section outlines homogeneous examples of H₂ evolution catalysts (HECs) and CO₂ reduction catalysts (CRCs) that use H₂O as a proton source, co-solvent, or reaction medium.

2.1 Aqueous Conditions for Catalysis

The abundance, elemental composition, and non-toxicity of H₂O make it an attractive 'green' medium for sustainable energy storage processes,⁶¹ and water oxidation can potentially be coupled with the relevant reduction reaction to provide both protons and electrons for fuel synthesis. An important consideration for future development also arises from the ubiquity of water in the atmosphere; practical application of molecular catalysts is greatly facilitated if they can use or at least tolerate H₂O. A selection of the most promising molecular catalysts is detailed here, organized first by differentiating between HECs and CRCs, and then sub-dividing each section by metal center. As a large proportion of catalysts are insoluble in aqueous media, some examples employing purely organic solvents are also included, particularly those where the catalyst has an immobilized counterpart or the study illustrates a concept generally applicable to catalysis. It is worth noting that the properties of the organic solvent or co-added proton source may considerably influence activity, especially when PCET is involved. To emphasize this point pH values that have been measured under non-aqueous conditions are therefore reported in this review as pH'. Moreover, the solvent may be non-innocent in catalytic mechanisms, which introduces additional complexity when comparing systems that operate in different reaction media.⁹¹

2.2 Hydrogen Evolution Catalysts

2.2.1 Scandium, Titanium, Vanadium, and Chromium

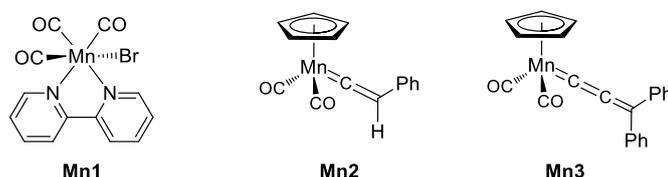


As a result of their oxophilicity limiting compatibility with water, and the stability of their higher oxidation states requiring large negative potentials for reduction, scandium, titanium, vanadium, and chromium have not been the subject of intensive research for catalytic fuel synthesis. CV experiments with **Ti1** and **V1** exhibit increases in current at negative potentials when water is introduced to Ar-saturated acetonitrile (MeCN) electrolyte solutions, but product identification was not reported.⁹² The pair of related titanocene complexes **Ti2** and **Ti3** have been more thoroughly investigated. Addition of water to a toluene solution of

decamethyltitaocene(III) triflate, **Ti2** (OTf = triflate = CF₃SO₃⁻), induces evolution of H₂.⁹³ In situ IR spectroscopy, electron paramagnetic resonance (EPR) spectroscopy, single crystal X-ray diffraction analysis, and density functional theory (DFT) calculations suggest that two H₂O molecules initially coordinate to **Ti2**, displacing the triflate counterion. Two of these di-aqua complexes then interact and each donates a hydrogen atom, giving H₂ and hydroxide-coordinated Ti⁴⁺ products. The triflate remains closely associated during the reaction, and may assist by stabilizing the Ti-coordinated aqua ligands through hydrogen bonding.⁹⁴ While H₂ results from stoichiometric reactivity in this case, contributions from H-bond stabilization and the interaction of two metal centers are aspects that are frequently associated with highly active molecular catalysts, as well as with their corresponding enzymatic counterparts. To the best of our knowledge, no Sc- or Cr-based HECs have not yet been reported.

2.2.2 Manganese

Manganese is the 3rd most abundant transition metal in the Earth's crust (9.50×10² mg kg⁻¹),²⁸ and is present in the oxygen-evolving Mn₄CaO₅ cluster found in all photosynthetic organisms, making it ubiquitous in Nature.^{17,26} Most applications have targeted CO evolution with complexes such as **Mn1**, where manganese is a promising alternative to the heavier element rhenium.^{95,96}

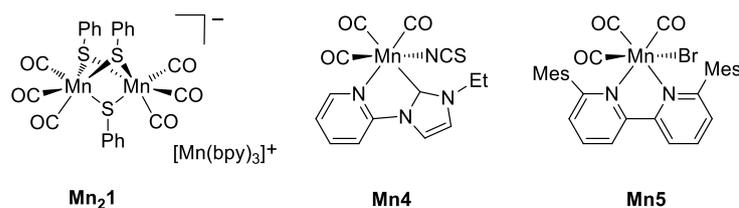


The majority of studies with Mn-based HECs have been conducted in organic solvents using strong acids, with only a few reports employing H₂O as a proton source. The organometallic half-sandwich complexes, **Mn2** and **Mn3**, contain a vinylidene or allenylidene unit, respectively.⁹⁷ In dichloromethane (DCM) or MeCN solutions containing HBF₄ these complexes are protonated and convert into metal-carbyne species. One-electron reduction then triggers H₂ formation, thought to occur through a homolytic pathway. CPE with **Mn2** in DCM over a Hg pool WE at $E_{\text{appl}} = -1.75$ V vs the ferrocenium/ferrocene redox couple (Fc⁺/Fc) resulted in gas evolution and a TON_{H₂} of 5 after 1 h (assuming quantitative FE_{H₂}). Catalytic currents observed in CVs using **Mn3** were up to 50% greater, but E_{onset} occurred at potentials roughly 0.7 V more negative than with **Mn2**. CPE for **Mn3** was not reported.⁹⁷

Examples that employ bimetallic Mn-complexes reminiscent of H₂ase active sites have also been reported.^{98,99} Photolysis of [Mn₂(CO)₁₀] with diphenyl disulfide and 2,2'-bipyridine (bpy) generates thiophenolate-bridged **Mn₂1**, which possesses [Mn(bpy)₃]⁺ as a counterion. CV scans in MeCN revealed two reductive features at -1.90 and -1.50 V vs Fc⁺/Fc, both

showing current enhancements upon introduction of trifluoroacetic acid (TFA).⁹⁹ A TOF_{H_2} of $44,600 \text{ s}^{-1}$ (using 300 equiv. TFA) was calculated based on CV analysis, which is among the highest reported for molecular HECs. CPE at $E_{\text{appl}} = -1.50 \text{ V}$ vs Fc^+/Fc in MeCN with TFA consistently gave $\text{FE}_{\text{H}_2} > 75\%$, although decomposition to a tetranuclear $\text{Mn}_4(\text{CO})_{12}(\text{SPh})_4$ cluster was observed. Rearrangement and H_2 generation may be initiated by a thiophenolate shift to a terminal position, along with protonation of the S-atom, which could then be transferred to produce a Mn-hydride species.⁹⁹ The related selenophenolate-bridged Mn_2 complex is also an active HEC, but shows significantly different electrochemical behavior.⁹⁸

Manganese complexes with both a *fac*-tricarbonyl motif and an unsaturated bidentate ligand, typically a bpy or related derivative, also function as HECs.^{95,100,101} Their octahedral geometry is usually completed by an anion which dissociates upon reduction, ultimately giving a five-coordinate complex with a vacant site for substrate binding.^{100,102} While these complexes have mainly been studied as CRCs (Section 2.3.4), they often produce H_2 as a by-product, and examples where this attribute is especially pronounced are highlighted here.



CPE using **Mn1** with a glassy carbon (GC) WE in CO_2 -saturated $\text{H}_2\text{O}:\text{MeCN}$ (5:95) at $E_{\text{appl}} = -1.70 \text{ V}$ vs Ag/AgNO_3 for 22 h revealed a $\text{FE}_{\text{H}_2} = 15\%$ (in addition to CO production).⁹⁵ In the same media using a GC WE at $E_{\text{appl}} = -1.63 \text{ V}$ vs a saturated calomel electrode (SCE), N-heterocyclic carbene (NHC) complex **Mn4** yielded a $\text{FE}_{\text{H}_2} = 53\%$ after 4 h.¹⁰⁰ **Mn4** also features an atypical isothiocyanate ligand, and the bromido-ligated analogue produced no H_2 under almost identical conditions. This has been tentatively attributed to a lack of SCN^- dissociation upon **Mn4** reduction, which would result in a coordinately saturated metal-center.¹⁰⁰ Later studies spectroscopically identified a Mn-hydride species, proposed as an intermediate for H_2 evolution by these catalysts, and as such the mechanism through which **Mn4** achieves selectivity for proton reduction merits more detailed investigation.^{64,65}

Mn5 contains the bulky 6,6'-dimesityl-2,2'-bipyridine ligand, which allows for generation of a catalytically active species at more positive potentials (Section 2.3.4).¹⁰¹ CV in MeCN (under N_2 , no H_2O added) in the presence of TFA displayed an $E_{\text{onset}} = -1.45 \text{ V}$ vs Fc^+/Fc , and a TOF_{H_2} of 5500 s^{-1} was determined. CPE under these conditions using a GC WE at $E_{\text{appl}} = -1.50 \text{ V}$ vs Fc^+/Fc gave quantitative FE_{H_2} over 21 h, with a TON_{H_2} of 75.^{101,102}

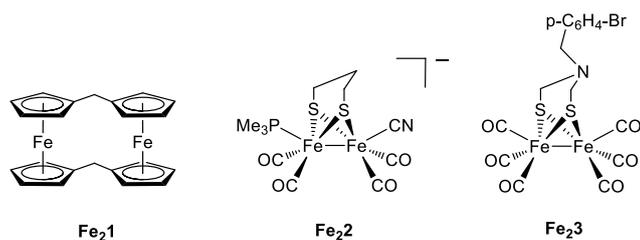
Progress has been made with Mn-based HECs under semi-aqueous conditions, but the activity of these catalysts is still relatively underexplored in comparison with their later 3d transition metal counterparts. Studying more structurally diverse complexes may prove to be a promising strategy, especially for their use in photocatalytic schemes, as manganese(I)-tricarbonyl complexes are photosensitive to ultraviolet-visible (UV-vis) irradiation.¹⁰³⁻¹⁰⁸

2.2.3 Iron

2.2.3.1 Electrocatalysis

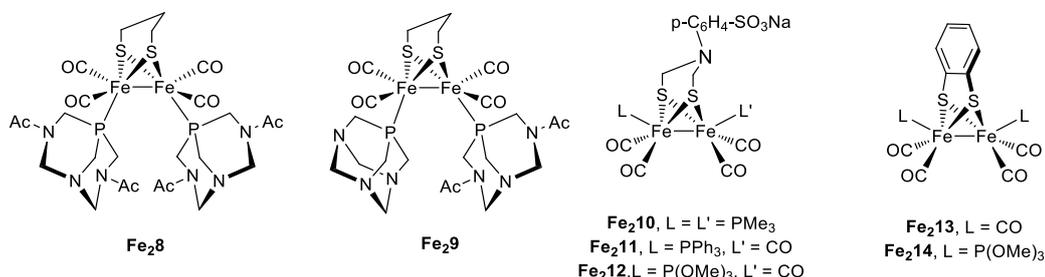
Iron is the most abundant transition metal in the Earth's crust (5.63×10^4 mg kg⁻¹), and is present in the active site of [FeFe]-H₂ases, which operate with a TOF_{H₂} up to 10⁴ s⁻¹.^{11,31,33} Molecular systems that mimic these enzymes have allowed for improved understanding of their remarkable reactivity.¹⁰⁹⁻¹¹² This biomimetic or bio-inspired line of research forms a field of its own, and exhaustive reviews on synthetic H₂ase model complexes are available.¹⁰⁹⁻¹¹² In-depth discussion of this topic is beyond the scope of this review, but some highlights with general conceptual relevance for achieving proton reduction are summarized here.

Although synthetic models provide useful insights, there are key differences between the natural and artificial systems. Biomimetics frequently achieve catalysis through [Fe⁰Fe⁺] intermediates, whereas the lowest oxidation state accessed by the parent enzymes is [Fe⁺Fe⁺].¹¹¹⁻¹¹³ Moreover, protonation of synthetic analogues often gives bridging hydrides, rather than the more reactive terminal species formed in [FeFe]-H₂ases.^{110,111,113} These structural changes influence reactivity, and are likely significant contributors to the relatively large overpotential requirements and low catalytic rates shown by artificial systems.¹¹¹⁻¹¹³

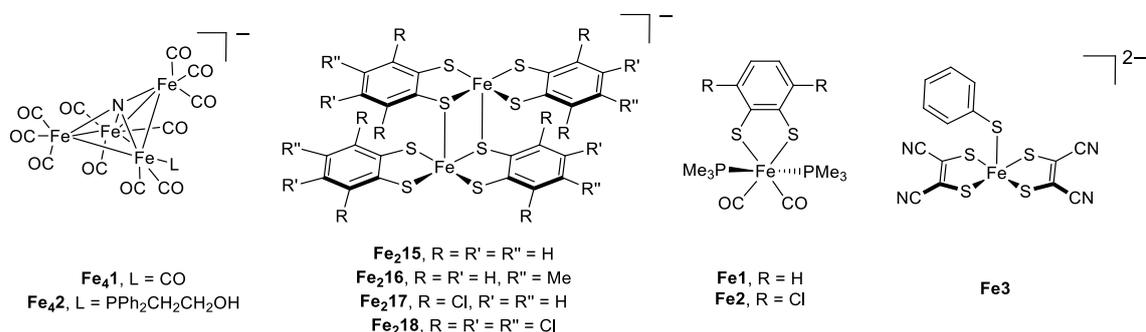


An early example of proton reduction with a bimetallic species used di-ferrocene **Fe₂₁**.¹¹⁴ Dissolution in BF₃·H₂O yielded one equivalent of H₂, resulting from one-electron oxidation of each iron center.¹¹⁴ No H₂ evolution occurred with diluted BF₃·H₂O solutions or with other aqueous acids, owing to the weak basicity of the ferrocene units.¹¹⁵ This limitation could be partially offset by grafting an analogue of **Fe₂₁** onto a polystyrene polymer to construct a hybrid photocathode (Section 7.2.6).¹¹⁵ However, no product quantification was reported, and evidence confirming the molecular nature of the active species is limited.

catalysis. CPE at 0 °C in DCM containing weak acid with a GC WE held at -1.80 V vs Fc^+/Fc gave a FE_{H_2} of 99%, and a TON_{H_2} of 2 after 1 h. While the TOF_{H_2} for **Fe₂7** is among the highest known, the η of 0.5 V is relatively high, and the system uses purely organic media.¹²⁰



Various approaches have been used to impart water-solubility to biomimetic iron HECs. Coordination of amino-functionalized phosphines gives **Fe₂8** and **Fe₂9**.¹²² Both show a reductive feature in aqueous KCl, and catalytic onset when acetic acid (AcOH) is added, but no CPE or product analysis was reported.¹²² Solubility can also be improved by appending quaternary ammonium groups to coordinating terminal phosphine ligands, or by introducing carbohydrate moieties into the disulfide bridge, though no electrochemical studies were reported for purely aqueous media.^{123,124} Adding sulfonate groups to the bridging disulfide yields **Fe₂10**, **Fe₂11**, and **Fe₂12**.¹²⁵⁻¹²⁷ While exhibiting promising electrochemical properties in aqueous solutions, catalytic H_2 generation has only been shown photochemically.¹²⁵⁻¹²⁸ Protein scaffolds¹²⁹⁻¹³² and hosts like cyclodextrin¹²⁸ or chitosan¹³³ were also used to solubilize related HECs in photocatalytic systems (Sections 2.2.3.2 and 5.2). Micelle-forming surfactants such as sodium dodecyl sulfate (SDS) have also shown promise with **Fe₂13** and **Fe₂14**.¹³⁴⁻¹³⁶ CPE of **Fe₂13** using a Hg pool WE at $E_{\text{appl}} = -0.66$ V vs NHE in aqueous SDS/NaCl with AcOH (pH 3.0) displays close to 100 % FE_{H_2} with a TON_{H_2} of 52 after 1 h.¹³⁵



The tetranuclear iron cluster **Fe₄1** catalyzes H_2 evolution in aqueous solution (pH 5–9), with $E_{\text{onset}} = -1.10$ V vs SCE and greater currents at lower pH values.^{137,138} The dianion $[\text{Fe}_4\text{N}(\text{CO})_{12}]^{2-}$ is thought to be the catalytically active species. CPE conducted in acetate buffer (pH 5.0) with a GC WE at $E_{\text{appl}} = -1.25$ V vs SCE gave a FE_{H_2} of 98% with a TON_{H_2} of

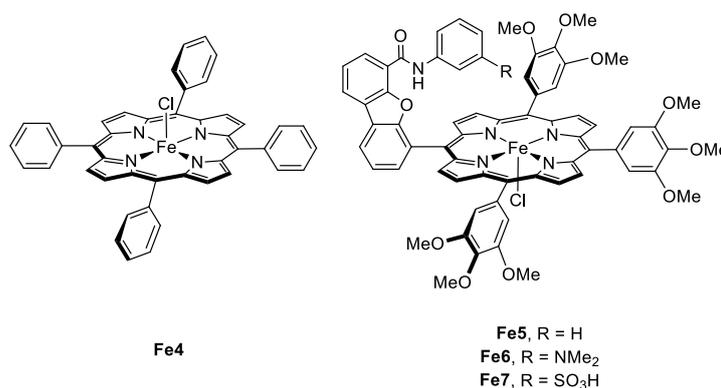
34 over 2.5 h.¹³⁷ **Fe₄1** also shows activity toward CO₂, selectively producing HCO₂H in neutral aqueous solutions, but substitution of CO by PPh₂CH₂CH₂OH to give **Fe₄2** suppresses this reactivity in favor of H₂ formation.¹³⁹ **Fe₄2** thus shows a FE_{H₂} of 97% and TON_{H₂} of 40 over 50 min when electrolyzed under CO₂ in H₂O:MeCN (5:95) with a GC WE at $E_{\text{appl}} = -1.4$ V vs SCE.¹³⁹ The hydroxy-phosphine ligand in **Fe₄2** likely acts as a proton shuttling moiety, though performance in purely aqueous media is yet to be reported.

Several studies use bioinspired sulfur-rich donor sets such as those present in dithiolene scaffolds. These ligands are resistant to hydrogenation and show non-innocence, facilitating reversible electron and proton transfer events.^{140,141} An early study revealed that methanol (MeOH) solutions of the bis(*o*-benzenedithiolate)-iron(II) dianion evolve H₂ stoichiometrically upon HCl addition.¹⁴² The initial reaction step likely involves protonation of a thiolate donor, followed by formation of a dimer that liberates H₂ upon one-electron oxidation of each iron center.¹⁴² The solid state structure of the corresponding Fe³⁺ species **Fe₂15** is consistent with this proposal, showing a bimetallic adduct.^{142,143} CV studies in MeCN containing H₂O (1 M) with **Fe₂15** and the related Fe³⁺ complexes **Fe₂16**, **Fe₂17** and **Fe₂18** indicate reversible formation of corresponding Fe²⁺ species in all cases.¹⁴⁰ The reversible redox waves which range from -0.72 to -0.93 V vs SCE also overlay with E_{onset} in the presence of TFA, indicating that the Fe²⁺ states are the active species.^{140,142} Photocatalysis with these compounds and quantum dot (QD) PSs in H₂O:EtOH (1:1) revealed a correlation between H₂ production and HEC reduction potential, with more positive E_{onset} giving greater activity.¹⁴⁰

Fe1 and **Fe2** are chelated by *o*-benzenedithiolates, with IR and nuclear magnetic resonance (NMR) spectra of MeCN solutions showing protonation of the dithiolate when HClO₄ is added (deprotonation occurs with pyridine).^{113,144} CV of **Fe2** in MeCN shows two irreversible one-electron reduction waves at potentials more negative than -2.0 V vs Fc⁺/Fc. TFA addition causes two new waves to appear at -1.2 and -1.7 V vs Fc⁺/Fc, which increase upon introduction of further acid. CPE with a carbon rod WE at $E_{\text{appl}} = -1.3$ V (TON_{H₂} = 4) and $E_{\text{appl}} = -1.7$ V vs Fc⁺/Fc (TON_{H₂} = 14) show H₂ production at both potentials over 80 min. DFT supports a mechanism involving protonation of an iron-hydride intermediate, where the dithiolate unit serves as a proton shuttle.¹¹³ Malenonitrile dithiolate-coordinated complex **Fe3** provides an additional example where the S-atoms are thought to act as proton shuttles.¹⁴⁵

Porphyrins and related tetrapyrrole-macrocyclic derivatives are a versatile ligand class, with the corresponding iron complexes catalyzing CO₂ reduction and various reactions of relevance to water splitting.^{146,147} These ligands often feature aryl groups in their *meso*-positions, which adopt an orientation roughly perpendicular to the equatorial plane of the metal-binding cavity. Moieties appended to these *meso*-groups can thus interact with

substrates approaching or bound to the axial coordination site of the corresponding metal complexes, and as such these scaffolds have proven useful for studying the effects of hydrogen-bonding and proton-donating peripheral functionality. Fe^{2+} porphyrins are oxidized to the Fe^{3+} state under aerobic conditions, and so are often handled as chlorido-coordinated square-pyramidal ferric complexes. Electrochemical studies thus typically show three sequential one-electron reduction events to generate a formal Fe^0 state. While this terminology is used in the following, the exact electronic configuration of these species likely differs, with spectroscopic and computational evidence consistent with an Fe^{2+} porphyrin diradical structure,^{148,149} despite these CRCs displaying metal-centered reactivity.^{150,151}

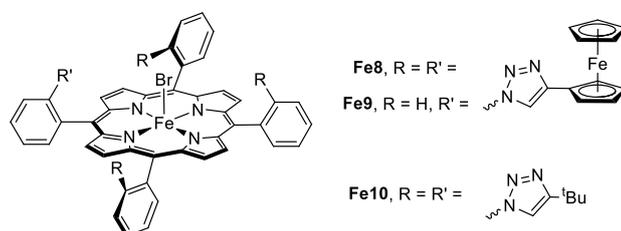


An early demonstration of proton reduction with these HECs used the *meso*-tetraphenylporphyrin (TPP) complex, **Fe4**.¹⁵² CV conducted in *N,N*-dimethylformamide (DMF) revealed a catalytic wave upon addition of triethylammonium chloride at $E_{\text{onset}} = -1.35$ V vs SCE, which corresponds to the Fe^+/Fe^0 couple. CPE with a Hg pool WE at $E_{\text{appl}} = -1.60$ V vs SCE results in a FE_{H_2} of 100% after 1 h ($\text{TON}_{\text{H}_2} = 22$). The formal Fe^0 state was therefore assigned as the catalytically active species.¹⁵² Reactivity toward various substrates can be influenced by integration of peripheral functionality, as detailed below.

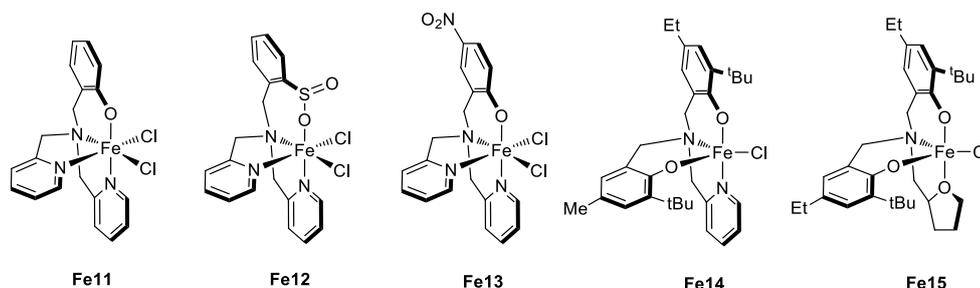
The iron porphyrins **Fe5**, **Fe6** and **Fe7** contain acidic or basic residues appended in the *meso*-positions.¹⁵³ CV in MeCN with an organic acid and triphenylphosphine (PPh_3) revealed that catalysis already occurs in all cases at the $\text{Fe}^{2+}/\text{Fe}^+$ couple, attributed to PPh_3 coordination increasing the reactivity of the Fe^+ species and resulting metal-hydride.^{153,154} Numerical analysis of CV data indicated that the differing substituents influence catalytic rate, but CPE showed a constant FE_{H_2} of ~65% in all cases at a GC WE with $E_{\text{appl}} = E_{\text{onset}}$ (~ -1.50 V vs Fc^+/Fc). The changes in rate for **Fe5-Fe7** are thought to result from differences in proton shuttling ability, and steric restriction of substrate access to the iron active center.

A similar proton-shuttling strategy was used with iron-porphyrins bearing 1,2,3-triazole groups, **Fe8**, **Fe9**, and **Fe10**.¹⁵⁴ CV studies in MeCN showed three reversible, one-electron redox couples, with E_{onset} at the $\text{Fe}^{2+}/\text{Fe}^+$ potential in the presence of TsOH. Adding PPh_3

had a minimal influence on catalytic behavior, whereas substituting TsOH for phenol caused a shift in E_{onset} to the Fe^+/Fe^0 potential. UV-vis spectra of **Fe8** showed changes in the presence of TsOH which can be reversed by triethylamine (TEA) addition, but no such process was observed using phenol. These findings result from reversible protonation of **Fe8** at the triazole moieties, provided a sufficiently strong acid is used. DFT calculations indicate that the protonated triazoles can interact with a vacant axial iron coordination site or putative iron-bound hydride species. While no bulk CPE was conducted under homogeneous conditions, **Fe8** dropcast onto graphitic electrodes produced H_2 with a FE_{H_2} of 86% over 1 h at $E_{\text{appl}} = -0.70$ V vs Ag/AgCl in aqueous H_2SO_4 (pH 1.0). This example further shows that suitably positioned proton donors can have a significant influence on the mechanistic pathways accessible to a catalyst, and thereby drastically alter the resulting activity.



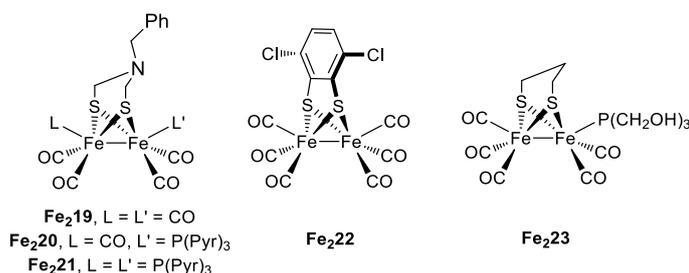
Iron complexes containing ligands related to the tris(2-pyridylmethyl)amine (TPA) framework, **Fe11-Fe13**, show promising activity under aqueous conditions.¹⁵⁵⁻¹⁵⁷ CVs of **Fe11** in $\text{H}_2\text{O}:\text{MeCN}$ (1:1) with TFA display an E_{onset} at -1.15 V vs SCE (η of ~ 0.8 V), significantly more cathodic than the $\text{Fe}^{3+}/\text{Fe}^{2+}$ couple.¹⁵⁶ **Fe11** also showed similar behavior indicative of catalytic activity in purely aqueous solutions. CPE in citrate-phosphate solution (pH 5.0) with a GC WE at $E_{\text{appl}} = -1.20$ V vs SCE gave a FE_{H_2} of 98% after 1 h (TON_{H_2} of 23). The $\text{Fe}^{3+}/\text{Fe}^{2+}$ couple was shown to be pH-dependent, shifting to more positive potentials upon introduction of greater amounts of acid. This shift is thought to result from protonation of the phenolic residue in **Fe11**, a process more thoroughly investigated by synthetic modification of the aromatic group. **Fe12** and **Fe13** have thus also been established as HECs in organic solvents, but both showed limited solubility in water.¹⁵⁵⁻¹⁵⁷



Chelation with related tetradentate tripodal ligands gives **Fe14** and **Fe15**, which are also active in aqueous media.^{158,159} CV of **Fe14** in DMF shows two features assigned as

sequential one-electron reductions of the iron center, and addition of AcOH leads to an E_{onset} that coincides with the $\text{Fe}^{2+}/\text{Fe}^+$ redox wave.¹⁵⁹ CPE in aqueous phosphate solution (pH 7.0) with a GC WE at $E_{\text{onset}} = -1.50$ V vs Ag/AgNO₃ produced a FE_{H_2} of 93% over 1 h ($\text{TON}_{\text{H}_2} = 141$). Longer CPE experiments showed that the current remains stable for at least 40 h.¹⁵⁹ In contrast, complex **Fe15** has an additional reductive feature in CV scans and is thought to be catalytically active as an Fe^0 species.¹⁵⁸ Despite this, E_{onset} occurs at a similar potential to **Fe14**. CPE at $E_{\text{appl}} = -1.45$ V vs Ag/AgCl with **Fe15** in the same aqueous media gave a FE_{H_2} of 96% after 2 h ($\text{TON}_{\text{H}_2} = 568$). In this case the HEC was active for at least 72 h.¹⁵⁸

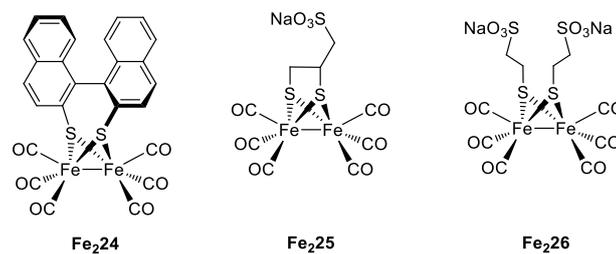
2.2.3.2 Photocatalysis



Photocatalytic studies initially employed **Fe₂19-Fe₂21**, which all possess a dithiolate bridge with a tertiary amine.¹⁶⁰ Exchange of the CO units for poorly σ -donating tris(*N*-pyrrolyl)-phosphine groups was investigated to improve photostability without a large negative shift in reduction potentials. Singly substituted **Fe₂20** displayed the best performance, with a TON_{H_2} of 4.3 after 3 h irradiation ($\lambda > 400$ nm) using **PS1** in H₂O:MeCN (1:1) solution containing AA.¹⁶⁰ In contrast, illumination ($\lambda > 400$ nm) of **Fe₂20** with **PS2** in H₂O:acetone (1:9) with TEA (pH' 11.0) resulted in a TON_{H_2} of 466 after 8 h.¹⁶¹ Under acidic conditions ($\lambda = 455\text{-}850$ nm) with dithiolene-ligated **Fe₂22** and **PS1** in H₂O:DMF (1:1) containing AA (pH' 5.5), a TON_{H_2} of ~200 resulted after 2.5 h, with a QY_{H_2} of 1%.¹⁶²

Using precious-metal-free **PS3**, **Fe₂23** reaches a TON_{H_2} of 226 after 15 h ($\lambda > 450$ nm) in H₂O:EtOH (1:1) with 10% TEA (pH' 10.0), giving a QY_{H_2} of 5.6% ($\lambda = 520$ nm).¹⁶³ A faster rate is observed for binaphthalene-dithiol bridged **Fe₂24** under comparable conditions, achieving a TON_{H_2} of 224 after 2 h when irradiated ($\lambda > 420$ nm) with **PS3** in H₂O:MeCN (1:1) containing TEA (pH' 10.0).¹⁶⁴ CV of **Fe₂24** in MeCN shows two features, assigned as metal-centered one-electron reductions. In H₂O:MeCN (1:1) with increasing amounts of AcOH, the first wave at -0.95 V vs SCE is largely pH-independent, whereas catalytic current emerges at the second wave at -1.25 V vs SCE, the onset of which thereby being correlated with the $[\text{Fe}^0\text{Fe}^0]$ state.¹⁶⁴ Interestingly, photoexcited **PS3** has insufficient driving force to

generate the catalytically active forms of either **Fe₂23** or **Fe₂24**, with one mechanistic proposal involving direct ET to the catalyst from photogenerated TEA radicals.^{163,164}

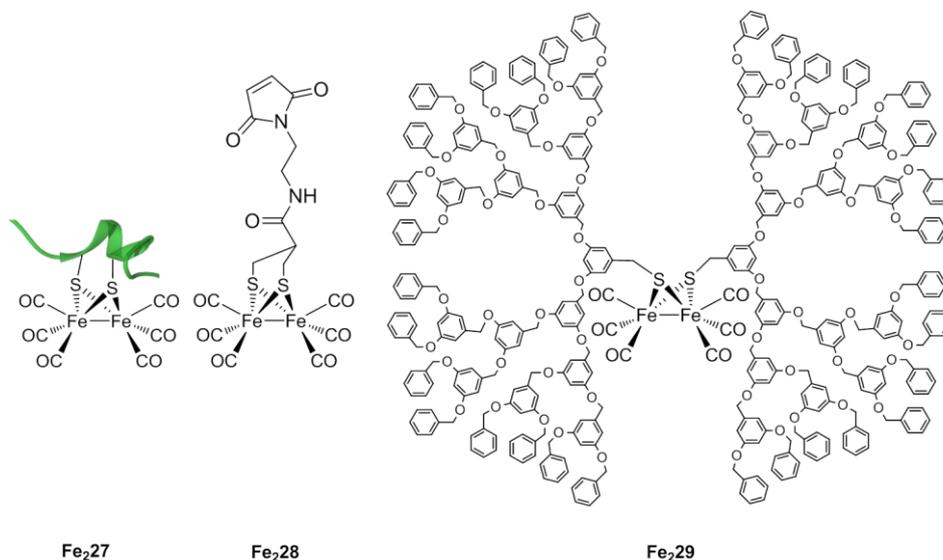


Synthetic modification of iron-based HECs has led to fully aqueous photocatalytic systems. The use of a 1,2-ethanedithiolate bridge with an appended sulfonate group affords water-soluble **Fe₂25**.¹²⁵ Irradiation ($\lambda > 400$ nm) with **PS1** in aqueous AA buffer (pH 4.0) gave a TON_{H_2} of 88 after 4 h. Replacement of the chelating bridge by two analogous 2-mercaptoethanesulfonates affords **Fe₂26**. Upon 450 nm illumination, aqueous AA solutions (pH 4.0) containing **PS1** and either **Fe₂25** or **Fe₂26** reach respective TON_{H_2} values of 114 and 178 after 6 h. In contrast, when metal chalcogenide QDs are used as PSs under otherwise identical conditions **Fe₂25** and **Fe₂26** reach respective TON_{H_2} values of 18,800 and 26,500 over 12 h, with both exceeding 15,000 in the first 6 h.¹²⁶

Hybrid systems made from protein-bound HECs have also been investigated. Reaction of $[\text{Fe}_2(\text{CO})_9]$ with a polypeptide possessing two cysteine residues close in sequence yields **Fe₂27**.¹³¹ Irradiation ($\lambda = 410\text{-}770$ nm) with **PS1** in aqueous AA (pH 4.7) gave a TON_{H_2} of 82 after 3 h.¹³¹ Simultaneously binding **PS4** through a histidine residue in a related system resulted in a TON_{H_2} of only 9 after 2 h.¹³² A comparable approach uses a polypeptide with a synthetic amino acid featuring a 1,3-propanedithiol moiety.¹³⁰ Reaction of $[\text{Fe}_3(\text{CO})_{12}]$ with this side-chain provided the desired bimetallic iron site, and 410-700 nm illumination with **PS1** present in AA/citrate solution (pH 4.5) reached a TON_{H_2} of 84 after 2.5 h.¹³⁰ **Fe₂28** has a tethered maleimide, which enables covalent binding to a cysteine residue in the β -barrel protein known as nitrobindin.¹²⁹ Using 410-700 nm light with **PS1** in AA solution (pH 4.0), this adduct produced a TON_{H_2} of 130 after 6 h.¹²⁹ Despite showing activity in aqueous environments, the performance of these peptide-hybrid systems has not significantly exceeded those of HECs solubilized by addition of sulfonate groups.

Dendrimer-based thiolate bridges were employed in **Fe₂29**, with a TON_{H_2} of 21,500 resulting after 8 h of irradiation ($\lambda = 400\text{-}800$ nm) with **PS5** in H_2O :acetone (1:9) containing TEA ($\text{QY}_{\text{H}_2} = 28\%$ at $\lambda = 440$ nm).¹⁶⁵ It was suggested that vectorial ET is promoted by the dendrimer; the hydrophobicity of the poly-(arylalkyl ether)-based shell may favor close

association of the reduced charge-neutral **PS5**, with electron donation to the catalytic core then producing a cation with a higher affinity for bulk solution.¹⁶⁵ This performance is among the highest reported for homogeneous Fe-based HECs, and exploration of functionalized dendrites may thus be a promising avenue for further development.

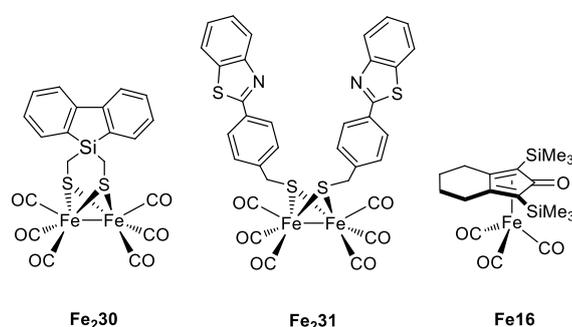


A substantial number of systems that integrate PSs and bimetallic dithiolate-bridged HECs into a single molecule have been investigated. However, the performance of such dyads has been low, with typical TON_{H_2} values less than 2.¹⁶⁶⁻¹⁶⁸ Exceptions employ tethered organic dyes such as **Fe₂30** and **Fe₂31**, which both gave maximum TON_{H_2} values of ~30 under UV irradiation ($\lambda = 254$ nm and 355 nm, respectively) in MeCN containing organic acids.^{169,170} Re-investigation of **Fe₂30** under slightly altered conditions reported a TON_{H_2} of 539 after 7 h of irradiation ($\lambda = 254$ nm) in an MeCN solution containing TEA and TFA.¹⁷¹ Using the ionic surfactant cetyltrimethylammonium bromide (CTAB) allowed for the same catalyst to operate in purely aqueous media, but the TON_{H_2} was significantly reduced.¹⁷¹

Several systems using iron complexes less closely related to the H₂ase active site show water tolerance, while also producing H₂ under visible light. Mono-, di-, and tri-iron carbonyl complexes are active HECs in H₂O:TEA:tetrahydrofuran (THF) (1:1:4) solutions with **PS5**.¹⁷² [Fe₃(CO)₁₂] performed best, giving a TON_{H_2} of 400 after 3 h ($\lambda > 420$ nm).¹⁷² Iron-hydride [HFe₃(CO)₁₁]⁻ was identified as the major compound present irrespective of the starting complex, and is thought to be the active species.¹⁷³ Screening of phosphine additives showed that tris(4-trifluoromethylphenyl)- or tris[3,5-bis(trifluoromethyl)phenyl]phosphine gave enhanced performance, with TON_{H_2} for the latter reaching 1450 over 24 h when a H₂O:TEA:THF (1:2:3) solution was irradiated ($\lambda > 420$ nm).¹⁷³ Addition of an *iso*-propyl group

at the *ortho*-position of the bpy unit in **PS5** gave a TON_{H_2} of 2770 after 24 h using 440 nm light and $\text{H}_2\text{O}:\text{TEA}:\text{THF}$ (1:2:3) containing the latter phosphine ($\text{QY}_{\text{H}_2} = 16.4\%$ at 440 nm).¹⁷⁴

Copper-based PSs were also studied employing the same HEC. A TON_{H_2} of 931 was obtained over 60 h with $[\text{Fe}_3(\text{CO})_{12}]$ and **PS6** in $\text{H}_2\text{O}:\text{TEA}:\text{THF}$ (1:3:4) using unfiltered Xe-light.^{175,176} DFT studies in combination with NMR and in situ Fourier-transform-infrared (FT-IR) spectroscopic analysis revealed that the $[\text{HFe}_3(\text{CO})_{11}]^-$ intermediate is transformed through reaction with the phosphine-functionalized PS, giving a bimetallic diphenylphosphine-bridged iron species.¹⁷⁷ Correlating H_2 evolution with speciation shows the latter is also catalytically active, granting insight into the improvements observed above upon addition of fluorinated phosphines.¹⁷⁷



A variety of iron-cyclopentadienone catalysts also function with the related dye **PS7**.¹⁷⁸ The carbonyl O-atom in these HECs can act as a reversible proton acceptor, reminiscent of the azadithiolate bridges in $[\text{FeFe}]\text{-H}_2\text{ase}$ models.¹⁷⁹ The greatest activity was observed for **Fe16**, reaching a TON_{H_2} of 131 after 7 h upon unfiltered Xe-light irradiation in $\text{H}_2\text{O}:\text{TEA}:\text{THF}$ (1:3:4).¹⁷⁸ Use of $\lambda > 400$ nm light or an air mass 1.5 global (AM1.5G) filter still produced H_2 , but led to diminished performance. No photoactivity was observed unless an external base is introduced, which is thought to be needed for opening a free coordination site on **Fe16** by liberating a bound CO through the water-gas shift reaction.¹⁷⁸ In contrast, $[\text{Fe}_3(\text{CO})_{12}]$ shows no activity when external base is added, but under otherwise similar photocatalytic conditions it reached a TON_{H_2} of 90 over an approximately 4-fold longer timeframe.¹⁷⁸

Using organic dye **PS8**, HECs **Fe11-Fe13** gave respective TON_{H_2} values of 2100, 740, and 1000 over 24 h upon 520 nm light-emitting diode (LED) illumination in $\text{H}_2\text{O}:\text{EtOH}$ (1:1) containing 5% TEA (pH' 12.5).¹⁸⁰ This contrasts with electrocatalytic results, where **Fe12** showed the greatest activity. H_2 evolution with the latter began to level off after 6 h, which is thought to result from the less stable 7-membered chelate ring in **Fe12**. Conversely, rates for **Fe11** and **Fe13** continued in an almost linear fashion, indicative of good HEC stability. This was supported by re-addition of **PS8**, which restored activity for **Fe11** and allowed for a total

TON_{H₂} of approximately 2800 after 38 h. Dynamic light scattering (DLS) experiments after 12 h irradiation provide further evidence for a molecular active species. Respective QY_{H₂} values of 3.1%, 1.9%, and 3.4% were determined for **Fe11-Fe13** at $\lambda = 520$ nm. Notably, lake water was also tested as a reaction medium following gravity filtration, and after addition of **Fe11** along with **PS8**, TEA, and EtOH, a TON_{H₂} of ~1000 was obtained after 24 h.¹⁸⁰

2.2.4 Cobalt

Cobalt sites play no role in biological H₂ production, but are among the most prevalent in synthetic HECs.^{181,182} These catalysts commonly feature Co³⁺ (or Co²⁺) resting states with square-planar (pseudo)macrocyclic coordination environments.¹⁸³ A simplified mechanism for H₂ evolution mediated by cobalt-based HECs is depicted below.¹⁸⁴

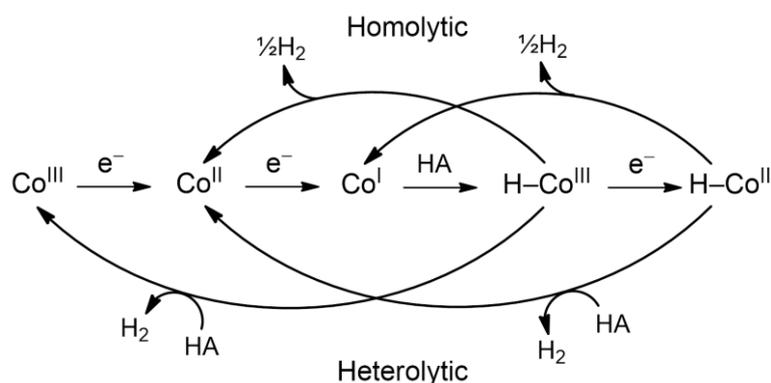
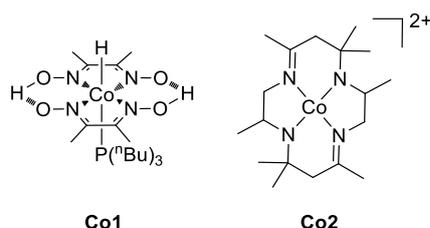


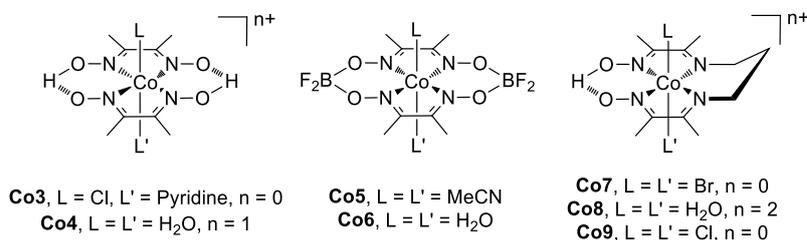
Figure 8. General mechanism of H₂ generation by Co-based molecular catalysts (HA = acid).¹⁸⁴

2.2.4.1 Electrocatalysis

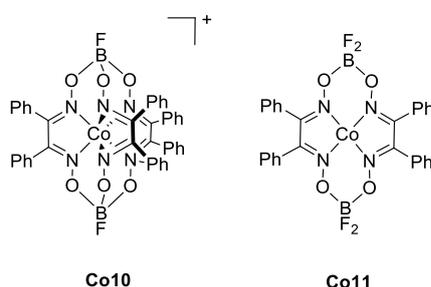


In 1971, a stable cobalt-hydride species, **Co1**, was shown to evolve H₂ upon thermolysis at 150 °C.¹⁸⁵ An early study of **Co2** reports CPE with a Hg pool WE at $E_{\text{appl}} = -1.60$ V vs NHE in H₂O:MeCN (2:1), giving a TON_{H₂} of ~80 with a FE_{H₂} of 80% after 19 h.¹⁸⁶ Subsequently, pseudo-macrocylic cobalt diglyoximes (cobaloximes) were identified as HECs in non-aqueous photocatalytic conditions,^{187,188} or by using divalent metal salts as reducing agents in aqueous HCl.¹⁸⁹ CPE with **Co3** in 1,2-dichloroethane containing Et₃N·HCl using a graphite WE at $E_{\text{appl}} = -0.90$ V vs Ag/AgCl gave a FE_{H₂} of 85-100%, with a TON_{H₂} of 100 in 2.5 h.^{188,190} Cobaloximes were later shown to operate in neutral aqueous media.¹⁹¹⁻¹⁹³ Owing to their high FEs, low overpotential requirements, and simple syntheses, these HECs

are some of the most studied in the field, and dedicated reviews are available.^{183,184,194} They are also among the few HECs that show operational O₂-tolerance, an important consideration for their use in full water-splitting systems.^{191,192,195}

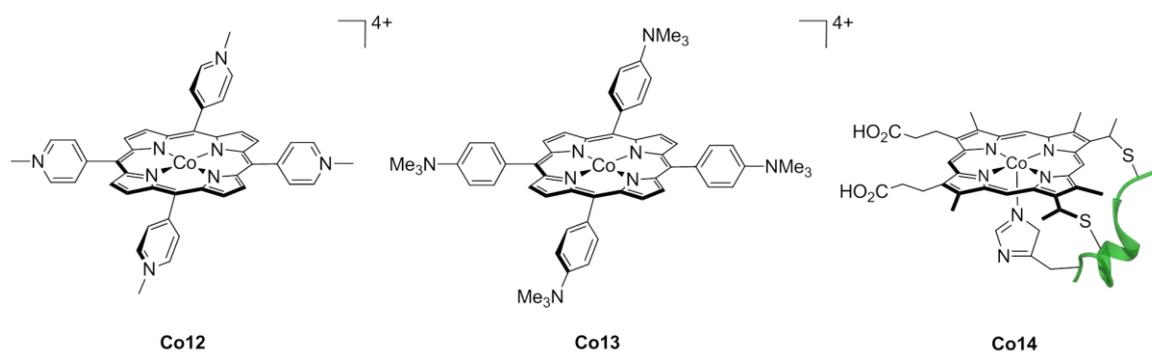


Cobaloximes are synthesized as octahedral Co²⁺ or Co³⁺ complexes with two singly deprotonated glyoxime units, typically the dimethylglyoximate anion (dmgH), which form a pseudo-macrocycle in the equatorial plane through mutual O–H–O bridges.¹⁹⁶ Substitution with electron-withdrawing groups at the glyoxime C-atoms can lower the driving force required for reduction, but this diminishes the basicity of the metal center, thus decreasing activity.¹⁸³ Conversely, axial pyridine ligation in **Co3** increases the metal nucleophilicity and activity compared to aqua analogue **Co4** without detrimental effects on reduction potential, and use of differently substituted pyridines can further tune catalytic performance.^{188,193} While cobaloximes are unstable in acidic conditions, this can be improved by exchanging the bridging H-atoms for -BF₂ groups, affording (difluoroboryl)dimethylglyoximate anionic ligands (dmgBF₂), as in **Co5** and **Co6**.^{188,190,196} Replacing one oxime bridge with a propane tether also enhances stability, and the diimine-dioxime HECs **Co7-Co9** are also well-studied.^{197,198} CPE with **Co5** and **Co8** in aqueous phosphate solution (pH 2.2) using a GC WE at $E_{\text{appl}} = -0.93$ V vs SCE gave respective TON_{H₂} values of 16 and 23 after 2 h, with FE_{H₂} of around 80% in both cases.¹⁹⁹ DFT provides some insight into the greater activity of **Co8**, suggesting that the dioxime moiety may be involved in facilitating catalysis through proton transfer.^{200,201}



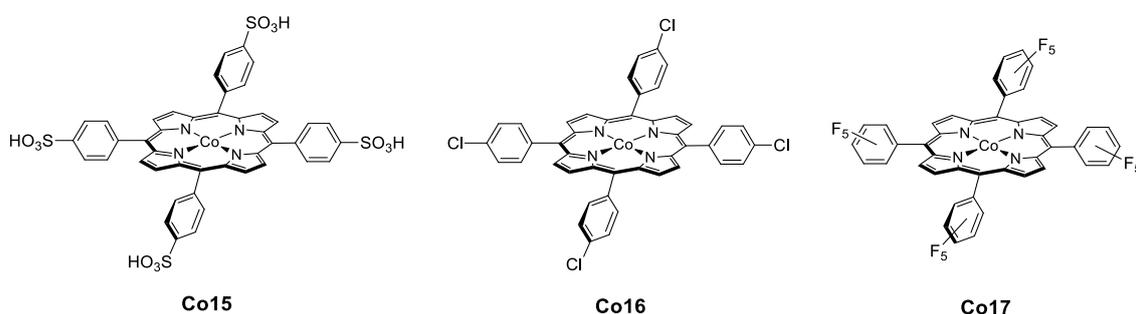
Several computational studies have addressed the mechanism by which cobaloximes and related diimine-dioximes achieve H₂ evolution.²⁰⁰⁻²⁰² Generally, initial reduction to Co⁺ is followed by protonation to produce a metal-hydride, Co³⁺-H.^{181,184} Theoretical evidence suggests reduction to the corresponding Co²⁺-H is needed before H₂ is evolved, though this

may occur at more positive potentials than those required to generate the Co^+ species, or directly with protonation of the latter in a PCET process.²⁰⁰⁻²⁰² While direct protonation of a Co^0 intermediate is an alternative,^{181,184} strongly reducing electrolytic conditions can produce catalytically active nanoparticles, as shown with cobaloximes and closely related complexes, **Co6**, **Co9**, **Co10**, and **Co11**.²⁰³⁻²⁰⁶ Limited stability of these HECs is also observed in photocatalytic systems (Section 2.2.4.2).¹⁶⁶ Though Hg^0 can be used to amalgamate nanoparticles and offset their contribution to activity, care must be taken in assigning active species, particularly when electrodes can act as nucleation sites.^{86,206}

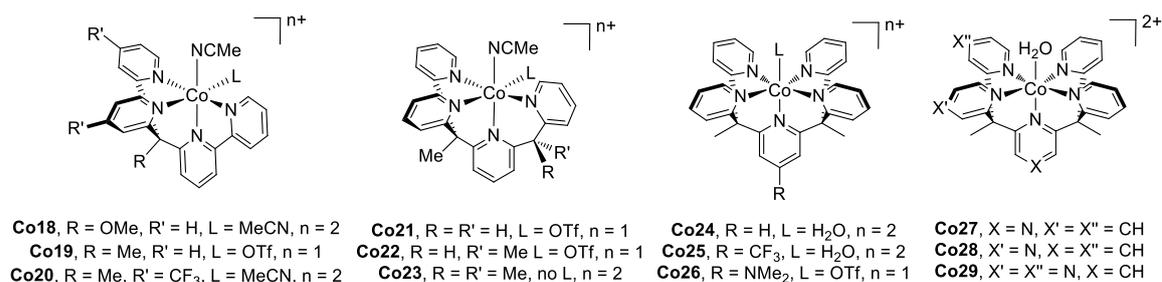


Cobalt-based porphyrins and related macrocycles are also well-studied as HECs.^{146,147} Early reports using **Co12** show an E_{onset} of -0.75 V vs SCE at the $\text{Co}^{2+}/\text{Co}^+$ couple when H_2O is added to dimethyl sulfoxide (DMSO) solutions.²⁰⁷ Both **Co12** and **Co13** also display $E_{\text{onset}} = -0.70$ V vs SCE in acidic aqueous solution (pH 2.9-3.4). Quantitative FE_{H_2} at $E_{\text{appl}} = -0.95$ V vs SCE in aqueous TFA solutions was observed in both cases over 20 min, although full analysis was complicated by adsorption of the complexes at the Hg pool WE.²⁰⁷ The cobalt analogue of acetylated microperoxidase-11, **Co14**, contains a porphyrin ring linked to an 11-residue peptide, providing water solubility.²⁰⁸ CPE with **Co14** in aqueous phosphate solution (pH 7.0) using a Hg pool WE at $E_{\text{appl}} = -1.50$ V vs Ag/AgCl gave a FE_{H_2} of 98% over 4 h with a TON_{H_2} of 25,000, but currents drop significantly and solutions visibly bleach after the first 15 min.²⁰⁸ CPE of water soluble **Co15** in phosphate solution (pH 7.0) with a GC WE at $E_{\text{appl}} = -1.29$ V vs NHE gave quantitative FE_{H_2} with a TON_{H_2} of 19,000 over 73 h.²⁰⁹ While this system performed for much longer than **Co14**, UV-vis spectra of **Co15** still show bleaching indicative of loss of aromaticity, reflecting gradual hydrogenation of the ligand.²⁰⁹ When the sulfonate groups were exchanged for chlorine atoms to give **Co16**, approximately 3-fold improvements in catalytic rate over **Co15** were observed under identical conditions.²¹⁰ CPE with **Co17** showed comparable activity to **Co15**, but no substantial current losses were observed over 72 h, indicating that perfluorination may enhance stability.²¹¹ These promising properties have led to many reports of immobilized porphyrins and related HECs.^{146,147}

The catalytic mechanism for **Co12** was initially thought to involve protonation of Co^+ to form the corresponding $\text{Co}^{3+}\text{-H}$ intermediate.²⁰⁷ However, a later study provided evidence that H_2 evolution only occurs upon reduction of Co^+ to Co^0 , likely in a PCET process which affords a $\text{Co}^{2+}\text{-H}$ species.²¹² Related studies of Co-porphyrins also reach this conclusion when weak proton sources such as H_2O are used, or electron deficient *meso*-substituents are present. Nevertheless, the use of strong acids or electron-rich porphyrins may allow for protonation of the Co^+ state.^{209,211,213} Regardless of the oxidation state that initially produces the metal-hydride, both computational and experimental results indicate that a $\text{Co}^{2+}\text{-H}$ intermediate is necessary for H_2 production.^{201,213} These findings are in agreement with the mechanism proposed for cobaloxime and the related diimine-dioxime HECs.²⁰⁰⁻²⁰²

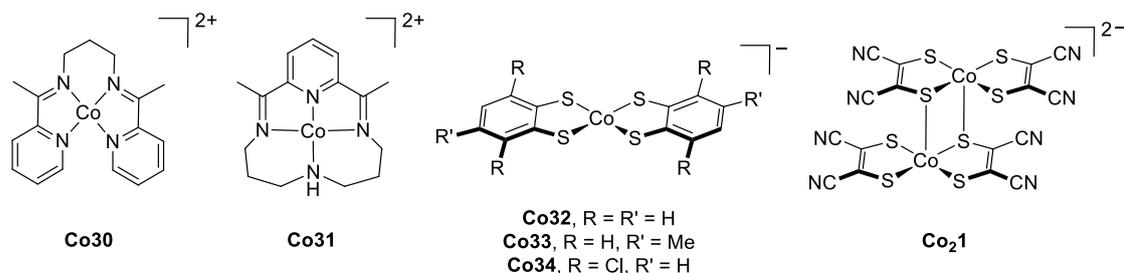


Polypyridyl-cobalt complexes are a diverse family of HECs, featuring pyridine- and bpy-derived tetra- and penta-dentate ligands.¹⁸¹ While cobalt tris-bpy was among the earliest HECs studied photocatalytically, electrocatalytic activity was only established much later with bipy-derived **Co18**.²¹⁴ CV in MeCN showed an E_{onset} at the $\text{Co}^{2+}/\text{Co}^+$ couple after adding TFA, and CPE with a GC WE gave a FE_{H_2} of 99% at $E_{\text{appl}} = -1.0$ V vs SCE. Similar behavior resulted in $\text{H}_2\text{O}:\text{MeCN}$ (1:1) solutions, though no CPE data was reported.²¹⁴ Exchange of the methoxy residue in **Co18** for a methyl group yields **Co19**, which displays an E_{onset} of -0.90 V vs NHE in AA solution (pH 4.0) that coincides with Co^+ species formation.²¹⁵ E_{onset} was shifted positively by installing $-\text{CF}_3$ groups to give **Co20**, but activity was decreased with respect to **Co19**, similarly to an analogous pair of poly-pyridyl cobalt HECs.^{215,216} CPE in H_2O was not reported in either case, but aqueous photocatalytic experiments revealed activity trends consistent with the electrochemical findings (Section 2.2.4.2).^{215,216}



CV of **Co21-Co23** in aqueous AA solution (pH 7.0) revealed $E_{\text{onset}} = -0.80$ V vs NHE for **Co23**, and $E_{\text{onset}} = -1.00$ V vs NHE for **Co21** and **Co22**. CPE in the same solution with a GC WE at $E_{\text{appl}} = -1.20$ V vs NHE gave only trace amounts of H_2 for **Co23**, whereas **Co21** and **Co22** reached respective TON_{H_2} values of 60 and 44 over 3 h ($\text{FE}_{\text{H}_2} = 100\%$).²¹⁵ In comparison with complexes **Co21-Co23**, **Co24** possesses one additional pyridine moiety. It shows an E_{onset} of -1.20 V vs NHE in phosphate solution (pH 7.0), which is slightly more negative than the $\text{Co}^{2+}/\text{Co}^+$ couple.²¹⁷ CPE in the same solution with a Hg pool WE at $E_{\text{appl}} = -1.30$ V vs NHE gave a TON_{H_2} of 55,000 after 60 h. Charge passed over this time was almost linear, indicating excellent stability. The E_{onset} could be further tuned by substitution of the central pyridine with electron-donating or -withdrawing groups, giving **Co25** and **Co26** (no CPE data reported for these derivatives).²¹⁷ Systematic exchange of the pyridine groups for pyrazine residues gives **Co27-Co29**, with replacement of the central unit resulting in an E_{onset} that is associated with formation of a ligand-based radical. CPE with **Co27-Co29** in aqueous phosphate solution (pH 7.0) using a Hg pool WE at $E_{\text{appl}} = -1.20$ V vs NHE displayed a quantitative FE_{H_2} and almost linear passage of charge over 12, with **Co28** and **Co29** showing more than 4-fold greater activity than **Co27** (TON not reported).²¹⁸

Bis(iminopyridine) complex **Co30** is an active HEC in aqueous solution (pH 2.0-8.0).²¹⁹ CV shows two catalytically relevant features, assigned as a $\text{Co}^{2+}/\text{Co}^+$ couple at -0.90 V vs SCE and formation of a $\text{Co}^+(\text{L}^{\bullet-})$ ligand radical at -1.20 V vs SCE. Greater activity was observed by CV and CPE at potentials corresponding to generation of the radical species, suggesting ligand non-innocence likely contributes to the activity of this system.²¹⁹ Related CRC **Co31** is known to undergo ligand-based reduction,²²⁰ but CV experiments showed E_{onset} at a potential associated with the $\text{Co}^{2+}/\text{Co}^+$ couple upon addition of AcOH to MeCN or aqueous solutions. CPE in aqueous AcOH with a GC WE at $E_{\text{appl}} = -0.95$ V vs SCE produced a $\text{FE}_{\text{H}_2} > 90\%$ and a $\text{TON}_{\text{H}_2} > 50$.²²⁰ Notably, the ligand-based radical $\text{Co}^+(\text{L}^{\bullet-})$ is associated with catalytic onset in **Co31**-mediated CO_2 reduction, and the N-H group is thought to assist in the mechanism (Section 2.3.6.1).

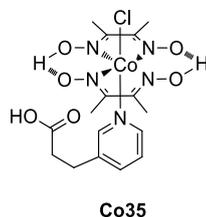


Another class of Co HECs is supported by dithiolenes, which aid catalysis by reversibly transferring electrons and protons from strong acids.^{140,141,221} CV of **Co32** showed a

reversible couple consistent with single ET in H₂O:MeCN (1:1), and TsOH triggered catalytic onset at the reductive wave of this feature.²²² CPE at $E_{\text{appl}} = -1.00$ V vs SCE with a GC WE in the same media gave a $FE_{\text{H}_2} > 99\%$ over 1 h (no TON_{H_2} reported).²²² Related HECs **Co33**, **Co34**, and **Co₂1** were later studied, with **Co₂1** becoming monomeric upon reduction.²²¹ Although **Co₂1** features electron-withdrawing nitrile moieties and showed the best photocatalytic performance of this HEC group (Section 2.2.4.2), it required the largest electrochemical potential for catalytic onset.²²¹ A DFT study where the redox potentials and pK_a values of the whole series were assessed revealed that **Co₂1** operates through a different mechanism compared to **Co32-Co34**.²²³ The latter undergo dual protonation at the S-atoms following one-electron reduction, giving neutral species which enter the catalytic cycle after receiving a further electron at similar potentials to the first reduction. In contrast, **Co₂1** is less basic and accepts only one proton upon initial reduction, giving an anionic intermediate for which injection of an extra electron is less favorable. Formation of a $\text{Co}^{3+}\text{-H}$ active species is then believed to proceed by intramolecular proton transfer in all cases.²²³

2.2.4.2 Photocatalysis

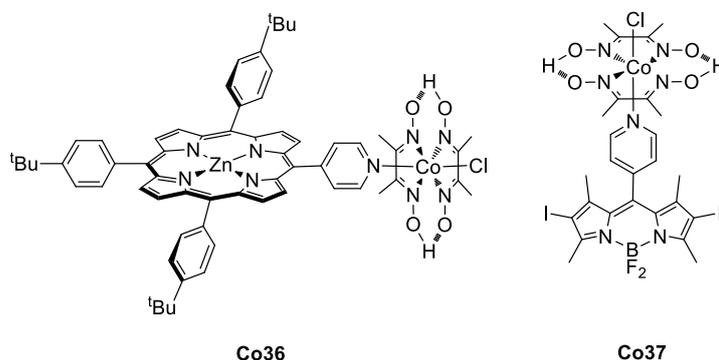
Following initial reports on cobaloxime activity when sensitized with **PS1**, these HECs were subject to further photocatalytic investigation.^{166,188,224} A semi-aqueous study used **Co3** and platinum dye **PS9** in H₂O:MeCN (1:24) with TEOA (pH' 8.5), giving a TON_{H_2} of ~2150 after 10 h illumination ($\lambda > 410$ nm).^{225,226} Hg⁰ addition caused a negligible difference in activity, supporting the molecular nature of the HEC.^{86,226} Catalytic rate also correlated linearly with HEC concentration, which further suggests a heterolytic pathway.²²⁶ Higher H₂O content led to reduced activity (TON_{H_2} of ~1000 after 10 h in H₂O:MeCN (2:3; pH' 8.5)), with BF₂-modified **Co6** giving sub-stoichiometric activity under the latter conditions.²²⁶ In contrast, gold dye **PS10** and **Co3** in H₂O:MeCN (1:4) with TEOA reach a TON_{H_2} of 375 after 4 h ($\lambda > 385$ nm).²²⁷ Activity has also been shown with precious-metal-free dyes, as outlined below.



The instability of cobaloximes is emphasized by several studies that observe sustained activity upon addition of excess dioxime.²²⁸⁻²³⁰ When **PS3** is irradiated ($\lambda > 450$ nm) in H₂O:MeCN (1:1) containing 5% TEOA (pH' 7.0), **Co3** achieved a TON_{H_2} of 72 ($\text{QY}_{\text{H}_2} = 4\%$ at $\lambda = 520$ nm).²²⁹ However, if experiments are carried out with 12 additional equiv. of dmgH_2

ligand the rate is not greatly affected, but the lifetime increases to reach a Co-based TON_{H_2} of 180 over 12 h. Similar results were obtained using **PS11** in $\text{H}_2\text{O}:\text{MeCN}$ (1:1) with 5% TEOA (pH' 7.0) during 24 h illumination ($\lambda > 455$ nm), giving a $\text{TON}_{\text{H}_2} = 51$ with isolated **Co3**, and $\text{TON}_{\text{H}_2} = 127$ with excess dmgH_2 .²³⁰ A $\text{QY}_{\text{H}_2} = 32.8\%$ at $\lambda = 520$ nm was obtained with **Co3** alone. Isolated **Co35** outperforms **Co3** when irradiated ($\lambda > 400$ nm) in $\text{H}_2\text{O}:\text{MeCN}$ (1:1) containing 4% TEOA (pH' 7.5) with **PS3**, giving a TON_{H_2} of 111 after 5 h. DFT indicates that the carboxylic acid-substituted pyridine H-bonds with an O-atom of the dioxime units, which may suppress dioxime dissociation and enhance activity through proton shuttling.²³¹

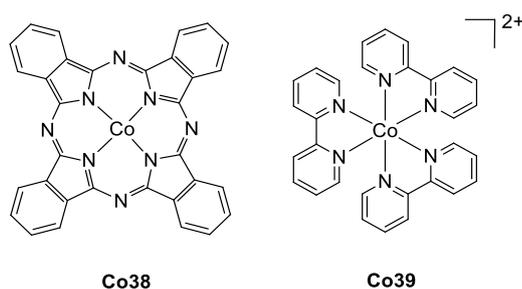
Difluoroborylated HEC **Co6** gave a TON_{H_2} of 327 in $\text{H}_2\text{O}:\text{MeCN}$ (2:1) with 10% TEA (pH' 10) after 5 h illumination ($\lambda > 400$ nm) with **PS12**.²³² Gradual bleaching of the UV-vis signal of **PS12** suggests system deactivation results from dye degradation.²³² Related dioxime-diimine **Co7** yielded a TON_{H_2} of 307 after 4 h irradiation ($\lambda > 400$ nm) with **PS2** in $\text{H}_2\text{O}:\text{MeCN}$ (1:1) containing 10% TEA (pH' 10).²³³ Activity plateaus after 2 h, with addition of fresh **PS2** or **Co7** showing much greater recovery in activity for the latter. Experiments repeated with two equiv. of PPh_3 show slower initial rates but the system remains active for longer, giving a TON_{H_2} of 696 in 10 h.²³³ Spectroscopy and electrochemistry revealed that PPh_3 binds to the Co^+ intermediate, and it is believed that this adduct then becomes the resting state in the catalytic cycle.²³³ **Co7** can also function in purely aqueous media, with 380 nm LED illumination of AA solutions (pH 4.1) containing **PS13** giving a TON_{H_2} of 90 after 30 h ($\text{QY}_{\text{H}_2} = 20\%$ at $\lambda = 380$ nm).²³⁴ This reduced activity may arise from a 'short-circuit' pathway where oxidation of the AA SED produces dehydroascorbic acid (DHA), which in turn competes with the HEC for reducing equivalents generated by the PS, and thereby limits catalysis.²³⁴



Many cobaloxime-based dyads have been studied, usually made up of a HEC unit bound axially to a pyridine-functionalized PS. Most systems use purely organic media, but a few exceptions exist. Zn-porphyrin dyad **Co36** gave a TON_{H_2} of 22 after 5 h irradiation ($\lambda > 400$ nm) in $\text{H}_2\text{O}:\text{THF}$ (1:4) with TEA.²³⁵ HEC and Mg- or apo-porphyrin reach respective

TON_{H₂} values of 3 and < 1, whereas no H₂ was detected when the Zn-porphyrin and **Co3** were added separately.²³⁵ Post-catalytic **Co36** solutions showed UV-vis spectra consistent with the two dissociated components.²³⁵ Using a boron dipyrromethene pyridine-derivative gives **Co37**.²³⁶ A TON_{H₂} of 85 resulted after 5 h illumination ($\lambda > 420$ nm) in H₂O:MeCN (1:4) with 5% TEOA (pH' 8.5).^{236,237} Studies on related systems have shown that while pyridine dissociation from inert Co³⁺ is slow, it is accelerated by irradiation or reduction, explaining the instability of such dyads.²²⁸ It was also found that the glyoximate ligands dissociate during photocatalysis, in part rationalizing the limited stability reported for cobaloximes.²²⁸

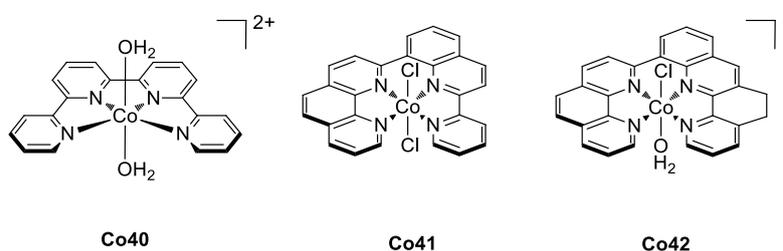
Cobalt heteroaromatic HECs generally outperform cobaloximes and their derivatives under photocatalytic conditions. Irradiation ($\lambda > 400$ nm) of **PS1** in phosphate solution (pH 7.0) with AA and porphyrin **Co12** gave a TON_{H₂} of 725 after 4 h.²¹² UV-vis analysis showed loss of the **Co12** signal over this time. Phthalocyanine (Pc) **Co38** displayed even greater stability with **PS1** in H₂O:EtOH (1:4) containing TEA (pH' 10), reaching a TON_{H₂} of 2400 after 10 h ($\lambda > 420$ nm; QY_{H₂} = 4.2% at $\lambda = 420$ nm).²³⁸ Using **Co38** and **PS8** affords a precious-metal-free system, with a TON_{H₂} of 2650 after 10 h irradiation ($\lambda > 420$ nm) in H₂O:EtOH (1:4) containing TEA (pH' 12; QY_{H₂} = 6.1% at $\lambda = 420$ nm).²³⁹ **Co38** activity was retained in the presence of Hg⁰ in both cases.^{238,239} The **PS8** system yields no H₂ when **Co38** is replaced with an analogous Co-porphyrin that only undergoes metal-based reduction.²³⁹ In contrast, two-electron reduction of **Co38** yields a cobalt(I)-ligand radical, suggesting ligand non-innocence may contribute to activity.²⁴⁰ This example also shows that precious-metal systems can be matched in performance by those using entirely Earth-abundant elements.



Early studies reported H₂ evolution from aqueous SED solutions (pH 7.5-10.0; TEOA or AA as SEDs) of bpy and CoCl₂ when irradiated with visible light ($\lambda > 400$ nm).^{241,242} In situ formation of [Co(bpy)₃]⁺ was proposed based on UV-vis analysis.^{241,242} Later reports with isolated **Co39** and Ru dye **PS14** gave a TON_{H₂} of 74 over 30-60 min in H₂O:MeCN (1:1) containing TEOA upon LED illumination ($\lambda = 465$ nm).²⁴³ Using organic dye **PS12** instead gave a TON_{H₂} of 631 over 2 h irradiation ($\lambda > 450$ nm) in H₂O:MeCN (1:1; pH' 10) with 10%

TEA.²⁴⁴ Under these conditions cobaloximes **Co3** and **Co6** gave respective TON_{H_2} values of 54 and 212. The basic pH likely disfavors metal-hydride protonation and a homolytic mechanism was therefore suggested.²⁴⁴

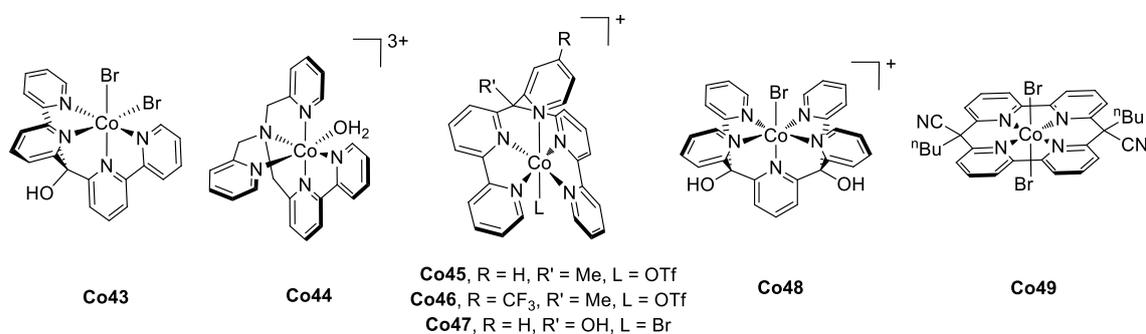
2,2':6',2'':6'',2''':6'''-Quaterpyridine (qtpy) complex **Co40** reaches a TON_{H_2} of 1150 after 20 h illumination ($\lambda > 420$ nm) using **PS15** in $\text{H}_2\text{O}:\text{MeCN}$ (5:95) with TEOA as a SED and *p*-cyanoanilinium as a proton source.²⁴⁵ Re-addition of the PS after H_2 evolution had ceased led to a recovery of activity, indicating good HEC stability.²⁴⁵ LED irradiation ($\lambda = 469$ nm) of related **Co41** and **PS1** in AA buffer (pH 4.0) yielded a TON_{H_2} of 333 over 3 h,²⁴⁶ whereas **Co42** gave a TON_{H_2} of 745 after 12 h under almost identical conditions.²⁴⁷ DFT indicates **Co42** is first reduced at the ligand, but catalytic onset requires a further reduction step.²⁴⁷



Related non-planar poly-pyridyl HECs reach high photocatalytic TON_{H_2} values. Pyridine-bpy hybrid **Co43** and **PS13** achieve a TON_{H_2} of ~9000 after 20 h in aqueous AA solution (pH 4.1) using LED irradiation ($\lambda = 385$ nm).²⁴⁸ Hg^0 presence did not result in changes in activity. Liquid chromatography and mass spectrometry (MS) revealed that > 90% of the PS and HEC were intact post-catalysis. Further investigation showed that dehydroascorbic acid (DHA) resulting from AA oxidation limits HEC activity by competing for the reducing equivalents generated by the PS. When **Co43** is bound to a styrene-based resin, and the photocatalysis solution exchanged every 15 h, no substantial activity loss occurs after 6 cycles, showing that this HEC is stable for over 105 h.²⁴⁸ **Co19**, with a methyl group in place of the hydroxyl, gave a $\text{TON}_{\text{H}_2} > 10,000$ under 452 nm LED illumination with **PS1** in AA solution (pH 4.0), though only at HEC concentrations < 1 μM .²¹⁵ At ~3-fold higher amounts of **Co19** using AM1.5G simulated solar light, the TON_{H_2} stabilized at 4200 after 2.5 h ($\text{QY}_{\text{H}_2} = 7.5\%$ at $\lambda = 452$ nm). Activity resumed after re-adding **PS1**, consistent with the high stability of these HECs demonstrated above.²¹⁵

Co44 features a TPA-like ligand with a bpy instead of one pyridine, and yielded a TON_{H_2} of 4400 after 2 h with **PS1** under 450 nm LED illumination in aqueous AA (pH 4.0).²⁴⁹ Re-activation required both fresh **PS1** and **Co44**, and gave only partial recovery, representing an exception to the usually robust behavior of these HECs.²⁴⁹ **Co45** has two bpy units

tethered by a methyl-pyridyl fragment, and produced a TON_{H_2} of 1630 after 13 h with **PS1** in aqueous AA buffer (pH 4.0) under 452 nm LED irradiation ($\text{QY}_{\text{H}_2} = 3.6\%$ at $\lambda = 452$ nm).²¹⁶ Fluorinated analogue **Co46** afforded a TON_{H_2} of 1390 under similar conditions ($\text{QY}_{\text{H}_2} = 2.7\%$ at $\lambda = 452$ nm). DFT studies suggest that the electron withdrawing $-\text{CF}_3$ groups stabilize the Co^+ state, lowering reactivity, in agreement with a similar trend seen for cobaloximes.^{183,216} H_2 evolution slowed with both HECs after 3-4 h, but addition of fresh PS restored activity.²¹⁶ **Co45** methyl-group exchange to a hydroxy-moiety affords **Co47**, which gives a TON_{H_2} of 1380 after 13 h using 385 nm LED illumination with **PS13** in aqueous AA (pH 4.1). Hg^0 presence caused negligible changes in activity.²⁵⁰ Under identical conditions related penta-pyridyl **Co48** gave a TON_{H_2} of 1180 after 42 h, reflecting a much faster rate for **Co47**.²⁵⁰

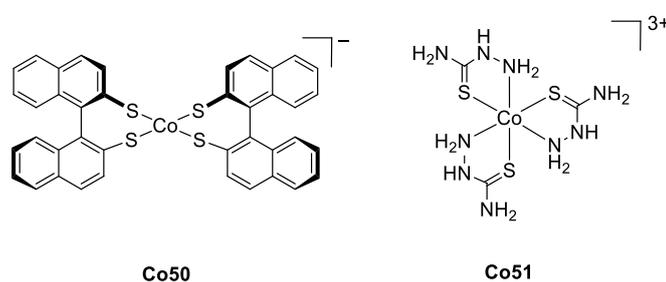


The catalysis-limiting back-reaction of DHA photo-generated from AA can be overcome by coupling with another SED that is less prone to back ET, thus re-reducing the DHA to AA and avoiding build-up of this competing reversible electron acceptor.²⁵¹ Tris(2-carboxyethyl) phosphine (TCEP) can convert DHA to AA, and acts as an oxide acceptor in the process.^{252,253} Photocatalysis in aqueous AA/TCEP solution (pH 5.0) with **Co47** gave great improvements compared with either SED alone, in terms of both evolution rate and total H_2 formed, yielding a benchmark TON_{H_2} of 33,300 after 11 h using 470 nm LED irradiation with **PS1**.²⁵¹ Planar macrocyclic **Co49** reached a TON_{H_2} of 21,900 after 41 h with **PS1** in aqueous AA/TCEP during 453 nm LED illumination. Though Hg^0 had no significant effect on performance, **Co49** shows limited stability in aerobic aqueous solution, even in the dark.²⁵⁴

In an early study, 'daylight lamp' irradiation ($\lambda =$ unspecified) of **Co31** and **PS1** in AA solution (pH 4.0) achieved a TON_{H_2} of ~ 300 over 18 h.²⁵⁵ Using **PS5**, a **Co31** TON_{H_2} of 180 resulted after 6 h irradiation ($\lambda > 390$ nm) in $\text{H}_2\text{O}:\text{MeCN}$ (2:3) with TEOA and AcOH (pH' 7.1; $\text{QY}_{\text{H}_2} = 47\%$ at $\lambda = 468$ nm).²²⁰ **Co31** was later used to compare **PS1** with the organic triazatriangulenium dye **PS16**.²⁵⁶ Illumination ($\lambda = 400\text{-}700$ nm) of aqueous acetate solutions containing AA (pH 4.5) yielded similar TON_{H_2} values of ~ 900 after 22 h. Lowering **Co31**

concentration from 50 μM to 5 μM gave a TON_{H_2} of 2034 and 5914 for **PS1** and **PS16**, respectively.²⁵⁶ Further decrease to 1 μM achieved a TON_{H_2} of 8952 over 22 h for **Co31**, demonstrating activity and longevity comparable to poly-pyridyl HECs in aqueous media.

HEC **Co50** functions with **PS3** in semi-aqueous $\text{H}_2\text{O}:\text{MeCN}$ (1:1) solution with 10% TEA (pH' 10), giving a TON_{H_2} of 495 after 4 h irradiation ($\lambda > 420$ nm).²⁵⁷ Performance plateaus after this time, though re-adding PS allows ~80% recovery of activity, indicating deactivation is largely due to dye decomposition.²⁵⁷ Thiosemicarbazide **Co51** reaches a TON_{H_2} of ~900 with **PS8** in aqueous TEA (pH 11.5) over 16 h illumination ($\lambda > 420$ nm).²⁵⁸ Hg^0 had a negligible influence on performance.



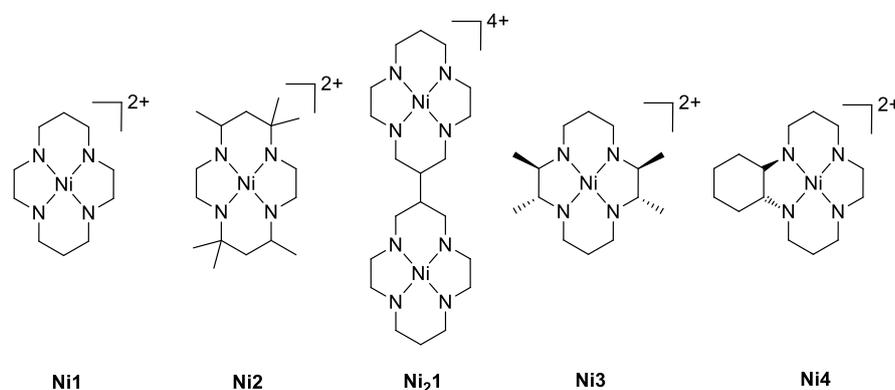
LED illumination ($\lambda = 520$ nm) of dithiolene HECs **Co32-Co34** and **Co₂1** with **PS1** in $\text{H}_2\text{O}:\text{MeCN}$ (1:1) AA solution (pH' 4.0) gave respective TON_{H_2} values of 2700, 2300, 6000, and 9000 after 12 h.^{221,222} Although TON_{H_2} appears to reflect the electron-withdrawing nature of the ligands, DFT analysis suggests that the two types of complex (**Co32-Co34** vs **Co₂1**) evolve H_2 by distinct mechanistic pathways.²²³ Intramolecular proton transfer from the coordinated S-atoms has been proposed to be involved in all cases.²²³ Considerable progress has been made with cobalt-based HECs under purely homogeneous conditions. Even more inspiring performance has been reported using HECs such as **Co32-Co34** with QD PSs, achieving TON_{H_2} values in excess of 300,000 over 60 h.²⁵⁹

2.2.5 Nickel

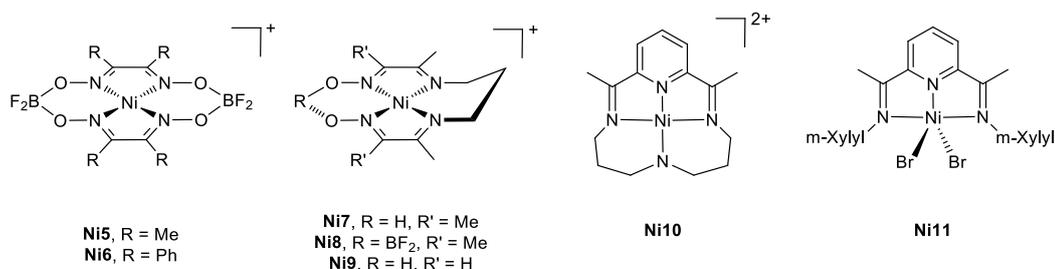
Nickel is a potentially inexpensive alternative to palladium and platinum for designing HECs, and is more abundant and biologically relevant than cobalt.^{28,58} Interestingly, only the nickel center changes oxidation state in the generally accepted mechanism of $[\text{NiFe}]\text{-H}_2$ ases, whereas the iron remains redox inactive, hinting at the catalytic potential of the former metal.^{29,30} Proton or CO_2 transformation mediated by nickel molecular catalysts typically involves reduction of a square-planar Ni^{2+} state to a tetrahedral Ni^+ intermediate, and as such tetradentate ligation features prominently in the HECs outlined below.⁵⁸

2.2.5.1 Electrocatalysis

The earliest nickel HECs were supported by cyclam (1,4,8,11-tetraazacyclotetradecane) and related derivatives, such as in **Ni1** or **Ni2**.^{70,186} Initially studied as a CRC, **Ni2** also produced H₂ from H₂O:MeCN (2:1) solutions with a FE_{H₂} of ~33% using a Hg pool WE at $E_{\text{appl}} = -1.60\text{V}$ vs SCE (no TON_{H₂} reported).¹⁸⁶ In aqueous solution (pH 7.4) **Ni1** showed catalytic onset correlated with reduction to nickel(I), and CPE gave a TON_{H₂} > 100 over an unspecified time with a Hg pool WE operating at $E_{\text{appl}} = -1.50\text{ V}$ vs SCE.⁷⁰ The bimetallic analogue **Ni₂1** showed a similar E_{onset} and reached comparable TONs under identical conditions, but with up to 10-fold faster rates, likely due to the proximity of the two adjacent nickel sites.⁷⁰ The effect of pH value has also been studied in aqueous media using a Hg pool WE at $E_{\text{appl}} = -0.96\text{ V}$ vs NHE, revealing that while **Ni1** and the more active **Ni3** and **Ni4** reduce CO₂ almost exclusively at pH 5.0, H₂ evolution is favored by all HECs at pH < 2.⁵⁹

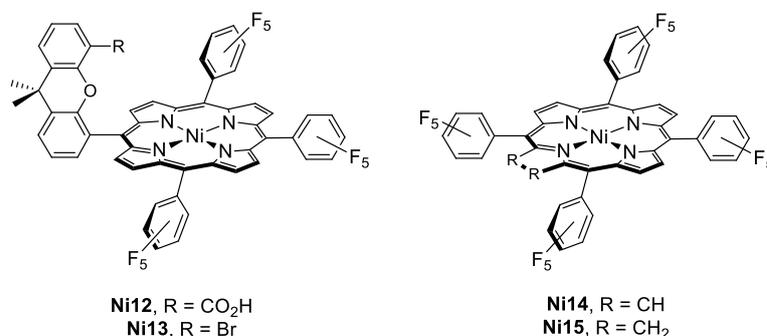


Related complexes with N4-ligation such as nickel glyoximes and imine-oximes have also been studied, but only in the absence of water. BF₂-substituted glyoximes **Ni5** and **Ni6** display a catalytic wave in CV upon addition of HClO₄ to DMF electrolyte solutions, but no CPE or product identification was reported.²⁶⁰ CPE experiments with imine-oximes **Ni7-Ni9** all show catalytic activity, with TON_{H₂} > 4.¹⁹⁷ **Ni9** reached a TON_{H₂} of 20 after 3 h with a FE_{H₂} of 70% using a GC WE at $E_{\text{appl}} = -1.10\text{ V}$ vs Fc⁺/Fc in MeCN containing *p*-cyanoanilinium tetrafluoroborate, although obvious decomposition of the catalyst was observed.¹⁹⁷



Pyridine-containing **Ni10** is an active HEC under purely aqueous conditions.²⁶¹ Using a GC WE at $E_{\text{appl}} = -1.10$ V vs Ag/AgCl in aqueous solution (pH 2.0) gave a TON_{H_2} of 12.7 after 12 h, with a $\text{FE}_{\text{H}_2} > 95\%$.²⁶¹ A catalytic wave is observed after one-electron reduction of **Ni10**, giving an isolable species characterized as a $\text{Ni}^{2+}(\text{L}\cdot^-)$ species.^{261,262} Pincer complex **Ni11** is thought to operate through an analogous intermediate.²⁶³ CPE at $E_{\text{appl}} = -1.10$ V vs NHE with a GC WE in aqueous KCl/HCl (pH 1.0) gave a TON_{H_2} of 65 after 1 h with a FE_{H_2} of 95%.²⁶³ One-electron reduction of **Ni11** forms a $\text{Ni}^{2+}(\text{L}\cdot^-)$ radical, evidenced by both spectroscopic and computational results.^{263,264} The latter further indicate that a metal-hydride is subsequently formed by PCET, with protonation then closing the catalytic cycle.²⁶³

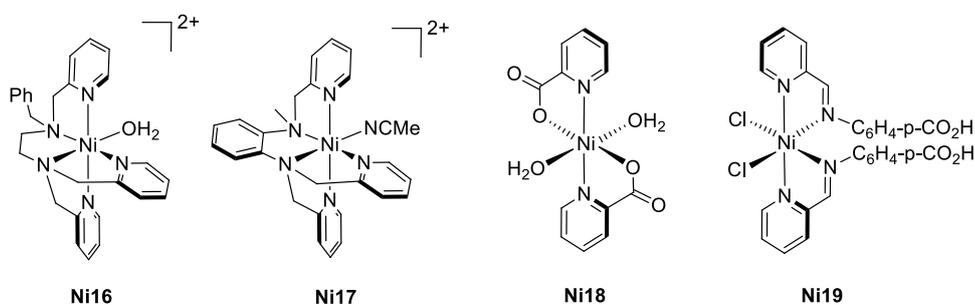
Nickel porphyrins and related macrocycles appear in several studies, with ligand non-innocence commonly being observed. Carboxylic acid functionalized **Ni12** shows an E_{onset} at potentials ~ 100 mV more positive than the bromide-substituted **Ni13**.²⁶⁵ Both undergo one-electron reduction to a Ni^+ species, with intramolecular proton transfer in **Ni12** thought to be responsible for the earlier catalytic onset potential, which occurs after a second reduction.²⁶⁵ Spectroelectrochemical (SEC) and DFT results indicate that this further ET occurs at the ligand.²⁶⁵ A later DFT study revealed that initial protonation of the reduced species takes place at the porphyrin *meso*-carbon, thereby implicating a phlorin intermediate.²⁶⁶ Proton relays and ligand non-innocence can greatly influence HEC mechanistic pathways, as further emphasized below.



Porphyrin **Ni14** shows two reversible redox couples at negative potentials in CV, the first nickel-centered, and the second ligand-based.²⁶⁷ Adding TFA induces catalytic onset after one-electron reduction, whereas AcOH triggers catalysis only after two-electron reduction. CPE with a GC WE in MeCN with TFA or AcOH at respective $E_{\text{appl}} = -1.49$ or -1.82 V vs Fc^+/Fc established catalytic reactions with TON_{H_2} values > 1 and $\text{FE}_{\text{H}_2} > 95\%$ over 0.5 h for both pathways.²⁶⁷ While a heterolytic mechanism is likely with AcOH, stopped-flow and DFT analyses are consistent with a homolytic reaction when TFA is used as the proton source.²⁶⁷ Porphyrin **Ni14** has also been compared with the corresponding hydrogenated chlorin **Ni15**.²⁶⁸ CV showed analogous behaviour for **Ni15**, with catalytic onset after one-electron

reduction in the presence of TFA. Based on catalytic currents, **Ni15** shows a 5-fold higher activity than **Ni14**. CPE in MeCN containing TFA with a GC WE held at an unspecified potential gave a FE_{H_2} of > 89% over 6 h, with TON_{H_2} > 10,000 for both HECs, being ~3-fold greater for **Ni15**. DFT studies show that a metal-hydride is formed with **Ni15**, whereas the corresponding intermediate in the case of **Ni14** has a proton located at a pyrrolic nitrogen.

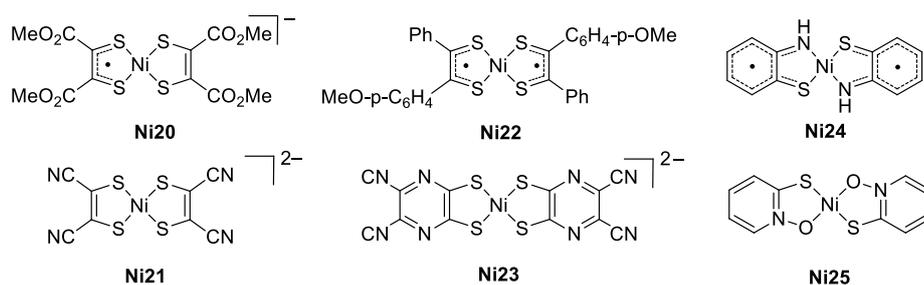
Poly-pyridyl ligands stabilize low oxidation states, are often water-compatible, and can serve as redox-active scaffolds to aid PCET during catalysis.^{181,218,269} The first study of such Ni-HECs screened various N5-ligands.²⁷⁰ CV in aqueous media revealed that **Ni16** was most active, undergoing two reductions before entering the catalytic cycle. Comparison with the analogous iron and cobalt complexes suggests reduction is metal-centered. CPE using **Ni16** in aqueous solution (pH 7.0) with a Hg pool WE at $E_{app} = -1.25$ V vs NHE gave a TON_{H_2} of 308,000 with a FE_{H_2} of 91% over 60 h, with no considerable loss in activity observed.²⁷⁰



CV scans of **Ni17** in MeCN show two sequential reductions, giving a $Ni^+(L^{\cdot-})$ radical correlated with the catalytic onset upon addition of acid.²⁷¹ While CPE in MeCN showed limited activity, using a Hg pool WE at $E_{app} = -1.70$ V vs Ag/AgCl in phosphate solution (pH 7.0) gave a TON_{H_2} of 1050 with FE_{H_2} of 96% after 3 h. Activity plateaued after longer CPE times, whereas photocatalysis gave 3-fold higher TON_{H_2} values (Section 2.2.5.2).²⁷¹ Two pyridine derivatives give Ni-based HECs that operate in neutral aqueous media.^{272,273} MeCN solutions of **Ni18** and **Ni19** both show metal-centered one-electron reduction waves in CV, with catalytic onset overlaying these events upon addition of AcOH. CPE using **Ni18** and **Ni19** formed H_2 , with a GC WE at $E_{app} = -1.45$ V vs Ag/AgCl in aqueous phosphate solution (pH 7.0) giving respective FE_{H_2} values of 91% and 88% over 1 h, (no TON_{H_2} reported).^{272,273}

Owing to their ubiquity in H_2 ases and redox-active properties, thiolate ligands have been widely applied in HECs.^{58,140,141,221} Bis(dithiolene) ligation gives **Ni20**, with a ligand-radical structure.²⁷⁴ When TsOH is added to MeCN solutions of **Ni20**, onset occurs at potentials ~0.5 V more positive than those of the non-radical complex, **Ni21**. While CPE with **Ni20** confirmed H_2 evolution, it was substoichiometric.²⁷⁴ Catalytic activity was shown for **Ni22** in

DMF containing TFA, with CPE using a GC WE at $E_{\text{appl}} = -1.67$ V vs Fc^+/Fc giving a TON_{H_2} of 25 with 83% FE_{H_2} after 3 h.²⁷⁵ Prior studies indicate that reduction largely takes place at the ligands.²⁷⁶ Notably, DFT revealed that reduced **Ni22** interacts with protons solely through the thiolate S-atoms, without formation of a metal-hydride.²⁷⁵ A similar mechanism is thought to enable the promising activity of HEC **Ni23**.²⁷⁷ Using a GC WE in aqueous acetate solution (pH 5.0) at $E_{\text{appl}} = -0.95$ V vs SCE, **Ni23** reached a TON_{H_2} of 20,000 with > 99 % FE_{H_2} over 24 h. DFT showed that only ligand-based reductions occur, along with pyrazine protonation through PCET, resulting in metal-hydride formation without low-valent Ni^+ or Ni^0 species.²⁷⁷



Ni24 has two radical ligands in the isolated neutral complex, whereas the chelates in **Ni25** are redox innocent.²⁷⁸⁻²⁸⁰ Reduction is thus thought to occur at the ligand sites in **Ni24**, while it is nickel-centered in **Ni25**.²⁷⁹ This may impart the differences in E_{onset} under neutral aqueous conditions for **Ni24** and **Ni25**, which occur at -0.91 V and -0.76 V vs NHE, respectively. CPE in phosphate solution (pH 7.0) under air with a GC WE at $E_{\text{appl}} = -1.21$ V vs NHE gave respective TON_{H_2} values of 62,000 and 80,000 with FE_{H_2} close to 80% after 40 h. Using water from the Genesee River or Gulf of Mexico with dissolved phosphate buffer gave almost identical results after 0.5 h of CPE with **Ni24** or **Ni25**, illustrating the robust nature of these HECs, which also show notable photocatalytic activity (Section 2.2.5.2).²⁷⁹

Among the most developed Ni-based HECs are those commonly referred to as 'DuBois-type' complexes, which feature bis(diphosphine) ligation.⁵⁸ Similarly to H_2 ases these HECs possess pendant amine proton relays and can operate close to thermodynamic potentials, as shown by their ability to catalyze H_2 oxidation under certain conditions.^{58,281,282} They show a CV-derived $\text{TOF}_{\text{H}_2} > 10^5 \text{ s}^{-1}$, in the presence of strong acids.^{99,120,283,284} Phosphorous and nitrogen functionalities further allow modulation of ligand design and tuning of activity and solubility. Due to water insolubility, these HECs have largely been studied in non-aqueous solvents with organic acids, though H_2O addition improves activity in many cases.^{58,285,286}

Initial studies employed **Ni26** and **Ni27**.²⁸⁶ CV with either complex in MeCN displayed a reversible and a variable feature at negative potentials, assigned as a $\text{Ni}^{2+}/\text{Ni}^+$ couple and formation of a Ni^0 species (which may precipitate on the WE). Adding triflic acid to MeCN

solutions of **Ni26** induces catalysis associated with the $\text{Ni}^{2+}/\text{Ni}^+$ couple, and CPE using a GC WE at $E_{\text{appl}} = -0.94 \text{ V}$ vs Fc^+/Fc gave a TON_{H_2} of 6.5 with 99% FE_{H_2} over an unspecified time. In the presence of TEA and H_2 , **Ni27** exhibits an oxidative catalytic wave at potentials slightly positive of the $\text{Ni}^{2+}/\text{Ni}^+$ couple.²⁸⁶ This H_2 ase-like reversible behavior has also been shown using the related **Ni28**, which displays both reductive and oxidative catalytic waves in a single CV cycle.²⁸⁷ In-depth analysis in MeCN with **Ni26** and acids of different strengths revealed two competing mechanistic pathways for H_2 evolution, with reaction of the Ni^+ species only occurring if the proton source is sufficiently donating, as depicted in Figure 9.²⁸⁸

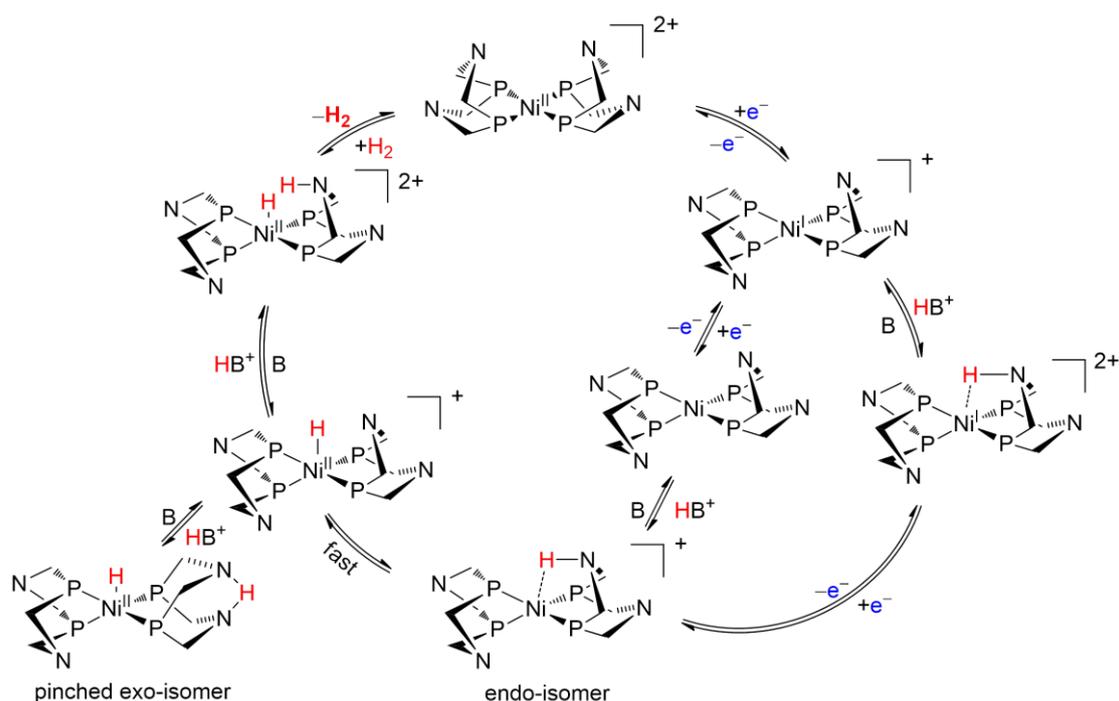
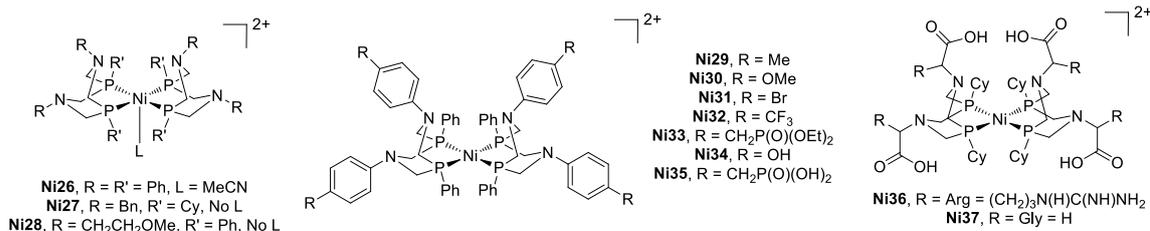


Figure 9. H_2 evolution mechanism for DuBois-type HECs.²⁸⁸ P and N substituents omitted for clarity (B = base). Weak proton donors promote the centre route, while stronger ones favor the right pathway.

Another factor influencing the activity of these HECs is the isomeric state of the protonated species.²⁸⁸⁻²⁹² Each ring segment linking adjacent P-atoms in the cyclic ligands can adopt an orientation with the proton at the bridgehead nitrogen positioned toward (endo) or away from (exo) the nickel axial sites, with stabilization of the latter via intramolecular $\text{N-H}\cdots\text{N}$ hydrogen bonding also possible (pinched-exo, Figure 9).^{283,289,292,293} While both isomers are similar in energy and thus in equilibrium, experimental and computational evidence indicates that H_2 formation is promoted by intramolecular proton donation from the pendant amines to the nickel center, which requires the endo configuration.²⁸⁸⁻²⁹² Favoring endo-protonation and facilitating conformer interconversion are thus two desirable attributes for optimizing the performance of these HECs.^{283-285,294}

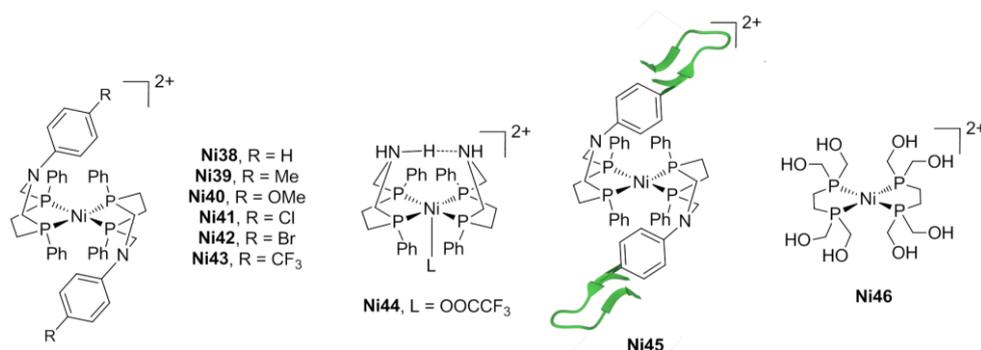


Ni29-Ni35 possess differing substituents at their pendant amine phenyl-groups.^{284,285,295} The presence of H₂O improves activity in almost all cases, with the water thought to act as an unencumbered proton shuttle, assisting conformer interconversion and readily protonating the less sterically accessible endo-species.²⁸³⁻²⁸⁵ Hydroxy-modified **Ni34** is a notable example that exhibits a TOF_{H₂} of just 35 s⁻¹ in anhydrous MeCN containing [(DMF)H]⁺, but shows a dramatically enhanced value of 170,000 s⁻¹ in H₂O:MeCN (≈1:1) solutions containing HClO₄.²⁸⁴ CPE in the latter medium using a GC WE with E_{appl} = -1.23 V vs Fc⁺/Fc gave a TON_{H₂} of 262 with a FE_{H₂} of 94% over 1 h. While these metrics show promise, **Ni34** is nonetheless still insoluble in solutions containing less than 25% MeCN.²⁸⁴

Functionalization with phosphonic acid, arginine, or glycine residues gives **Ni35-Ni37**, all of which are soluble in fully aqueous solutions.^{295,296} The phosphonic acids in **Ni35** also allow anchoring to metal oxides, and this HEC has largely been studied in homogeneous and heterogeneous photocatalytic systems (Sections 2.2.5.2 and 5.2.2, respectively). Both **Ni36** and **Ni37** show reversible H₂ production/oxidation in aqueous solutions (pH 1.0) under 1 atm 25% H₂/Ar at 348 K.²⁹⁶ A TOF_{H₂} of 300 s⁻¹ resulted for **Ni36**, 100-fold greater than that for **Ni37**, and this has been attributed to arginine-arginine interactions which favor positioning of the pendant-amine groups in close proximity to the nickel center (no CPE data given).²⁹⁶⁻²⁹⁸

Avoiding inactive pinched-exo isomers by removing a pendant amine from the chelate rings of DuBois-type HECs has also been thoroughly explored.^{283,293,294,299-301} **Ni38-Ni43** are coordinated by two 7-membered cyclic diphosphines featuring a single N-atom, with **Ni38** and **Ni39** showing CV-derived H₂ evolution rates of ~1×10⁵ s⁻¹ in MeCN containing H₂O and [(DMF)H]⁺.^{283,294} These TOF_{H₂} values are ~100-fold greater than those achieved under comparable conditions with 8-membered analogues having two pendant-amines.^{283,285,294} They also exceed the benchmark values of H₂ases, though the enzymes operate in aqueous solution and without an η of 500 mV.^{283,294} CPE with **Ni38** and **Ni39** in MeCN containing [(DMF)H]⁺ using a GC WE held at E_{appl} = -1.4 V vs Fc⁺/Fc gave a TON_{H₂} of 11 with a FE_{H₂} of 99% over an unspecified timeframe for both HECs. Rate increases of 3-fold upon addition of H₂O were again attributed to the ability of water to shuttle protons between the exo and endo isomers.^{283,294} In support of this proposal, **Ni44** showed no change in activity upon H₂O addition.²⁹⁹ Conversely to the above examples, which all involve tertiary amines, **Ni44** has

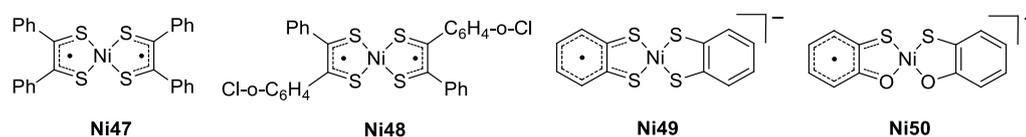
secondary N-atoms. As such, it features both an endo- and exo-proton in the corresponding catalytic intermediate, and thus shows activity that is not influenced by external bases.²⁹⁹



Contracted 7-membered rings have also been modified with amino acids.^{300,301} **Ni45** features two β -hairpin peptides, with CV in H₂O:MeCN (1:9) containing [(DMF)H]⁺ revealing a TOF_{H₂} = 106,000 s⁻¹, similar to that for **Ni38** and **Ni39**.^{294,300} In contrast to the parent HECs which begin to decompose after 30 min in acidic MeCN, **Ni45** is stable over 48 h under comparable conditions.^{294,300} CPE using **Ni45** in H₂O:MeCN (1:9) containing [(DMF)H]⁺ with a GC WE at E_{appl} = -1.35 V vs Fc⁺/Fc gave a TON_{H₂} of 265 with 92% FE_{H₂} over 30 min.^{294,300} Related derivatives show increased aqueous solubility, but no improvements in activity.³⁰¹

A few other nickel-phosphines have been studied electrochemically, but rarely with H₂O present.³⁰²⁻³⁰⁴ One exception is **Ni46**, which shows catalytic currents in CV under aqueous conditions upon two-electron reduction of the complex.^{305,306} CPE in aqueous H₂SO₄ (pH 1.0) using a GC foam WE at E_{appl} = -0.60 V vs NHE gave 92-105% FE_{H₂} with a TON_{H₂} of 7-9 after 18 h.³⁰⁶ The charge passed over this time remains linear and a TOF_{H₂} of 1850 s⁻¹ resulted from CV analysis.³⁰⁶ Despite showing good stability, the metrics of **Ni46** are modest when compared with DuBois-type complexes. The latter HECs are indeed much more developed, and have been used in several photocatalytic and heterogeneous systems.

2.2.5.2 Photocatalysis



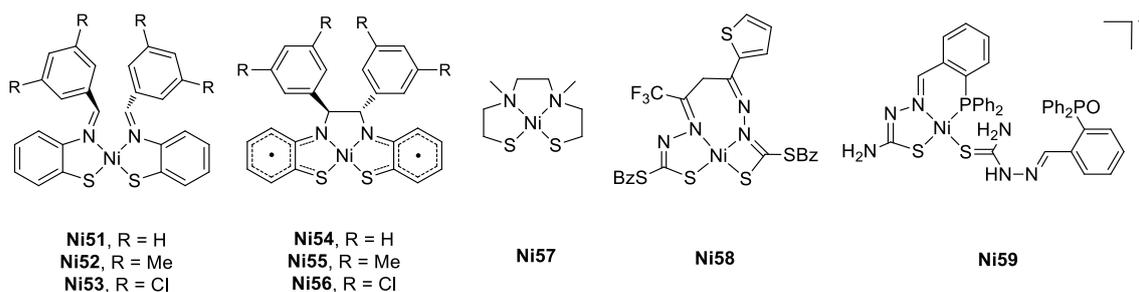
The first reports of photocatalytic H₂ formation with nickel complexes used dithiolenes **Ni47** and **Ni48**.^{307,308} Irradiation ($\lambda > 254$ nm) of **Ni47** in H₂O:THF (9:1) gave a TON_{H₂} of 36 after 22 h. Isotopic labeling showed that some of the H₂ originates from THF, which may also act as a SED.³⁰⁷ **Ni48** gave a similar TON_{H₂} of ~30 when illuminated ($\lambda > 350$ nm) for 5 h in H₂O:acetone (3:7), though with 10-fold excess ethylenediaminetetraacetic acid (EDTA) as a

SED and 100-fold excess methyl viologen (MV) as an electron relay.³⁰⁸ These examples are exceptions as they do not require an additional PS, which is usually the case for the more recently reported systems below.

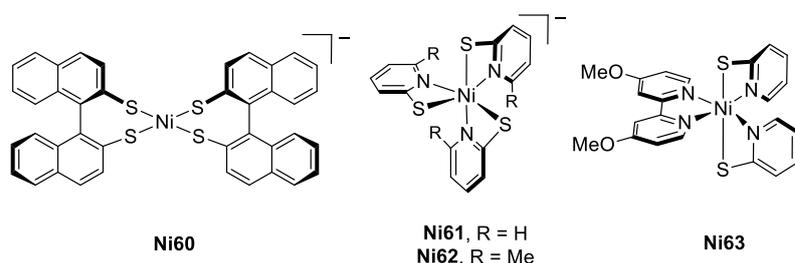
DuBois-type HEC **Ni26** showed linear activity over 150 h, showing excellent stability, but requires re-addition of fresh PS and SED at various intervals.³⁰⁹ Illumination ($\lambda > 410$ nm) of **PS1** in H₂O:MeCN (1:1) containing AA (pH 2.25) thereby gave a TON_{H₂} of 2700 for **Ni26** over 150 h.³⁰⁹ Phosphonated analogue **Ni35** achieves comparable activity under completely aqueous conditions.²⁹⁵ Using **PS19**, irradiation ($\lambda > 420$ nm) of AA solutions (pH 4.5) gave a TON_{H₂} of 723 for **Ni35** after 2 h (QY_{H₂} = 9.7% at $\lambda = 460$ nm). Higher HEC concentration gave a lower rate, with the system remaining active for 30 h, but H₂ production still leveled off at a TON_{H₂} value of 651. This suggests system performance is limited by the HEC lifetime, a proposal further supported by partial reactivation upon addition of fresh **Ni35**.²⁹⁵

Dithiolene **Ni49** has been studied in aqueous media together with similar HECs featuring a variety of heteroatoms, including **Ni24**, **Ni25**, and **Ni50**.²⁷⁹ **Ni24** performed best, reaching a TON_{H₂} of 6190 after 96 h of LED illumination ($\lambda = 520$ nm) in H₂O containing TEOA (pH 9.8) and **PS8**, with **Ni25** and **Ni50** giving respective TON_{H₂} values of 5900 and 5600. **Ni24** showed a negligible change in activity with Hg⁰ present, providing evidence for a molecular active species.²⁷⁹ **Ni49** was inactive with **PS8**, though when CdSe QDs were used as PSs with AA (pH 4.5) a TON_{H₂} of 105,300 resulted over 168 h.²⁷⁹ However, when QD solutions with Ni(NO₃)₂ instead of **Ni49** were irradiated under almost identical conditions a significant amount of H₂ was evolved, implying that the activity of molecular **Ni49** is minimal at best.²⁵⁹

Ni51-Ni56 feature similar donor sets to that in **Ni24**.³¹⁰ Irradiation ($\lambda > 400$ nm) together with **PS2** in H₂O:THF (1:3) containing TEOA gave the highest respective TON_{H₂} values of 414 and 416 for **Ni53** and **Ni55**, though a TON_{H₂} of 234 also resulted with equimolar Ni(OAc)₂. Hg⁰ presence led to severely diminished activity, implicating a heterogeneous active catalyst.³¹⁰ **Ni57** has an analogous coordination sphere, though features a more flexible ligand that grants water solubility.³¹¹ After 24 h irradiation ($\lambda > 420$ nm) of aqueous **PS8** solutions with 5% TEA (pH 11.6) **Ni57** reaches a TON_{H₂} of 1510. Hg⁰ had a negligible effect on activity, and no H₂ was observed when **Ni57** was exchanged with Ni(OAc)₂, both in agreement with a molecular active species. DFT studies show that one of the HEC S-atoms reversibly accepts a proton during catalysis, acting as a relay to aid H₂ formation.³¹¹



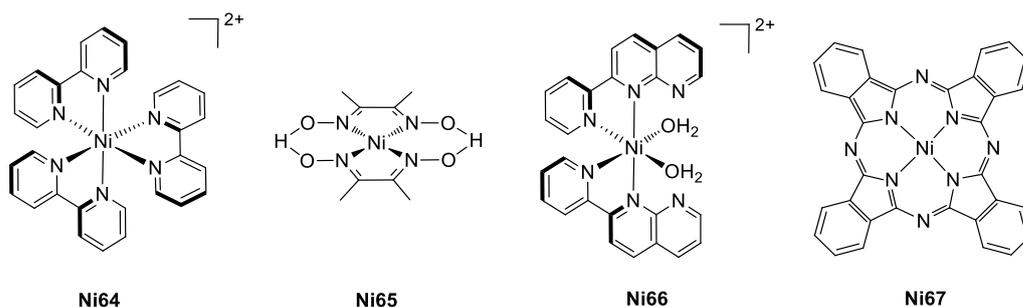
Related chelation is found in **Ni58**.³¹² LED illumination ($\lambda = 520$ nm) of **PS8** in H₂O:EtOH (1:1) with 5% TEA (pH' 13) gave a TON_{H₂} of 3300 over 70 h, with almost linear H₂ evolution during this time. Hg⁰ did not alter performance, implying that **Ni58** is the active HEC. Kinetic analysis indicates that the PS and HEC likely form an adduct through π -stacking or dye coordination to the metal center, which may contribute to the system stability.³¹² Remarkably high activity has been reported for **Ni59**, which features two thiosemicarbazone ligands.³¹³ When irradiated ($\lambda > 400$ nm) with **PS8** in H₂O:EtOH (1:1) containing 5% TEA (pH' 12.5) **Ni59** reached a TON_{H₂} of 8000 over 24 h.³¹³ Crystallographic analysis of **Ni59** revealed a short intramolecular distance of 2.82(5) Å between the nickel center and one of the ligand N-H protons, with enhanced acidity of the latter likely due to resonance stabilization.³¹³



Ni60 features S4-ligation, and with **PS3** in H₂O:MeCN (1:1) containing 10% TEA (pH' 10.0) gives a TON_{H₂} of 676 after 4 h irradiation ($\lambda > 420$ nm).²⁵⁷ One of the ligand S-atoms is believed to act as a relay, supplying a proton to the presumed metal-hydride intermediate.²⁵⁷ A similar role is proposed for an N-atom in the mechanism of pyridine-thiolate **Ni61**.^{314,315} Together with **PS8**, LED illumination ($\lambda = 520$ nm) of **Ni61** in H₂O:EtOH (1:1) containing 5% TEA (pH' 12.2) gave a TON_{H₂} of 5500 after 40 h.³¹⁴ Recovery of activity required both PS and HEC re-addition, suggesting degradation of both components limits system lifetime. Performance was not affected by Hg⁰. Mechanistic studies indicate that **Ni61** must be protonated to become catalytically active, with dissociation of a pyridine N-donor thought to provide a site for this to occur, reminiscent of the proton shuttling which occurs in H₂ases.³¹⁴

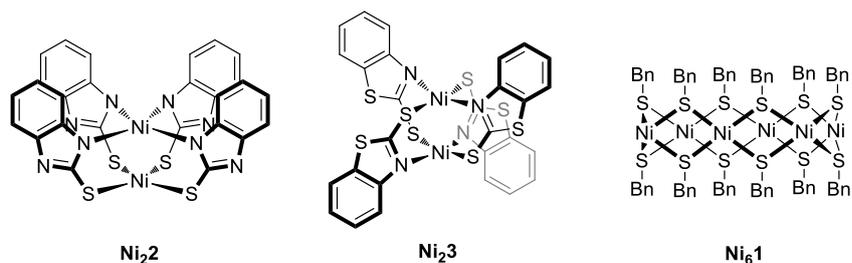
Ni61 was later studied in more detail, along with a series of derivatives.³¹⁵ Over 30 h using LED illumination ($\lambda = 520$ nm) of H₂O:EtOH (1:1) solutions containing TEA (pH' 11.6)

and **PS8**, the HECs **Ni62** and **Ni63** showed the best performance with respective TON_{H_2} metrics of 5020 and 7335, whereas **Ni61** gave a TON_{H_2} of 3750. The improved activity was correlated with increasing ligand electron density, resulting in a more reactive metal-hydride intermediate.³¹⁵ Methylation adjacent to the N-atom is thought to promote dissociation of the latter, allowing it to more readily act as a proton relay, in agreement with the mechanism proposed above.^{314,315} Contribution of the bpy to activity was not specifically addressed, though a later study reports moderate performance for tris(bpy) complex, **Ni64**.^{315,316}



Using Ir dye **PS17** in $\text{H}_2\text{O}:\text{MeCN}$ (1:4) containing TEOA (pH' 9.0), **Ni64** reached a TON_{H_2} of 520 after 12 h of LED illumination ($\lambda > 420$ nm).³¹⁶ In contrast, dioxime **Ni65** gave a TON_{H_2} of 42 under the same conditions. Two-electron reduction of **Ni64** causes loss of a bpy ligand, giving the 4-coordinate active species.³¹⁶ **Ni66** is related to the latter but features an extra N-heterocyclic unit, which likely serves as a proton relay.³¹⁷ Under irradiation ($\lambda > 450$ nm) with **PS8** in $\text{H}_2\text{O}:\text{EtOH}$ (1:1) with 5% TEA (pH' 10.5) **Ni66** gave a TON_{H_2} of 3230 in 24 h. H_2 evolution then ceased but could be restored by addition of fresh HEC, consistent with a molecular active catalyst, and Hg^0 also had a negligible effect on activity. DFT results suggest that a $\text{Ni}^{2+}\text{-H}$ species forms after reduction to a Ni^0 state. The non-coordinated N-donor then brings a proton into close proximity, facilitating H_2 bond formation.³¹⁷

Polypyridyl HEC **Ni17** shows results comparable to **Ni66** using similar conditions, but features a redox-active ligand.²⁷¹ LED illumination ($\lambda = 520$ nm) of **PS8** in $\text{H}_2\text{O}:\text{EtOH}$ (1:1) with 5% TEA (pH' 12.0) gave a TON_{H_2} of 3500 over 24 h. Hg^0 had no effect on activity. DFT indicates that, after metal-centered reduction, a pyridine unit accepts an electron to give a $\text{Ni}^+(\text{L}^{\cdot-})$ radical. While this species can form an active $\text{Ni}^{2+}\text{-H}$ state without a Ni^0 intermediate, it may contribute to deactivation by ligand degradation.²⁷¹ NiPc HEC **Ni67** also features an intermediate ligand radical species.^{318,319} Irradiation ($\lambda > 420$ nm) with **PS17** in $\text{H}_2\text{O}:\text{acetone}$ (8:2) containing TEOA (pH' 10.0) gave a TON_{H_2} of 680 over 8 h ($\text{QY}_{\text{H}_2} = 0.82\%$ at $\lambda = 420$ nm).³²⁰ Adding PS reactivates the system, implying that **Ni67** remains stable.³²⁰



A few multinuclear nickel-HECs function in semi-aqueous media. The two nickel centers in **Ni₂** feature different donor sets whereas related **Ni₃** has equivalent metal sites, despite both possessing identical ligands.³²¹ Irradiation ($\lambda > 400$ nm) of **PS8** in H₂O:MeCN (1:1) with 5% TEOA (pH' 10.5) gave a TON_{H₂} of 320 for **Ni₂** after 11 h, whereas **Ni₃** reached a TON_{H₂} of 115. Higher activity for **Ni₂** was ascribed to the more sterically accessible sulfur-ligated nickel center, which is the initial site of reduction based on DFT results.³²¹ A remarkable QY_{H₂} of 24.5% at $\lambda = 460$ nm has been reported using aqueous TEOA solutions containing Ni(OAc)₂, 2-mercaptoethanol, and an organic PS.³²² A cyclic [Ni(SCH₂CH₂OH)₂]₆ hexamer formed in situ was proposed as the active species on the basis of MS findings.³²² A later study investigated **Ni₆1**.³²³ LED illumination ($\lambda = 460$ nm) with **PS18** in H₂O:THF (1:8) containing 10% TEA resulted in a TON_{H₂} per cluster of 3750 over 25 h. Importantly, Hg⁰ had a minimal effect on performance, supporting the molecular nature of the active species.³²³

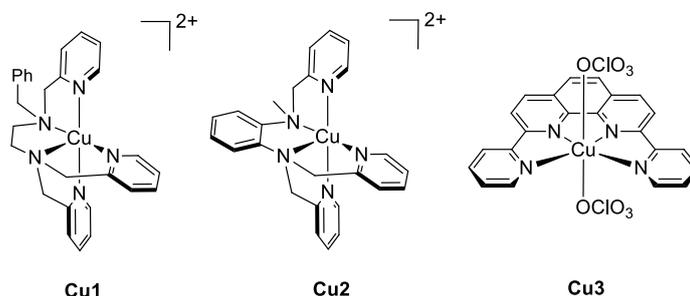
2.2.6 Copper

Molecular copper HECs that operate in the presence of H₂O have only started to be explored in the last decade and examples are still limited. A considerable number undergo electrodeposition, forming heterogeneous copper-containing products which may be more active than their molecular precursors.³²⁴⁻³²⁸ Indeed, Cu⁺ disproportionates under aqueous conditions, readily giving metallic Cu⁰ and Cu²⁺ at RT.^{329,330} These factors make assignment of the true catalytic species particularly important in the case of copper.

2.2.6.1 Electrocatalysis

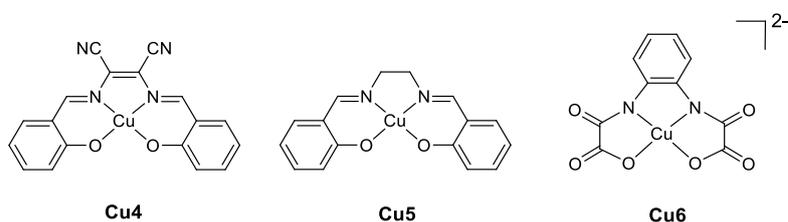
Polypyridine complex **Cu1** illustrates the challenges associated with identifying the active catalyst.^{328,331} CPE with **Cu1** using a GC WE at $E_{\text{appl}} = -0.90$ V vs NHE in aqueous phosphate solution (pH 2.5) gave a FE_{H₂} of 96% after 0.5 h, with a TON_{H₂} of 14,000 over 2 h.³³¹ Two PCET reduction events were identified, with spectroscopic data showing that **Cu1** initially forms a pyridine-protonated Cu⁺ state. PCET at the metal center then gives a Cu²⁺-H species which reacts with the pyridinium unit to form H₂.³³¹ Repeating CPE in fresh buffer after rinsing a GC WE operated with **Cu1** for 2 h showed ~7% relative activity, and traces of Cu-containing particles were found by scanning electron microscopy (SEM) and energy-

dispersive X-ray (EDX) spectroscopy.³³¹ A later study used similar conditions (-0.90 V vs NHE, pH 1.5) to show that **Cu1** decomposed with Pt leached from the counter electrode, forming nanoparticle films that rival Pt-electrodes in terms of catalytic current densities.³²⁸



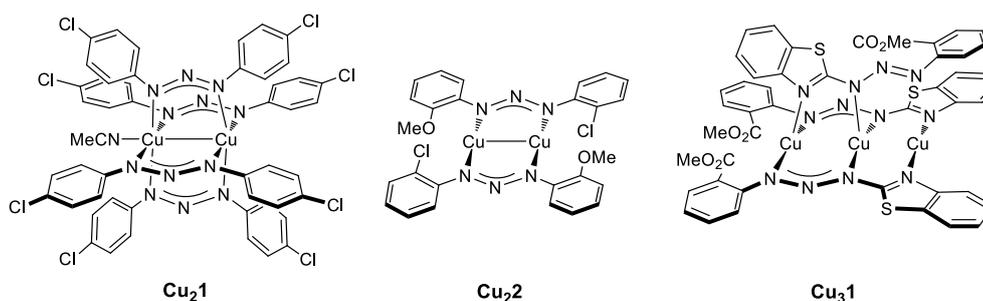
Cu2 is thought to form a $\text{Cu}^{\text{I}}(\text{L}^{\cdot-})$ pyridine-radical active species.³³² CPE using a Hg pool WE at $E_{\text{appl}} = -1.70$ V vs Ag/AgCl in aqueous phosphate solution (pH 7.0) gave a TON_{H_2} of 1670 over 3 h, reaching a TON_{H_2} of 3060 after 18 h, but with the FE_{H_2} dropping from 90% to 45% over this time. UV-vis spectra show significant changes after 3 h CPE, and to further assess system deactivation a graphite foil WE was then used. Post-electrolysis SEM and X-ray photoelectron spectroscopy (XPS) analysis showed no evidence of electrodeposition, suggesting that the HEC is molecular in nature despite structural similarity to **Cu1**.³³²

Cu3 shows two irreversible reduction events in MeCN, assigned as copper-centered by comparison with free ligand.³³³ Similar behavior occurs in aqueous phosphate buffer (pH 7.0), with an E_{onset} at more negative potentials (-0.90 V vs NHE), indicative of a Cu^0 active species. CPE under these conditions using a GC WE at $E_{\text{appl}} = -1.40$ V vs NHE gave a FE_{H_2} of 95% with a TON_{H_2} of ~ 700 after 2 h. Post-electrolysis analysis using DLS, SEM, and EDX spectroscopy showed no evidence of heterogeneous species, and solution UV-vis spectra were almost identical before and after catalysis.³³³



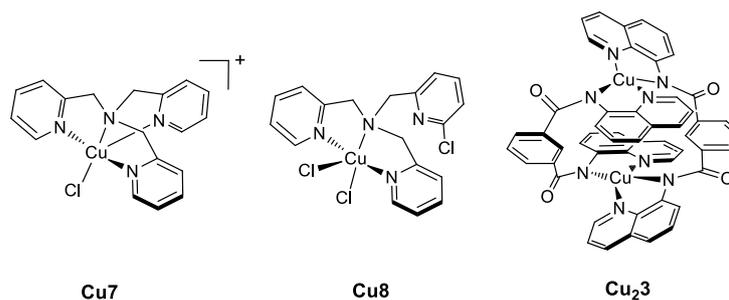
Cu4 shows two quasi-reversible redox couples in DMF, both ascribed to one-electron copper-centered processes.³³⁴ Adding AcOH to DMF solutions triggered catalytic onset that overlaps with the $\text{Cu}^{\text{I}}/\text{Cu}^0$ reduction wave.³³⁴ In contrast, the parent complex **Cu5** deposited metallic copper on GC electrodes when reduced to the Cu^0 state in DMF, and showed changes at the $\text{Cu}^{\text{II}}/\text{Cu}^{\text{I}}$ couple upon addition of AcOH.³³⁵ Catalysis is also observed with **Cu4** in $\text{H}_2\text{O}:\text{MeCN}$ (3:2) phosphate solution (pH 7.3) at $E_{\text{onset}} = -1.20$ V vs Ag/AgCl, with

CPE in the same media (pH' 7.0) using a GC WE at $E_{\text{appl}} = -1.43 \text{ V}$ vs Ag/AgCl giving a FE_{H_2} of 91% with a TON_{H_2} of ~ 3600 over 1 h.³³⁴ The charge passed was almost linear over 72 h, though no post-electrolysis analysis was reported.³³⁴ **Cu6** features a related donor set, but is water soluble.³³⁶ CPE in aqueous solution (pH 7.0) at $E_{\text{appl}} = -1.45 \text{ V}$ vs Ag/AgCl using a GC WE gives a FE_{H_2} of 96% with a TON_{H_2} of ~ 2300 . Electrodes rinsed after CPE and operated again in blank buffer solution gave similar results to freshly polished electrodes.³³⁶



A group of copper-triazenido complexes show promising HEC activity, though further investigation is required to establish the identify of the catalytically active species. CV of **Cu₂1** in DMF showed two reductive features, assigned as sequential $\text{Cu}^{2+}/\text{Cu}^+$ and Cu^+/Cu^0 metal-based reductions.³³⁷ Adding AcOH triggers catalysis at potentials slightly positive of the proposed Cu^+/Cu^0 couple. In aqueous phosphate solution (pH 6.0) an E_{onset} of $\sim -1.25 \text{ V}$ vs Ag/AgCl was observed, and H_2 was identified after CPE in the same media with a GC WE at $E_{\text{appl}} = -1.47 \text{ V}$ vs Ag/AgCl. Charge passed over 72 h was relatively linear, but no FE_{H_2} was reported.³³⁷ **Cu₂2** exhibited two reductive waves which were also interpreted as sequential $\text{Cu}^{2+}/\text{Cu}^+$ and Cu^+/Cu^0 events, with onset of catalysis at potentials slightly positive of the latter reduction.³³⁸ CPE in $\text{H}_2\text{O}:\text{MeCN}$ (3:2) phosphate solution (pH' 7.0) with a GC WE at $E_{\text{appl}} = -1.45 \text{ V}$ vs Ag/AgCl gave a FE_{H_2} of 97% with a TON_{H_2} of ~ 4600 over 1 h.³³⁸ **Cu₃1** also features a triazenido ligand, and shows 94% FE_{H_2} with a TON_{H_2} of ~ 6200 after 1 h CPE with a GC WE at -1.40 V vs Ag/AgCl in $\text{H}_2\text{O}:\text{MeCN}$ (3:2) phosphate solution (pH' 7.0).³³⁹ While electrodes remained colorless after CPE, limited further analysis was conducted, and the current passed over a 72 h experiment appears non-linear.³³⁹

2.2.6.2 Photocatalysis



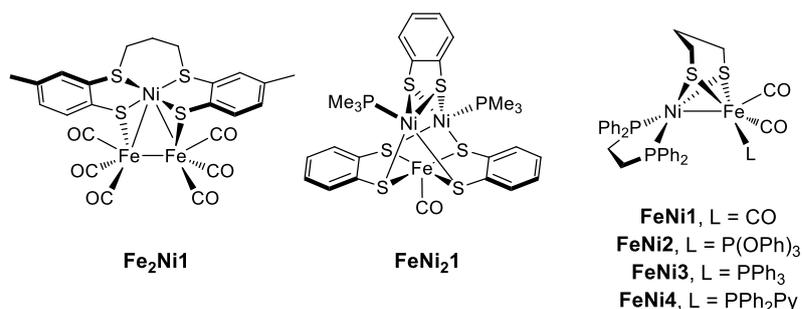
Light-driven systems with copper HECs are rare. TPA-complexes **Cu7** and **Cu8** reached respective TON_{H_2} values of 6108 and 10014 after 6 h when irradiated ($\lambda > 400$ nm) in $\text{H}_2\text{O}:\text{MeCN}$ (1:9) with TEA and **PS20** ($\text{QY}_{\text{H}_2} = 3.4\%$ and 5.6% , respectively).³⁴⁰ Ligand non-innocence may enable the greater activity of **Cu8**, as shown by electrochemical comparison with the analogous Zn-complex. However, CPE at $E_{\text{appl}} = -1.8$ V vs SCE (details not specified) showed little difference between the HECs, giving a FE_{H_2} of $\sim 95\%$ over 2 h in both cases. Assessment of heterogeneous degradation products was not reported.³⁴⁰ **Cu3** was also studied under semi-aqueous conditions, but irradiation ($\lambda > 400$ nm) with **PS3** in $\text{H}_2\text{O}:\text{MeOH}$ (1:2) yields a very low TON_{H_2} of only 6 over an unspecified time.³³³ In contrast, **Cu₂3** gave a TON_{H_2} of 2135 over 20 h in $\text{H}_2\text{O}:\text{DMF}$ (1:4) with TEA and **PS8** using visible LED light.³⁴¹ While **Cu₂3** was stable over 12 h under irradiation in $\text{H}_2\text{O}:\text{DMF}$ (1:4), reactivation of photocatalysis after 22 h required addition of HEC, rather than PS.³⁴¹

The development of copper-based molecular systems for H_2 evolution under aqueous conditions has clearly received significantly less attention than efforts using other 3d transition metals. Complexes related to those discussed above have begun to show promise with respect to catalytic CO_2 reduction, and as such these molecules remain interesting targets for further investigation as HECs.

2.2.7 Zinc

To the best of our knowledge, no zinc-based HECs have been reported.

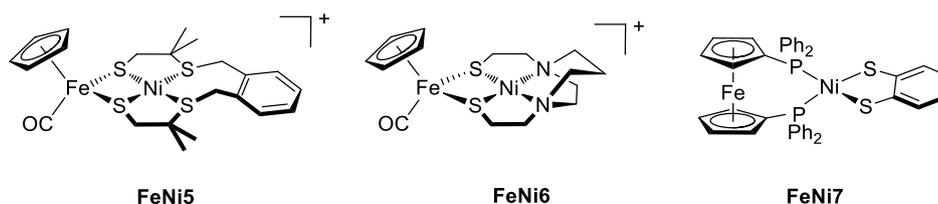
2.2.8 Heterometallic Catalysts



A number of mixed-metal complexes have been investigated as HECs. Taking inspiration from $[\text{NiFe}]-\text{H}_2\text{ases}$ the majority of these systems contain at least one nickel center, though it is often redox inactive, and no studies have been conducted in the presence of water. Trinuclear complexes **Fe₂Ni1** and **FeNi₂1** both showed electrochemical features consistent with H_2 formation when analyzed in acidic DCM solutions, but product quantification is lacking for the former, and the latter achieved a TON_{H_2} of only 1.5 after 2 h.^{342,343} The biomimetic complexes **FeNi1-FeNi4** displayed current increases when TFA

was introduced to DCM solutions.^{344,345} Although no CPE experiments were conducted, complex **FeNi4** showed the earliest onset potential and largest current enhancements, which was attributed to the peripheral pyridine residue acting as a proton shuttle.^{344,345}

Several heterobimetallic studies employ complexes with cyclopentadienyl-coordinated iron centers. CV scans of **FeNi5** displayed catalytic currents when TFA was added to DMF solutions, with onset occurring at a potential associated with one-electron reduction. CPE at $E_{\text{appl}} = -1.83$ V vs Fc^+/Fc using a Hg Pool WE gave a FE_{H_2} of 72% with a TON_{H_2} of 20 after 4h.³⁴⁶ DFT calculations indicate that **FeNi5** is reduced at the iron-site, inducing loss of a CO unit and formation of a hydride-bridged active intermediate.³⁴⁶ Despite possessing an almost identical iron coordination sphere, computational analysis of the related **FeNi6** suggests that the bridging thiolate donors act as shuttles which transfer a proton to an intermediate iron-hydride species.³⁴⁷ Although CPE using a GC WE held at $E_{\text{appl}} = -1.56$ V vs Fc^+/Fc revealed H_2 production with $\text{FE}_{\text{H}_2} = 96\%$ after 0.5 h, **FeNi6** gave a substoichiometric TON_{H_2} of only 0.26 in MeCN containing TFA.³⁴⁷



In contrast to the above cases, the complexes **FeNi7** and **FeNi8** are thought to mediate H_2 production through nickel-centered reactivity. **FeNi7** undergoes reversible single-electron reduction in THF solutions, with onset of a catalytic process overlapping with this feature upon addition of AcOH.³⁴⁸ DFT studies indicate that initial reduction occurs at the nickel-site, after which formation of a nickel-hydride species is favored over the corresponding protonated thiolate. CPE with a GC WE produced H_2 with $\text{FE}_{\text{H}_2} > 99\%$, but further experimental details were not reported.³⁴⁸ CV of **FeNi8** in MeCN displayed two reversible redox couples, each consistent with single-electron transfer.³⁴⁹ Computational and spectroscopic results support sequential nickel- and bpy-centered reductions to give a $\text{Ni}^+(\text{L}\cdot^-)$ radical species, with onset of catalysis correlating with formation of this intermediate upon addition of $[\text{Et}_3\text{NH}][\text{BF}_4]$. CPE at $E_{\text{appl}} = -1.85$ V vs Fc^+/Fc with a Hg pool WE in MeCN containing $[\text{Et}_3\text{NH}][\text{BF}_4]$ gave a FE_{H_2} of 70% after 100 min, reaching a TON_{H_2} of 16.³⁴⁹

2.3 Carbon Dioxide Reduction Catalysts

2.3.1 Scandium and Titanium

To the best of our knowledge, only a couple of reports exist detailing reduction of CO₂ by early 3d transition metal complexes containing scandium or titanium. These examples show stoichiometric reactions in non-polar solvents, giving metal-bound oxalate products, likely as a result of their oxophilic nature and the high stability of their oxidized states.^{352,353}

2.3.2 Vanadium

Although no synthetic vanadium complexes have yet achieved CO₂ reduction, this metal ion is present as a minor component of a much larger FeS cluster in the active site of some nitrogenases.³⁵⁴⁻³⁵⁶ Several studies demonstrate that these biological sites reduce CO₂ into a range of carbon-containing products including CO and CH₄, as well as higher C₂-C₄ hydrocarbons.^{354,355} Molecular vanadium complexes or clusters may thus represent an unexplored avenue for CRCs able to achieve CO₂ reduction directly into liquid fuels.

2.3.3 Chromium

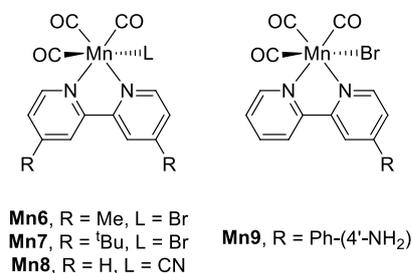
Molecular chromium complexes have received little attention as CRCs. An exception is [Cr(CO)₆], owing to the minor presence of chromium in some catalysts used industrially in the water-gas shift reaction (CO + H₂O → CO₂ + H₂).³⁵⁷⁻³⁵⁹ Two-electron reduction of [Cr(CO)₆] affords [Cr(CO)₅]²⁻, which can react with a proton source such as H₂O to generate [HCr(CO)₅]⁻. Whereas CO₂ inserts into this hydride to give an O-bound formate adduct, [(HCO₂)Cr(CO)₅]⁻, reaction with H₂O liberates H₂ and produces the stable hydride-bridged dimer, {(μ-H)[Cr(CO)₅]₂}⁻.³⁵⁸ Conversely, under aprotic conditions, [Cr(CO)₅]²⁻ mediates CO₂ cleavage to give the neutral hexacarbonyl along with an equivalent of carbonate ([M(CO)₅]²⁻ + 2CO₂ → [M(CO)₆] + CO₃²⁻).³⁶⁰ CV of [Cr(CO)₆] under Ar in MeCN or DMF shows an irreversible reduction wave for the formation of [Cr(CO)₅]²⁻ at ~ -2.5 V vs Fc⁺/Fc, which becomes catalytic under CO₂. Adding varying amounts of H₂O (up to ~5%) increasingly suppresses this catalytic current, most likely due to hydride formation.³⁶¹ This exemplifies just one of the many challenges associated with moving from aprotic to aqueous media.

2.3.4 Manganese

2.3.4.1 Electrocatalysis

A report revealing that Mn-analogues of *fac*-[ReCl(bpy)(CO)₃] function as CRCs has led to a considerable volume of literature exploring closely related systems.^{95,362-364} In contrast to the rhenium CRC, the manganese system shows significant activity only in the presence of a proton source such as water, where high selectivity for CO₂ reduction over the competing H₂

evolution is typically observed.^{95,96} CPE with non-substituted **Mn1** in H₂O:MeCN (5:95) using a GC WE at $E_{\text{appl}} = -1.70$ V vs Ag/AgNO₃ gave a TON_{CO} of 13 with quantitative FE_{CO} after 4 h. FE_{CO} for **Mn1** drops to 85% (along with 15% co-generation of H₂) after 22 h, whereas methyl-functionalized **Mn6** retains quantitative FE_{CO} with a TON_{CO} of 34 after 18 h.⁹⁵



Many other *fac*-[MnBr(bpy-R)(CO)₃] CRCs have been studied by varying the bpy R-group, giving insights into how sterics, electronics, and secondary sphere interactions influence catalysis. One-electron reduction of [MnBr(bpy-R)(CO)₃] leads to loss of the halido ligand, affording a charge-neutral complex (Figure 10).^{96,102} With sterically unrestricted bpy ligands two of these units can undergo Mn–Mn bond formation, producing a dimer with UV-vis absorptions at ~630 nm (metal-to-ligand CT) and 810 nm (Mn–Mn $\sigma \rightarrow \sigma^*$).^{95,365,366} Further reduction of this homodimer can cleave the metal-metal bond, giving the [Mn(bpy-R)(CO)₃][−] anion, such as with **Mn1**, **Mn6**, and *tert*-butyl analogue, **Mn7**.^{95,96} Exposure to CO₂ with a proton donor present typically causes catalytic onset at the potential associated with formation of this anion.^{95,96} However, EPR evidence shows that the dimer can also bind and transfer two electrons to CO₂.³⁶⁷ Regardless of the species which initially reacts, theoretical and experimental studies indicate that a proton is required to stabilize the resulting metallo-carboxylate, accounting for the catalytic dependence on an external proton source.^{368,369}

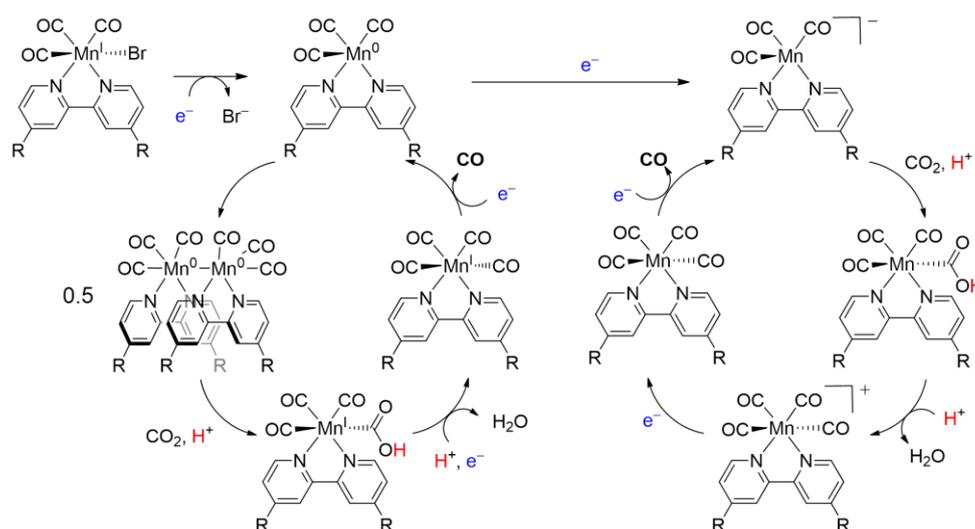


Figure 10. Reaction pathways for [Mn(bpy-R)(CO)₃Br]-type CRCs. Different substituents (R-groups) and experimental conditions influence the mechanistic details.

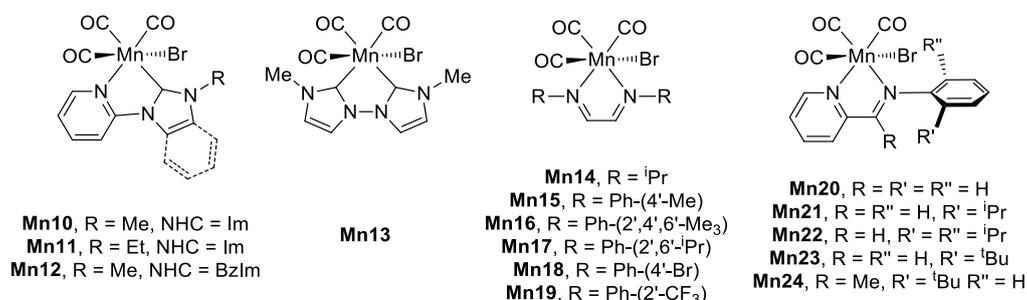
Dimerization is thought to cathodically shift the potential for anion formation, and several studies have explored the effect of inhibiting this process.^{102,369} Exchange of the bromido ligand for a more strongly bonding cyanide suppresses anion dissociation and dimerization upon one-electron reduction of **Mn8**.³⁷⁰ While this initial reduction requires more negative potentials compared to **Mn1**, catalytic current already appears after the transfer of this first electron. This is due to a change in mechanism whereby disproportionation occurs between two of the singly reduced species, regenerating the original **Mn8**, while also producing the catalytically active anion.³⁷⁰ Subtle changes in the mechanism of these CRCs often greatly impacts upon their activity, which has implications for immobilization. For example, while **Mn9** shows activity comparable to **Mn1** under similar homogeneous conditions, anchoring on a GC WE allows for a 30-40-fold higher TON_{CO} , likely by influencing the mechanism.³⁷¹

One notable feature of these Mn-CRCs is the redox-active role played by the bpy unit. While many catalysts cycle between oxidation states largely centered on the metal, both DFT and crystallographic results indicate that the anionic intermediate possesses a reduced bpy ligand.^{96,102,372} Indirect evidence for this non-innocence can also be found by comparison with $[\text{Mn}(\text{CO})_5]^-$, which shows no catalytic activity toward CO_2 in the presence or absence of water, even at very negative E_{appl} .^{361,373} The non-innocent bpy may also impart the selectivity shown by these systems. DFT suggests that electron density on the bpy unit in the highest occupied molecular orbital (HOMO) of the active anion allows for complementary σ - and π -interactions with CO_2 . The latter cannot contribute when these CRCs interact with protons, thereby disfavoring hydride formation and suppressing H_2 and HCO_2H production.^{102,369} Variation of the bpy unit is thus a powerful strategy for tuning the properties of these CRCs.

Mn5 contains the bulky 6,6'-dimesityl-2,2'-bipyridine ligand, which completely suppresses reduction-induced dimer formation.¹⁰² Instead, **Mn5** accepts two electrons simultaneously to form the corresponding anion at a potential 300 mV more positive than that of unmodified **Mn1**. CV in the presence of H_2O indicates that the anion then undergoes proton-dependent oxidative addition of CO_2 to form a metallo-carboxylic acid adduct. However, significant catalysis is not observed until an additional 400 mV is applied ($E_{\text{onset}} = -1.90$ V vs Fc^+/Fc). IR-SEC results revealed that the metallo-carboxylic acid intermediate must be reduced by an additional electron before C–O bond scission occurs, justifying the extra driving force required to initiate turnover. CPE at $E_{\text{appl}} = -2.20$ V vs Fc^+/Fc with a GC WE in MeCN containing 2,2,2-trifluoroethanol (TFE) gave a TON_{CO} of 4 over 70 min (FE_{CO} of 98%).¹⁰²

Tuning of the electronic properties in these systems has been achieved in the pyridine-(benz)imidazolylidene CRCs **Mn10**, **Mn11**, and **Mn12**, which operate in $\text{H}_2\text{O}:\text{MeCN}$ (5:95).^{78,100} Initial reduction occurs at more negative potentials due to the stronger σ -

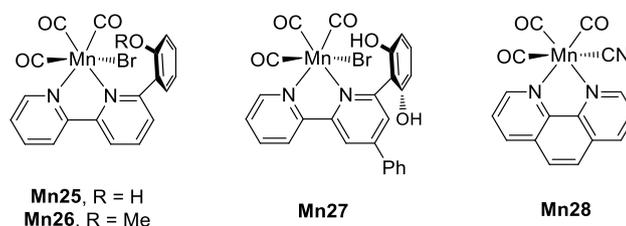
character of the NHC-donors, but involves dual ET to give the respective anions in a single step, similarly to **Mn5**.^{78,100,374} CPE with **Mn10** and **Mn11** using a GC WE at ~ -1.5 V vs SCE gave CO as the sole gaseous product with a $FE_{CO} < 70\%$ after 4 h, revealing losses compared to parent **Mn1**.^{78,100} NHC-chelated **Mn13** behaves analogously, but retains a FE_{CO} of 98% over 5 h, giving a TON_{CO} of 14 with a GC WE held at -2.32 V vs Fc^+/Fc .³⁷⁵



α -Diimino complexes with various N-substituents, **Mn14-Mn19**,^{315,316} and mixed pyridine-imine CRCs, **Mn20-Mn24**, have also been explored.³⁷⁶ The ligand steric properties influence catalyst speciation upon reduction, with more hindered groups being most effective at impeding dimerisation. While electrocatalysis occurred in the presence of CO₂ and H₂O in all cases, catalytic currents were much less pronounced than for **Mn1**, and required increased η . A Mn-OCO₂H adduct was identified by IR-SEC studies, suggesting that these CRCs use a different mechanism to the bpy systems, despite sharing other intermediates.^{376,377} The Mn-OCO₂H species is proposed to form by CO₂ cleavage, with a second molecule of CO₂ acting as an oxide acceptor to break a C-O bond in the common metallo-carboxylic acid intermediate.^{376,377} Further reduction is thought to be required for carbonate dissociation from the product-inhibited state, leading to the high overpotentials needed to drive catalysis.^{376,377}

Weak Brønsted acids like H₂O, phenol, and TFE have often been shown to improve the activity of molecular CRCs. Lewis acids such as Mg²⁺ cations can produce similar effects.¹⁵⁰ While the anion formed by **Mn5** reduction reacts with CO₂ and a proton to give a metallo-carboxylic acid, further reduction at higher η is required to achieve C-O bond cleavage. In contrast, CVs using **Mn5** in anhydrous CO₂-saturated MeCN with Mg²⁺ cations show onset upon anion formation.³⁷⁸ CV, ¹³CO₂ labelling, and IR-SEC studies revealed that a second CO₂ can achieve C-O bond cleavage in the metallo-carboxylic acid, by acting as an oxide acceptor in a magnesium-assisted mechanism. Insoluble MgCO₃ forms as a by-product, rather than a carbonate-inhibited adduct. CPE with a Mg-anode (to replenish precipitated MgCO₃) gave a FE_{CO} of 98% over 6 h, with a TON_{CO} of 36 at $E_{appl} = -1.60$ V vs Fc^+/Fc using a GC WE.³⁷⁸ This approach utilizes the energetic gains achieved by dimer suppression, but catalytic currents are much less than those obtained without Mg²⁺ cations at higher η .

Covalently tethering proton-donating phenol units to the bpy heterocycle also improves catalysis.^{65,100,379} **Mn25** contains a single phenolic functional group,¹⁰⁰ and dimerization, anion formation, and E_{onset} under CO_2 occur by a similar route and at comparable potentials to the unmodified **Mn1** system. However, ~5-fold greater catalytic currents were achieved for **Mn25**. Methoxy-protected **Mn26** performed similarly to **Mn1**, and linear sweep voltammetry (LSV) with the latter in the presence of 10 equiv. of phenol showed an inhibitory effect on catalysis.¹⁰⁰ These results indicate that the intra-molecular proton-donating ability of **Mn25** is responsible for the increase in activity, with computational evidence highlighting the entropic benefits of covalent phenol attachment. CPE with a GC WE at $E_{\text{appl}} = -1.50$ V vs SCE gave a TON_{CO} of 2.7 with a FE_{CO} of 76% over 4 h. Notably, a third catalytic process is observed in CVs with **Mn25** at much more negative potentials ($E_{\text{onset}} = -1.76$ V vs SCE). This has been attributed to reduction of phenolic protons in close proximity to the Mn site, hinting at the possibility of a metal-hydride intermediate which may account for the diminished FE_{CO} .¹⁰⁰



Mn27 features a resorcinol unit linked to the bpy. This CRC shows catalytic currents under CO_2 even without an external proton source, producing traces of H_2 along with CO and HCO_2H ($\text{TON}_{\text{CO}} = 19$, $\text{FE}_{\text{CO}} = 70\%$; $\text{TON}_{\text{HCO}_2\text{H}} = 6$, $\text{FE}_{\text{HCO}_2\text{H}} = 22\%$) over 4 h with a GC WE held at $E_{\text{appl}} = -1.8$ V vs SCE.³⁷⁹ Three cathodic features are observed under Ar, with IR- and UV-vis-SEC studies showing at least five species. This is ascribed to the phenol groups enabling new reaction pathways, such as dimer suppression by chelation of the deprotonated phenoxide moiety, and hydride generation through intra-molecular proton transfer. The latter of these species is correlated with both H_2 and HCO_2H formation. CPE over 2 h in $\text{H}_2\text{O}:\text{MeCN}$ (5:95) at $E_{\text{appl}} = -1.5$ V vs SCE produces CO , HCO_2H , and H_2 ($\text{TON}_{\text{CO}} = 28$, $\text{FE}_{\text{CO}} = 90\%$; $\text{TON}_{\text{HCO}_2\text{H}} = 1.4$; $\text{FE}_{\text{HCO}_2\text{H}} = 4\%$; $\text{TON}_{\text{H}_2} = 0.7$; $\text{FE}_{\text{H}_2} = 2\%$). Selectivity can be biased toward formate by adding Brønsted acids such as TFE or phenol, giving ~60% with the latter, albeit with a lower FE (total FE for all products = 60%).⁶⁵ A similar system with proximal methoxy groups has also been shown to enable an alternate reaction pathway.³⁸⁰

Related complexes have also been studied, but often give lower performances than the parent **Mn1**. 2,2':6',2''-Terpyridine (tpy) CRCs are less stable during catalysis,³⁸¹ whereas various pyridine-phosphine ligands result in poor selectivity for CO_2 reduction.^{382,383}

2.3.4.2 Photocatalysis

Manganese(I)-tricarbonyl CRCs have also been studied photocatalytically, despite their tendency to release CO upon irradiation.^{100,103-108} **Mn1** has two charge-transfer transitions with partial visible absorption, the most intense appearing at 416 nm in MeCN, with minor tailing until ~550 nm.^{95,384} The *fac*-tricarbonyl loses CO when illuminated, but re-association from solution can form a *mer*-species.³⁶⁵ In contrast, this *mer*-isomer undergoes homolytic halide loss upon irradiation, giving a radical that reacts further to the Mn-dimer, which absorbs across the entire visible spectrum.^{365,367,384} Time-resolved experiments have shown that the latter process occurs very rapidly, approaching the diffusion-controlled limit.³⁸⁵ The reverse homolytic cleavage of the Mn–Mn bond to give two highly reactive radicals can also occur photochemically, and may contribute in the cases outlined below.^{366,386,387}

Monochromatic irradiation ($\lambda = 480$ nm) of **PS21** and **Mn1** in DMF:TEOA (4:1) with benzyldihydronicotinamide as a proton source and SED gave a $\text{TON}_{\text{HCO}_2\text{H}} = 149$, $\text{TON}_{\text{CO}} = 12$, and $\text{TON}_{\text{H}_2} = 14$ over 12 h.³⁸⁸ Absorption of the PS dominates at 480 nm, but UV-vis monitoring revealed an initial build-up of the dimeric species, which rapidly decayed within the first 10-20 min. This correlates with the small amount of CO produced early in the experiment. Similar TONs resulted whether the photoexcited PS potential was matched with (**PS21**) or less than (**PS1**) that of the Mn-dimer, and evidence for paramagnetic species was observed by NMR spectroscopy following photocatalysis.³⁸⁸ These results suggest that the Mn-dimer produced after initial PS-mediated reduction of **Mn1** undergoes direct photolysis to a radical species, which then reacts with CO_2 to selectively give HCO_2H . Reactivity of such Mn-radicals has seldom been emphasized in electrocatalysis, likely due to their rapid dimerization, but this study highlights that they may yet play a role in photocatalytic systems.

Further support for the reactivity of these radicals is provided by nitrile-bound **Mn8**.³⁸⁹ Monochromatic irradiation ($\lambda = 470$ nm) over 15 h with **PS21** in DMF:TEOA (4:1) solutions of benzyldihydronicotinamide gave $\text{TON}_{\text{HCO}_2\text{H}} = 130$, $\text{TON}_{\text{CO}} = 7$, and $\text{TON}_{\text{H}_2} = 2$, with $\text{QY}_{\text{HCO}_2\text{H}} = 3.2\%$. Using MeCN instead of DMF under otherwise identical conditions gave $\text{TON}_{\text{HCO}_2\text{H}} = 9$, $\text{TON}_{\text{CO}} = 21$ and $\text{TON}_{\text{H}_2} = 1$ with $\text{QY}_{\text{CO}} = 0.5\%$. As described above, CRC **Mn8** retains the axial cyano ligand after reduction and thus does not dimerize. Instead, two of the singly reduced species disproportionate, giving the starting complex and the doubly reduced five-coordinate anion. Comparison between DMF and MeCN using *operando* IR-SEC studies revealed that disproportionation occurs more rapidly in the latter solvent, generating the CO-producing anionic species. Conversely, the singly reduced radical is much longer-lived in DMF, again implying that it may promote HCO_2H production. This study highlights a viable pathway, proposing hydride formation by H-atom abstraction from the SEDs present.³⁸⁹

Increases in both photocatalytic performance and CO selectivity were reported for a system using organic dye **PS22**.³⁹⁰ 1,10-Phenanthroline (phen) CRC **Mn28** was shown to mediate CO₂ reduction in H₂O:MeCN (5:95) with TEA as a SED. Irradiation with a Xe lamp for 3 h resulted in TON_{CO} = 119 and TON_{HCO₂H} = 19. A mechanism invoking dimer formation and subsequent reduction to an active anion was proposed, in analogy to **Mn1**. Although HCO₂H production was attributed to a hydride intermediate, no H₂ was detected. Overall, these results demonstrate the wealth of mechanistic pathways and tunability of Mn CRCs.

2.3.5 Iron

2.3.5.1 Electrocatalysis

Iron CRCs are among the most prevalent, with porphyrin complexes forming a large subset of these. TPP is an adaptable platform for appending functionality that can impact on electronic properties, solubility, substrate binding, and transition states, as highlighted in Section 2.2.3.1. Most iron porphyrin systems have been studied in polar aprotic organic solvents such as DMF and MeCN, with weak Brønsted acids such as H₂O, TFE, and phenol,³⁹¹⁻³⁹⁴ or Lewis acids like Mg²⁺ and Ca²⁺ improving performance.¹⁵⁰ The air-stable iron(III) state of these CRCs undergoes three sequential reductions to give an iron(0) species, though spectroscopic and computational findings support an iron(II) ligand-diradical structure.^{148,149} Nevertheless, the reduced states still display metal-centered reactivity.^{150,151}

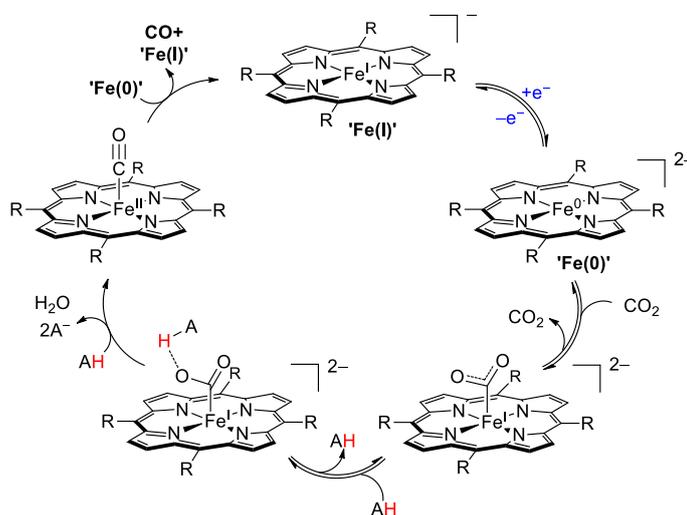
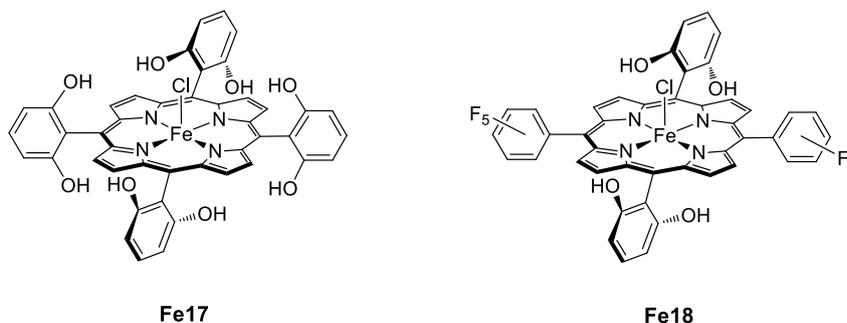


Figure 11. General mechanism for iron porphyrin CRCs. Porphyrin charge state shown as a dianion. R = substituents that do not intramolecularly interact with bound substrate (e.g. phenyl groups).³⁹⁵

E_{onset} for CO₂ reduction with parent TPP CRC **Fe4** occurs at a potential correlated with the Fe⁺/Fe⁰ redox couple (-1.67 vs SCE in DMF).^{150,151,391,394} While CO₂ reduction to CO requires two electrons, experimental and DFT results suggest that the initial adduct is an Fe⁺-CO₂⁻ species (the dianionic charge contribution from the porphyrin is omitted, both here

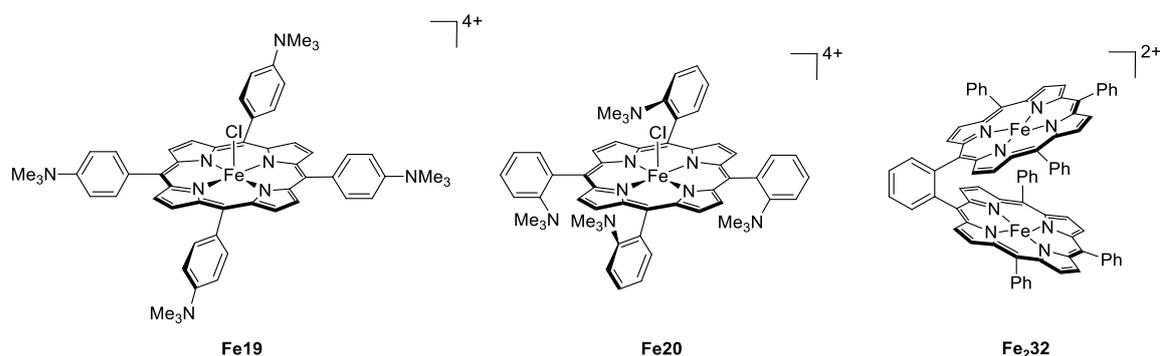
and in the following discussion).³⁹⁵⁻³⁹⁷ Electrochemical analysis employing **Fe4** allowed a detailed mechanism to be derived from reaction rate dependence on added Brønsted acids (Figure 11). The rate-determining step entails a second ET from the iron site in a concerted manner with both proton transfer and C–O bond cleavage.³⁹⁵ The resulting product-inhibited Fe^{2+} –CO adduct is thought to react with a second Fe^0 species, closing the catalytic cycle by liberating CO and regenerating the coordinatively unsaturated Fe^+ porphyrin.^{392,393}



Hydroxylation of the *meso*-substituents in **Fe4** to give **Fe17** vastly increases catalytic rates.³⁹⁴ The resorcinol groups may play a dual role, altering the mechanism by acting as both intramolecular hydrogen-bond donors to stabilize the initial Fe^+ – CO_2^- adduct, and as internal relays that subsequently transfer protons. In contrast to **Fe4**, a metallo-carboxylic acid intermediate is proposed on the basis of CV analysis. These show a pre-wave before E_{onset} at -1.57 vs SCE in DMF, indicating that an extra electron must be injected into the system before catalysis begins.³⁹⁶ As E_{onset} occurs at a similar potential to the pre-wave, it was reasoned that charge build-up is avoided, and thus the initial Fe^+ – CO_2^- adduct must be protonated to give a Fe^+ – $\text{CO}_2\text{H}^\bullet$ species.³⁹⁶ Formation of this intermediate is supported by resonance Raman studies on a related system with 1,2,3-triazole residues, though in that case an Fe^{2+} – CO_2H species is proposed based on breathing modes of the porphyrin skeleton being almost identical to the corresponding Fe^{2+} –CO adduct.³⁹⁸ Electrochemical studies of **Fe17** revealed that the additional reduction takes place simultaneously with intramolecular proton transfer and C–O bond scission, which is furthermore concerted with re-protonation of the internal resorcinol residue provided the external phenol concentration is sufficiently high.³⁹⁶ Extending this strategy by incorporating two electron-withdrawing pentafluoro-phenyl-rings in **Fe18** gives an E_{onset} at 60 mV lower overpotential than for **Fe17**, while still displaying the rate acceleration imparted by the two remaining resorcinol groups.³⁹⁹

Further modification with *p*-trimethylammonium-groups gives water-soluble **Fe19**. This CRC shows high stability and activity in aqueous KCl solution (pH 6.7), with CPE at $E_{\text{appl}} = -0.86$ V vs NHE using a GC WE giving $\text{FE}_{\text{CO}} = 98$ -100% over 72 h (no TON_{CO} reported).⁴⁰⁰ The positively-charged residues in **Fe19** caused an anodic shift of the Fe^+/Fe^0 couple,

resulting in a more positive E_{onset} than with related derivatives ($\Delta E_{\text{onset}} = 200, 100, \text{ and } 40 \text{ mV}$ vs. **Fe4**, **Fe17**, and **Fe18**, respectively). **Fe20** continues this trend, where the $-\text{NMe}_3$ groups occupy *ortho*- rather than *para*-positions, showing that through-space interactions reinforce those occurring through-structure.⁴⁰¹ **Fe20** exhibits the most positive E_{onset} for CO_2 reduction by iron-porphyrins (-1.19 V vs SCE in DMF). In addition, catalytic currents are at least 3-fold greater than for **Fe19**, ascribed to coulombic stabilization of the initial negatively-charged CO_2 -adduct by the ammonium residues. Although CPE of **Fe20** exhibited a $\text{FE}_{\text{CO}} = 100\%$ with no apparent degradation over 84 h in DMF containing phenol (3.0 M) and H_2O (0.1 M), there is no data reported for purely aqueous electrocatalysis.⁴⁰¹

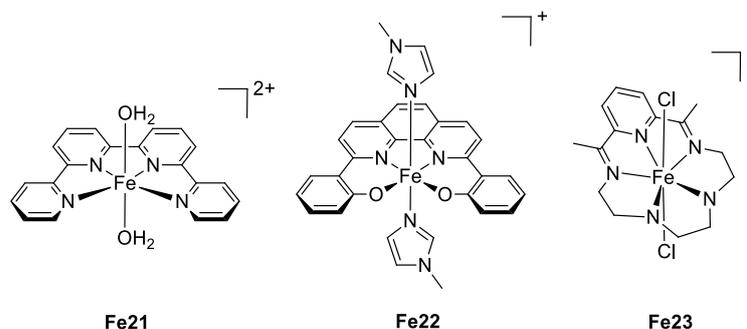


The functionality used in the examples above to aid catalysis is reminiscent of peptide residues surrounding the active sites in many metalloenzymes,^{34,40} and has also been employed in related iron porphyrin CRCs.⁴⁰² An alternative approach to emulating natural systems, with particular relevance to CODH, is to employ a second metal ion. This has been achieved to some extent by a ligand featuring two covalently linked cofacial porphyrin macrocycles.⁴⁰³ **Fe₂32** has an estimated intermetallic distance of 3.4-4.0 Å. CV in DMF under Ar shows at least 3 quasi-reversible features, arising from overlapping reduction of the two Fe^{3+} ions to ultimately give a species assigned as $[\text{Fe}^0\text{Fe}^0]$. CVs under CO_2 show a new wave after reduction to the $[\text{Fe}^{2+}\text{Fe}^{2+}]$ state, possibly due to pre-association of CO_2 . E_{onset} occurs at a potential associated with reduction to the $[\text{Fe}^0\text{Fe}^+]$ state ($\sim -1.25 \text{ V}$ vs NHE in DMF), which is $\sim 100 \text{ mV}$ more positive than for **Fe4**. Catalytic currents increase by adding H_2O , reaching a maximum at 10% water content. Currents are ~ 6 -fold greater than for **Fe4** in the same solvent with equivalent iron concentrations. CPE conducted in $\text{H}_2\text{O}:\text{DMF}$ (1:9) for 10 h using a GC WE at $E_{\text{appl}} = -1.55 \text{ V}$ vs Ag/AgCl gave a $\text{FE}_{\text{CO}} = 88\%$ and a $\text{FE}_{\text{H}_2} = 12\%$. Control experiments without catalyst produce similar amounts of H_2 , suggesting that the selectivity of **Fe₂32** for CO reduction is close to quantitative.

Tafel plots derived from numerical treatment of the above data suggest that **Fe₂32** performs comparably with resorcinol-modified CRC **Fe17**.^{394,403} Similar analysis of derivatives made with various electron-withdrawing or -donating residues on the phenyl rings

indicate that activities exceeding those of **Fe17** are achieved, using purely inductive effects.⁴⁰⁴ Incorporation of functional groups capable of interacting directly with the CO₂ substrate, such as trimethylammonium- or hydroxy-substituents, is thus an attractive target for future studies. Using combinations of different 3d transition metal ions to more effectively mimic the CODH active site would also be a promising research direction in the future.

Other aromatic iron-based CRCs have also been studied. Using a qtpy scaffold gives **Fe21**, which exhibited 100% selectivity for CO in CPE with a GC WE at $E_{\text{appl}} = -1.4$ V vs SCE, but with $\text{FE}_{\text{CO}} = 37\%$ over 2 h in MeCN containing phenol. Only traces of HCO₂H and C₂O₄²⁻ were detected.⁴⁰⁵ It was later shown that irradiation ($\lambda > 420$ nm) during CPE under otherwise equivalent conditions aids in CO release from **Fe21**, avoiding product inhibition and boosting FE_{CO} to 70%.⁴⁰⁶ Using the phen-derivative **Fe22** in CPE over 1 h under various conditions in DMF or DMSO gave low selectivities. Mixtures of CO, HCO₂H, C₂O₄²⁻, and H₂, were obtained, with a limited but discernible bias toward HCO₂H.⁴⁰⁷ Pyridine-imine **Fe23** is also selective for HCO₂H.⁶⁹ Catalytic onset was observed under CO₂ at the Fe²⁺/Fe⁺ couple, with CPE at $E_{\text{appl}} = -1.25$ V vs SCE using a GC WE for 3 h in DMF giving $\text{FE}_{\text{HCO}_2\text{H}} = 75\text{-}80\%$ and no detectable H₂ or CO. DFT suggests that, while initial binding occurs at the C-atom, limited Fe³⁺- π -back bonding into the CO₂ π^* -orbitals is inadequate for C-O cleavage, allowing isomerization to an O-bound state which then forms HCO₂H. Formate production by molecular CRCs generally occurs by CO₂ insertion into a metal-hydride bond, but proton donors such as H₂O or phenol had no effect on the catalytic performance of **Fe23**.⁶⁹

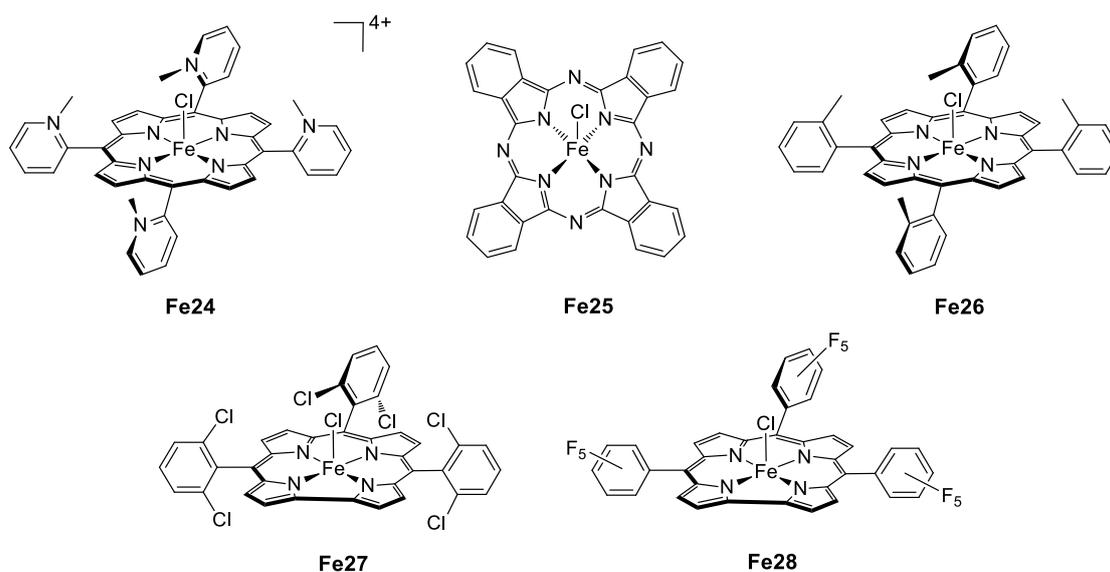


CO₂ reduction to HCO₂H has been shown with iron-carbonyl cluster **Fe₄1**.¹³⁸ Activity was first established in CO₂-saturated MeCN. Selectivity for HCO₂H or H₂ can be tuned by the pK_a of organic acid additives, with stronger acids favoring H₂. The E_{onset} occurs at a potential corresponding to one-electron reduction of the cluster, suggesting that [Fe₄N(CO)₁₂]²⁻ is the active species. This is further supported by IR-SEC and crystallographic findings after selective one-electron chemical reduction of **Fe₄1**, which have identified [Fe₄N(CO)₁₂]²⁻ and the hydride [HFe₄N(CO)₁₂], believed to result from protonation of the dianion.⁴⁰⁸ CPE in aqueous solution gives H₂, but under CO₂ the [Fe₄N(CO)₁₂]²⁻ species produces HCO₂H over

a broad pH range from 5–13, showing a minimum of 85% selectivity with no CO detected over 50 min at $E_{\text{appl}} = -1.20$ V vs SCE using a GC WE. The highest activity was observed at pH 7, giving $\text{FE}_{\text{HCO}_2\text{H}} = 95\%$ and $\text{TON}_{\text{HCO}_2\text{H}} = 88$ over 50 min, with current and selectivity maintained for 24 h.⁴⁰⁸ Substituting one CO for PPh_3 resulted in lower selectivity, but HCO_2H remained the major product.¹³⁹ In contrast, exchanging CO for the proton-shuttling $\text{PPh}_2\text{CH}_2\text{CH}_2\text{OH}$ to give **Fe₄2** entirely suppresses CO_2 reduction in favor of H_2 evolution.¹³⁹

2.3.5.2 Photocatalysis

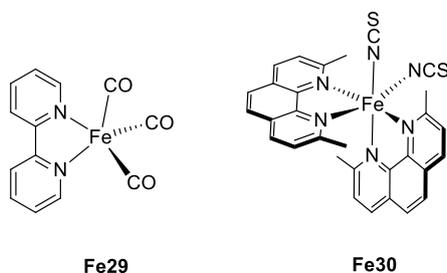
The promising activity of Fe-CRCs has led to numerous studies of their photocatalytic performance. The intense UV-vis absorption features of Fe-porphyrins allow them to act as both light absorbers and catalysts. Related FePc complexes and corroles show similar properties, and display comparable activities for photocatalytic CO_2 reduction.^{409,410} Upon irradiation ($\lambda > 280$ nm), **Fe4** undergoes photoinduced reductions in MeCN with a suitable SED such as TEA, forming the catalytically active Fe^0 species.⁴¹¹ A selectivity for CO of 31% was observed after 10 h in MeCN:TEA (95:5), with $\text{TON}_{\text{CO}} = 17$ and $\text{TON}_{\text{H}_2} = 37$. After 10 h under the same conditions **Fe17** and **Fe18** showed $\text{TON}_{\text{CO}} = 28$ and 23, and $\text{TON}_{\text{H}_2} = 10$ and 15, respectively.⁴¹¹ An earlier study with **Fe4** in DMF:TEA (95:5) gave a $\text{TON}_{\text{CO}} = 40$ over 170 h, but included a correction for degradation of DMF to CO.⁴¹² Water soluble **Fe24** gave similar CO yields in aqueous NaHCO_3 with 5% TEA (pH 8.8).⁴¹² More recent results indicate that 280–300 nm irradiation is required for photocatalytic CO_2 reduction to CO with **Fe4** in MeCN:TEA (5:95) solution.⁴¹¹ Notably, these wavelengths are associated with degradation of the porphyrin through hydrogenation of the aromatic scaffold, indicating that performance may be improved by the use of PSs responsive to lower-energy photons.⁴¹¹



When the *p*-terphenyl dye **PS23** is present, irradiating ($\lambda > 300$ nm) **Fe4** in DMF:TEA (95:5) results in 6-fold greater amounts of CO at a 10-fold faster rate.^{412,413} This sensitization method has also proven effective for related FePc, **Fe25**, which yielded a 20-fold faster rate and 10-fold more CO compared to analogous experiments without **PS23**.⁴⁰⁹ Tetra-methylporphyrin **Fe28** displayed similar activity to corroles **Fe26** and **Fe27**, despite the latter two showing onset already at the Fe²⁺/Fe⁺ couple. All three CRCs reach a TON_{CO} of ~45 with ~40% selectivity for CO over 8 h irradiation ($\lambda > 310$ nm) with **PS23** in MeCN:TEA (95:5).⁴¹⁰

Employing **PS5** in combination with CRC **Fe17** in MeCN:TEA (95:5) gives a TON_{CO} of 140 with only trace H₂ evolved over 55 h irradiation ($\lambda > 420$ nm).⁴¹⁴ Substituting the iridium PS for organic dye **PS24** showed a TON_{CO} of 45 with no detectable H₂ upon irradiation ($\lambda > 400$ nm) in the same media. Decreasing TEA to < 1% under otherwise identical conditions gave a TON_{CO} of 60, without sacrificing selectivity. Very modest respective QY_{CO} values of 1.3×10^{-3} and 8×10^{-4} % were determined for the **PS5** and **PS24** systems, likely due to the six bimolecular reactions needed to generate the active Fe⁰ species, but linear correlation between TON_{CO} and time in both cases suggests that no CRC degradation occurred.⁴¹⁴

Together with organic dye **PS25**, **Fe19** displays TON_{CO} = 60 and TON_{H₂} = 3 after 47 h irradiation ($\lambda > 420$ nm) in NaHCO₃ buffered H₂O:MeCN (9:1) containing TEA (pH 6.7).⁴¹⁵ Addition of fresh PS allowed for continued catalysis, reaching a TON_{CO} of 120 (selectivity of 95%) after 94 h. Conversely to the above examples where visible-light-driven activity required addition of a dye, complex **Fe19** is also active under irradiation ($\lambda > 420$ nm) without an extra PS. In MeCN with TEA, **Fe19** yields a TON_{CO} of 33 after 47 h without detectable H₂. Using 1,3-dimethyl-2-phenyl-2,3-dihydro-1*H*-benzo[*d*]imidazole (BIH) instead of TEA enhances activity, with **Fe19** then reaching a TON_{CO} of 63 with 100% selectivity over 47 h. Adding TFE does not compromise the system stability, suggesting that hydrogenation of the porphyrin through hydride formation can be avoided in this case.⁴¹⁶ Taken together, the above results are encouraging with respect to precious-metal-free aqueous CO₂ reduction with visible light.



Irradiation ($\lambda > 400$ nm) of **PS1** and **Fe29**, in *N*-methyl-2-pyrrolidone:TEOA (5:1) yields a TON_{CO} of 129 and TON_{H₂} of 162 over 5 h (combined QY = 15% at $\lambda = 440$ nm).⁴¹⁷ The

cyclopentadienone unit in **Fe16** may be non-innocent in facilitating CO₂ reduction by this CRC, undergoing reversible reduction to the aromatic cyclopentadienyl anion. Using **PS15** in *N*-methyl-2-pyrrolidone:TEOA (5:1), **Fe16** showed only trace H₂ after 5 h of irradiation ($\lambda > 400$ nm), with a TON_{CO} = 596 and TON_{HCO₂H} ≤ 40 (QY_{CO} = 58% at $\lambda = 440$ nm).⁴¹⁸ Using the copper dye **PS27**, Me₂-phen-ligated CRC **Fe30** gave a TON_{CO} = 273 and TON_{H₂} = 75 after 12 h irradiation ($\lambda = 436$ nm) in MeCN:TEOA (5:1) containing BIH (QY_{CO} = 6.7 % over 2 h).⁴¹⁹

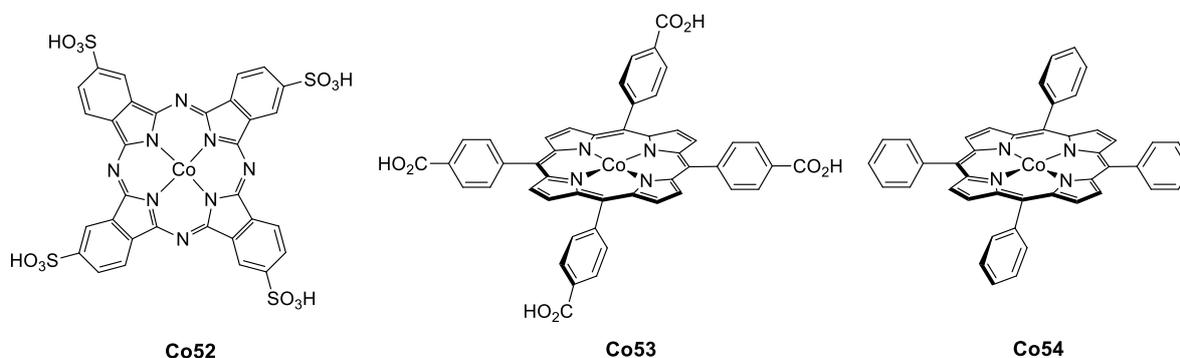
Qtpy CRC **Fe21** exhibited a TON_{CO} = 1879, TON_{HCO₂H} = 48, and TON_{H₂} = 15 using **PS1** and LED excitation ($\lambda = 460$ nm) in MeCN:TEOA (4:1) with BIH. Decreasing **Fe21** concentration from 50 μ M to 5 μ M gave a drop in CO selectivity from 97% to 85%, but TON_{CO} = 3844, TON_{HCO₂H} = 534, and TON_{H₂} = 118. Using organic dye **PS25** instead of **PS1** required a shift to DMF (with BIH) to dissolve the PS. LED irradiation ($\lambda = 460$ nm) with **Fe21** (5 μ M) gave TON_{CO} = 1365 and TON_{HCO₂H} = 115 (no H₂ detected). Similar HCO₂H amounts were also formed in the absence of CO₂ or catalyst, most likely from DMF, suggesting that **Fe21** selectivity for CO production is close to quantitative. The Ru-sensitized conditions led to a QY_{CO} of 8.8% over 12 h, whereas the system using **PS25** gave a QY_{CO} of 1.1% over 24 h (both at $\lambda = 458$ nm).⁴⁰⁵ Respectable photocatalytic activity for CO₂ reduction with homogeneous systems can thus be accomplished under precious-metal-free conditions.

The potential of Fe-CRCs for reducing CO₂ beyond two-electron products has also been shown. As outlined above, irradiation ($\lambda > 420$ nm) of **Fe19** alone in MeCN with TEA reduces CO₂ to CO with 100% selectivity and a TON_{CO} of 33 after 47 h.⁴²⁰ However, using **PS2** with **Fe19** under otherwise identical conditions yields CH₄, with TON_{CO} = 198, TON_{H₂} = 24 and TON_{CH₄} = 31 (selectivity of 12% for CH₄). **Fe17** also gave a selectivity of 14% for CH₄, but with lower overall performance (TON_{CO} = 139, TON_{H₂} = 15 and TON_{CH₄} = 26). CH₄ evolution only begins after CO build-up in these systems, suggesting a multi-step pathway with a common Fe²⁺-CO intermediate. Experiments under CO showed ~3-fold increased activity, with TON_{H₂} = 28 and TON_{CH₄} = 140 after 102 h. Production improved slightly by adding TFE, with TON_{H₂} = 34 and TON_{CH₄} = 159 after 102 h (QY_{CH₄} = 0.18% from the latter data). Using **PS1** instead of **PS2** gave only CO and H₂, suggesting that the high driving force of the latter Ir-dye is required to produce CH₄.⁴²⁰ In agreement, a later study reports similar **Fe19** activity to that under CO₂ above, but with Ir-dye **PS5** in H₂O:MeCN (7:3).⁴²¹ These results hold promise for photocatalytic recycling of CO₂ directly into highly reduced hydrocarbons.

2.3.6 Cobalt

2.3.6.1 Electrocatalysis

Molecular Co-CRCs predominantly contain N4-donor sets, often featuring a redox-active ligand scaffold, with the catalytically active species typically in the Co^+ oxidation state. Early reports studied water-soluble Pc **Co52**, as well as TPPs **Co15** and **Co53**. CV in aqueous solution showed enhanced currents under CO_2 , with evidence of HCO_2H formation, but products were not quantified.^{422,423} An electrostatic binuclear assembly of **Co12** paired with **Co15** gave $E_{\text{onset}} = -1.80 \text{ V}$ vs Ag/AgX (X = not reported) for CO_2 reduction in DMSO:H₂O (9:1), with CO, H₂, and formaldehyde (HCHO) identified after CPE.⁴²⁴ Activity was correlated with cationic **Co15** by CV, and substitution of **Co12** for a copper analogue gave a mixed Co-Cu species yielding even greater currents under CO_2 .⁴²⁴ CV of **Co54** showed enhanced currents in organic solvent under CO_2 , but no CPE was conducted or products identified.⁴²⁵

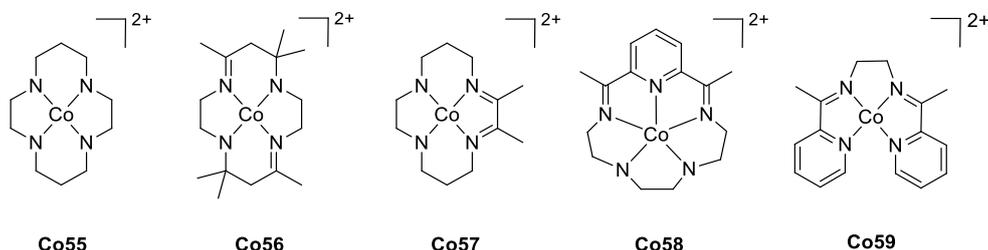


In addition to those already highlighted as HECs (**Co2** and **Co31**), a number of other tetraaza-macrocycles related to cobalt-cyclam (**Co55**), such as **Co56** and **Co57**, have shown promise as water-tolerant CRCs.^{186,255} Early studies reported that CO production was aided by H₂O, and preliminary CPE experiments in aqueous KNO_3 with **Co56** at a Hg pool WE with $E_{\text{appl}} = -1.60 \text{ V}$ vs SCE produced a $\text{FE}_{\text{CO}} = 46.5\%$ and $\text{FE}_{\text{H}_2} = 46.5\%$,¹⁸⁶ or $\text{FE}_{\text{CO}} = 24.4\%$ and $\text{FE}_{\text{H}_2} = 38.0\%$.²⁵⁵ Both studies quantitatively demonstrated catalytic turnover for **Co56**, and this CRC has since been the focus of in-depth mechanistic investigations.

Following reduction of **Co56** to the square-planar Co^+ state, CO_2 binds at an axial site, giving a Co^+-CO_2 adduct that shows thermochromism in solution.⁴²⁶⁻⁴²⁸ This color variation is due to temperature-dependent solvent binding at the remaining axial site, and occurs in both MeCN and H₂O.^{426,428,429} UV-vis, NMR, FT-IR, and X-ray absorption near edge (XANES) spectroscopic studies have revealed that solvent binding triggers ET from the Co^+ ion, forming a $\text{Co}^{3+}-\text{CO}_2^{2-}$ species.⁴²⁹⁻⁴³¹ FT-IR shows that H-bonding between the macrocycle N-H groups and O-atoms of the CO_2 fragment stabilizes both the five- and six-coordinate forms of the adduct.⁴²⁹ No decomposition occurs in MeCN after a day at RT under CO_2 in the

absence of proton sources, indicating that neither CO₂ nor a second Co–CO₂ adduct act as an oxide acceptor to facilitate C–O cleavage.⁴³¹ The Co³⁺–CO₂²⁻ species is also stable with a proton donor such as MeOH present, suggesting further ET is required to initiate catalysis.

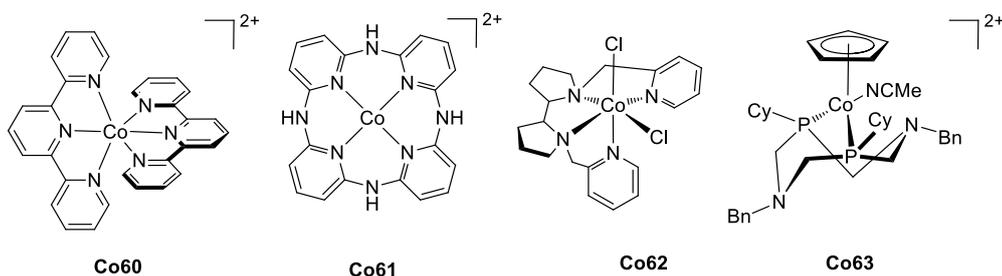
Of the tetraaza-macrocycles, pyridine-containing **Co31** performs well.^{186,255} **Co31** shows good selectivity for CO in CPE (FE_{CO} = 41.5%, FE_{H₂} = 5.3%), compared to **Co56** (FE_{CO} = 56.2%, FE_{H₂} = 25.0%), **Co57** (FE_{CO} = 13.3%, FE_{H₂} = 58.8%), Pc **Co38** (FE_{CO} = 22.9%, FE_{H₂} = 4.3%), and TPP **Co54** (FE_{CO} = 10.5%, FE_{H₂} = 19.5%), all operating at a Hg pool WE with $E_{\text{appl}} = -1.6$ V vs SCE in H₂O:DMF (5:95).²⁵⁵ The activity of **Co31** further improved at $E_{\text{appl}} = -1.3$ V vs SCE (FE_{CO} = 66.4%, FE_{H₂} = 5.3%).²⁵⁵ CV showed catalytic onset after two one-electron reductions of **Co31**, and comparison with the free macrocycle revealed ligand non-innocence, hinting at a Co⁺(L^{•-}) ligand radical active species.⁴³² More recent crystallographic and computational findings support this result.⁴³³ DFT shows that CO₂ binds strongly to the Co⁺(L^{•-}) species, yielding a metallo-carboxylate with a bent geometry (O–C–O = 133–134°). Partial ET from the macrocycle gives spin density over the resulting CO₂^{•-}, Co⁺ ion, and ligand orbitals.⁴³⁴ H-bonding was also identified between the CO₂ fragment and ligand N–H group, positioning the CO₂ such that it interacts with the π -system on the opposite side of the macrocycle. These results highlight the potential of ligand functionality for activating CO₂.



Related CRC **Co58** contains an N5-macrocycle, and shows similar behaviour.⁶⁹ CPE in DMF with a GC WE at $E_{\text{appl}} = -1.5$ V vs SCE gave exclusively CO with a FE_{CO} of 82% over 1 h. Current was sustained for 3 h, but the charge passed began to plateau, revealing that **Co58** is deactivated. CV was similar to **Co31** and showed two one-electron reductions required to reach catalytic onset for **Co58**, with the second occurring at the ligand to give a Co⁺(L^{•-}) species. This is further supported by DFT studies, which also reveal an interaction of the macrocycle N–H groups with an O-atom of CO₂. Back-bonding between the CO₂ π^* and Co²⁺ orbitals is thought to weaken and help cleave the C–O bond, thus avoiding rearrangement to the O-bound state which forms HCO₂H in the case of iron analogue **Fe23** (Section 2.3.5.1).⁶⁹ Bis(iminopyridine) CRC **Co59** also shows catalytic onset when reduced to a Co⁺(L^{•-}) state, with H₂O presence improving performance. CPE in H₂O:MeCN (1:4) with a GC WE at $E_{\text{appl}} = -1.95$ V vs Fc⁺/Fc gave a TON_{CO} ~11 with FE_{CO} = 88% after 1 h.⁴³⁵

Co60 features two tpy units and has been studied in H₂O:DMF (5:95), with a mechanism thought to involve a ligand-based radical.⁴³⁶ This CRC shows two reductions in CV, the latter of which is responsible for the catalytic onset under CO₂, and is assigned to formation of a cobalt(I)-ligand radical. CPE with a GC WE at $E_{\text{appl}} = -1.93$ V vs Fc⁺/Fc gave a FE_{CO} = 20% and FE_{H₂} = 1% (no HCHO, CH₄, CH₃OH or H₂C₂O₄ was detected, and only traces of HCO₂H found from DMF degradation). This limited activity was attributed to side-reactions of the radical species, with NMR analysis showing loss of tpy aromaticity.⁴³⁶ Using qtpy-supported **Co40** in CO₂-saturated MeCN at $E_{\text{appl}} = -1.7$ V vs SCE with a carbon cloth WE gave FE_{CO} = 80% over 1 h (no HCO₂H or H₂C₂O₄ were detected).⁸⁹ Deposition of an electroactive film on GC or Pt WEs was observed, and carbon cloth WEs pre-treated with **Co40** under reducing conditions maintained CO₂ reduction activity in fresh MeCN ($E_{\text{appl}} = -1.7$ V vs SCE, FE_{CO} = 36%) or aqueous solution ($E_{\text{appl}} = -1.3$ V vs SCE, FE_{CO} = 36% and FE_{H₂} = 64%).⁸⁹ More recently, **Co40** in MeCN with 1.0 M phenol and a GC WE at $E_{\text{appl}} = -1.4$ V vs SCE showed almost linear charge passed over 3 h, resulting in FE_{CO} = 94%.⁴⁰⁵ Using 3 M phenol in MeCN gave FE_{CO} = 87% and TON_{CO} = 64 after 8 h with a GC WE at $E_{\text{appl}} = -1.3$ V vs SCE.⁴⁰⁶

Tetra-(amino-pyridyl) macrocycle **Co61** requires a proton-donor such as MeOH present before showing appreciable catalytic currents for CO formation.⁴³⁷ CPE using a GC WE at $E_{\text{appl}} = -2.80$ V vs Fc⁺/Fc in CO₂-saturated DMF with MeOH gives FE_{CO} = 98% over 2 h (only traces of H₂ detected). In contrast to previous examples, **Co61** is thought to undergo two metal-based reductions, making it a rare case where Co⁰ is the proposed active species. Comparison with **Co61** analogues *N*-alkylated at the aliphatic amines indicates that the ring N-H units contribute to activity.⁴³⁷ A later study revealed that these groups facilitate proton transfer from the reaction medium by H-bonding with suitable donors present in solution.⁴³⁸



Featuring a bis(pyridine-pyrrolidine) chelate and two chlorido ligands, **Co62** gives a FE_{CO} of 85% after 4 h CPE with a GC WE at $E_{\text{appl}} = -1.7$ V vs SCE in MeCN (no H₂ detected).⁴³⁹ CV showed two reductive features at -1.61 and -1.80 V vs SCE, with the former displaying limited reversibility, indicating loss of one Cl⁻ ligand. This singly reduced mono-chlorido complex has significant electron density on the pyridine units according to DFT, resulting in unusual side-on CO₂ binding to this formal cobalt(I) state, with partial ET giving a non-linear

adduct (O–C–O = 150°). Protonation and reduction yielded a further bent metallo-carboxylic acid (O–C–O = 119°), the proton of which forms an H-bond with the Cl⁻ ligand. DFT predicts this protonation to be energetically favorable only in the presence of the Cl⁻ ligand,⁴³⁹ illustrating how the environment around the metal site can assist in substrate transformation.

Cobalt half-sandwich CRCs featuring various cyclic diphosphine ligands with pendant amines in the second coordination sphere selectively reduce CO₂ to HCO₂H in the presence of H₂O.⁴⁴⁰ **Co63** performs best, giving FE_{HCO₂H} = 98% with a TON_{HCO₂H} of 23 over 1 h using a Hg pool WE at E_{appl} = -2.25 V vs Fc⁺/Fc in DMF containing H₂O (1.1 M). The amine-free analogue showed much smaller catalytic currents under CO₂. The active state of **Co63** was identified by DFT as a Co²⁺-H, formed by three reductions, with the pendant amines acting as internal proton relays.⁴⁴¹ Proton shuttling likely occurs in a coupled manner with two of the three ET events. Rather than typical CO₂ insertion, the metal-hydride may initiate nucleophilic attack, aided by an H-bonded water bridge between one pendant amine and a substrate O-atom. HCO₂H is then released from the Co²⁺ center, closing the catalytic cycle. This mechanism is supported by kinetic isotope effect studies, and explains the variation in activity of the different N-derivatives used.⁴⁴⁰ These CRCs are an attractive target for immobilization, especially given the success of related nickel-bis(diphosphine) HECs when anchored onto carbon- and metal-oxide-based substrates (see Sections 4.2.1 and 4.2.2).

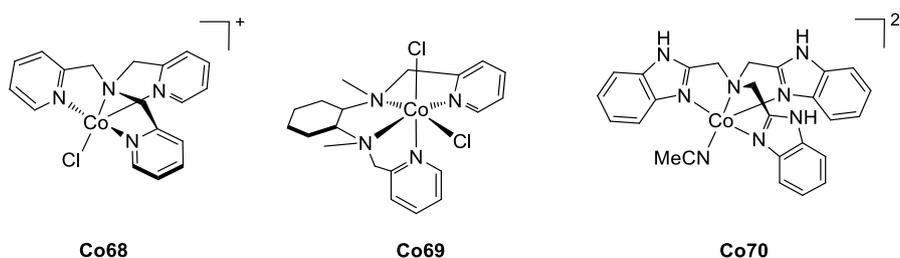
2.3.6.2 Photocatalysis

Early studies reported photocatalytic CO₂ reduction to CO upon irradiation ($\lambda > 400$ nm) of **PS1** and CoCl₂, using SEDs such as TEA and TEOA in 20% aqueous MeCN or DMF.^{187,442,443} Addition of N-heterocycles such as bpy, phen, and their derivatives altered activity, with 2,9-dimethyl-1,10-phenanthroline giving the highest TON_{CO} = 8 and TON_{H₂} = 17 (QY_{CO} of 6.5% at $\lambda = 450$ nm) in DMF:TEOA (2:1).⁴⁴³ Using **PS1** with **Co56**, **Co57**, or **Co31** in aqueous AA solution (pH 4.0) showed low selectivity for CO, with H₂ the major product in all cases.²⁵⁵ Under irradiation from 'daylight lamps' for 18 h, **Co56**, **Co57**, and **Co31** gave respective TON_{CO} values of 22, 10, and ~1, with 22%, 32%, and 0.4% selectivity (derived from CO:H₂ ratios, though some HCO₂H was also detected in CPE).²⁵⁵ Photocatalytic CO₂ reduction was also shown using organic dye **PS23** with **Co56** in MeCN:MeOH:TEA (4:1:1), giving a TON_{CO} = 2.0, TON_{HCO₂H} = 0.3, and TON_{H₂} = 1.5 after 1 h irradiation ($\lambda > 290$ nm).⁴⁴⁴

Unmodified cyclam **Co55** reduces CO₂ when paired with organic PSs.^{444,445} After 1 h irradiation ($\lambda > 290$ nm) of **PS23** in MeCN:MeOH:TEA (4:1:1), **Co55** yielded a TON_{CO} = 4.7, TON_{HCO₂H} = 2.4, and TON_{H₂} = 0.7. Replacing MeOH with H₂O lowers activity, whereas exchanging TEA for TEOA yields TON_{CO} = 10.2, TON_{HCO₂H} = 6.7, and TON_{H₂} = 0.7. (QY_{CO} =

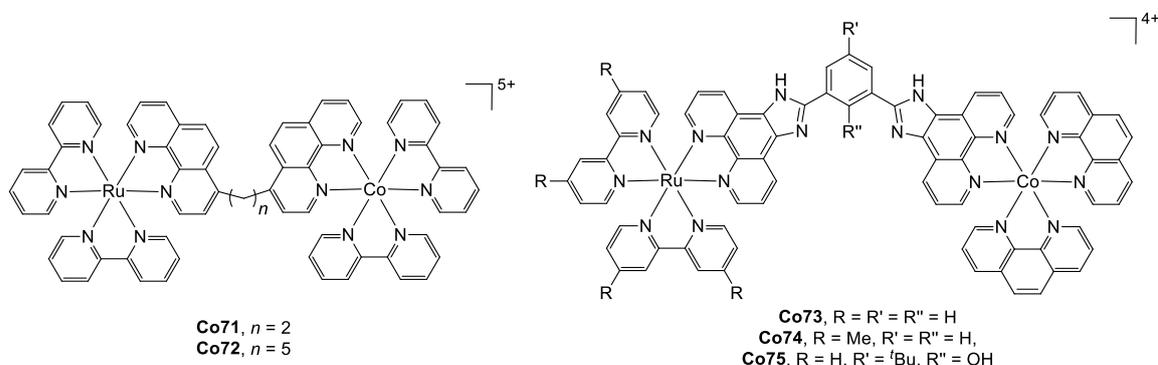
In contrast to electrocatalysis, photocatalysis using **Co31** and **PS1** in aqueous AA solution (pH 4.0) gave low selectivity for CO (TON_{CO} of ~1 with 0.4% selectivity after 18 h 'daylight lamp' irradiation).²⁵⁵ This may result from photoreduced **PS1** having insufficient driving force to generate the Co⁺(L^{•-}) active state.⁴³⁴ IR and DFT studies have identified an adduct between the singly reduced Co^I state and CO₂, with a relatively weak interaction causing only minor CO₂ distortion (O-C-O = 174-176°).^{434,449} In addition, evidence suggests that this adduct can form upon reduction of a **Co31** cobalt(II)-hydrogencarbonate precursor present in wet CO₂-saturated MeCN, and that both species are stabilized by H-bonding between the N-H of the macrocycle and substrate O-atom.⁴⁴⁹ While neither adduct achieves catalytic turnover to produce CO, substrate pre-association may prevent competing hydride formation, again emphasizing how ligand functionality can facilitate catalyst selectivity.^{433,449}

Analogous H-bonding has also been shown for ring-expanded N5-macrocycle **Co58**, which outperforms **Co31** when both are assayed with the more reducing dye **PS2**.⁶⁹ After 22 h LED irradiation ($\lambda = 460$ nm) with **PS2** in MeCN:TEA (4:1), **Co31** gave a TON_{CO} of ~55 (minor H₂), whereas **Co58** displayed a TON_{CO} of 270 with a selectivity of 97%. No alcohols, oxalate, or formate were detected. Almost linear CO formation for the first 8 h, Hg⁰ addition, and DLS experiments all suggest **Co58** is the active CRC. Under identical conditions TPA-supported **Co68** gave a TON_{CO} of ~160 over 22 h (negligible H₂).⁶⁹ A TON_{CO} of 953 resulted after 70 h (~600 after 22 h) with 85% selectivity at a larger **PS2:Co68** ratio.⁴⁵⁰ In contrast, using the more photo-stable iridium dye **PS28** with **Co68** under almost identical conditions achieved a TON_{CO} > 2400 with 95% selectivity over 72 h.⁴⁵¹



Electrochemical and theoretical studies of **Co68** indicate that the cobalt(I) mono-chlorido species can interact with CO₂.^{439,450} DFT showed a relatively minor association with CO₂ (O-C-O = 178°), but protonation of this adduct may trigger ET, causing significant distortion (O-C-O = 121°).⁴³⁹ The resulting metallo-carboxylic acid proton forms an intramolecular H-bond with the proximal chlorido ligand, and a later study showed that the energetics of protonation can be influenced by the identity of the neighbouring anion.⁴⁵² This effect was found to impact on photocatalytic activity for both **Co68** and related bis(amino-pyridine) CRC **Co69**.^{452,453} **Co68** activity with H₂O present has been directly compared with **Co70**, which features a tris(benzimidazolyl-2-methyl)-amine ligand. In contrast to **Co68**, **Co70** was

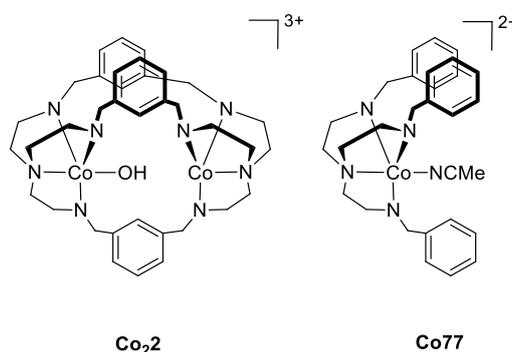
studied as a halide-free perchlorate salt. In H₂O:MeCN (1:4) with TEOA and **PS29** under LED irradiation ($\lambda = 450$ nm), **Co70** gave a TON_{CO} of 1179 over 10 h, with only trace HCO₂H and H₂. While both CRCs display a selectivity of 97%, **Co68** yielded a TON_{CO} of only 686.⁴⁵⁴ Respective QY_{CO} values of 0.22% and 0.13% offer some insight into the differing activities, but the influence of coordinated anionic co-ligand was not further explored.



Several CRCs have been studied which build on the early results shown by combining CoCl₂ with bpy- and phen-type ligands. Tris(bpy) complex **Co39** gives a TON_{CO} of 6.2 with a selectivity of 28% over 213 h using **PS1** in DMF:TEOA (4:1) with Xe lamp irradiation.⁴⁵⁵ Dyad systems employing a bis(phen) tether to link a ruthenium PS-fragment to a cobalt catalytic center afford bimetallic **Co71** and **Co72**. While selectivity increased after 29 h irradiation ($\lambda = 400$ -750 nm) in DMF:H₂O:TEOA (3:1:1), neither **Co71** (TON_{CO} = 3, TON_{H₂} = 1, TON_{HCO₂H} = 31) nor **Co72** (TON_{CO} = 5, TON_{H₂} = 1, TON_{HCO₂H} = 34) exceeded the activity of **PS1** and **Co39** under the same conditions (TON_{CO} = 9, TON_{H₂} = 16, TON_{HCO₂H} = 28).⁴⁵⁶ HCO₂H formed was attributed to adventitious [Ru(bpy)₂]²⁺ that results from photodecomposition of the PS moiety. A more recent study adopts a similar approach, although uses aromatic linkers between the phen units, with various polypyridyl ligands completing the PS and CRC coordination spheres.⁴⁵⁷ Observation of a lag phase before production of HCO₂H led to a similar conclusion regarding PS-unit decomposition, while also revealing enhanced stability of the dyads over the corresponding multi-component system containing **PS1** and [Co(phen)₃]²⁺. The latter pair thus showed relatively rapid deactivation under irradiation ($\lambda = 415$ nm) in MeCN:TEOA (5:1) containing BIH, with activity plateauing in 4-5 h to give TON_{CO} = 30, TON_{H₂} = 35 and TON_{HCO₂H} = 8, whereas after 8 h **Co73**, **Co74**, and **Co75** reached respective values of TON_{CO} = 51, TON_{H₂} = 13, and TON_{HCO₂H} = 4-6, TON_{CO} = 54, TON_{H₂} = 8, and TON_{HCO₂H} = 4-6, and TON_{CO} = 46, TON_{H₂} = 23, and TON_{HCO₂H} = 3.⁴⁵⁷

Qtpy complex **Co40** and **PS1** in MeCN:TEOA (4:1) with BIH gave a TON_{CO} = 497, TON_{H₂} = 3 and TON_{HCO₂H} = 5 over 80 min under LED irradiation ($\lambda = 460$ nm).⁴⁰⁵ Decreasing the

concentration of **Co40** from 50 μM to 5 μM retains 98% selectivity for CO, but with improved activity per catalytic site ($\text{TON}_{\text{CO}} = 2660$, $\text{TON}_{\text{H}_2} = 23$, $\text{TON}_{\text{HCO}_2\text{H}} = 35$). Hg^0 addition and DLS experiments suggest the active CRC is **Co40**. Exchanging **PS1** for **PS25** in DMF with BIH and 5 μM **Co40** yielded $\text{TON}_{\text{CO}} = 790$, $\text{TON}_{\text{H}_2} = 11$, and $\text{TON}_{\text{HCO}_2\text{H}} = 78$. Adjusting for HCO_2H formation in the absence of CO_2 or CRC, likely arising from DMF degradation, **Co40** selectivity for CO production is close to quantitative. $\text{QY}_{\text{CO}} = 2.8\%$ was determined for the Ru-sensitized **PS1** system over 12 h at $\lambda = 458$ nm, whereas the **PS25** system gave $\text{QY}_{\text{CO}} = 0.8\%$ over 24 h.⁴⁰⁵ Despite decreased activity using **PS25**, these findings demonstrate that a considerable TON_{CO} can be achieved under precious-metal-free conditions.



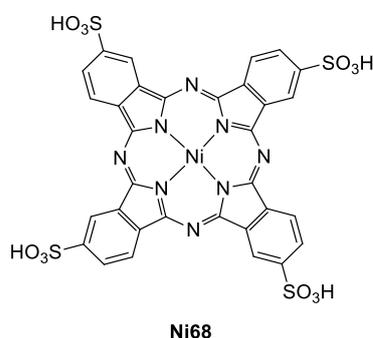
CRC **Co₂₂** features a cryptand-type ligand with two tripodal tris(2-aminoethyl)amine coordination sites linked by *m*-xylyl spacers.⁴⁵⁸ Using **PS29** with LED irradiation ($\lambda = 450$ nm) in MeCN:H₂O (4:1), **Co₂₂** reaches a Co-based (i.e. per metal ion) TON_{CO} of 8448 over 10 h with 98% selectivity. Addition of fresh PS after 10 h recovered activity, and DLS experiments excluded nanoparticle formation. A QY_{CO} of only 0.04% was determined ($\lambda = 450$ nm), possibly due to the PS concentration being 10,000-fold greater than that of **Co₂₂**. The mononuclear analogue, **Co76**, gave a TON_{CO} of 1600 over 10 h, with a selectivity of 85% and a QY_{CO} of 0.007% under equivalent conditions (double CRC concentration). These results suggest that the cobalt sites in **Co₂₂** act cooperatively, a proposal supported by the crystal structure of a **Co₂₂** carbonate adduct which shows CO_3^{2-} bridging between the two metals. Further computational results identify a transition state in which CO_2 occupies the cavity in **Co₂₂** and interacts with both metal centers.⁴⁵⁸ This example shows the potential for two metal sites to work together in a manner reminiscent of CO_2 -activation in CODH, thereby producing significant improvements in performance over their monometallic counterparts.

2.3.7 Nickel

2.3.7.1 Electrocatalysis

Early electrocatalytic CO_2 reduction with nickel complexes was largely investigated in parallel with those of cobalt, and also commonly featured macrocyclic N4-donor ligands.

Water-soluble Pc **Ni68** showed enhanced currents in aqueous solution under CO₂ (products were not analyzed).⁴²² Subsequently, 14-membered macrocycles related to cyclam were studied in H₂O:MeCN (2:1), with **Ni2** and **Ni10** giving a FE_{CO} = 65.3% (FE_{H₂} = 32.7%) and FE_{CO} = 44.0% (no H₂ detected), respectively.¹⁸⁶ The parent cyclam **Ni1** showed high efficiency and selectivity for CO production in purely aqueous solutions,⁴⁵⁹ yielding a TON_{CO} of 8000 (no H₂ detected) after CPE at $E_{\text{appl}} = -1.00$ V vs NHE for 8 h with a Hg pool WE in KClO₄ solution (pH 4.1).⁸⁸ This performance is a benchmark for CRCs, and **Ni1** has been the focus of substantial literature attention.^{59,460,461} A number of factors have been identified that influence the activity of **Ni1**, with the nature of the WE, ligand-substrate H-bonding, and molecular catalyst conformation all being of considerable relevance, as detailed below.



Besides setting an encouraging precedent, seminal studies of **Ni1** also revealed that reduction to the Ni⁺-state causes adsorption at Hg WE surfaces, and the bound CRC is responsible for catalytic activity. Formation of a Ni⁺-CO species under catalytic conditions was shown by UV-vis and EPR spectroscopy, and loss of CO from this adduct recognized as vital for avoiding product inhibition.⁸⁸ **Ni1** also showed some selectivity and efficiency when using a GC WE; in aqueous KCl it yielded a TON_{CO} = 4 after 3 h of CPE at $E_{\text{appl}} = -1.20$ V vs NHE, whereas 1 h of CPE at $E_{\text{appl}} = -1.30$ V vs NHE gave a FE_{CO} = 90% (no H₂ detected).⁴⁶² In contrast, using a Hg pool WE at $E_{\text{appl}} = -1.40$ V vs SCE in wet DMF (~0.1 M H₂O) under CO₂ generated mainly HCO₂H, with a FE_{HCO₂H} = 75% and TON_{HCO₂H} = 3.2.⁷⁰ Consistent with the lack of H₂ produced, the HCO₂H may form via an O-bound species rather than by CO₂ insertion into a nickel-hydride bond.⁷⁰ This mechanism aligns with experimental results that reveal the Ni⁺ active species has a greater affinity for CO₂ over protons, even in pure H₂O at acidic pH values > 2.⁴⁶³ However, a more recent DFT study based on an MeCN:H₂O (4:1) solvent-model predicts that a Ni-OCO adduct is much less stable than a Ni-CO₂ species.⁷¹ While these results provide limited insight with respect to the production of HCO₂H in DMF, they help to rationalize the excellent selectivity of **Ni1** for CO production in aqueous media.

Computational studies further support the proposed Ni⁺ active state of **Ni1**, and indicate that CO₂ binding alone is not associated with significant ET.^{71,461} A second reduction step is

thought to occur, coupled with proton donation from H_3O^+ or H_2CO_3 , with a low barrier for further protonation of the $\text{Ni}^{2+}\text{-CO}_2\text{H}$ intermediate implying that C–O cleavage may proceed together with PCET in an all-concerted mechanism (Figure 12).⁷¹ While this aspect of CO_2 activation is similar to the iron porphyrins discussed earlier, C–O cleavage does not represent the rate-limiting process in the **Ni1** system, as shown by experiments in $\text{MeCN}:\text{H}_2\text{O}$ (4:1). Using a GC WE a 10-fold increase in the catalytic current under CO_2 was achieved by adding a CO scavenger, indicating that CO inhibits catalysis.⁴⁶⁴ Formation of a $\text{Ni}^+\text{-CO}$ state prevents further CO_2 binding and may lead to deactivation through reduction to a $\text{Ni}^0\text{-CO}$ species, followed by demetalation. As such CO dissociation from the $\text{Ni}^+\text{-CO}$ adduct is rate-determining under homogeneous aqueous conditions.⁴⁶⁴

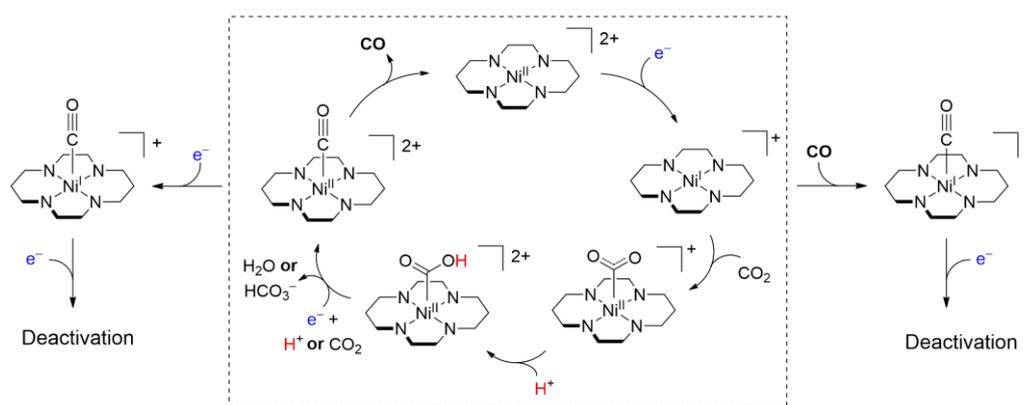


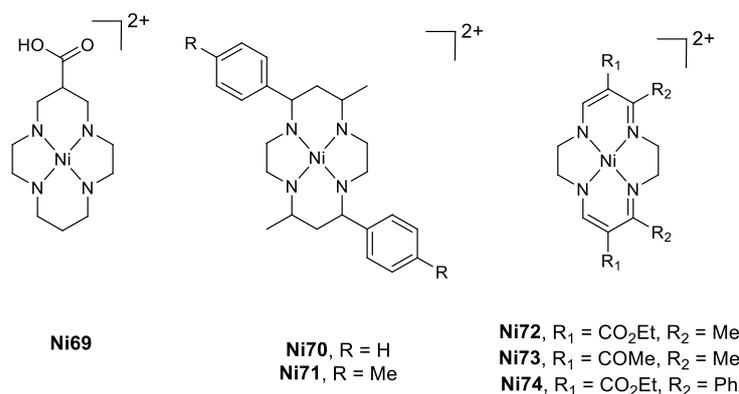
Figure 12. CO_2 reduction to CO mediated by **Ni1**. Hg electrodes are thought to destabilize the product-inhibited $\text{Ni}^+\text{-CO}$ species, avoiding CRC deactivation.⁹⁰ N-bound H atoms omitted for clarity.

Several studies have further explored the adsorption process and observed enhanced affinity of the Ni^+ state for the Hg WE surface.^{465,466} Improved activity of bound **Ni1** has also been addressed, and been suggested to result from a range of effects, such as electronic interaction of the Ni-center with the Hg WE surface.^{465,467,468} An alternative explanation arises from evidence indicating that adsorption and conformational state of **Ni1** may be linked.^{465,469} In aqueous solution at RT **Ni1** exists as a 15:85 mix of *trans*-I and *trans*-III isomers, where the former has all four N-H groups oriented toward the same axial coordination site, and the latter adopts a chair-like conformation with two N-H groups pointing in each direction.^{90,470} As these conformers and their adducts vary in relative stability, each exhibits a different affinity for CO_2 and CO.^{71,461,462,464} For example, conformation will determine the extent of CRC H-bonding with the O-atoms of CO_2 , and thus the *trans*-I isomer will form the most stable CO_2 adduct.^{71,461,462} While enhanced CO_2 binding can promote selectivity and lower transition state energy, strong association of CO is undesirable, as product-inhibited $\text{Ni}^+\text{-CO}$ leads to deactivation upon reduction to a $\text{Ni}^0\text{-CO}$ species.^{90,464,471} DFT indicates that the *trans*-III isomer adsorbs most strongly on Hg surfaces, and forms a higher energy $\text{Ni}^+\text{-CO}$ adduct which is further destabilized by interaction with the Hg^0 .^{90,464} This combination of electronic

effects and conformational selectivity speeds up the rate-determining step and avoids CRC deactivation, highlighting the importance of both product clearance and surface interaction.

Many derivatives of **Ni1** have been studied for electrocatalytic CO₂ reduction.^{59,462,469,472} Increasing alkylation at the N-atoms results in diminishing performance, with loss of H-bond donors and a positive shift in the Ni²⁺/Ni⁺ couple both lowering reactivity toward CO₂.^{462,469} The two C-alkylated CRCs **Ni3** and **Ni4** show greater activity than the parent **Ni1**. CPE with **Ni1**, **Ni3**, or **Ni4** in aqueous NaClO₄ (pH 5.0) for 1 h with a Hg pool WE at $E_{\text{appl}} = -0.96$ V vs NHE gave 6-fold and 4-fold greater charge passed for **Ni3** and **Ni4**, respectively, with an increase in FE_{CO} from 84% for **Ni1** to 88% in the derivatives (only trace H₂ detected).⁵⁹ These improvements were attributed to enhanced Ni-Hg and catalyst-CO₂ interactions, the latter including H-bond stabilization and nickel electron donation in the corresponding CO₂ adducts. Interestingly, the Ni⁺ species of both **Ni3** (8.7 M⁻¹) and **Ni4** (13 M⁻¹) show smaller CO₂ association constants when compared to **Ni1** (16 M⁻¹), though whether these values also reflect CO-binding affinity has not been determined. It was further shown that selectivity can be tuned for H₂ by lowering the pH value to 2, though this was more pronounced for **Ni1** than for **Ni3** and **Ni4**, with respective CO:H₂ ratios of 0.4, 0.8, and 0.6.⁵⁹

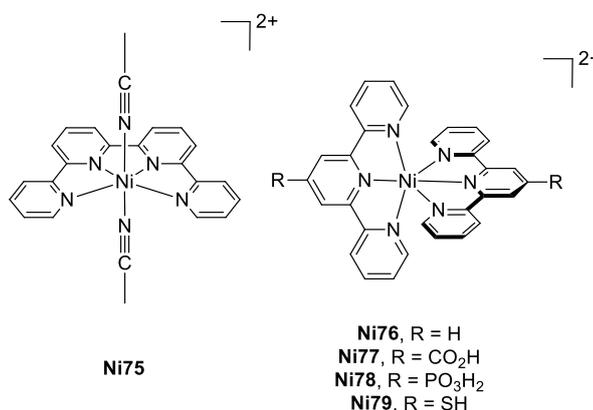
Improved selectivity under acidic conditions (pH 2.0) is also shown by a carboxylic acid functionalized cyclam, **Ni69**.⁴⁶⁰ CPE in aqueous NaClO₄ with a Hg pool WE at $E_{\text{appl}} = -0.99$ V vs NHE achieved a CO:H₂ ratio of 0.8 with a total FE of 81% over 1 h (TON_{CO} = 591). Under the same conditions, **Ni1** gives a total FE of 86%, but a CO:H₂ ratio of 0.17 (TON_{CO} = 45). Similar adsorption to the Hg WE surface occurred with both CRCs, but greater catalytic currents for **Ni69** were correlated with protonation at the carboxylate. The improved activity was thus tentatively assigned to the proton shuttling ability of the acidic residue in **Ni69**.⁴⁶⁰



C-substituted cyclams with aryl groups of differing electronic properties have also been explored.⁴⁷³ CPE with **Ni70** in MeCN:H₂O (4:1) using a GC WE at $E_{\text{appl}} = -1.7$ V vs Fc⁺/Fc gave a TON_{CO} of 4.5 with FE_{CO} = 80% and FE_{H₂} = 7% after 0.5 h. This performance considerably exceeds that of **Ni1** using the same experimental conditions.^{462,473} Surprisingly,

methylation of the phenyl groups in **Ni70** to give **Ni71** had a profound effect on catalytic performance. After 0.5 h CPE under the same conditions, **Ni71** passed ~one-tenth of the charge, and reached a TON_{CO} of only 0.25 ($\text{FE}_{\text{CO}} = 29\%$, $\text{FE}_{\text{H}_2} = 44\%$). Single crystal X-ray analysis revealed that **Ni70** adopts a *trans*-III conformation, axially coordinating two solvent molecules to give an octahedral species which persists in MeCN:H₂O (4:1). Conversely, **Ni71** exists as a boat-like *trans*-I species in the solid state, with UV-vis spectra confirming that it retains square-planar geometry. While these configurations may change upon reduction of **Ni70** and **Ni71** to their Ni⁺ active species, this example shows how minor changes in ligand structure can have a dramatic effect on catalytic activity.⁴⁷³

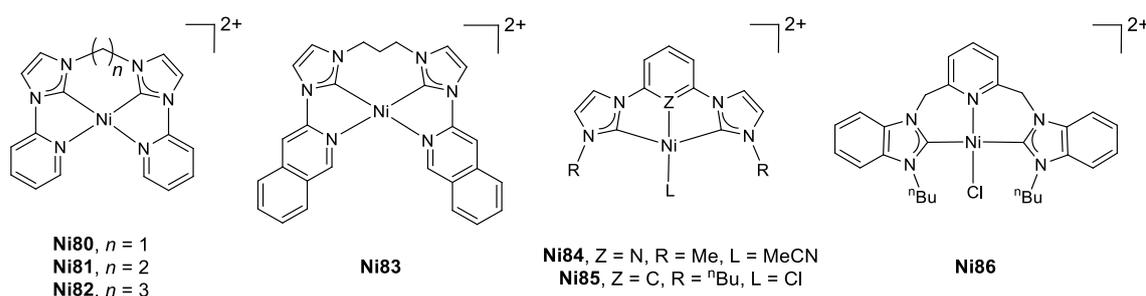
A handful of cyclam derivatives that reduce CO₂ to products other than CO have been identified, but this generally occurs only in non-aqueous solvents, as with **Ni1**.⁷⁰ Linking two nickel-cyclam units provided dimeric **Ni₂1**, which was explored with the hope of reducing CO₂ to C–C coupled products.⁷⁰ Using a Hg pool WE, **Ni₂1** showed significantly decreased formation of CO compared to the aqueous performance of **Ni1**, though **Ni₂1** displayed much greater H₂ evolution. HCO₂H became the major product when measurements were carried out in wet DMF (~0.1 M H₂O).⁷⁰ CPE at $E_{\text{appl}} = -1.4$ V vs SCE with **Ni1** or **Ni₂1** showed better HCO₂H selectivity of 81% for the latter, but slightly worse $\text{FE}_{\text{HCO}_2\text{H}}$ of 68% after 5 h (no oxalate was detected with either CRC).⁷⁰ More promising results were obtained in a separate study with the unsaturated tetraaza-macrocycles, **Ni72**, **Ni73**, and **Ni74**.⁴⁷⁴ CPE at a Hg pool WE at $E_{\text{appl}} \approx -2.15$ V vs Fc⁺/Fc in anhydrous MeCN showed CO₂ coupling to oxalate in all cases, with **Ni72** achieving the highest TON of 750 for all products combined with a $\text{FE}_{\text{C}_2\text{O}_4\text{H}_2}$ of 90%. CRCs lacking RCO₂Et or RCO₂Me substituents displayed lower activity due to instability, resulting from side reactions with CO₂ when this position was unfunctionalized.⁴⁷⁴



Polypyridine Ni-complexes function as CRCs. CV of qtpy complex **Ni75** showed an $E_{\text{onset}} = -1.6$ V vs SCE under CO₂ in MeCN, but CPE using a GC WE at $E_{\text{appl}} = -1.7$ V vs SCE gave small currents and only trace CO.⁸⁹ Ni tpy complexes display better activity.^{57,436}

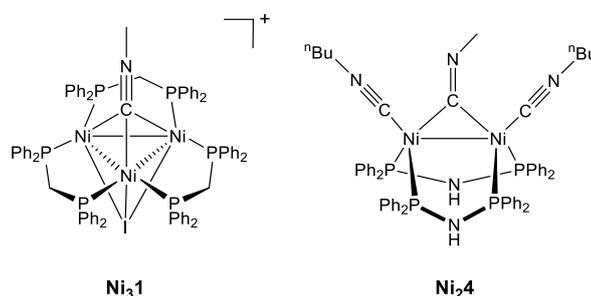
CV of **Ni76** in H₂O:DMF (5:95) revealed two redox features, both being ligand-centered based on comparison with the analogous Zn-complex.⁴³⁶ CPE with a Hg pool WE at $E_{\text{appl}} = -1.72$ V to -2.14 V vs Fc⁺/Fc showed 100% selectivity for CO over 3 h. Although no other CO₂ reduction products or H₂ were detected, FE_{CO} did not exceed 18%. Evidence that this low FE results from loss of ligand aromaticity was obtained from NMR experiments.⁴³⁶ An additional study assessed the activity of **Ni76** along with related 4'-functionalized derivatives, **Ni77-Ni79**. CPE of **Ni76** in MeCN:H₂O (3:1) at $E_{\text{appl}} = -1.83$ V vs Fc⁺/Fc using a GC WE gave a selectivity of 98% for CO over 1 h, but this declined to 24% after 12 h, with a final TON_{CO} of 6.5. UV-vis- and FT-IR-SEC results indicate that **Ni76** reacts with CO₂ following one-electron reduction, and vibrational bands corresponding to both Ni-CO₂ and Ni-CO species could be observed.⁵⁷ Dissociation of one tpy unit is thought to free a coordination site, in agreement with predictions made by the prior study outlined above.^{57,436}

Electrochemical CO₂ reduction has also been demonstrated with Ni-carbenes.^{182,475-477} Bis(pyridine-imidazolylidene-NHC) CRCs **Ni80**, **Ni81**, and **Ni82** all showed activity under CO₂ at the second of two metal-centered one-electron reduction events.⁴⁷⁷ **Ni82** showed the most positive $E_{\text{onset}} = -1.40$ V vs SCE, and CPE using a GC WE at $E_{\text{appl}} = -1.50$ V vs SCE in 'wet' MeCN revealed a TON_{CO} of ~12 over 2 h (negligible H₂ detected, FE not reported). The longer linker in **Ni82** was proposed to impart greater flexibility, allowing easier ligand rearrangement upon reduction.⁴⁷⁷ Building further upon this scaffold, it was found that E_{onset} can be shifted even more positively to -1.20 V vs SCE by substituting the pyridines in **Ni82** for isoquinoline groups, giving **Ni83**.¹⁸² CPE in water-free MeCN with a GC WE at $E_{\text{appl}} = -1.80$ V vs SCE shows a FE_{CO} of 90% for the first 0.5 h, dropping to 22% over 8 h to give a TON_{CO} = 35. The loss in FE_{CO} was ascribed to re-oxidation of CO at the counter electrode.¹⁸²



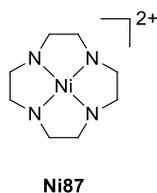
Several tridentate NHC-ligands have also been studied. **Ni84** displayed three irreversible reduction waves, with the first two assigned as nickel-centered, and the third as ligand-based by comparison with the free NHC.⁴⁷⁶ Under CO₂ in MeCN, catalysis occurs slightly before the third reductive feature, with greater currents upon H₂O addition (up to 0.8 mM). CPE with a GC WE at $E_{\text{appl}} = -1.77$ V vs Fc⁺/Fc in MeCN with H₂O (0.4 mM) produced CO with no detectable H₂ over 2 h (no FE or TON reported).⁴⁷⁶ Exchange of the pyridine in **Ni84**

for a more donating aryl group in **Ni85** gave negative shifts of all redox features.⁴⁷⁵ In MeCN, **Ni85** undergoes an irreversible reduction at -2.4 V vs Fc^+/Fc , displaying E_{onset} for CO_2 reduction at ~ -2.2 V vs Fc^+/Fc . H_2O addition shifts E_{onset} to -2.1 V vs Fc^+/Fc , but a catalytic wave occurs in the presence and absence of CO_2 . Nevertheless, CPE with a GC WE at $E_{\text{appl}} = -2.30$ V vs Fc^+/Fc under CO_2 in MeCN with H_2O (2.0 M) gave a $\text{FE}_{\text{CO}} = 34\%$ and $\text{FE}_{\text{HCO}_2\text{H}} = 47\%$ (TON of ~ 1 for each) with no H_2 being detected over 2 h. While no improvement was observed for CO, $\text{TON}_{\text{HCO}_2\text{H}}$ increased to 5 after 9 h of CPE, but at the cost of a lower overall FE of 16%.⁴⁷⁵ **Ni86** features two benzimidazole-derived NHC units linked by a pyridine spacer, but CPE in DMF with TFA shows a maximum $\text{FE}_{\text{CO}} = 4\%$, with a $\text{FE}_{\text{H}_2} = 91\%$.⁴⁷⁸

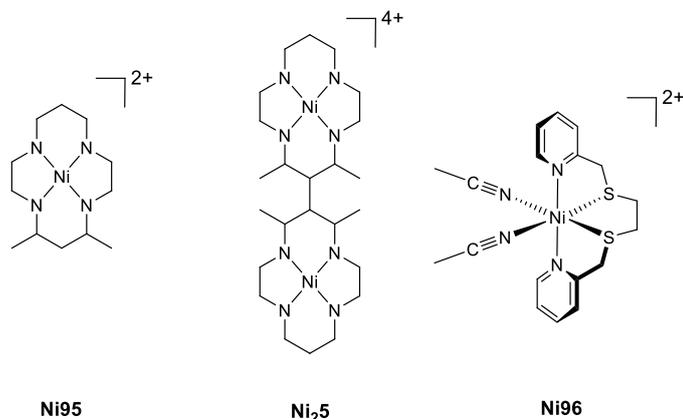


Multinuclear **Ni₃1** and **Ni₂4** mediate electrocatalytic CO_2 reduction.^{479,480} **Ni₃1** features three bis(diphenylphosphino)-methane chelates in a planar arrangement with opposing methyl isocyanide and iodide groups capping the triangular core. It displays a reversible one-electron reduction in MeCN, giving a neutral radical.⁴⁷⁹ The stretching mode of the isocyanide triple bond shows a 270 cm^{-1} shift to lower frequency upon reduction, indicative of non-innocent behavior. Under CO_2 , **Ni₃1** mediates reductive CO_2 cleavage to give CO and CO_3^{2-} , reaching a TON_{CO} of 7 after 3 h CPE at $E_{\text{appl}} = -1.09$ V vs Ag/AgCl with a Pt WE, with product origin confirmed by $^{13}\text{CO}_2$ labelling. Water vapor presence gives HCO_2H as the dominant product. The neutral radical species is thought to activate CO_2 and give a cluster-bound CO_2^- intermediate. This can react with a proton or second CO_2 to respectively give either HCO_2H , or CO and CO_3^{2-} , after further single ET from a second reduced **Ni₃1**.⁴⁷⁹ In-depth IR-SEC results with related cluster **Ni₂4** support such a mechanism, and EPR and computational findings confirm the involvement of a ligand-based reduction.⁴⁸⁰

2.3.7.2 Photocatalysis



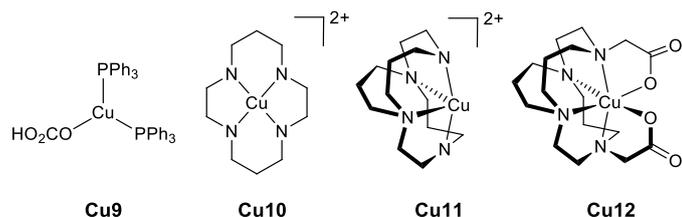
exceeded **Ni1**. **Ni25** yielded at least 6-fold more CO than **Ni95** to give a TON_{CO} of 2.0 per nickel center, and a selectivity of 94% over H_2 evolution.⁴⁸⁶



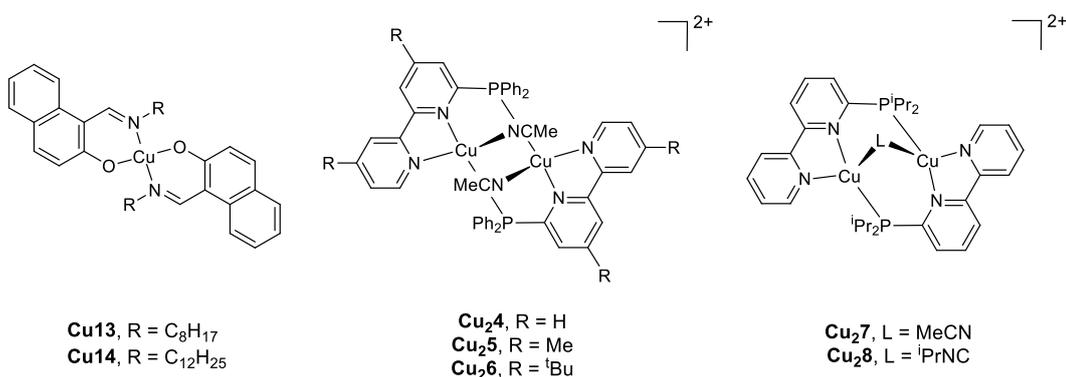
Under simulated solar irradiation (AM 1.5 filter) of **PS2** in MeCN with TEA, **Ni83** gave a $\text{TON}_{\text{CO}} = 1500$ during 7 h using 200 nM solutions, which further increases to 98,000 upon lowering CRC concentration to 2 nM.¹⁸² No H_2 was detected, but traces of CH_4 and C_2H_4 were observed. CO production plateaued after 13 h, but fresh PS resulted in reactivation, indicating good CRC stability. Assuming average irradiation of $\lambda = 500$ nm, a QY_{CO} of 0.01% resulted. Significantly higher efficiencies were exhibited by a system with **Ni96**, which is chelated by a di-thioether featuring two terminal pyridine groups.⁴⁸⁷ Using **PS1** in H_2O :dimethylamide (9:1) with BIH, **Ni96** gave a $\text{TON}_{\text{CO}} = 712$ over 55 h LED irradiation ($\lambda = 450$ nm), forming traces of HCO_2H and negligible H_2 . Isotopic labelling with $^{13}\text{CO}_2$ confirmed the origin of the CO, and a QY_{CO} of 1.4% at $\lambda = 450$ nm was determined.⁴⁸⁷ Despite differences in TON, taking into account the different reaction scales (40 mL for **Ni83** vs 4 mL for **Ni96**) the reported values reflect a ~100-fold greater absolute CO amount (3 μmol for **Ni83** vs 30 μmol for **Ni96**) generated by the latter system over the same ~7 h timeframe. The two examples described above represent significant advances in light-driven CO_2 reduction mediated by homogeneous nickel complexes, though both rely still on precious-metal dyes.

2.3.8 Copper

2.3.8.1 Electrocatalysis



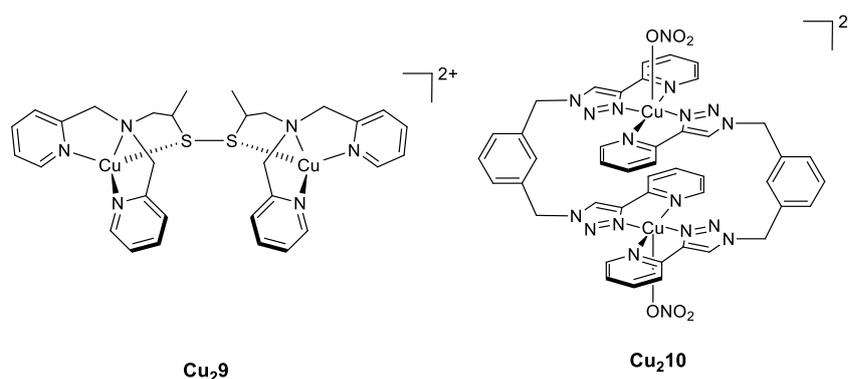
An early example of Cu-based CO₂ reduction used a carbonate adduct with two PPh₃ units, **Cu9**.⁴⁸⁸ CV in MeCN gave current increases under CO₂, whereas no enhancement was observed in the absence of complex or under N₂. CPE at $E_{\text{appl}} = -2.0$ V vs SCE using a Pt WE with a 1:5 ratio of CuCl₂ to PPh₃ in MeCN gave a total FE of 73%, producing HCO₂H, C₂O₄H₂, and CO. Further study would be required to ensure the complex is the active catalyst, as metallic copper deposition was observed, which can lead to formation of catalytically active films (Section 2.2.6). Copper cyclam and derivatives have also been investigated as CRCs. Parent complex **Cu10** shows catalytic currents under both N₂ and CO₂ using a GC WE in aqueous solution (pH 8.45). While greater enhancements and a more positive E_{onset} are observed under CO₂, no product analysis was reported.⁴⁸⁹ A substantial stripping peak is also observed following scan reversal in the anodic direction in both aqueous and organic media, suggesting the deposition of metallic copper.⁴⁸⁹⁻⁴⁹¹ This may result from the inability of the square-planar cyclam to accommodate low copper oxidation states.⁴⁸⁹⁻⁴⁹¹ **Cu11** and **Cu12** were designed to provide a more suitable geometry for the reduced metal ion. Although CV showed that this strategy largely suppresses demetalation, catalytic activity was substantially diminished.⁴⁸⁹



Cu13 and **Cu14** are chelated by naphthalene units that feature a hydroxy- and imino-group in an *ortho*-arrangement.⁴⁹² CV scans showed current enhancements under CO₂ using a GC WE in DMF, but no product characterization was reported.⁴⁹² A system studied in more detail uses phosphine substituted bipy ligands, giving **Cu24**.⁴⁹³ Electrochemistry in MeCN showed two one-electron reductions, with catalytic onset at the second reduction under a CO₂ atmosphere. While both events are tentatively assigned as ligand-based, solvent dissociation from the metal center is thought to be required before catalysis commences. CPE at $E_{\text{appl}} = -1.7$ V vs Ag/AgCl with a Pt foil WE gave CO as the sole gaseous product, with a TON_{CO} > 48 over 24 h. IR-SEC results show formation of a copper-carbonate adduct, further supported by isotopic labelling.⁴⁹³ CVs of the three derivatives, **Cu25**, **Cu26**, and **Cu27**, in MeCN with a GC WE show similar currents at $E_{\text{onset}} \sim 100$ mV more negative than **Cu24**.⁴⁹⁴ **Cu28** displayed no activity, owing to strong coordination of the

isonitrile in this species, leading to a lack of CO₂ binding sites upon reduction.⁴⁹⁴ These examples highlight the potential of redox non-innocent ligand functionality, and the synergy which can result when proximal metal sites are present.

Cu₂9 possesses two tripodal TPA-like moieties, with an alkyl-disulfide linker replacing one pyridine unit at each metal site.⁴⁹⁵ In MeCN solution **Cu₂9** sequesters CO₂ directly from the air and couples it selectively to form C₂O₄²⁻. Charge consumption, UV-vis spectroscopy, and MS experiments all support a di-Cu⁺ active species, and the source of oxalate was confirmed using isotopic labelling with ¹³CO₂. CPE with a GC WE at E_{appl} = 0.03 V vs NHE was conducted in MeCN containing LiClO₄ as an electrolyte to precipitate Li₂C₂O₄, preventing product inhibition by the oxalate product. The Li₂C₂O₄ was quantified, revealing a FE_{C₂O₄H₂} of 96% and a TON_{C₂O₄H₂} of 6 after 7 h. While build-up of Li₂C₂O₄ on the electrode surface ultimately limits system efficiency, capture of highly dilute CO₂ directly from air is a milestone for achieving practical recycling of this greenhouse gas from the atmosphere.⁴⁹⁵

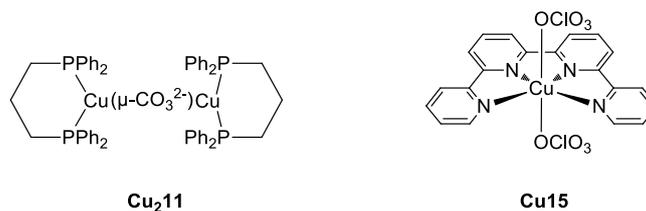


A related study employs **Cu₂10**.⁴⁹⁶ Following reduction with AA in DMF solution, the corresponding di-Cu⁺ state of **Cu₂10** gradually reacts with atmospheric CO₂ in 96% yield over several days, giving a species in which oxalate bridges the two copper centres. The origin of the C₂O₄²⁻ was verified by ¹³CO₂ labelling, and it was shown that this di-anion could be displaced in MeOH by treatment with either HCl or HNO₃. Although only stoichiometric reactivity of **Cu₂10** was reported, these results illustrate the promising reactivity which can result from cooperative interactions between two proximal metal centres, allowing for direct coupling of CO₂ units to give higher molecular weight products containing C–C bonds.⁴⁹⁶

2.3.8.2 Photocatalysis

Carbonate-bridged **Cu₂11** (bridging mode unspecified) is supported by a pair of 1,3-bis(diphenylphosphino)propane ligands, and reduces carbonate stoichiometrically when irradiated ($\lambda > 231$ nm) in MeCN, liberating CO with a yield of 85-93%.⁴⁹⁷ Monitoring of the reaction by UV-vis absorption and emission revealed that evolution of CO is concurrent with ligand phosphine-monoxide formation. While quantification of this by-product allowed a

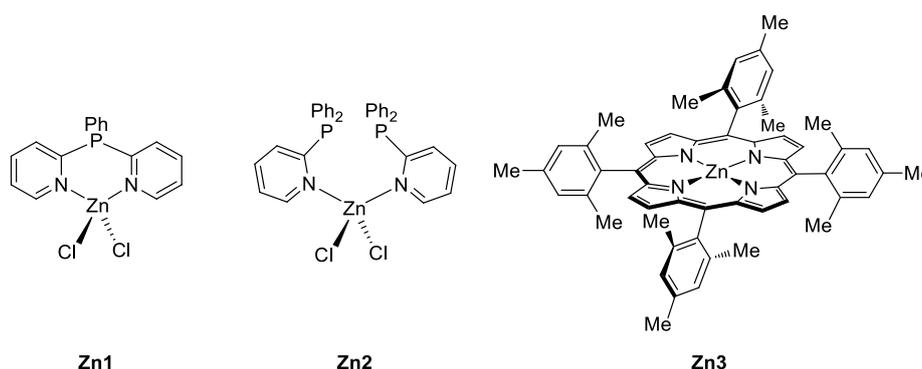
QY_{CO} of 0.01% to be determined at $\lambda = 313$ nm, the role of the ligand as an oxide acceptor in this reaction limits the potential of the system to be employed catalytically.⁴⁹⁷



Together with **PS1** in MeCN:TEOA (85:15) containing BIH, qtpy-supported CRC **Cu15** reached a TON_{CO} = 2425, TON_{HCO₂H} = 8, and TON_{H₂} = 623 after 3.5 h irradiation ($\lambda > 420$ nm).⁴⁹⁸ The activity could be enhanced by adding water, resulting in a TON_{CO} of 12,400 (selectivity of 97%) with 3% v/v H₂O. Isotopic labeling confirmed ¹³CO₂ to ¹³CO conversion, and a QY_{CO} of 1.2% was determined at $\lambda = 460$ nm. The molecular nature of the active CRC is supported by DLS and Hg⁰ addition experiments, and measurements with Cu(ClO₄)₂ in place of **Cu15**. Mechanistic investigations revealed that one-electron reduction of **Cu15** is followed by dimerization to give a [Cu₂L₂]²⁺ intermediate, a process previously reported with related Cu qtpy complexes.⁴⁹⁹ Whether the active species retains this dimeric form is unclear, as further ET to the catalyst is needed to enter the catalytic cycle. Despite this uncertainty, observation of a bimetallic intermediate is noteworthy, especially given the high proportion of copper complexes that employ two metal centers to achieve CO₂ reduction.⁴⁹⁸

2.3.9 Zinc

Metal-based reduction does not typically occur with Zn²⁺ complexes under conditions desirable for efficient CO₂ reduction, but a few Zn-based CRCs have been reported.



Zn1 and **Zn2** feature phosphine-functionalized pyridine ligands.⁵⁰⁰ Following exposure to CO₂ in THF solution, IR spectroscopy of the two complexes revealed changes only for **Zn2**, showing a new carbonyl feature consistent with formation of a CO₂-adduct. CV of **Zn2** in THF under Ar showed an irreversible one-electron reduction at -2.03 V vs Fc⁺/Fc. When repeated under CO₂, current enhancement occurred at a potential ~ 800 mV more positive, at

$E_{\text{onset}} = -1.20$ V vs Fc^+/Fc . CPE in THF with a GC WE at $E_{\text{appl}} = -1.8$ V vs Fc^+/Fc led to CO formation (no quantification reported). A mechanism was proposed in which a phosphorous in **Zn2** initiates nucleophilic attack at the carbon of CO_2 , forming an adduct that liberates CO upon reduction, with Zn-atom behaving solely as a Lewis acid. The lack of CO_2 interaction for **Zn1** was attributed to the greater rigidity of the complex, with the less flexible chelating ligand hindering substrate access to the lone pair of the phosphorous atom.⁵⁰⁰

One of the few other examples outlines the use of a porphyrin, **Zn3**.⁵⁰¹ While this system exhibited promising activity in $\text{H}_2\text{O}:\text{DMF}$ (1:9), the CRC was non-covalently deposited on polytetrafluoroethylene-treated carbon fiber paper, and thus is discussed in more detail in Section 4.3.1.1. While this study reports that the Zn cation is crucial for activity, it highlights that the oxidation state of the metal remains fixed throughout the catalytic cycle.⁵⁰¹ These results further emphasize the potential reactivity that non-innocent redox-active ligands can impart, reversibly storing reducing equivalents and facilitating catalytic conversion of CO_2 .

2.3.10 *Future Directions*

The archetypal system for reduction of CO_2 to CO at a 3d transition metal site is the heterobimetallic active site of the [NiFe]-CODH enzyme. Despite this blueprint, there is a distinct lack of molecular complexes that utilize a combination of two different 3d metal ions for achieving catalytic CO_2 reduction to CO. In addition, catalysts employing functional residues in the second coordination sphere, whether for redox shuttling, proton relay, or electrostatic modulation, have been applied effectively in only a handful of cases. In the corresponding natural systems these features often act in concert, exerting a synergistic effect that dramatically enhances catalytic activity. Integrating comparable functionality into artificial systems is a challenging task, but may help to achieve efficient recycling of CO_2 into liquid fuels. Combining highly active molecular catalysts with the anchoring strategies outlined in the following sections is a viable approach towards this goal, and although these features have only just begun to be applied in the case of immobilized molecular catalysts they represent a promising future direction for the field.

3 Immobilization Strategies for Molecular Catalysts

The immobilization of molecular catalysts onto surfaces provides a variety of advantages over homogeneous systems (see Section 1.1). It requires, in the large majority of cases, chemical modification of the ligand structure with an appropriate anchoring unit.^{196,502} The choice of anchor depends on the surface chemistry of the material,⁵⁰³ and type of targeted application such as an electrochemical, photochemical, or photoelectrochemical process. Properties of the material such as conductivity, morphology, and stability under experimental conditions (e.g. nature of the solvent, acidity, UV and visible light irradiation, electrochemical potentials, etc.) need to be carefully considered when looking at the elaboration of a molecule-driven, catalytically-active device. The molecular catalyst is required to be robust and active under the same experimental conditions as the solid-state material. Conductive scaffolds can generally be used as an electrode material for catalyst immobilization, whereas semiconducting materials do not allow efficient electronic conduction within their bandgap ('forbidden zone'), and are therefore usually used light-absorbers and charge separators in photo-driven redox transformations.⁵⁰⁴ The morphology of the material surface is also important, with greater effective surface areas providing more available sites for the catalyst to be anchored, leading to higher loading and generally to higher catalytic performance per geometric surface area. For instance, 3D, mesoporous, and hierarchically organized surfaces often allow loading several orders of magnitude higher than flat surfaces, leading to much higher (photo)current densities and amounts of formed products.⁵⁰⁵⁻⁵⁰⁸

3.1 Approaches and Configurations

When aiming at immobilizing molecular catalysts for the production of solar fuels, there are currently three main strategies commonly described (Figure 13). First, the catalyst can be immobilized onto a conducting electrode surface from which it will directly receive electrons for the electrogeneration of fuels. Second, the catalyst can be grafted onto a photoactive colloid that will drive the catalyst to generate fuel upon irradiation. The photogenerated positive charges are quenched by an electron donor. Lastly, the catalyst can be immobilized onto a light-harvesting material affording a photocathode on which photoelectrochemical (PEC) production of fuels will occur upon solar irradiation.

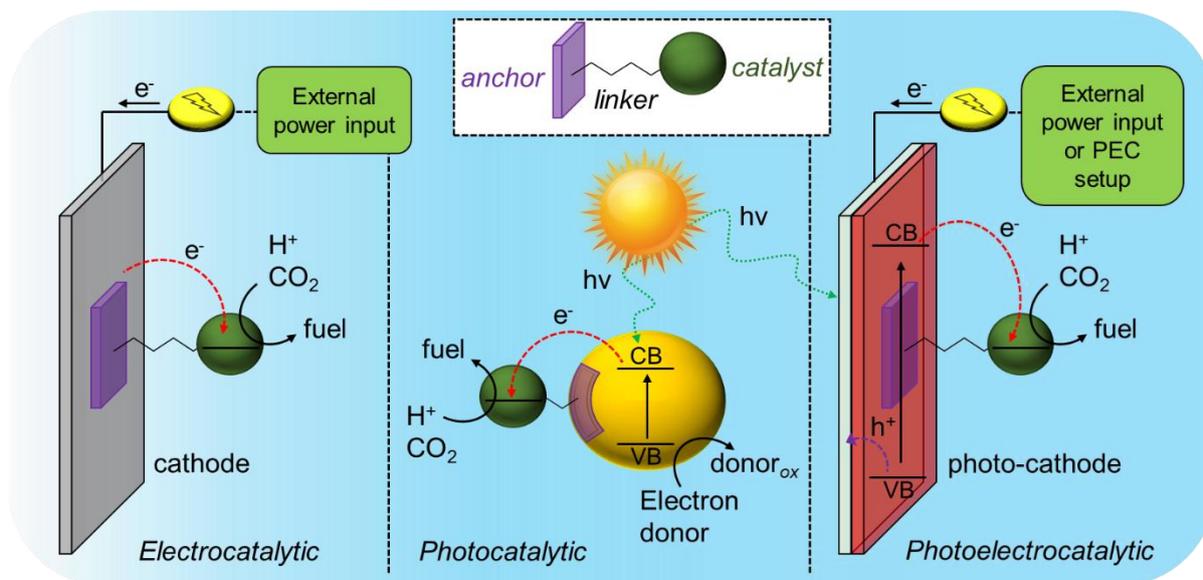


Figure 13. Schematic representation of three different configurations for the production of solar fuels *Left*, electrocatalysis: electrode surface modified with a molecular electrocatalyst. *Center*, photocatalysis: molecular electrocatalyst anchored onto a light-harvesting colloidal material. *Right*, photoelectrocatalysis: light-harvesting SC-based photocathode modified with a molecular catalyst.

Considering the immobilization of molecular catalysts onto such (photo)electrode or particle surfaces, many different aspects should be considered to maximize the activity, stability, and selectivity of the system. Although often summarized under the vague tag of ‘anchor-bearing catalyst’ or simply ‘catalyst’, the chemical structure of the molecule-to-anchor compound is multifaceted and can be broken down in three different parts of almost equal importance: a coordination complex (that catalyzes the reaction upon reduction), the anchoring group (that permits the chemisorption of the molecular structure), and a linker (ensuring connection and also playing a vital role in ET dynamics) (Figure 13 and Figure 14). Orthogonality between each of these moieties, the surface of immobilization, and experimental conditions must be met in order to afford a stable and efficient (photo)electrocatalytic setup. The catalysts (Section 2) and anchors (*vide infra*) are described in detail elsewhere in this review, whereas linker attributes are briefly addressed below.

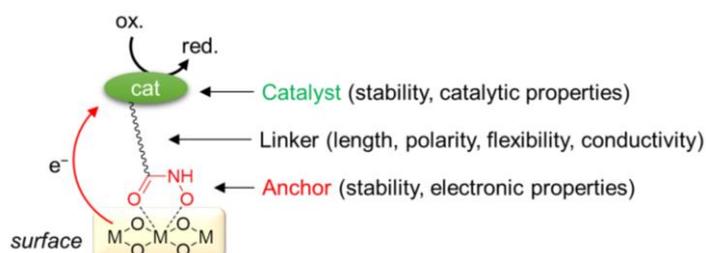


Figure 14. Schematic representation of a heterogenized molecular catalyst on a metal oxide surface, highlighting the main features contributing to efficient electronic interaction between the catalyst and the surface.

The derivatization of the catalyst with a linker moiety in order to introduce an anchoring group is usually carried out by means of covalent bonds through the modification of at least one of its ligands.⁵⁰⁹ Care should be taken in the choice of the linker-ligand bonding group as any ligand modification can potentially induce changes in the steric and electronic properties of the catalyst, depending on the size and nature (electron-donating or -withdrawing) of the chemical function employed. This can possibly trigger dramatic changes in the overpotential required to carry out the reductive transformation or in the catalytic activity. The ligand flexibility can also be affected and consequently diminish its ability to generate catalysis-favorable conformations. Ultimately, as the ET between the surface and the catalyst proceeds either ‘through-bond’ (via the linker orbitals) or ‘through space’ (via direct orbital overlap), the length, the chemical nature, and the orientation of the linker have a direct impact on the catalytic properties of the assembly by affecting ET kinetics.⁵¹⁰ For instance, a long, non-conductive, rigid linker will, in most cases, drastically hamper the rate of ET between the surface and the catalyst in both charge separation and recombination processes. Likewise, although the choice of anchor is crucial to establish a stable bond between the surface and the linker/catalyst, the importance of the electronic connection provided to the surface by the nature of the anchoring group should not be underestimated.

3.2 Materials

3.2.1 *Electrode Materials for Electrocatalysis*

Supported electrocatalysis relies on the immobilization of a catalyst on an electrode. Applied toward fuel synthesis, the electrons flow from the electrode to the anchored catalyst to drive the catalysis, through the application of an E_{appl} more negative (cathodic process) than E_{onset} of the catalyst. The choice of cathode can minimize unwanted features such as high capacitive currents, resistive ET toward the catalyst, unwanted catalytic activity (side-reactions), and instability under catalysis conditions.

3.2.1.1 *Carbon-based Electrodes*

Carbon-based electrodes are the most widely used for the fabrication of molecular catalyst-modified electrodes and include a large variety of carbon allotropes such as graphite/reduced graphene oxide (RGO), carbon nanotubes (CNTs), and boron doped diamond.^{511,512} These materials generally exhibit high conductivity and good mechanical resistance, and offer a wide operational electrochemical window. Another advantage of using RGO- and CNT-based nano-objects as electrode materials is the very high electroactive surface area that they can provide, allowing for high catalyst loading.^{511,513} In addition, unless doped or modified with heteroatoms (N, B, S), these nanomaterials show only low electrocatalytic background currents for proton or CO₂ reduction.⁵¹⁴⁻⁵¹⁶ Thus, they

allow for a precise study of the reductive transformations carried out by molecular catalysts either in solution (homogeneous system) or at their surface (heterogenized system).

3.2.1.2 *Metal- and Metal Oxide-based Electrodes*

Many metallic electrodes (e.g. Pt, Cu, Au, Ag, etc.) exhibit catalytic activity toward proton- and/or CO₂-reduction and therefore produce products that are not generated by an attached molecular catalyst. This background activity can therefore decrease product selectivity of the hybrid electrode and prevent accurate characterization of the performance of an immobilized catalyst.⁵¹⁷⁻⁵²⁰ Metal electrodes also often present flat surfaces that prevent high loading of the catalyst, and their lack of transparency sometimes hinders investigations by spectroelectrochemistry. Metal oxide (MO_x) and SC-based electrodes may also be non-innocent during electrocatalytic experiments and display active catalytic surfaces (e.g. SnO_x).⁵²¹⁻⁵²³ Electrochemical studies are often hampered by SC electrodes, as redox waves are usually absent within their forbidden zone. On the other hand, the transparent nature of many MO_x electrodes is attractive for SEC studies, and for use as protection layers in PEC systems.^{524,525}

3.2.2 *Colloidal Materials for Photocatalysis*

As an alternative to electrocatalysis, another strategy consists of directly using sunlight as an energy input together with light-absorbing colloidal particles onto, or into, which a molecular catalyst is grafted.⁵²⁶ The colloidal particles can either be used as a scaffold to associate a visible light-absorbing photosensitizing dye and the molecular catalyst as in dye-sensitized photocatalysis (DSP) schemes,⁵²⁷ or can also act itself as the light harvester as in QD systems.^{528,529} A range of materials with some general characteristics have been identified for colloidal photocatalysis. These characteristics usually consist of high surface area, simple functionalization pathways, good light-harvesting and charge separation ability, as well as energy levels to drive the catalyst and react with the electron donor. As a result, carbon-based colloids/particles, metal chalcogenide QDs, MO_x particles, and micellar aggregates have all been recognized as suitable materials and their respective attributes are discussed below.

3.2.2.1 *Carbon-based Particle Colloids*

In analogy to carbon-based electrode materials, metal-free colloidal carbon materials are often based on sp² carbon and heteroatoms ordered in a planar organization to generate conjugation. Such colloids are often described as non-toxic, inexpensive, and scalable, and typically do not produce H₂ or CO₂-reduction products under irradiation in the absence of an additional fuel-forming catalyst. Examples of carbon-based photocatalytic systems include

light-harvesters such as carbon nitrides,⁵³⁰ carbon nanodots,⁵³¹ and organic polymers,^{532,533} but also non-light absorbing structures such as graphene oxide (GO)⁵³⁴ or CNTs.⁵³⁵

3.2.2.2 *Quantum Dot-based Colloids*

Chalcogen-based quantum dots such as cadmium sulfide, selenide, or telluride (CdS, CdSe, or CdTe) absorb visible light, and therefore can be used as photosensitizers in direct combination with a molecular catalyst to perform a redox transformation.^{528,536} Such semiconducting nanocrystals usually display high extinction coefficients, with both a broad spectral range of absorption and the positions of their conduction band (CB) and valence band (VB) controlled by particle size. Moreover, QDs can continuously absorb multiple photons even after electrons or holes are accumulated, a great advantage for multi-electron reactions. QDs can undergo direct surface interaction with catalysts that bear appropriate anchoring groups. Common methods for functionalizing QD surfaces with molecular catalysts primarily rely on electrostatic and covalent interactions by means of carboxylic acid, phosphonic acid, thiol, and dithiocarbamate groups via exchange with the capping ligands in place.⁵³⁷⁻⁵³⁹ Such (capping) ligands are often used as surfactants during nanocrystal growth and for solubilizing QDs in various media, and can be tuned to participate productively in photophysical processes or to stabilize the QDs by preventing aggregation. On the other hand, QDs often rely on toxic or rare elements, and are also known to suffer from photo-degradation and surface defects that lead to charge recombination. Depending on the nature of the capping ligand QDs also exhibit background activity toward H₂ evolution that can limit their use, for instance when employed toward selective CO₂-reduction.

3.2.2.3 *Metal Oxide-based Colloids*

MO_x-based colloid systems commonly rely on inexpensive, wide-bandgap, metal-oxide SCs that can only absorb a small fraction of the solar spectrum. However, this disadvantage can be overcome by combining them with a visible PS to form a DSP system;⁵²⁷ an adaptation of dye-sensitized solar cells (DSSCs) for fuel production.^{505,540} In a typical DSP setup, photoexcited dyes inject electrons into a titanium dioxide (TiO₂)-based particle, which passes these low-potential electrons on to a co-anchored catalyst for fuel synthesis while the holes are collected by a SED.⁵⁴¹ In addition to providing a potential charge-separating junction, nanoparticulate MO_x materials also deliver a polar scaffold with high surface area to receive both anchor-bearing photosensitizer and catalyst. Even for MO_x materials with an inaccessible CB such as Al₂O₃, ZrO₂ or SiO₂, the colloids may allow for direct and non-diffusional ET between co-anchored PS and the nearby catalyst.^{460,542,543} The success of these MO_x particles is due to their simple functionalization via polar groups such as carboxylic acids or phosphonic acids, in addition to their good dispersibility in aqueous media and stability under catalytic conditions. The choice of dye furthermore allows for easy

tuning of the light absorption in a DSP system, and the colloid substrates usually display little background photoactivity in the absence of a catalyst, preventing unwanted side-reactions.

3.2.2.4 *Micelle and Vesicle-based Colloids*

Micelles (5 nm) and vesicles (20-1000 nm) are aggregates of amphiphilic molecules that can form spontaneously in water or upon input of energy (Figure 15).⁸¹ These transparent aggregates can be combined with molecular catalysts and photosensitizers to generate surface or embedded assemblies, bringing dyes and catalysts into close proximity and thus allowing intermolecular electronic communication.⁵⁴⁴⁻⁵⁴⁶ In addition to their size difference, it should be noted that vesicles are closed objects made of a bilayer of surfactant that encapsulate a volume of solvent, whereas micelles represent a spherical organization of a surfactant. This discrepancy between vesicles and micelles can result in different scaffolding behavior by promoting encapsulation, embedment, or entrapment effects. Nonetheless, both vesicles and micelles can facilitate photoinduced charge transfer and suppress back ET without the need for an additional anchoring group. They can also permit organic molecules, usually insoluble in water, to be employed in aqueous media without precipitation. Although less reported than the other strategies, encouraging results have been achieved using vesicular and micellar colloids, with their unique nature constituting an alternative to metal-oxide or graphitic materials.⁵⁴⁷⁻⁵⁴⁹

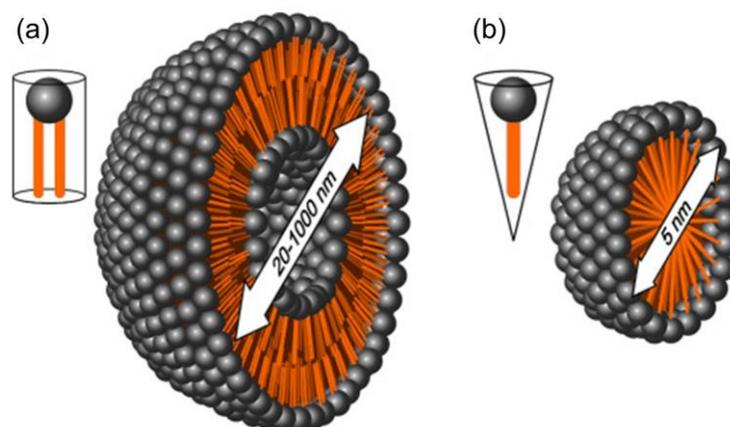


Figure 15. Schematic diagram of (a) a vesicle formed from cylindrically shaped amphiphiles and (b) a micelle formed from conical surfactants (reproduced with permission from John Wiley and Sons).⁵⁴⁶

3.2.3 *Semiconductor-based Photoelectrodes for Photoelectrocatalysis*

Combining electrochemical and photocatalytic systems, a photocathode is capable of injecting electrons into an immobilized molecular catalyst to generate fuel during irradiation.⁵⁵⁰⁻⁵⁵² In order to carry out reductive transformations, most of the scaffolding materials used to build photocathodes are p-type SCs (p-SCs), where holes (the majority

carriers) are conducted in the VB and extracted toward a conductive support.⁵⁵³ Depending on the bandgap of the SC – wide or narrow – two different strategies have been developed.

3.2.3.1 *Wide-bandgap Dye-sensitized Photoelectrodes*

In the case of wide-bandgap SCs, an additional co-anchored PS is required to achieve efficient harvesting of solar light and to promote the photoinduced reduction of the catalyst. Further requirements of the p-SC are (i) an ability to enable the robust grafting of a photosensitizing unit and/or molecular catalyst on the surface, (ii) good hole transport kinetics to facilitate fast hole extraction from the reduced ground state of the sensitizer, and (iii) stability under aqueous operating conditions for solar fuel generation in water. This array of prerequisites for the p-SC in dye-sensitized photocathode systems has rendered the search for such SCs extremely challenging, resulting in a lack of suitable material options. Wide-bandgap p-SCs such as nickel oxide (NiO)⁵⁵⁴ or some ternary oxides (delafossites) with the general formula ABO_2 ,⁵⁵⁵ commonly used in p-type DSSCs, have been envisioned as suitable cathodic materials for both proton and CO_2 reduction.^{556,557} Beside the need for an additional PS, the main limitations of this type of architecture are low charge mobility within the material, limited diversity of suitable p-SCs, rapid charge recombination, and surface states (defects) that all hamper the performances of the dye-sensitized photocathode.^{558,559}

3.2.3.2 *Narrow-Bandgap Photoelectrodes*

P-type SCs with a narrow-bandgap such as p-silicon (Si), gallium phosphide (GaP), or cuprous oxide (Cu_2O) allow direct absorption of visible light and therefore do not require the presence of an additional dye. As a result, they can be directly coupled with a catalyst, provided that the energy level of the CB of the material is more reducing than the E_{onset} of the catalyst. A suitable VB potential (E_{VB}) to transport holes allows current to flow in the desired direction, with the electrons being injected into the immobilized catalyst and the holes into the conductive support. However, the majority of SCs with suitable bandgaps show limited stability in aqueous conditions, leading to the use of stabilizing covering layers often based on MO_x materials.⁵⁶⁰ Molecular catalysts bearing conventional oxide-targeting anchors have been used to functionalize such layers.

As outlined, a variety of materials with distinct surface chemistry can be employed for the electro- and photosynthesis of renewable fuels. Thus, a range of different techniques have been developed to anchor molecular catalysts at the surface of nanoparticles or (photo)electrodes.

3.3 Anchoring Strategies

The conditions for grafting a catalyst onto a surface should at first be compatible with the catalyst stability. Covalent linkages usually lead to more stable anchoring but can also involve slightly harsher conditions than non-covalent attachment strategies. As mentioned above, the nature of the anchor can have a particularly important role in the electronic communication between the catalyst and the surface. It also affects the electronic properties of the material itself by generation of a dipole at the surface, breaking orbital overlap, releasing protons, or blocking the surface from the solvent of experiment, with all of these processes being capable of favoring or hampering the electronic properties.

3.3.1 Anchoring to Carbon-based Materials

Carbon-based electrodes have been used extensively to immobilize molecular redox species for electroanalysis or electrocatalysis,^{511,512} using several different anchoring strategies to modify the surface chemistry of graphite, glassy carbon, carbon nanotubes, graphene, and even diamond.⁵⁶¹⁻⁵⁶³ Surface modification of CNTs has received particular attention,⁵⁶⁴⁻⁵⁶⁷ and they are now widely used as electrode materials with high effective surface area combined with excellent conductivity (especially multi-walled CNTs (MWCNTs)) and mechanical stability.⁵⁶⁸ Recently, many different techniques have been developed for the chemical functionalization of graphene.⁵⁶⁹ Generally, most reactions reported for the modification of sp^2 carbon in CNTs and graphene can potentially be adapted to other types of π -conjugated carbon surfaces. The following section is divided into two sub categories addressing covalent and non-covalent anchoring strategies.

3.1.1.1 Covalent Strategies

A covalent linkage of the molecular catalyst to a carbon surface is typically realized through a first step of derivatization of the sp^2 carbon surface, followed by a chemical reaction between the surface modifiers and a specific function at the molecular catalyst. Reactive functional groups on an sp^2 carbon surface can be generated by applying strongly oxidizing conditions, chemically or electrochemically, to create ketones, quinones, or carboxylic acid groups.⁵⁷⁰⁻⁵⁷³ The presence of a carboxylic acid, for example, allows for grafting of compounds onto the carbon surface through formation of ester or amide bonds (Figure 16a).⁵⁷⁴⁻⁵⁷⁷ However, this oxidizing strategy can be hard to control and may lead to degradation of the physical properties of the substrate (conductivity, mechanical stability) through the production of defects within the carbon network.⁵⁷⁸ As an alternative to the introduction of surface-dangling functional groups, reductive or oxidative electropolymerization of electron rich heterocycles bearing a catalyst or reactive groups has also been documented and is discussed in the following section.

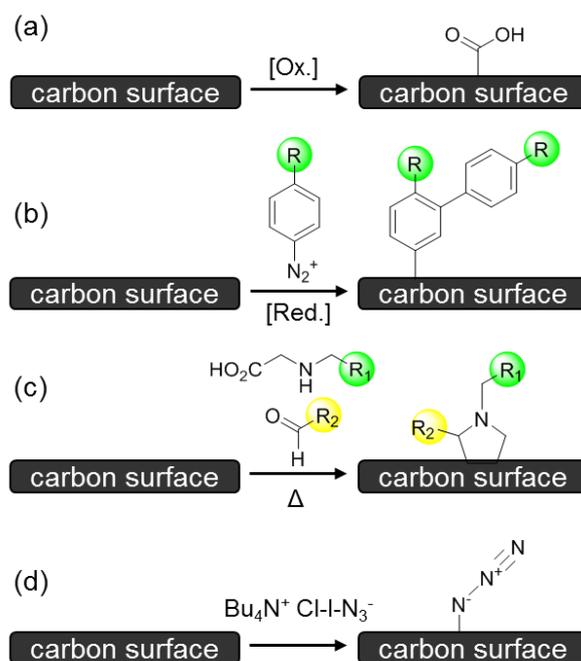


Figure 16. Selected examples of commonly used methods to covalently introduce functional groups onto π -conjugated carbon surfaces that subsequently enable functionalization with a catalyst: (a) chemical or electrochemical surface oxidation, (b) chemical or electrochemical reduction of in situ generated diazonium salts, (c) 1,3-dipolar cycloaddition of azomethine ylides, and (d) direct grafting of azide groups.

The reduction of aryl diazonium compounds on sp^2 carbon provides a less damaging means to modify glassy carbon, carbon fibers, or highly oriented pyrolytic graphite (Figure 16b).^{578,579} This reaction is achieved by the generation, possibly in situ, of a diazonium salt from an aromatic amine residue, followed by a reduction step leading to the formation of a radical species able to react with the π -conjugated carbon surface.^{562,580} The reduction step can either be carried out electrochemically, directly at the carbon electrode surface, or chemically (e.g. Sandmeyer's reaction⁵⁸¹) in solution.^{582,583} This strategy allows for a high surface coverage of the modifier and has been employed to incorporate other functional groups such as amines, carboxylic acids, azides, or alkynes.^{582,584-586}

The 1,3-dipolar cycloaddition of azomethine ylides, sometimes called Prato's reaction, has been widely applied to carbon surfaces such as CNTs, carbon nanohorns, and ordered mesoporous carbon surfaces.⁵⁸⁷⁻⁵⁹⁰ The reaction relies on the condensation between an α -amino acid and an aldehyde, followed by a cycloaddition step leading to the formation of a pyrrolidine unit at the surface of the π -conjugated material (Figure 16c). This method provides the possibility to introduce two different chemical functions (R_1 and R_2).

Azide groups can be introduced at reactive graphitic edges through a Hassner-type addition of IN_3 generated in situ, followed by surface rearomatization by elimination of HI (Figure 16d).⁵⁹¹ The azide-functionalized surface can subsequently react with alkyne

derivatives through 1,3-dipolar cycloaddition “click” chemistry to link a molecular catalyst.⁵⁹²⁻⁵⁹⁴ In addition to the approaches listed above, there have also been reports of other methods involving the addition of carbenes^{595,596} or nitrenes,⁵⁹⁷ cyclopropanation,⁵⁹⁸ and Diels-Alder addition,⁵⁹⁹ which can functionalize a variety of carbon material surfaces.

3.1.1.2 Non-covalent Strategies

Non-covalent strategies have also been employed to modify carbon-based particles or electrodes without damaging their intrinsic properties (such as disruption of π -conjugation as a result of the grafting procedure). Polyaromatic and saturated compounds are commonly used to smoothly modify the surface of carbon-based materials by means of π -stacking and hydrophobic interactions (Figure 17).^{600,601}

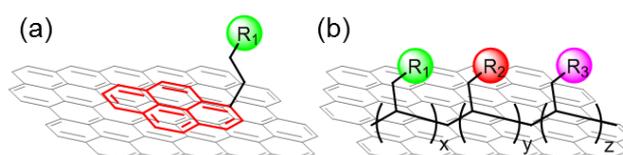


Figure 17. Schematic representation of non-covalent modification of carbon π -conjugated surfaces with (a) compounds bearing polyaromatic moieties enabling π - π interactions or (b) hydrophobic polymeric chains.

Derivatization of π -conjugated carbon surfaces with polyaromatic compounds via supramolecular π - π interactions has been extensively employed.⁶⁰⁰⁻⁶⁰⁵ Among polycyclic aromatic derivatives, the pyrene moiety has been particularly popular to anchor new functionalities to carbon surfaces, such as CNTs and graphene (Figure 17a). Pyrene groups interact more strongly with π -conjugated surfaces than smaller aromatic moieties such as anthracene or naphthalene.^{603,606-614} Introduction of a pyrene anchor to a molecule of interest has been shown to allow immobilization of a wide range of hybrid structures, including redox probes,⁶¹⁴⁻⁶¹⁷ molecular catalysts,⁶⁰⁶⁻⁶⁰⁹ proteins,⁶¹⁸⁻⁶²¹ and polyoxometalates.⁶²²⁻⁶²⁴ Pyrene derivatization of a carbon surface provides stable anchorage, while also offering excellent control over the surface loading of the targeted molecular species by controlling the concentration of the pyrene-bearing compound in solution during the loading process.⁶⁰⁶⁻⁶¹¹ Pyrene anchoring abilities may also extend to heteroatom-containing carbon surfaces.⁶¹²

Polymers have also shown promise for the functionalization of carbon surfaces, the fabrication of new carbon-based composites, and direct immobilization of redox active species onto surfaces.^{564,625,626} The polymer typically carries anchoring functionality for immobilization, and adsorption leads to composite materials with the desired chemical or electrochemical properties. Different strategies have been employed to immobilize polymeric structures on carbon surfaces. For example, they can be adsorbed through hydrophobic interactions, or electro-grafted through oxidation/reduction of monomeric synthons

containing pyrrole, thiophene, aniline, or vinyl groups.⁶²⁷⁻⁶²⁹ Polymeric structures have allowed for robust adsorption of many different types of molecular catalysts for a great number of redox transformations.⁶³⁰⁻⁶³³ One of the advantages of polymeric structures is their ability to carry several chemical functional groups (Figure 17b). This has been exploited to entrap redox enzymes within redox active films on electrodes.⁶³⁴⁻⁶³⁷ A similar strategy has been applied with molecular catalysts to immobilize them on electrode surfaces, enhancing activity and/or tuning selectivity as a result of the polymer matrix environment.⁶³⁸⁻⁶⁴⁰

3.3.2 Anchoring to Metal Oxide Materials

Metal oxides are an attractive platform for the immobilization of molecular catalysts for photo/electro-catalysis, and the development of DSSCs has spurred substantial efforts toward the chemical modification of MO_x surfaces with metal complexes.⁶⁴¹ Several types of MO_x have been used in DSSCs,^{642,643} and a large number of chemical functions have been described for grafting of dye and/or catalyst via formation of chemical bonds between the metal of the MO_x surface and the oxygen atom of an anchoring group.⁶⁴⁴ Requirements for stability, surface coverage, and electronic properties, as well as synthetic effort need to be balanced in the selection of the anchoring group. Specifically, phosphonates, carboxylates, organosilanes/silatranes, hydroxamates, and acetylacetonates have been extensively used to immobilize molecular catalysts and dyes on MO_x surfaces for aqueous (photo)(electro)chemical fuel synthesis (Figure 18).^{509,645-647} Although being an anchor-dependent process (footprint, acidity, etc.), the film coverage, quality, and purity also inherently relies on the chemistry of the MO_x surface (hydroxyl concentration, Lewis acidity/basicity), and the functionalization protocol used.

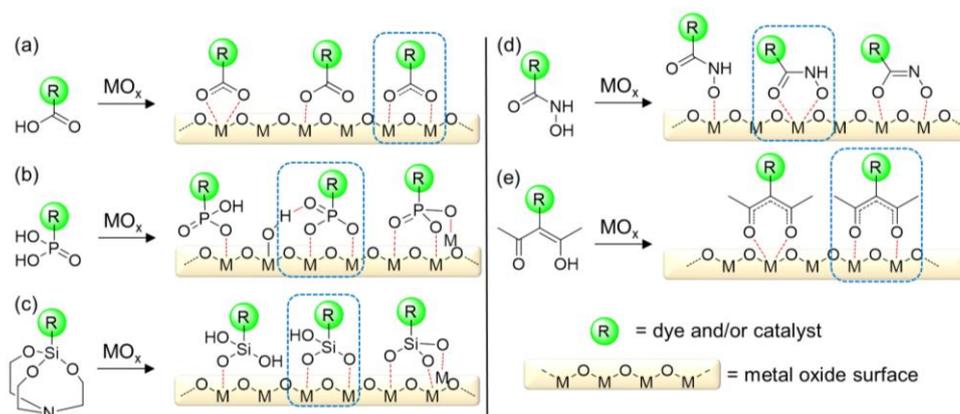


Figure 18. Surface binding motifs of different anchors used for grafting of dyes and catalysts onto MO_x materials; (a) carboxylate moieties through a chelating, unidentate, or bridging binding mode, (b) phosphonate anchors through mono-, bi-, and tridentate motifs, (c) silane functions through a mono-, bi-, or tridentate binding mode, (d) hydroxamates in unidentate, chelating, or bridging binding modes, and (e) acetylacetonate chelating or bridging binding modes. The potentially dominant binding modes are highlighted by a blue frame.^{509,648}

Carboxylate residues can easily be introduced into molecular complexes and are widely used as anchors for MO_x materials, in particular for non-aqueous DSSCs.⁵⁴⁰ Carboxylates are believed to undergo a condensation reaction with the hydroxy groups at the surface of the MO_x , forming an ester-type linkage with the metal.⁶⁴⁵ Studies have also suggested the possibility of a chelating or bridging binding mode with the metal, and bidentate modes have been shown to be preferred (Figure 18a).^{649,650} Despite the facile functionalization of MO_x surfaces with carboxylate derivatives, the stability of the anchoring in aqueous media remains relatively low, especially at neutral and basic pH.⁶⁵¹ Nevertheless, many proteins display a significant number of surface-exposed carboxylates (glutamates, aspartates) that provide high affinity sites for adsorption onto MO_x surfaces such as TiO_2 .⁶⁵²⁻⁶⁵⁴

Phosphonate groups chelate strongly to MO_x surfaces through a variety of binding modes (Figure 18b).^{648,655-658} Similarly to carboxylic residues, condensation reactions with terminal hydroxy groups present at the surface of the material allow efficient binding.⁶⁵⁵ The tendency of phosphonates to bind more strongly than carboxylates may be due to the dianionic nature of the phosphonate ions, and the possibility of tridentate or a combination of bidentate and hydrogen bonding, rather than solely bidentate coordination to the surface. While limited at basic pH due to the hydrolysis of the P–O bonds, the stability of phosphonate-metal bonds in neutral to acidic solutions allows for a broader pH window than many other functions. Integration of phosphonate anchors onto the molecular structure typically uses the Michaelis-Arbuzov reaction of an alkyl phosphite moiety with an alkyl halide derivative, or Hirao coupling between a trialkyl phosphite derivative and an aryl halide.⁶⁵⁹⁻⁶⁶¹

Organosilanes are alternatives to carboxylate and phosphonate anchoring groups, and the resulting silyl ether-metal bonding shows high stability in a broad range of pH values, sometimes superior to phosphonic acids.^{645,662-664} The chemical inertness of the Si–O bond, even superior to an ether bond, is usually attributed to a model involving hyperconjugative interactions.⁶⁶⁵ Organosilatrane were introduced as a more practical and stable substitute for silanes or halogenosilanes, granting simplified synthetic routes prior to the grafting step of the dye or catalyst.⁶⁶⁶⁻⁶⁶⁹ As for the phosphonate anchors, the organosilanes/silatrane bind to the surface through mono-, bi-, or tridentate interactions with the metal (bidentate being the preferred option) (Figure 18c). The reaction of the organosilane/organosilatrane following a nucleophilic attack from the hydroxy group of the MO_x surface leads to the formation of a strong silyl ester-type surface bonding. In addition, in the case of bidentate binding, the remaining free -OH group can potentially react with neighboring Si anchors.⁶⁴⁵ Although this effect can be beneficial toward increasing the stability of the anchoring by generating homocondensation products containing Si–O–Si bonds, it can also result in the formation of a potentially thick and insulating layer that impedes ET.

Hydroxamates have recently emerged as anchoring groups,^{646,670,671} because they can form more stable surface adducts than carboxylates through unidentate, chelating, or bridging bidentate binding modes with the MO_x surface (Figure 18d).⁶⁷² In addition, another advantage is their capability to allow faster interfacial ET between the dye/catalyst and the metal surface compared to other anchors such as phosphonates.⁶⁷³ Furthermore, the small footprint of a hydroxamic acid can allow for the formation of densely packed monolayers.

Acetylacetonate groups also allow the formation of strong surface adducts stable in aqueous conditions.⁶⁷⁴⁻⁶⁷⁷ This is due to their ability to form stable coordination complexes with metal cations.⁶⁷⁸ These anchors preferentially bind to the MO_x surface through bidentate or bridging modes (Figure 18e).^{675,679} Introduction of this functional group into molecular complexes relies on deprotonation and substitution of the 3-position in the 2,4-pentandione moiety, giving a covalent bond. Integration into aromatic compounds was also demonstrated using Ullmann type coupling,⁶⁸⁰ or by reductive opening of isoxazole groups.^{681,682}

Other strategies involve polymeric structures containing nitrogen heterocycles such as pyridine or imidazole groups than that can physisorb through hydrogen bonding or metal coordination. These functional groups present a low individual affinity for MO_x materials that limits their potential as an anchor. Nonetheless, cooperative effects induced by the polymeric structure can make such anchoring stable and suitable for electrocatalytic purposes in aqueous conditions.⁵⁰⁸ Similarly to the modification of carbon electrodes, polymers can also be used to adsorb molecules on MO_x surfaces. Recent examples use redox or thermally-polymerized vinyl-containing structures to adsorb dyes and/or molecular catalysts.⁶⁸³⁻⁶⁸⁷

3.3.3 Anchoring to Non-oxide Semiconductors

In addition to carbon and MO_x surfaces, molecular catalysts can also be immobilized on non-oxide SC surfaces to carry out catalytic conversions. These non-oxide SCs mostly feature narrow bandgaps, and therefore can absorb visible light without an additional PS. There are a broad variety of non-oxide SCs with various chemical properties that have been described either for photocatalytic (colloidal systems) or (photo)electrocatalytic schemes. Such systems frequently rely on metal chalcogenide nanoparticles based on the association of Cd, Zn, In, or Ga with diverse chalcogenides (S, Se, Te).^{259,688-691} The surface modification of such types of particles by a molecule or enzyme mostly involves the use of thiol, carboxylate, amine, and phosphonate groups (Figure 19a).⁶⁹²⁻⁶⁹⁷ Importantly, the nature of such anchorage as well as the structure of the immobilized species strongly influences the electronic properties of the surface.^{528,698} Despite several anchoring strategies being put forward, the examples of molecular catalysts directly immobilized onto such particles remain limited, especially on electrodes.^{57,128,699}

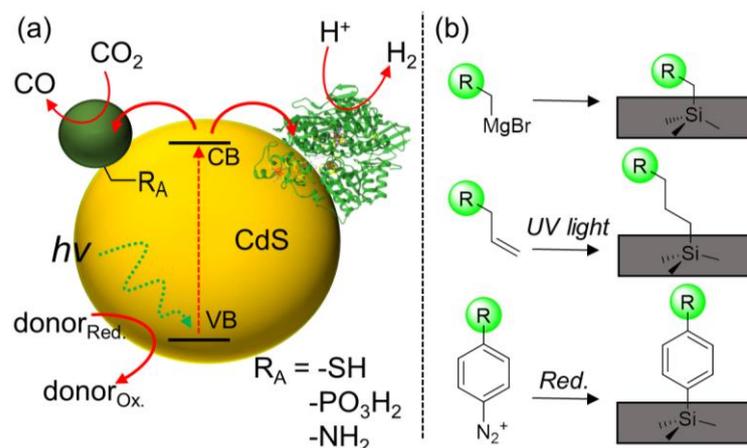


Figure 19. (a) Schematic representation of a semiconducting CdS nanoparticle modified with a molecular catalyst through the anchoring group R_A (left) or an enzyme (right). (b) Molecular modification of p-silicon surfaces using Grignard reagents, UV-induced grafting of alkenes, or reduction of diazonium salts.

Two of the most widely used non-oxide SCs for the fabrication of photocathodes are p-Si and GaP.^{700,701} Both are narrow-bandgap SCs and are also notorious for their instability in aqueous or aerobic conditions, readily forming an insulating oxide layer at their surface.^{560,702} In order to prevent the formation of oxides, a variety of different synthetic procedures have been described including diazonium chemistry, Grignard reactions, or UV-induced grafting of alkenes and polymers directly onto the surface (Figure 19b).⁷⁰³⁻⁷⁰⁷ Only a few examples have shown the integration of molecular catalysts directly at the surface of these SCs, mostly for proton reduction.⁷⁰⁸⁻⁷¹⁰ Another disadvantage of these narrow-bandgap SCs is their low surface area. Without specific design they remain flat and therefore do not permit high catalyst loading at their surfaces. Recent studies have focused on protecting the surface of the SC, while in some cases also increasing the available surface area, for instance by using a TiO_2 coating.^{525,711-714} This protecting strategy allows functionalization of the SC with catalysts via the same anchoring functions described above for MO_x materials.

In addition to these non-oxide material photoelectrodes, the development of organic solar cells has recently led to the fabrication of organic polymer-based photocathodes for solar fuel production.⁷¹⁵ Several examples of such organic photocathodes are based on a poly(3-hexylthiophene) (P3HT)- C_{60} blend, generating a p-n junction within the electrode structure.^{716,717} Other composites have also been reported to generate enough driving force for light-driven proton reduction.^{718,719} To date, there are very few cases of such photocathodes combined with molecular catalysts.⁷²⁰ The addition of chemically defined interfaces between the polymer blend and the electrolyte solution could potentially enable the use of the methods described above to immobilize molecular catalysts on these organic photocathodes.⁷²¹

4 Immobilized Catalysts for Electrocatalysis

4.1 General Remarks

Grafting of molecular catalysts onto conducting or semiconducting electrodes allows their thorough investigation by electrochemical (and spectroelectrochemical) means, delivering a deeper understanding of the mechanisms and redox events involved in the catalytic process (Figure 20a). Immobilization of the catalyst can also provide other benefits, such as stabilization over time, and the ability to function in solvents in which the isolated catalyst would otherwise be insoluble. In some cases this strategy can increase the catalytic efficiency and/or selectivity toward the desired product. The grafting of molecular catalysts also gives the opportunity to generate a new synthetic environment directly around the catalytic site in order to modify the properties of the latter. Lastly, immobilization of the catalyst also implies that only the substrate and products are then freely diffusing, which improves practicality for potential applications in the future.

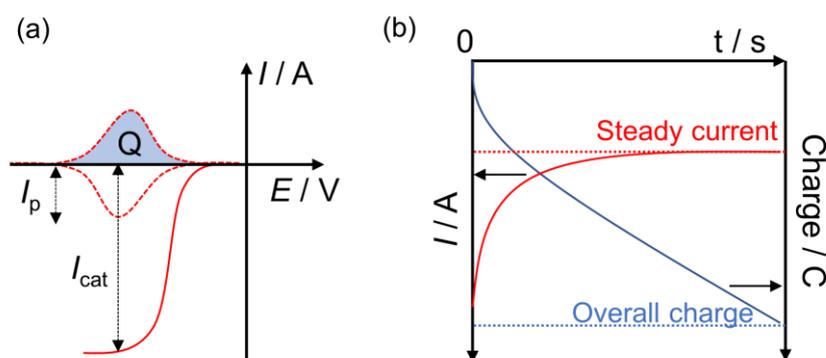


Figure 20. (a) Schematic representation of a CV experiment for an electrode modified with a molecular catalyst showing non-turnover redox waves (red dashed trace) and a catalytic wave (red trace) in the presence of substrate. (b) Schematic representation of chronoamperometry (or CPE) at E_{appl} showing the current value (red trace) and the corresponding charge passed (blue trace).

Non-turnover signals (in the absence of catalysis) in CV scans allow the estimation of surface loading of the electroactive catalyst (Γ , mol cm⁻²; Equation 14).

$$\Gamma = \frac{Q}{zFA} \quad (14)$$

Where Q is the charge (C) obtained from integration of the non-turnover redox signal (Figure 20a), and A is the geometrical electrode surface area (cm²). CPE (Figure 20b) with product quantification enables the determination of the TON and FE for a specific reaction and product (Equation 9, Equation 11, Section 1.5). This section will focus on the main strategies that have been employed to immobilize molecular (and enzymatic) catalysts on “dark” (photo-inactive) electrode surfaces for proton and CO₂ reduction in aqueous media, or at the minimum using water as the primary proton source.

4.2 Electrocatalytic H₂ Evolution

4.2.1 Carbon Electrodes

4.2.1.1 Covalent Grafting

Many of the covalent strategies described in Section 3 have been utilized to graft molecular HECs and CRCs onto carbon surfaces to allow stable anchorage. Modification through electrochemical reduction of an in situ generated 4-aminoethylbenzenediazonium salt at a GC electrode has been employed to form an amide bond upon coupling with activated ester- or carboxylic acid-functionalized [FeFe]-H₂ase mimics (Figure 21a).⁷²²⁻⁷²⁴ However, the immobilized [FeFe]-H₂ase mimic was unstable upon reduction, even in organic solution without acids, and it was shown that this deactivation was due to loss of the carbonyl ligands rather than leaching of the catalyst into solution through hydrolysis of the amide linkage.⁷²⁴ A related [FeFe]-H₂ase mimic featuring a *p*-alkynylbenzeneazadithiolate bridging moiety (**Fe₂33**, Figure 22) was covalently grafted onto edge-plane graphite (EPG) electrodes via “click” chemistry, and an E_{onset} of -0.5 V vs the reversible hydrogen electrode (RHE) could be observed in LSV in strongly acidic aqueous conditions. CPE at $E_{\text{appl}} = -0.7$ V vs RHE for 20 min (Table 1, entry 1) revealed increased stability for this azadithiolate-grafted [FeFe]-H₂ase model, which could result from the decreased steric demand or the proton-shuttling ability of the linker.⁷²⁵

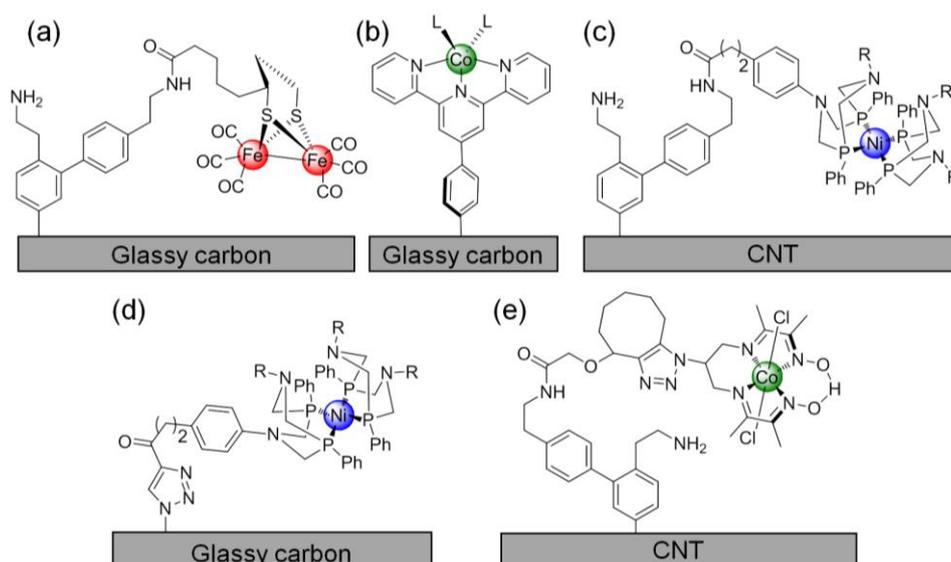


Figure 21. (a) [FeFe]-H₂ase mimic immobilized on an amine modified GC electrode through peptide coupling,⁷²⁴ (b) Co(tpy)L₂ complex on GC through electrochemical reduction of the tpy diazonium salt, followed by metalation,²⁴ (c) Ni DuBois-type catalyst **Ni97** immobilized onto an amine-functionalized CNT electrode through peptide coupling,⁷²⁶ (d) Succinamide analogue of **Ni97** immobilized onto GC initially modified with an azide group,⁷²⁷ (e) Cobalt diimine-dioxime catalyst **Co77** covalently grafted onto an amine functionalized CNT surface through an amide linker.⁷²⁸

H₂SO₄), giving quantitative FE_{H₂} with a TON_{H₂} of 10⁵ after 10 h of CPE at E_{appl} = -0.31 V vs RHE (Table 1, entry 2).⁷²⁶ Remarkably, this hybrid electrode displayed reversible conversion of protons/H₂ at the thermodynamic potential, which is usually only observed with immobilized H₂ases or Pt.⁷²⁶ Thanks to these very specific features, several CNT-Ni DuBois electrode architectures were also employed as noble-metal free molecular anodes in H₂/O₂ fuel cells.⁷²⁹⁻⁷³² Ni DuBois-type HECs have also been covalently grafted onto azide pre-functionalized GC electrodes. This method led to very low surface loading when the succinamide analogue of **Co97** was anchored through a 1,2,3-triazole moiety ($\Gamma \approx 0.1 \text{ nmol cm}^{-2}$), and a TOF_{H₂} of 28 s⁻¹ could be calculated from CV analysis in anhydrous MeCN with [(DMF)H](SO₃CF₃) as a proton source (Figure 21d).⁷²⁷ 1,2,3-Triazole Immobilization was also achieved through cyclization between an azido group at the surface and an alkyne-bearing diphosphine ligand, followed by Ni metalation and addition of more diphosphine to reconstitute the complex on the electrode (no catalytic activity observed).⁷³³ The above examples of DuBois-type HEC covalent immobilization illustrate the versatility of this “P₂N₂” ligand scaffold, as highlighted in Section 2.2.5.1. The straightforward synthesis of these ligands from easily accessible amine starting materials allows the incorporation of a broad range of potential anchoring sites for covalent attachment on electrode surfaces.

In addition to Ni DuBois catalysts, cobaloximes and cobalt diimine-dioximes have also been investigated on carbon surfaces. Immobilization of a cobalt diimine-dioxime moiety on CNTs was achieved by modifying the surface using a diazonium precursor, followed by esterification of the resulting primary amine with a functionalized cyclooctyne moiety (Figure 21e).⁷²⁸ Azide-modified **Co77** (Figure 22) was grafted to the CNT surface through a copper-free 1,3-dipolar cycloaddition with the cyclooctyne, leading to $\Gamma = 4.5 \text{ nmol cm}^{-2}$. The covalently modified hybrid electrode exhibited high activity in aqueous acetate solution (pH 4.5) with a TON_{H₂} of 5.5×10⁴ after 7 h CPE at E_{appl} = -0.59 V vs RHE (FE_{H₂} = 97%; Table 1, entry 3).⁷²⁸ The activity and stability of the Co diimine-dioxime moiety was thus greatly enhanced in comparison with homogenous conditions, where a TON_{H₂} of only ~20 was obtained (Section 2.2.4.1).¹⁹⁹ Immobilization may lead to isolation of the cobalt centers and therefore prevent degradation into other heterogeneous species, as has been seen in homogeneous organic or acidic conditions.^{203-205,734} Moreover, diffusion-free systems may increase ET rates, thereby limiting side reactions such as hydrogenation of the glyoxime ligand.⁷³⁵ However, thorough characterization of molecular integrity pre-catalysis, in *operando*, and post-electrolysis is important for an accurate interpretation of results with immobilized catalysts.^{86,206} Interestingly, cobaloximes and Co diimine-dioximes display O₂ tolerance during catalysis in homogenous solution and when immobilized on carbon

electrodes, acting as oxygen reduction catalysts which produce a mixture of H₂O and H₂O₂.^{191-193,195,640,736} This ability to operate under O₂ is a great advantage, as few catalysts remain active under these conditions.¹⁹⁵

4.2.1.2 Non-covalent grafting

Many early examples of non-covalent grafting used polymer matrices to bind molecular catalysts to carbon electrode surfaces. Immobilization of a tetra-cationic porphyrin (**Co13**, Section 2.2.4.1) was achieved using this technique, by drop-casting a mixture of the HEC and a Nafion solution onto a GC electrode surface. The resulting modified electrode yielded a $\text{TON}_{\text{H}_2} = 26$ at $E_{\text{appl}} = \sim -0.65$ V vs RHE (Table 1, entry 4; Figure 23a).⁷³⁷ Subsequently, a similar strategy employing a basal-plane graphite (BPG) electrode with unmodified CoTPP (**Co54**, Section 2.3.6.1) trapped in a Nafion film showed a $\text{TON}_{\text{H}_2} = 70$ at $E_{\text{appl}} = -0.44$ V vs RHE (Table 1, entry 5).⁷³⁸ Similarly, the use of a polyvinylpyridine (PVP) polymer as a matrix to immobilize different cobalt phthalocyanines (CoPc, **Co38**, Section 2.2.4.2, CoPc(SO₃H)₄, **Co52**, Section 2.3.6.1, and CoPc(CN)₈, **Co78**, Figure 22) gave a TON_{H_2} of up to 2×10^5 after 1 h CPE at $E_{\text{appl}} = -0.64$ V vs RHE at pH 1 (Table 1, entry 6, 7, and 8). Although the molecular integrity of the HECs was not verified after CPE, the polymer matrix may play a critical role in the high activity observed, as outlined below (Section 4.3.1.1).⁷³⁹

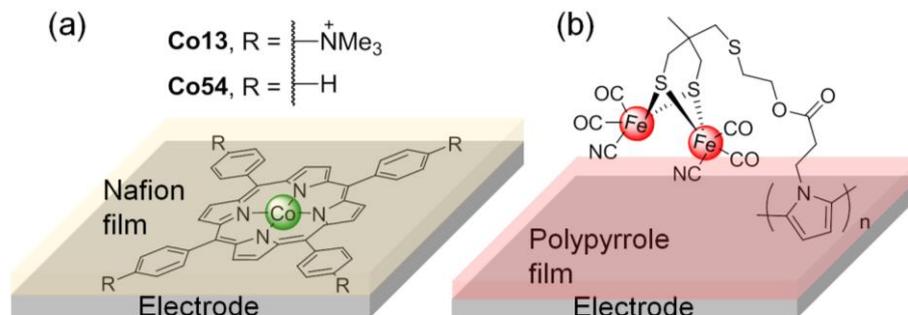


Figure 23. (a) Entrapment of Co porphyrins **Co13** or **Co54** in Nafion films onto a glassy carbon electrode.^{737,738} (b) [Fe-Fe] H₂ase mimic immobilized onto a pyrrole modified glassy carbon electrode.⁶³¹

Following these early studies on the entrapment/co-adsorption of HECs in polymeric structures, several strategies used more defined polymeric matrices to immobilize catalysts on carbon-based electrodes. A DuBois Ni catalyst (not depicted) and [FeFe]-H₂ase mimic were both immobilized on carbon electrode surfaces through the respective formation of polythiophene or polypyrrole films. The HECs were grafted onto the GC surfaces through either electro-oxidation of the thiophene directly on the P₂N₂ ligand framework of the Ni-based catalyst, or after post-modification of a pyrrole film-functionalized electrode surface in the case of the Fe-catalyst (Figure 23b).^{77,631} Both catalysts remained active upon

immobilization and demonstrated activity in organic solvent, as opposed to previously mentioned examples of similar HECs when covalently immobilized. This shows the benefit of polymeric matrices for stabilizing the grafted catalytic core. In a related example, a cobalt dibenzotetraaza[14]annulene (**Co79**, Figure 22) catalyst immobilized onto a GC electrode surface through oxidative electropolymerization of the ligand framework showed moderate activity for proton reduction at $E_{\text{appl}} = -0.69$ V vs RHE at pH 4.6 (Table 1, entry 9).⁷⁴⁰

Over the past few years, modification of CNT electrodes with molecular catalysts through non-covalent π -stacking interactions has been widely employed due to its simplicity. An initial example used tetra-pyrene modified catalyst **Ni98** (Figure 22), resulting in Γ of up to 11 nmol cm^{-2} , and highlighting the benefit of this anchoring strategy (Figure 24a) by giving a 7-fold increase compared to covalently bound DuBois catalyst **Ni97**.⁶⁰⁶ At lower loadings of $\Gamma = 2 \text{ nmol cm}^{-2}$, **Ni98** showed high TON_{H_2} of 8.5×10^4 at $E_{\text{appl}} = -0.3$ V vs RHE. At higher loadings of $\Gamma = 11 \text{ nmol cm}^{-2}$, a current density (J) of up to 16 mA cm^{-2} could be obtained (Table 1, entry 10).⁶⁰⁶ Despite this, lower TONs were obtained compared with the **Ni97** system and could potentially result from the bulky pyrene-modified P_2N_2 framework, which may limit ligand flexibility and hinder the required proton shuttling (Section 2.2.5.1).

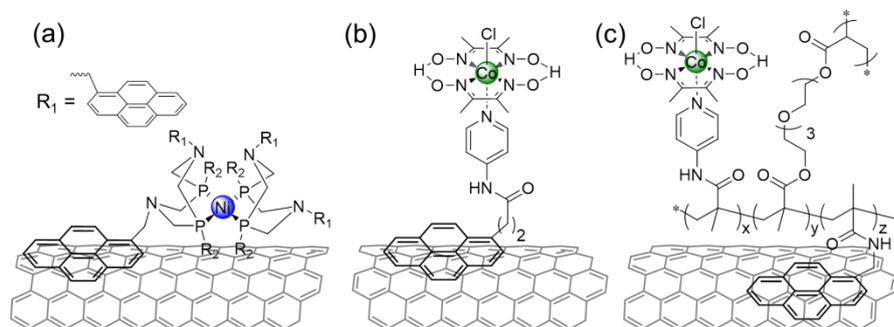


Figure 24. Immobilization of molecular catalysts onto CNT surfaces through π - π -stacking: (a) Ni DuBois catalyst **Ni98**,⁶⁰⁶ (b) cobaloxime bound via an axial pyridine ligand **Co80**,⁶⁴⁰ and (c) cobaloxime ligated via axial pyridine units within a polymer matrix **Co81**.⁶⁴⁰

Cobaloxime derivatives modified with pyrene anchoring groups were also immobilized onto freestanding “buckypaper” CNT electrodes via π - π -interactions.⁶⁴⁰ A “monomeric” cobaloxime (**Co80**) and a cobaloxime integrated within a multifunctional polymeric chain (**Co81**) were compared, with the highly porous morphology of the “buckypaper” electrode allowing immobilization of high amounts of catalyst (Γ up to 200 nmol cm^{-2} ; Figure 24b and c). The polymer-cobaloxime cathode delivered a $\text{TON}_{\text{H}_2} = 420$ after 24 h at $E_{\text{appl}} = -0.31$ V vs RHE at pH 6.5, which is more than five times higher than for the “monomeric” counterpart **Co80** ($\text{TON}_{\text{H}_2} = 80$) (Table 1, entry 11 and 12).⁶⁴⁰ This enhancement was attributed to the multifunctional polymeric matrix, which may facilitate proton diffusion and increase catalyst

stability by entrapping the cobaloxime units in the polymer during turnover. This strategy of combining multifunctional polymer matrices and nanostructured electrodes is of great interest to increase the activity and/or stability of molecular catalysts on electrode surfaces.

The porosity and high surface area of carbon cloth electrodes was successfully employed as a conductive scaffold for H₂ evolution after modification with an alkyne-bearing fullerene (C₆₀) that was “clicked” with an azide-bearing cobalt diimine-dioxime catalyst (**Co82**, Figure 22).⁷²⁰ π - π interactions between the fullerene moiety and surface of the electrode resulted in high Co loading ($\Gamma >$ up to 260 nmol cm⁻²), with the cathodes achieving a TON_{H₂} = 190 after CPE for 1 h at $E_{\text{appl}} = -0.53$ V vs RHE (Table 1, entry 13). It is however difficult to assess the real potential of such electrodes, as a large amount of the HEC remains inactive due to the poor wettability of the assembly and the packed organization of the C₆₀-based catalyst within the electrode matrix. It is worth noting that “click” syntheses often use copper-catalyzed cycloaddition, which can result in replacement of Co by Cu in the diimine-dioxime ligand.^{728,741} Furthermore, as Cu-based HECs are often converted into very active heterogenized H₂ evolving materials during CPE (Section 2.2.6), residual traces of Cu should be thoroughly removed and quantified prior to catalysis.

Several Co bis(benzenedithiolate) derivatives were studied on graphene and highly oriented pyrolytic graphite electrodes using non-covalent hydrophobic interactions (Figure 25a).⁷⁴²⁻⁷⁴⁴ In comparison to the non-substituted benzene dithiolate, extended aromaticity in the naphthalene dithiolate derivative allowed adsorption of higher amounts of catalyst onto the graphitic surface, and decreased η for proton reduction. Other derivatives were also shown to produce H₂ when immobilized on graphite, and a TON_{H₂} = 4.02×10⁶ was determined for the most active cobalt tetrachlorobenzene dithiolate derivative (**Co83**, Figure 22) in acidic conditions (CPE performed at $E_{\text{appl}} = -0.5$ V vs RHE at pH 0.3), despite requiring a relatively high η ($E_{\text{onset}} \sim -0.4$ V vs RHE) (Table 1, entry 14).

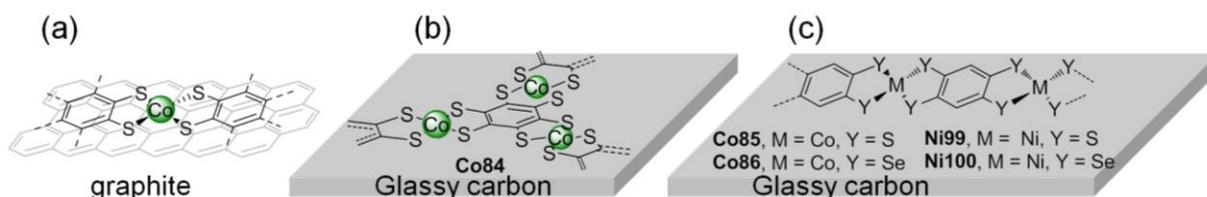


Figure 25. (a) Co dithiolate derivatives adsorbed onto a graphite surface.⁷⁴² (b) 2D Co coordination polymer **Co84** immobilized onto glassy carbon.⁷⁴⁵ (c) Ni or Co 1D coordination polymer immobilized onto glassy carbon.⁷⁴⁶

Two-dimensional molecular films based on cobalt dithiolate derivatives with different aromatic structures were also deposited onto carbon electrode surfaces. The resulting metal organic surface (MOS) films enabled Γ of up to 4×10³ nmol cm⁻² through hydrophobic

interactions.⁷⁴⁵ A similar MOS film consisting of Co benzenehexathiolate (**Co84**, Figure 25b) on a GC plate displayed proton reduction with a FE_{H_2} of 97% after 2 h CPE at $E_{\text{appl}} = -0.65$ V vs RHE (Table 1, entry 15).⁷⁴⁵ CPE for 10 h at less negative potentials ($E_{\text{appl}} = -0.4$ and -0.5 V vs RHE) showed moderate stability for this hybrid material.

Coordination of Ni to the same benzenehexathiolate ligand also led to formation of two-dimensional films, and LSV scans with a rotating disk electrode in H_2SO_4 (0.5 M) displayed an early E_{onset} for proton reduction at -0.11 V vs RHE (product analysis not reported).⁷⁴⁷ One-dimensional coordination polymers generated from Co, Ni, Fe, and Zn with benzene-1,2,4,5-tetrathiol immobilized onto carbon electrodes were also studied.^{748,749} The Ni-based complex (**Ni99**, Figure 25c) showed the most promising activity, followed by the Co counterpart (**Co85**, Figure 25c), with respective E_{onset} at ~ -0.35 and -0.45 V vs RHE. CPE showed steady catalytic current for **Ni99** (~ 3 mA cm^{-2} for 1 h), with a FE_{H_2} of 85% at $E_{\text{appl}} = -0.72$ V vs RHE in aqueous conditions (pH 1.3) (Table 1, entry 16 and 17).^{748,749} A lower FE resulted for the Ni compared to the Co catalyst film, and may be due to degradation under acidic conditions, as observed with films of the parent monomeric Ni compound.⁷⁵⁰

Analogous 1D coordination polymers were also synthesized based on coordination of Co or Ni by the Se-containing ligand benzene-1,2,4,5-tetraselenolate (**Co86** and **Ni100**, Figure 25c).⁷⁴⁶ Both films were deposited onto GC electrode surfaces and shown to be active for proton reduction in acidic conditions. **Co86** showed two successive catalytic waves, attributed to different mechanisms, with the earlier $E_{\text{onset}} = -0.17$ V vs RHE (pH 1.3). CPE at $E_{\text{appl}} = -0.36$ V vs RHE gave a FE_{H_2} of 98% (Table 1, entry 18, see entry 19 for **Ni100**).⁷⁴⁶ The selenium within the [NiFeSe]-hydrogenase-inspired ligand framework^{19,751,752} was proposed to be responsible for the increased activity, enabling complementary catalytic mechanisms.^{223,275,753}

4.2.2 Metal Oxide Electrodes

Metal oxide electrodes provide another means to immobilize molecular catalysts through the use of different surface chemistry, as described in the previous section. Although “dark” electrocatalysis does not require transparent electrodes, this property of many MO_x materials facilitates spectroelectrochemical characterization of the immobilized molecular species to unravel the catalytic mechanism.⁵²⁴ Moreover, catalyst- MO_x architectures can easily be directly transposed onto narrow-bandgap photoelectrodes (see Section 7).

Grafting of a Co diimine-dioxime catalyst (**Co87**, Figure 26) onto a mesoporous indium tin oxide (mesoITO) electrode was achieved via two phosphonic acid groups on the bridge of the diimine-dioxime ligand ($\Gamma = 150$ nmol cm^{-2} ; Figure 27a).⁷⁵⁴ Although a catalytic wave

from the Co complex could be observed with the modified electrode, degradation of ITO in aqueous conditions at negative potentials prevented long-term CPE experiments. The strong coloration of the yellow Co^{3+} , red Co^{2+} , and blue Co^+ oxidation states in the ITO-Co diimine-dioxime assembly allowed for spectroelectrochemical characterization, and also inspired the assembly of a tri-colored electrochromic device.⁷⁵⁵ Three cobaloxime and Co diimine-dioxime catalysts (all bearing phosphonic acid moieties as anchoring groups) were overall synthesized, and their activity for proton reduction compared in a colloidal dye-sensitized system (Section 5.2.3).^{541,543,754} As highlighted already in Section 2.2.4.2, the axially coordinated pyridine becomes labile upon irradiation or reduction. This led to loss of **Co88** from the electrode surface during turnover, whereas the diimine-dioximes **Co87** and **Co89** showed enhanced stability, thus underlining the advantage of covalently linking the catalytic core to the anchoring group in order to prevent leaching of the grafted catalyst.

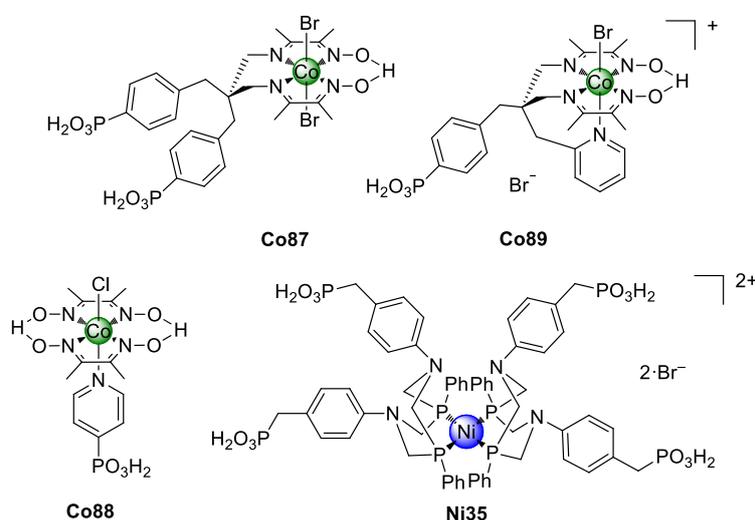


Figure 26. Molecular structure of HECs immobilized on MOx-based electrode.

Photopolymerization of 4-vinylpyridine onto mesoITO has been described, providing an anchoring ligand for a cobaloxime derivative and enabling spectroelectrochemical characterization (**Co90**, Figure 27b).⁷⁵⁶ Despite the instability of ITO at negative potentials, CPE at $E_{\text{appl}} = -0.25$ V vs RHE produced a moderate FE_{H_2} of 50% (Table 1, entry 20).



Figure 27. Immobilization of (a) phosphonated Co diimine-dioxime **Co87** onto mesoITO,⁷⁵⁴ (b) a cobaloxime derivative through coordination of a polymerized polypyridine (**Co90**) onto mesoITO,⁷⁵⁶ and (c) a Ni DuBois onto mesoTiO₂ electrode using phosphonate anchoring **Ni35**.⁷⁵⁷

A cathode employing a mesoporous TiO₂ (mesoTiO₂) surface with a Ni DuBois catalyst bearing four phosphonate anchoring units was assembled for proton reduction (**Ni35**, Figure 27c).⁷⁵⁷ In contrast to ITO, semiconducting TiO₂ hampers electrochemical characterization of the adsorbed catalyst within the ‘forbidden zone’, but provides a conducting scaffold at potentials more negative than the potential of its CB (E_{CB}). CV with a TiO₂ electrode in the absence of adsorbed catalyst displays a “trumpet plot” from charging/discharging of the CB of TiO₂. CV with phosphonated Ni DuBois catalyst immobilized (Γ up to 15 nmol cm⁻²) resulted in an increase of the cathodic process (at an onset close to 0 V vs RHE), and the disappearance of the anodic process upon scan reversal. This observation confirms discharging of the CB of TiO₂ due to charge transfer to the immobilized catalyst, and is further evidenced by spectroelectrochemistry of the ‘blue’ TiO₂ CB electrons that can easily be observed upon charging the CB of TiO₂ at reducing potentials. CPE at $E_{app} = -0.25$ V vs RHE resulted in a TON_{H₂} for **Ni35** of 600 and a FE_{H₂} of almost 90% (Table 1, entry 21).⁷⁵⁷

As illustrated above, porous ITO and TiO₂ provide complementary electrode materials that allow the study of immobilized catalysts at a high loading. The degenerate SC ITO displays a conductive scaffold that is ideally suited for electrochemical characterization coupled with spectroelectrochemistry.⁵²⁴ However, instability at negative potentials prevents its use in electrocatalysis with heterogenized catalysts requiring substantial η . TiO₂ is insulating in the ‘normal’ potential window, but becomes conducting at potentials where ITO degrades. The charging of the TiO₂ CB can be followed spectroscopically and can provide valuable information about ET kinetics to an immobilized species. The robust nature of TiO₂ makes it a suitable material for electrocatalytic fuel synthesis.

4.2.3 Hydrogenase-based Electrocatalysis

Despite their limitations (common O₂ sensitivity, cost of purification, and limited stability), isolated H₂ases are model systems for the development of efficient catalysts for proton

reduction, as they operate at the thermodynamic potential and thereby catalyze proton reduction at remarkable rates at a small η .^{29,758-761} Since the demonstration of direct ET and electrocatalysis by immobilized H₂ases on carbon black, GC, and EPG electrodes,⁷⁶²⁻⁷⁶⁵ many of the properties of different H₂ase enzymes could be unraveled through the use of protein film electrochemistry (PFE).^{760,761} Because H₂ases are sensitive macromolecules, several strategies have emerged to stabilize H₂ase protein films on carbon electrodes. The use of co-adsorbents such as polymyxin,⁷⁶⁵ redox polymers to entrap the protein,^{634,766,767} modified electrodes with higher surface area,^{768,769} or electrostatics and covalent linkages,⁷⁷⁰⁻⁷⁷² have allowed for improvements in protein loading, interfacial electronic communication, and stability for H₂ oxidation and proton reduction.^{619,769,773-776} For instance, porous MO_x electrodes are emerging as versatile platforms that enable high loading of electroactive protein, with excellent stability in defined electrochemical windows, and prospects for spectroelectrochemical investigations.^{777,778}

H₂ases have been immobilized onto porous MO_x electrodes such as TiO₂ and ITO,^{713,779-781} and reversible electrochemistry similar to that observed on carbon electrodes has been demonstrated when the H₂ase active site sits close enough to the conductive substrate (e.g. ITO). Using a hierarchical mesoporous inverse opal ITO (IO-ITO) electrode allowed a high loading of a [NiFeSe]-H₂ase from *Desulfomicrobium baculatum*,⁷⁸² and absolute current densities of up to 1.5 mA cm⁻² (stable over 5 hours) were observed for proton reduction with FE_{H₂} = 96% at $E_{\text{appl}} = -0.24$ V vs RHE (Table 1, entry 22) (Figure 28). As the H₂ase only requires a small η for proton reduction, porous ITO could be employed as a robust and stable electrode material in CPE, giving almost quantitative FE with high TONs, which is yet to be achieved with a synthetic first row transition metal catalyst.

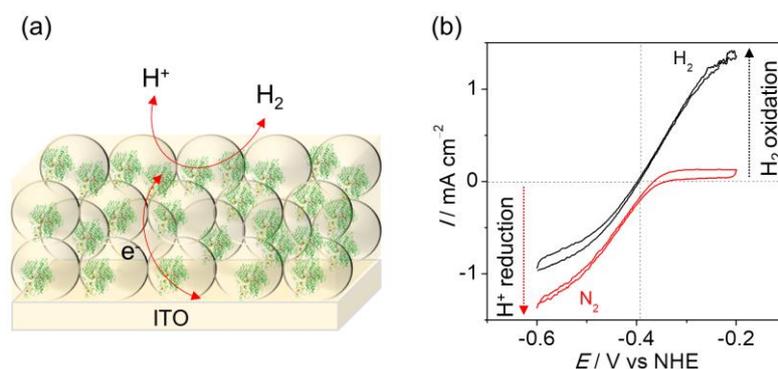


Figure 28. (a) [NiFeSe]-H₂ase immobilized within an IO-ITO electrode. (b) Protein film voltammetry (pH 6.5) of a [NiFeSe]-H₂ase immobilized within an IO-ITO electrode showing reversible electrocatalytic H₂ conversion (proton reduction and H₂ oxidation at the thermodynamic potential).⁷⁸²

Table 1. Electrocatalytic proton reduction under aqueous conditions using electrodes modified with 3d transition metal HECs. All potentials are reported against RHE unless otherwise specified (n/a = not available).

Entry	Electrode	HEC	E_{onset}^a (V)	$ J $ at E_{appl}^a (mA cm ⁻² / V)	CPE duration at E_{appl}^b (h / V)	TON _{H₂} ^b	FE _{H₂} ^b	Conditions	Ref.
1	EPG	Fe₂₃₃	-0.5	n/a	0.33 at -0.7	n/a	91%	H ₂ SO ₄ pH 0.0	725
2	CNTs	Ni97	-0.02	4 at -0.31	10 at -0.31	1×10 ⁵	Quantitative	H ₂ SO ₄ pH 0 to 1	726
3	CNTs	Co77	-0.35	1 at -0.59	7 at -0.59	5.5×10 ⁴	97%	acetate pH 4.5	728
4	GC/Nafion	Co13	-0.14 (pH 7.0)	n/a	1.5 at -0.65	26	n/a	TFA, pH 1.0	737
5	BPG/ Nafion	Co54	n/a	n/a	1 at -0.44	70	n/a	phosphate pH 1.0	738
6	BPG	Co38	-0.34	n/a	1 at -0.64	2×10 ⁵	n/a	phosphate pH 1.0	739
7	BPG	Co52	-0.34	n/a	1 at -0.64	1×10 ³	n/a	phosphate pH 1.0	739
8	BPG	Co78	-0.34	n/a	1 at -0.64	5×10 ⁴	n/a	phosphate pH 1.0	739
9	GC	Co79	-0.43	~ 0.8 at -0.69	1 at -0.69	n/a	90%	NaCl, acetate pH 4.6	740
10	CNTs	Ni98	-0.02	4 at -0.3	6 at -0.3	8.5×10 ⁴	Quantitative	H ₂ SO ₄ pH 0	606

11	CNTs	Co80	-0.1	0.2 at -0.31	5 at -0.31	80	70%	phosphate pH 6.5	640
12	CNTs	Co81	-0.1	0.3 at -0.31	> 10 at -0.31	420	90%	phosphate pH 6.5	640
13	Carbon cloth	Co82	-0.23	2.7 at -0.53	1 at -0.53	190	97%	acetate pH 4.5	720
14	Graphite	Co83	~ -0.40	n/a	8 at -0.50	4.02×10 ⁶	97%	pH 0.3	743
15	GC	Co84	~ -0.15	n/a	2 at -0.65	n/a	97%	NaClO ₄ pH 2.6	745
16	GC	Ni99	~ -0.35	3.0 at -0.72	1 at -0.72	n/a	85%	NaClO ₄ pH 1.3	748
17	GC	Co85	~ -0.45	n/a	2 at -0.72	n/a	97%	NaClO ₄ pH 1.3	749
18	GC	Co86	~ -0.17	n/a	1 at -0.36	n/a	98%	NaClO ₄ pH 1.3	746
19	GC	Ni100	~ -0.25	n/a n/a	1 at -0.72 1 at -0.47	n/a	97% 70%	NaClO ₄ pH 1.3	746
20	mesoITO	Co90	n/a	~ 0.1 at -0.18	0.08 at -0.18	n/a	50%	phosphate pH 7.0	756
21	mesoTiO ₂	Ni35	n/a	~ 0.6 at -0.25	8 at -0.25	600	88%	Na ₂ SO ₄ pH 3.0	757
22	IO-ITO	[NiFeSe]-H ₂ ase	~ 0	1.5 at -0.24	5 at -0.24	n/a	96%	MES pH 6.0	782

Extracted from: ^aLSV measurements, unless otherwise stated; ^bCPE measurements.

4.3 Electrocatalytic CO₂ Reduction

4.3.1 Carbon Electrodes

4.3.1.1 Non-covalent Grafting

Early studies described the integration of Co or Fe macrocycles (in particular phthalocyanins or porphyrins) on carbon surfaces for the electro-reduction of CO₂.⁷⁸³⁻⁷⁸⁷ The first reports involved the “functionalization” of pyrolytic graphite or carbon cloth electrodes via hydrophobic interactions, through drop casting or “impregnation” from solutions containing metallo-macrocycle catalysts with no defined linker for immobilization. Using this method, a CoPc (**Co38**, Section 2.2.4.2) adsorbed on carbon cloth showed high activity for CO₂ reduction at pH 5 with TON_{CO} = 3.7×10⁵ (Table 2, entry 1), but the FE_{CO} remained at 55% due to competing H₂ evolution (Figure 29a).⁷⁸⁴ Other Pcs (Ni, Cu, Fe, Mn) or Co macrocycles adsorbed onto graphite or GC electrodes gave CO and various other products such as formate and CH₄ at large overpotentials in acidic conditions with low FEs.⁷⁸⁸⁻⁷⁹⁰ A related approach employing a Zn-porphyrin (**Zn3**, Section 2.3.9) deposited on commercial polytetrafluoroethylene-treated carbon fiber paper reduces CO₂ to CO with |J| = 2.1 mA cm⁻² at E_{appl} = -1.70 V vs NHE, giving in a FE_{CO} of 95% over 4 h in H₂O:DMF (1:9). Blank experiments in the absence of the complex resulted in only H₂ production, and SEM, XPS, and XANES analyses provided evidence for the stability of **Zn3** during electrolysis.⁵⁰¹

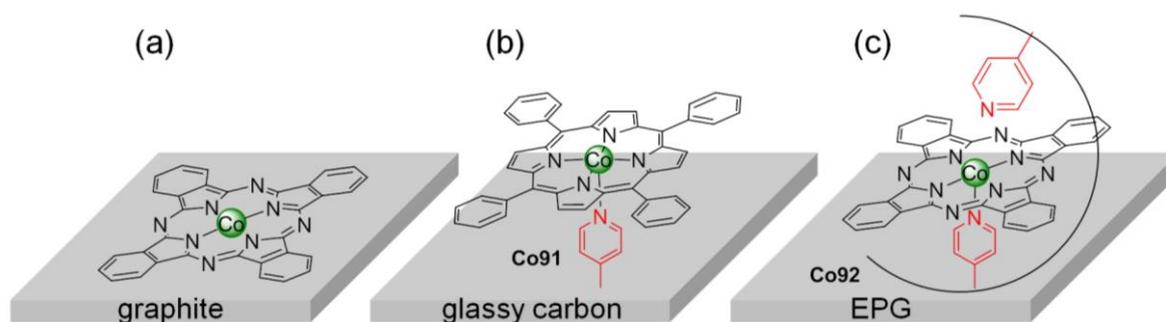


Figure 29. (a) Immobilization of **Co38** onto graphite electrodes through impregnation or drop-casting.⁷⁸⁴ (b) Attachment of **Co91** through coordination to a pyridine moiety grafted onto a glassy carbon electrode.⁷⁹¹ (c) Encapsulation of a CoPc within a polypyridine polymer (**Co92**) acting as an immobilization matrix as well as first and secondary coordination spheres.⁶³⁸

Immobilization of CoTPP or CoPc onto carbon electrodes was also carried out through coordination of the Co centers to pyridine units, enhancing CO₂ reduction to CO compared with the anchor-free systems above. Pyridine bound **Co91** (Figure 29b) displayed a TON_{CO} of 10⁷ and a FE_{CO} > 90% at E_{appl} = -0.5 V vs RHE (Table 2, entry 2).⁷⁹¹ As an alternative strategy, PVP polymers were used as an encapsulating matrix for immobilization of CoPc on graphite electrodes,^{630,792} thus providing relatively stable anchorage of the new catalyst through polymer entrapment (**Co92**, Figure 29c). It was proposed that axial coordination of

the pyridine to the cobalt makes the Co^+ active species more nucleophilic, thereby explaining the high activity for CO_2 reduction.⁶³⁸ In addition, the polymer matrix provides a secondary coordination sphere of pyridine units that can assist with proton transfer during catalytic turnover (Figure 29c).⁶³⁸ CPE gave $\text{TON}_{\text{CO}} = 3.4 \times 10^4$ and $\text{FE}_{\text{CO}} = 89\%$ (Table 2, entry 3), revealing improved performance of the polypyridine entrapped CRC when directly compared to analogous pyridine- and polymer-free systems. These studies highlight how integration of unmodified catalysts within functional electrode surfaces and/or polymeric matrices can alter the first and second coordination spheres, enhancing the activity of the hybrid molecular system.

Several other polymeric matrices, such as Nafion membrane or polyvinyl films, were used to incorporate CRCs onto electrode surfaces. Nafion was used to immobilize a cobalt bis-tpy (**Co60**, Section 2.3.6.1) complex onto a BPG electrode,⁷⁹³ and the resulting assembly was shown to reduce CO_2 to formate in aqueous conditions with a $\text{TON}_{\text{HCO}_2\text{H}} = 11$ and $\text{FE}_{\text{HCO}_2\text{H}} = 51\%$ at $E_{\text{appl}} = -0.68$ V vs RHE after 4.8 h CPE (Table 2, entry 4). **Co93** (Figure 30) and various other metal tpy complexes were electropolymerized onto a GC electrode via a vinyl group on the tpy ligand.⁷⁹⁴⁻⁷⁹⁶ The polymer-modified electrodes produced formaldehyde as the main CO_2 reduction product (Table 2, entry 5). A cobalt chlorin was successfully immobilized in MWCNT films on GC electrodes using Nafion, and CO_2 reduction to CO with $\text{TON}_{\text{CO}} \sim 1100$ and $\text{FE}_{\text{CO}} \sim 89\%$ at $E_{\text{appl}} = -0.62$ V vs RHE in aqueous solution (pH 4.6) was reported (**Co94**, Figure 31a).⁷⁹⁷ An analogous RGO-modified electrode was less active (Table 2, entries 6 and 7), and this was attributed to the higher specific surface generated with the porous MWCNT matrix over RGO. The **Co94**-MWCNT electrode design was later coupled with a BiVO_4 based photoanode, allowing for a 1.5 V decrease in the overall bias voltage necessary to oxidize H_2O and reduce CO_2 through irradiation with visible light.⁷⁹⁸

The same Nafion strategy was also used to entrap qtpy **Co95** (Figure 30) in MWCNT films, using Nafion as a binder.⁷⁹⁹ Immobilized **Co95** was shown to be very active for CO_2 reduction to CO in aqueous solution, with a quantitative FE_{CO} at low η ($E_{\text{appl}} = -0.48$ V vs RHE) for 3.5 h, and a TON_{CO} up to 8.9×10^4 after 4.5 h (Table 2, entry 8). This is far superior to the TON reported with the same CRC under homogeneous conditions in MeCN containing phenol, once again highlighting the benefits of immobilization.⁴⁰⁶ Similar encapsulation of $[\text{MnBr}(\text{bpy})(\text{CO})_3]$ (**Mn1**, Section 2.2.2) on GC surfaces also allowed the use of this catalyst in aqueous solution (Figure 31b).⁶⁰ CPE at $E_{\text{appl}} = -0.88$ V vs RHE gave a TON_{CO} up to 471 with a maximum $\text{FE}_{\text{CO}} = 51\%$ after 4 h (Table 2, entry 9). Here again, immobilization increased activity by an order of magnitude. Additionally, four Mn-bpy derivatives bearing

tert-butyl, hydroxy, or carboxylic acid substituents in the 4,4'-positions were encapsulated in Nafion/MWCNTs on GC electrodes.⁸⁰⁰ However, although drastic differences in the electrocatalytic performance of the catalysts were observed in homogenous organic solution, once immobilized within the Nafion film the hydroxy- and carboxylic acid-containing derivatives produced solely H₂. Only the di-*tert*-butyl Mn complex showed activity for CO production, with TON_{CO} = 46 and FE_{CO} = 23% in CPE at E_{appl} = -0.84 V vs RHE.

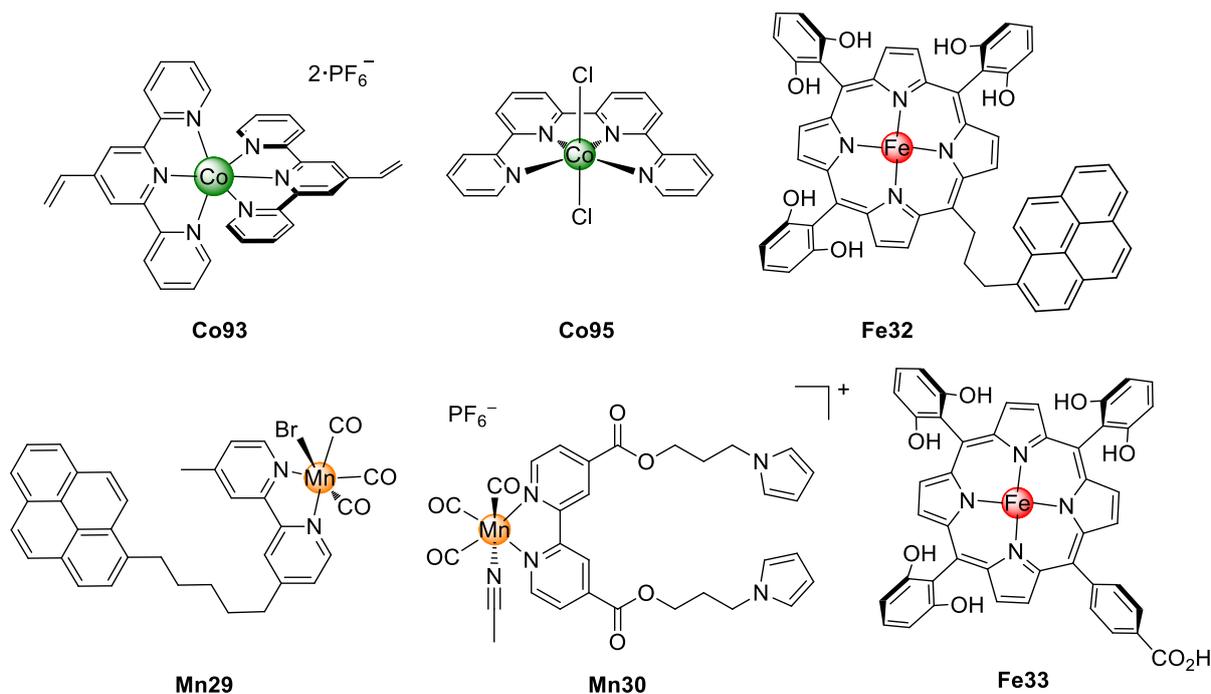


Figure 30. Structure of CRCs immobilized on carbon-based electrode.

Using a different strategy, cobalt protoporphyrin **Co96** (Figure 31c) could be immobilized at the surface of a GC electrode through electro-oxidation of a vinyl group on the porphyrin ring, leading to formation of a film at the electrode surface.^{639,801} The resulting films ($\Gamma \approx 14 \text{ nmol cm}^{-2}$) catalytically reduced CO₂ in aqueous conditions with a FE_{CO} up to 84% at E_{appl} = -0.55 V vs RHE (Table 2, entry 10). A similar strategy allowed the immobilization of an Fe-porphyrin derivative through electro-oxidation of carbazole substituents.⁸⁰² Although this method provided good control over catalyst loading onto the electrode and electrochemical reduction of CO₂ was achieved in MeCN, the film displayed limited stability.

Non-covalent CRC immobilization at carbon electrode surfaces has also involved the use of metal organic framework (MOF) and covalent organic framework (COF) approaches. Successful integration of a Co porphyrin for CO₂ reduction within 3D porous MOF or COF scaffolds at electrode surfaces has been reported (Figure 32).^{803,804} The CRC-modified electrode was assembled by reacting a tetra-carboxylated CoTPP (**Co53**, Section 2.3.6.1)

with Al₂O₃ deposited on a carbon electrode via atomic layer deposition (ALD), giving a **Co53**-Al₂(OH)₂ MOF. A stable electrode with a 50 nm thick MOF-film (**Co97**, Figure 32a) showed activity in CPE for 7 h, giving a TON_{CO} of 1400 with a FE_{CO} of 76% at $E_{\text{appl}} = -0.5$ V vs RHE (Table 2, entry 11).⁸⁰³ Spectroelectrochemistry on the MOF-modified electrode supported that most Co centers were active during catalysis.

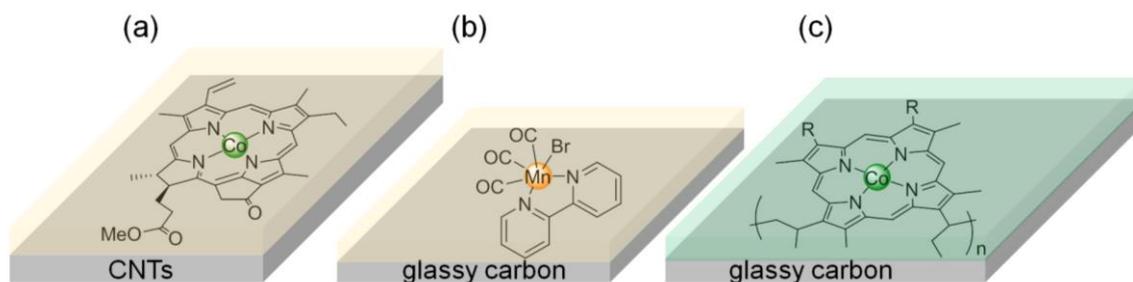


Figure 31. (a) Co chlorin **Co94** encapsulated in a Nafion membrane on CNTs.⁷⁹⁷ (b) Mn-bpy catalyst entrapped into a Nafion matrix on glassy carbon.⁶⁰ (c) Co protoporphyrin IX electropolymerized **Co96** onto a glassy carbon electrode.⁶³⁹

A Co porphyrin-based COF-modified electrode, obtained through imine condensation of a tetra-amino CoTPP derivative and 1,4-benzenedicarboxaldehyde, showed even higher activity and stability (**Co98**, Figure 32b, Table 2, entry 12).⁸⁰⁴ Activity could be enhanced even further through fabrication of a more porous COF structure by using biphenyl-4,4'-dicarboxaldehyde, thus allowing better substrate access to the catalytic site, and giving a Co-based TON_{CO} = 4.8×10^4 and a FE_{CO} of 91% after 24 h CPE at $E_{\text{appl}} = -0.67$ V vs RHE (**Co99**, Figure 32b, Table 2, entry 13). Decreasing the Co loading in the COF structure resulted in an optimized Co-based TON_{CO} of almost 3×10^5 . In addition, this COF strategy was further investigated and the electronic density at the Co porphyrin core could be tuned by substituting the 1,4-benzenedicarboxaldehyde linker with fluorine or methoxy moieties.⁸⁰⁵ These functional groups also induced different hydrophobicity within the COFs, which was linked to changes in selectivity and activity. An immobilized Fe porphyrin-based porous organic cage has also been described for CO₂ reduction.⁸⁰⁶ Increased activity could be obtained with the porous organic cage (**Fe31**, not shown) on CNT-coated GC electrodes when compared with parent FeTPP (**Fe4**, Section 2.2.3.1) modified electrodes at identical catalyst loadings ($\Gamma = 6.78$ nmol cm⁻²). **Fe31** gave almost twice the TONs for CO (TON_{CO} = 5.5×10^4), with improved FE_{CO} of 85% after 24 h CPE at $E_{\text{appl}} = -0.63$ V vs RHE (Table 2, entry 14). The enhanced activity of the porous organic cage-based catalyst was attributed to the more porous nature of the molecular network, allowing better substrate access to the catalytic center within the film.⁸⁰⁶ Strategies utilizing MOF and COF structures to integrate molecular catalysts on surfaces show great promise. They allow control over the architecture

of the resulting films in terms of both steric and electronic features, and can thereby directly impact on the activity of the grafted catalyst.

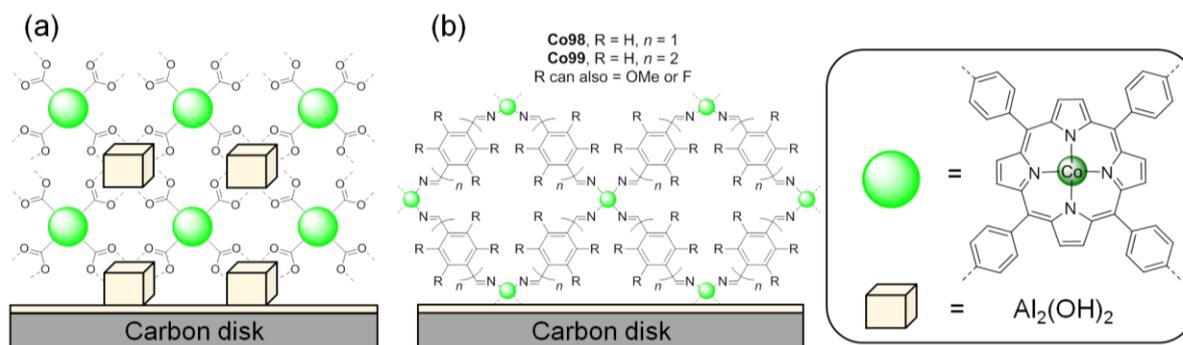


Figure 32. (a) Co porphyrin MOF (**Co97**) based on **Co53**,⁸⁰³ and (b) Co porphyrin based COFs (**Co98** and **Co99**)^{804,805} immobilized onto a thin ALD layer of alumina on a carbon disk electrode.

As another non-covalent immobilization strategy, the relatively stable film formation of metalloporphyrins or phthalocyanins on extended sp^2 carbon surfaces through π - π interactions was exploited with high surface area CNTs. Co phthalocyanin **Co38** was immobilized onto the sidewalls of CNTs (in addition to carbon black and graphene) and a TON_{CO} of 9.7×10^4 could be obtained with $\text{FE}_{\text{CO}} > 90\%$ at $E_{\text{appl}} = -0.63$ V vs RHE for 10 h (Figure 33a; Table 2, entry 15).⁸⁰⁷ Modification of the CRC with electron-withdrawing cyano groups (**Co78**, Figure 22) resulted in a high FE_{CO} of 88% at lower overpotential ($E_{\text{appl}} = -0.46$ V vs RHE), demonstrating the possibility of catalyst tuning through modification of electronic properties (Table 2, entry 16).⁸⁰⁷ Activity of **Co78** for longer than one day with a stable FE_{CO} of 90% has also been shown (Table 2, entry 17).⁸⁰⁸ A later study further investigated the effect of carbon paper surface loading of **Co38** on the catalytic properties of the hybrid material.⁸⁰⁹ Lower loading yielded higher TONs and TOFs for CO, as catalyst aggregation on the surface was prevented. However, improved CO selectivity could be reached at higher loading, where proton reduction at the carbon surface is prevented by the high catalyst surface concentration. Perfluorinated Co phthalocyanin **Co100** (Figure 33b) has similarly been immobilized on a carbon cloth electrode, and achieved a FE_{CO} of 93% at $E_{\text{appl}} = -0.8$ V vs RHE at pH 7.2 (Table 2, entry 18).⁸¹⁰ The same **Co100**-carbon electrode also performs water oxidation, and was used as both a cathode and anode in a two-electrode CO_2 electrolysis cell to produce CO and O_2 at an applied voltage of 2.5 V ($\eta = 1.15$ V) for ~ 3 h. Similar non-covalent immobilization of TPP **Co54** on CNTs (Figure 33c) reduces CO_2 to CO with $|J| = \sim 3$ mA cm^{-2} at $E_{\text{appl}} = -0.67$ V vs RHE, resulting in a FE_{CO} of 91% over ~ 4 h in aqueous conditions at pH 7.3 (Table 2, entry 19).⁸¹¹

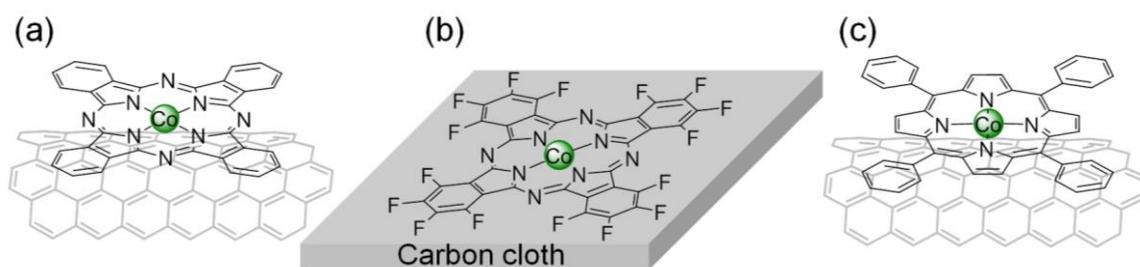


Figure 33. Different Co macrocycles immobilized on π -conjugated surfaces: (a) **Co38** onto a CNT,⁸⁰⁷ (b) **Co100** onto carbon cloth,⁸¹⁰ and (c) **Co54** onto a CNT.⁸¹¹

Non-covalent immobilization of these TPP and Pc catalysts onto π -conjugated surfaces through π - π interactions relies on their planar structures. It was shown that the introduction of hydroxyl groups as proton relays into an Fe porphyrin (**Fe17**) decreases the overpotential and enhances the activity for CO₂ reduction (Section 2.3.5.1).³⁹⁴ Modification of **Fe17** with an additional pyrene unit as an anchoring group (**Fe32**, Figure 30) allowed efficient attachment onto a CNT electrode ($\Gamma = 24 \text{ nmol cm}^{-2}$) and evaluation of performance in water.⁸¹² The **Fe32**-modified electrode produced stable currents of $|J| = 0.2 \text{ mA cm}^{-2}$ for 12 h at $E_{\text{appl}} = -0.6 \text{ V vs RHE}$, reaching a TON_{CO} of 813 with a maximum FE_{CO} of 97% (Table 2, entry 20). Importantly, **Fe32** showed better activity and higher selectivity for CO when compared with similarly immobilized **Fe17** lacking the pyrene anchoring group, emphasizing the importance of the immobilization strategy for performance optimization.

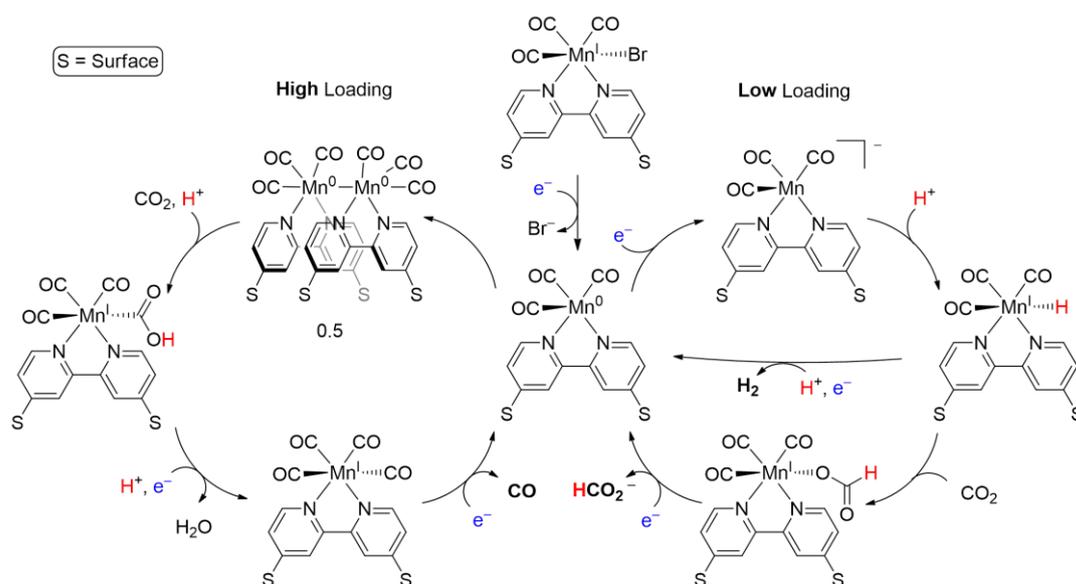


Figure 34. Schematic representation of concentration-dependent mechanism toward CO, HCO₂⁻ and H₂ with [Mn(bpy)(CO)₃]-type CRC immobilized on a MWCNT-electrode.⁶⁴

A similar method was utilized in order to immobilize a [Mn(bpy)(CO)₃]-type catalyst onto MWCNTs through the introduction of a pyrene anchoring group as a substituent of the bpy

ligand (**Mn29**, Figure 30). This strategy allowed excellent control over the catalyst loading on the electrode surface, and different loadings led to the formation of different CO and formate product ratios.⁶⁴ CO was preferentially obtained at high catalyst loadings ($\Gamma > 30 \text{ nmol cm}^{-2}$; $\text{TON}_{\text{CO}} > 1000$ with CPE at $E_{\text{appl}} = -0.6 \text{ V vs RHE}$), whereas formate and H_2 were the main products at lower surface concentrations ($\Gamma < 20 \text{ nmol cm}^{-2}$; $\text{TON}_{\text{HCO}_2\text{H}} > 3000$; Table 2, entry 21 and 22). UV-vis- and IR-SEC characterization demonstrated that a dimer intermediate is involved in the reduction of CO_2 to CO at higher loading, whereas a mononuclear hydride intermediate dominates the catalytic cycle at lower loadings (Figure 34). This work highlights the possibility of tuning catalyst selectivity and stability through manipulation of the catalytic cycle by immobilizing a molecular catalyst.⁶⁴ A $[\text{Mn}(\text{bpy})(\text{CO})_3]$ -catalyst featuring a bpy modified with pyrrole groups (**Mn30**, Figure 30) was also electrodeposited onto a CNT electrode, and showed a TON_{CO} of 722 after 24 h CPE at $E_{\text{appl}} = -0.39 \text{ V vs RHE}$ in aqueous electrolyte, with FE_{CO} up to 87% (Table 2, entry 23).⁸¹³ A beneficial effect of K^+ cations on lowering the overpotential was also identified in this system.

4.3.1.2 Covalent Grafting

In addition to non-covalent immobilization, several of the covalent strategies described above were utilized in order to anchor CRCs at carbon surfaces. Covalent grafting of a tpy ligand onto a GC surface through reduction of a diazonium salt gave a CRC-functionalized electrode (Figure 21b).²⁴ After metalation of the immobilized tpy with Co, the modified electrode was shown not only to reduce protons (see above), but also CO_2 to CO ($\text{TON}_{\text{CO}} = 70$) in DMF. Using a similar method, immobilization of bpy units on a GC electrode via reduction of a diazonium salt and addition of Mn (and Re) allowed for CO_2 reduction catalysis ($\Gamma \approx 1 \text{ nmol cm}^{-2}$, $\text{TON}_{\text{CO}} > 200$) in MeCN/MeOH.³⁷¹

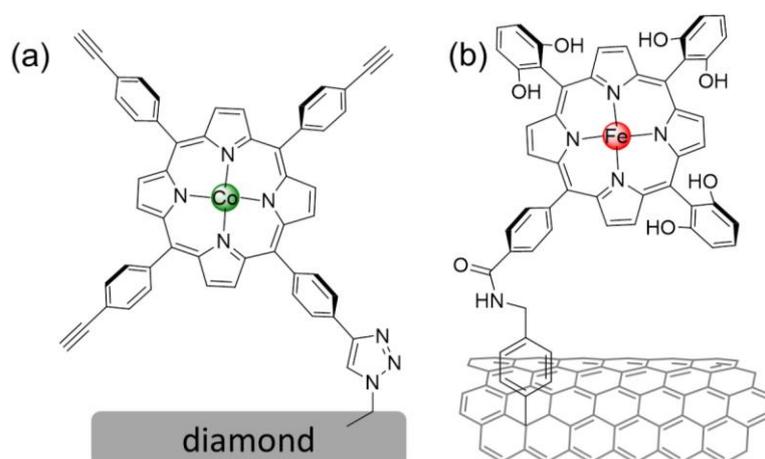


Figure 35. Covalent grafting of (a) a Co porphyrin onto an azide-modified diamond electrode surface using “click” chemistry⁸¹⁴, and (b) **Fe33** onto an amine-modified CNT using peptide coupling⁸¹⁵

Through “click” 1,3-dipolar cycloaddition, a CoTPP substituted with alkyne groups was anchored on an azide-modified boron doped diamond electrode (Figure 35a).⁸¹⁴ The covalent immobilization provided stable production of CO from CO₂ in MeCN (confirmed by isotopic labelling experiments), with a TOF_{CO} of 0.8 s⁻¹ (calculated from 16 h CPE).

The modification of CNT sidewalls through diazonium salt reduction of a protected amine also allowed peptide coupling of a carboxylic acid-modified Fe porphyrin (**Fe33**, Figure 30) after N-deprotection (Figure 35b).⁸¹⁵ The Fe porphyrin-modified CNTs were subsequently deposited onto GC electrodes, and lower loading ($\Gamma = 6.4 \text{ nmol cm}^{-2}$) was obtained compared to the analogous catalyst immobilized via a pyrene anchor (**Fe32**). The covalently bound hydroxy-functionalized Fe porphyrin reduces CO₂ to CO in aqueous electrolyte solution. Currents ($|J| = 0.16 \text{ mA cm}^{-2}$) were sustained during 3 h of CPE, with a TON_{CO} of 750 and a FE_{CO} of 80% at $E_{\text{appl}} = -0.62 \text{ V vs RHE}$ (Table 2, entry 24). This performance is comparable to the pyrene modified **Fe32** catalyst described above, and the slight drop in FE for CO could be attributed to the lower surface loading obtained with covalent grafting, enabling background proton reduction at the CNT electrode surface. Covalent linkage of the parent **Fe17** porphyrin lacking hydroxyl groups also gave activity for CO₂ reduction, but with a lower selectivity (51% after 3 h) most likely due to the absence of the proton relays.

4.3.2 Metal Oxide Electrodes

Most anchoring strategies for CO₂ reducing molecular catalysts have been developed for carbon-based electrodes, but the immobilization of CRCs on MO_x materials is emerging as a popular alternative approach. Electrophoretic deposition of an Fe porphyrin-based MOF onto fluorine-doped tin oxide (FTO)-coated glass substrates has been described, and reduction of CO₂ in MeCN containing TFE gave a TON_{CO} up to 1500 with FE_{CO} of 40% at $E_{\text{appl}} = -1.3 \text{ V vs NHE}$.⁸¹⁶

Using a phosphonate anchoring group, an Fe porphyrin dimer could be immobilized onto an FTO-coated glass electrode for CO₂ reduction in fully aqueous conditions (**Fe₂34**, Figure 36a).⁸¹⁷ Limitations arising from low surface coverage ($\Gamma = 4.6 \times 10^{-3} \text{ nmol cm}^{-2}$) could be overcome by depositing an extra SnO₂ layer, thus increasing the electrode porosity, to reach $\Gamma = 0.26 \text{ nmol cm}^{-2}$. This strategy allowed a stable current ($|J| = 1.5 \text{ mA cm}^{-2}$) at $E_{\text{appl}} = -0.53 \text{ V vs RHE}$ with FE_{CO} = 70% (Table 2, entry 25).

A phosphonate-substituted [MnBr(bpy(PO₃H₂)₂)(CO)₃] complex has been grafted at high loadings ($\Gamma = 34 \text{ nmol cm}^{-2}$) onto a mesoTiO₂ film on an FTO-coated glass electrode (Figure 36b).⁸¹⁸ CV in the presence of CO₂ showed a catalytic wave in H₂O:MeCN (5:95). CPE resulted in a TON_{CO} of 112 with a FE_{CO} of 85%. Interestingly, the transparency of the

TiO₂|ITO|glass electrode architecture enabled in situ SEC measurements, which supported the dynamic formation of a catalytically active Mn dimer at the electrode surface (or at least within the porous scaffold) upon electrochemical reduction.⁸¹⁸ This assignment was confirmed in a related study with a carboxylic acid-bearing Mn-bpy complex immobilized on TiO₂.⁸¹⁹ Anchoring of a Ni-cyclam (**Ni69**, Section 2.3.7.1) via its carboxylate group onto porous TiO₂ was also demonstrated, but no electrocatalytic reduction of CO₂ was reported in this case (Figure 36c).⁸²⁰

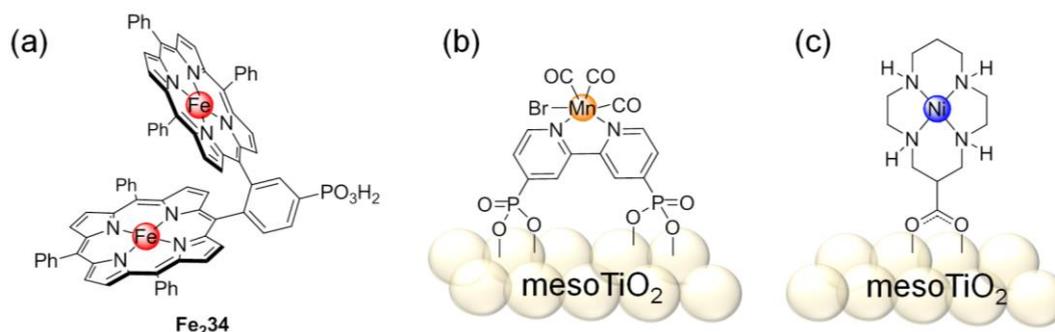


Figure 36. Structure of Fe porphyrin **Fe₂34** bearing a phosphonate anchor.⁸¹⁷ Immobilization of (b) a Mn bpy catalyst modified with phosphonate groups onto mesoTiO₂,⁸¹⁸ and (c) a carboxylic acid modified Ni cyclam (**Ni69**) onto a mesoTiO₂ electrode.⁸²⁰

4.3.3 CO₂ Reductase-based Electrocatalysis

The two-electron reduction of CO₂ is carried out in nature by different types of redox active metalloenzymes. Ni-based CODHs are able to carry out the conversion of CO₂ to CO or the oxidation of CO to CO₂ without the use of additional redox mediators.^{42,821,822} In addition, Mo- and W-based (beyond the scope of this review) formate dehydrogenases (FDHs) allow the reduction of CO₂ to formate and the oxidation of formate to CO₂, reversibly and with direct ET from the electrode surface to the enzyme active site.^{54,56,823,824} There are also several NAD-dependent FDHs where NADH acts as a reducing equivalent.⁸²⁵⁻⁸²⁷

PFE has been used to characterize different [NiFe]-CODHs, as well as [W]- and [Mo]-FDHs, when adsorbed onto electrode surfaces.^{54,56,824,828} [NiFe]-CODH from *Carboxydotherrmus hydrogenoformans* immobilized onto a EPG electrode in the presence of CO and CO₂ displays reversible electrocatalysis, with a cathodic reduction of CO₂ to CO and an anodic oxidation of CO to CO₂ at the thermodynamic potential.⁸²² K_M values for CO and CO₂ at different E_{appl} have also been established, and TOFs as high as 4×10⁴ s⁻¹ were reported (Table 2, entry 26).^{42,821,822} Although beyond the scope of this review, it is worth mentioning that PFE of W-containing FDH from *Syntrophobacter fumaroxidans* and Mo-containing FDH from *Escherichia coli* showed the reversible interconversion of CO₂ and formate when immobilized on graphite electrodes.^{54,56,824} For the [W]-FDH, a TON of 4.2×10⁴ was estimated for a densely packed monolayer of the protein at E_{appl} = -0.35 V vs RHE, with

$FE_{\text{HCO}_2\text{H}}$ of almost 100%. The [Mo]-FDH was shown to display a stronger bias toward CO_2 reduction on a graphite-epoxy electrode compared to the solution assay, and a $FE_{\text{HCO}_2\text{H}}$ of 100% was obtained after 1 h CPE at $E_{\text{appl}} = -0.2 \text{ V vs RHE}$.⁵⁴

As for H_2 ases, PFE of such CO_2 reductases has allowed information to be gathered concerning the mechanisms involved in the reversible catalytic conversion of CO_2 to CO or formate achieved by these metalloenzymes. However, there are still no examples of molecular complexes able to reproduce this reversible catalysis. Surface modification with CRCs combining the different strategies listed above may be the way forward to developing molecular-based electrodes capable of CO_2 reduction, as well as CO or formate oxidation, using elaborate electrode environments inspired by these same CO_2 reductases.

Table 2. Electrocatalytic CO₂ reduction under aqueous conditions using electrodes modified with 3d transition metal CRCs. All potentials are reported against RHE unless otherwise specified (n/a = not available).

Entry	Electrode	CRC	E_{onset}^a (V)	$ J $ at E_{appl}^a (mA cm ⁻² / V)	CPE duration at E_{appl}^b (h / V)	TON ^b	FE ^b	Conditions	Ref.
1	Carbon cloth	Co38	~ -0.4	0.98 at -0.6	n/a at -0.6	3.7×10 ⁵ (CO)	~ 52%	citrate pH 5.0	784
2	GC	Co91	~ -0.4	n/a at -0.5	n/a at -0.5	1×10 ⁷ (CO)	~ 92%	phosphate pH 6.0	791
3	EPG	Co92	~ -0.45	2.5 at -0.72	2 at -0.72	3.4×10 ⁴ (CO)	~ 89%	phosphate pH 4.7	638
4	BPG	Co60	~ -0.63	n/a	4.8 at -0.68	11 (formate)	~ 51%	phosphate pH 7.0	793
5	GC	Co93	n/a	n/a	n/a	1.1×10 ⁴ (H ₂ CO)	39%	NaClO ₄ pH n/a	796
6	CNTs	Co94	~ -0.5	n/a	n/a at -0.83	1100 (CO)	89%	Na ₂ SO ₄ pH 4.6	797
7	RGO	Co94	~ -0.5	n/a	n/a at -0.83	350 (CO)	n/a	Na ₂ SO ₄ pH 4.6	797
8	CNTs	Co95	~ -0.3	~ 9 at -0.48	4.5 at -0.48	8.9×10 ⁴ (CO)	~ 100%	NaHCO ₃ pH 7.3	799
9	GC	Mn1	~ -0.5	n/a	4 at -0.88	471 (CO)	51%	phosphate pH 7.0	60
10	GC	Co96	~ -0.4	~ 0.05 at -0.55	4 at -0.55	n/a (CO)	~ 84%	K ₂ SO ₄ pH 6.5	639
11	Carbon disk	Co97	~ -0.4	~ 1 at -0.7	7 at -0.7	1400 (CO)	~ 76%	KHCO ₃ pH ~7.0	803

12	Carbon disk	Co98	~ -0.4	n/a	24 at -0.67	3.4×10^4 (CO)	90%	KHCO ₃ pH 7.3	804
13	Carbon disk	Co99	~ -0.4	n/a	24 at -0.67	4.8×10^4 (CO)	91%	KHCO ₃ pH 7.3	804
14	GC	Fe31	~ 0.5	~ 0.5 at -0.63	~ 24 at -0.63	5.5×10^4 (CO)	85%	KHCO ₃ pH 7.3	806
15	CNTs	Co38	~ -0.4	10 at -0.63	10 at -0.63	9.7×10^4 (CO)	~ 90%	KHCO ₃ pH 6.8	807
16	CNTs	Co78	~ -0.4	15 at -0.63	1 at -0.63	n/a (CO)	98%	KHCO ₃ pH 6.8	807
17	CNTs	Co78	~ -0.4	~ 12 at -0.54	24 at -0.54	7.7×10^5 (CO)	90%	NaHCO ₃ pH 7.4	808
18	Carbon cloth	Co100	~ -0.45	~ 4 at -0.8	10 at -0.8	n/a (CO)	93%	NaHCO ₃ pH 7.2	810
19	CNTs	Co54	~ -0.43	~3 at -0.67	4 at -0.67	1118 (CO)	91%	KHCO ₃ pH 7.3	811
20	CNTs	Fe32	~ -0.55	~ 0.2 at -0.6	12 at -0.6	813 (CO)	97%	NaHCO ₃ pH 7.3	812
21	CNTs	Mn29 (low loading)	~ -0.45	~ 1 at -0.65	8 at -0.65	3900 (formate)	15%	KHCO ₃ pH 7.4	64
22	CNTs	Mn29 (high loading)	~ -0.45	~ 1 at -0.65	8 at -0.65	1400 (CO)	25%	KHCO ₃ pH 7.4	64
23	CNTs	Mn30	~ -0.25	~ 2.4 at -0.39	24 at -0.39	722 (CO)	87%	K ₂ B ₄ O ₇ K ₂ SO ₄ pH 6.9	813
24	CNTs	Fe33	~ -0.41	~ 0.16 at -0.62	3 at -0.62	750 (CO)	80%	NaHCO ₃ pH 7.3	815

25	SnO ₂	Fe₂34	~ -0.45	~ 1.5 at -0.53	5 at -0.53	n/a (CO)	70%	borate pH 7.0	817
26	EPG	Ni-CODH	~ -0.4	n/a	n/a	n/a	n/a	MES pH 7.0	821

Extracted from: ^aLSV measurements, unless otherwise stated; ^bCPE measurements.

5 Colloidal Photocatalysis

5.1 General Remarks

Colloidal photocatalysis relies on a nanoparticulate assembly involving a light harvester paired with a molecular catalyst placed in solution to achieve a specific substrate conversion. Electrons for fuel synthesis are usually provided by a SED, which regenerate an oxidized light-absorbing SC colloid or a light-harvesting molecule that is immobilized on a colloid. "Colloidal" refers to particles dispersed in a medium that have a dimension in at least one direction between approximately 1 nm and 1 μm , or where discontinuities in the system are found at distances of that order.⁸¹ Supported colloidal photocatalysis implies the existence of electronic communication between the light harvester and the catalyst by means of immobilization. This architecture combines the advantages of homogeneous (e.g. selective chemistry) and heterogeneous catalysis (e.g. stability), and provides a wireless and potentially scalable platform for solar fuel synthesis.⁸²⁹

Colloidal materials can be used in two ways, either as a light harvester (e.g. QDs) (Figure 37a) or as a scaffolding agent (e.g. MO_x , micelles) (Figure 37b). The former employs the colloid to both capture light and inject electrons into a surface-anchored molecular catalyst, whereas the latter only indirectly participates in the photoinduced process, by improving the transfer of charge from an additional PS to the catalyst. In both cases, efficient solar light absorption and the generation of long-lived electrons that are sufficiently reducing to sustain catalysis are required.

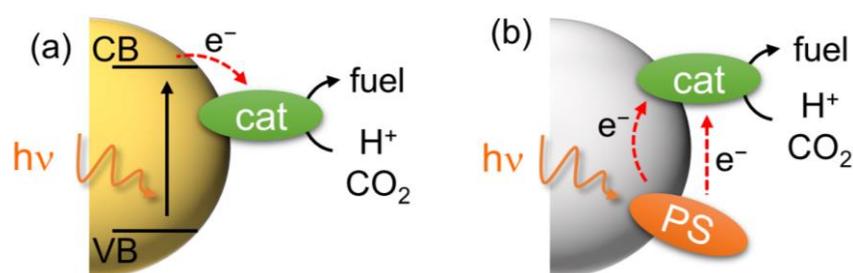


Figure 37. Schematic representation of two classes of supported colloidal photocatalysis where the colloidal material is used either as (a) a light absorber or (b) a scaffolding agent.

Many colloidal photocatalytic systems have been employed for fuel synthesis (in particular proton reduction) by using deposited metal catalysts such as Pt, Co, and Ni at the surface of a colloidal SC.^{829,830} The development of more efficient, robust and selective molecular catalysts paired with a better understanding of grafting molecules on surfaces has given momentum to the development of molecule-supported colloidal photocatalysis for fuel synthesis.^{25,527} Over the past decade, studies addressing catalyst immobilization on/in

carbon-, MO_x -, quantum dot-, or micelle-based colloids have been reported, and this section will summarize examples where a defined immobilization strategy has been employed to link the colloid to a molecular HEC or CRC.

5.2 Photocatalytic H_2 Evolution

5.2.1 Carbon-based Colloids

Among carbon-based materials, graphitic carbon nitride ($\text{g-C}_3\text{N}_4$) is a visible light-absorbing class of scalable material (Figure 38). $\text{g-C}_3\text{N}_4$ is an amorphous polymeric material based on a graphitic carbon-nitrogen assembly with SC-like properties and excellent thermal and chemical stability during irradiation.^{530,831,832} It can be synthesized by condensation of cyanamide, dicyandiamide, or melamine at elevated temperatures.^{833,834} $\text{g-C}_3\text{N}_4$ has a medium-sized bandgap potential ($E_g \approx 2.7$ eV), enabling solar absorption with an CB energy appropriate for fuel synthesis ($E_{\text{CB}} \approx -1.4$ V vs NHE, pH 7).^{833,835} Assemblies of $\text{g-C}_3\text{N}_4$ with noble metal,⁸³⁶ non-noble metal,^{837,838} and in situ deposited solid-state catalysts^{839,840} have been reported, but few examples exist with defined molecular catalysts.^{612,841,842} Visible light irradiation ($\lambda = 420\text{-}740$ nm) of a cobaloxime $[\text{Co}^{3+}\text{Cl}(\text{dmgH})_2(\text{pyridine})]$, **Co3** (Section 2.2.4.1), with $\text{g-C}_3\text{N}_4$ in alkaline aqueous TEOA solution (15% vol) produces H_2 and removal of the UV filter increases the performance by an order of magnitude.⁸⁴¹ Weak interactions between the cobaloxime and $\text{g-C}_3\text{N}_4$ were reported ($\Gamma \approx 5$ nmol $\text{mg}_{\text{C}_3\text{N}_4}^{-1}$) and the catalyst decomposes into cobalt oxide after 8 h irradiation (Table 3, entry 1).^{612,841} Carboxylic acid functionalized **Co101** and pyrene substituted **Co102** both show a higher affinity for $\text{g-C}_3\text{N}_4$, with Γ values of 13 and 18 nmol $\text{mg}_{\text{C}_3\text{N}_4}^{-1}$, respectively (Figure 39; Table 3, entry 2 and 3). Irradiation ($\lambda > 400$ nm) of **Co3**, **Co101**, or **Co102** with $\text{g-C}_3\text{N}_4$ in $\text{MeCN}:\text{H}_2\text{O}$ (9:1) with TEOA gave respective TON_{H_2} values of 195, 234, and 281.⁶¹²

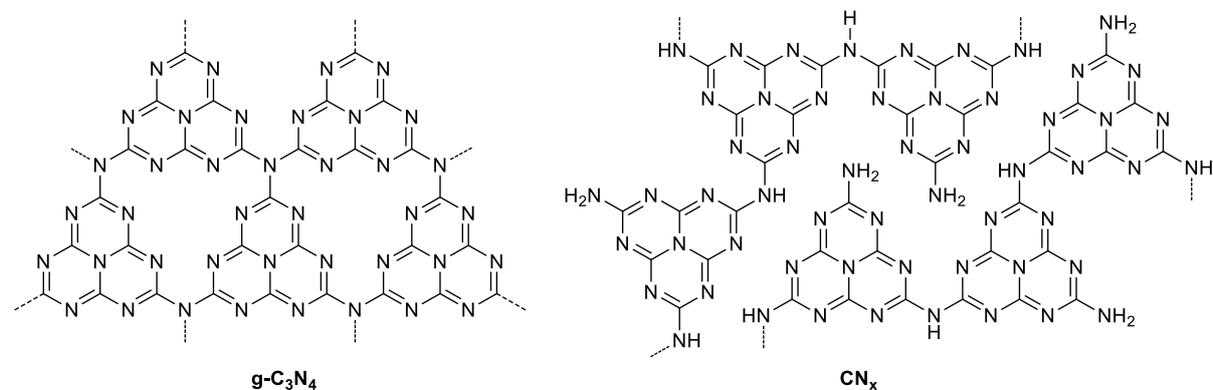


Figure 38. Carbon nitride-based architectures.

A common issue in supported catalysis is detachment of the anchored catalyst from the particle surface, making the distinction between activity arising from immobilized and solubilized catalyst challenging. For **Co3**, **Co101** and **Co102** with g-C₃N₄, H₂ evolution was found to originate from both homogeneous and heterogenized cobaloximes. However, the different photoactivity was assigned to different modes of catalyst adsorption, with π - π -stacking interactions provided by the pyrene anchor affording a more effective interaction between g-C₃N₄ and cobaloxime.⁶¹²

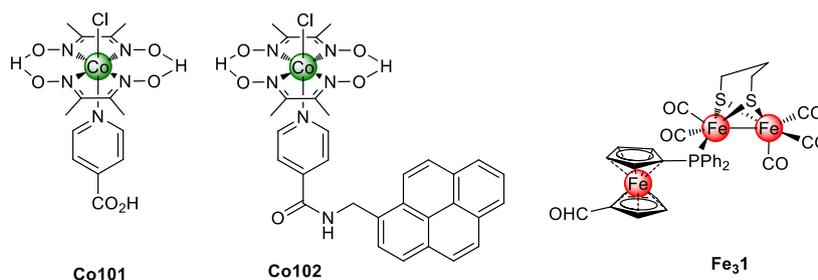


Figure 39. Molecular structures of HEC combined with carbon colloids.

The H₂ase-inspired Ni DuBois catalyst, **Ni35**²⁹⁵ (Section 2.2.5.1), was successfully combined with melon carbon nitride (CN_x, Figure 38), a 1D poly(aminoimino)heptazine material that is less condensed than g-C₃N₄.⁸⁴² Under simulated solar irradiation (AM1.5G, 1 Sun) in EDTA solution, the water-soluble tetraphosphonic acid-bearing **Ni35** ($\eta = 200$ mV at pH 4.5) photogenerated a TOF_{H₂} of 109 mol_{H₂} mol_{cat}⁻¹ h⁻¹ with a TON_{H₂} > 160 after 4 h (Table 3, entry 4). Despite most of the catalyst remaining in solution, weak interactions between **Ni35** and CN_x were suggested to occur via H-bonding ($\Gamma = 2$ nmol_{Ni35} mg_{CN_x}⁻¹). The low lifetime of the photocatalytic system was attributed to the decomposition of the catalyst as the activity was restored upon readdition of **Ni35**. Increase of the catalyst lifetime was also demonstrated by replacing EDTA with 4-methylbenzyl alcohol as a clean electron donor that does not decompose into reactive radicals.⁸⁴³

Addition of RGO as a scaffolding agent in the latter system also resulted in superior performance by interaction with both the catalyst and CN_x, as well as by promoting ET toward the catalyst.⁸⁴⁴ Likewise, GO it was shown to act as an ‘electron-relaying’ scaffold to anchor both a porphyrin and the molecular [FeFe]-H₂ase mimic **Fe₃1** (Figure 39 and Figure 40),⁵³⁴ which also bears a ferrocene moiety to mimic the iron cluster found in natural H₂ase.⁸⁴⁵ CV conducted on **Fe₃1** in MeCN solution revealed an E_{onset} at -2.12 V vs Fc⁺/Fc in the presence of acetic acid with following a mechanism including two successive electrochemical-chemical (EC) steps to reduce protons. The TPP and **Fe₃1** were both covalently bound to the surface of GO via condensation and Prato’s reaction, respectively (Section 3.1.1.1). A high loading of TPP and **Fe₃1** was obtained ($\Gamma = 290$ and 600 nmol

mg_{GO}⁻¹, respectively) and rapid quenching of photoexcited TPP was detected by fluorescence experiments, indicating ET from the TPP to GO. Photocatalytic experiments in the presence of cysteine as a SED at pH 1 resulted in low activity (calculated TON_{H₂} ≈ 3-4), potentially due to the lack of driving force needed to generate the catalytic state of **Fe₃1** from the excited state of TPP, as well as unproductive, competing processes (Table 3, entry 5). Although the system stability and recyclability were demonstrated via repeated experiments and washings, no evidence of catalyst integrity was provided. Nevertheless, this approach holds promise for future exploration of graphene-like materials with molecular catalysts.

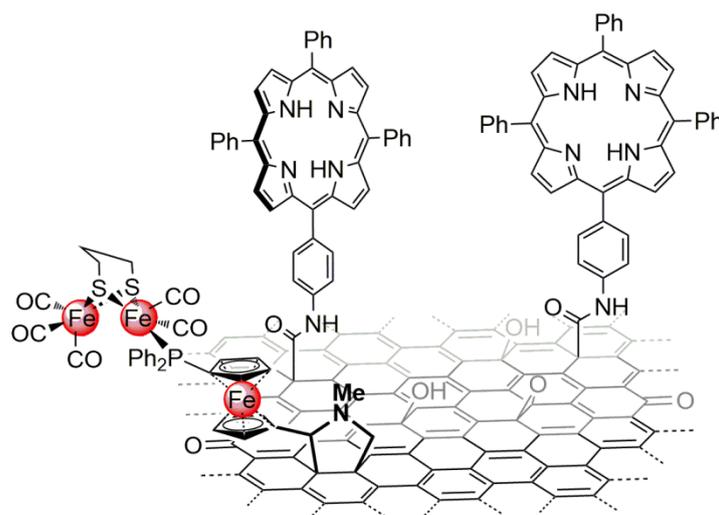


Figure 40. Schematic representation of photocatalytic TPP|GO|**Fe₃1** assembly.⁵³⁴

Alongside the carbon-based colloids discussed above, carbon nanodots are a promising family of 0 D carbon-based architecture that have recently emerged as a low-cost, stable, non-toxic and scalable visible light-harvester toward photocatalytic fuel production.^{531,846} These carbon nanoparticles (ranging from 1–10 nm diameter) consist of a nanoscale carbon core stabilized by oxidized surface groups such as carboxylic acids and alcohols, which impart water solubility and potential for further chemical functionalization. Although not yet combined with anchored molecular catalysts for solar fuel synthesis, several studies have already explored their potential as stable light harvesters when combined with diffusional enzymes,⁵⁷⁷ and molecular Ni and Co catalysts.^{847,848}

5.2.2 Quantum Dots

To date, the vast majority of systems that have investigated transition metal molecular catalysts with QDs were performed under diffusion-limited conditions, with high photocatalytic activities frequently being achieved.^{140,259,689,690,849-853} Examples of QDs combined with 3d transition metal molecular catalysts for H₂ evolution in aqueous conditions are listed below.

Irradiation ($\lambda > 420$ nm) of pyridine-bearing cobaloximes [$\text{Co}^{3+}\text{Cl}(\text{dmgH})_2\text{Py-R}$] and CdS nanoparticles ($\varnothing = 50$ nm) under semi-aqueous conditions resulted in H_2 evolution.⁵³⁷ The catalysts **Co3**, **Co103**, and **Co104** feature the respective axial ligands pyridine, 4-dimethylaminopyridine, and 2-mercaptopyridine (Figure 41). These HECs gave respective Γ of 3, 61, and 268 nmol per mg of nanoparticle, suggesting that amine and, more significantly, thiol groups are suitable anchors for Cd^{2+} coordination sites. The E_{CB} of CdS (~ -0.90 V vs NHE, pH 7) is more negative than E_{onset} of the catalyst (reduction of $[\text{Co}^{2+}]$ to $[\text{Co}^+]$ at approximately -0.8 V vs NHE), and irradiation of the CdS-cobaloxime hybrid system in the presence of lactic acid as a SED resulted in a H_2 evolution rate of 46, 77, and 100 $\mu\text{mol h}^{-1}$ (after 3 h) for **Co3**, **Co103**, and **Co104**, respectively (Table 3, entry 6, 7, and 8). These results highlight the beneficial impact of the thiol group. The system CdS|**Co3** performed for ~ 15 h, delivering a TON_{H_2} of 171, and could be reactivated via addition of fresh catalyst.

A recent study proposed that ET mostly takes place between CdS QDs and adsorbed catalyst (**Co3**), while HECs dissolved in solution contribute little to the photoactivity.⁸⁵⁴ Two modes of adsorption were proposed for **Co3** on the surface of CdS, either in place of the capping ligand or on surface defect sites, with the latter being more efficient ET centers for both free and trapped electrons. Electrostatic interaction between the surface of CdS (isoelectric point of ~ 4.4) and the cobaloxime varies with pH, and affects photocatalytic activity.⁸⁵⁵ The overall charge of **Co3** is essentially zero around neutral and slightly acidic pH, whereas pyridine-free $[\text{Co}^{3+}\text{Cl}_2(\text{dmgH})_2]$ (**Co105**, Figure 41) is negatively charged. As a result, adsorption experiments revealed a superior **Co3** loading at higher pH, whereas **Co105** loads more efficiently at lower pH. In methanolic aqueous solution, photocatalytic activity (TOF_{H_2}) at different pH values for **Co3** followed an opposite trend than expected from loadings, and **Co105** exhibited very little variation (~ 0.012 mol $_{\text{H}_2}$ s $^{-1}$) (Table 3, entry 9 and 10). It was concluded that an electrostatic attraction may actually hinder charge separation efficiency due to acceleration of the backward ET (from the reduced catalyst to the oxidized QD), which ultimately results in lower photocatalytic activity. This highlights that the strength of electrostatic interactions between a catalyst and the surface of the QDs may reach an optimum that ensures sufficiently fast forward paired with slow backward charge transfer. Along the same lines, a recent study demonstrated that stronger alkaline conditions (pH 13.5) can affect the QD-catalyst interface and even unlock a simultaneous two-ET process from the QD to the catalyst, which is energetically favorable compared to two successive one-ET steps.⁸⁵⁶

A phosphonic acid-bearing cobaloxime catalyst **Co88** (Figure 26) was immobilized on CdSe QDs with a protective ZnS shell (66 cobaloximes per QD), and transient absorption spectroscopy (TAS) revealed efficient ET from the CB of the QDs (-1.21 V vs SCE) to the catalyst.⁶⁹⁹ The QD|cobaloxime hybrid system displayed a Co-based $\text{TON}_{\text{H}_2} > 150$ in presence of TEOA as SED in toluene (without H_2O) after 10 h irradiation. Another family of Co catalyst, based on the *N,N*-bis(2-mercaptoethyl)-1,4-diazacycloheptane ligand, was combined with water-soluble thioglycolic acid-stabilized CdTe QDs (TGA-CdTe, $\varnothing \approx 2.7$ nm).⁵³⁸ In the case of **Co106** (Figure 41), the sulfur-rich ligand provides good attachment to the surface and affords a Co complex with a sufficiently positive reduction potential ($E_{1/2}(\text{Co}^{2+/+}) = -1.08$ V vs NHE, pH 5.5) to accept electrons from the CB of the CdTe dots ($E_{\text{CB}} = -2.31$ V vs NHE, pH 5.5).^{538,857} Centrifugation, luminescence, and IR experiments demonstrated that the attachment of the catalyst reaches $\sim 0.45\%$ w/w in the isolated QD|**Co106** hybrid assembly. A TON_{H_2} of 2.3×10^4 was observed during photocatalysis in aqueous AA solution (pH 5.5) after 70 h of irradiation ($\lambda > 400$ nm), with a QY of 5.3% at $\lambda = 400$ nm (Table 3, entry 11). The gradual decrease in H_2 -evolution rate was assigned to aggregation of the QDs, detachment of the grafted complex, and ligand dissociation with the consecutive substitution of the cobalt center in solution generating a less active TGA-Co complex. Transmission electron microscopy showed no metallic deposits, but further experiments to assess the nature of the active catalyst were not conducted. The analogous dimer **Co107** (Figure 41) displayed no anchoring ability and a photocatalytic activity similar to $\text{Co}(\text{NO}_3)_2$ salt, providing insight into the importance of anchoring (Table 3, entry 12).

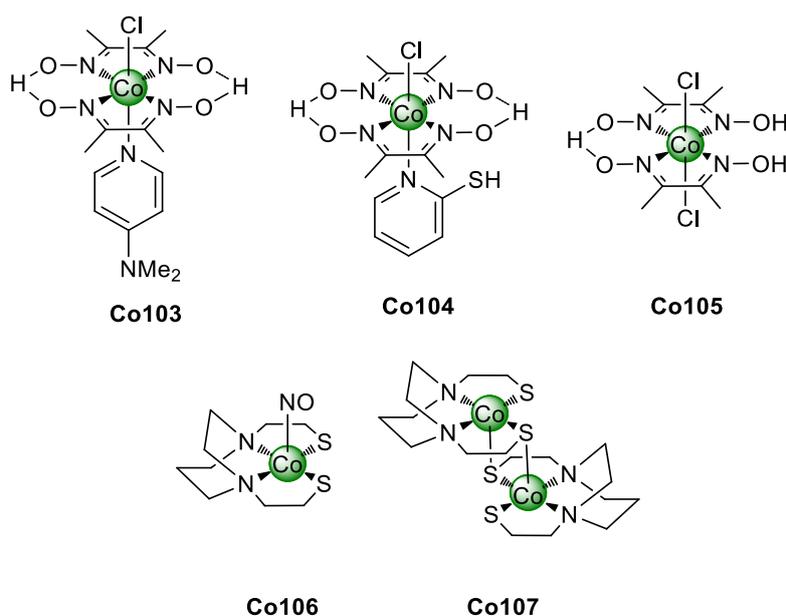


Figure 41. Molecular structure of Co-based catalysts employed with QDs toward H_2 evolution.

Building on previous works combining QDs with [FeFe]-H₂ase in solution,^{688,691,858} synthetic H₂ase mimics have also been interfaced with QDs, yielding high photoactivity for H₂ evolution.^{128,539,851,859-861} Although generalization is not possible, it is worth noting that the formation of iron colloids from the decomposition of [FeFe]-H₂ase-like carbonyl catalysts has often been observed. The resulting Fe particles may catalyze proton reduction, making careful assessment of the active species in such systems essential.^{160,172,862-865}

The [$(\mu$ -SPh-4-NH₂)₂Fe₂(CO)₆] catalyst bearing two amino groups, and unfunctionalized [$(\mu$ -S)₂Fe₂(CO)₆], (**Fe₂35** and **Fe₂36**, respectively, Figure 42), were compared in combination with zinc sulfide nanoparticles ($\varnothing = 50$ nm).⁸⁵¹ ZnS is an n-type SC with a wide bandgap of 3.66 eV, which undergoes anodic photocorrosion in purely aqueous solutions, leading to formation of elemental sulfur and/or sulfate ions.⁸⁶⁶ In hydro-organic media, an eight times higher adsorption coefficient was obtained for **Fe₂35** compared to **Fe₂36**, demonstrating a beneficial affect of the amino groups.⁸⁵¹ Estimation of the E_{onset} of the **Fe₂35** metal-hydride ($E([\text{HFe}^{2+}\text{Fe}^+]/[\text{HFe}^+\text{Fe}^+]) = -1.75$ V vs NHE) revealed that the photoexcited ZnS ($E_{\text{CB}} = -1.85$ V vs NHE, pH 7)⁸⁶⁶ is capable of reducing the HEC. Photocatalysis (unfiltered 300 W Xe lamp irradiation) gave a TOF_{H₂} of 100 h⁻¹ in DMF:H₂O (9:1) with a TON_{H₂} of 2.6×10³ after 38 h, and a QY_{H₂} of 2.5% ($\lambda = 325$ nm; Table 3, entry 13). The catalyst integrity after irradiation experiments was not reported.⁸⁵¹

Although the bandgap of ZnS makes it rather unattractive for solar energy conversion (absorption at $\lambda < 340$ nm), it was also employed under similar conditions in combination with [FeFe]-H₂ase mimics **Fe₂37** and **Fe₂38** (Figure 42), where adsorption of 0.4% (w/w) and 0.03% (w/w) of the assembly was observed, respectively.⁸⁶⁰ IR evidence suggests that a carboxy linkage with coordinatively unsaturated Zn ions on the surface of ZnS occurs in the case of **Fe₂37**, whereas an exposed sulfur group of the surface may support the adsorption of **Fe₂38**. Despite lower loading, **Fe₂38** achieved higher catalytic performance than **Fe₂37** in DMF:H₂O (9:1) containing TEOA, with respective TON_{H₂} values of 4950 and 3400 after 30 h under 300 W irradiation without UV-cutoff filter (Table 3, entry 14 and 15). Although no change in particle size was observed after experiments, IR revealed alteration of the catalyst structure, which may account for the loss of activity after 30 h and/or long-term H₂ evolution.

Replacing ZnS with the water-soluble 3-mercaptopropionic acid-stabilized CdSe QDs (MPA-CdSe, $\varnothing = 1.9$ nm, $E_g = 2.7$ eV) resulted in a relatively high loading of **Fe₂36** (0.78%, w/w), and IR studies confirmed a strong chemisorption of the hydrophobic catalyst onto the QDs.⁸⁵⁹ Although photocatalytic experiments conducted in the absence of catalyst or under homogeneous conditions in hydro-organic media with AA as SED led to some H₂ evolution, attributed to the QDs, results were significantly lower than those achieved in pure water by

the CdSe|**Fe₂36** assembly, realizing a TON_{H₂} of $\sim 8.8 \times 10^3$ after 82 h and an initial TOF_{H₂} of 596 h⁻¹ (Table 3, entry 16). The integrity of the catalyst during experiments was not reported.

An Fe₂S₂ motif anchored on the side chain of a water-soluble polyacrylic acid polymer via an amide bond provided the polymeric catalyst **Fe₂39** (Figure 42), which is soluble in water and permits anchoring onto the surface of CdSe QDs ($\varnothing = 1.8$ nm, $E_g = 3.64$ eV) via coordination between the carboxylic acid and Cd²⁺ atoms.^{539,689,867,868} Photocatalysis of the MPA-CdSe with the Fe₂S₂-polymer catalyst in aqueous AA solution (pH 4.0) reached a TON_{H₂} of $\sim 2.7 \times 10^4$ after 8 h of LED irradiation ($\lambda = 450$ nm), with an initial H₂ evolution TOF_{H₂} of 3.6 s⁻¹ (Table 3, entry 17).⁵³⁹ The catalyst integrity under operating conditions was not reported. Although attachment of the polymer was not quantified, experiments suggest that the polyacrylic acid wrapped around the QD. As a result the distance between the PS and the Fe₂S₂ core was reduced, resulting in rapid ET from the photoexcited MPA-CdSe QDs to the Fe₂S₂ unit, three times faster than hole extraction. Additionally, the wrapping of the polymer also reduces QD aggregation, which improves the lifetime of the photocatalytic system. The performance could be further increased by adding an additional polymer, polyethylenimine (PEI), into the colloidal solution. Polyethylenimine promotes the interaction of CdSe QDs with **Fe₂39** and AA, with PEI also protecting the cadmium chalcogenide nanocrystals from aggregation.^{861,869} Steady-state and time-resolved spectroscopy revealed that PEI enhances the rate of hole transfer up to ~ 30 -fold, helping the system to achieve a TON_{H₂} of $\sim 8.4 \times 10^4$ (Table 3, entry 18).⁸⁶¹

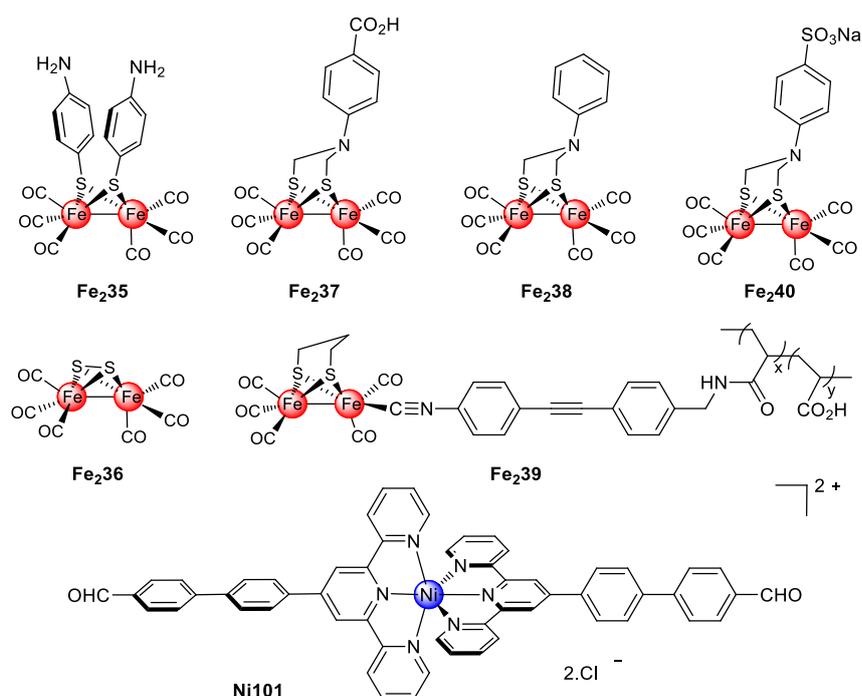


Figure 42. Molecular structure of HECs used with QDs in photocatalysis.

An alternative to the direct anchoring of a catalyst onto the QD surface is employing an additional ‘binder’ to dock the catalyst in solution via a host-guest interaction.¹²⁸ Building on the abilities of β -cyclodextrine (β -CD) to form inclusion complexes with hydrophobic molecules (including [FeFe]-H₂ase mimics),¹²⁷ it was demonstrated that this strategy can deliver superior photocatalytic performances than non-anchored approaches.¹²⁸ Mercaptoacetic acid capped CdSe QDs (MAA-CdSe, $\varnothing = 2.2$ nm) were functionalized via the coordination of a thiolato group-bearing CD to the Cd²⁺-rich surface (Figure 43a), with the CD-cavity being accessible for inclusion of the water-soluble diiron catalyst **Fe₂40** (Figure 42). A mass fraction of 9.7% (w/w) of **Fe₂40** in the CdSe| β -CD assembly was measured by inductively coupled plasma optical emission spectrometry analysis. At pH 4.5, the QDs E_{CB} and the first reduction potential for the **Fe₂40** incorporated into the CD were determined at -1.13 and -0.93 V vs NHE, respectively, demonstrating the exergonic nature of ET between the two entities. Photocatalytic H₂ evolution studies in aqueous AA solution demonstrated that inclusion of the catalyst in the β -CD cavity improves catalyst stability during illumination, giving a TON_{H₂} of 2370 after 28 h (TON_{H₂} of 894 was obtained without β -CD; Table 3, entry 19). In situ IR spectroscopy revealed that the system is limited by aggregation of QDs and degradation of the catalyst.¹²⁸

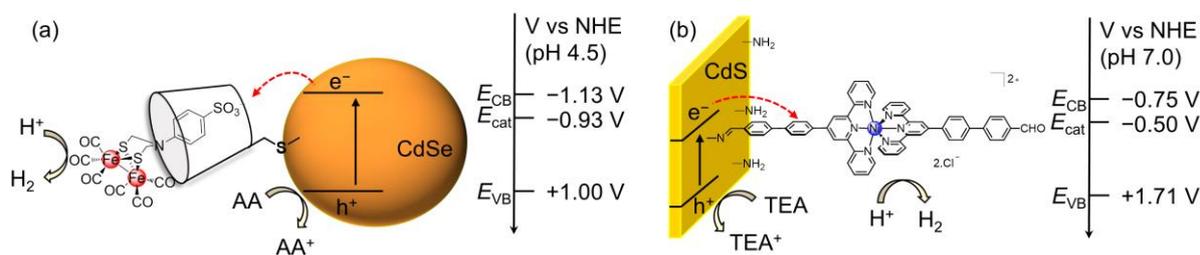


Figure 43. (a) Schematic representation of CdSe| β -CD|**Fe₂40** assembly and related potentials.¹²⁸ (b) Schematic representation of CdS-DETA|**Ni101** assembly and related potentials.⁸⁷⁰ The first reduction potential of each catalyst is shown as E_{cat} .

An inorganic-organic hybrid CdS-diethylenetriamine (CdS-DETA) material, composed of multi-atomic layers of (CdS)_n and DETA molecules, can be covalently functionalized by the modified nickel bis-tpy catalyst, **Ni101**, to perform light-driven H₂ evolution (Figure 42 and Figure 43b).⁸⁷⁰ CdS-DETA nanosheets possess a lateral size distribution of 300-800 nm and a thickness of about 30 nm (the presence of amino groups at its surface is supported by XPS). The E_{VB} maximum of CdS-DETA was estimated at around 1.71 V vs NHE (pH 7) from its XPS valence band spectrum by comparison with TiO₂ anatase (used as a reference), and E_{CB} was calculated at -0.75 V vs NHE ($E_g = 2.46$ eV). The first reduction potential of **Ni101** was measured at around -0.50 V vs NHE, allowing for ET from the QD upon irradiation. The aldehyde groups in **Ni101** permits condensation with a terminal amino-group of the CdS-

DETA nanosheets, resulting in the imine-anchored catalyst in the CdS-DETA|Ni101 assembly. Raman and IR spectroscopy, and EDX analysis confirm attachment of the catalyst with inductively coupled plasma optical emission spectrometry analysis giving a catalyst loading of 0.7% (w/w). Solar irradiation ($\lambda > 420$ nm) in EtOH:H₂O with TEA as SED (alkaline solution) showed a TON_{H₂} of $\sim 4.4 \times 10^4$ (based on the amount of immobilized complexes), an initial TOF_{H₂} of 0.47 s⁻¹, and an apparent QY_{H₂} of 9.9% ($\lambda = 420$ nm; Table 3, entry 20). Although bare CdS-DETA combined with the aldehyde-free Ni bis-tpy in an homogeneous scheme also photogenerates H₂, a higher activity was reached with the CdS-DETA|Ni101 assembly.⁸⁷⁰

5.2.3 Dye-sensitized Semiconductors

Dye-sensitized approaches have been extensively employed in PEC assemblies (discussed in Section 7), with colloidal systems using DSP schemes becoming more commonly used in solar fuel synthesis.^{527,558} Two distinct systems have emerged that can be differentiated by their predominantly ‘on particle’ or ‘through particle’ ET pathways from the dye to catalyst (Figure 44).²⁹⁵ The former involves a DSP system that mainly operates via direct reduction of a catalyst by a nearby photoexcited PS on the particle surface; a mechanism enforced by utilizing an inert SC that is thermodynamically unable to receive electrons from the photoexcited dye. Compared to homogeneous multi-component systems, ET between the dye and catalyst is not diffusion limited in this system, potentially leading to faster ET kinetics. The photocatalytic mechanism of the ‘on particle’ system often proceeds via reductive quenching of the PS excited state (PS*) by the SED, followed by reduction of the catalyst by the reduced PS (PS⁻). In the ‘through particle’ system, the PS excited state is typically oxidatively quenched by the CB of the SC, which shuttles the electrons to the catalyst. The oxidized PS (PS⁺) is regenerated by the SED in a separate process. These systems often benefit from ultra-fast quenching of the PS* and generation of multiple, low-potential electrons that have a long lifetime for fuel synthesis.⁵²⁷

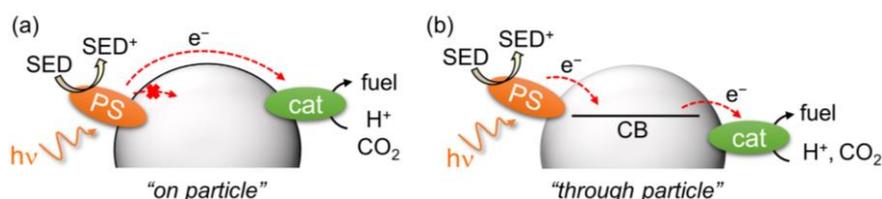


Figure 44. Schematic representation of (a) ‘on particle’ and (b) ‘through particle’ systems in DSP.

The prototype assembly consisted of the HEC **Co88** (Figure 26) and the Ru-based PS, **PS19** (Figure 7), immobilized on TiO₂ nanoparticles via phosphonic acid anchors.⁵⁴¹ This DSP system functions via a ‘through particle’ mechanism and benefits from an efficient PS-

TiO₂ interface that also forms a key component in DSSCs. TiO₂ is the most commonly used wide-bandgap SC in DSP constructs, as its phase composition (anatase or anatase/rutile) and tendency to agglomerate have a great impact on the charge separation properties and the photocatalytic activity.^{871,872} Phosphonic acid groups are commonly used as anchoring moieties as they provide good stability in acidic and pH-neutral aqueous solution (Section 3).⁶⁵⁵ As required for a ‘through particle’ mechanism, E_{onset} of **Co88** at -0.60 V vs NHE is less negative than E_{CB} of TiO₂ at ~ -0.70 V vs NHE (pH 7).⁸⁷³ **PS19** bears two phosphonic acid groups and injects electrons into the CB of TiO₂ with a yield of 97%. Both phosphonated molecules were anchored on TiO₂ (P25, 8/2 anatase/rutile, Brunauer, Emmett, and Teller-surface area of 50 m² g⁻¹) in an aqueous solution (pH 7) containing TEOA as a SED. UV-filtered simulated solar irradiation of the **PS19**|TiO₂|**Co88** assembly generated a Co-based TOF_{H₂} of 15 h⁻¹, with a TON_{H₂} of 108 after 10 h (Table 3, entry 21).^{191,873} For comparison, anchor-free **Co3** loaded only marginally onto the surface ($\Gamma \approx 1.0$ nmol_{Co3} mg_{TiO₂}⁻¹ vs 6.6 nmol_{Co87} mg_{TiO₂}⁻¹), and displayed H₂ evolution activity more than an order of magnitude lower. UV irradiation of TiO₂|**Co88** generates H₂ through bandgap excitation, but photoactivity ceased in the presence of phosphate ions, which displace the catalyst from the SC surface.⁸⁷³ A homogenous system consisting of **PS19** and **Co88** in solution without TiO₂ did not photogenerate H₂ under the same experimental conditions.^{191,873}

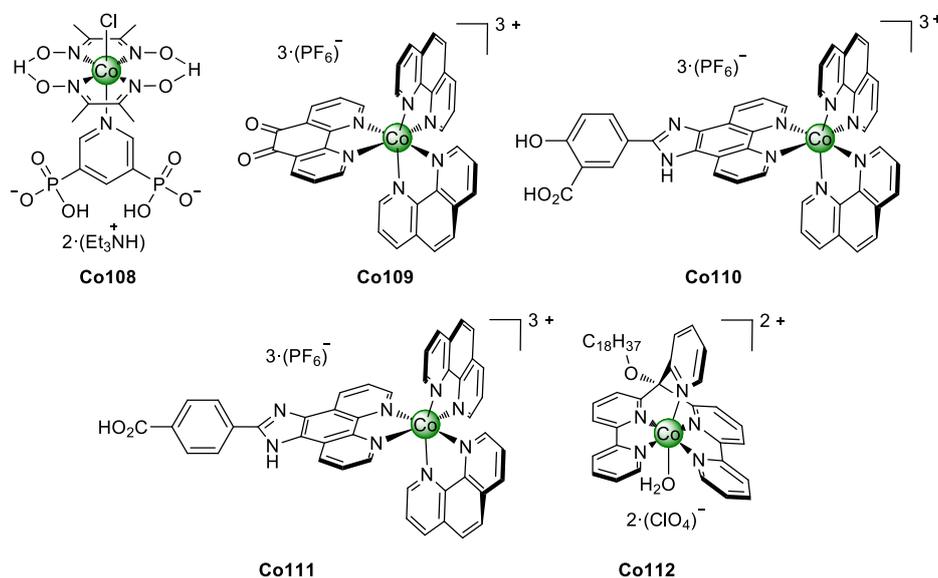


Figure 45. Molecular structure of Co-based HECs employed toward H₂ evolution in DSP systems.

Replacement of TiO₂ by ZnO, SrTiO₃, ZrO₂, CeO₂, or ITO in the previous system resulted in marginal or no H₂ production due to less favorable interactions or mismatching of the CB levels.⁸⁷⁴ This highlights the importance of anchoring the dye and catalyst on a suitable SC scaffold, and demonstrates the exclusive ‘through particle’ H₂-evolving pathway of this

dye|TiO₂|catalyst architecture. Adding more dmgH₂ ligand in solution with **PS19**|TiO₂|**Co88** permits the restoration of catalyst activity and consequently an increase of its activity and stability to TON_{H₂} > 300 after 24 h (Table 3, entry 22). This observation is in agreement with dissociation of the cobaloxime dmgH₂ ligands during photocatalytic turnover (Section 2.2.4.2). Time-resolved spectroscopy on the **PS19**|TiO₂|**Co88** system revealed that visible light absorption at **PS19** generates an almost quantitative and ultrafast charge separation (180 ps) at the dye|TiO₂ interface and ensures the formation of long-lived, low potential electrons.⁸⁷³ Some inefficiency of the system results from slow **PS19**⁺ regeneration by TEOA (~0.1 ms), which is responsible for some recombination losses with CB electrons (~1 ms). The ET from TiO₂ to the **Co88** catalyst [Co^{3+/2+}] occurs on an ~4 μs timescale whereas the second reduction [Co^{2+/+}] is significantly slower (at least 10⁴-fold), which illustrates the need for a faster regenerating system or more efficient two electron reduction.⁸⁷⁵ The straightforward synthesis, good activity, and stability of catalyst **Co88** has promoted its use in several other studies aimed at achieving H₂ evolution with immobilized HECs.^{543,699,876,877} Replacement of **PS19** by other dyes for systems with improved light harvesting has also been reported.^{699,876,877}

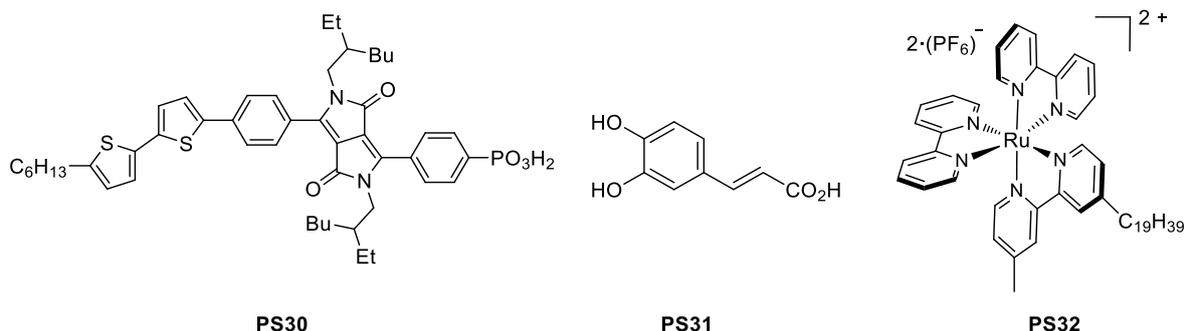


Figure 46. Structures of the PSs used in DSP systems.

Further catalyst structure optimizations have been conducted, with the aim of improving stability and activity. The introduction of an additional phosphonic acid group on the axial pyridine ligand on **Co108** (Figure 45) resulted in increased catalyst loading ($\Gamma = 11.2 \text{ nmol}_{\text{Co108}} \text{ mg}^{-1}_{\text{TiO}_2}$) without leading to higher activity (TON_{H₂} = 99; Table 3, entry 23).⁸⁷³ Catalysts **Co88** and **Co108** suffer from an axial pyridine ligand that becomes labile after initial reduction and dissociates from the Co(dmgH)₂ core. Although the core can readily re-coordinate to the anchored ligand in a bulk DSP suspension through a ‘hop-off, hop-on’ mechanism, a more permanent attachment may result in improved performance.^{527,878} Thus, **Co87** and **Co89** (Figure 26) were designed, where the phosphonic acid moiety was introduced in a more robust, pseudo-macrocyclic diimine-dioxime ligand framework.^{543,754} Although a more robust anchoring was indeed achieved compared to **Co88** and **Co108**,

Co87 evolved smaller amounts of H₂ when immobilized on **PS19**-sensitized TiO₂ nanoparticles (Table 3, entry 24), attributed to its more negative E_{onset} (-0.72 vs NHE at pH 7). Catalyst **Co89** with a covalently tethered phosphonic acid and pyridyl unit was subsequently reported and required a lower overpotential for H₂ evolution. A higher loading capacity was also observed compared to **Co108** and **Co88**, and a TON_{H₂} of 22 was obtained in photocatalysis when combined with a **PS19**-TiO₂ assembly in pH neutral TEOA solution (Table 3, entry 25); superior to the TON_{H₂} reached with **Co87**. As further evidence of its robust nature, the system also maintained activity in aqueous AA solution (pH 4.5), whereas **Co88** and **Co108** were not stable (Table 3, entry 26). Replacing TiO₂ with ZrO₂ prevents the **PS19** photoexcited or reduced state from injecting electrons into the too negative CB energy level of ZrO₂. However, the **PS19**|ZrO₂|**Co89** assembly gave a TON_{H₂} of 10 after 4 h of irradiation (Table 3, entry 27), which was attributed to an ‘on particle’ mechanism enabled by reductive dye quenching by AA (pH 4.5).⁵⁴³

In a comparative kinetic study of the catalysts **Co88**, **Co87** and **Co89** immobilized on TiO₂, ET dynamics at the TiO₂-catalyst interface was studied by UV-light excitation of the SC. Rates correlated with the distance of the catalytic core from the SC surface, resulting in slower rates for forward ET and recombination with increasing distance (Figure 47).⁸⁷⁹ Thus, an ideal catalyst distance from the surface can be envisioned, which allows for sufficiently rapid forward ET and suitably slow recombination for optimal catalyst turnover.

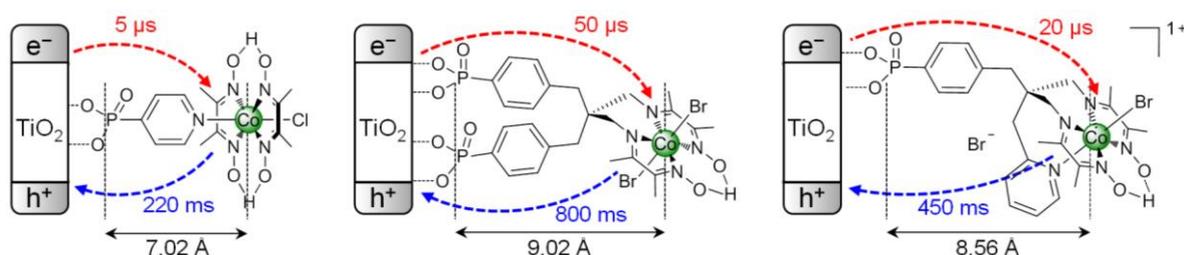


Figure 47. Schematic representation of the anchored catalyst **Co88**, **Co87** and **Co89** and the corresponding Co-phosphonate distance, as well as charge transfer kinetics of the [Co^{3+/2+}] couple.⁸⁷⁹

Photocatalysis (300 W, $\lambda > 420$ nm) of the trisphenantroline-based Co catalysts, **Co109**, **Co110** and **Co111** (Figure 45) with TiO₂ nanoparticles and Eosin-Y (**PS3**, Figure 7) in aqueous TEA solution (pH 11) gave a TON_{H₂} of 9, 90 and 43 after 6 h of irradiation, respectively (Table 3, entry 28, 29 and 30).⁸⁸⁰ The low efficiency of **Co109** was attributed to a weak interaction of the 1,2-diketone unit with the surface of TiO₂, whereas the respective salicylic and carboxylic acid groups in **Co110** and **Co111** provide higher affinity to the MO_x.

The only Ni-based catalyst used in a DSP system is **Ni35**, which strongly anchors to TiO₂ thanks to its four phosphonic acid groups.²⁹⁵ On **PS19**-sensitized TiO₂, a TON_{H₂} of 278 and TOF_{H₂} of 72 h⁻¹ were achieved after 30 h of solar light irradiation (100 mW cm⁻², AM 1.5G, $\lambda > 420$ nm) at pH 4.5 (Table 3, entry 31). Oxidative quenching of **PS19*** by the CB of TiO₂ was 10³ times faster than reductive quenching by AA (180 ps vs 250 ns), thereby favoring a 'through particle' pathway. Replacement of TiO₂ by ZrO₂ also resulted in a high TON_{H₂} due to an efficient 'on particle' mechanism, accessible via reductive quenching of **PS19*** by AA (Table 3, entry 32).²⁹⁵

A series of organic PSs based on the diketopyrrolopyrrole (DPP) chromophore were studied in a precious-metal-free DSP system with **Ni35**.⁸⁷⁷ **PS30** was identified as the best performing DPP dye (Figure 46), and displays a strong and broad absorption in the visible part of the solar spectrum ($\lambda = 400$ to 575 nm, $\epsilon_{496 \text{ nm}} = 2.6 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$). It also features an excited state that allows for electron injection into TiO₂, as well as an oxidized state that can be efficiently regenerated (> 94%) by AA in employed photocatalytic conditions. When anchored onto TiO₂ together with **Ni35** in aqueous conditions, TON_{H₂} values close to that of **PS19** were achieved after 21 h of irradiation (AM1.5G, 1 Sun, $\lambda > 420$ nm, Table 3, entry 33). Using Pt instead of **Ni35**, **PS30** outperformed **PS19** (**PS30**-based TON_{H₂} = 2660; **PS19**-based TON_{H₂} = 431), most likely due to its better light-harvesting properties. No H₂ resulted if TiO₂ was replaced with ZrO₂, indicating that H₂ evolution with **PS30** proceeds only via an oxidative 'through particle' mechanism. The advantage of broad light absorption with **PS30** was illustrated by QY_{H₂} measurements at $\lambda = 500$ (the maximum solar energy emission) and 550 nm, giving a yield of 0.40% and 0.15%, respectively (QY_{H₂} = 0.1% at $\lambda = 500$ nm and 0.0% at $\lambda = 550$ nm with **PS19**).⁸⁷⁷ While most DSP systems rely on a type I sensitizer (transition based on intramolecular excitation), catechol derivatives such as caffeic acid (**PS31**) have been shown to act as type II sensitizers (transition based on dye-to-SC excitation).^{881,882} A **PS31|TiO₂|Ni35** assembly generated a TON_{H₂} of 27 during AM1.5G irradiation (1 Sun, $\lambda > 420$ nm) (Table 3, entry 34).

Hydrophobic interactions have also been shown to produce efficient PS|MO_x|HEC colloidal systems. Such non-covalent anchoring of both catalyst and PS on hydrophobic solids is straightforward, while displacement of the components in aqueous media by protonation or competing (ionic or polar) species is greatly diminished. Photocatalysis can be achieved via 'on particle' ET. C₁₈-functionalized fumed silica nanoparticles (f-SiO₂-C₁₈, Figure 48) were coated with a HEC and PS both bearing lipophilic chains (**Co112**, Figure 45, and **PS32**, Figure 46).⁵⁴² The strong adsorption of both the PS and catalyst was confirmed

by ^{13}C cross polarization magic angle spinning solid state NMR spectroscopy and fluorescence experiments. In order to maintain dispersibility, an amphiphilic surfactant (*N,N,N*-trimethylhexadecyl ammonium acetate, $[\text{C}_{16}\text{-NMe}_3^+][\text{AcO}^-]$) was also loaded onto the nanoparticles. When exposed to LED irradiation ($\lambda = 453 \text{ nm}$) and suspended in an aqueous AA solution (pH 4.0), the PS/HEC-loaded silica particles achieved a **Co112**-based TON_{H_2} of 1322 (Table 3, entry 35). Further experiments conducted after removal of the particles did not produce H_2 , demonstrating that no major amounts of **PS32** and **Co112** leach into solution, and that H_2 evolution occurs at the surface of the silica.

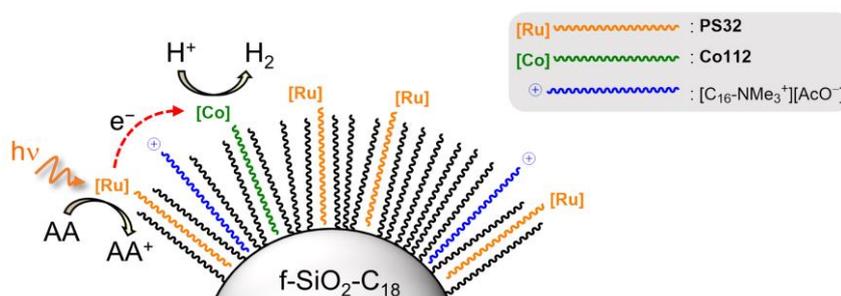


Figure 48. Schematic representation of the **PS32**| $\text{f-SiO}_2\text{-C}_{18}$ |**Co112** assembly for H_2 evolution.⁵⁴²

5.2.4 Micelles and Vesicles

Photocatalytic H_2 production across vesicle membranes was first demonstrated with a zinc porphyrin PS embedded in the lipid bilayer, a rhodium HEC in solution, and MV as an electron mediator.⁸⁸³ Later, a functionalized $[\text{Fe-Fe}]\text{-H}_2\text{ase}$ mimic was also embedded into vesicles at up to 28 mol% of the assembly, but (solar-driven) H_2 evolution was not reported.⁸⁸⁴ Photocatalytic H_2 production in aqueous TEOA (pH 8.3) was first shown with lipid membrane vesicles ($\varnothing = 98 \text{ nm}$ and a polydispersity index of 0.33) based on 1,2-dioleoyl-*sn*-glycero-3-phosphocholine (DOPC) that contained a cobaloxime catalyst, **Co113**, and a Ru dye, **PS33** (Figure 49 and Figure 50), accounting for 12.6 mol% and 3.15 mol% of the assembly, respectively.⁸⁸⁵ Both molecules bear hydrophobic chains to promote embedment into the hydrophobic layer of the vesicles. TON_{H_2} values of 165 and 13 were obtained after 13 and 18 h irradiation using Ru-based **PS33** or the lipophilic **PS34** (Figure 50), respectively (Table 3, entry 36 and 37). This highlights the benefits of using long-lived excited states dye which increase the probability of ETs between the PS the catalyst at the surface of the membrane.

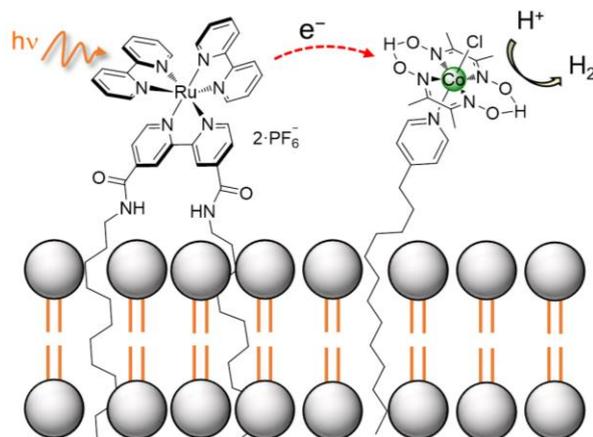


Figure 49. Schematic of **Co113** and **PS33** embedded into a lipid membrane for H_2 evolution (not to scale).⁸⁸⁵

Micelles were also shown to provide an adapted environment for colloidal photocatalysis. An investigation of micelle polarity on photocatalytic performance was provided through the combination of micelles featuring different polarity, with $[Co^{2+}(bpy)_3]Cl_2$ as a catalyst (**Co39**, Section 2.2.4.2), and an Ir complex as a light harvester (**PS5**, Figure 7).⁵⁴⁸ The cationic CTAB micelles demonstrated the best activity, achieving a **Co39**-based TON_{H_2} of 7.9 in aqueous TEOA after 6 h irradiation ($\lambda = 350$ nm, Table 3, entry 38), followed by non-ionic micelles and anionic micelles. All micelle-containing systems allowed catalytic performances superior to those obtained under homogeneous conditions in MeCN:H₂O ($TON_{H_2} = 1.3$). Localization of the PS and HEC molecules in the interior or at the interface of the micro-heterogeneous environment is thought to bring the two components into close proximity, creating a higher effective concentration and thus promoting PS-HEC interaction. Although not directly investigated, it was proposed that the balance of coulombic and hydrophobic forces within cationic micelles positions the catalytic subunits at the interface, an arrangement that provides exposure to TEOA and protons from bulk solution. In contrast, the positively-charged PS and HEC units are thought to be deeply buried within the hydrophobic region of the anionic micelles, limiting access of the substrate and SED.

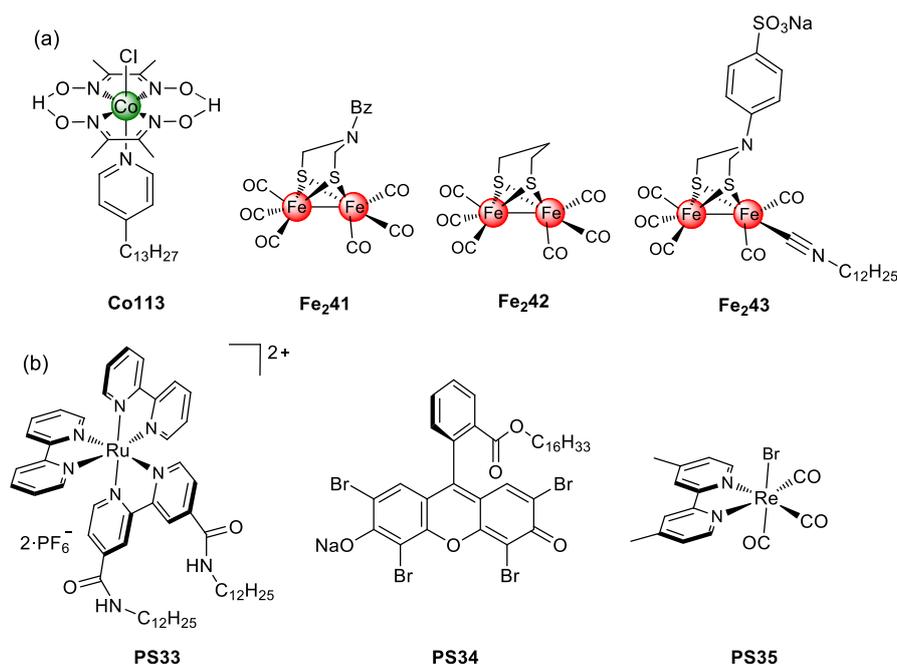


Figure 50. Structure of (a) HECs associated with micelles and vesicles and (b) the accompanying molecular photosensitizer.

A series of hydrophobic [Fe-Fe]-H₂ase mimics were combined with hydrophobic Re-dyes to conduct photocatalytic H₂ evolution in water (Figure 50).⁵⁴⁷ The incorporation of the PS and catalyst into the hydrophobic interior of SDS micelles was verified by spectroscopic studies, showing increasing absorbance and emission of a PS-containing aqueous solution upon addition of an SDS micellar solution. Under light irradiation for ~1 h, only a minimal amount of H₂ evolution was observed with a **Fe₂41**-based TON_{H₂} of 0.13 using **PS35** (Figure 50) in aqueous AA solution (Table 3, entry 39). Despite the limited H₂ evolution activity, probably due to the small exergonicity of the ET from the dye to the catalyst, this work presents a concept of how to integrate an insoluble catalyst or dye to perform catalysis under aqueous conditions. Improved performances were obtained upon replacing the PS with **PS3** and HEC with **Fe₂13** (Figure 7 and Section 2.2.3.1, respectively).¹³⁴ Under LED light irradiation ($\lambda = 455$ nm, 0.3 W) using TEA as a SED (10% v/v) in water (pH 10.5), the micellar system reached TON_{H₂} of 117 after 4.5 h (Table 3, entry 40), which is 4 times higher than SDS-free experiments. System deactivation was attributed to decomposition of the PS, while catalyst homogeneity was assessed by adding Hg⁰. In spite of the presence of SDS micelles, poor solubility of **Fe₂13** under the experimental conditions was also found to be a limiting factor for performance.¹³⁴ Another micelle system based on an amphiphilic polymer similarly demonstrated activity of a hydrophobic catalyst **Fe₂42** (Figure 50) and **PS1** (Figure 7) in an aqueous environment.⁸⁸⁶ **Fe₂42** incorporation was monitored via UV and IR experiments, and a saturated loading of 4.6% (w/w) was recorded without noticeable change

in the particle size. Under LED irradiation ($\lambda = 450$ nm) for 2 h in the presence of AA the system achieved a **Fe₂42**-based TON_{H₂} of 133 (Table 3, entry 41). A TON_{H₂} of only 4.1 was obtained under homogeneous conditions, which highlights the capacity of the micelles to act as ‘cages’ to increase the probability of collision between the catalyst and the PS.

Beside molecular PSs, CdSe QDs have also been incorporated and concentrated into lipid membranes together with the amphiphilic HEC **Fe₂43** (Figure 50), which bears a long hydrophobic chain and a sulfonate group.⁵⁴⁹ DLS measurements and size exclusion chromatography demonstrated the incorporation of both the QDs and catalysts into the membrane of a DOPC-based vesicle. Such embedment permitted the solubilization of the oleic acid-capped CdSe QDs into an aqueous media without precipitation, allowing the latter to be used as a PS. An **Fe₂43**-based TON_{H₂} of 651 was achieved in aqueous AA solution (pH 4) under visible light irradiation (Table 3, entry 42).

5.2.5 Hydrogenase-based Systems

Natural hydrogenases, as the archetypal HEC operating at high rates close to the thermodynamic potential, represent an ideal platform to evaluate the potential of a light harvesting unit. Alongside electrode-immobilized (detailed in Section 4.2.3) and homogenous photocatalysis examples, colloid-anchored H₂ase-based systems have also been achieved with a broad range of materials (e.g. carbon nitride, quantum dots, and metal oxides) due to the versatile anchoring ability of the H₂ases.^{16,19,653,887}

For instance, the [NiFeSe]-H₂ase from *Desulfomicrobium baculatum*, was assembled with melon carbon nitride (CN_x, Figure 38).⁸⁴² Under simulated solar irradiation (AM1.5G, 1 Sun), the hydrogenase generated a TON_{H₂} of 5.0×10⁴ after 48 h in pH 6 EDTA solution (Table 3, entry 43), demonstrating the biocompatibility of CN_x. Although most of the ET occurs under collisional probability, weak interactions between the H₂ase and melon were observed. These supposedly occur due to the formation of hydrogen bonding with the -NH-, terminal -NH₂-, or Lewis basic heptazine edge nitrogens in CN_x and/or electrostatic interactions (zeta-potential = -15 mV at pH 6).^{835,888,889} CdS^{691,858,890-892} and CdTe^{688,893} were also explored as visible light-harvesting quantum materials that are capable of docking with H₂ases, probably relying on electrostatic interactions between the positively charged distal iron-sulfur clusters of the [FeFe]-H₂ase from *Clostridium acetobutylicum* (Hyd^A), and the negatively charged surface of the MPA-capped particles. In the case of CdTe nanodots, the adsorption was monitored by following the decreased mobility of the particles upon the adsorption of the H₂ase. In the presence of AA (pH 4.75) this assembly delivers a QY of 9% at $\lambda = 532$ nm (Table 3, entry 44), and a photon-to-hydrogen efficiency of 1.8% under

simulated sunlight with an enzyme-based TOF_{H_2} of 25 s^{-1} .⁶⁸⁸ Using CdS nanorods instead of CdTe QDs under comparable conditions (AA, pH 7) resulted in an order of magnitude enhancement of the performance, reaching a TOF_{H_2} of 380 s^{-1} and TON_{H_2} of 10^6 (Table 3, entry 45) under monochromatic illumination ($\lambda = 405 \text{ nm}$).⁶⁹¹ The loss of system activity after 4 h was attributed to the progressive oxidation of the MPA capping ligands that permit the docking of the electropositive H_2ase via the electronegative carboxylate groups. Replacement of the C_3 -MPA with longer mercaptocarboxylate capping ligands resulted in a lower H_2 evolution performance, whereas C_2 ligand-based systems delivered the best performance. This effect is believed to be the result of faster ET with shorter alkyl chain ligands, highlighting the importance of having a short distance between the CdS surface and the H_2ase .⁸⁵⁸

Following the use of bare UV-absorbing TiO_2 particles,^{894,895} H_2ase -anchored colloidal systems progressively evolved toward visible-light harvesting dye-sensitized MO_x particles. For instance, *Desulfomicrobium baculatum* [NiFeSe]- H_2ase was successfully combined with Ru complex^{652,781}-, CN_x ⁶⁵⁴ and **PS30**⁸⁷⁷-sensitized P25 TiO_2 . The enzyme achieved a TOF_{H_2} of 50 s^{-1} upon visible light irradiation when attached to a **PS19**-sensitized TiO_2 nanoparticle in aqueous TEOA (pH 7.0). 250 W irradiation with a cutoff filter ($\lambda > 420 \text{ nm}$) was used to avoid TiO_2 direct E_g excitation (Table 3, entry 46).⁶⁵² Replacement of **PS19** by CN_x can generate a direct transition from the dye to the CB of TiO_2 that ultimately permitted a TOF_{H_2} of 8 s^{-1} in aqueous EDTA (pH 6.0) under simulated solar light (Table 3, entry 47).⁶⁵⁴ Similar catalytic performances were achieved with the dye **PS30**, using 2-(*N*-morpholino)ethanesulphonic acid (MES) buffer and AA as a SED (pH 6.0, Table 3, entry 48).⁸⁷⁷

Table 3. Colloidal systems with immobilized 3d metal complex electrocatalysts active for the reduction of aqueous protons (n/a = not available).

Entry	Colloidal PS	HEC	Loading (Interaction)	TON _{H₂} (t / h)	TOF _{H₂} (h ⁻¹)	QY _{H₂} (λ / nm)	Conditions [†]	Illumination	Ref.
1	g-C ₃ N ₄	Co3	5 nmol mg ⁻¹ (n/a)	195 (12)	n/a	n/a	MeCN:H ₂ O, TEOA, (pH 9)	300 W Xe lamp λ > 400 nm	612
2	g-C ₃ N ₄	Co101	13 nmol mg ⁻¹ (n/a)	234 (12)	n/a	n/a	MeCN:H ₂ O, TEOA, (pH 9)	300 W Xe lamp λ > 400 nm	612
3	g-C ₃ N ₄	Co102	18 nmol mg ⁻¹ (π interactions)	281 (12)	n/a	n/a	MeCN:H ₂ O, TEOA, (pH 9)	300 W Xe lamp λ > 400 nm	612
4	CN _x	Ni35	2 nmol mg ⁻¹ (hydrogen bonding)	166 (4)	109	0.37% (365)	H ₂ O, EDTA, pH 4.5	100 mW cm ⁻² AM 1.5G	842
5	TPP GO	Fe₃1	600 nmol mg ⁻¹ (covalent)	3-4 (5)	n/a	n/a	H ₂ O, cystine, pH 1	450 W Hg lamp λ > 380 nm	534
6	CdS	Co3	3 nmol mg ⁻¹ (n/a)	171 (15)	20	9.1% (420)	MeCN:H ₂ O, TEOA	300 W Xe lamp λ > 420 nm	537
7	CdS	Co103	61 nmol mg ⁻¹ (n/a)	n/a	0.077	n/a	DMF:H ₂ O, lactic acid	300 W Xe lamp λ > 420 nm	537
8	CdS	Co104	286 nmol mg ⁻¹ (n/a)	n/a	0.1	n/a	DMF:H ₂ O, lactic acid	300 W Xe lamp λ > 420 nm	537
9	CdS	Co3	3.5-16.8 nmol mg ⁻¹ (pH 4-7) (electrostatic)	n/a	39.6-10.8 (pH 4-7)	n/a	MeOH:H ₂ O	300 W Xe lamp λ > 420 nm	855
10	CdS	Co105	3.9-1.4 nmol mg ⁻¹ (pH 4-7) (electrostatic)	n/a	5.0-3.6 (pH 4-7)	n/a	MeOH:H ₂ O	300 W Xe lamp λ > 420 nm	855

11	CdTe	Co106	0.45%w (Cd ²⁺ coordination)	1.4×10 ⁴ (30) 2.3×10 ⁴ (70)	700	5.3% (400)	H ₂ O, AA, pH 5.5	300 W Xe lamp $\lambda > 400$ nm	538
12	CdTe	Co107	trace (n/a)	4990 (30)	n/a	1.5% (400)	H ₂ O, AA, pH 5.5	300 W Xe lamp $\lambda > 400$ nm	538
13	ZnS	Fe₂35	7.5 nmol mg ⁻¹ (n/a)	2607 (38)	100	2.5% (325)	DMF:H ₂ O, AA	300 W Xe lamp	851
14	ZnS	Fe₂37	0.4%w (Zn ²⁺ coordination)	3400 (30)	151	n/a	DMF:H ₂ O, TEOA (pH 11)	300 W Xe lamp	860
15	ZnS	Fe₂38	0.03%w (Zn ²⁺ coordination)	4950 (30)	282	n/a	DMF:H ₂ O, TEOA (pH 11)	300 W Xe lamp	860
16	CdSe	Fe₂36	0.78%w (n/a)	8781 (82)	596	n/a	H ₂ O, AA, pH 4.0	160 mW cm ⁻² $\lambda = 410$ nm LED	859
17	CdSe	Fe₂39	n/a (Cd ²⁺ coordination)	2.7×10 ⁴ (8)	1.3×10 ⁴	3.2% (450)	H ₂ O, AA, pH 4.0	294 mW cm ⁻² $\lambda = 450$ nm LED	539
18	CdSe	Fe₂39	n/a (Cd ²⁺ coordination)	8.4×10 ⁴ (28)	n/a	n/a	PEI, H ₂ O, AA, pH 4.0	3 W $\lambda = 450$ nm LED	861
19	CdSe- β -CD	Fe₂40	9.7%w (host-guest)	2370 (28)	150	3.2% (400)	H ₂ O, AA pH 4.5	300 W Xe lamp $\lambda > 400$ nm	128
20	CdS-DETA	Ni101	0.7%w (covalent bond)	4.4×10 ⁴ (26)	1692	9.9% (420)	EtOH:H ₂ O, TEA (pH 12.2)	300 W Xe lamp $\lambda > 420$ nm	870
21	PS19 -TiO ₂	Co88	6.6 nmol mg ⁻¹ (chemisorption)	108 (10)	15	n/a	H ₂ O, TEOA pH 7	100 mW cm ⁻² , AM 1.5G, $\lambda >$ 420 nm	873

22	PS19-TiO ₂	Co88 (excess ligand)	6.6 nmol mg ⁻¹ (chemisorption)	>300 (24)	15	n/a	H ₂ O, TEOA dmgH ₂ , pH 7	100 mW cm ⁻² , AM 1.5G, λ > 420 nm	873
23	PS19-TiO ₂	Co108	11.2 nmol mg ⁻¹ (chemisorption)	99 (15)	12	n/a	H ₂ O, TEOA pH 7	100 mW cm ⁻² , AM 1.5G, λ > 420 nm	873
24	PS19-TiO ₂	Co87	n/a (chemisorption)	2 (4)	1	n/a	H ₂ O, TEOA pH 7	100 mW cm ⁻² , AM 1.5G, λ > 420 nm	543
25	PS19-TiO ₂	Co89	12 nmol mg ⁻¹ (chemisorption)	22 (4)	10	0.35% (465)	H ₂ O, TEOA pH 7	100 mW cm ⁻² , AM 1.5G, λ > 420 nm	543
26	PS19-TiO ₂	Co89	12 nmol mg ⁻¹ (chemisorption)	18 (4)	13	n/a	H ₂ O, AA pH 4.5	100 mW cm ⁻² , AM 1.5G, λ > 420 nm	543
27	PS19-ZrO ₂	Co89	n/a (chemisorption)	10 (4)	8	n/a	H ₂ O, AA pH 4.5	100 mW cm ⁻² , AM 1.5G, λ > 420 nm	543
28	PS3, TiO ₂	Co109	n/a (chemisorption)	9 (6)	n/a	n/a	H ₂ O, TEA pH 11	300 W Xe lamp λ > 420 nm	880
29	PS3, TiO ₂	Co110	n/a (chemisorption)	90 (6)	n/a	n/a	H ₂ O, TEA pH 11	300 W Xe lamp λ > 420 nm	880
30	PS3, TiO ₂	Co111	n/a (chemisorption)	43 (6)	n/a	n/a	H ₂ O, TEA pH 11	300 W Xe lamp λ > 420 nm	880
31	PS19-TiO ₂	Ni35	32 nmol mg ⁻¹ (chemisorption)	278 (30)	72	n/a	H ₂ O, AA, pH 4.5	100 mW cm ⁻² , AM 1.5G, λ > 420 nm	295

32	PS19-ZrO₂	Ni35	20 nmol mg ⁻¹ (chemisorption)	524 (30)	92.2	n/a	H ₂ O, AA, pH 4.5	100 mW cm ⁻² , AM 1.5G, $\lambda >$ 420 nm	295
33	PS30-TiO₂	Ni35	1 nmol mg ⁻¹ (chemisorption)	205 (21)	24.5	0.40% (500) 0.15% (550)	H ₂ O, AA, pH 4.5	100 mW cm ⁻² , AM 1.5G, $\lambda >$ 420 nm	877
34	PS31-TiO₂	Ni35	n/a (chemisorption)	27 (3)	n/a	0.09% (420)	H ₂ O, AA, pH 4.5	100 mW cm ⁻² , AM 1.5G, $\lambda >$ 420 nm	881
35	PS32-(SiO₂- C₁₈)	Co112	n/a (hydrophobic)	1322 (n/a)	n/a	n/a	H ₂ O, AA pH 4.0	85 mW, $\lambda = 453$ nm LED	542
36	PS33-DOPC	Co113	12.6%mol (embedment)	165 (13)	n/a	n/a	H ₂ O, TEOA pH 8.3	High power $\lambda = 455$ nm LED	885
37	PS34-DOPC	Co113	n/a (embedment)	13 (18)	n/a	n/a	H ₂ O, TEOA pH 7.5	High power $\lambda = 535$ nm LED	885
38	PS5-CTAB	Co39	n/a (embedment or adsorption)	7.9 (6)	n/a	n/a	MeCN:H ₂ O, TEOA, acidic	1000 W, $\lambda >$ 420 nm IR filter	548
39	PS35-SDS	Fe₂41	n/a (embedment)	~ 0.13 (1)	n/a	n/a	H ₂ O, AA n/a	500 W, Hg lamp, glass filter	547
40	PS3-SDS	Fe₂13	n/a (embedment)	117 (4.5)	n/a	n/a	H ₂ O:Et ₃ N (pH 10.5)	$\lambda = 455$ nm LED	134
41	PS1-polymer	Fe₂42	4.6%w (embedment)	133 (2)	n/a	n/a	H ₂ O, AA pH 4.0	$\lambda = 450$ nm LED	886
42	CdSe-DOPC	Fe₂43	5.2%mol (embedment)	651 (72)	n/a	n/a	H ₂ O, AA pH 4	91 mW cm ² , $\lambda =$ 455 nm, blue LEDs	549

43	CN _x	[NiFeSe]- H ₂ ase	n/a (physisorption)	5.0×10 ⁴ (48)	5.5×10 ³	7×10 ⁻² % (365) 5×10 ⁻³ % (465)	H ₂ O, EDTA pH 6	100 mW cm ⁻² , AM 1.5G, λ > 300 nm	842
44	CdTe	[FeFe]- H ₂ ase	n/a (electrostatic)	n/a	9.0×10 ⁴	9% (532)	H ₂ O, AA pH 4.5	150 W halogen lamp AM 3	688
45	nanorod-CdS	[FeFe]- H ₂ ase	1 H ₂ ase per CdS (electrostatic)	1×10 ⁶ (4)	1.4×10 ⁶	20% (405)	H ₂ O, AA pH 7.0	800 μmol m ⁻² s ⁻¹ λ = 405 nm LED	691
46	PS19 -TiO ₂	[NiFeSe]- H ₂ ase	1.8×10 ⁻² nmol mg ⁻¹ (chemisorption)	3.4×10 ⁵ (4)	1.8×10 ⁵	n/a	H ₂ O, TEOA pH 7.0	250 W, tungsten halogen lamp λ > 420 nm	652
47	CN _x -TiO ₂	[NiFeSe]- H ₂ ase	0.1 H ₂ ase per CN _x - TiO ₂ particle (chemisorption)	5.8×10 ⁵ (72)	2.8×10 ⁴	0.51% (400)	H ₂ O, EDTA pH 6.0	100 mW cm ⁻² , AM 1.5G, λ > 420 nm	654
48	PS30 -TiO ₂	[NiFeSe]- H ₂ ase	n/a (chemisorption)	8.8×10 ⁴ (21)	8.7×10 ³	n/a	H ₂ O, AA-MES pH 6.0	100 mW cm ⁻² , AM 1.5G, λ > 420 nm	877

[†] In the case of solvent mixtures, apparent pH values are indicated in parentheses.

5.3 Photocatalytic CO₂ Reduction

Although some homogeneous systems have achieved good performances for CO₂ reduction, these systems still often suffer from drawbacks associated with product isolation, catalyst recovery, limited stability, and low water compatibility of both the light-harvester and the catalyst. Supported catalysis for CO₂ reduction appears as an attractive solution to most of these limitations. Successful examples of colloidal-based molecular reduction of CO₂ in the presence of water have been reported, but most cases employ Ru- or Re-based catalysts and/or largely organic media.⁸⁹⁶⁻⁹⁰⁵ State-of-the-art systems in water-containing media have reached CO₂-reduction TONs > 2000 for Ru-based catalysts on carbon nitride, and TONs of ~1000 for Re-based catalysts on TiO₂ nanoparticles.^{898,903} Only a small number of examples of colloidal systems involving immobilized first row transition 3d metals for CO₂ reduction have been reported.

5.3.1 Carbon-based Colloids

An iron porphyrin catalyst bearing four carboxylic acid groups (**Fe34**, Figure 51) is able to functionalize carbon nitride nanosheets via both π - π interactions, observed by X-ray diffraction, and supposedly via H-bonding between the -CO₂H groups and the amino groups or N-containing rings.⁹⁰⁶ The g-C₃N₄ nanosheets display a high surface area (135.8 m² g⁻¹) and the anchoring of the catalyst is also supported by IR spectrometry, as well as g-C₃N₄ photoluminescence quenching experiments. Under irradiation (220 mW cm⁻²) in an MeCN:H₂O solution, a TON_{CO} of 5.7 was observed with high selectivity (Table 4, entry 1). The benefit of the carboxylic acid groups was confirmed as the corresponding carboxylic acid-free parent FeTPP (**Fe4**) only achieved a quarter of the activity. This could be due to improved attachment to g-C₃N₄, or a more positive reduction potential due to the electron-withdrawing effect of the -CO₂H that renders the ET more exergonic.

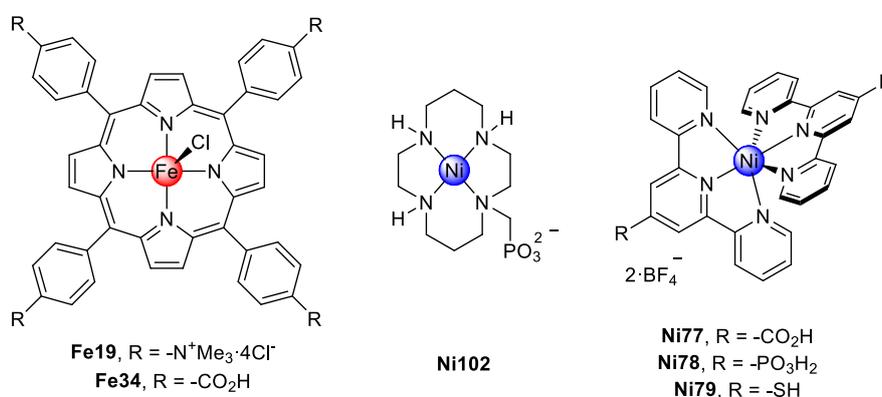


Figure 51. Molecular structure of CRCs employed in colloidal systems.

Another porphyrinoid catalyst, the Co²⁺-chlorin **Co94** (Figure 31), performs photocatalytic reduction of CO₂ in the presence of MWCNTs and a Ru trisphenantroline-based dye **PS14** (Figure 7) in MeCN:H₂O (95:5) containing TEA.^{535,797} No quantification of immobilized **Co94** was reported, but an enhancement of CO and H₂ evolution was observed upon introduction of CNTs. This was assigned to the formation of two reduced [Co⁺-chlorin]⁻ molecules in close proximity to each other to facilitate the two-electron reduction of CO₂ to CO. An additional explanation of this enhancement is the increased local concentration of CO₂ in the vicinity of the catalyst due to the hydrophobicity of the CNTs, which may act as a scaffolding agent promoting catalysis. The photocatalytic mechanism was ascribed to reductive quenching of the excited state of **PS14**^{*} by TEA, which generates **PS14**⁻ for the production of [Co⁺-chlorin]⁻ ($E(\text{Co94}/\text{Co94}^-) = -0.89 \text{ V vs SCE}$) to reduce CO₂ or H⁺. After 11 h of irradiation, four times more CO was generated with the system featuring MWCNTs (TON_{CO} ≈ 501, TON_{H₂} ≈ 209, QY = 0.1% at λ = 450 nm) (Table 4, entry 2) compared to MWCNT-free conditions.

5.3.2 Quantum Dots

Instead of employing a molecular photosensitizer, successful integration of first row transition metal catalysts in aqueous media was also recently demonstrated with QDs.^{57,907} CRC **Ni102** (Figure 51) was used for CO evolution in water with QDs (∅ = 4.5-5 nm) of ZnSe, which is a stable and visible light-absorbing SC (direct $E_g = 2.7 \text{ eV}$).⁹⁰⁷ Additionally, electron mobility in single crystal ZnSe (610 cm² V⁻¹ s⁻¹) is significantly higher than in its sulfur analogues, ZnS (200 cm² V⁻¹ s⁻¹) and CdS (385 cm² V⁻¹ s⁻¹).^{908,909} ZnSe is a suitable light-harvester to drive CO evolution with **Ni102**, as the E_{CB} at approximately -1.4 V vs NHE (at pH 5.5) is about 400 mV more negative than E_{onset} of **Ni102**. Catalyst immobilization was demonstrated using ZnSe QDs free of capping ligands, permitting a loading of 1.51 mol of **Ni102** per mol of QD. Under 1 Sun irradiation (λ > 400 nm) with AA as a SED (pH 5.5) in aqueous media the integrated system evolved CO, achieving a TON_{CO} of 121 with a selectivity of 8% (Table 4, entry 3). A substantial selectivity increase from 8% to 33% toward CO - achieving an ideal syngas ratio - was realized via the addition of a capping ligand, 2-(dimethylamino)ethanethiol (MEDA). Using this additive, a TON_{CO} of 283 was obtained after 20 h of irradiation, with a QY toward CO of 3.4% (λ = 400 nm).⁹⁰⁷

A series of Ni²⁺ bis-tpy catalysts was used in combination with CdS QDs (∅ = 5.3 nm) for CO evolution in aqueous media in the presence of TEOA.⁵⁷ Catalysts **Ni77**, **Ni78** and **Ni79** (Section 2.3.7.1, Figure 51) bearing a carboxylic acid, a phosphonic acid or a thiol, respectively, were used to study their loading affinity for capping ligand-free CdS QDs and the resulting photocatalytic activity of such assemblies. While the E_{CB} of the QDs was

measured at approximately -0.84 V vs NHE (pH 6.7), the E_{onset} was estimated at around -0.80 V vs NHE, allowing for exergonic ET to the catalyst. Major differences between the loading capacity of the catalysts were observed by UV-vis experiments, with an affinity order of **Ni79** ($51 \text{ mol}_{\text{Ni105}} \text{ mol}_{\text{QD}}^{-1}$), **Ni78** ($23 \text{ mol}_{\text{Ni104}} \text{ mol}_{\text{QD}}^{-1}$), and **Ni77** ($3 \text{ mol}_{\text{Ni103}} \text{ mol}_{\text{QD}}^{-1}$), indicating that the soft sulfur-based functional group is the most effective anchor.

The photocatalytic activity followed the same order, affording selectivity toward CO of 93% (for **Ni79**), 10% (for **Ni78**), and 1% (for **Ni77**) after 4 h of irradiation (Table 4, entry 4, 5 and 6). This result contrasts with the electrocatalytic experiments conducted under homogeneous conditions, but this trend shows that interfacing the molecular catalyst with the nanoparticles is a key to selective photocatalytic activity in aqueous solution. Long-term experiments performed with **Ni79** achieved a TON_{CO} of 20 (24 h) with a lower CO selectivity of 62%, resulting from the slow desorption of the catalyst exposing bare QD surface which performs H_2 evolution. In the case of the CdS|**Ni79** assembly, the average QY toward CO at $\lambda = 400$ nm was 0.28%, comparable to results obtained with precious-metal-containing systems. A CO-catalytic mechanism supported by FT-IR spectroscopy was proposed that involves a ligand-based reduction of **Ni79**, which then reacts with CO_2 after the loss of one tpy unit to produce the catalytically active species, stabilized by an additional DMF ligand.⁵⁷

CuInS₂/ZnS core/shell QDs ($\varnothing = 2.5$ nm, $\lambda_{\text{max}} = 420$ nm) were recently associated with a tetra-(trimethylamino)-substituted FeTPP (**Fe19**, Figure 51, Section 2.3.5.1) to achieve CO_2 -to-CO reduction in water.⁹¹⁰ Electrostatic associations between the two components were promoted by using S-bound MPA as a capping ligand resulting in a carboxylate end in aqueous solution that permits coulombic interactions with a tetratrimethylammonium functionalized porphyrin. Although no loading was provided, UV-vis and fluorescence experiments clearly demonstrated porphyrin adsorption on the QDs in a “face-on” organization and fast electron transfer from the QD to the CRC. Fine tuning of the size and nature of the assembly through control of electrostatic interactions via the addition of K^+ or Zn^{2+} ions resulted in major differences in the CO_2 reduction ability of the system. Photocatalytic experiments using a 450 nm laser source (4.5 mW) and performed in aqueous 5 M KCl containing TEOA (pH ~ 5.7) achieved a TON_{CO} of 450, as well as high chemical selectivity of 99% after 30 h (Table 4, entry 7). The low QY (0.025% at 450 nm) of the system was partially ascribed to the 30:1 QD:**Fe19** ratio that results in only 3% of the QDs bearing an adsorbed catalyst.

5.3.3 Dye-sensitized Semiconductors

DSP systems typically employ TiO_2 particles, but the E_{CB} of this SC provides little driving force for aqueous CO_2 reduction. To employ synthetic catalysts with a significant η , TiO_2

nanoparticles have been used in hydro-organic or organic media^{876,903,904} in order to increase the flat-band potential of this SC, or MO_xs with more negative reducing potentials such as tantalum oxynitride have been used instead.⁹⁰¹ To the best of our knowledge, no TiO₂-containing DSP system has yet been reported in pure water with a synthetic molecular catalyst for CO₂ reduction. Nevertheless, a CO₂-reducing Re-based catalyst, *fac*-[Re(4,4'-bis(PO₃H₂-CH₂)-2,2'-bpy)(CO)₃Cl] (Figure 52), achieved CO evolution with a dye-sensitized TiO₂ particle.^{876,903,904} This was enabled by replacing H₂O by MeCN as the solvent to achieve a more reducing E_{CB} (TiO₂) that renders the reduction reaction of the CRC more exergonic. Controlled addition of H₂O to this system together with co-anchoring of the HEC **Co88** demonstrated syngas generation with controllable selectivity (Figure 52).⁸⁷⁶

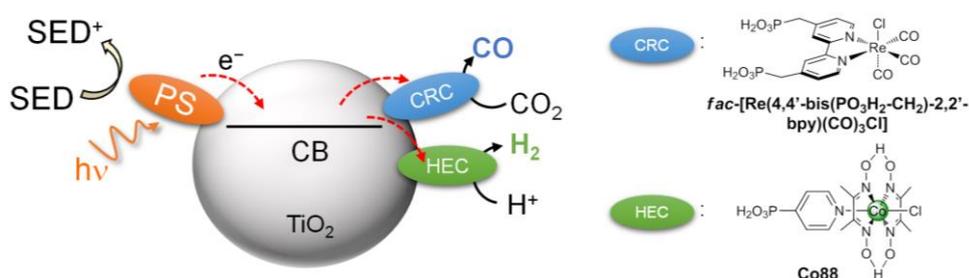


Figure 52. Diagram of a syngas-evolving colloidal system using **Co88** and a CO₂-reducing Re catalyst in MeCN:H₂O with BIH as a SED.⁸⁷⁶

PS19-sensitized ZrO₂ nanoparticles (20-100 nm) with a co-anchored phosphonated Ni(cyclam) catalyst, **Ni102** ($E_{onset} \approx -0.95$ V vs NHE, pH 4; Figure 51), in aqueous AA solution (pH 4) produced a Ni-based TON_{CO} of 4.8 (TON_{H₂} of 19.9; Table 4, entry 8).⁹¹¹ The E_{CB} of ZrO₂ is unable to quench the excited states of **PS19** in this **PS19**|ZrO₂|**Ni102** system, and the mechanism therefore proceeds via an 'on particle' pathway that involves reductive quenching of **PS19*** followed by ET to proximal **Ni102**. Isotopic labelling confirmed the origin of ¹³CO from ¹³CO₂, and the colloidal system was found to be superior to an analogous ZrO₂-free system (Section 2.2.5.2), likely due to the proximity of the immobilized PS and HEC.⁴⁸¹

The shortfall in the development of aqueous CO₂ reduction using DSP schemes with first row transition metal catalysts can be attributed to limited diversity in such CRCs, their low water tolerance, and restricted availability of light harvesters with suitable energetics to both quench the dye and reduce the molecular catalyst. Employing organic solvents can circumvent selectivity or water incompatibility issues, but is unlikely to be sustainable for large-scale applications, with the use of aqueous media affording a more realistic indication of system performance under potential operating conditions. In addition, carbon-containing solvents can be a source of questionable results as their degradation under photocatalytic conditions can generate products similar to those of CO₂ reduction, as has been observed

with DMF, for example.^{912,913} As a result, in such organic or hydro-organic media, clear evidence of CO₂ reduction such as ¹³C isotopic labelling experiments should be provided.

5.3.4 CO₂ Reductase-based Systems

Alongside the synthetic CRCs presented above and a few bacteria-based examples,^{914,915} isolated enzymes have also been implemented into different immobilized photocatalytic schemes.¹⁶ For instance the Ni-based CODH from *Carboxydotherrmus hydrogenoformans* performs CO₂ to CO reduction with an E_{onset} of -0.46 V vs NHE (pH 6), and has been used in DSP and QD systems achieving high turnover numbers and turnover frequencies in water.^{18,916-918} High enzyme efficiency allowed dye-sensitized TiO₂ to be employed, and the natural binding affinity of CODH toward MO_xs resulted in a TOF_{CO} of 0.14 s⁻¹ in a **PS19**|TiO₂|CODH assembly in aqueous MES solution (pH 6.0, Table 4, entry 9).⁹¹⁶ Faster selective CO evolution (TOF_{CO} = 14 s⁻¹ over 3 h at 25 °C) was recently reported by replacing **PS19** with Ag clusters in a TEOA and EDTA containing solution (pH 6.0), resulting in a TON_{CO} of 2.5×10⁵ after 6 h (Table 4, entry 10).⁹¹⁸ The high activity of CODH on TiO₂ is remarkable as no synthetic molecular catalyst immobilized on dye-sensitized TiO₂ has yet demonstrated activity in pure water, likely due to the large η required. Replacement of the dye-sensitized TiO₂ with CdS nanorods as a light-harvesting colloid provides more driving force than the CB of TiO₂, and resulted in a CdS|CODH assembly that attained a TOF_{CO} of 1.23 s⁻¹ (Table 4, entry 11) under irradiation (23 mW cm⁻², $\lambda > 420$ nm).⁹¹⁷

Thus, CO₂ reductases are benchmark CRCs as they have achieved performances in colloidal photocatalytic systems that are as yet difficult to match with synthetic catalysts. Operating selectively with minimal E_{onset} enables them to be associated with a wider range of colloidal SC materials, which inspires the design of synthetic 3d transition metal CRCs with comparable performance.

5.4 Conclusion and Outlook

Solar fuel synthesis through colloidal photocatalysis has been performed through the combination of molecular catalysts and light harvesting scaffolds for several decades. Major advances were achieved in (i) using first row transition metal complexes, (ii) catalyst design and stability in water, (iii) developing a wide range of visible light-harvesting materials, and (iv) interfacing strategies resulting in catalytic performances closer to those of the archetypal natural H₂ases or CO₂ reductases. For instance, the development of carbon-based light-harvesting colloids enables the utilization of hydrophobic interactions to interface non-water-soluble catalysts, while DSP systems allow for the implementation of cheap organic chromophores instead of precious-metal-based complexes. In-depth investigations of ET kinetics, electrochemical behavior of immobilized catalysts, and the nature of catalyst-

surface interactions have also revealed key information which may aid in catalyst design that is optimized for a specific surface.

However, in order to achieve superior performance and system stability several significant issues remain to be addressed in catalyst design, light-harvesting colloid development, and interface engineering. Among these issues, the low catalyst loadings, background H₂ evolution activity of QDs, slow electron accumulation in catalysts leading to charge recombination, general instability of the colloid/catalyst interface, as well as the low driving force of MO_x-based systems toward CO₂ reduction all appear to be of primary importance. To a higher degree, the reliance of the near totality of the systems on SEDs decreases the sustainability of the colloidal photocatalysis approach, and useful alternatives must therefore be developed to valorize the oxidative reaction. Alongside water oxidation, biomass reformation and organic synthesis of valuable compounds are two other possibilities that have recently been proposed.^{843,919} Finally, as illustrated by the limited number of examples reported (Table 3 vs Table 4), numerous difficulties associated with CO₂ reduction in water remain to be addressed. Selectivity toward CO₂-reduction products, catalyst stability in the presence of water, and the lesser availability of light-harvesting colloids with suitable driving force to drive the reaction are another set of challenging issues to be solved by the community.

Table 4. Colloidal systems with immobilized 3d metal complex electrocatalysts for aqueous CO₂ reduction (n/a = not available).

Entry	Colloidal PS	CRC	Loading (Interaction)	TON _{CO/H₂} (t / h)	TOF (h ⁻¹)	QY _{CO} (λ / nm)	Conditions	Illumination	Ref.
1	g-C ₃ N ₄ (nanosheets)	Fe34	n/a (π interactions)	5.7/0 (6)	n/a	n/a	MeCN:H ₂ O TEOA	220 mW cm ⁻² , 420-780 nm	906
2	PS14 -MWCNTs	Co94	n/a (π interactions)	501/209 (20)	n/a	0.1% (450 nm)	MeCN:H ₂ O TEA	Xe lamp, λ > 420 nm	535
3	ZnSe	Ni102	1.51 mol _{cat} mol _{QD} ⁻¹ (Zn ²⁺ coordination)	283/575 (20)	n/a	3.4% (400 nm)	H ₂ O, AA pH 5.5	100 mW cm ⁻² , AM 1.5G, λ > 400 nm	907
4	CdS	Ni77	3 mol _{cat} mol _{QD} ⁻¹ (Cd ²⁺ coordination)	0.4/39.6 (4)	n/a	n/a	H ₂ O, TEOA pH 6.7	100 mW cm ⁻² , AM 1.5G, λ > 400 nm	57
5	CdS	Ni78	23 mol _{cat} mol _{QD} ⁻¹ (Cd ²⁺ coordination)	1.1/9.9 (4)	n/a	n/a	H ₂ O, TEOA pH 6.7	100 mW cm ⁻² , AM 1.5G, λ > 400 nm	57
6	CdS	Ni79	51 mol _{cat} mol _{QD} ⁻¹ (Cd ²⁺ coordination)	5.1/0.4 (4) 20/12 (22)	n/a	0.28% (400 nm)	H ₂ O, TEOA pH 6.7	100 mW cm ⁻² , AM 1.5G, λ > 400 nm	57
7	CuInS ₂ /ZnS	Fe19	0.03 mol _{cat} mol _{QD} ⁻¹ (electrostatic interactions)	450/5 (30)	~16	0.025% (420 nm)	H ₂ O, TEOA pH 5.7	Laser 4.5 mW, λ = 450 nm	910
8	PS19 -ZrO ₂	Ni102	3.4 nmol mg ⁻¹ (chemisorption)	5/20 (7)	n/a	n/a	H ₂ O, AA pH 4	40 mW cm ⁻² , 375–795 nm	911
9	PS19 -TiO ₂	Ni-CODH	0.48 nmol mg ⁻¹ (chemisorption)	~ 2000 (4)	504	n/a	H ₂ O, MES pH 6.0	45 mW cm ⁻² , tungsten halogen lamp, λ > 420 nm	916
10	Ag-TiO ₂	Ni-CODH	1.0 nmol mg ⁻¹ (chemisorption)	2.5×10 ⁵ (6)	5.04×10 ⁴	~1.5% (500)	H ₂ O, TEOA EDTA pH 6.0	300 W arc lamp, λ > 420 nm	918

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11	nanorod-CdS	Ni-CODH	< 0.01 nmol mg ⁻¹ (n/a)	2.3×10 ⁴ (5)	4.4×10 ³	n/a	H ₂ O, MES pH 6.0	23 mW cm ⁻² , tungsten halogen lamp, λ > 420 nm	917
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6 Dye-sensitized Photocathodes

6.1 General Remarks

Solar-driven fuel generation can be achieved by immobilizing molecular HECs and CRCs onto light-responsive electrodes to afford a photocathode.^{553,558,920} An approach toward molecular catalyst-based photocathodes has been the modification of wide-bandgap p-SC electrodes with a light-harvesting component, most often a molecular dye, to photoreduce the co-anchored catalyst. Acting as a hole-selective layer, the p-SC gives directionality to ET when E_{VB} is able to accept holes from the excited photosensitizer (see Figure 53 for a general energy scheme of a dye-sensitized photocathode (DSPC)). When paired with a photoanode in a PEC tandem device, the electrons for dye regeneration can be sourced directly from the oxidation half-reaction occurring at the photoanode instead of an applied electrochemical potential.

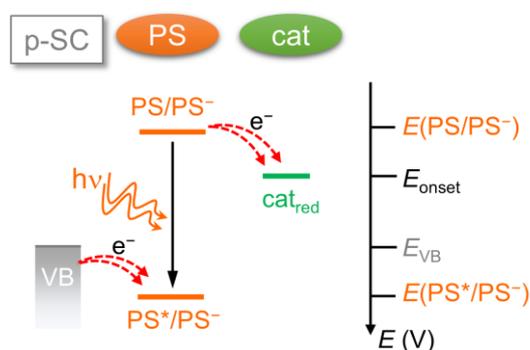


Figure 53. Band diagram depicting energy level requirements for a functional DSPC system. p-SC = *p*-type semiconductor, PS = photosensitizer, cat_{red} = the reduced catalytically active species.

The concept of dye-sensitized PEC cells has received substantial attention, but examples of dye-sensitized photoanodes for molecular-based water oxidation have far outnumbered those of their DSPC counterparts for fuel-forming transformations.^{556,558,921-924} Commonly featuring precious-metal complexes,^{557,925-927} the number of DSPC systems is reduced even further when only considering those based on 3d transition metal complexes. Nevertheless, interest in DSPCs has seen continued growth in recent years, with investigations ranging from devising different co-immobilization strategies, to searching for novel *p*-type semiconducting materials with the appropriate characteristics.

6.2 Component Requirements and Characteristics

6.2.1 *p*-type Semiconductor: a NiO story

In contrast to DSP systems (see Section 5), the immobilization of the dye onto a *p*-type SC-based electrode in DSPCs enables fast dye regeneration by the SC substrate instead of a diffusional chemical SED. It is therefore an attractive strategy toward closed redox

catalysis in a PEC architecture. By far the most commonly employed p-SC in DSPCs is NiO.⁵⁵⁶ Its p-type semiconductivity arises from nickel vacancies and interstitial oxygen in the lattice, which is promoted by thermal annealing in aerobic conditions.^{928,929} Its flat-band potential (an indication of the upper limit of E_{VB}) is around 0.75 V vs RHE.^{928,930-932} It is generally assumed that the majority of PS* quenching in NiO-based DSPC systems typically occurs via a reductive pathway due to fast hole injection.⁹³³ This contrasts with ‘through particle’ DSP systems, where PS* quenching is typically oxidative due to fast electron injection into n-type SCs and a diffusional SED (see Section 5). Mesoporous and, more generally, nanostructured NiO electrodes (required to achieve high loading of both photosensitizer and molecular catalyst) can be prepared by a variety of methods,⁵⁵⁴ including electrodeposition,⁹³⁴ sol-gel deposition,^{935,936} hydrothermal synthesis,^{937,938} and block copolymer templating.^{939,940} The physical and electrochemical properties of NiO electrodes prepared in several different laboratories were recently compared and their PEC performances benchmarked against one another using the same dye and molecular HEC.⁹⁴¹ It was found that the photocurrent densities were all in the same order of magnitude (photocurrent density, $J_{ph} \approx 10 \mu\text{A cm}^{-2}$ at $E_{appl} = 0.21 \text{ V vs RHE}$). The low photocurrents achieved in NiO-based DSPCs that have impeded the performance of such photocathodes can largely be attributed to the limited hole mobility in the SC. The hole diffusion coefficient of mesoporous NiO in p-DSSCs has been reported to be 10^{-8} to 10^{-7} to $\text{cm}^2 \text{ s}^{-1}$, which is at least two orders of magnitude lower than the electron diffusion coefficient of TiO_2 often used in dye-sensitized water oxidation photoanodes.^{942,943} Moreover, in-depth spectroscopic characterization of NiO showed that surface Ni^{3+} states act as efficient traps for both electrons and holes.⁵⁵⁹

6.2.2 Photosensitizer and Electrocatalyst

In DSPCs, the immobilized photosensitizer is primarily responsible for light absorption and, in most cases, subsequent charge transfer to a molecular catalyst. In order to fulfil these functions, photosensitizers are thermodynamically required to (i) possess an excited state reduction potential (E_{PS^*/PS^-}) more positive than the flat-band potential of the p-type SC on which it has been immobilized, and (ii) possess a regeneration potential (E_{PS/PS^-}) that is more negative than the E_{onset} of the electrocatalyst (Figure 53). The former is to ensure that hole quenching by the SC can occur, whereas the latter is mandatory for electrocatalysis.

Fulfilling these thermodynamic prerequisites does not ensure effective ET dynamics. From a kinetic point of view, requirements in DSPCs echo those also needed in colloidal DSP systems. Namely, it is important that the photosensitizer can maintain a relatively long-lived charge-separated state in order for photo-induced charge injection to be able to

compete with deactivation processes. How fast the catalyst receives electrons from the reduced dye and subsequently turns over during the catalytic process are also important parameters that can affect overall charge separation efficiency. To date, the vast majority of molecular dyes used in DSPCs with 3d transition metal complex catalysts has been previously studied or belong to classes of compounds also studied in DSSCs, and include organic dyes and Ru polypyridyl photosensitizers. A common approach undertaken in the field of DSSCs to achieve directional charge carrier separation within the photosensitizer itself has also been employed for the same purpose in DSPCs, namely, the use of push-pull architectures.⁸⁸² This can be achieved by designing the dye such that it has a donor- π -acceptor structure, in which its HOMO and LUMO are located close to where it is desired for the photoexcited hole or electron to be, respectively, in order to achieve charge 'delocalization' within the molecule. In the case where it is desired for holes to be injected into NiO in DSPCs, the HOMO of the dye would ideally be located close to the PS's anchoring group, which would end up in the vicinity of the supporting MO_x upon dye immobilization. This push-pull mechanism can be extended further to the other 'end' of the photosensitizer in order to influence electron injection into the catalyst.⁹⁴⁴ In the context of H_2 evolution, the push-pull architecture is also instrumental for fostering unilateral ET through direct connection of the dye's acceptor moiety (where the LUMO is located) with the catalyst. For example, a dyad comprising the photosensitizer and the catalyst can be synthesized, which should in theory promote faster intramolecular direct ET from the former to the latter.^{945,946}

As with DSP systems, a broad absorption band and high extinction coefficient of the PS helps to maximize light harvesting of the solar spectrum. An additional consideration arises in a tandem PEC cell design, where both the photocathode and the photoanode need to absorb light. In such a set-up, any strong overlap in the absorption spectra of the two photosensitizers in the two half-cells should be avoided in order to ensure that the second photoelectrode upon which the solar light is incident still receives light of wavelengths appropriate for exciting its photosensitizer. Reviews for the optimal spectral design of complementary tandem architectures are available elsewhere.⁹⁴⁷⁻⁹⁴⁹

A relevant figure of merit is the incident photon-to-current conversion efficiency (IPCE), which describes how many of the incoming photons at a particular wavelength are converted into electrons. Comparing the absorption spectrum of the photosensitizer with the profile of the photocathode's IPCE curve is key to demonstrating whether the DSPC is able to harness wavelengths across the full absorption spectrum of the photosensitizer.

As with the DSP systems discussed in Section 5, both the PS and catalyst must be stable and operate under the same aqueous conditions. The robust attachment of both species onto the supporting p-SC is imperative for photocathode stability and performance. In addition, the anchoring moieties should allow for any necessary charge transfer to occur (e.g. between the molecule and the MO_x SC that supports it). As with other oxide SCs, the flat-band potential of NiO exhibits a pH-dependency ($\Delta E = -59 \text{ mV pH}^{-1}$) that needs to be carefully considered with regards to its capacity to reduce the anchored PS.⁵⁵¹

This section has been categorized into the following three immobilization strategies: co-immobilization, layer-by-layer co-assembly, and dye-catalyst dyad assemblies (Figure 54).

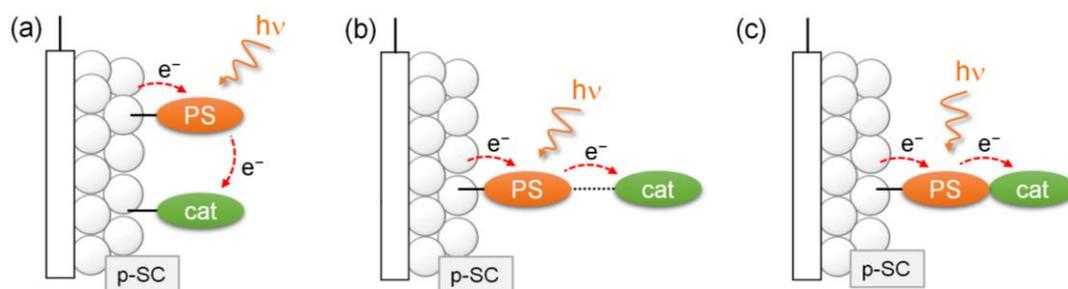


Figure 54. Assembly approaches that have been adopted to construct DSPCs: (a) co-immobilization, (b) layer-by-layer co-assembly, and (c) PS-catalyst dyad.

6.3 Photoelectrochemical H₂ Evolution

6.3.1 Co-Immobilization

The majority of DSPCs prepared with 3d transition metal complex-based catalysts have used cobaloximes as the HEC, with their low overpotential and synthetic tunability being of advantage. A DSPC for H₂ evolution (albeit with the catalyst lacking a defined anchoring moiety) utilized the organic dye **PS36** (Figure 56) and an H₂-evolving [Co(dmgBF₂)₂(H₂O)₂] (**Co6**, Section 2.2.4.1).⁹⁵⁰ The chromophore **PS36** was anchored to a nanostructured NiO substrate via carboxylic acid functional groups, whereas the cobaloxime was subsequently drop-cast onto the NiO|**PS36** electrode from a solution in MeCN. Photocurrents attributed to H₂ evolution decayed within a few minutes and could only be recovered upon addition of fresh catalyst. This suggested that either catalyst decomposition and/or desorption from the surface were the main reasons for loss in photoelectrocatalytic activity, with the latter suspected to be more likely due to the lack of any functionality on the cobaloxime that could have afforded an appropriate mode of anchoring onto the MO_x.

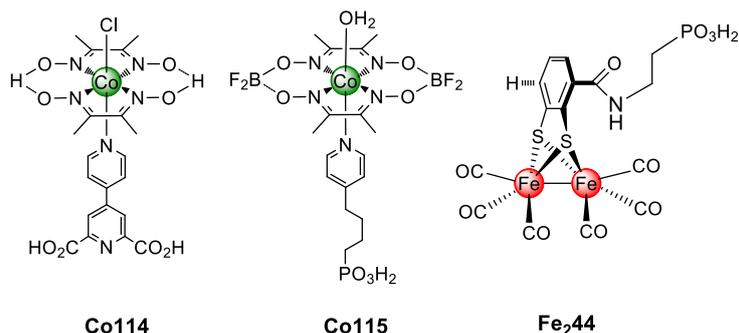


Figure 55. Molecular structures of HECs used in DSPC.

A number of subsequent systems anchored *both* the photosensitizer and the catalyst equipped with suitable functional groups on MO_x electrodes (Figure 54a). A common strategy employed for assembling early examples of DSPCs was to co-adsorb the components onto the NiO electrode; either one at a time or both at the same time. Care must be taken that dye and catalyst bind to the SC and their adsorption ratio also needs to be optimized to enable best performance.

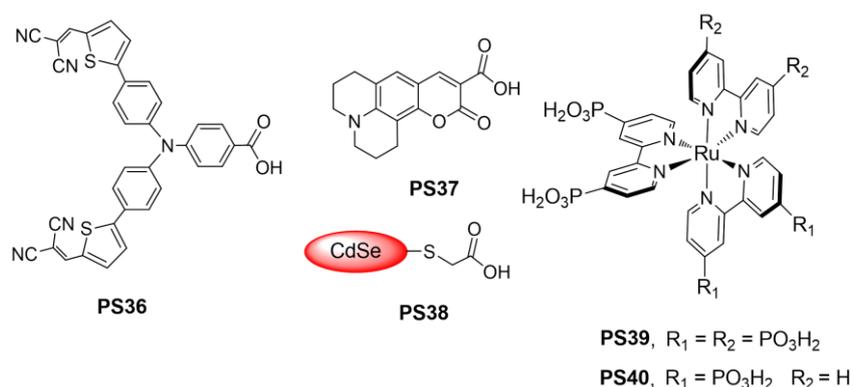


Figure 56. Molecular structures of PSs used in DSPC.

A tandem PEC device was constructed where the dye for both the water-oxidizing TiO₂-based photoanode and the proton-reducing NiO-based photocathode was the same phosphonic acid-containing Ru bipyridyl complex, **PS19** (Figure 7).⁹⁵¹ The anchoring group for the cobaloxime HEC (**Co114**, Figure 55) was an axial pyridine-2,6-dicarboxylic acid moiety. The NiO|**PS19-Co114** photocathode afforded a J_{ph} of $-13 \mu\text{A cm}^{-2}$ at $E_{appl} = 0.21 \text{ V}$ vs RHE in pH 7 phosphate solution (Table 5, entry 1). The fully assembled tandem PEC cell evidenced that the photocathode was the bottleneck in overall water splitting and the use of the same photosensitizer leads to non-complementary light absorption. Controlled potential photoelectrolysis (CPPE) measurements beyond 3 min were not reported, and production of H₂ gas not demonstrated.

The Ru-dye **PS19** was subsequently replaced by the organic dye **PS36** for the proton-reducing photocathode, and by another organic chromophore at the water-oxidizing

photoanode.⁹⁵² The cobaloxime HEC **Co115** (Figure 55) anchors to the NiO scaffold via a phosphonic acid-bearing axial pyridine ligand. Operating in pH-neutral phosphate solution, the NiO|**PS36-Co115** photocathode achieved a J_{ph} of $\sim -45 \mu\text{A cm}^{-2}$ in LSV scans, plateauing at $E_{appl} < 0.31 \text{ V vs RHE}$. In CPPE measurements, the average J_{ph} was $-35 \mu\text{A cm}^{-2}$, of which $-20 \mu\text{A cm}^{-2}$ remained after 1.5 h with a FE_{H_2} of 68% (Table 5, entry 2). A full water-splitting tandem molecular PEC device operating under pH-neutral aqueous conditions was also demonstrated with precious-metal-free PSs, but using a Ru-based water oxidation catalyst at the photoanode. IPCE analysis revealed that the NiO-based photocathode was again the probable bottleneck to the system. Specifically, the IPCE value at the absorption maximum of **PS36** was found to be much lower than that at the absorption maximum of the photoanode's chromophore (3.9% vs 25.2%). A likely explanation for this are the differing thicknesses between the photocathode (1 μm) and the photoanode (8 μm), and therefore the molecular loadings of both PS and catalyst on the former will be lower, leading to a smaller contribution from the photocathode to the overall tandem's photocurrent. The well-known limitations of NiO (e.g. low hole mobility and short hole diffusion length) were again suggested to constrain the photocathode performance.⁹⁵²

Charge transfer between a co-adsorbed molecular dye and catalyst on the SC surface is assumed to rely on electron hopping. This process must be faster than the charge recombination between the photoreduced dye and holes present in NiO. Likewise, the reduced state of the catalyst that is subsequently formed from this inter-molecular charge transfer must be relatively long-lived in order to reach a doubly-reduced state to carry out proton reduction without recombination. This light-driven electron hopping phenomenon between molecules on the surface of a SC was studied by TAS.⁹⁵³ The dye coumarin-343 (**PS37**, Figure 56) and an iron-centered molecular complex were both bound to NiO via a carboxylic acid group, and it was found that the catalyst was stable in its reduced state on the 100 μs time scale. Slow recombination kinetics between the reduced catalyst and holes in the NiO were attributed to the bulky phenyl groups at the phosphorus ligands shielding the iron center from the MO_x surface, as well as to the long electron tunneling distance between the iron center and the NiO surface consisting of five saturated bonds. No H_2 production was reported in this system.

The latter catalyst was replaced by a bimetallic iron-based complex equipped with a carboxylate anchor, $[\text{Fe}_2(\text{bdtc})(\text{CO})_6]$, $\text{bdtc} = 3\text{-carboxy-benzene-1,2-dithiolate acid}$, the parent complex of which is a $[\text{FeFe}]\text{-H}_2\text{ase}$ -inspired HEC in solution (**Fe₂13**, Section 2.2.3.1).⁹⁵⁴ TAS measurements showed that ET from the **PS37** to the catalyst was fast ($t_{1/2} \approx 10 \text{ ps}$), with a transfer yield of 40-80%, suggesting close proximity of the dyes to the catalyst

to allow for electron hopping across a short distance. As with the previous work, the reduced Fe catalyst was also found to persist in the μs timescale, but no H_2 evolution was reported.

The carboxylate moiety in $[\text{FeFe}](\text{bdtc})(\text{CO})_6$ was subsequently replaced by a phosphonic acid anchor in **Fe₂44** (Figure 55), which was also accompanied by a longer linker between the catalytic core and the anchor than in $[\text{FeFe}](\text{bdtc})(\text{CO})_6$ (Table 5, entry 3).⁹⁵⁵ The phosphonic acid provides superior binding to MO_x materials in aqueous conditions (see Section 3), whereas the longer linker may slow charge recombination between the reduced catalyst and the holes in NiO. Indeed, the lifetime of the reduced catalyst was extended from the μs to the ms timescale, highlighting the importance of the anchoring strategy, not just for immobilization purposes but also for controlling ET kinetics. The NiO|**PS37-Fe₂44** photocathode was subsequently studied by CPPE in aqueous acetate solution (pH 4.5), and achieved a $J_{\text{ph}} = -10 \mu\text{A cm}^{-2}$ under white LED illumination for a few minutes with a FE_{H_2} of 50%. The low photocurrent was partially attributed to the low solar light-harvesting ability of **PS37** ($\lambda_{\text{max}} \approx 400 \text{ nm}$). Catalyst instability under irradiation was confirmed by the release of CO ligands from **Fe₂44**. A follow-up kinetic study compared the charge transfer reactions of NiO|**PS37-Fe₂44** to those of an analogous photocathode where **PS37** had been replaced by an organic push-pull dye, and found that key differences in these mechanisms drastically affect the lifetime of the reduced catalyst species on the surface and, by extension, the possibility of any light-induced second reduction step of the catalyst.⁹⁵⁶ In addition to highlighting the importance of the dye-catalyst packing on the performance of co-sensitized DSPCs, this study was also the first example of femtosecond mid-infrared TAS employed to monitor catalyst reduction upon dye excitation in co-sensitized NiO films.

The remaining example of a photosensitizer-modified NiO photocathode based on co-adsorption employs a QD as the light harvester.⁹⁵⁷ Owing to their favorable properties (see Section 3), QDs have been employed as light harvesters in solar cells and in photocatalysis (Section 5).^{958,959} The majority of the latter are homogeneous systems that rely on SEDs to regenerate the QDs.^{167,960-962} CdSe QDs (**PS38**, Figure 56) and a cobaloxime catalyst (**Co88**, Figure 26) were co-adsorbed onto NiO electrodes via the thioglycolic acid functionality of the former and the phosphonic acid group of the latter.⁹⁶³ TGA functions not only as an anchoring group for the CdSe QDs through its carboxylate but also as a capping ligand to prevent QD aggregation. With E_{VB} and E_{CB} of 1.30 and -0.97 V vs NHE ,⁹⁶⁴ respectively, CdSe QDs can thermodynamically inject holes into the VB of NiO and reduce the cobaloxime for proton reduction ($E_{\text{onset}} = -0.6 \text{ V vs NHE}$).¹⁹¹ Photoelectrolysis of the NiO|**PS38-Co88** photoelectrodes in an aqueous Na_2SO_4 solution (pH 6.8) afforded a J_{ph} of

$\sim -118 \mu\text{A cm}^{-2}$ at $E_{\text{appl}} = 0.20 \text{ V}$ vs RHE with good stability over several hours and a FE_{H_2} of 81% (Table 5, entry 4).⁹⁵⁷ An analogous system employing an anchor-free catalyst resulted in a decline in photocurrent over the course of minutes.^{963,965} TAS measurements of this system found that the TGA capping ligands on the **PS38** QDs acted as trap sites for photogenerated holes.⁹⁶⁶ The majority of holes were trapped by the thiol groups and/or sulphide ions of the linker instead of being productively injected into the VB of NiO, which limited the H_2 evolution efficiency of this photocathode.

Cobaloxime catalysts employed in aqueous DSPC systems rely on anchoring to a NiO electrode via their axial pyridine ligand. As discussed in Section 2.2.4.2, an axial pyridine ligand on the low-spin Co^{3+} center of a cobaloxime becomes inherently labile during catalytic turnover and contributes to catalyst instability and loss of activity.^{188,228,878} Despite synthetic strategies to anchor Co-catalysts robustly,^{543,754} such cobaloxime derivatives have not yet been introduced in DSPC systems. Instead, nickel bis(diphosphine) DuBois-type catalysts, $[\text{Ni}(\text{P}_2\text{N}_2)_2]^{2+}$, possess a robust chelating ligand framework⁹⁶⁷ and are the only other class of 3d transition metal complexes that have been immobilized on DSPCs for H_2 evolution in water. The DuBois-type $[\text{Ni}(\text{P}_2\text{N}_2)_2]^{2+}$ catalyst modified with hydroxamic acid groups was co-immobilized on a NiO electrode alongside a naphthalene diimide organic dye, but this system did not evolve H_2 .⁹⁶⁸ The lack of PEC activity was attributed to dye aggregation, which would cause fast recombination between the reduced dye and holes in NiO, limiting successful charge transfer to the HEC. Successful examples for H_2 evolution with anchored $[\text{Ni}(\text{P}_2\text{N}_2)_2]^{2+}$ catalysts through supramolecular assemblies (Figure 54b) are summarized in the following section.

The only successful example of PEC proton reduction with a Ni DuBois-catalyst using co-immobilization was reported with a CuCrO_2 delafossite p-SC instead of NiO.⁹⁶⁹ Wide-bandgap Cu^+ -based mixed MO_x s such as $\text{Cu}^+\text{M}^{3+}\text{O}_2$ delafossites ($\text{M} = \text{Co}, \text{B}, \text{In}, \text{Sc}, \text{Cr}, \text{Al}, \text{Ga}$) have been employed in p-type DSSCs but their incorporation into solar fuel devices is limited.⁹⁷⁰⁻⁹⁷² Co-immobilizing a phosphonic acid-bearing DPP-based organic dye (**PS30**, Figure 46)⁸⁷⁷ alongside HEC **Ni35** (Section 2.2.5.1) on a CuCrO_2 film yields a precious-metal-free DSPC for H_2 production.⁹⁶⁹ CuCrO_2 is a wide-bandgap p-SC ($E_g = 3.16 \text{ eV}$), where its p-type conductivity stems predominantly from Cu^+ vacancies in the crystal lattice.⁹⁷³⁻⁹⁷⁵ Measured at $+1.0 \text{ V}$ vs RHE, CuCrO_2 displays a 250 mV more positive flat-band potential than that of NiO, and its Brunauer, Emmett, and Teller-surface area of $25 \text{ m}^2 \text{ g}^{-1}$ is comparable to that of other mesoporous structures.⁹⁴¹ Upon grafting, **PS30** is quenched reductively by the p-SC affording an $E_{\text{PS30}^-/\text{PS30}}$ of -0.7 V vs RHE, which provides $\sim 500 \text{ mV}$ for the reduction of **Ni35** ($E_{\text{onset}} = -0.21 \text{ V}$ vs RHE⁸⁴²). Initial comparison between

CuCrO₂|**PS30** and NiO|**PS30** films in the presence of an electron acceptor in solution showed that the photocurrent response is around twice as large for the delafossite electrode. Upon co-immobilization of **Ni35**, CuCrO₂|**PS30-Ni35** exhibited a photocurrent $E_{\text{onset}} = 0.75$ V vs RHE, making CuCrO₂ an attractive choice for dye-sensitized tandem applications. Under CPPE conditions, the photocathode achieved a J_{ph} of $-15 \mu\text{A cm}^{-2}$ at $E_{\text{appl}} = 0.0$ V vs RHE in Na₂SO₄ solution (pH 3) (Table 5, entry 5).⁹⁶⁹ A **Ni35**-based TON_{H₂} of 126 and a FE_{H₂} of 34% were reached after 2 h, which outperformed an analogous NiO|**PS30-Ni35** electrode by 2-3 times. The low FE_{H₂} was suspected to originate in part from reduction of Cu²⁺ and oxygen deintercalation within the delafossite,⁹⁷⁶ as well as dye decomposition.⁹⁶⁹ Nevertheless, this study lays the groundwork toward discovering more p-SC alternatives to NiO.

6.3.2 Layer-by-layer Co-Assembly

An alternative to co-adsorption was used in a [Ni(P₂N₂)₂]²⁺-based DSPC active toward H₂ evolution (Figure 54b).⁹³⁰ A layer-by-layer deposition approach to immobilize phosphonated PS and HEC with Zr⁴⁺ bridges was employed (Figure 57), in a similar fashion to those previously utilized to construct multi-layered molecular films in DSSCs and dye-sensitized photoanodes for water oxidation.^{655,977-979} Zr⁴⁺ ions bind strongly to the phosphonic acid moieties of the ruthenium bipyridyl dye (**PS39**, Figure 56) and the DuBois catalyst **Ni35** and enable a robust supramolecular assembly (Figure 58a). The NiO|**PS39-Zr⁴⁺-Ni35** electrode exhibited a J_{ph} of $-6.40 \mu\text{A cm}^{-2}$ at $E_{\text{appl}} = 0.3$ V vs RHE in aqueous Na₂SO₄ (pH 3) solution under simulated solar irradiation ($\lambda > 400$ nm) with \sim half of J_{ph} remaining after 2 h of CPPE (FE_{H₂} of 9%) (Table 5, entry 6). The low activity of NiO|**PS39-Zr⁴⁺-Ni35** was attributed to inefficient charge transfer dynamics at the NiO|**PS39** interface and between reduced **Ni35** and oxidized **PS39**, as well as the low loading of the former ($\Gamma = 5 \text{ nmol cm}^{-2}$). Nevertheless, photocurrents observed without the Zr⁴⁺-bridge using the same co-adsorbed molecular components (NiO|**PS39-Ni35**) were six times lower than the layer-by-layer supramolecular assembly, and no H₂ was detected. This highlights the benefits of spatially separating the catalyst from the NiO surface to reduce charge recombination losses.

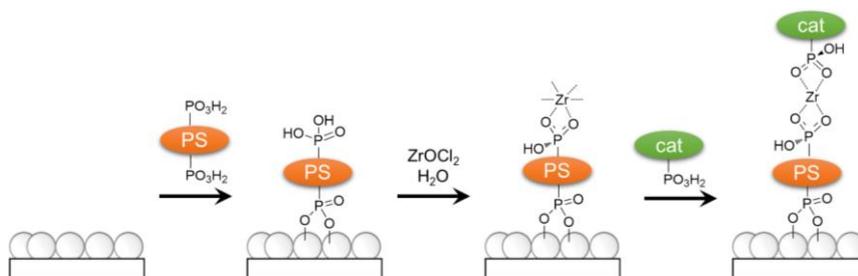


Figure 57. Layer-by-layer co-assembly of PS and catalyst via Zr⁴⁺-bridge approach on MO_x electrode.

Subsequently, the construction of a three-layered Zr^{4+} -bridged DSPC consisting of a “donor-dye-catalyst” assembly was reported, where a phosphonic acid containing a di-aniline donor was used alongside a tetraphosphonated Ru-bipyridyl chromophore (**PS40**, Figure 56) and **Ni35** (Figure 58b).⁹⁸⁰ The presence of the di-aniline (**DA**) donor allows the degenerate SC ITO to be used instead of p-type semiconducting NiO for photocathodic fuel synthesis. Zr^{4+} -phosphonate bridges were again utilized as spatial separators to generate long-chain assemblies in which the ET distance could play a significant role in controlling the dynamics of interfacial charge recombination. To enable effective surface immobilization of these supramolecular chains, macro-mesoporous hierarchically-ordered ‘inverse opal’-type ITO electrodes similar to those reported for enzyme and nanoparticle immobilization on MO_x electrodes were employed.^{508,782,881} The IO-ITO|**DA-Zr⁴⁺-PS40-Zr⁴⁺-Ni35** electrode was tested for PEC performance in MES solution (pH 5.1) at E_{app} of 0.05 V vs RHE and afforded an initial J_{ph} of $-56 \mu A cm^{-2}$ that dropped by 50% within 4 h of operation, giving a FE_{H_2} of 53% (Table 5, entry 7).⁹⁸⁰ In contrast, negligible H_2 production was observed by an analogous electrode using a mesoITO film instead of the inverse opal structures, demonstrating the impact of using large macro-mesopores to ensure sufficient chain assembly coverage.⁷⁸²

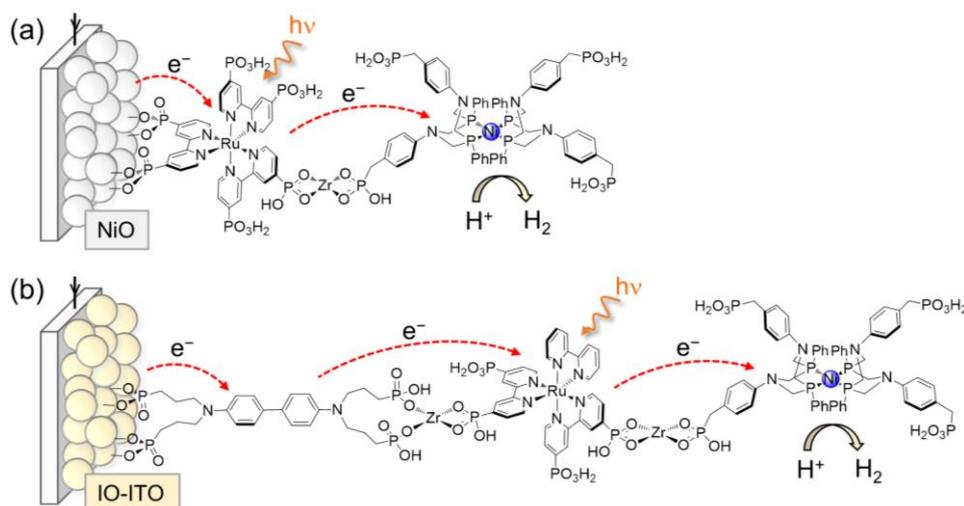


Figure 58. Schematic representation of Zr^{4+} bridge-based photocathodes; (a) NiO|**PS39-Zr⁴⁺-Ni35**,⁷⁵⁷ and (b) IO-ITO|**DA-Zr⁴⁺-PS40-Zr⁴⁺-Ni35**.⁹⁸⁰

A similar layer-by-layer immobilization strategy with a visible light absorbing **PS31**-sensitized (Figure 46) TiO_2 nanoparticles on an IO-ITO electrode showed that the type II dye- TiO_2 moiety can direct the electron flow toward the electrolyte solution and thereby enable photocathodic currents in the presence of a soluble electron acceptor. Although H_2 production was not reported, this system is a first step to transfer DSP colloids from Section 5 onto photocathodes.⁸⁸¹

An improved supramolecular DSPC system employing **PS40** and **Ni35** was recently prepared via a multilayer scaffolding SC composed of two mesoporous doped NiO layers covered with an IO-ITO scaffold.⁹⁸¹ The NiO film was prepared as doped bilayers with different dopants selected to modify E_{VB} edges and establish an internal driving force for hole transport from the surface to the FTO back contact. The dopants giving the least positive (2% K⁺) and most positive (2% Cu²⁺) flat-band potentials were selected, creating 0.2 eV of driving force for interlayer hole transport. The doped bilayer-containing electrode, NiK_{0.02}O|NiCu_{0.02}O|IO-ITO|**PS40-Zr⁴⁺-Ni35**, was found to generate twice the photocurrent ($\sim -58 \mu\text{A cm}^{-2}$) of its undoped counterpart with a FE_{H₂} of 86% at E_{appl} of 0.05 V vs RHE in a pH 5.0 solution (0.1 M MES + 0.4 M KCl) (Table 5, entry 8). Singly-doped NiO photocathodes (NiK_{0.02}O|NiK_{0.02}O or NiCu_{0.02}O|NiCu_{0.02}O) were shown not to enhance efficiency as much as the doubly-doped photocathodes, underlining the impact of creating an internal cascade of driving force that improves hole transport from the outer NiCu_{0.02}O to the inner NiK_{0.02}O layer and therefore reduces interfacial charge recombination.⁹⁸¹

6.3.3 Photosensitizer-catalyst Dyads

Most DSPC systems discussed thus far suffer from long-term stability issues, the majority of which have been partially attributed to dye and/or catalyst degradation or desorption from the surface and restricting shared surface area. The final DSPC organization discussed here consists of a dyad assembly in which the chromophore is covalently bound to the catalyst (Figure 54c); this aims to achieve both catalyst stabilization as well as effective charge separation.⁸⁸² Although synthetically challenging, several examples of such efforts have emerged in recent years.

The first example of a photosensitizer-3d metal-based HEC dyad performing proton reduction in aqueous solutions was reported on a NiO electrode. It consists of a Ru tris(bipyridine) PS anchored to NiO via a carboxylic acid linkage and axially coordinated to the cobalt metal center of a Co(dmgbF₂)₂H₂O cobaloxime via the vinylpyridyl substituent of one of the bipyridine ligands (**Co116**, Figure 59; Table 5, entry 9).^{982,983} **Co116** acts as a H₂ evolving photocatalyst upon immobilization on an ALD-deposited alumina monolayer covering a mesoporous NiO film. The NiO|Al_xO_y|**Co116** photocathode exhibited an early photocurrent E_{onset} of 0.87 V vs RHE, with J_{ph} plateauing at ~ 0.51 V vs RHE with a maximum value of $-20 \mu\text{A cm}^{-2}$. It was suggested that the ALD layer enhances the photocurrent density as a result of reduced geminate charge recombination between holes in NiO and electrons in the reduced dye molecules.⁹⁸⁴⁻⁹⁸⁶ CPPE at E_{appl} of 0.51 V vs RHE in pH 7 phosphate solution resulted in a stable J_{ph} of $-8 \mu\text{A cm}^{-2}$ and a FE_{H₂} of 68% over the course of 2.5 h. An analogous approach using ALD-deposited Al₂O₃ was recently used to prepare a

NiO-supported DSPC based on a surface-immobilized organic perylene-3,4-dicarboximide chromophore and cobaloxime/DuBois-type HER catalyst in solution.⁹⁸⁷

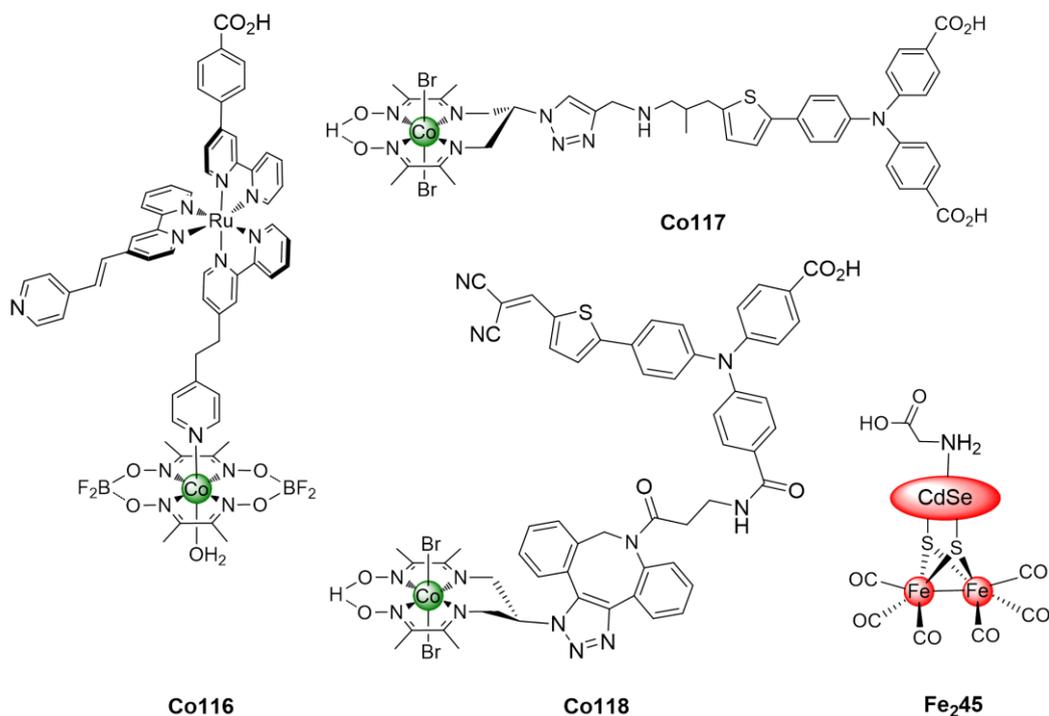


Figure 59. Structures of photosensitizer-molecular catalyst dyads.

A photocatalyst composed of a cobaloxime bound to an anchor-bearing push-pull organic dye (**Co117**, Figure 59) obtains a covalent dye-catalyst molecular dyad assembly free of precious metals in both the HEC and the PS.⁹⁴⁵ The PS is covalently bound to the cobaloxime via “click-chemistry”, providing a binding through the equatorial ligand sphere that is expected to be more robust than a dative bond.⁷⁴¹ Chenodeoxycholic acid was co-grafted onto the NiO surface to prevent deleterious dye aggregation in a 4:1 ratio alongside the **Co117**.^{988,989} The NiO|**Co117** DSPC displayed an early photocurrent onset potential of 0.93 V vs RHE and a J_{ph} of $-15 \mu A cm^{-2}$ at E_{appl} of 0.14 V vs RHE under simulated solar irradiation in pH 5.5 MES (Table 5, entry 10). This J_{ph} dropped to $-6 \mu A cm^{-2}$ over the course of 2-3 h of CPPE, and a FE_{H_2} of 8-10% was reported. Such low efficiencies were initially attributed to competitive reduction of the bulk NiO electrode, and reductive degradation of the dye-catalyst assembly. However, a following study showed that a significant amount of H₂ remained in solution, and quantification using a high-sensitivity micro Clark-type electrode allowed for an amended FE_{H_2} of ~60%, far superior to the originally determined value.⁹⁹⁰

A NiO-based DSPC also consisting of an organic dye covalently linked to a cobaloxime catalyst was subsequently reported (**Co118**, Figure 59).⁹⁴⁶ In this work, a push-pull triphenylamine-, dicyanovinyl-based dye functionalized with carboxylic acid group for MO_x

immobilization was linked to a HEC via Huisgen reaction thanks to dibenzylcyclooctyne introduced on the dye prior catalyst linkage. Extensive time-resolved fluorescence and TAS were carried out to gain insight into the kinetics of interfacial and intramolecular charge transfers. A near unity hole injection efficiency from the excited **Co118** to the VB of NiO was concluded, taking place on dual timescales of $\tau \approx 1$ ps and $\tau \approx 30$ ps, whereas the rate constant of ET from the reduced dye to the cobaloxime unit was measured to be $\sim 3 \times 10^9$ s⁻¹. PEC testing of the NiO|**Co118** DSPC was conducted in pH 6.8 phosphate solution and pH 4.8 acetate solution, with the latter favoring greater proton reduction activity, yielding an initial J_{ph} of ~ -20 $\mu\text{A cm}^{-2}$ at $E_{\text{appl}} = 0.48$ V vs RHE. This value drops rapidly during the first few minutes of CPPE, and an overall TON_{H_2} of 0.05 was reported (Table 5, entry 11). This low performance was partially attributed to molecular twisting on the NiO surface and configurations of **Co118** unfavorable for catalyst reduction. Moreover, the linker between the light-harvesting part and the catalyst is not conjugated and relatively distant in space, rendering intramolecular charge transfer more challenging.

A photosensitizer-catalyst dyad with a CdSe QD instead of a molecular dye has also been reported.⁹⁹¹ The construct classifies itself as a dyad because the catalyst – $\text{Fe}_2\text{S}_2(\text{CO})_6$, one of the simplest mimics of natural [FeFe]-hydrogenases – was immobilized onto the QDs and this dyad then modified with a glycine anchoring group to form the final construct **Fe₂45** (Figure 59). The direct anchoring of the catalyst onto the QD facilitates vectorial ET from the CB of CdSe to the adjoining catalyst. The bifunctional glycine linker afforded anchoring of the QD construct onto NiO; this was thought to be possible without total replacement of the catalyst molecules on the QD surface because of the weaker affinity of the glycine's amino group as compared to the thiol moiety of $\text{Fe}_2\text{S}_2(\text{CO})_6$. The resulting NiO|**Fe₂45** photoelectrode yielded an early onset potential of 0.70 V vs RHE in aqueous conditions (pH 6.8) and a J_{ph} of -0.56 $\mu\text{A cm}^{-2}$ at E_{appl} of 0.30 V vs RHE in CPPE, achieving a FE_{H_2} of 52% (Table 5, entry 12).

The modest performances obtained with the dyad approach and to some extent with DSP and DSPC systems is the result of the slow kinetics of multiple-ET to the catalyst. In order to address this issue, strategies toward fast ET kinetics and/or long-lived electron accumulation must be found; for example, via storage in the PS-catalyst bridging moiety.⁹⁹²

6.4 Photoelectrochemical CO₂ Reduction

Nowhere is the relative infancy of the approach to modify photocathodes with photosensitizers and couple this with a molecular catalyst for solar fuels generation more apparent. A number of DSPCs for CO₂ reduction exist but the majority of them are based on precious-metal-containing molecular catalysts.^{557,925-927} The lack of efficient 3d metal-based

molecular CRCs – let alone those that are able to be immobilized – has clearly prevented the burgeoning of this field.

CO₂ reduction can be fundamentally challenging as the simple one-electron reduction to the CO₂^{•-} radical anion is highly unfavorable. However, the proton-coupled two-electron reduction of CO₂ to CO or formate has no such energy-costing restriction. As a result, catalysts that are able to accumulate reductive charges and therefore access this PCET pathway have an advantage. Enzymes such as Ni-CODH have a highly efficient active site and additional redox centers to capture the required reducing/oxidizing equivalents and protons needed to complete the catalytic cycle. Immobilization of a Ni-CODH onto a dye-sensitized NiO electrode has been achieved using the previously-reported dye **PS37** (NiO|**PS37**|Ni-CODH; Table 5, entry 13).⁹⁹³ Photoexcited **PS37** provides sufficient driving force (~650 mV) to affect CO₂ reduction catalyzed by Ni-CODH, yielding a photocurrent density of ~ -25 μA cm⁻² when held at 0.08 V vs RHE in aqueous MES buffer solution (pH 6.0), although CO was not directly detected. CO₂ reduction was demonstrated by deliberate introduction of cyanate (NCO⁻) into the solution, which is isoelectronic with CO₂ and selectively inhibits catalysis. Interestingly, in the absence of any dye, unidirectionality of the enzymatic activity was studied by measuring currents produced when Ni-CODH was immobilized on p-type NiO or n-type TiO₂. For the former, only CO oxidation was observed, whereas the enzyme performed only CO₂ reduction on the latter, irrespective of the potentials applied to the electrodes. This demonstrates how the nature of the SC on which the enzyme is immobilized has the power to modulate what is originally an efficient and reversible electrocatalyst such that it behaves irreversibly.

6.5 Conclusion and Outlook

The concept of modifying p-type SCs with photosensitizers and molecular catalysts to construct DSPCs for solar fuel generation has been explored for almost a decade, but only a limited number of successful strategies have emerged. The simplest and earliest-adopted approach is to co-adsorb the PS and catalyst side-by-side onto the p-SC surface. Interestingly, ratio considerations between the two species have been rarely discussed, even though one would expect this to be a key parameter for optimization. More recently, supramolecular DSPC systems based on Zr⁴⁺-phosphonate bridge constructs have emerged, where the Zr⁴⁺ ion serves as an inert linkage to connect the dye to a catalyst, which is located at a certain distance from the supporting p-SC substrate to reduce charge recombination kinetics. Finally, the most synthetically challenging approach in co-immobilizing photosensitizers and catalysts has been to combine them as dyads, sometimes deliberately in a push-pull architecture to induce directional charge transfer.

The challenges of this relatively new approach are highlighted by the homogeneity of the types of dye-catalyst systems adopted and the dominant role of NiO as p-SC material. The poor hole mobility in NiO has limited these DSPC devices such that their photocurrent densities rarely exceed the tens of $\mu\text{A cm}^{-2}$. The lack of alternative options to replace NiO is perhaps the biggest challenge facing the improvement of these photocathodes' performances. However, a number of approaches have been formulated to combat this issue in p-type DSSCs. Specifically, dealing with surface defects has been the focus of some of these strategies, as such imperfections – atomic vacancies or dangling bonds – that are often formed during fabrication of the MO_x film can act as charge carrier trap sites, lowering charge separation yields. Strategies for surface passivation and reducing the density of defects have included improving the crystallinity of the film,^{994,995} and depositing a thin overlayer on the surface of NiO either by ALD or solution-phase deposition.⁹⁸⁴⁻⁹⁸⁶ This approach using ALD – and that of Al_2O_3 in particular – has been adopted for H_2 -evolving DSPCs,^{982,987} as well as attempts to dope NiO in order to modify its VB edges and therefore establish an internal driving force for hole transport.⁹⁸¹ Searches are underway by a number of research groups to realize alternative p-SC materials that can out-compete NiO in terms of hole mobility, band edge potentials, nanostructuring and hence molecular loading capacities, whilst maintaining the ease of fabrication and low cost. Recently, promising work in the development and adoption of a CuCrO_2 delafossite in place of NiO as the p-SC in a molecular catalyst-based DSPC has highlighted the importance of this route.⁹⁶⁹

Perhaps the component in DSPCs that displays the widest variety to date is the PS, ranging from coordination complexes and organic dyes to QDs. The latter (CdSe QDs) are employed in the most active and stable 3d transition metal catalyst-based DSPC for H_2 evolution reported to date.⁹⁵⁷ This field of research has benefited from the more mature development in p-type DSSCs over DSPCs, wherein immobilized dyes are a common motif that span across both arenas. Nevertheless, much work is still needed to develop and realize new and better candidates for all the basic building blocks that make up a DSPC (the p-SC, the PS, the molecular catalyst and all linkers involved with species immobilization). In doing so, the modular separation of light harvesting, charge transport, and catalysis in DSPCs can be taken advantage of by allowing the separate components to be individually optimized for best performance, where the rates of each transfer step dictate the overall device efficiency. With many components present in a DSPC-based system, the number of potential kinetic bottlenecks in the system is significant and kinetic insights from fast spectroscopies such as TAS are thus greatly valuable. For example, the importance of the linker length and identity have been elucidated in terms of how they can accelerate or decelerate recombination. Salient examples of this have been the improvement of a

NiO|**PS37**|[Fe-Fe] system upon increasing the length of the catalyst linker,^{954,955} as well as identification of hole traps in the thiol group linkers of the aforementioned CdSe-based work.

Looking forward, a possible new approach for DSPC assembly gathers inspiration directly from DSP systems in which the dye and catalyst are interfaced to one another via a SC that ensures the efficient transfer of multiple, low potential electrons towards a catalyst (Figure 60). Importantly, this differs to supramolecular assemblies (Figure 54b) as the linker in the latter are inert and serve only to physically connect the molecular components. A SC bridge with an appropriate E_{CB} energy level allows the p-SC supporting substrate (e.g. NiO) to be replaced with a (degenerately doped) conductive material (e.g. ITO), which could potentially overcome issues such as limited hole mobility that are inherent to conventional p-SCs. A recent example that demonstrated early efforts in this translation of DSP systems onto DSPC adopted a type II sensitization, whereby **PS31** (Figure 46) was employed to bind to TiO₂ nanoparticles via its catechol linker, while the carboxylic acid moiety remained free for further MO_x anchoring.⁸⁸¹

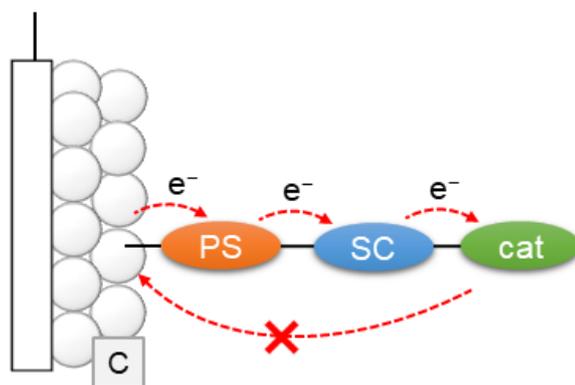


Figure 60. Possible DSPC assembly where ET between the photosensitizer and catalyst is mediated by a SC, and the assembly is attached to a conductive surface (C).⁸⁸¹

Excluding one example with an [Fe-Fe] catalyst, the catalysts employed in all other H₂-evolution DSPC works can be categorized as either cobaloximes or Ni DuBois type catalysts. The former class possesses low η and are synthetically relative easy to derivatize – a major advantage especially in the case where synthetic manipulation must be undertaken to achieve covalently-attached dyads. The latter are amongst the most active and stable 3d transition metal-based catalysts for proton reduction. In the case of CO₂ reduction, however, no synthetic 3d metal molecular catalysts have yet been successfully coupled with a photosensitizer to construct an operational DSPC, with the only example being the naturally-occurring enzyme CODH.⁹⁹³ Together with the fact that no H₂ase has yet been reported on DSPC, this illustrates the difficulties of successfully implementing catalyst and light harvesters on the same electrode.

In general, molecule desorption and/or degradation is a common reason for unstable PEC activity, and maintaining the molecular integrity of the catalytic species has been a main challenge for all molecular catalyst-based systems. The lack of standardization in reporting PEC performance of DSPECs is another problem and key indicators of performance are rarely reported under the same conditions (see Section 1.5).

Table 5. PS-modified photocathodes with immobilized 3d metal HECs and CRCs in aqueous systems. All potentials are reported against RHE. In the cases where photocathode-photoanode tandem systems have also been reported, only the performance of the photocathode studied in a three-electrode half-cell set-up has been included in this table (n/a = not available).

Entry	Electrode	HEC / CRC	E_{onset}^a (V)	$ J_{ph} $ at E_{appl}^a ($\mu A\ cm^{-2} / V$)	CPPE duration at E_{appl}^b (min / V)	TON ^b (t / h)	FE ^b	Conditions	Illumination	Ref
1	NiO PS19	Co114	n/a	13 at 0.21 ^b	2.75 at 0.21	n/a	n/a	phosphate pH 7.0	300 mW cm ⁻² , $\lambda > 400\ nm$	951
2	NiO PS36	Co115	n/a	~45 at 0.0	90 at 0.41	n/a	68%	phosphate pH 7.0	100 mW cm ⁻² , $\lambda > 400\ nm$	952
3	NiO PS37	Fe ₂ 44	n/a	10 at 0.16 ^b	21 at 0.16	n/a	~ 50%	acetate pH 4.5	LED lamp, white (5000 K)	955
4	NiO PS38	Co88	n/a	~118 at 0.20 ^b	210 at 0.07	n/a	81% (at $E_{appl} = 0.20\ V$)	Na ₂ SO ₄ pH 6.8	300 W lamp, $\lambda > 400\ nm$	957
5	CuCrO ₂ PS30	Ni35	0.90	15 at 0.0	120 at 0.0	126 (2)	34%	Na ₂ SO ₄ pH 3.0	100 mW cm ⁻² , $\lambda > 420\ nm$	969
6	NiO PS39-Zr ⁴⁺	Ni35	n/a	~ 6.4 at 0.0	120 at 0.30	n/a	9%	Na ₂ SO ₄ pH 3.0	100 mW cm ⁻² , $\lambda > 400\ nm$	930
7	ITO DA-Zr ⁴⁺ - PS39-Zr ⁴⁺	Ni35	n/a	56 at 0.051 ^b	240 at 0.051	n/a	53%	MES pH 5.1	5 mW cm ⁻² , $\lambda_{max} = 445\ nm$	980
8	NiK _{0.02} O NiC u _{0.02} O PS40- Zr ⁴⁺	Ni35	n/a	58 at 0.045 ^b	120 at 0.045	n/a	86%	MES & KCl pH 5.0	20 mW cm ⁻² , $\lambda > 400\ nm$	981
9	NiO Al _x O _y Co116	Co116	-0.87	~20 at 0.20	150 at 0.51	n/a	~ 68%	KH ₂ PO ₄ & Na ₂ CO ₃ pH 7.0	300 W lamp, $\lambda > 420\ nm$	982

10	NiO Co117	Co117	0.93	15 at 0.14 ^b	~ 0.09 at 0.14	180	n/a	MES & NaCl pH 5.5	65 mW cm ⁻² , $\lambda > 400$ nm	945
11	NiO Co118	Co118	0.48	20 at 0.48 ^b	n/a	18	5%	acetate pH 4.8	LED lamp, white (5000K)	946
12	NiO Fe₂45	Fe₂45	0.70	56 at 0.30	0.52 at 0.30	5	n/a	Na ₂ SO ₄ pH 7.0	300 W lamp, $\lambda > 400$ nm	991
13	NiO PS36	Ni- CODH	~ 0.27	25 at 0.0	n/a at 0.08	50	n/a	MES pH 6.0	white light, 45 mW cm ⁻² , $\lambda > 420$ nm	993

Extracted from: ^aLSV measurements, unless otherwise stated; ^bCPPE measurements;

7 Narrow-bandgap Semiconductor Photocathodes

7.1 General Remarks

An alternative to DSPCs is the construction of photocathodes from narrow-bandgap semiconducting materials that are inherently light-absorbing and modify them with molecular fuel-forming catalysts. Narrow-bandgap p-SCs differ from their dye-sensitized counterparts discussed in the previous section as light absorption, charge carrier generation, separation and mobility are all performed by a single material. The approach of using narrow-bandgap p-SCs to build light-absorbing photocathodes (LAPCs) therefore has the potential advantage over DSPC systems of having a reduced number of components by not requiring an additional PS.

Despite the apparent attractiveness of such an architecture and an early example of a molecular catalyst-based LAPC for PEC H₂ evolution,¹¹⁵ there has been significant development of such systems only in the last decade. Of these, there remain only a small number of 3d transition metal complexes on LAPCs that also operate under aqueous conditions, and no reported examples which achieve CO₂ reduction without the use of precious-metal based CRCs. The challenge therefore remains to continue the non-trivial task of developing such photocathodes that are active and stable for solar fuel synthesis.

7.2 Component Requirements and Characteristics

7.2.1 Narrow-bandgap p-type Semiconductors

Similarly to DSPCs being largely based on materials inspired from and first developed for p-type DSSCs, the narrow-bandgap SCs employed to realize LAPCs for H₂ evolution and CO₂ reduction have also been adapted from the photovoltaics field. Narrow-bandgap p-SCs can be inorganic or organic with examples of LAPCs being based on both types. From a thermodynamic point of view, it is crucial that the E_{CB} of the p-SC is more negative than E_{onset} of the immobilized molecular HEC or CRC (Figure 61).

In addition, E_g of the p-SC determines the maximum proportion of the solar spectrum that can be harvested. Visible and infrared light accounts for more than 95% of solar irradiation and wide-bandgap SCs with $E_g > 3.1$ eV can only absorb UV light.⁸¹ Further considerations for E_g must be taken if the assembly of an efficient tandem PEC cell is the final objective. The practical upper limit of solar-to-hydrogen efficiency of a tandem PEC cell with a pair of SCs (having bandgaps of 1.0 and 1.6 eV) under 1 Sun irradiation is close to 30%, whereas only 13% are achievable for a single light absorber PEC cell (with a bandgap of 2.2 eV).^{947,949,996,997}

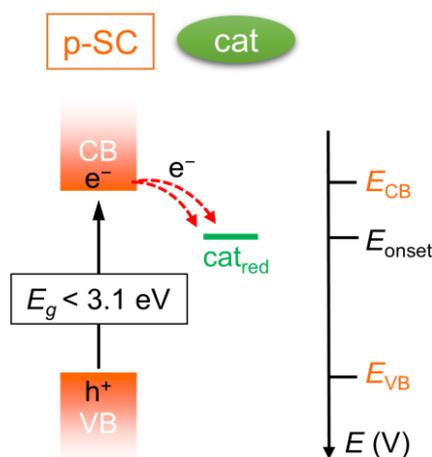


Figure 61. Band diagram depicting energy level requirements for a successful LAPC system.

Figure 62 depicts the VB and CB edges, as well as the bandgaps, of inorganic p-SC materials that have been used to date to construct LAPCs with 3d transition metal complexes. Of these, p-type silicon has the narrowest bandgap (1.12 eV) and can capture photons from a significant portion of the solar spectrum, even those in the near infrared.⁷⁰¹ Along with Cu_2O , SCs of the III-V variety – namely, indium phosphide (InP), GaP and gallium indium phosphide (GaInP_2) – also have bandgaps appropriate for visible light absorption (2.10,⁹⁹⁸ 1.35,^{999,1000} 2.26,¹⁰⁰¹ and 1.83 eV,^{1002,1003} respectively). Furthermore, E_{VB} of GaP is marginally more positive than the potential for the oxygen evolution reaction and may therefore be used as a single light absorber to drive full water-splitting with a water oxidation catalyst that requires a minimal overpotential. Nevertheless, solar-to-hydrogen efficiencies usually benefit from a well-designed tandem configuration.

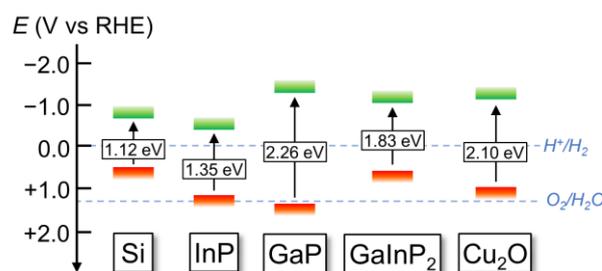


Figure 62. Valence (red) and conduction (green) band positions for inorganic p-type light-absorbing SC materials mentioned in this section.

Although the number of p-SC materials that have been successfully used to develop proton- or CO_2 -reducing LAPCs is greater than the number of p-SCs used in DSPCs (the majority of which are based on NiO), it is still restricted due to photodegradation of many potential p-type light-absorbing SCs in water, and their fragility in acidic media. Conditions that are benign to the SC and immobilized catalyst must therefore be identified to assemble a functional LAPC.

Silicon is inherently unstable in aqueous or aerobic conditions due to the formation of an insulating silica surface layer that passivates the electrode and renders the region inactive for electrochemical reactions. The most commonly used strategy to overcome this problem for H₂-evolving photocathodes has been to decorate the Si with a thermodynamically stable and ultra-thin ALD-coating of TiO₂ prior to catalyst immobilization (note that none of these catalysts to date have been molecular HECs in aqueous environments).^{711,1004-1006} Corrosion instability also afflicts Cu₂O, and In- and Ga-based light-absorbing SCs and limits their large-scale application for PEC solar fuel devices.

In addition to Si, ALD-deposited thin films have also been applied to other unstable SC materials (e.g. Cu₂O, InP, CuInS₂, etc.) in the context of providing protection against corrosion/passivation under PEC hydrogen-evolving/CO₂-reducing conditions.^{702,1007-1009} However, the number of such examples remains scarce when only considering HEC-based photocathodes, especially 3d transition metal complexes.¹⁰⁰⁷ ALD films are usually transparent to permit light absorption, and sufficiently thin to allow charge transfer, but the intrinsically slow and energy-intensive nature of the deposition method challenges its scalability.

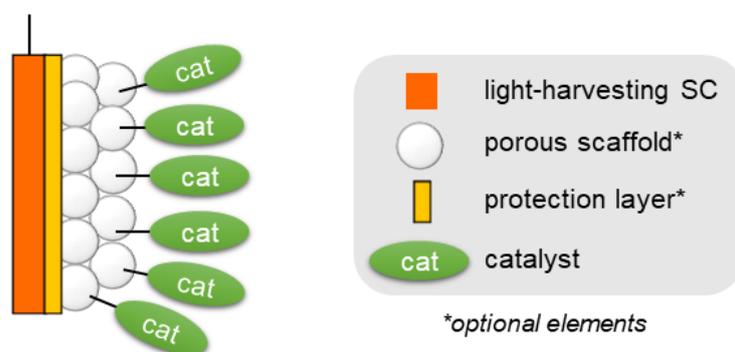


Figure 63. General assembly approach taken to construct LAPCs, where a protection layer and porous scaffold are optional elements.

Finally, the p-SC must be able to facilitate some form of anchoring mode in order for catalyst immobilization to be successful. Ideally, this should also allow for a significant amount of molecules to be loaded onto the surface to compensate for the kinetic limitations of molecular catalysts, so some form of nanostructuring to introduce a high surface area-to-volume ratio would be beneficial. A general assembly scheme is presented in Figure 63. The appropriate anchoring modes compatible with different surface types have already been discussed in Section 3. In the case of LAPCs with 3d transition metal complexes, the vast majority of the SC surfaces employed have been metallic oxides or III-V type SCs. This has allowed for exploitation of their inherent susceptibilities to the formation of O-metal iono-covalent bonds.

7.2.2 Molecular Catalyst

The majority of criteria that applied to molecular catalysts in DSPCs also apply when considering appropriate molecular catalysts for LAPCs. For instance, an important prerequisite common to both types of photocathodes is the ability of a catalyst to anchor onto the SC surface and remain stable under catalytic operating conditions. One notable difference is that the anchoring mode must allow for efficient charge transfer to occur between the SC surface and the molecular complex in LAPCs, in contrast to DSPCs where charge transfer between the co-adsorbed PS and the catalyst is important. In the latter, charge transfer between the SC surface and the molecular catalyst should be avoided as it leads to undesired charge recombination. Thus, a long spatial separation between the molecular catalyst and the SC may be beneficial in DSPCs in order to reduce recombination, whereas this would slow down forward ET from the light absorber to the catalyst in LAPCs.

The effect of linker length on the kinetics of charge separation and recombination in SC-molecular catalyst hybrid photoelectrodes is important, as demonstrated by an extensive study on the interfacial ET dynamics of a series of mesoTiO₂[cobaloxime] films.⁸⁷⁹ Here, it was revealed that the ET rate from the SC to the attached cobalt catalyst was exponentially dependent on the distance between the TiO₂ and the metal center (see Section 5.2.3). Another difference to consider when constructing a LAPC as opposed to a DSPC is the reliance on E_{CB} to reduce the catalyst rather than E_{VB} to quench the holes of the photoexcited PS.

Long-term integrity of the molecule should be verified in post-catalysis experiments in order for performance claims to be substantiated. A difficult and recurring question in molecular catalysis is whether the primary compound is the true catalyst or just a precursor for the true non-molecular active species (often metals or MO_xs) produced in situ,^{86,1010} in particular when operating under highly oxidizing or reducing potentials, strongly acidic or basic media, and in the presence of water. Nevertheless, it should be noted that solution processed single source molecular precursor chemistry has been used as a strategy to intentionally form non-molecular catalytic layers on p-type SCs in the context of water splitting, but this is beyond the scope of this review.^{1011,1012}

The remainder of this section will discuss LAPCs that have been prepared with 3d transition metal complex-based catalysts active for proton- and CO₂-reduction in aqueous systems. The literature has been categorized according to the type of light-absorbing SC.

7.2.3 Indium Phosphide

A molecular catalyst-modified LAPC active for H₂ evolution was built from a cross-linked InP nanoarray as the light-absorbing component with an incorporated iron-sulfur HEC

(**Fe₂36**, Figure 42), that resembles the subsite of an [Fe-Fe]-H₂ase.¹⁰¹³ The InP cathode was assembled by first modifying the surface of a gold electrode with a monolayer of 1,4-benzenedithiol, which allowed for subsequent binding of the primary layer of InP nanocrystals. Sequential layer-by-layer build-up of the InP array was achieved by alternating exposure to the dithiol and the nanocrystal solutions. After ten layers of InP nanocrystals were deposited, **Fe₂36** was immobilized on the surface by exposure of the electrode to a solution of the iron catalyst. Adsorption of the molecule onto the SC surface was presumed to occur via direct binding of the sulfide bridges to the indium. InP possesses a E_{CB} of -0.39 V vs RHE at pH 7, which provided sufficient driving force for the catalyst to perform proton reduction. In aqueous solution (0.1 M NaBF₄, pH 7.0) under 395 nm LED illumination, the InP|**Fe₂36** photocathode displayed an E_{onset} = 0.51 V vs RHE, but produced only J_{ph} of < 1 $\mu\text{A cm}^{-2}$. Nevertheless, CPPE at E_{appl} = 0.21 V vs RHE for 1 h produced a FE_{H_2} of ~60% (Table 6, entry 1).¹⁰¹³ This early work inspired the integration of molecular electrocatalysts onto solid-state light-absorbing SCs for solar fuel photocathodes.

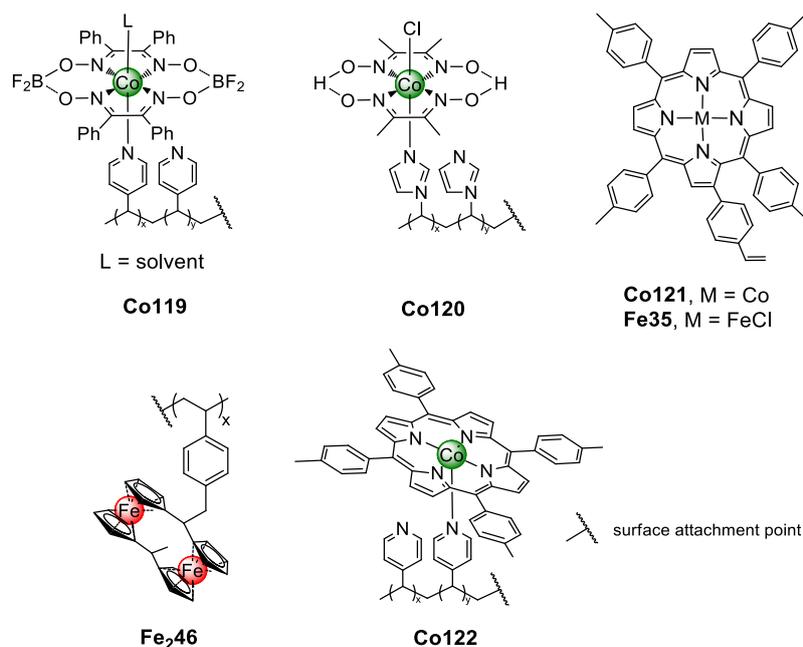


Figure 64. Molecular structures of HEC immobilized on narrow-bandgap photocathodes.

7.2.4 Gallium Phosphide

GaP as a visible light-absorbing III-V type SC was employed with molecular HECs by exploiting UV-induced immobilization chemistry of terminal alkenes for surface-initiated photo-polymerization¹⁰¹⁴ to yield a polymer with attachment points for cobaloxime catalysts. A similar approach had been previously used on GaP and Si surfaces to immobilize a proton reduction Ni bis(diphosphine) catalyst (Section 2.2.5.1) via formation of an amide bond, although H₂ evolution was not been demonstrated in that case.⁷⁰⁸

The first example of a GaP|polymer-cobaloxime construct employed a vinylpyridine being photochemically grafted onto a (100) GaP surface, followed by coordination of $[\text{CoCl}_2(\text{dmgH})(\text{dmgH}_2)]$ to pyridyl groups on the surface-attached PVP polymer brushes to yield the final GaP|**Co90** photocathodes (Figure 27).^{709,1015} Performing this surface catalyst immobilization in two steps means that not all pyridine units are saturated with cobaloximes, as evidenced by XPS measurements. Nevertheless, it was expected that using a polymer bearing multiple pyridine binding sites would significantly increase the per geometric area loading capacity of the catalysts onto the SC, as compared to approaches using self-assembled monolayers of molecules. A ~12 nm thick PVP layer was calculated to offer a Γ of 13 nmol cm^{-2} , and extensive grazing angle FT-IR, XPS, and XANES analyses confirmed surface attachment of the cobaloximes.⁷⁰⁹

Under 100 mW cm^{-2} Xe lamp (n.b. not AM1.5G) illumination and in aqueous solution (pH 7.0), the GaP|**Co90** photocathode displayed an E_{onset} of 0.76 V vs RHE during irradiation, as compared to 0.69 V vs RHE for a catalyst-free GaP|PVP electrode (Table 6, entry 2).⁷⁰⁹ The fill factor for the J - V response of the open circuit voltage improved substantially upon incorporation of the Co catalyst (>200% increase), confirming that the presence of the cobaloxime promotes charge transfer across the surface.⁷⁰⁹ CPPE under AM1.5G illumination (different to above) generated a J_{ph} of -0.92 mA cm^{-2} at 0.0 V vs RHE and a FE_{H_2} of 88% at E_{appl} of 0.17 V vs RHE (Table 6, entry 3).¹⁰¹⁵ Gradual system deactivation was attributed to loss of the cobaloxime species from the surface, and is consistent with dissociation of the axial pyridine during redox cycling (discussed in Section 2.2.4.1).^{202,1016} The photocurrent density of GaP|**Co90** has a linear dependence on the illumination intensity, which indicates that photocarrier transport to the interface was likely a limiting factor for the performance of this photocathode.¹⁰¹⁵ The E_{CB} of GaP is located almost 1.0 V more negative than the thermodynamic potential for proton reduction, which, though energy wasteful in some cases, makes it an attractive material choice for proton-reducing photocathodes where the HEC requires a significant overpotential.¹⁰¹⁷⁻¹⁰¹⁹

Exchange of the molecular HEC in **Co90** for a modified cobaloxime gives a system (**Co119**, Figure 64) which reflects results found under homogeneous conditions. Replacing the oxime-linking hydrogen atoms with bridging BF_2 groups imparts slight increases in stability, and substitution at the C-atoms shifts the catalytic onset potential (Table 6, entry 4).¹⁰²⁰ Direct comparison with GaP|**Co90** under identical conditions shows lower activity for GaP|**Co119** at pH 7 in terms of J_{ph} (-1.20 vs -0.56 mA cm^{-2} at 0.0 V vs RHE, respectively). However, the J_{ph} curves become almost identical when the pH is lowered to 4.5 ($\sim -1.10 \text{ mA cm}^{-2}$ at 0.0 V vs RHE). The requirement of acidic conditions to induce higher catalytic activity for **Co119** is most likely due to a correlation between the $\text{Co}^{2+}/\text{Co}^+$ reduction potential and

diminished basicity of the Co^+ state, thereby requiring a lower pH value to form the active cobalt-hydride species during the catalytic cycle (see Section 2.2.4.1). Nevertheless, the work on GaP|**Co119** highlights the benefits of the modular approach undertaken to construct these photocathodes in allowing for straightforward catalyst modification.

The versatility of using a polymer graft to interface molecular catalysts with a SC substrate was further demonstrated when the PVP polymer was replaced with a polyvinylimidazole (PVI); the imidazole units of the latter bind to the cobaloxime in the axial position in much the same way that the pyridine units of the former do (**Co120**, Figure 64; Table 6, entry 5).¹⁰²¹ The GaP|**Co120** photocathodes displayed a slightly thinner film thickness with PVI than with PVP (6 vs 10 nm).¹⁰²² As a result, there is a lower ligand binding site (imidazole/pyridine) density for the PVI film ($\Gamma = 8$ vs 11 nmol cm^{-2}), which may partially explain the slightly lower PEC performance. Direct comparison under the same experimental conditions gave an E_{onset} at 0.61 V vs RHE for PVP-based GaP|**Co90**, whereas GaP|**Co120** exhibited an E_{onset} at 0.58 V vs RHE. Similarly, J_{ph} of the former reached -1.3 mA cm^{-2} , whereas the latter reached -1.2 mA cm^{-2} at an applied potential of 0.0 V vs RHE under simulated solar irradiation. CPPE resulted in a near-quantitative FE_{H_2} and a loss of $\sim 30\%$ of J_{ph} after 1 h of operation.¹⁰²¹

The polymer grafting approach was further extended to different GaP(111) faces with polymers containing **Co120**, and the GaP(111)|**Co120** photocathodes were found to exhibit similar performance to their GaP(100) counterparts as well as others (111A vs 111B faces; Table 6, entry 6).⁷⁰³ XPS analysis of these systems provided an estimate of 28-35% for the loading of cobalt centers to all available ligand binding units in the polymer film, thereby illustrating that saturation of all ligand sites on the polymer with cobaloxime catalysts is unlikely when this layer-by-layer electrode fabrication method (polymer deposition first followed by catalyst modification) is employed.

UV-induced polymerization of olefins can be exploited in much the same way for attaching individual molecules to a GaP surface as for inducing surface-initiated polymerization. This approach was employed to immobilize Co- and Fe-centered porphyrins bearing a pendent 4-vinylphenyl surface attachment group at the β -position of the porphyrin ring structure (**Co121** and **Fe35**, Figure 64; Table 6, entry 7 and 8, respectively).⁷¹⁰ Notable differences to previous work on GaP are that the surface-immobilized molecular units remain discrete, and each of them possesses its own attachment point in the absence of polymer. In addition, the catalyst metal centers are already coordinated to their ligand framework prior to surface grafting. Metalloporphyrins are attractive electrocatalysts as they are not only capable of proton reduction, but also converting CO_2 into CO. A GaP|**Co121** photocathode

outperforms a GaP|**Fe35** photocathode when tested in pH-neutral conditions under simulated solar irradiation, including displaying an earlier E_{onset} (0.55 vs 0.50 V vs RHE), maintaining a more stable J_{ph} at $E_{\text{appl}} = 0.0$ V vs RHE (>90% activity retained after 4 h vs 77% activity retained after 6 min), and achieving a higher FE_{H_2} (97% across 30 min vs 45 % across 6 min). Possible explanations included the relative instability of iron-porphyrins,¹⁰²³⁻¹⁰²⁵ and the $\text{Co}^{2+}/\text{Co}^+$ redox couple being positioned at more positive potentials than the $\text{Fe}^{2+}/\text{Fe}^+$ couple of the corresponding complexes.

Metalloporphyrins were also attached to GaP by using the same procedure previously reported for UV-induced polymerization of PVP onto the SC, followed by cobalt-porphyrin attachment to the pyridine units (**Co122**, Figure 64; Table 6, entry 9).¹⁰²⁶ This approach involves two steps to achieve **Co122** surface attachment, but does not involve synthetic steps to modify the porphyrin macrocycle with a surface attachment functionality. Performance parameters during CPPE were nearly identical to those of the GaP|**Co121** photocathodes, suggesting that the PVP surface coating does not diminish the performance gains afforded by Co-porphyrin surface modification. J_{ph} was shown to scale linearly with illumination intensity in both systems, suggesting that photocarrier transport to the GaP-catalyst interface remains a limitation to performance. Spectral coverage and photophysical properties of the GaP SC are thought to be limiting factors.

In summary, the series of reports detailed above employ UV-induced attachment of olefin groups to the Ga surface for surface grafting of proton-reducing cobaloximes and metalloporphyrins onto GaP SC substrates. These systems demonstrate that small modifications at the catalyst level (ligand functionalities of cobaloximes, cobaloximes vs metalloporphyrins), polymer level (PVP vs PVI), and GaP level (100 vs 111 faces) can all be investigated and optimized using this versatile approach.

7.2.5 Gallium Indium Phosphide

The majority of LAPCs reported so far are active for proton reduction in pH-neutral or acidic conditions, as the anchored molecular catalyst is stable and can operate under these conditions. The only exception is a $\text{GaInP}_2|\text{TiO}_2|\text{cobaloxime}|\text{TiO}_2$ construct that has been claimed to function under pH 13 conditions (Table 6, entry 10),¹⁰⁰⁹ which may be beneficial for overall water splitting as water oxidation is usually preferred under strongly basic conditions.¹⁰²⁷ In this photocathode, TiO_2 is deposited as an interlayer and on top of the molecular cobaloxime complex. The 35 nm-thick ALD- TiO_2 layer directly interfaced with GaInP_2 provides a conformal layer to protect the III-V SC from corrosion in aqueous solutions,¹⁰²⁸ while also providing oxide sites for surface attachment of the isonicotonic acid-functionalized (i.e. carboxylic acid-containing) cobaloxime catalyst (**Co101**, Figure 39).

Analogous to dye stabilization on NiO with an ALD-deposited Al₂O₃ overlayer (Section 6),⁹⁸⁷ the ~0.4 nm-thick TiO₂ overlayer deposited on top of the cobaloxime was thought to provide stabilization of the carboxylate linkage under such harsh conditions, although direct comparison of the PEC performances achieved by the GaInP₂|TiO₂|**Co101**|TiO₂ electrode with an overlayer-free GaInP₂|TiO₂|**Co101** photocathode was not discussed.¹⁰⁰⁹

Under illumination in aqueous NaOH (pH 13.0) solution, the GaInP₂|TiO₂|**Co101**|TiO₂ photocathode exhibits an E_{onset} of ~0.70 V vs RHE and reaches J_{ph} of -11 mA cm⁻² at 0.0 V vs RHE in LSV experiments. J_{ph} drops by only 5% with near-unity FE_{H_2} during CPPE within the first 20 min and plateaus at ~ 5 mA cm⁻² after 4 h.¹⁰⁰⁹ It is worth noting that the molecular integrity of the HEC within this photocathode was not unambiguously verified after operating under the extremely alkaline conditions.⁸⁶

7.2.6 Silicon

Besides III-V SCs, p-type silicon has been adopted for building molecular catalyst-based LAPCs. Several reports describe the deposition of protection layers to limit the instability of silicon in aqueous solution, but this often requires severe precautions and expensive techniques, most commonly ALD.⁷⁰² Most of these reports subsequently deposited non-molecular precious metal heterogeneous catalysts atop the protection layer to complete construction of the H₂-evolving photocathodes. The majority of molecular catalyst-based LAPCs for proton reduction based on Si have been operated under either organic solvents or extremely acidic aqueous solutions, where the Si is more stable.

An early example of a molecular catalyst interfaced with a p-SC to yield a proton-reducing photocathode was the combination of a p-type Si with an incorporated bimetallic metallocene (“ferrocenophane”)-type molecular complex and a polystyrene polymer (**Fe₂46**, Figure 64, Table 6, entry 11).¹¹⁵ Although most of the photoelectrochemistry was undertaken in neat HBF₃OH electrolyte solution, the Si|**Fe₂46** photocathode was also reported to operate under acidic aqueous conditions, such as 1.0 M HClO₄, in which it exhibited an E_{onset} of ~0.25 V vs RHE when illuminated by a 870 mW cm⁻² Xe lamp.

A one-dimensional cobalt dithiolene MOS based on the benzene-1,2,4,5-tetrathiolate framework (**Co85**, Figure 25) was deposited as a thin film on a Si electrode (Table 6, entry 12).⁷⁴⁹ The surface concentration of **Co85** on top of Si can be easily modified by varying the amount of catalyst dropcast on the electrode. At a Γ of 4×10³ nmol cm⁻², the Si|**Co85** photocathode exhibited an E_{onset} of ~0.2 V vs RHE during irradiation and reached a J_{ph} of -3.8 mA cm⁻² at 0.0 V vs RHE in an aqueous H₂SO₄ solution (pH 1.3). CPPE at $E_{\text{appl}} =$

-0.12 V vs RHE for Si|**Co85** displayed a stable response for 20 min (FE_{H_2} of 80%) with the delamination of the catalyst from the Si surface causing the instability.⁷⁴⁹

Anodized porous p-Si electrodes were interfaced with a QD-catalyst assembly based on the previously demonstrated binding affinity of **Fe₂36** for InP (Section 7.2.3).^{1013,1029} EDX spectroscopy experiments revealed a homogeneous distribution of In and Fe across the porous electrode, attesting to the physisorption of the QD-catalyst assembly, although no quantification of the attachment was provided. Under AM1.5G 100 mW cm⁻² irradiation the photocathode displayed an E_{onset} of ~0.2 V vs RHE when placed in 0.1 M H₂SO₄ solution (Table 6, entry 13). CPPE at E_{appl} ~ -0.26 V vs RHE of Si|InP|**Fe₂36** revealed a photocurrent of ~ -1.20 mA cm⁻² after 10 min and H₂ was detected via gas chromatography, although no FE or assessment of the catalyst stability was provided.¹⁰²⁹

A molecular DuBois Ni catalyst immobilized with a variety of anchoring methods on Si for H₂ evolution was operated in organic solvents with acids as the proton source.^{1030,1031} In these systems, the DuBois catalysts were not isolated prior to attachment but assembled layer-by-layer on the electrode surface, where ligand immobilization was followed by metalation and capping with a second equivalent of the ligand to complete the coordination environment. The catalyst was either covalently attached directly to a Cl-terminated Si substrate via a series of coupling steps,¹⁰³⁰ or through a phosphonic acid group directly onto ALD-deposited thin films of TiO₂.¹⁰³¹ An additional ALD-deposited aluminium-doped zinc oxide layer was used in between the Si and TiO₂ in the latter system to induce a band-edge modulation for a higher p-type barrier height resulting in earlier E_{onset} of the J_{ph} . The transparent and conductive nature of the ultra-thin aluminium-doped zinc oxide layer ensured that it was an effective conduit for both photon and electron transmission, respectively. An organic linker (3,5-dimethoxyphenyl) present between the Si and the aluminium-doped zinc oxide layer was shown to further shift the band-edge and resulting onset to more positive potentials. Catalytic investigation under aqueous conditions has yet to be reported for either system.^{1030,1031}

A precious metal-free Si-based photocathode coupled with discrete, surface-immobilized molecular catalyst units for H₂ evolution in water has been reported, without the use of ALD. This system relied on the engineering of a Si|TiO₂ interface that concurrently allowed protection of Si against corrosion in aqueous conditions, and provided a mesoporous MO_x surface on which a high loading of the phosphonic acid-bearing Ni-centered DuBois catalyst (**Ni35**, Figure 64) was permitted (Table 6, entry 14).⁵²⁵ As a result, when placed in pH 4.5 aqueous solution and operated under 1 Sun illumination, the Si|TiO₂|**Ni35** electrode displayed a photocurrent E_{onset} of ~0.4 V vs RHE and reached a TON_{H_2} of ~1×10³. The

photocathode was shown to be stable for > 24 h and the molecular integrity of the surface-immobilized molecular species was verified by XPS and attenuated total reflectance IR measurements.⁵²⁵

The phosphonic acid-bearing molecular cobaloxime **Co89** (Figure 26) was also immobilized on the same Si|TiO₂ and achieved a TON_{H₂} (11 after 4 h) that matched those reported for this catalyst in colloidal DSP systems, thereby demonstrating the transfer of solution-based performance onto a photocathode architecture (Table 6, entry 15).^{295,543} The range of other catalysts (metallic Pt and a H₂ase enzyme) immobilized on the same Si|TiO₂ scaffold are further testament to the versatility offered by this approach in evaluating a wide array of existing and future catalysts.

7.2.7 Organic Bulk Heterojunction Semiconductors

In addition to inorganic p-SC materials, organic bulk heterojunction (BHJ) solar cells¹⁰³² have been adopted as the light-absorbing component for hydrogen-evolving photocathodes. Such organic SC-based systems typically rely on the charge separation between a p-type fullerene derivative (electron acceptor) and an n-type light-absorbing polymer or small organic molecule. By adding a catalyst layer on top of the photoactive BHJ blend, the kinetics for proton reduction at the photocathode surface can be much enhanced. The low cost and solution processability of organic SCs account for their advantages over more expensive inorganic solid-state SCs.¹⁰³³ LAPCs based on organic heterojunctions and active for proton reduction have utilized mostly Pt or MoS_x-type materials as the proton reduction catalysts; investigated a variety of interlayers (fullerenes, TiO_x) between the BHJ and the catalyst; and studied the impact of the material choice for the hole transport layer that interfaces the BHJ with the supporting conductive substrate.^{716,717,721,1034-1036}

An example where a molecular catalyst has been immobilized on a “dark” (carbon cloth) electrode (**Co82**, Figure 22, Section 4.2.1.2) and a BHJ blend photoelectrode for proton reduction is based on an azide-modified Co diimine-dioxime catalyst that was attached to an alkyne-containing fullerene component via “click” chemistry (Table 6, entry 16).⁷²⁰ The alkyne-containing fullerene derivative is first blended with the light-absorbing polymer P3HT, and this mixture is deposited on an ITO electrode before a final surface functionalization with a cobalt diimine-dioxime HEC (P3HT:**Co82**) via a copper(I)-catalyzed cycloaddition reaction. In aqueous solution (pH 4.5), P3HT:**Co82** achieved a J_{ph} plateauing at $\sim -3 \mu\text{A cm}^{-2}$ under illumination and E_{appl} of 0.17 V vs RHE. One possible reason for the low performance of the photocathode – especially when considering the much higher currents achieved with its dark carbon cloth counterpart (Table 1, entry 10) – could be the small ET driving force (0.1 eV)

that exists between the LUMO of the fullerene and the potential required to reduce the HEC to its catalytically active Co^+ oxidation state.

7.2.8 Hydrogenase-based Systems

Contrasting with the DSPC systems where no example of co-immobilized H_2 ase and dyes has yet been reported for H_2 evolution, there are a few studies demonstrating the immobilization of H_2 ase on narrow-bandgap semiconductor photocathodes.^{525,713,714,1037}

[NiFeSe]- H_2 ase isolated from *Desulfomicrobium baculatum* was successfully interfaced on different Si| TiO_2 photoelectrodes, where titania acts as an immobilization scaffold and shuttles electrons from the Si to the H_2 ases. These examples differ in the kind of TiO_2 architecture employed, varying between planar,⁷¹³ mesoporous,⁵²⁵ and inverse opal.⁷¹⁴ Such increases in effective surface area resulted in progressively superior loading of H_2 ase accompanied by superior photocatalytic performances. In the case of planar TiO_2 , deposited on Si from a TiCl_4 solution, the E_{onset} of J_{ph} was found to be around 0.25 V vs RHE and a FE_{H_2} of 95% after 1 h of CPPE in aqueous MES solution was obtained (pH 6.0, Table 6, entry 17). Although limited ($J_{\text{ph}} \approx 4 \mu\text{A cm}^{-2}$), the activity of H_2 ase was clearly demonstrated by adding the enzyme inhibitor CO into the media of experiment, which resulted in a lower activity from the photocathode due to the inhibition of the H_2 ase.⁸²² Replacing the planar TiO_2 by a mesoporous layer resulted in a Si|meso TiO_2 | H_2 ase photocathode displaying higher photocurrents ($\sim -90 \mu\text{A cm}^{-2}$) under CPPE at 0.0 V vs RHE and a FE_{H_2} around 70% (Table 6, entry 18).⁵²⁵ The lower FE_{H_2} was attributed to a non-unoptimal coverage from the H_2 ase due to an inefficient penetration depth of the (large footprint) enzyme, and the presence of trapped O_2 in the pores. Subsequent replacement of the mesoporous layer of TiO_2 by a high porosity IO- TiO_2 layer deposited on TiO_2 ALD-coated Si electrodes afforded Si|IO- TiO_2 | H_2 ase photocathodes. These electrodes allowed a loading of H_2 ase 3-fold greater than those based on mesoporous TiO_2 , and delivered high J_{ph} ($\sim -690 \mu\text{A cm}^{-2}$) during CPPE experiments conducted at 0.0 V vs RHE at pH 6.0 (Table 6, entry 19).⁷¹⁴ These results highlight the importance of ALD coating to stabilize Si from passivation, and of porous IO- TiO_2 to increase the loading of the large enzymatic catalyst on the electrode.

[FeFe]- H_2 ase isolated from *Clostridium acetobutylicum* has also been used in combination with nanoporous “black” silicon electrodes. Black silicon permits a superior entrapment of enzymes compared to planar Si electrodes thanks to its 250 nm pore size structure.¹⁰³⁷ The black-Si|[FeFe]- H_2 ase photocathode achieved a TON_{H_2} of $\sim 10^7$ after 5 h of CPPE at 0.1 V vs RHE (pH 6.8) under 0.1 Sun irradiation (Table 6, entry 20). The low irradiation level was found to be necessary in order to maintain the electrode stability, while

the low FE_{H_2} of 33% was accounted for by the enzyme migration out of the pores, highlighting the limitation of the physisorption strategy in catalyst interfacing.

7.3 CO₂ Reduction

Progress in the assembly of LAPCs with immobilized 3d transition metal complexes for CO₂ reduction lags far behind that of proton-reducing photocathodes. The most efficient and well-studied molecular CRCs are based on precious metals such as Re and Rh, and only a handful of examples where such precious metal complexes have been coupled with p-SCs (Cu₂O, GaP, InP) to construct photocathodes operating in a variety of organic or purely aqueous conditions exist.^{1007,1038-1043} Although previous works had coupled 3d transition metal CRCs to Si photoelectrodes in homogeneous solution, to the best of our knowledge no successful immobilized example has yet been reported.^{1044,1045}

7.4 Conclusion and Outlook

As for the DSPC systems described in Section 6, the number of examples of 3d transition metal catalysts immobilized on light-absorbing semiconductors to construct LAPCs for solar fuel generation under aqueous conditions remains sparse. Perhaps the biggest hurdle facing development is the search for light-absorbing p-type semiconductors that are stable in water. Indeed, none of the LAPCs utilized to date are inherently stable and have therefore either been operated under extreme pH conditions where corrosion is less likely and/or have been decorated with a protection layer to prevent direct exposure to the electrolyte solution. Protection layer strategies included ALD-layering of MO_x, the deposition of mesoporous TiO₂ films, and integration of polymer scaffolds. Nevertheless, most reports do not discuss the stability of the photocathodes beyond 1 h (Table 6), rendering a fair assessment of the true stability of the semiconductor and any overlying so-called protection layer difficult. Inspiration from successful low-cost photovoltaic materials can be considered, with a few reports demonstrating photocatalytic H₂ evolution with BHJ^{716,1034} or perovskite^{1046,1047} systems, although these technologies still require encapsulation or protection layers to isolate water-sensitive components (e.g. poly(3,4-ethylenedioxythiophene) polystyrene sulfonate or perovskite).

In contrast to DSPCs, where the majority of molecular species (photosensitizers and catalysts alike) were immobilized on the semiconducting substrate by acidic moieties (phosphonic or carboxylic), polymeric architectures have been more commonly exploited to immobilize catalysts in constructing LAPCs. Immobilized cobaloximes and DuBois Ni catalysts have again been the first choice for most systems, and analogous anchoring strategies could be employed to couple other HECs. There remains a need to report

photocathode performances with more rigor and standardization, especially in terms of long-term capabilities, as well as more explicit discussion of molecular integrity post-catalysis.

Table 6. LAPCs with immobilized 3d metal complex electrocatalysts active for proton reduction in aqueous solution. All potentials are reported against RHE unless otherwise stated (n/a = not available).

Entry	Electrode	HEC	E_{onset}^a (V)	$ J_{ph} $ at E_{appl}^a (mA cm ⁻² / V)	CPPE duration at E_{appl}^b (min / V)	TON _{H₂} (t / h)	FE _{H₂} at E_{appl}^b (V)	Conditions	Illumination	Ref.
1	InP	Fe₂36	~0.51 ----	~3.8×10 ⁻⁴ at 0.11	60 at 0.21	n/a	~60%	NaBF ₄ pH 7.0	395 nm LED	1013
2	GaP	Co90	0.76	2.40 at 0.0	5 at 0.17	n/a	n/a	phosphate pH 7.0	100 mW cm ⁻² Xe Lamp	709
3	GaP	Co90	0.72	0.92 at 0.0	30 at 0.17	n/a	88%	phosphate pH 7.0	100 mW cm ⁻² , AM1.5G	1015
4	GaP	Co119	~0.57	~1.10 at 0.0	30 at -0.12	n/a	97%	acetate pH 4.5	100 mW cm ⁻² , AM1.5G	1020
5	GaP(100)	Co120	0.58	1.2 at 0.0	60 at 0.0	n/a	~100%	phosphate pH 7.0	100 mW cm ⁻² , AM1.5G	1021
6	GaP(111)	Co120	0.65	0.89 at 0.0	10 at 0.0	n/a	~100%	phosphate pH 7.0	100 mW cm ⁻² , AM1.5G	703
7	GaP	Co121	~0.55	1.31 at 0.0	240 at 0.0	n/a	~97%	phosphate pH 7.0	100 mW cm ⁻² , AM1.5G	710
8	GaP	Fe35	~0.50	1.29 at 0.0	6 at 0.0	n/a	~45%	phosphate pH 7.0	100 mW cm ⁻² , AM1.5G	710
9	GaP	Co122	0.65	1.27 at 0.0	30 at 0.0	n/a	~93%	phosphate pH 7.0	100 mW cm ⁻² , AM1.5G	1026
10	GaInP ₂ TiO ₂ HEC TiO ₂	Co101	0.70	11.0 at 0.0	1200 at 0.0	1.4×10 ⁵ (20)	~100%	NaOH pH 13.0	100 mW cm ⁻² , AM1.5G	1009
11	Si	Fe₂46	0.25	n/a	n/a	n/a	n/a	HClO ₄ pH 0.0	870 mW cm ⁻² , Xe lamp	115

12	Si	Co85	~0.20	3.80 at 0.0	20 at -0.12	n/a	80%	H ₂ SO ₄ pH 1.3	100 mW cm ⁻² , AM1.5G	749
13	Si InP	Fe₂36	~0.20	1.20 at -0.26	50 at -0.26	n/a	n/a	H ₂ SO ₄ pH 0.7	100 mW cm ⁻² , AM1.5G	1029
14	Si TiO ₂	Ni35	~0.40	~0.34 at 0.0	1440 at 0.0	646 (24)	~80%	acetate pH 4.5	100 mW cm ⁻² , AM1.5G	525
15	Si TiO ₂	Co89	~0.35	~0.34 at 0.0	240 at 0.0	11 (4)	n/a	acetate pH 4.5	100 mW cm ⁻² , AM1.5G	525
16	P3HT	Co82	n/a	~0.003 at 0.0	13 at 0.17	n/a	n/a	acetate pH 4.5	100 mW cm ⁻² , AM1.5G	720
17	Si planar TiO ₂	[NiSeFe]- H ₂ ase	~0.25	~0.004 at 0.0 (~0.003 at 0.0) ^c	60 at 0.0	n/a	95%	MES pH 6.0	10 mW cm ⁻² LED white light	713
18	Si mesoTiO ₂	[NiSeFe]- H ₂ ase	~0.30	~0.20 at 0.0 (~0.090 at 0.0) ^c	240 at 0.0	n/a	~70%	MES pH 6.0	100 mW cm ⁻² , AM1.5G, λ > 400 nm	525
19	Si IO-TiO ₂	[NiSeFe]- H ₂ ase	~0.35	~0.550 at 0.0 (~0.690 at 0.0) ^c	300 at 0.0	n/a	86%	MES & KCl pH 6.0	100 mW cm ⁻² , AM1.5G, λ > 420 nm	714
20	black-Si	[FeFe]- H ₂ ase	~0.38	1.70 at 0.0	300 at 0.1	9.9×10 ⁶ (5)	33%	phosphate pH 6.8	10 mW cm ⁻²	1037

^aextracted from LSV measurements, unless otherwise stated, ^b extracted from chronoamperometry measurements, ^c extracted from CPPE measurements.

8 Beyond H₂ and C₁ Chemistry

The use of alternative and sustainable energy sources, as well as the design of more energy efficient processes, is crucial for the future development of chemical industry, and an attractive option is the use of abundant sunlight for promoting chemical transformations. The application of light-induced chemical reactions in an industrial setup was introduced in the late 1940s, with the use of light-promoted free radical chain reactions for photochlorinations of organic molecules.¹⁰⁴⁸ This work was followed by a variety of large-scale light-driven industrial applications, such as the sulfochlorination of paraffins, and bleaching of textiles and paper.¹⁰⁴⁹ Preparative photochemistry has also found use in industry by the synthesis of vitamin D, caprolactam, and lauryllactam (monomer for the preparation of Nylon 12), among others.¹⁰⁵⁰ More recently, a strategy for using sunlight to remediate environmental pollution has been developed. Pesticides are a major source of water contamination and have been treated by light-induced catalytic oxidation reactions.¹⁰⁵¹ Nevertheless, the focus remains on photopolymerization reactions, e.g. in coatings and paints in the automotive industry, textile printing, photocuring of inks, and 3d printing technologies.¹⁰⁵² Despite the variety of light induced reactions used in industrial environments, they are usually not catalytic. Thus, these processes often lead to the formation of large quantities of chemical waste, which is a major cause of environmental pollution. More research will therefore be required to achieve commercial, large-scale photocatalytic processes. This would allow for the preparation of large amounts of indispensable commodities such as bulk and fine chemicals, in addition to fuel synthesis using sunlight as an energy source without the formation of vast amounts of waste. Molecular-based reductive chemical transformations with potential for industrial applications beyond H₂ evolution and the two-electron reduction of CO₂ are reviewed and discussed in this section.

8.1 Reduction of CO₂ to Hydrocarbon Fuels

Hydrocarbon fuels have been considered the most important energy source for the last century, which can be ascribed to their abundance, high energy density, and ease of transportation. Current research efforts focus on the synthesis of renewable hydrocarbons from the greenhouse gas CO₂ to replace fossil-derived liquid fuels.¹⁰⁵³ In recent years, extensive research has been carried out in investigating novel CO₂ reduction catalysts (*vide supra*), especially in finding systems capable of reducing CO₂ beyond CO and formate to higher hydrocarbons or oxygenates. Only a few catalysts have been shown to catalyze this conversion and are briefly discussed here.

A cobalt tetra-hydroxyphenyl porphyrin (**Co123**, Figure 65) was used together with graphene as a charge transfer mediator, giving a photocatalyst with an outstanding

photostability. This hybrid system was applied in the reduction of CO₂ to acetylene (C₂H₂) and CH₄ with rates of 113 μmol m⁻² h⁻¹ and 57 μmol m⁻² h⁻¹, respectively (calculated per surface area of the composite).¹⁰⁵⁴ Similarly, a cobalt protoporphyrin catalyst (**Co124**, Figure 65) was immobilized on pyrolytic graphite electrodes for electroreduction of CO₂ in aqueous media. Despite screening various different conditions, CO remained the main product, whereas CH₄ and CH₃OH were obtained only as by-products.⁷⁹⁰ When heterogenized on commercially available polytetrafluoroethylene-treated carbon fiber paper, a copper porphyrin (**Cu16**, Figure 65) electroreduces CO₂ at $E_{\text{app}} = -0.98$ V vs RHE in water to yield methane and ethylene as major reduction products with TOFs of 4.3 and 1.8 molecules site⁻¹ s⁻¹ for CH₄ and C₂H₄, respectively (FE of 44% for hydrocarbon formation). In addition to the redox cycling of the copper center, the hydroxyl groups in the periphery of the porphyrin ligand play a major role in selective formation of hydrocarbons.¹⁰⁵⁵

More recently, an iron TPP complex (**Fe19**, Section 2.3.5.1) functionalized with tetramethylammonium groups was demonstrated to photocatalytically reduce CO₂ to CH₄ with an Ir-dye (**PS2**, Figure 7) and TEA as a SED. The greatest TONs were achieved when TFE was added as a proton source to give TON_{CO} = 367 (selectivity = 78%), TON_{CH₄} = 79 (selectivity = 17%), and TON_{H₂} = 26 (selectivity = 5%) after 102 h of irradiation. In this system, CH₄ is formed via a multi-step reaction. CO₂ is first reduced to CO, and subsequently the CO formed is further reduced to yield CH₄.⁴²⁰

Recent studies have elucidated the great potential of transition metal catalysts in photo- and electrochemical reduction of the greenhouse gas CO₂ to useful multi-electron reduction products. Despite the milestones achieved by transition metal TPP complexes above, little insight remains into mechanistic details, and there appears to be substantial scope for the development of novel catalysts.

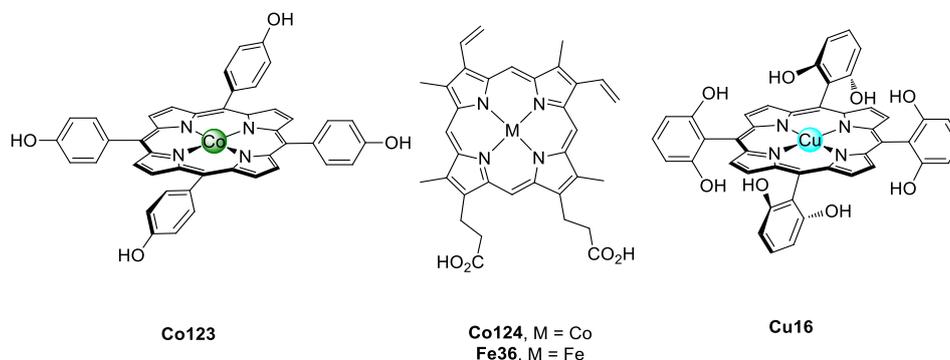


Figure 65. Molecular catalysts that transform CO₂ to highly reduced hydrocarbon products.

8.2 Reductive Organic Transformations

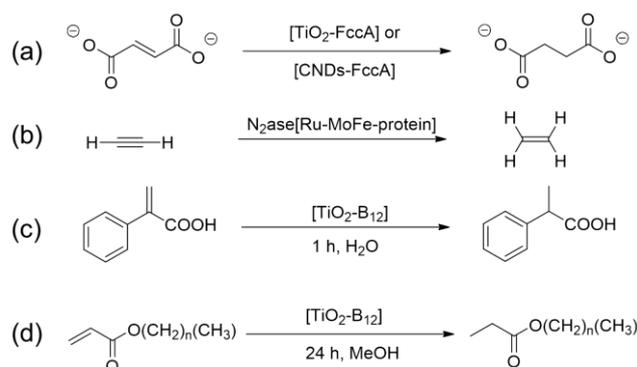
The use of sunlight and renewable electricity for driving organic reactions, and thus obtaining value-added molecules, is desirable in the context of sustainable synthesis. Artificial photosynthetic systems are of special interest, as they can perform a variety of reductive organic transformations by using sunlight as an energy source. However, most studies focus on H₂ formation or CO₂ reduction. While limited progress has been made in the application of these systems to reductive chemistry for synthesis, recent literature illustrates the use of photocatalytic hybrid systems for reductive organic transformations.^{922,1056-1058}

8.2.1 Reduction of C=C Double Bonds

The reduction of C=C double bonds presents a possibility to 'store' hydrogen in organic molecules, and therefore resembles enzymatic hydrogen fixation in NADH. The reduction of double bonds in organic molecules has been achieved using enzyme-SC hybrid systems.¹⁰⁵⁹ Enzymes are highly selective catalysts and a variety of such biocatalysts are commercially available and have already been used for industrial applications.¹⁰⁶⁰

A hybrid system consisting of the enzyme fumarate reductase (FccA) and Ru-dye sensitized TiO₂ nanoparticles reduced fumarate to succinate (Scheme 1a) with MES as a SED, giving a TON of 5800 and TOF of 0.4 s⁻¹ after 4 h irradiation.¹⁰⁵⁹ A photosynthetic cycle was realized with a PEC cell consisting of a water-oxidizing W-BiVO₄ photoanode wired to a meso-ITO cathode modified with FccA, which gave a solar-to-succinate efficiency of 0.03% with a TOF of 0.01 s⁻¹.¹⁰⁵⁹ A photocatalytic system using carbon nanodots as PSs and FccA photoproduced succinate (Scheme 1a) in aqueous EDTA solution, with a TOF of ~1.7×10³ mol succinate·(mol FccA)⁻¹ h⁻¹ and a TON of ~6×10³.⁵⁷⁷ A further example of an enzymatic hybrid used the MoFe-protein subunit of nitrogenase (see Section 8.3.1). This was modified with a [Ru(bpy)₂(phen-R)]²⁺ derivative as a PS to photoreduce acetylene to ethylene (Scheme 1b) without hydrolysis of adenosine triphosphate (ATP) (the native energy source for nitrogenase activity), displaying a QY < 1%.¹⁰⁶¹

Scheme 1. Catalytic reactions leading to the reduction of C=C double bonds.



In addition to enzymatic systems, a photocatalytic hybrid with the vitamin B₁₂ analogue cyanoaquacobyrinic acid (**Co125**, Figure 66) immobilized on TiO₂ reduces styrene derivatives and alkylacrylates (Scheme 1c and d). UV bandgap excitation of TiO₂ induces the reduction of Co³⁺ to Co⁺, which reacts to form a cobalt(III)-hydride with radical character. Mechanistic studies suggest that this cobalt(III)-hydride species acts as the active catalyst for the reduction of C=C double bonds in the reactions presented in Figure 66, with a TOF up to 100 h⁻¹.¹⁰⁶²

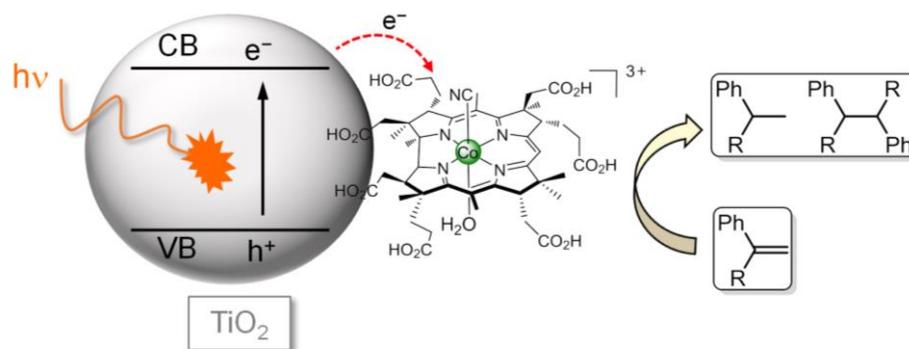


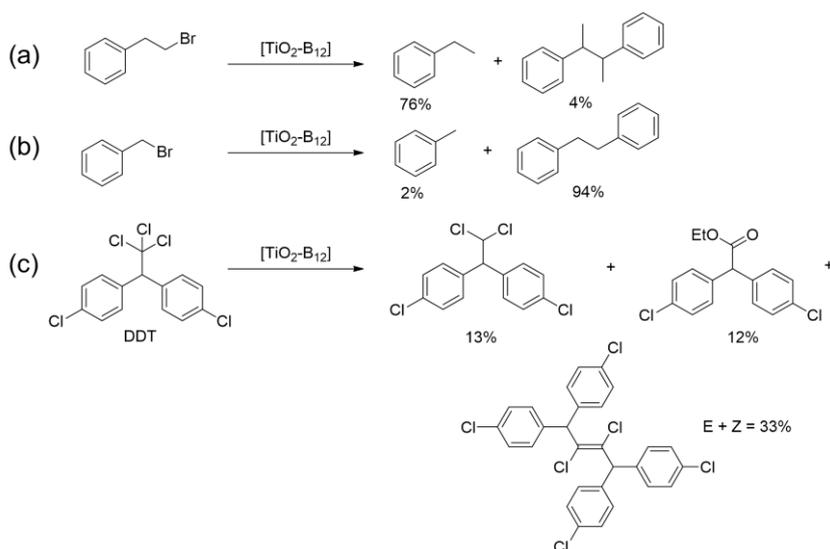
Figure 66. Photoreduction of styrene derivatives (via metal-hydride formation) with TiO₂-**Co125**.

8.2.2 Reductive Dehalogenation

Organohalides are a widespread class of compounds being used in a large variety of industrial processes. This can be attributed to the versatility of these molecules, which find their main applications as solvents for large-scale industrial processes, agrochemicals, and pesticides. Large amounts of these compounds are produced and to some extent released as waste products into the environment.¹⁰⁶³ In order to remediate groundwater and soils contaminated with toxic organohalides, cost- and energy-efficient dehalogenation reactions are under development. The photo- and electrocatalytic reduction of organohalides is a challenging catalytic reaction to achieve, due to the high potentials required for dehalogenation, especially of organochlorides.¹⁰⁶⁴

The hybrid system consisting of **Co125** immobilized onto TiO₂ discussed above (Figure 66) has also been studied as a photocatalyst for the reduction of a variety of organohalides. Dehalogenation reactions promoted by **Co125** proceed under mild conditions, via a nucleophilic attack of a reactive cobalt(I) intermediate on the organohalide species. This can be attributed to the lower potential required for the formation of the reactive Co⁺ intermediate as compared to the potential required for a direct dehalogenation.¹⁰⁶⁵ The TiO₂-**Co125** hybrid is capable of reducing organobromides and organochlorides (e.g. the pesticide DDT; Scheme 2). Mechanistic studies have supported a nucleophilic attack of the photogenerated Co⁺ species on the respective organohalide, leading to the desired dehalogenation reaction.

Scheme 2. Product distribution of dehalogenation reactions.

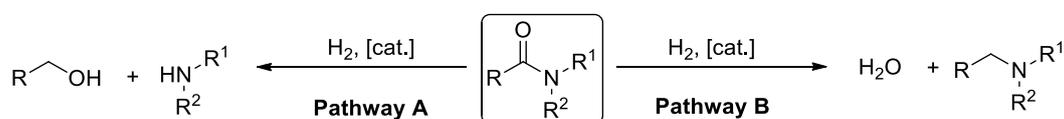


Different reactivity and selectivity have been described when using different crystal phases of TiO_2 for the reduction of bromoethylbenzene. Anatase displayed a higher reactivity (presumably due to improved photogeneration of Co^+), whereas rutile led to a more selective product formation assigned to more stable immobilization of **Co125**.¹⁰⁶⁶ To facilitate workup, the TiO_2 -**Co125** hybrid system was immobilized on a glass plate, which allows for a possible use in wastewater purification systems.¹⁰⁶⁷ The Fe^{3+} protoporphyrin hemin (**Fe36**, Figure 65), and a Co^{3+} porphyrin (**Co53**, Section 2.3.6.1) were also immobilized on meso- TiO_2 films for the reductive dehalogenation of CCl_4 and $CHCl_3$.¹⁰⁶⁸

8.2.3 Reduction of Carbonyl Derivatives

The reduction of carbonyl derivatives such as amides, imines, ketones, aldehydes, or esters plays an important role in the chemical industry as well as in research laboratories. Classical methods use stoichiometric amounts of reducing agents, such as $NaBH_4$, $LiAlH_4$, or Sml_2 , resulting in a large volume of chemical waste. Catalytic hydrogenation reactions would be more atom-economic and sustainable, in particular if the hydrogen is sourced from water. Despite the benefits of using a catalytic process, most homogeneous hydrogenation catalysts are based on noble metal compounds, especially in industrial processes. Thus, the development of inexpensive transition metal catalysts for hydrogenation reactions is a requirement for cheaper and less wasteful hydrogenation processes.¹⁰⁶⁹⁻¹⁰⁷²

Scheme 3. Possible products in the reduction of amides with H_2 .



Hydrogenation of amides to the respective amines can proceed via two different pathways. In pathway A (Scheme 3) the C–N bond is broken to yield an alcohol and amine, whereas in pathway B the C=O bond is broken to generate a higher amine and water (Scheme 3).¹⁰⁷³ Fe-PNP and Mn-NNP pincer complexes (**Fe37** and **Mn31**, Figure 67) have been shown to reduce amides under high pressure of H₂ via pathway A (Scheme 4a), in conversion yields up to 99%.^{1074,1075,1076,1077}

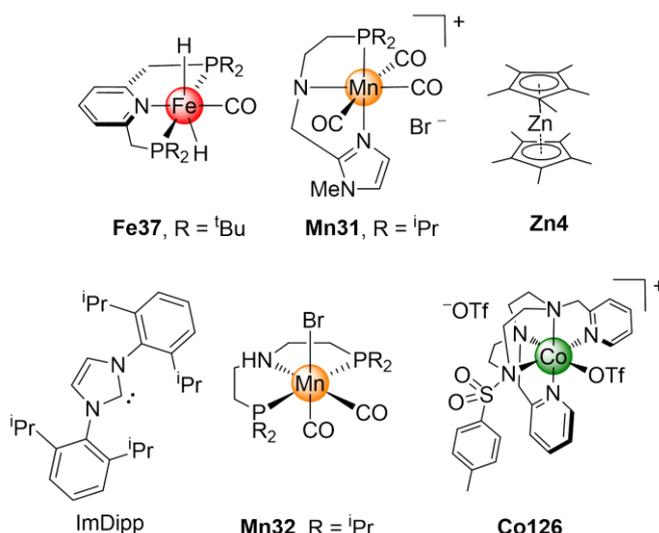
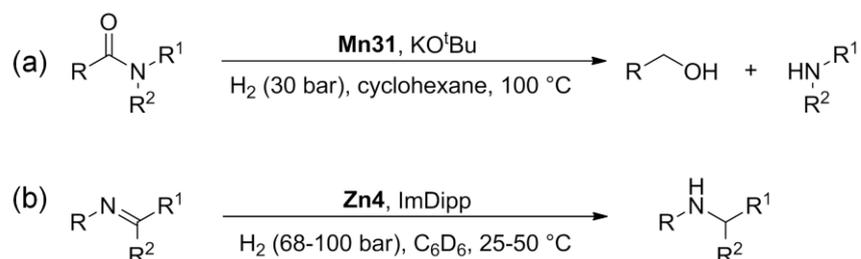


Figure 67. Structures of catalysts used for the hydrogenation of amides or aldehydes/ketones.

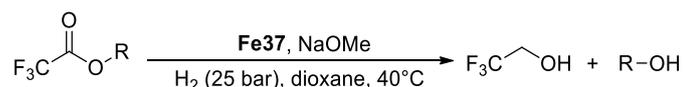
Hydrogenation of imines to the respective amines (Scheme 4b) was demonstrated using decamethylzincocene and different NHCs. presumably forming frustrated Lewis pairs as the active catalysts. The most reactive combination used 1,3-bis(2,6-diisopropylphenyl)imidazol-2-ylidene (ImDipp, together with **Zn4**, Figure 67), identified via NMR spectroscopic screening.¹⁰⁷⁸ Examples based on Co and Fe PNP pincer complexes that efficiently perform this reaction and closely related reductive transformations have also been reported.^{1079,1080}

Scheme 4. Reaction conditions for the catalytic reduction of amides and imines.



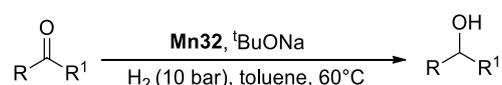
The reduction of activated esters using Fe-PNP catalysts such as **Fe37** affords the respective primary alcohols in high yields (Scheme 5).¹⁰⁸¹ A variety of related Mn-,^{1082,1083} Fe-,^{1084,1085} and Co-PNP^{1086,1087} catalysts can also perform reduction of esters to alcohols.

Scheme 5. Reduction of esters by iron catalyst **Fe37**.



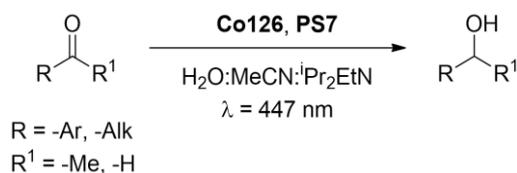
The selective reduction of aldehydes to primary alcohols using H₂ has been achieved with a variety of iron and manganese PNP catalysts, such as with **Mn32** (Figure 67, Scheme 6), which displays TOFs up to 20,000 h⁻¹.¹⁰⁸⁸ Moreover, these catalysts showed good functional-group tolerance, and selective reduction of aldehydes and ketones was achieved despite the presence of other reducible groups, such as esters, alkynes, and olefins.¹⁰⁸⁸⁻¹⁰⁹²

Scheme 6. Reduction of aldehydes and ketones by complex **Mn32**.



This section has shown a selection of 3d transition metal catalysts for the reduction of a variety of carbonyl derivatives, such as amides, imines, esters, aldehydes, and ketones, which yield commercially valuable chemicals. Nevertheless, H₂ is used as a reducing agent in all these cases, which is usually sourced from steam reforming and requires operation under high pressures. The development of electrocatalytic, photocatalytic, or photoelectrocatalytic processes that use electricity and/or sunlight to hydrogenate organic substrates directly from water would be a safer and more sustainable approach to organic synthesis. In this regard, photocatalytic reduction of aldehydes and aromatic ketones has been demonstrated using visible light with a copper dye (**PS7**, Figure 7) and a cobalt-based molecular catalyst (**Co126**, Figure 67) in predominantly aqueous media (Scheme 7).¹⁰⁵⁸

Scheme 7. Photocatalytic aldehyde and ketone reduction mediated by **PS7** and **Co126**.



8.2.4 Reduction Reactions Coupled with NADH Recycling

Molecular hydrogenation catalysts often display low chemo- and stereoselectivity, which is a limitation for applications in the pharmaceutical and chemical industry.¹⁰⁹³ However, enzymes are known for their notoriously high chemo-, and stereoselectivities, and have been increasingly applied as biocatalysts for industrial processes. Oxidoreductases are an enzyme class capable of reducing a variety of carboxylic acid derivatives, such as ketones, aldehydes, and imines, without using molecular hydrogen as reducing agent.¹⁰⁹⁴ Instead of molecular hydrogen, oxidoreductases use hydride transfer reagents such as nicotinamide

adenine dinucleotide (NAD⁺) cofactors to achieve high conversions. The drawback of using these cofactors as stoichiometric reductants is their expense, leading to very costly processes when oxidoreductases are applied as biocatalysts. Coupling reduction processes catalyzed by oxidoreductases with an NAD⁺/NADH (Figure 68) recycling system is thus highly desirable, as it would allow for large-scale application of these procedures. The use of solar light and energy from sustainable sources for driving these reactions would increase their value for commercial applications.

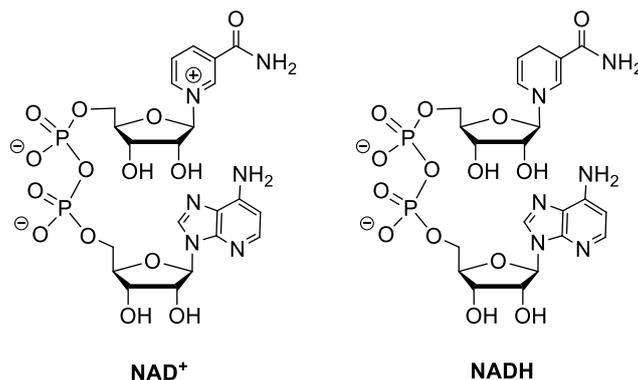


Figure 68. Structures of NAD⁺ and NADH cofactors.

An enzymatic system for the reduction of acetophenone to phenylalcohol coupled with the recycling of NADH has been developed, with an alcohol dehydrogenase and NAD⁺-reductase co-immobilized on carbon beads (Figure 69).¹⁰⁹⁵ In order to obtain the electrons required for the NADH recycling from H₂, a H₂ase was co-immobilized on the carbon particle. The role of the hydrogenase is the oxidation of H₂ and the transfer of electrons to the enzyme NAD⁺-reductase, leading to reduction of NAD⁺ to NADH (i.e. NADH recycling). The recycled NADH can be used in a subsequent reduction by the co-immobilized NADH-dependent alcohol dehydrogenase to reduce acetophenone to phenylalcohol (Figure 69). The mild conditions and high TONs (> 130,000 per NAD⁺) of this system make it attractive for commercial development investigations.¹⁰⁹⁵

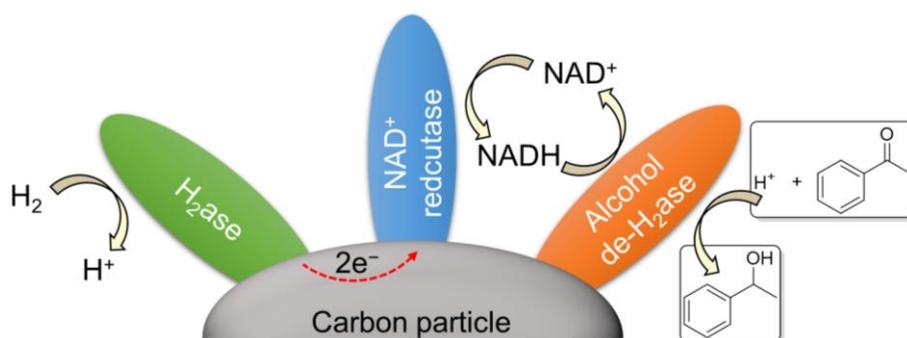


Figure 69. Reaction cascade through co-immobilized enzymes.

A similar approach has been used for the reduction of pro-chiral pyruvate to S-lactate. In this study, the NAD⁺ reducing subunit (HoxFU) from *Ralstonia eutropha* and a hydrogenase were co-immobilized on pyrolytic graphite particles. A lactate dehydrogenase was then introduced into the media, resulting in a cascade reaction that couples H₂-driven NADH-regeneration with the reduction of the organic substrate.¹⁰⁹⁶

Light-induced regeneration of NADH coupled with the enzymatic reduction of α -ketoglutarate to L-glutamate was shown with Eosin Y (**PS3**, Figure 7). **PS3** transfers electrons to an organometallic mediator [Cp^{*}Rh(bpy)(H₂O)]²⁺ (Cp^{*} = C₅Me₅; bpy = 2,2'-bipyridine) upon irradiation, and is regenerated by using TEOA as SED (Figure 70).¹⁰⁹⁷

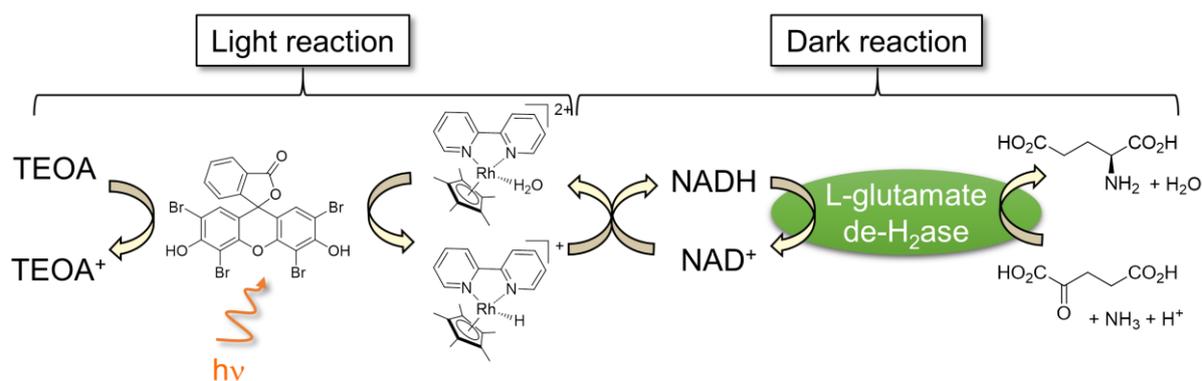


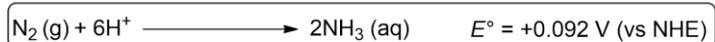
Figure 70. Artificial photosynthetic system for the enzyme-free light-induced regeneration of NADH.

This section has shown examples for reductive organic transformations mediated by molecular catalysts and enzymes. These organic transformations are of importance for a variety of commercial processes, in the chemical, agrochemical, and pharmaceutical industries. Hence, establishing photo- and especially photoelectrochemical systems that can achieve these reactions is a great challenge for the future of chemical research in an academic and industrial environment. Achieving the large-scale preparation of important synthons and fine chemicals by using abundant sunlight as an energy source will allow a vast reduction of the energy costs for these processes, and avoid the use of fossil fuels.

8.3 Reduction of Molecular Nitrogen

The Earth's atmosphere consists of 78% N₂, rendering it a vastly abundant feedstock for the synthesis of chemicals, fertilizers, and fuels. Biosynthetic processes fix the stable and inert N₂ (bond enthalpy of -945 kJ mol⁻¹) to produce NH₃ with nitrogenases (N₂ases) that require 16 equivalents of ATP to produce two molecules of NH₃ and one of H₂ (Scheme 8).¹⁰⁹⁸

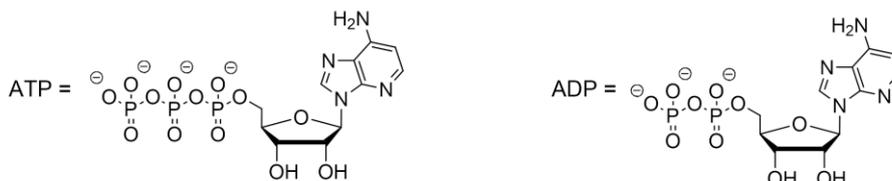
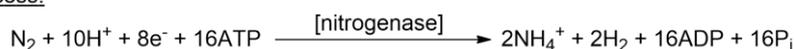
Scheme 8. Reduction of molecular dinitrogen, via Haber-Bosch process and natural process (catalyzed by an ATP-dependent N₂ase).



Haber-Bosch process:



Natural process:



Nowadays, 50% of worldwide N₂ conversion into NH₃ occurs by the Haber-Bosch process (Scheme 8), which accounts for about 2% of world energy consumption and contributes 1.5% to anthropogenic global CO₂ emissions.¹⁰⁹⁹ This process utilizes N₂ and H₂ over Fe or Ru catalysts, with the large energy demand resulting from the high temperatures (~450 °C) and pressures (150–300 bar) required to overcome the kinetic barriers of this exothermic reaction.^{1100,1101} Thus, the Haber-Bosch process remains energy intensive, but immensely important for securing nutrition for the growing world population through fertilizer production.¹¹⁰²⁻¹¹⁰⁴ Sustainable N₂ fixation, in particular in a decentralized and off-grid set-up, has massive potential to transform agriculture in developing countries.

8.3.1 N₂ Fixation by Nitrogenases

Nitrogenases can be subdivided into three different categories depending on their cofactor composition. These enzymes possess either a Mo-dependent cofactor (FeMo-co), a V-dependent cofactor (VFe-co), or an all-iron cofactor (FeFe-co).¹⁰⁹⁸ Of these, the Mo-dependent N₂ase has been most intensely studied, and consists of a reducing (Fe protein) and a catalytic domain (MoFe protein). Due to the high price of ATP, it is highly desirable to find a substitute energy source or a recycling system for ATP, which would allow for the rational design of nitrogenase-based N₂ reduction systems with lower energy demands.^{1105,1106}

Semi-artificial N₂ reduction systems have been constructed. These systems use heterogenized N₂ase enzymes, under either electro- or photocatalytic conditions. Sacrificial photocatalytic N₂ reduction was attained with the MoFe protein (the catalytic domain of the Mo dependent nitrogenase) adsorbed on CdS nanorods, leading to ATP-free, light-driven N₂ reduction with a TOF_{NH₃} of 75 min⁻¹ and QY_{NH₃} of 3.3% (Figure 71). This performance

corresponds to 63% of the efficiency achieved by the ATP-coupled biological process, and the QD-protein hybrid system continues to fix N_2 even after an illumination time of 5 h.¹¹⁰⁷

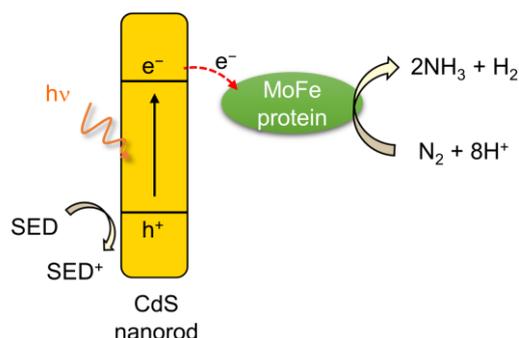


Figure 71. Reduction of dinitrogen by CdS-MoFe protein hybrid system.

A bioelectrochemical ammonia fuel cell consisting of a N_2 ase cathodic compartment and a H_2 ase anodic compartment separated by a proton exchange membrane (PEM) with MV acting as an electron shuttle achieves Haber-Bosch chemistry (Figure 72). It was first demonstrated that the use of electrochemically generated $MV^{\bullet+}$ could drive N_2 reduction by the N_2 ase, with a FE_{NH_3} of 59%. The full system operates at ambient temperature and pressure, and can produce electric current and ammonia simultaneously, but still requires ATP hydrolysis for the successful nitrogen reduction process.^{1108,1109}

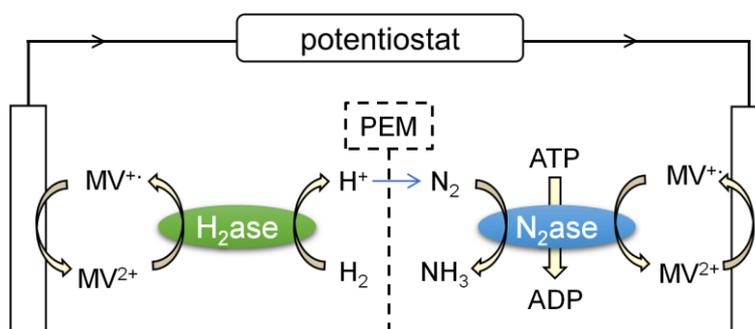


Figure 72. Enzymatic fuel cell for the reduction of dinitrogen to ammonia.

These enzymatic hybrid systems show the potential of using sustainable conditions for the reduction of N_2 , but still require fragile enzyme constructs and toxic materials such as CdS, and stoichiometric reagents such as SEDs or energy equivalents in the form of ATP. Nevertheless, the systems presented provide a blueprint for transition metal-catalyzed systems once such suitable catalysts become available.

8.3.2 Reduction of N_2 using Transition Metal Complexes

A family of low valent iron complexes with tripodal ligands have been used as model complexes for the N_2 ase FeMo-co. A tetradentate ligand system with general formula P_3^R

was used, in which three phosphine donors are bound through an *ortho*-phenylene linker to a central atom (Figure 73, R = boron in **Fe38**, with R = carbon or silicon also being reported).

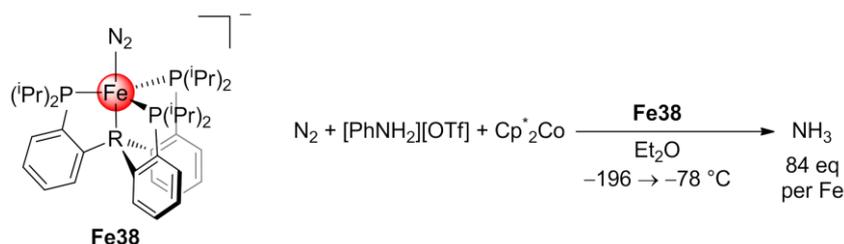


Figure 73. Structure of iron complex **Fe38** used as a nitrogen reduction catalyst, and general conditions used for the reduction of dinitrogen to ammonia catalyzed by this complex.

All three iron complexes afforded catalytic amounts of NH_3 at temperatures of $-78 \text{ } ^\circ\text{C}$ when using an excess of strong reductants and strong acids, such as KC_8 and $\text{HBAr}^{\text{F}}_4 \cdot 2\text{Et}_2\text{O}$, respectively.¹¹¹⁰⁻¹¹¹² Studies in which reactive intermediates have been isolated and characterized are consistent with a *Chatt*-type mechanism involving a single isolated metal center.^{1113,1114} Moreover, DFT studies carried out on this model system have identified a variety of intermediates that have not been observed experimentally, and support a mononuclear asymmetric pathway for the reduction of dinitrogen.¹¹¹⁵ An improvement of this catalytic system has been achieved by using milder reductants (Cp^*_2Co with $\text{Cp}^* = \text{C}_5\text{Me}_5$) and weaker acids ($[\text{Ph}_2\text{NH}_2][\text{OTf}]$ or $[\text{PhNH}_3][\text{OTf}]$) (Figure 73), which allowed a PCET pathway to proceed.¹¹¹⁰⁻¹¹¹⁴ The highest TON_{NH_3} was 84 per Fe atom.¹¹¹⁶

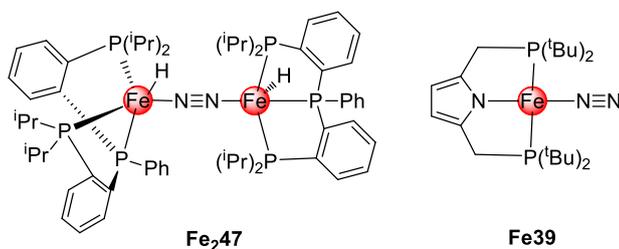
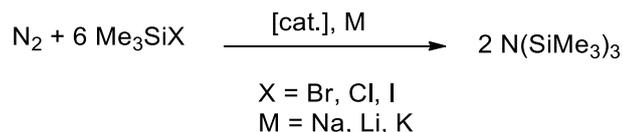


Figure 74. Iron complexes applied in the catalytic reduction of dinitrogen.

A variety of mono- and polynuclear iron complexes with N_2ase and H_2ase inspired structures and various types of ligands have also been applied as N_2 reduction catalysts which operate through different mechanisms.¹¹¹⁷⁻¹¹²⁰ The reduction of N_2 to NH_3 with complex **Fe₂47** (Figure 74) as a catalyst has been achieved by using HBAr^{F}_4 as a proton source and KC_8 as a reductant under irradiation with a mercury lamp, leading to the formation of up to 67 equivalents of NH_3 under optimized conditions (Scheme 9a).¹¹²¹ The iron PNP complex **Fe39** (Figure 74) can also catalytically reduce dinitrogen to ammonia and hydrazine. Since hydrazine is a known intermediate in the N_2ase -catalyzed biological nitrogen reduction process, such an analogous synthetic process could aid in understanding

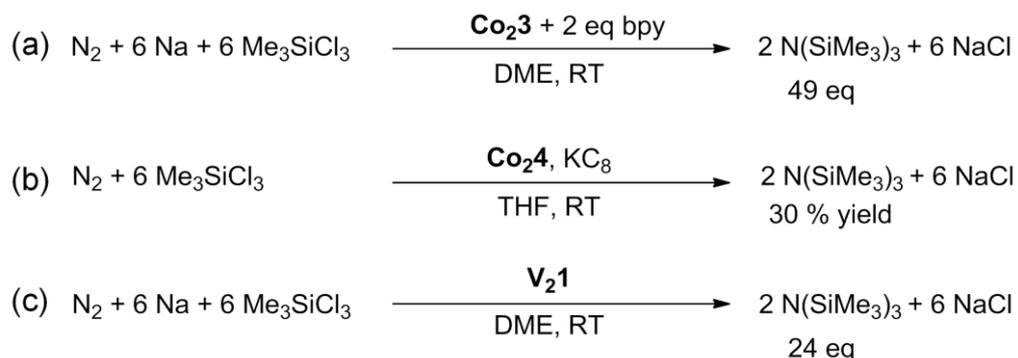
$\text{N}(\text{Si}(\text{Me}_3)_3)$, which is an alternative N_2 fixation route. Silylamine can either be hydrolyzed under acidic conditions to yield a quantitative amount of ammonia, or used as a synthon in organic synthesis. An important application of silylamine is the fabrication of Si–N based polymers, which are applied in ceramic materials and SCs.¹¹²⁸⁻¹¹³⁰ Thus, it would be of great value to find an industrial procedure which allows the fabrication of silylamine from N_2 under ambient conditions.

Scheme 11. General reaction scheme for the formation of silylamine from dinitrogen.



The first catalytic formation of silylamine used Li as a reductant, along with several transition metal salts as catalysts. However, this procedure yielded only small amounts of silylamine and the formation of lithium nitride intermediates (Li_3N) could not be ruled out.¹¹³¹ A variety of simple iron complexes and cobalt carbonyls were more recently applied as catalysts, with **Co₂3** yielding 49 equiv. of $\text{N}(\text{SiMe}_3)_3$ in the presence of bipyridine (Scheme 12a; Figure 75)^{1132,1133} The binuclear cobalt complex **Co₂4** (Figure 75) has been reported as an active catalyst for the formation of $\text{N}(\text{SiMe}_3)_3$ from N_2 when using 2000 equiv. of KC_8 as a reductant (Scheme 12b), displaying a final turnover rate of 200 min^{-1} . Mechanistic studies suggest that electronic stabilization of the catalytically active cobalt site by the adjacent cobalt center leads to an enhanced catalytic activity.¹¹³⁴ The use of vanadium complex **V₂1** (Figure 75) in the catalytic reduction of N_2 gave up to 24 equiv. of silylamine (Scheme 12c). This study is of great importance for the N_2 reduction community, as no vanadium complex has previously been used to successfully promote the reduction of molecular dinitrogen, despite the existence of a vanadium-dependent N_2 ase enzyme.¹¹³⁵

Scheme 12. Reaction conditions used for the reduction of N_2 to silyl amine.



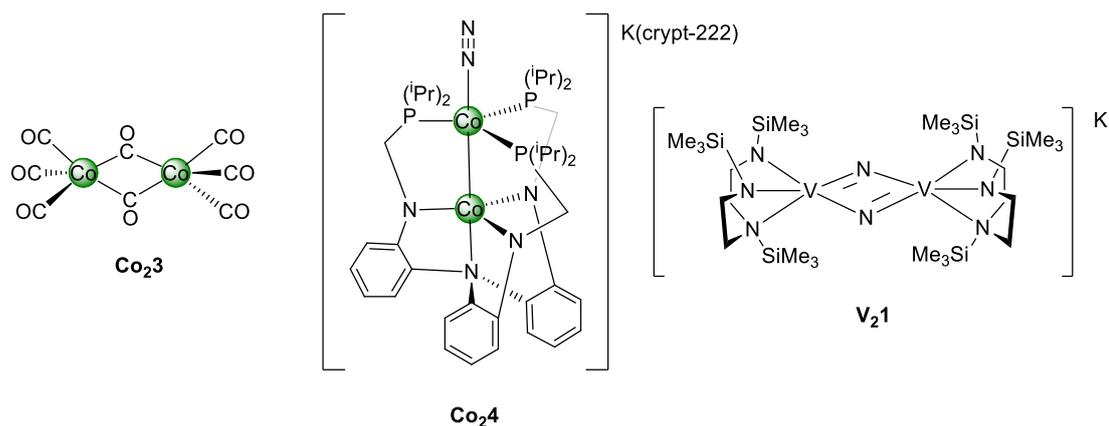


Figure 75. Structures of complexes applied for the catalytic reduction of N_2 to silyl amine.

Progress has been achieved in developing molecular first row transition metal catalysts for N_2 reduction, but the Haber-Bosch process remains the sole industrial route for NH_3 synthesis. The low yields of ammonia produced when using molecular catalysts, in combination with the multi-step syntheses and air- and moisture-sensitivity of such compounds currently makes their practical application very challenging. Nevertheless, using abundant sunlight as an energy source to drive N_2 fixation and alleviate global reliance on the Haber-Bosch process would be enormously beneficial, at the very least by diminishing fossil fuel usage, and reducing CO_2 emissions. The combination of fundamental academic research with industrial expertise may allow for this milestone to ultimately be achieved.

9 Conclusion

The development of molecular catalysts containing first row transition metals for electro- and solar fuel synthesis has experienced rapid progress during the last decade. Their immobilization onto electrodes, photoelectrodes, or SC-nanoparticle surfaces permits catalyst recycling, in-depth mechanistic studies by an ever-growing suite of techniques and, from a functional point of view, overcomes diffusional electron transfer kinetics; an important step towards efficient electrocatalysis and device integration. The selection of the catalyst, material, and anchoring strategy are critical to achieving the desired properties and performances in the resulting hybrid system.

The rational development of DuBois' Ni bis(diphosphine) catalyst stands out as a prototype hydrogenase-inspired HEC and exemplifies what is achievable when the secondary coordination environment is successfully mimicked.^{283,286} Immobilization of this 3d transition metal catalyst onto a CNT-based electrode displays reversible electrocatalysis (operation at the thermodynamic potential) that closely resembles the profile of Pt or immobilized hydrogenase for H₂ evolution and oxidation.^{726,732} Despite the fast recent progress in the development of synthetic CRCs and their immobilization onto electrodes,^{23,25,1136} reversible electrocatalysis as observed with CO₂ reductases has yet to be achieved.^{822,1137} The bio-inspired design of CRCs may therefore focus on the integration of elaborated secondary proton relays, and design of multinuclear catalytic centers with suitable Lewis acids. Design principles of cooperative catalysis can also be adapted for heterogenized systems in future development, where 'metal-material'- instead of 'metal-ligand'-assisted catalysis can be envisioned.^{1138,1139}

Immobilization of molecular catalysts onto SCs is an attractive approach to bridge the gap of fast photo-physics and slow chemical catalysis. Thereby, a SC (or dye-sensitized SC) absorbs light and provides an efficient means to transfer multiple, low potential electrons to a co-attached catalytic site on a suitable timescale. Since the demonstration of proton reduction with an immobilized cobaloxime on a dye-sensitized TiO₂ particle,⁵⁴¹ many successful examples have been reported for proton and CO₂ reduction in water.^{538,870,910} Avoiding the use of SEDs in photodriven colloidal schemes remains a key objective, and a few demonstrations on coupling fuel synthesis with clean organic substrate oxidation have recently been reported.^{843,847} Solar-driven H₂ production coupled to lignocellulose (valorization of 'waste biomass') oxidation has also been accomplished with a carbon nitride photocatalyst in combination with a DuBois Ni catalyst.¹¹⁴⁰

Another approach is the integration of catalysts into PEC cells and coupling of the fuel-making photocathode with a suitable photoanode, thus segregating the two redox reactions

of interest to two different surfaces and compartments.^{757,952,1141,1142} Suitable attachment strategies allow for straightforward immobilization and the accurate determination of active sites and performance parameters, which is challenging in traditional heterogeneous catalysis. The lack of suitable photocathodes is a current challenge; with narrow-bandgap SCs often being photocorrosive and wide-bandgap SCs such as NiO suffering from fast charge-recombination. A quickly expanding approach is the use of protection layers like ALD-TiO₂ that encapsulate water-sensitive SCs. New approaches such as metallic encapsulation (shown for lead halide perovskites) or the deposition of single source precursors to protect fragile electrodes are in development. As for wide-bandgap SC dye-sensitized photocathodes, alternatives to NiO such as CuGaO₂ and CuCrO₂ are being investigated.^{926,969} Alternatively, new strategies involving molecular electrode design to enable the extraction of two-electrons,⁹⁹² and donor-acceptor assemblies that allow the use of conductive scaffolds such as ITO as an electrode scaffold are being considered.^{881,1143}

The fields of homogeneous and heterogeneous catalysis merge when studying immobilized molecular catalysts. Heterogenization of molecular catalysts ensures the grafting of 'single-site' active centers that can be rigorously investigated and tuned. Nevertheless, anchoring of catalysts with an elaborate ligand scaffold also introduces fragility to the hybrid material and encouraging work is currently in progress to integrate defined catalyst scaffolds directly within the material structure.¹¹⁴⁴ Promising examples of such single metal site catalysts involve graphite electrodes with porphyrin-like Fe-N₄ moieties and graphite-conjugated metal catalysts for CO₂ reduction.¹¹⁴⁵⁻¹¹⁴⁷

The lessons learnt from the immobilization of molecular HEC and CRCs are valuable for a wide range of other photocatalytic reactions. The use of endothermic and multi-electron chemistry to achieve selective solar fuel synthesis is currently contrasted by the exothermic and single-electron chemistry of organic photoredox catalysis. We envision that solar fuel synthesis can effectively be coupled to solar-driven chemical oxidations, even if this would only produce a small amount of global fuel.¹¹⁴⁸ In such a scenario, the main commercial value would most likely stem from the organic product and the fuel be considered as a by-product. The solar-driven, direct hydrogenation of organic substrates from aqueous protons without intermediate H₂ generation is an emerging approach of artificial photosynthesis to drive organic reactions.^{1058,1149} Another attractive prospect beyond reductive fuel synthesis is the production of ammonia from N₂. Although the catalytic challenge is severe, it is thermodynamically more facile than proton and most CO₂ reduction reactions and it benefits from the high N₂ levels in the Earth's atmosphere.

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The authors declare no competing financial interest.

Biographies

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Bertrand Reuillard received his PhD degree in 2014 from the Université de Grenoble Alpes, under the supervision of Dr. Alan Le Goff and Dr. Serge Cosnier, working on carbon nanotube based electrode surface modification for the efficient electronic wiring of redox enzymes. He then joined the group of Prof. Erwin Reisner in Cambridge in January 2015 for post-doctoral work with a focus on the immobilization of molecular or enzymatic electrocatalysts on electrode surfaces for energy conversion (solar fuels production). From February 2018, he has joined the group of Dr. Vincent Artero for the development of powerful noble metal-free, molecular based anodes for H₂-O₂ fuel cells.

Jane Leung obtained her Bachelor of Science (Honours) degree in chemistry at the University of Auckland, New Zealand, under the supervision of Prof. David Williams, working on conducting polymer films with switchable surface properties. She joined the group of Prof. Erwin Reisner at the University of Cambridge as a Woolf Fisher Scholar in 2014 to conduct her PhD studies. Her research focuses on the immobilization of molecular H₂ evolution and CO₂ reduction catalysts onto photoelectrodes towards hybrid systems active in aqueous solutions.

Isabell Sarah Karmel graduated with her PhD in 2015 from the Technion - Israel Institute of Technology. During her PhD in the group of Prof. Moris S. Eisen she worked on the development of novel actinide coordination complexes and their application as catalysts for organic transformations. In 2015 she joined the group of Prof. Erwin Reisner as a postdoctoral researcher with a Blavatnik Fellowship. Her research in this period focused on the development of photo-and electrocatalysts for the reduction of dinitrogen to ammonia. Currently, Isabell works as a senior research scientist at Photocentric Ltd, where she leads the development of novel daylight resins for 3D printing.

Kristian Dalle obtained a BSc (Hon I) in chemistry at the University of Queensland, during which time he studied structural and catalytic models for phosphoesterases in the groups of Prof. Lawrence R. Gahan and Assoc. Prof. Ross P. McGeary. He then moved to Georg-August Universität Göttingen where he completed his PhD in 2014 under the supervision of Prof. Franc Meyer, investigating activation of oxygen with pyrazole-supported binuclear copper complexes. Since 2015 he has been developing molecular catalysts for heterogeneous energy capture and storage applications in the group of Prof. Erwin Reisner at the University of Cambridge.

Erwin Reisner received his education at the University of Vienna (Diploma in 2002, PhD with Prof Bernhard K. Keppler in 2005 and Habilitation in 2010). He worked as an Erwin Schrödinger postdoctoral fellow at the Massachusetts Institute of Technology with Prof. Stephen J. Lippard (2005-2007), followed by further postdoctoral research at the University of Oxford with Prof Fraser A. Armstrong (2008-2009). He joined the University of Cambridge as a University Lecturer in the Department of Chemistry and as a Fellow of St. John's College in 2010. He became the head of the Christian Doppler Laboratory for Sustainable SynGas Chemistry in 2012, was appointed to Reader in 2015 and his current position as Professor of Energy and Sustainability in 2017. His laboratory explores chemical biology, synthetic chemistry, materials science, and engineering relevant to the development of solar-driven chemistry for the sustainable synthesis of fuels and chemicals. As director of the UK Solar Fuels Network, he promotes and coordinates the national activities in artificial photosynthesis.

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12 List of Acronyms and Abbreviations

A – geometrical electrode surface area (in cm^2)
AA – ascorbic acid
AcOH – acetic acid
ALD – atomic layer deposition
AM1.5G – air mass 1.5G
ATP – adenosine triphosphate
 β -CD – β -cyclodextrine
BHJ – bulk heterojunction
BIH – 1,3-dimethyl-2-phenyl-2,3-dihydro-1*H*-benzo[d]imidazole
BPG – basal-plane graphite
bdtc – 3-carboxy-benzene-1,2-dithiolate acid
bpy – 2,2'-bipyridine
CB – conduction band
CNT – carbon nanotube
CODH – carbon monoxide dehydrogenase
COF – covalent organic framework
CPE – controlled potential electrolysis
CPPE – controlled potential photoelectrolysis
CRC – CO_2 reduction catalyst
CTAB – cetyltrimethylammonium bromide
CV – cyclic voltammetry
DCM – dichloromethane
DFT – density functional theory
DHA – dehydroascorbic acid
DLS – dynamic light scattering
DMF – *N,N*-dimethylformamide
dmgBF₂ – difluoroboryldimethylglyoximate anion
dmgH – dimethylglyoximate anion
dmgH₂ – dimethylglyoxime
DMSO – dimethylsulfoxide
DOPC – 1,2-dioleoyl-*sn*-glycero-3-phosphocholine
DOS – density of state
DPP – diketopyrrolopyrrole
DSPC – dye-sensitized photocathode
DSP – dye-sensitized photocatalysis
DSSC – dye-sensitized solar cell
 E° – formal potential under non-standard conditions
 E_{appl} – applied potential
 E_{CB} – conduction band potential
 E_{VB} – valence band potential
 E_{g} – bandgap energy
 E_{onset} – catalysis onset potential
EDTA – ethylenediaminetetraacetic acid
EDX – energy-dispersive X-ray
EPG – edge-plane graphite
EPR – electron paramagnetic resonance
ET – electron transfer
Fc⁺/Fc – ferrocenium/ferrocene
FccA – enzyme fumarate reductase

FE – Faradaic efficiency
 FDH – formate dehydrogenase
 FT-IR – Fourier transform Infrared
 FTO – fluorine-doped tin oxide
 Γ – surface loading of catalyst (in nmol cm⁻¹)
 GC – glassy carbon
 GO – graphene oxide
 H₂ase – hydrogenase
 HEC – hydrogen evolution catalyst
 HOMO – highest occupied molecular orbital
 ImDipp – 1,3-bis(2,6-diisopropylphenyl)-imidazol-2-ylidene
 IO – inverse opal
 IPCE – incident photon-to-current conversion efficiency
 IR – infrared
 ITO – indium tin oxide
 J_{ph} – photocurrent density
 k_{cat} – theoretical turnover frequency
 λ – wavelength
 LAPC – light-absorbing photocathode
 LED – light-emitting diode
 LSV – linear sweep voltammetry
 LUMO – lowest unoccupied molecular orbital
 MeCN – acetonitrile
 MEDA – 2-(dimethylamino)ethanethiol
 MeOH – methanol
 MES – 2-(*N*-morpholino)ethanesulfonic acid
 MO_x – metal oxide
 MOS – metal-organic surface
 MOF – metal-organic framework
 MPA – 3-mercaptopropionic acid
 MS – mass spectrometry
 MV – methyl viologen
 MWCNT – multi-walled carbon nanotube
 N₂ase – nitrogenase
 NAD⁺ – nicotinamide adenine dinucleotide
 NADH – reduced nicotinamide adenine dinucleotide
 NHC – N-heterocyclic carbene
 NHE – normal hydrogen electrode
 NMR – nuclear magnetic resonance
 OPV – organic photovoltaic
 OEC – oxygen evolving complex
 OTf – triflate anion (CF₃SO₃⁻)
 P3HT – poly(3-hexylthiophene)
 Pc – phthalocyanine
 PCET – proton coupled electron transfer
 PEM – proton exchange membrane
 PEC – photoelectrochemical
 PEI – polyethylenimine
 PFE – protein film electrochemistry
 phen – 1,10-Phenanthroline
 p-SC – p-type semiconductor
 PS – photosensitizer
 PVI – polyvinylimidazole
 PVP – polyvinylpyridine
 Q – charge (in C)

QD – quantum dot
QY – quantum yield
qtpy – 2,2':6',2":6",2'''-quaterpyridine
RGO – reduced graphene oxide
RHE – reversible hydrogen electrode
RT – room temperature
SC – semiconductor
SCE – saturated calomel electrode
SDS – sodium dodecyl sulfate
SEC – spectroelectrochemical
SED – sacrificial electron donor
SEM – scanning electron microscopy
TAS – transient absorption spectroscopy
TEA – triethylamine
TEOA – triethanolamine
TFA – trifluoroacetic acid
TFE – 2,2,2-trifluoroethanol
TGA – thioglycolic acid
TOF – turnover frequency
TON – turnover number
TPA – tris(2-pyridylmethyl)amine
TPP – tetraphenylporphyrin
tpy – 2,2';6',2"-terpyridine
TsOH – tosylic acid
UV-vis – ultraviolet-visible
VB – valence band
WE – working electrode
XANES – X-ray absorption near-edge structure
XPS – X-ray photoelectron spectroscopy
z – number of electrons

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