

1 **The impact of antimicrobials on gonococcal evolution**

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32 **Abstract**

33 The sexually transmitted pathogen *Neisseria gonorrhoeae* is regarded as being on the
34 way to becoming an untreatable superbug. Despite its clinical importance, little is
35 known about its emergence and evolution, and how this corresponds with the
36 introduction of antimicrobials. We present a genome-based phylogeographic analysis
37 of 419 gonococcal isolates from across the globe. Results indicate that modern
38 gonococci originated in Europe or Africa, possibly as late as the 16th century and
39 subsequently disseminated globally. We provide evidence that the modern gonococcal
40 population has been shaped by antimicrobial treatment of sexually transmitted and
41 other infections, leading to the emergence of two major lineages with different
42 evolutionary strategies. The well-described multi-resistant lineage is associated with
43 high rates of homologous recombination and infection in high-risk sexual networks. A
44 second, multi-susceptible lineage is more associated with heterosexual networks, with
45 potential implications for infection control.

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54 Almost 360 million curable sexually transmitted infections (STIs) are estimated to
55 occur globally each year, with *Neisseria gonorrhoeae*, the causative agent of
56 gonorrhoea, infecting approximately 78 million¹. The highest gonorrhoea burden is
57 reported among men, although problematic infections are more common in women
58 for whom urogenital infections are often asymptomatic. Unresolved urogenital
59 infections can lead to severe complications and sequelae, such as reproductive
60 problems including infertility, serious eye infections in newborns, and enhanced
61 transmission of HIV². The emergence and proliferation of gonococci with resistance
62 to front-line antimicrobials such as extended-spectrum cephalosporins (ESCs;
63 cefixime and ceftriaxone) and azithromycin have contributed to, although do not
64 explain, the increase in incidence of gonorrhoea. Resistance to dual therapy
65 (injectable ceftriaxone plus oral azithromycin), the current recommended treatment in
66 many countries, is fortunately rare³, however, decreased susceptibility to ceftriaxone
67 has been reported from all continents and azithromycin resistance is on the increase
68 globally⁴, raising fears that the effectiveness of this regimen will be short-lived. Much
69 of the focus of gonococcal control is on particular high-risk sexual networks that often
70 partake in unprotected sex with multiple partners, particularly sex workers and men
71 who have sex with men (MSM) but also young heterosexuals. These groups are more
72 frequently exposed to both infection and antimicrobial treatment, which has led to
73 these networks being the suspected drivers of antimicrobial resistance (AMR)⁵.
74 However, AMR is not the only factor driving the recent success of *N. gonorrhoeae*.
75 Dual therapy is effective against the vast majority of infections, yet since its
76 introduction, gonorrhoea infections have continued to increase in most settings⁶.
77 Georges Luys famously opened his medical textbook on gonorrhoea with the
78 statement that ‘Gonorrhoea is as old as mankind’⁷. However, despite *N. gonorrhoeae*

79 often being described as an ancient pathogen, there are no clear descriptions of a
80 disease like modern gonorrhoea in the ancient sources. Some compatible symptoms
81 do appear in the medical literature of classical Greece and Rome, but nothing
82 decisive, and the presence or absence of modern gonorrhoea in the ancient
83 Mediterranean has been much debated as a result⁸. Early modern terms like ‘the clap’,
84 ‘the pox’, or ‘the venereal disease’ also covered a range of conditions, and it was not
85 until 1879 that Albert Neisser identified the bacteria that now bears his name⁹. AMR
86 in *N. gonorrhoeae* became apparent soon after antimicrobials were first introduced for
87 its treatment. One characteristic of *N. gonorrhoeae* that has played an important role
88 in its rapid gain and spread of AMR is its ability to exchange DNA via homologous
89 recombination both within its own species and with another *Neisseria* species. For
90 example, mosaic penicillin-binding protein 2 (PBP2; encoded by the *penA* gene)
91 alleles gained via recombination have been key in the emergence of resistance to
92 ESCs^{10,11} which led to the replacement of cefixime as the first-line treatment for
93 gonorrhoea. The first mosaic *penA* allele causing high-level ceftriaxone resistance
94 was seen in an isolate from a pharyngeal infection in a female sex worker in Japan in
95 2009¹², but similar mosaic *penA* alleles have been seen worldwide^{2,11,13,14}. In fact, a
96 number of resistances have first been identified in Japan, leading to the hypothesis
97 that most AMR gonorrhoea originates there, or elsewhere in the WHO Western
98 Pacific Region².

99 Whole-genome sequencing has been successfully used to reveal the origins, global
100 spread and population structure of several human pathogens¹⁵. However, gonococcal
101 genome sequencing has mostly targeted specific populations and outbreaks¹⁶⁻²⁰. Here,
102 we report the findings of a global genomic study of 419 *N. gonorrhoeae* isolates
103 spanning five continents and more than 50 years, including varying susceptibilities to

104 important antimicrobials. Our aim was to elucidate when and where modern
105 gonococcal populations emerged, evolved and dispersed, and how antimicrobial usage
106 and transmission in different sexual networks has influenced their population
107 dynamics.

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109 **Results**

110 **Modern gonococcus not ‘as old as mankind’**

111 Our collection spans a period of more than 50 years (1960 – 2013) and 58 countries
112 from five continents (Supplementary Table 1, Figure 1). A population-level analysis
113 revealed a high level of admixture among *N. gonorrhoeae* with no significant
114 differentiation between continents (Supplementary Table 2), with the exception of
115 Africa (Supplementary Figure 1, Supplementary Tables 3-5). We estimated the
116 substitution rate for the non-recombining section of the genomes in the collection
117 (Supplementary Figure 2) to be $3.74E^{-06}$ substitutions/site/year CI (confidence
118 interval) [$3.39E^{-06}$ – $4.07E^{-06}$], which is similar to previous reports^{16,18} and comparable
119 to other bacteria²¹. The time of the most recent common ancestor (tMRCA) was
120 estimated to be around the 16th century (1589, CI [1544 – 1623]) (Figure 2). Although
121 high rates of recombination can lead to underestimation of tMRCAs to some extent,
122 these results are strongly at odds with the hypothesis that modern gonorrhoea has
123 existed as long as mankind and cast further doubt on the ascribing of historical
124 descriptions of gonorrhoea-like symptoms to infection with ‘modern’ gonococci.

125 Despite modern gonococci being globally mixed, we found strong evidence of historic
126 geographical separation, suggesting rapid mixing of populations is a relatively recent
127 phenomenon. A phylogeographic analysis ascribed the origin of our collection to

128 Europe (60.9% inferred ancestry). However, when corrected for biases in the number
129 of samples from each continent, complementing with isolates from a US study¹⁶, there
130 was support for an African origin (90.7% inferred ancestry) (Supplementary Figure 3
131 and Supplementary Table 6). From this African root, we identified a number of
132 change-points in the continental distribution of isolates across the tree (Supplementary
133 Figure 3). Most of these were recent events, but the most significant change-point
134 separated a basal lineage containing a high proportion of African isolates (68.2%,
135 30/44) from a lineage containing a high proportion of Asian isolates (92.6%,
136 137/148), despite the temporal sampling from the two continents being similar
137 (Supplementary Figure 3). When combined with the dating, this can be interpreted as
138 an early introduction of the modern gonococcus population into Asia (1617, CI [1578
139 – 1649], Figure 2) soon after its emergence. More recently, many re-introductions into
140 the rest of the world have occurred from this Asian lineage, contributing to the highly
141 mixed population observed today.

142 **Emergence of antimicrobial resistant gonorrhoea**

143 Minimum Inhibitory Concentrations (MICs) for six antimicrobials (Supplementary
144 Figure 4) and the occurrence of genetic AMR determinants were significantly higher
145 among the isolates belonging to the lineage that arose after the phylogeographic
146 breakpoint representing the initial introduction into Asia (Wilcoxon test $W=66159$, p -
147 value <0.0001) (Figures 3 and 4c). We will therefore refer to the 298 isolates after the
148 breakpoint as lineage A and the 121 isolates before the breakpoint as lineage B.

149 Two AMR determinants, *folP* R228S, which reduces susceptibility to sulfonamides,
150 and *rpsJ* V57M, which reduces susceptibility to tetracyclines, were carried by a large
151 proportion of isolates, especially in lineage A (Supplementary Table 1, Figure 3).
152 Fifty-one isolates contained a mosaic *penA* allele²². We identified three independent

153 gains of mosaic alleles, all in lineage A. In a clade of 59 isolates with MLST ST1901,
154 a first recombination event replaced the wild type allele with a mosaic *penA10* allele
155 and a subsequent event replaced *penA10* with mosaic *penA34*. These two alleles differ
156 by 16 SNPs and a codon insertion in the last 105 bases of the nucleotide sequence.
157 Two isolates in this clade exhibited high MICs for both cefixime (3-4 mg/L) and
158 ceftriaxone (2 mg/L) (Supplementary Figure 5), and these were found to possess
159 *penA42*, which is a single SNP (A501P) variant from *penA34*^{23,24}. In another lineage,
160 associated with MLST ST7363, most isolates possessed the *penA10* alleles, but we
161 again observed a case of replacement with *penA34*. Only one isolate carried the
162 A2045G 23S rRNA mutation (A2059G in *Escherichia coli*) that confers high-level
163 resistance to azithromycin. Six isolates carried the low-level azithromycin resistance
164 C2597T 23S rRNA mutation (C2611T in *E. coli*). Strikingly, the plasmids carrying
165 *tetM* and *bla*_{TEM} co-localized far more frequently than expected (Pearson's $\chi^2=97.82$,
166 $df=1$, $p\text{-value}<0.0001$), possibly reflecting the mobilization of pBlaTEM by the
167 pConjugative plasmid²⁵, and were completely absent from ESC-resistant isolates
168 (Figure 3). The Gonococcal Genomic Island (GGI) was found in 277 (67%) isolates
169 (Supplementary Figure 6), but showed no clear association with AMR. The plasmid-
170 encoded resistances showed no significant difference in prevalence in lineages A or B
171 (two-sided test for equality of proportions for *tetM*: $\chi^2=0.01$, 95% CI [-0.089, 0.110],
172 $df=1$, $p\text{-value}=0.92$, and for *bla*_{TEM}: $\chi^2=0.88$, 95% CI [-0.046, 0.147], $df=1$, $p\text{-}$
173 $value=0.35$). In contrast, of the 29 chromosomally-mediated resistance substitutions
174 examined, 18 were significantly associated with clade A (Figure 3). Importantly,
175 based on our phylogenetic dating, the majority of occurrences of these 29
176 determinants were estimated to have been acquired after the introduction of the
177 antimicrobial against which they act (Supplementary Figure 7).

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180 **Two strategies for gonococcal success**

181 Overall, our data show far fewer gains of chromosomally-encoded AMR determinants
182 in lineage B compared to A (Supplementary Figure 8). Since these determinants
183 primarily spread through the population via homologous recombination, such
184 differences could be explained by differences in recombination frequency. To assess
185 this, we compared the proportion of homoplastic sites, an indicator of recombination,
186 in the terminal branches of the phylogenetic tree in the two lineages. This confirmed a
187 significantly higher proportion in clade A, particularly for short branches, which
188 represent very recent evolution (Wilcoxon test $W=19416$, $p\text{-value}<0.001$; Figures 4a-
189 b and Supplementary Figure 9). Note that the distribution of branch lengths in both
190 clades was similar (Wilcoxon test $W=14427$, $p\text{-value}=0.739$). Similarly, the
191 proportion of clustered SNPs, another signal of recombination, was also higher on the
192 terminal branches in lineage A (Wilcoxon test $W=16984$, $p\text{-value}<0.05$). The
193 proportion of recombination-deficient strains (those with no recombination events
194 detected, $r=0$) in lineage B was higher than expected, bordering on statistical
195 significance (one-tailed test of proportions, $p\text{-value}=0.05184$).

196 One explanation for such differences could be opportunity. For recombination to
197 occur, donor and recipient bacteria must co-localise. Thus, recombination between
198 gonococci would be expected to occur more frequently in high-risk host populations
199 where coinfection with other STIs and pharyngeal infections, which allow access to
200 commensal *Neisseria* species, are more common. These risk-groups are also more
201 likely to be exposed to repeated antimicrobial therapy for gonorrhoea and other STIs⁵.

202 Unfortunately, due to limitations in availability of data on patient sexual behaviour,
203 we could not adequately assess association of the lineages to risk factors in our
204 dataset. However, we could analyse the distribution of the gender of the patients from
205 which the isolates were taken. To increase the power of the analysis, we included 376
206 isolates from two North-American genomic studies^{17,26}, to give a set of 639 isolates
207 with complete gender information. Strikingly, lineage B included a significantly
208 higher proportion of women (40/136, 29.4%) than A (69/503, 13.7%) (two-sided test
209 for equality of proportions $\chi^2=17.54$, 95% CI [0.070, 0.244], df=1, p-value<0.0001)
210 (Figure 4d and Supplementary Figure 8), which would suggest that B is more closely
211 associated with heterosexuals. Corroborating this, data from a 2013 European-wide
212 structured survey²⁷ showed a similar pattern. Lineage B isolates were strongly
213 associated with reduced MICs and female patients (61/214, 28.5% of lineage B
214 isolates were from women vs 100/821, 12% of lineage A; two-sided test for equality
215 of proportions $\chi^2=33.21$, 95% CI [0.096, 0.231], df=1, p-value<0.0001), and more
216 importantly, of the patients that reported sexual orientation, 78.3% (94/120) of
217 isolates in lineage B were from heterosexuals, in contrast to 52.6% (200/380) in A
218 (two-sided test for equality of proportions $\chi^2=23.82$, 95% CI [0.162, 0.352], df=1, p-
219 value<0.0001) (Supplementary Figure 10). Particular sublineages within lineage B
220 appeared particularly strongly associated with heterosexuals²⁷. We suspect lineage A,
221 being associated with higher-risk populations, does have greater opportunity for
222 recombination, which may explain the observed higher recombination rate. However,
223 transmission between low and high-risk populations is common within lineage A, so
224 we suspect opportunity is not the only explanation for the differential recombination
225 rate in the two lineages. The observation that plasmid-born resistances do not show
226 the same difference in frequency between the two lineages also supports this view.

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229 **Discussion**

230 Gonorrhoea is one of the most clinically important STIs worldwide. Its rapid mode of
231 transmission, especially among high-risk groups, and the emergence of resistance to
232 many antimicrobials, has made the control of *N. gonorrhoeae* of primary importance
233 for public health. In recent years there has been an understandable focus on AMR
234 gonorrhoea, with resistance to all classes of antimicrobials used to treat the infection
235 having been reported². However, the increase in prevalence of gonorrhoea has
236 continued in many settings⁶ despite resistance to dual therapy being extremely rare.

237 Our genomic analysis revealed a contemporary global population with little
238 geographical structure, suggesting rapid recent intercontinental transmission is
239 occurring. In particular, introductions from Asia into the rest of the world appear
240 common, consistent with the observation that a number of recent resistant gonococcal
241 clones have emerged from this region². The one exception was Africa, where the
242 sampled gonococcus was less diverse. However, our African sample size was small
243 due to limited availability of isolates, so further study is required in this area.

244 We estimated an origin of modern gonococci in the 16th century [1544 - 1623], which
245 contrasts with historical interpretations of modern gonorrhoea as an ancient disease.
246 Although we are keen to stress that high rates of recombination make accurate
247 estimates difficult, and our estimated confidence intervals are probably too narrow,
248 this dating suggests that ancient accounts of gonorrhoeal-like symptoms may have
249 been caused by other pathogens, or are evidence of an ancient *N. gonorrhoeae*
250 population distinct from that observed today. It certainly disputes the view that the

251 disease we now know as gonorrhoea is ‘as old as mankind’. The 16th century was,
252 nonetheless, an opportune time for the global dissemination of pathogens. It was a
253 period of early modern globalization marked by the initiation and intensification of
254 many intercontinental trade links, particularly by sea²⁸. This period was of utmost
255 importance for globalization due to an expeditious increase in exchange of goods,
256 including the import of crops from the Americas to Europe. Increased movement of
257 people around the world also spawned local epidemics and pandemics²⁹, and may
258 well have played an important role in the evolution of modern gonorrhoea. A
259 phylogeographic analysis using several subsampled sets of strains from different
260 continents to avoid bias placed the origin of the current global gonococcal population
261 in Europe or Africa. We identified a subsequent introduction into Asia in the early
262 17th century [1578 – 1649], which expanded rapidly throughout the continent. Much
263 more recently this lineage has been repeatedly transmitted back to the rest of the
264 world.

265 A major finding is a strong association between isolates from the lineage that evolved
266 from this early introduction to Asia and the development of AMR. Nearly all isolates
267 in this lineage A, but only 50% of those lineage B, harboured resistance to
268 sulfonamides (*folP* R228S mutation) and tetracyclines (*rpsJ* V57M mutation).
269 Sulfonamides were the first antimicrobials introduced to treat gonorrhoea in 1935,
270 with initial efficacies of around 90%. By the mid to late 1940s sulfonamide resistance
271 was common, and it was discarded as a treatment for gonorrhoea². However,
272 sulfonamides are still widely used in combination with trimethoprim (TMP-SMZ) for
273 prophylaxis in HIV positive patients and to treat a variety of bacterial infections³⁰.
274 Doxycycline (a tetracycline) is still used to treat gonococcal or presumptively non-
275 gonococcal urethritis/cervicitis and is the recommended treatment for

276 lymphogranuloma venereum³¹. We therefore suspect the high incidence of
277 sulfonamide and tetracycline resistance in modern gonorrhoea is due to historic
278 treatment of the disease itself followed by continued use of these drug classes for
279 other purposes. The high proportion of diverse circulating strains carrying the *folP*
280 and *rspJ* mutations could be used as evidence that they were in the gonococcal
281 population long before the introduction of antimicrobials. However, this seems
282 unlikely. More plausibly, the use of sulfonamides and tetracyclines has produced a
283 strong selective pressure over an extended period of time, which has led to many
284 independent acquisitions of resistance mutations and convergent gains of resistance
285 via homologous recombination. In the more recombinogenic lineage A, this has
286 resulted in these mutations sweeping through the entire clade. Furthermore, other
287 AMR determinants that have entered the gonococcal population more recently appear
288 to be undergoing the same process, particularly in lineage A. The DNA gyrase A
289 S91F substitution, which provides resistance to ciprofloxacin, is one of many
290 resistance mutations that show extremely high levels of homoplasmy in lineage A,
291 consistent with a combination of *de novo* mutation and rapid dissemination via
292 recombination. The mosaic *penA* alleles, which reduce susceptibility to ESCs are
293 another example. These elements were first described in *N. gonorrhoeae* around the
294 turn of the century, but have already been independently acquired by a number of A
295 sublineages, clearly showing that these mutations are transferring *en masse* via
296 recombination rather than by repeated *de novo* mutation. Lineage B, on the other
297 hand, has remained susceptible to most antimicrobials. More generally, levels of
298 homoplasmy and SNP clustering were found to be significantly higher in clade A,
299 supporting the hypothesis that higher rates of recombination in this lineage have
300 played a role in its high levels of AMR.

301 The rise of AMR gonorrhoea is generally assumed to have been facilitated by
302 particular demographics who partake in high-risk sexual behaviours, particularly
303 unprotected sex with multiple partners. These groups are also more often treated with
304 antimicrobials than the general population due to frequent infection³². Concordantly,
305 we found that lineage A is associated with infection in MSM, one of the predominant
306 risk groups, while isolates from B are more rarely found in this demographic group.
307 Thus, lineage A isolates have the means (increased homologous recombination),
308 motive (higher antimicrobial exposure) and opportunity (higher rates of coinfection
309 with commensal *Neisseria* and other STIs) for recombination-driven gain of AMR.

310 Most recent media attention and gonococcal genomics research has focused on the
311 increasing levels of AMR in gonorrhoea. However, we have shown that a mostly
312 susceptible lineage is successfully persisting in lower-risk groups where it is probably
313 less likely to be exposed to antimicrobials. Notably, this lineage was associated with
314 heterosexual groups, and with infections in women, where rates of asymptomatic
315 infection are higher. Turner *et al.*³³ showed, using a modelling approach, that in a
316 situation where both resistant and susceptible strains are present in a population, high
317 rates of asymptomatic infection, and therefore under-treatment, can allow susceptible
318 isolates to survive and thrive. In such circumstances, rates of susceptible infection can
319 be hugely underestimated, potentially meaning that our understanding of gonococcal
320 prevalence, and rates of AMR may be biased. Interestingly, the majority of our
321 African samples were from lineage B, consistent with epidemiological studies that
322 describe a hidden epidemic of gonococcus in rural South African women, in which
323 48% of cases were asymptomatic and another 50% were symptomatic but not seeking
324 care³⁴. Similarly in Namibia, prevalence of asymptomatic gonococcal infections in
325 both men and women in rural villages are high³⁵. This may suggest that lineage B is

326 associated with asymptomatic infection more fundamentally than simply being more
327 often found in women. In such a situation, if compensatory mutations are not
328 developed, gain of AMR determinants may be detrimental as these elements may
329 come with an associated general cost to fitness. Grad *et al*³⁶ reported, for example,
330 that 23S rRNA mutations resulting in azithromycin resistance were associated with
331 reduced ESC MICs in isolates with mosaic *penA* alleles. Similarly, we have observed
332 that the *tetM* and *bla_{TEM}*-containing plasmids are negatively associated with isolates
333 with mosaic *penA* alleles.

334 In conclusion, in the first phylogeographic analysis of a global collection of
335 gonococci we have shown that although the modern gonococcal population is highly
336 mixed, this mixing is relatively recent. This gonococcal population originated as late
337 as the 16th century, most likely in Europe or Africa, and an early single introduction
338 into Asia led to a rapid spread throughout the continent and the rest of the world.
339 Despite most recent focus being on gonococcal AMR, we have demonstrated that *N.*
340 *gonorrhoeae* has adapted to sexual networks with different risk profiles and exposures
341 to antimicrobial treatment. Modern global gonorrhoea can be divided into two
342 lineages, which we term A (after the phylogenetic breakpoint) and B (before the
343 phylogenetic breakpoint). Lineage A has gained and proliferated AMR determinants,
344 aided by an increased rate of recombination. We hypothesise that these isolates are
345 often transmitted in higher-risk networks, e.g. MSM, where pharyngeal infections are
346 more common and individuals are more frequently exposed to treatment for
347 gonorrhoea and other STIs. Lineage B, however, has not gained AMR so rapidly, with
348 26% of isolates containing no known AMR determinants, and is potentially being
349 silently transmitted in undertreated groups where levels of asymptomatic infection are
350 higher. Thus, our results have shown that the effect of antimicrobial treatment on the

351 gonococcal population has been more complex than simply initiating an inexorable
352 progression towards AMR.

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356 **Methods**

357 **Global *N. gonorrhoeae* strains and antimicrobial susceptibility testing**

358 A total of 413 *N. gonorrhoeae* strains without known epidemiological relatedness
359 were collected from patients suffering gonorrhoea in 58 countries spanning five
360 continents. The strains were selected to represent a wide geographic, temporal,
361 phenotypic (based on antimicrobial resistance), and genetic diversity, that is, to
362 represent as much as feasible of the *N. gonorrhoeae* species phylogeny
363 (Supplementary Table 1). Six genome references were also included in the study,
364 spanning a range of isolation dates between 1960 and 2013 in total. Bacterial isolation
365 from the corresponding samples, preservation and transportation was performed
366 following standard microbiological procedures³⁷. β -lactamase production and
367 minimum inhibitory concentrations (MIC) were tested for a range of antimicrobials as
368 described previously³⁸: spectinomycin, tetracycline, penicillin G, ciprofloxacin,
369 azithromycin, cefixime and ceftriaxone.

370 **DNA preparation and whole-genome sequencing**

371 All isolates were confirmed to be *N. gonorrhoeae* and genomic DNA was extracted
372 from the isolates using the Promega Wizard DNA purification kit, following the
373 instructions from the manufacturer. Purified DNAs were multiplexed and sequenced

374 using two lanes of the HiSeq 2500 2x100 bp platform at the Wellcome Sanger
375 Institute.

376 **Mapping and variant calling**

377 Fastq files from the 413 new gonococcal strains and the *N. meningitidis* 10356_1#65
378 outgroup (ENA accession ERS248641) were mapped to a common reference, *N.*
379 *gonorrhoeae* FA1090 (NCBI accession NC_002946, 2,153,922 bp) using SMALT
380 v0.7.4 (<http://www.sanger.ac.uk/science/tools/smalt-0>). Variants were called using
381 SAMtools and BCFtools v1.2³⁹ after indel realignment with GATK v1.5.9⁴⁰ and
382 further filtered as described previously⁴¹.

383 Six public reference genomes were obtained from the NCBI and aligned using
384 progressiveMAUVE v2.3.1⁴² (*N. gonorrhoeae* FA1090 (NCBI NC_002946.2), FA19
385 (NCBI CP012026.1), FA6140 (NCBI CP012027.1), MS11 (NCBI NC_022240.1),
386 35/02 (NCBI CP012028.1) and NCCP11945 (NCBI NC_011035.1)). The XMFA
387 output alignment was converted into a plain fasta format using *N. gonorrhoeae*
388 FA1090 as reordering reference through a custom Perl script (see “Code
389 availability”). Positions with gaps in this reference were removed, so that the resulting
390 alignment had homologous positions to the 2,153,922 bp in the FA1090 genome. This
391 alignment was added into the one resulting from mapping the 413 isolates, producing
392 a 419-strains alignment containing the core genome and accessory sites from FA1090
393 that are shared by any other strain in the collection.

394 **Recombination removal and phylogenetic reconstruction**

395 Prophages described in the *N. gonorrhoeae* FA1090 strain⁴³ were masked in the
396 alignment before running Gubbins v1.4.10⁴⁴, which was used to remove segments that
397 can have undergone recombination. This is done by detecting regions of the alignment

398 in which single nucleotide polymorphisms (SNPs) are densely clustered and occur on
399 the same branches of the tree. The *N. meningitidis* 10356_1#65 strain was used as
400 outgroup so that events affecting all *N. gonorrhoeae* strains were not excluded from
401 subsequent calculations.

402 The detected recombination events and repeat regions inferred by repeat-match
403 (MUMMER v3.23)⁴⁵ using default options on the *N. gonorrhoeae* FA1090 strain
404 genome were masked in order to minimize the occurrence of false-positive SNPs.
405 Gblocks v0.91b⁴⁶ was run on the resulting alignment to further clean poorly aligned
406 regions that may introduce noise to phylogenetic analyses. Gblocks was run by
407 allowing gap positions in up to 50% of the sequences, with a minimum block length
408 of 10 and 8 as maximum number of contiguous non-conserved positions. The
409 resulting 1,211,180 bp clean alignment included 15,562 variable sites, identified by
410 snp-sites⁴⁷, and was used for population structure analysis, phylogenetic inference and
411 divergence estimation. Genetic clusters were obtained from the non-recombining
412 alignment using hierBAPS v7.3⁴⁸.

413 The final SNP alignment was used for Maximum Likelihood (ML) phylogenetic tree
414 reconstruction using RAxML v7.8.6⁴⁹ under the GTRGAMMA model of nucleotide
415 substitution and 100 bootstrap replicates. An algorithm called BOOSTER v0.1.2⁵⁰
416 was also used to obtain an enhanced estimate of node support values (Supplementary
417 Note). Ancestral states of all SNPs before recombination removal were reconstructed
418 onto the resulting phylogenetic tree using ACCTRAN transformation in python
419 (<http://scikit-learn.org>). Homoplastic sites in the terminal branches of the tree were
420 detected for the whole tree and the two main lineages. It is important to note that
421 Gubbins removed 97% (33,026/34,034) of those homoplastic sites, minimizing their
422 effect on subsequent analyses.

423 **Genome de novo assembly and *in silico* typing**

424 In parallel to the mapping process, reads were assembled using the assembly and
425 improvement iterative pipeline developed at the Wellcome Sanger Institute⁵¹. Multi-
426 locus sequence typing (MLST)⁵² and *N. gonorrhoeae* multi-antigen sequence typing
427 (NG-MAST)⁵³ typing schemes were retrieved directly from the sequences using the
428 `get_sequence_type` script ([https://github.com/sanger-
429 pathogens/mlst_check/blob/master/bin/get_sequence_type](https://github.com/sanger-pathogens/mlst_check/blob/master/bin/get_sequence_type)) and NGMASTER v0.4⁵⁴,
430 respectively. The presence of the β -lactamase (*bla*_{TEM}) and tetracycline (*tetM*) genes
431 on plasmids and the Gonococcal Genomic Island (GGI) were detected using BLAST
432 v2.3.0+⁵⁵ and ARIBA v2.4⁵⁶. Typing was performed for the conjugative plasmid and
433 the *bla*_{TEM} plasmids using an *in-silico* PCR
434 (https://github.com/simonrharris/in_silico_pcr). Primers to differentiate between the
435 Dutch and the American *tetM*-containing plasmids were obtained from Turner *et al*,
436 1999⁵⁷. To type the *bla*_{TEM} plasmids, primers described in Dillon *et al*, 1999⁵⁸ were
437 used and the resulting amplicon sizes evaluated to differentiate among the Asia,
438 Africa and Toronto/Rio types (Supplementary Table 1).

439 **Analysis of population structure**

440 In order to study population structure from the resulting alignment, the *poppr* R
441 package v2.5.0⁵⁹ was used to perform an AMOVA test on the non-recombining
442 section of the genome⁶⁰ on three geographical hierarchies: continent, subcontinent and
443 country, to calculate the percentage of observed variance within and between groups.
444 In order to test if the observed differentiation between continents was significant, a
445 randomization test (N = 1000 permutations) was performed using the *randtest*
446 function from the *ade4* R package v1.7-11⁶¹, which randomly permutes the population
447 structure to assess the observed signal of differentiation.

448 To further study population structure, a Discriminant Analysis of Principal
449 Components (DAPC, *adegenet* R package v2.1.1)^{62,63} analysis was applied to the non-
450 recombining 15,562 SNPs alignment using continent of isolation as population. The
451 procedure followed by this multivariate discriminant analysis tries to maximize the
452 discrimination between the predefined groups. To avoid over-fitting and keep enough
453 discrimination power, the optimal number of principal components (PC) to retain was
454 determined using the a-score optimization test, which uses randomized groups to
455 calculate the proportion of successful reassignments corrected by the number of
456 retained PCs. This methodology resulted in 83 principal components as optimal to
457 keep a balance between discrimination power and over-fitting. Prior assignment to
458 continents was randomized and the DAPC analysis repeated to confirm that the
459 observed separation among clusters does not occur by chance. Four discriminant
460 functions were kept for the analysis, considering the number of variables was 5
461 continents. A Multivariate Analysis of Variance (MANOVA) test⁶⁴ was applied to test
462 whether there were differences between the means of the different clusters
463 (continents) on the discriminant clustering. Wilks' lambda was used to test the
464 significance of this MANOVA test. Resulting p-values were adjusted for multiple
465 tests using False Discovery Rate (FDR)⁶⁵.

466 DAPC derives group membership probabilities from the retained discriminant
467 functions. These results were used to evaluate the level of admixture in the dataset
468 under study. Isolates assigned with >80% posterior probability to a continent different
469 from the prior assignment were interpreted as intercontinental transmission cases.
470 Isolates with <80% of posterior assignment to any of the continents were considered
471 as admixed.

472 **Divergence estimation with LSD and BEAST**

473 Year of isolation for all the strains was used to calculate a root-to-tip distance
474 regression versus time to make an estimate of the temporal signal in the data. To do
475 this, a “clustered permutation” approach was used as described^{66,67}, which considers
476 potential confounding temporal and genetic structure in the data. A total of 1000
477 permutations were performed with this method by randomizing the isolation dates in
478 order to get an estimate of the statistical significance of the results. This procedure
479 was applied to the whole dataset and to the different BAPS clusters.

480 In order to get an estimate of the substitution rate and the Most Recent Common
481 Ancestor (tMRCA) for the whole *N. gonorrhoeae* global collection, the Least-Square
482 Dating (LSD) v0.3 software⁶⁸ was used. This approach has been shown to be robust to
483 uncorrelated changes of the molecular clock and to give similar results to BEAST⁶⁸.
484 In order to compare the performance between LSD and BEAST, individual BAPS
485 clusters were used. Specifically, Bayesian approximation using BEAST v1.8.2⁶⁹ was
486 run to estimate the tMRCA and the substitution rate of the genetic clusters determined
487 by hierBAPS⁴⁸. Three chains were run per cluster up to 100 million generations by
488 using a GTRGAMMA model of nucleotide substitution with 4 categories, strict
489 molecular clock with a diffuse gamma distribution (shape 0.001 and scale 1000) and a
490 constant population size as priors. Default priors were used. For models using relaxed
491 clocks, the ucln mean prior was set to a gamma distribution with shape 0.001 and
492 scale 1000. The same configuration was used to run two different chains with the
493 whole collection, which did not reach proper convergence because of the complexity
494 of the dataset. LSD was also run for the BAPS clusters that reached convergence in
495 BEAST and the results compared (Supplementary Note). The obtained tMRCA was
496 further confirmed using the Wald statistic (Supplementary Note).

497 **Phylogeography with stochastic character mapping**

498 The continent of isolation was used as a discrete trait to study changes in the
499 distribution over the phylogenetic tree using treeBreaker v1.1⁷⁰
500 (<https://github.com/ansariazim/treeBreaker>). This program calculates the per-branch
501 posterior probability of having a change in the distribution of a discrete character.

502 Stochastic character mapping⁷¹ with a symmetric transition model (SYM) was applied
503 to the phylogenetic tree to get posterior probabilities for each continent at every node
504 using the *make.simmap* function implemented in the *phytools* R package v0.6-44⁷².
505 Given a phylogeny and a set of tip states (“continent” in this study), this method uses
506 an MCMC approach to sample character histories from their posterior probability
507 distribution consistent with those states given a model of evolution for the mapped
508 character⁷³. This procedure was applied to the prior and posterior continent
509 assignments excluding the admixed individuals to reduce noise from the prior
510 metadata.

511 An extra set of 236 isolates from the US¹⁶ was added to the global collection and the
512 phylogeographic analyses repeated to confirm our results. To avoid biases due to
513 different number of strains from different continents, the combined datasets were
514 down sampled 100 times to N=41 (the maximum number of strains with a posterior
515 assignment to the continent with the least number of strains, Africa), except for
516 Oceania, from which there is not more data in the public databases to include,
517 generating 100 subtrees. Ten stochastic maps were inferred for each of those subtrees
518 and posteriorly combined using *phytools*⁷², resulting in a total of 1000 evaluated
519 maps.

520 **Evolution of antimicrobial resistance determinants**

521 Mutations conferring antimicrobial resistance in known genetic determinants (*16S*
522 rRNA, *23S* rRNA, *rpoB*, *rpsJ*, *mtrR*, *folP*, *gyrA*, *parC*, *parE*, *penA*, *ponA* and *porB*) as
523 well as the presence of the β -lactamase (*bla*_{TEM}) and *tetM* genes⁷⁴ were obtained for
524 the 413 strains sequenced in this study using ARIBA v2.4⁵⁶ (Supplementary Table 1)
525 with a custom database created for *N. gonorrhoeae* (precomputed version available in
526 https://github.com/martinghunt/ariba-publication/tree/master/N_gonorrhoeae/Ref).
527 ARIBA searches for the presence of particular AMR genes and associated known
528 mutations using reference sequences as a subject database and the fastq files of the
529 strains in the collection as queries.

530

531 Subsequent analyses were performed using R v3.1.2⁷⁵: the occurrence of different
532 antimicrobial resistance determinants before and after the change point detected by
533 treeBreaker on the distribution of continents and the distribution of MIC values for
534 penicillin G, tetracycline, ciprofloxacin, ceftriaxone, cefixime and azithromycin
535 against different combinations of the genetic determinants. The average number of
536 changes from a susceptible to a resistant state was inferred for each of the resistant
537 determinants under study in both lineages A and B independently using stochastic
538 mapping (100 simulations) with the *make.simmap* function implemented in the
539 *phytools* R package⁷². The inferred number was corrected by the number of edges in
540 each lineage: $n = 586$ in lineage A and $n = 236$ in lineage B.

541 As an approximation of studying the risk groups characterizing the defined A and B
542 lineages, 263 isolates from the global collection with information on the gender of the
543 patient were combined with 376 from two North American studies with this
544 information available^{17,26}. ARIBA v2.4 was run for the extra isolates and the obtained
545 results joined with the ones from the global dataset. Metadata on gender and number

546 of total resistance determinants detected per strain was plotted on a recombination-
547 free phylogenetic tree obtained as described above and differences between the two
548 lineages evaluated using two-sided tests for equality of proportions with continuity
549 correction (*prop.test*) and two-sided Wilcoxon rank sum tests (*wilcox.test*) with R⁷⁵.

550 In order to confirm our hypothesis on the two lineages being associated to different
551 risk groups and antimicrobial susceptibilities, we downloaded the phylogenetic tree of
552 1,054 European isolates from a 2013 Euro-GASP survey from the Pathogenwatch *N.*
553 *gonorrhoeae* scheme²⁷ (<https://pathogen.watch/collection/eurogasp2013>). The
554 breakpoint between lineages A and B was detected by obtaining a combined core
555 genome alignment of this and our global set (1,473 strains in total) using Roary
556 v3.11.3⁷⁶ and running a pseudo-maximum likelihood tree with the resulting SNPs⁴⁷
557 with FastTree v2.1.9⁷⁷.

558 **Visualization**

559 Visualization of metadata in phylogenetic trees was performed using iTOL v4⁷⁸.
560 Mapping of the and presence/absence of antimicrobial resistance determinants
561 detected with ARIBA were visualized using Phandango v1.1.0⁷⁹.

562 **Code availability**

563 The custom Perl script to convert xmfa to fasta files (*xmfa2fas.pl*) is available from
564 <https://gist.github.com/leosanbu/>.

565 **Data availability**

566 All genomic data has been deposited in the European Nucleotide Archive (ENA)
567 under project number PRJEB4024. Accession numbers for the particular strains are

568 indicated in Supplementary Table 1. All other data supporting the findings of this
569 study are available within the paper and its supplementary information files.

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584 **Author contributions**

585 SRH, MU, SDB and JP conceived and managed the study. LSB and SRH analysed the
586 data and drafted the manuscript. DG, MU and MO cultured isolates and extracted
587 DNA. LSB, SRH, MU and YG interpreted the data. JC provided statistical analysis.
588 RF advised on historical interpretation. All authors contributed to the writing of the
589 manuscript.

590 **Competing interests**

591 The authors declare no competing interests.

592 **Author information**

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847 **Figure legends**

848 **Figure 1 | Geographic and phylogenetic distribution of *Neisseria gonorrhoeae***

849 **isolates.** The map shows the countries of isolation of the strains in the collection

850 coloured by continent. The phylogeny shows the relationship among the strains

851 (n=419). Coloured strips show (from inside out) the continent of isolation (CONT),

852 year and further typing information (BAPS clusters, NG-MAST, MLST and *penA*

853 types; colours represent different types or alleles). Mosaic *penA* types are marked in

854 the outermost black strip.

855 **Figure 2 | Global phylogeographic analysis.** The dated maximum likelihood

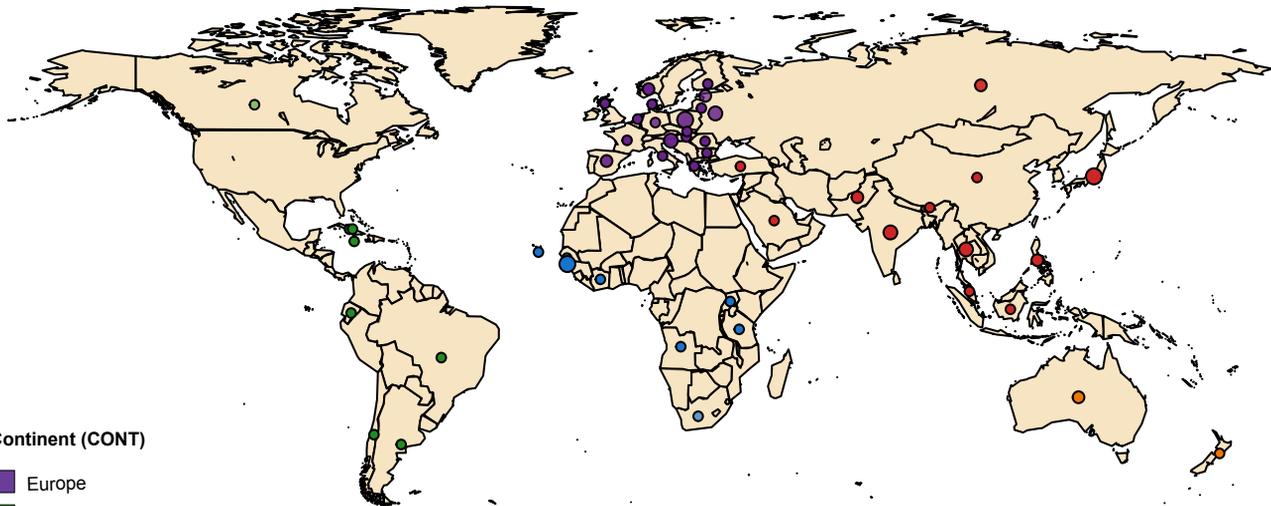
856 phylogenetic tree shows the posterior probabilities for each continent in every node

857 (pie charts). Continents of isolation (prior) are shown as metadata next to the tips
858 (n=419). The top left legend contains information on the proportion of strains from
859 different continents before (n=121) and after (n=298) the introduction to Asia.

860 **Figure 3 | Evolution of antimicrobial resistance genetic determinants in *Neisseria***
861 ***gonorrhoeae*.** Antimicrobial resistance determinants (chromosomal mutations and
862 presence/absence of the *tetM* and *bla_{TEM}* genes on plasmids (p)) detected in the new
863 413 strains included in this study using ARIBA⁵⁶ and mapped on the maximum
864 likelihood dated tree. Purple represents presence of the determinant and orange its
865 absence. Grey indicates isolates possessing *porB1a* rather than *porB1b*. The two main
866 lineages are marked as A (n=294) and B (n=119). The left graph shows the proportion
867 of strains with each resistance determinant for both lineages. Statistical significance
868 from a two-sided test for equality of proportions is also shown in the graph with
869 asterisks. ****p-value<0.0001, ***p-value<0.001, **p-value<0.01, *p-value<0.05.

870 **Figure 4 | Characterization of the lineages of *Neisseria gonorrhoeae*.** Distribution
871 of the proportions of homoplastic sites in all terminal (a) and short terminal branches
872 (<=100 SNPs) (b) in lineages A (n=298), B (n=121) and all strains (n=419)
873 represented as boxplots. Each point represents the proportion of homoplasies in one
874 branch drawn from the total variation found in that branch. Horizontal box lines
875 represent the first quartile, the median and the third quartile. Whiskers extend from
876 the first quartile – 1.5x the interquartile range and the third quartile + 1.5x the
877 interquartile range. Statistical significance between lineages A and B was assessed
878 using a two-sided Wilcoxon test and shown as asterisks. (c) Distribution of the total
879 number of antimicrobial resistance genetic determinants in the strains of each lineage
880 as detected using ARIBA⁵⁶ (lineage A n=294; lineage B n=119). (d) Proportion of
881 strains isolated from female (F, n=114) and male (M, n=566) patients in each lineage

882 obtained from combining the global dataset with 376 isolates from two North-
883 American genomic studies^{17,26} (total n=639; lineage A n=503; lineage B n=136).
884 Asterisks show the significance level from a two-sided test for equality of proportions
885 with continuity correction (c-d). ****p-value<0.0001, ***p-value<0.001, **p-
886 value<0.01.

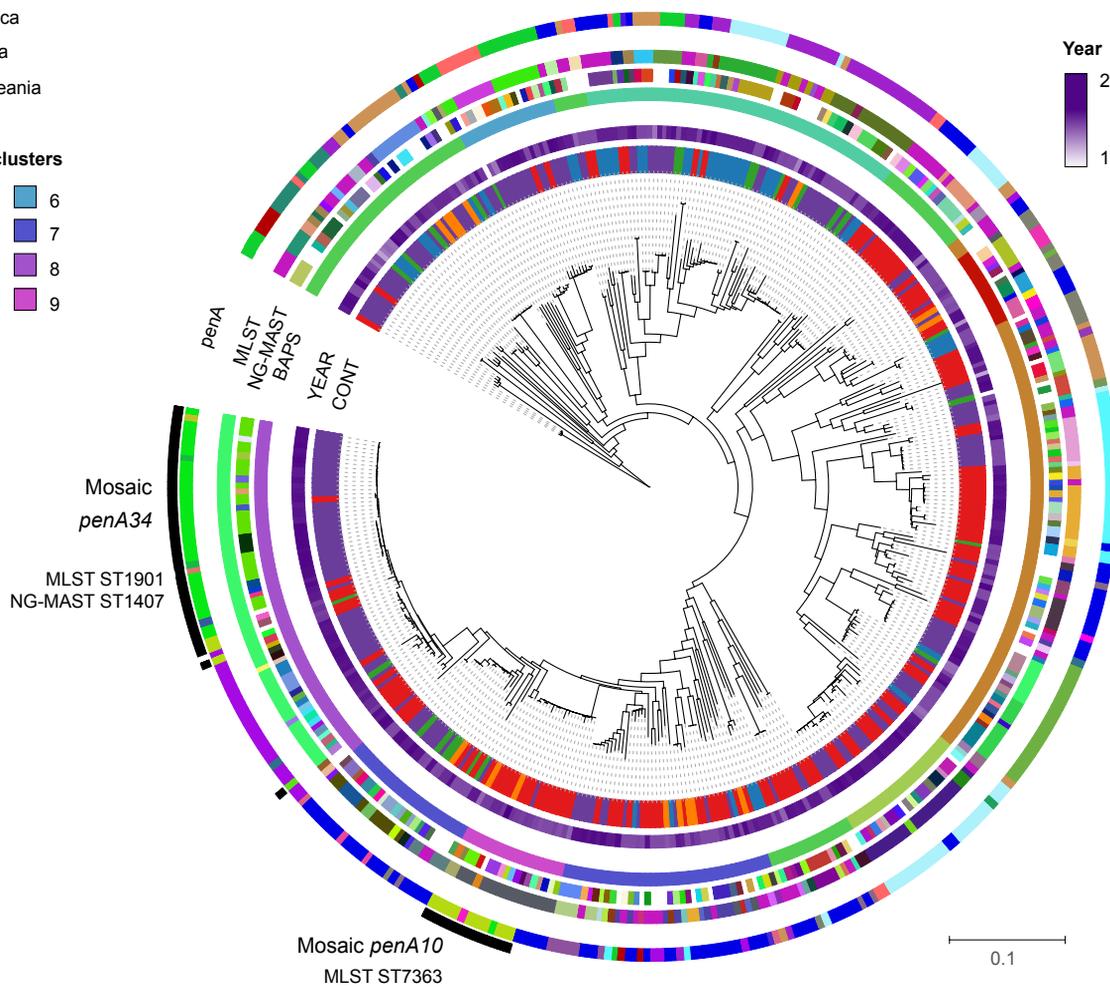
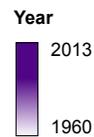


Continent (CONT)

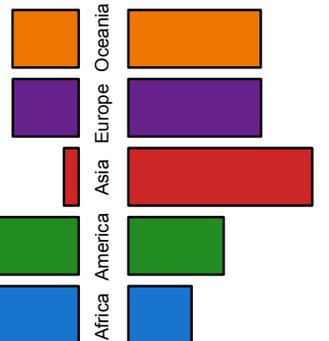
- Europe
- America
- Africa
- Asia
- Oceania

BAPS clusters

- | | |
|---|--|
| ■ 1 | ■ 6 |
| ■ 2 | ■ 7 |
| ■ 3 | ■ 8 |
| ■ 4 | ■ 9 |
| ■ 5 | |



Before After



100 80 60 40 20 0 0 20 40 60 80 100

Percentage of strains (%)

Introduction to Asia

1617.6
[1578.4 - 1649.2]

TMRCA

1589
[1544.2 - 1622.9]

