

1 Patient-Specific ICP Epidemiologic Thresholds in Adult Traumatic Brain Injury: A
2 CENTER-TBI Validation Study

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115 PS and MC receive part of licensing fees for the software ICM+ (Cambridge Enterprise Ltd, UK) used for
116 data collection and analysis in this study.

117

118 **Previous Presentation of Work:** None

119

120 **Abstract:**

121 **Background:** Patient-specific epidemiologic ICP thresholds in adult TBI have emerged, using the
122 relationship between pressure reactivity index (PRx) and ICP, displaying stronger association with
123 outcome over existing guideline thresholds. The goal of this study was to explore this relationship in a
124 multi-center cohort in order to confirm the previous finding.

125 **Methods:** Using the Collaborative European Neuro Trauma Effectiveness Research in TBI (CENTER-TBI)
126 high-resolution intensive care unit (ICU) cohort, we derived individualized epidemiologic ICP thresholds
127 for each patient using the relationship between PRx and ICP. Mean hourly dose of ICP was calculated
128 for every patient for the following thresholds: 20 mm Hg, 22 mm Hg and the patient's individual ICP
129 threshold. Univariate logistic regression models were created comparing mean hourly dose of ICP above
130 thresholds to dichotomized outcome at 6 to 12-months, based on Glasgow Outcome Score – Extended
131 (GOSE) (alive/dead - GOSE \geq 2/GOSE=1; favourable/unfavourable – GOSE 5 to 8/GOSE 1 to 4,
132 respectively).

133 **Results:** Individual threshold were identified in 65.3% of patients (n=128), in keeping with previous
134 results (23.0 +/- 11.8 mm Hg (IQR: 14.9 to 29.8 mm Hg)). Mean hourly dose of ICP above individual
135 threshold provides superior discrimination (AUC 0.678, p=0.029), over mean hourly dose above 20 mm
136 Hg (AUC = 0.509, p=0.03) or above 22 mm Hg (AUC = 0.492, p=0.035) on univariate analysis for
137 alive/dead outcome at 6 to 12 months. The AUC for mean hourly dose above individual threshold trends
138 to higher values for favourable/unfavourable outcome, but fails to reach significance (AUC = 0.610,
139 p=0.060). This was maintained when controlling for baseline admission characteristics.

140 **Conclusions:** Mean hourly dose of ICP above individual epidemiologic ICP threshold has stronger
141 associations with mortality compared to the dose above BTF defined thresholds of 20 or 22 mm Hg,
142 confirming prior findings. Further studies on patient specific epidemiologic ICP thresholds are required.

143 **Keywords:** ICP threshold, individual ICP threshold, patient-specific thresholds, pressure reactivity, PRx,

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149 **Introduction:**

150 Recent analysis of cerebral physiology in adult TBI has suggested a potential role of individualized
151 treatment regimens based on advanced monitoring of cerebrovascular reactivity and the derivation of
152 individualized cerebral perfusion pressure (CPP) targets, termed optimal CPP (CPPopt).[1,2] This
153 represents a shift towards more individualized medicine in the care for moderate/severe TBI patients.
154 Data from initial studies suggests stronger outcome associations with individualized CPP targets,
155 compared to applying the same target range applied to all patients.[1–3]

156 Aside from individualized CPP targets, individualized epidemiologic intracranial pressure (ICP) thresholds
157 have been suggested based on a single center retrospective study in adult TBI.[4–6] Using the
158 relationship between continuously monitored cerebrovascular reactivity, using the pressure reactivity
159 index (PRx), and ICP, one can find the ICP threshold where all subsequent higher ICP values yield PRx
160 measures consistently above +0.20,[4] a threshold value for PRx known to be associated with impaired
161 cerebrovascular reactivity and global outcome in adult TBI.[7–10] This has been termed the patient-
162 specific or individualized ICP threshold, identifiable in approximately 68% of patients.[4] Prior
163 retrospective analysis supports a potentially stronger association between the dose of ICP above
164 individual epidemiologic thresholds, compared to the Brain Trauma Foundation (BTF) guideline defined

165 threshold of 20 mm Hg, with global outcome in TBI.[4] However, this has not been replicated in any
166 other group of patients or outside of this single center.

167 The goal of this study is to utilize the multi-center Collaborative European Neuro Trauma Effectiveness
168 Research in TBI (CENTER-TBI) study[11] high-resolution intensive care unit (ICU) cohort data set, to
169 evaluate the ability to derive individualized ICP epidemiological thresholds using a semi-automated
170 algorithm and compare the association between dose above individual threshold and BTF guideline ICP
171 thresholds (ie. 20 mmHg and 22 mmHg) with global patient outcome.

172

173 **Methods:**

174 *Patient Population:*

175 All patients from the multi-center CENTER-TBI high resolution ICU cohort were included in this study.
176 These patients were prospectively recruited during the periods of January 2015 to December 2017. A
177 total of 21 centers in the European Union (EU) contributed. All patients were admitted to ICU for their
178 TBI during the course of the study, with high frequency digital signals recorded from their ICU monitors
179 during the course of their ICU stay. All patients suffered predominantly from moderate to severe TBI
180 (moderate = Glasgow Coma Score (GCS) 9 to 12, and severe = GCS of 8 or less). A minority of patients
181 suffered from mild TBI (GCS13-15), with subsequent early deterioration leading to ICU admission for
182 care and monitoring. All patients in this cohort had invasive ICP monitoring conducted in accordance
183 with the BTF guidelines.[12]

184

185 *Ethics:* Data used in these analyses were collected as part of the CENTER-TBI study which had individual
186 national or local regulatory approval; the UK Ethics approval is provided as an exemplar: IRAS No:

187 150943; REC 14/SC/1370). The CENTER-TBI study (EC grant 602150) has been conducted in accordance
188 with all relevant laws of the EU if directly applicable or of direct effect and all relevant laws of the
189 country where the Recruiting sites were located, including but not limited to, the relevant privacy and
190 data protection laws and regulations (the “Privacy Law”), the relevant laws and regulations on the use of
191 human materials, and all relevant guidance relating to clinical studies from time to time in force
192 including, but not limited to, the ICH Harmonised Tripartite Guideline for Good Clinical Practice
193 (CPMP/ICH/135/95) (“ICH GCP”) and the World Medical Association Declaration of Helsinki entitled
194 “Ethical Principles for Medical Research Involving Human Subjects”. Informed Consent by the patients
195 and/or the legal representative/next of kin was obtained, accordingly to the local legislations, for all
196 patients recruited in the Core Dataset of CENTER-TBI and documented in the e-CRF.

197 Data Collection:

198 As part of recruitment to the multi-center high resolution ICU cohort of CENTER-TBI,[11] all patients had
199 demographics prospectively recorded. Similarly, all patients had high frequency digital signals from ICU
200 monitoring recorded throughout their ICU stay, with the goal of initiating recording within 24 hours of
201 ICU admission. All digital ICU signals were further processed (see Signal Acquisition/Signal Processing).
202 For the purpose of this study, the following admission demographic variables were collected: age, sex,
203 admission Glasgow Coma Scale (GCS – total and motor) and admission pupillary response (bilaterally
204 reactive, unilateral reactive, bilateral unreactive). We focused on the use of entirely non-imputed raw
205 data, as final imputation of the entire CENTER-TBI dataset is an ongoing process and will be part of
206 subsequent publications and analysis. CENTER-TBI data was accessed/extracted using Opal database
207 software[13], accessed on Sept 16th, 2018.

208

209 Signal Acquisition and Processing:

210 Signal acquisition and processing was conducted in an identical manner to previous CENTER-TBI high
211 resolution ICU sub-study publications. Details can be found in Appendix A and the previous publications
212 from this cohort.[14,15] PRx was derived via the moving correlation coefficient between 30 consecutive
213 10 second mean windows of the parent signals (ICP and mean arterial pressure (MAP)), updated every
214 minute.[16]

215

216 Individual Patient Specific ICP Threshold Determination

217 For each patient, the relationship between PRx and ICP for the entire recording period was utilized to
218 determine their individual ICP epidemiologic threshold. Based on the methodology outlined in the
219 previous publications on the topic,[4] the ICP value where PRx is +0.20, and all higher ICP values have
220 PRx values persistently above +0.20 was considered the individual ICP threshold. Previous publications
221 employed manual direct observation of the relationship between PRx and ICP, via error bar plotting, to
222 determine the individual ICP threshold.[4,5] It must be acknowledged that these individual thresholds
223 for ICP do not represent therapeutic targets, but an individualized epidemiological thresholds, derived
224 from the relationship between cerebrovascular reactivity values associated with global long-term
225 outcome. Thus the derived individual thresholds quoted within the manuscript should not be considered
226 as therapeutic in nature, and purely preliminary exploratory work into personalized ICP thresholds in
227 TBI. Further, the method for determination requires the use of the entire recording period, limiting this
228 current technique to purely retrospective analysis.

229 In this study, we developed a semi-automated algorithmic method using R statistical computing
230 software (R Core Team (2016). R: A language and environment for statistical computing. R Foundation
231 for Statistical Computing, Vienna, Austria. URL <https://www.R-project.org/>). First, for every patient an
232 error bar plot of PRx vs. ICP, using 2.5 mm Hg bins of ICP, was constructed for each patient. This was

233 smoothed using locally weighted scatterplot smoothing (LOESS) functions for each patient. Second,
234 using these LOESS fitted values, we subsequently identified the lowest ICP value for which PRx was
235 between +0.19 and +0.21 (ie. the lowest ICP values for intersection between the fitted LOESS function
236 and the line “y” = +0.20 (ie. PRx = +0.20). This ICP value was selected as the patient’s individual ICP
237 threshold. These thresholds were then assessed for validity by manual inspection of each patient’s error
238 bar and LOESS function plots of PRx versus ICP. Any discrepancies between the algorithm-derived
239 individual ICP threshold and the manually inspected ICP threshold were then corrected by hand, if
240 present (hence “semi-automated”). Figure 1 displays two patient examples of the error bar and LOESS
241 function plots, with the individual ICP threshold identification.

242

243 ***Figure 1 here**

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246 Data Processing:

247 Grand mean values of all physiologic variables were calculated per patient. In addition, post-ICM+
248 processing of physiologic data occurred in R. Dose above ICP threshold was determined for the BTF
249 defined ICP thresholds of 20 mm Hg and 22 mm Hg, as well as for the patient’s individual ICP threshold.
250 Dose was calculated in the following manner for each min-by-min observation: if ICP > ICP Threshold,
251 then Dose = ICP – ICP Threshold, otherwise generate no value. We then summated the dose over the
252 entire recording period, and subsequently divided this value by the total duration of recording (in hours)
253 to generate the mean hourly dose above threshold for thresholds of: 20 mm Hg, 22 mm Hg and the
254 patient’s individual ICP threshold.

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257 Statistics:

258 All statistical analysis was conducted using R (R Core Team (2016). R: A language and environment for

259 statistical computing. R Foundation for Statistical Computing, Vienna, Austria. URL [https://www.R-](https://www.R-project.org/)

260 [project.org/](https://www.R-project.org/)) and XLSTAT (Addinsoft, New York, NY; <https://www.xlstat.com/en/>) add-on package to

261 Microsoft Excel (Microsoft Office 15, Version 16.0.7369.1323). Normality of continuous variables was

262 assessed via Shapiro-Wilks test. For all testing described within, the alpha was set at 0.05 for

263 significance. All continuous variables were found to be non-parametrically distributed.

264 Despite GOSE being collected at both 6- and 12-months post-injury in this cohort of patients, there was

265 missing data present in both categories of outcome, as described in previous publications from the

266 CENTER-TBI high-resolution ICU cohort. Thus, we combined GOSE scores from both 6 and 12 months in

267 order to provide a “6 to 12 Month” GOSE. For patients where GOSE was reported for both 6 and 12

268 months, the last (ie. latest or 12 month) GOSE score was selected for analysis.

269 GOSE was then dichotomized into the following categories: A. Alive (GOSE 2 to 8) vs. Dead (GOSE 1);

270 and B. Favourable (GOSE 5 to 8) vs. Unfavourable (GOSE 4 or less). Demographics and physiologic

271 variables were compared between each dichotomized group using: Mann-Whitney U and chi-square

272 testing where appropriate. Box plots were created for variables of interest comparing between

273 dichotomized groups.

274 Univariate logistic regression (ULR) and bivariate logistic regression was conducted, comparing variables

275 to both dichotomized GOSE outcomes, assessing superiority via AUC, Akaike Information Criterion (AIC)

276 and Delong’s Test. Only ULR and bivariate logistic regression was conducted as this is only the second

277 set of data for which individual ICP thresholds have been assessed, and we were only interested in

278 testing a new algorithm for detection and validate the previous single-center results. Bivariate models
279 composed of hourly dose above ICP of 20 mm Hg and mean PRx, and hourly dose above ICP of 22 mm
280 Hg and mean PRx, were both created to assess association with both dichotomized outcomes. These
281 models were compare to the univariate models which assessed the association between hourly dose
282 above individual ICP threshold and the dichotomized outcomes.

283 Finally, the results from the univariate logistic regression analysis were confirmed through multi-variable
284 logistic regression, by controlling for standard International Mission for Prognosis and Analysis of Clinical
285 Trials in TBI Core (IMPACT-Core) admission variables: age, GCS motor sub-score and pupillary response
286 (as measured through an ordinal scale: bilaterally reactive, unilateral reactive, bilateral unreactive).[17]
287 Note, not all patients had a complete data set for this analysis, and so we focused only on those with
288 complete IMPACT-Core variables and identifiable individual ICP thresholds (ie. n=127).

289

290 **Results:**

291 *Patient Demographics*

292 There were 196 patients from the CENTER-TBI high-resolution ICU cohort, with high-frequency
293 physiologic signals and demographic variables, which were included in this study. This particular cohort
294 has been described in detail within previous publications.[14] The mean age was 46.6 +/- 19.7 years,
295 with 150 being male. Median admission GCS was 8 (IQR: 5 to 13), and mean duration of physiologic
296 monitoring was 159.3 +/- 115.1 hours.

297 Using the semi-automated algorithm described to determine individual ICP thresholds, a total of 128 out
298 of 196 (65.3%) had an identifiable individual ICP epidemiologic threshold, in keeping with previous single
299 center literature on the topic,[4] with mean individual ICP threshold of 23.0 +/- 11.8 mm Hg (IQR: 14.9 to

300 29.8 mm Hg), and 73 of the 128 patients with an identifiable individual ICP threshold displaying
301 individual thresholds above BTF defined 20 mm Hg. Our semi-automated algorithm correctly identified
302 the presence or absence of a patient's individual ICP threshold in 162 out of 196 (83.2%). Thirty-four
303 patients had either an incorrectly identified individual ICP threshold when there wasn't one present
304 (n=20), or no individual ICP threshold identified when there was one present (n=14). These 34
305 discrepancies were identified through manual inspection of both the error bar and LOESS function plots
306 of PRx versus ICP, and subsequently corrected.

307 Patient demographics for those patients with an individual ICP threshold and those without an
308 identifiable individual ICP threshold can be seen in Table 1, with comparison of demographic and
309 physiologic factors between the two groups of patients. Of note is the higher mean ICP (p=0.041) and
310 PRx (p<0.0001) in the patients without an identifiable individual ICP threshold, as identified via Mann-
311 Whitney U testing, with a sustained higher PRx value in keeping without being able to identify an ICP
312 threshold using the described methodology.

313

314 ***Table 1 here**

315

316 Comparing demographics and physiologic variables between dichotomized outcome groups for the
317 patents with an identifiable individual ICP threshold, we find that only mean PRx (p<0.001 for
318 alive/dead, and p=0.005 for favourable/unfavourable outcomes) and mean hourly dose above the
319 patient's individual ICP threshold (p=0.010 for alive/dead, and p=0.020 for favourable/unfavourable
320 outcomes) are significantly different (ie. higher), via Mann-Whitney U testing, in those who died or
321 demonstrated unfavourable outcome at 6 to 12-months. Mean hourly dose of ICP above 20 and 22 mm
322 Hg failed to display any significant difference between the dichotomized groups. Appendix B provides a

323 tabulated summary of this information. Figure 2 displays box plots of the mean hourly dose above each
324 ICP threshold across both dichotomized outcomes.

325

326 ***Figure 2 here**

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333 Mean Hourly Dose of ICP Above Threshold and Outcome – Univariate Analysis

334 Univariate logistic regression was performed for each demographic and mean hourly dose of ICP above

335 threshold with both 6 to 12-month dichotomized outcomes. Table 2 displays the results of the ULR

336 analysis with AUC's, AIC and p-values tabulated for each variable. Age was noted to be statistically

337 associated with both alive/dead (AUC = 0.820; 95% CI 0.736-0.904; p<0.0001) and

338 favourable/unfavourable (AUC = 0.708; 95% CI 0.618-0.799; p<0.0001) outcomes. Higher mean PRx was

339 also noted to be associated with mortality and unfavorable outcome, in keeping with the previous larger

340 single-center studies on cerebrovascular reactivity in adult TBI.[7,10,16]

341 The mean hourly dose of ICP above the patient's individual threshold displayed the highest AUC's and

342 lowest AIC values for association with both dichotomized outcomes (AUC = 0.678, p=0.029 for

343 alive/dead, and AUC = 0.610, p=0.060 for favourable/unfavourable), with higher dose associated with

344 mortality and unfavourable 6 to 12-month outcome. This was in comparison to the mean hourly dose of

345 ICP above the BTF based treatment thresholds of 20 and 22 mm Hg,[12] as well as bivariate models
346 including mean hourly ICP dose above 20/22 mm Hg and mean PRx. The association with mortality was
347 statistically much stronger than unfavourable outcome, also in keeping with previous studies assessing
348 the association between ICP and global outcome in adult TBI.[7,12]

349

350 ***Table 2 here**

351

352

353 Comparing AUC's via Delong's test indicated that there was a significant difference between the AUC for
354 mean hourly dose of ICP above individual threshold and both mean hourly dose of ICP above 20 and 22
355 mm Hg for alive/dead outcome ($p=0.047$ and $p=0.044$, respectively). However, no significant difference
356 was noted between the AUC's of the three hourly dosing variables when outcomes were dichotomized
357 as favourable/unfavourable.

358 Finally, Comparing the bivariate models with mean hourly dose of ICP above 20/22 mm Hg and mean
359 PRx, to the univariate model with mean hourly dose of ICP above individual threshold, for alive/dead
360 outcome, the univariate models with mean hourly dose of ICP above individual threshold displayed
361 statistically significant higher AUC's compared to the bivariate models ($p<0.05$ for all; Delong's test).

362 There was no difference in AUC when comparing the bivariate models to the univariate individual
363 threshold model for favorable/unfavourable outcome. Figure 3 displays the univariate receiver
364 operating curves for mean hourly dose of ICP above 20 mm Hg, above 22 mm Hg, and above individual
365 ICP threshold.

366

367 ***Figure 3 here**

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371 Controlling for Admission IMPACT-Core Variables

372 Only 127 of the 128 patients with identifiable individual ICP thresholds had complete IMPACT-Core
373 admission variables. Controlling for these admission characteristics in multi-variable logistic regression,
374 it was found that comparing models with baseline characteristics and mean hourly dose of ICP above 20
375 mmHg or 22 mmHg, to those with mean hourly dose above individual ICP threshold, that the models
376 with mean hourly dose above individual threshold trended toward higher statistically significant AUC's,
377 for both dichotomized outcomes. This confirms that the mean hourly dose above individual ICP
378 threshold maintains significance, when controlling for IMPACT-Core covariates. Appendix C provides a
379 table summarizing the findings for the multi-variable logistic regression analysis.

380

381 Discussion:

382 This validation study provides multi-center confirmation of the presence of individual epidemiologic ICP
383 thresholds, and replicates the strong association between time spent above this threshold and global
384 outcome in adult TBI. There are some important aspects which deserve highlighting.

385 First, we have been able to display that individual ICP thresholds in moderate/severe TBI can be
386 detected in 65.3% of patients from this cohort. This is in keeping with prior retrospective single center
387 results on the topic.[4] This is an important finding because not only does it validate previous results,
388 but it also suggests that future studies will need to take this into account in order to be powered
389 appropriately. Failure to detect individual threshold may be attributed to low ICP (never disturbing
390 autoregulation) or too high ICP, when autoregulation is continuously disturbed. The wide distribution of
391 individualized thresholds (interquartile range) from 14.9 to 29.8 mm Hg underlines the importance of

392 such approaches to examining the individually defined burden of intracranial hypertension, as opposed
393 to accepting fixed thresholds that are identical across patients. The individual thresholds identified for
394 ICP below the BTF guideline ICP thresholds of 20 or 22 mm Hg are at this point still unclear in
395 significance. This methodology is still very much nascent, with this current work only being the second
396 in the literature, and requires substantial validation and exploration in other TBI populations as well as
397 controlled experimental models. Thus, these ICP thresholds below 20 mm Hg require further
398 investigation, and we in no way suggest that ICP targets would be changed to target such low values.
399 There needs to be a substantial subpopulation analysis in those patients who display low individual ICP
400 thresholds, in order to explain why such values may exist. This will be the focus of future studies on the
401 topic. As mentioned, the goal of this study was to only provide a multi-center validation of the
402 previously published single-center retrospective results from Cambridge.[4]

403 Second, we have, for the first time, created a semi-automated algorithm for the detection of individual
404 ICP thresholds, an improvement over prior completely manual determination from plots of PRx and ICP.
405 Though a first attempt, the accuracy rate in this study was 83.2%. It must be acknowledged that the
406 notion of using an abnormal ICP compliance curve doesn't require a computer to determine, and can in
407 fact be determined by inspection of the plotted physiology at the bedside by the treating clinician. Thus,
408 our semi-automated algorithmic process would benefit from refinement and optimization, which will be
409 the focus of future analyses in this area. Further to this, there are other potential options for assessing
410 individual patient ICP thresholds, employing cerebral compliance indices, such as RAP (correlation
411 between pulse amplitude of ICP and ICP),[18,19] or using ICP waveform analytic techniques.[20,21]
412 Exploration into these techniques as means to derive individual ICP thresholds is required, but may
413 prove fruitful.

414 Third, we have been able to confirm the strong association between and dose of ICP above individual
415 ICP threshold, which was shown in the original publication describing this relationship,(6) and done so in

416 a multi-center data set. These results validate the presence and detectability of individual ICP
417 thresholds, and provide a conceptual framework for developing these as treatment targets in the future
418 targets, as we move towards individualized medicine. Support for such an approach is justified in the
419 stronger association between mean hourly dose of ICP above individual threshold and both
420 dichotomized 6 to 12-month outcomes, using ULR and multi-variable logistic regression controlling for
421 standard IMPACT-Core admission characteristics. ICP (time x intensity) dose calculated above individual
422 thresholds were much more strongly associated with outcome compared to the dose above BTF defined
423 thresholds of 20 and 22 mm Hg.[12]. The current analysis focuses on confirmation of past findings, but
424 subsequent work will examine the impact of individual ICP thresholds in more complex multi-variable
425 models which include co-variates beyond those used in the IMPACT-Core prediction model.

426 Thus, there is still limited data to support the adoption of individual ICP thresholds as a clinically utilized
427 measure at this time.

428 Fourth, an important finding re-iterated by the results of this work is that ICP and burden of ICP suffered
429 after TBI is linked to outcome in TBI. Particularly the dose of ICP spent above BTF thresholds, as well as
430 individual ICP threshold, was statistically significantly associated with outcome. This is important to
431 emphasize as recent literature has led to questions regarding the utility of ICP monitoring in adult
432 TBI,[22,23] leading to confusion in some providers as to the need for such monitoring devices. However,
433 the results within this work added to the existing large body of evidence supporting the link between ICP
434 and patient outcome in TBI.[7,12,24]

435 One shortcoming of the approach implemented in this manuscript is that individualized thresholds were
436 calculated based on all of the ICP values across the patient stay. This approach clearly does not lend
437 itself to providing a management target early in the course of the patient's stay, which is when it is
438 needed. However, we hypothesize that individual thresholds of ICP may be detectable on-line (on

439 the basis of recent ICP monitoring data points), and provide decision support for individualized
440 management across all tiers of ICP therapy- starting from hypertonic solutions and finishing with better
441 targeted decompressive craniectomy. Such a concept is still experimental and would require the use of
442 sliding windows of data over time, to calculate the intersect between the PRx versus ICP function and
443 PRx of +0.20. We envision such methodology to be similar to current CPP optimum sliding window
444 determinations employed in real-time.[1–3] However, it should be acknowledged that the feasibility of
445 this has not been tested, and the concept is only a theory requiring much further investigation. If proven
446 feasible, this would allow for a continuously updating individual ICP threshold value which could then
447 account for changes in individual thresholds over time, where the current described methodology is
448 incapable of accomplishing.

449

450 Limitations

451 Important limitations deserve highlighting. First, as mentioned in other studies published from this
452 cohort,[14] despite the data from the CENTER-TBI high-resolution cohort being collected in a
453 prospective manner, the treatments and therapies received by patients remain heterogeneous. Such
454 heterogeneity may have impacted the individual ICP threshold determination, and it is currently unclear
455 whether individual therapeutic measures directed at ICP differentially impact the derivation of
456 individualized thresholds. Such analysis, including the impact of injury and patient heterogeneity, will
457 require even larger prospectively collected high-resolution data sets.

458 Second, our methodology for identification of individual ICP thresholds relies on the use of PRx, as
459 previously described.[4] This current study was conducted as a simple validation of this previous
460 retrospective single-center work. However, given the methodology of individual ICP thresholds is still
461 new, there is the potential that other methods for estimating such thresholds may prove equivalent or
462 superior. There is the potential that thresholding ICP based on autoregulation may be too simplistic,

463 and other measures, such as compensatory reserve metrics,[18,19] may provide more information
464 regarding stratifying critical values of ICP. The concept of individual ICP thresholds using PRx is still in its
465 experimental. This concept is based on individual ICP thresholds derived through impairment in
466 cerebrovascular reactivity, through epidemiologically defined critical values from previous retrospective
467 studies,[7] not compensatory reserve. It still remains unclear if using a pure compliance/compensatory
468 reserve index such as RAP,[18,19] would provide different information for the determination of
469 individual ICP thresholds. Cerebrovascular reactivity can be impaired in both settings of normal and
470 elevated ICP in adult TBI, where compensatory reserve indices tend to remain normal until extreme ICP
471 elevations. Hence, we decided to employ a method of individual ICP threshold determination using
472 vascular reactivity. It is unclear if these calculated thresholds occurring at lower ICP values represent
473 normal brain or just dysautoregulation and pressure-passivity at low ICP. Further work is required to
474 correlate these findings with other continuously derived cerebral physiologic metrics (such as blood flow
475 velocity, PbtO₂, CBF, or near-infrared based measures) and neuroimaging biomarkers, in order to
476 determine if the brain is in a “normal” state when individual ICP thresholds are determined to be below
477 20 mm Hg. As such, the current methodology should be considered an experimental starting point for
478 such analysis, and not employed in the treatment of patients. There are plans for much further analysis
479 of other physiologic metrics for the derivation of individual ICP thresholds, and these will be the focus of
480 various other studies on both the Cambridge retrospective TBI database and the CENTER-TBI high
481 resolution ICU cohort.

482 Third, the overall patient numbers with an identifiable individual ICP threshold was low, at 128 and only
483 127 with full IMPACT-Core admission variables and an identifiable individual ICP threshold. Though
484 based on the initial population size with a documented outcome and presence of baseline
485 characteristics (n=196), a yield of 65.3% for individual ICP threshold is in keeping with prior larger
486 retrospective studies on the topic.[4] This relatively small population effect may be exemplified by the

487 low AUC values on univariate analysis, and during correction for baseline IMPACT-Core co-variates,
488 despite reaching statistical significance. As such, future investigations into individualized ICP thresholds
489 will definitely require larger cohorts. At the moment, we are unable to make definitive comments on
490 the characteristics related to not being able to derive an individual ICP threshold. It is possible that
491 patient admission demographics and both extra- and intra-cranial injury burden characteristics will be
492 predictive of those patients in whom an individual ICP is not identifiable. Such analysis was not the
493 focus of this study, and will form the basis for a much larger analysis conducted on an amalgamated
494 cohort from the large retrospective Cambridge TBI database and the CENTER-TBI high resolution ICU
495 cohort. The hope is with such larger patient cohorts, we will be able to shed some light on the topic.

496 Fourth, despite the automated portion of the algorithm for detection of individualized ICP thresholds
497 demonstrating an acceptable accuracy rate of 83.2%, there still existing substantial room for
498 improvement. As this was the first attempt at producing an semi-automated approach for individual ICP
499 threshold determination, we feel encouraged about being able to improve upon this, as previous
500 methods required a completely manual inspection of plots.[4] This will be the focus of future work.

501 Fifth, despite our results indicating that those patients with no discernable individual ICP threshold
502 displayed higher mean PRx and ICP values, our understanding as to the characteristics of such patients is
503 limited. Future analysis of individual ICP thresholds will not only need to focus on those with an
504 identifiable threshold, but also on those without, so we can better understand what contributes to a
505 lack of a patient-specific threshold.

506 Finally, despite the finding that ICP doses derived from individualized ICP thresholds display potentially
507 stronger associations with outcome compared to BTF defined thresholds, the concept of individualized
508 threshold should still be considered experimental. Currently, individual ICP thresholds should not

509 replace the BTF defined thresholds in monitoring and care of moderate and severe TBI patients. Much
510 further evidence is required to validate these individualized targets as clinically valuable in TBI.

511

512 **Conclusions:**

513 Individual epidemiologic ICP thresholds are present in two thirds of the adult TBI population. Mean
514 hourly dose of ICP above a patient's individual epidemiologic ICP threshold demonstrates a stronger
515 association with mortality compared to the dose above BTF defined thresholds of 20 or 22 mm Hg,
516 confirming prior single center findings. Further studies on individual patient specific epidemiologic ICP
517 thresholds are warranted.

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519

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535

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672 **Figure Legends:**

673 *Figure 1: Two Patient Examples of Individual ICP Threshold Determination via Semi-Automated Method*

674 *a.u. = arbitrary units, ICP = intracranial pressure, LOESS = locally weighted scatterplot smoothing, mm Hg = millimeters of*
675 *Mercury, MAP = mean arterial blood pressure, PRx = pressure reactivity index (correlation between ICP and MAP). Panel A and B*
676 *= patient 1, Panel C and D = patient 2. Panel A – error bar plot of PRx vs. ICP, dotted line displays PRx threshold of +0.20, Panel B*
677 *– LOESS function plot with 95% confidence intervals, intersection between PRx = +0.20 line (dotted) and the LOESS function*
678 *yields the patients individual ICP threshold. Panels C and D display similar finding for a second patient.*

679

680 *Figure 2: Box Plots of Mean Hourly Dose Above ICP Threshold for Dichotomized 6 to 12-Month Outcome Groups*

681 *GOSE = Glasgow Outcome Score – Extended, ICP = intracranial pressure, mm Hg = millimeters of Mercury. Panel A – Mean*
682 *hourly dose of ICP above 20 mm Hg for alive and dead (A/D) outcome, Panel B – Mean hourly dose of ICP above 20 mm Hg for*
683 *favourable and unfavourable (F/U) outcome, Panel C – Mean hourly dose of ICP above 22 mm Hg for alive and dead (A/D)*
684 *outcome, Panel D – Mean hourly dose of ICP above 22 mm Hg for favourable and unfavourable (F/U) outcome, Panel E – Mean*
685 *hourly dose of ICP above patient’s individual ICP threshold for alive/dead (A/D) outcome, Panel F – Mean hourly dose of ICP*
686 *above patient’s individual ICP threshold for favourable/unfavourable (F/U) outcome. Alive/Dead (A/D) Dichotomization (Alive =*
687 *GOSE \geq 2, Dead = GOSE 1). Favourable/Unfavourable (F/U) Dichotomization (Favourable = GOSE 5 to 8, Unfavourable = GOSE 1*
688 *to 4). *p-values reported are for Mann-Whitney-U test, comparing mean values between dichotomized groupings.*

689

690 *Figure 3: Univariate Logistic Regression – Mean Hourly Dose of ICP Above 20, 22 and Individual Thresholds*

691 *GOSE = Glasgow Outcome Scale Extended, ICP = intracranial pressure, ULR = Univariate Logistic Regression. Panel A = Mean*
692 *Hourly Dose of ICP Above 20 mm Hg ULR for Alive/Dead (A/D) Outcome, Panel B = Mean Hourly Dose of ICP Above 20 mm Hg*
693 *ULR for Favourable/Unfavourable (F/U) Outcome, Panel C = Mean Hourly Dose of ICP Above 22 mm Hg ULR for Alive/Dead (A/D)*
694 *Outcome, Panel D = Mean Hourly Dose of ICP Above 22 mm Hg for Favourable/Unfavourable (F/U) Outcome, Panel E = Mean*
695 *Hourly Dose of ICP Above Individual Threshold ULR for Alive/Dead (A/D) Outcome, Panel F = Mean Hourly ICP Dose Above*
696 *Individual Threshold ULR for Favourable/Unfavourable (F/U) Outcome. Alive/Dead (A/D) Dichotomization (Alive = GOSE \geq 2,*
697 *Dead = GOSE 1). Favourable/Unfavourable (F/U) Dichotomization (Favourable = GOSE 5 to 8, Unfavourable = GOSE 1 to 4).*
698 **Indicates AUC reported failed to reach statistical significance in the ULR model.*

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