Simulation of remanent, transient, and induced first-order reversal curve (FORC) diagrams for interacting particles with uniaxial, cubic, and hexagonal anisotropy

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Key Points:

• First-order reversal curve (FORC) diagrams were simulated numerically for an extended set of FORC diagram types.

• Diagnostic features in remanent, transient, and induced FORCs for interacting uniaxial, cubic, and hexagonal anisotropy are predicted.

• Results compare favorably with experimental data and provide a theoretical framework for interpreting these FORC diagram types.
Abstract

The diagnostic power of first-order reversal curve (FORC) diagrams has recently been enhanced by an extended measurement protocol that yields three additional FORC-like diagrams: the remanent (remFORC), induced (iFORC), and transient (tFORC) diagrams. Here we present micromagnetic simulations using this extended protocol, including numerical predictions of remFORC, iFORC, and tFORC signatures for particle ensembles relevant to rock magnetism. Simulations are presented for randomly packed single-domain (SD) particles with uniaxial, cubic, and hexagonal anisotropy, and for chains of uniaxial SD particles. Non-interacting particles have zero tFORC, but distinct remFORC and iFORC signals, that provide enhanced discrimination between uniaxial, cubic, and hexagonal anisotropy types. Increasing interactions lessen the ability to discriminate between uniaxial and cubic anisotropy but reproduces a change in the pattern of positive and negative iFORC signals observed for SD-dominated versus vortex-dominated samples. Interactions in SD particles lead to the emergence of a bi-lobate tFORC distribution, which is related to formation of flux-closure in super-vortex states. A predicted iFORC signal associated with collapsed chains is observed in experimental data and may aid magneto-fossil identification in sediments. Asymmetric FORC and FORC-like distributions for hexagonal anisotropy are explained by the availability of multiple easy axes within the basal plane. A transition to uniaxial switching occurs below a critical value of the out-of-plane/in-plane anisotropy ratio, which may allow FORC diagrams to provide insight into the stress state of hexagonal minerals, such as hematite.

1. Introduction

First-order reversal curve (FORC) diagrams provide a powerful method to characterize the distribution of domain states in natural samples (Pike et al., 1999; Roberts et al., 2000; Roberts et al., 2014). The advantage of FORCs lies in the two-dimensional nature of the FORC diagram: the horizontal axis provides information related to the coercivity distribution, while the vertical axis provides additional sensitivity to the presence of viscous superparamagnetic (SP), single-domain (SD), single-vortex (SV), multi-vortex (MV), and multi-domain (MD) states. FORC diagrams also provide a way to discriminate between minerals with different anisotropy types and to detect the presence of inter-particle magnetostatic interactions. Combined with recently developed methods to quantify FORC diagrams of multi-component mixtures (Ludwig et al., 2013; Lascu et al., 2015; Harrison et al., 2018), FORC diagrams are an essential part of the rock-magnetic toolkit and help to alleviate some of the ambiguities associated with popular parametric-ratio methods for domain state classification (Day et al., 1977; Roberts et al., 2018a, 2019).
Our ability to interpret FORC diagrams relies heavily on empirical observations of well-characterized samples made over the last 20 years (see Roberts et al. 2014, and references therein for a review of this work). This empirical knowledge is further supported by theory and simulations, much of which is based on robust micromagnetic principles (Pike et al., 2001a, b; Carvallo et al., 2003; Muxworthy et al., 2004; Newell, 2005; Egli, 2006; Egli & Winklhofer, 2014; Harrison & Lascu, 2014; Roberts et al., 2017; Chang et al., 2018; Lanci & Kent, 2018; Lascu et al., 2018; Valdez-Grijalva et al., 2018; Valdez-Grijalva & Muxworthy, 2019). Despite this, FORC diagram interpretation is not always straightforward or unambiguous. Ambiguity can be caused by overlapping contributions associated with different aspects of the magnetization process (e.g., field-induced switching, thermal relaxation, coherent rotation, domain wall movement, vortex nucleation and annihilation, etc.). To address this issue, a new extended FORC measurement protocol has recently been developed (Zhao et al. 2017), which enables the FORC signal to be expressed as the sum of three separate FORC-like signals: the remanent FORC (remFORC), induced FORC (iFORC), and transient FORC (tFORC) components. The remFORC diagram contains information about irreversible remanent state changes of a sample. Such changes are associated with irreversible magnetization switching between easy axes (for non-interacting particles) or between local energy minimum states (for strongly interacting particles). The iFORC diagram contains information about reversible magnetization changes, such as spin rotation or domain wall bowing. The tFORC diagram contains information about irreversible switching driven purely by self-demagnetizing or interaction fields (as opposed to switching driven by applied magnetic field reversal) and viscous magnetization changes driven by thermal relaxation. Separating the FORC signal into these three components provides additional diagnostic power because some magnetization processes are either dominantly or exclusively partitioned into one or other of the remFORC, iFORC, and tFORC signals, which makes them easier to isolate and quantify. This approach has led to wider recognition of the importance of, for example, vortex states in rocks, sediments, and soils (Roberts et al., 2017; Hu et al., 2018).

Our current understanding of the information contained within remFORC, iFORC, and tFORC signals is largely empirical, and detailed micromagnetic simulations of the expected form of the resulting FORC-like diagrams have yet to be performed. In this paper we seek to address this gap by adapting the FORCulator micromagnetic simulation method of Harrison & Lascu (2014) to include the extended measurement protocol of Zhao et al. (2017), thereby enabling prediction of the form of remFORC, iFORC, and tFORC signals for particle ensembles relevant to rock magnetism. The method is applied to: 1) non-interacting and strongly interacting SD particle ensembles with uniaxial or cubic anisotropy (representing, for example, SD magnetite or greigite in dispersed or
clustered arrangements); 2) collapsed chains of uniaxial SD particles (representing bacterial
magnetofossils); and 3) non-interacting SD particle ensembles with hexagonal basal-plane
anisotropy (representing pseudo-hexagonal magnetic minerals, such as hematite or pyrrhotite). A
secondary motivation for this study is to contribute to development of a set of reference FORC,
remFORC, iFORC, and tFORC diagrams for known particle ensembles. Such a dataset could
provide a qualitative framework to aid experimental data interpretation and could assist in training
machine-learning algorithms to automatically recognize diagnostic features of FORC diagrams. Use
of machine learning for pattern recognition is well known in many fields of science, medicine, and
engineering (Bishop 2006), but machine learning has not been applied to automated FORC diagram
classification. A major limitation in developing such an approach is the lack of a training set of
FORC diagrams that can be assigned to a given category of magnetic behavior. Provided that they
are sufficiently representative of experimental results, simulated FORC diagrams provide an
attractive method to generate such training data because assignment to a given magnetic behavior
class can be made unambiguously as the domain state and particle arrangement of magnetic
particles are known exactly for simulations.

2. Methods

2.1. The extended FORC measurement protocol

The extended FORC measurement protocol of Zhao et al. (2017) is illustrated in Fig. 1.
Numbered reference points are used to illustrate measurement sequences required to obtain FORC,
remFORC, and transient-free (tIFORC) data, from which the iFORC and tFORC signals are
derived. The protocol begins with a standard FORC measurement (Pike et al., 1999; Roberts et al.,
2000). A saturating field \( B_{\text{sat}} \) is applied (measurement point 1) and is then decreased to a defined
reversal field \( B_a \) (e.g. measurement points 2, 3, or 5). A FORC is acquired as the measurement
field \( B_a \) is swept from \( B_a \) back to \( B_{\text{sat}} \). The process is repeated to obtain FORCs at a number of
reversal fields spanning the range \(-B_{\text{sat}} \leq B_a \leq B_{\text{sat}}\) to yield a magnetization surface, \( M_{\text{FORC}}(B_a, B_b) \).
The measurement sequence 1-5-6-7 illustrates part of a FORC with reversal field \( B_a = B_y \) and
measurement fields \( B_b = B_y, B_z \) and 0. The measurement sequence 1-3-4 represents part of a special
FORC, termed a ‘zero FORC’ (Fabian, 2003; Yu and Tauxe, 2005), which has reversal field \( B_a = 0 \).

After a set of standard FORCs has been measured, a second set of measurements is
performed to obtain two additional FORC-like data sets: remFORC and tIFORC data. The
remFORC is a type of second-order reversal curve (SORC), in which a zero-field measurement
point is inserted between each in-field measurement point of the standard FORC protocol (Stancu et
al., 2006; Winklhofer et al., 2008). A saturating field is applied to the sample (measurement point 1) and is then decreased to zero (measurement point 3). The field is then either increased (e.g. measurement point 4) or decreased (e.g. measurement point 5) to the desired reversal field and swept back to zero to obtain the first point in the remFORC, \( M_{\text{rem}}(B_a, B_b) \). For example, measurement sequence 1-3-5-7 yields \( M_{\text{rem}}(B_y, B_z) \). Subsequent points in the remFORC are obtained by alternately sweeping the field from zero to the next measurement field, \( B_a < B_b \leq B_{\text{sat}} \), and then back to zero. For example, the sequence 7-8-7 would yield \( M_{\text{rem}}(B_y, B_z) \).

The term transient hysteresis was introduced by Fabian (2003) to define the difference in magnetization between a point on the upper branch of the hysteresis loop (e.g. measurement point 2) and the corresponding point on the zero FORC (e.g. measurement point 4). Transient hysteresis is associated with irreversible magnetization changes driven by self-demagnetizing fields as the applied field is decreased from positive saturation to zero. By definition, transient hysteresis is zero when the field is zero, and remains zero as the field is ramped back in the same direction from which remanence was approached. Hence, magnetization curves that start at a remanence state approached from the positive (negative) field direction, and that are measured as a function of increasing positive (negative) field, are referred to as transient-free curves (Zhao et al. 2017), as shown in red in Fig. 1. According to the remFORC protocol described above, every in-field measurement that follows a zero-field measurement corresponds to a point on a transient-free curve (e.g. measurement points 4 and 8). The remFORC protocol inherently contains, therefore, a measurement of the transient-free magnetization, \( M_t(B_a, B_b) \).

Any point on the FORC magnetization surface, \( M_{\text{FORC}}(B_a, B_b) \), can be described as the sum of three components (Fabian & von Dobeneck, 1997; Fabian, 2003; Yu & Tauxe, 2005):

\[
M_{\text{FORC}}(B_a, B_b) = M_{\text{rem}}(B_a, B_b) + M_t(B_a, B_b) + M_t(B_a, B_b),
\]

(1)

where \( M_t(B_a, B_b) \) is an induced magnetization component and \( M_t(B_a, B_b) \) is the transient hysteresis magnetization. Here we follow Zhao et al. (2017) by including within the definition of \( M_t(B_a, B_b) \) transient magnetization caused by thermal relaxation effects. From Fig. 1, it can be seen that:

\[
M_t(B_a, B_b) = M_{\text{rem}}(B_a, B_b) - M_t(B_a, B_b),
\]

(2)

\[
M_t(B_a, B_b) = M_{\text{FORC}}(B_a, B_b) - M_t(B_a, B_b).
\]

(3)
From the four magnetization surfaces \( (M_{\text{FORC}}, M_{\text{rem}}, M_i, \text{and } M_t) \) the corresponding FORC, remFORC, iFORC, and tFORC distributions are defined as:

\[
\rho_{\text{FORC}} = -\frac{1}{2} \frac{\partial^2 M_{\text{FORC}}}{\partial B_a \partial B_b},
\]

\[
\rho_{\text{rem}} = -\frac{1}{2} \frac{\partial^2 M_{\text{rem}}}{\partial B_a \partial B_b},
\]

\[
\rho_i = -\frac{1}{2} \frac{\partial^2 M_i}{\partial B_a \partial B_b}, \text{ and}
\]

\[
\rho_t = -\frac{1}{2} \frac{\partial^2 M_t}{\partial B_a \partial B_b}.
\]

2.2. FORCulator micromagnetic simulations

FORCulator is a micromagnetic tool for simulating FORC diagrams for ensembles of interacting SD particles (Harrison & Lascu 2014). We provide here a brief overview of the method, together with a description of the changes required to incorporate the extended measurement protocol and particles with hexagonal anisotropy. Other details of the theory and method used to perform FORC simulations are unchanged from those described by Harrison & Lascu (2014).

2.2.1. Overview of the micromagnetic method

Particles are treated as freely rotating point dipoles with a specified magnetic moment and anisotropy type (either uniaxial, cubic, or hexagonal). The magnetization vector for the \( i \)th particle is denoted \( \vec{m}_i \). Each particle experiences an effective magnetic field, \( \vec{B}_i^{\text{eff}} \), which is the sum of the applied field, \( \vec{B} \), an anisotropy field, \( \vec{B}_i^{\text{ani}} \), and a magnetostatic interaction field, \( \vec{B}_i^{\text{int}} \), generated by all other particles in the ensemble. A local energy minimum (LEM) state of the ensemble occurs when all \( \vec{m}_i \) are parallel to their corresponding \( \vec{B}_i^{\text{eff}} \). For any given magnetic configuration of the ensemble, \( \vec{m}_i \) deviates from \( \vec{B}_i^{\text{eff}} \) by an angle \( \delta_i \), and experiences a corresponding torque, \( \tau_i = \vec{m}_i \times \vec{B}_i^{\text{eff}} \), that causes the moment to precess around the effective field. Dynamic time-integration of the Landau-Lifshitz-Gilbert (LLG) equation provides the most physically meaningful pathway to the nearest LEM state, but it is too slow to enable the thousands of field steps required to be calculated on a practical timescale. Instead, energy minimization is sought by rotating \( \vec{m}_i \) directly toward \( \vec{B}_i^{\text{eff}} \) by an amount \( f \delta \), where \( 0 < f < 1 \) is a damping factor. In favorable cases, \( f \) values close to one allow rapid convergence of the system toward an LEM. However, for some anisotropy
types, e.g. cubic anisotropy with <100> easy axes and hexagonal anisotropy, large \( f \) leads to oscillatory solutions that do not converge. Reducing \( f \) in those cases leads to stable solutions, at the expense of increasing the number of iterations needed to achieve convergence. Convergence is achieved when the mean magnitude of the torque is below a certain value:

\[
\tau = \frac{1}{N} \sum_{i=1}^{N} |m_i \times B_i^{\text{eff}}| < C_{\text{lim}}.\tag{8}
\]

A value of \( C_{\text{lim}} = 10^{-4} \) was used throughout this paper.

**2.2.2. Incorporation of hexagonal anisotropy**

As well as the uniaxial and cubic anisotropy cases explored by Harrison & Lascu (2014), we include here simulations for hexagonal anisotropy within a basal plane, which is relevant for hematite or pyrrhotite. Unit vectors \( \mathbf{c}_x, \mathbf{c}_y, \) and \( \mathbf{c}_z \) represent three orthogonal reference axes, with \( \mathbf{c}_x \) and \( \mathbf{c}_y \) lying within the basal plane and \( \mathbf{c}_z \) lying normal to the basal plane. A uniaxial out-of-plane (oop) anisotropy energy is defined as:

\[
E_{\text{oop}} = K_u \sin^2(\theta),\tag{9}
\]

where \( \theta \) is the angle between \( m_i \) and \( \mathbf{c}_z \). For large negative \( K_u \) values, energy is minimized when \( \theta = 90^\circ \), which forces moments to remain close to the \( \mathbf{c}_x-\mathbf{c}_y \) plane. Within that plane, moments are exposed to a hexagonal in-plane (ip) anisotropy energy of the form:

\[
E_{\text{ip}} = K_H \cos(6\phi),\tag{10}
\]

where \( \phi \) is the azimuthal angle between \( m_i \) and \( \mathbf{c}_x \). Eqn. 10 describes anisotropy with 6-fold symmetry (six easy and six hard directions). Corresponding contributions to the effective field are:

\[
B_{\text{U}}^{\text{ani}} = \frac{2K_u}{M_s} m_z \mathbf{c}_z, \quad \text{and}
\]

\[
M_s = \sum_{i=1}^{N} m_i \times B_i^{\text{eff}}.\tag{11}
\]
\[ B_{H}^{\text{rem}} = -\frac{2K_H}{M_s} (6m^5_x - 60m^3_x m^2_y + 30m^4_x m^4_y)c_x - \frac{2K_H}{M_s} (-30m^4_x m^3_y + 60m^2_x m^5_y - 6m^5_y)c_y, \] (12)

where \( M_s \) is the saturation magnetization. For convenience, the anisotropy constants are specified using switching-field parameters \( B_u = 2K_u/M_s \) and \( B_H = 2K_H/M_s \). For positive \( B_H \), the easy axes lie at 30°, 90°, 150°, 210°, 270°, and 330° from \( e_x \), with hard axes at 0°, 60°, 120°, 180°, 240°, and 300°. Small damping factors of \( f = 0.02-0.06 \), with maximum iterations set to 1500, were used to obtain stable solutions for hexagonal simulations.

2.2.3. Incorporating the extended measurement protocol into FORCulator

The first stage of our simulations uses the method of Harrison & Lascu (2014) to simulate a conventional FORC diagram. Simulations were first performed as a function of field from \( B_{\text{sat}} \) to \( -B_{\text{sat}} \), to define the upper branch of the hysteresis loop and to store the magnetic configuration of the ensemble at each reversal field, \( B_a \). These stored configurations are used for the remFORC simulations, as discussed below. Simulations are then performed for each FORC by sweeping the field from \( B_a \) to \( B_{\text{sat}} \). For FORCs with \( B_a \leq 0 \) (e.g. measurement sequence 5-6-7 in Fig. 1), the measurement field, \( B_0 \), passes through zero, which allows a record to be kept of the magnetic configuration of the ensemble in each back-field remanent state (e.g. measurement point 7 in Fig. 1). These stored configurations are also used for the remFORC simulations, as discussed below. For remFORC simulations, the protocols used for \( B_a \geq 0 \) and \( B_a < 0 \) differ slightly. For \( B_a \geq 0 \), initialization of each remFORC simulation is always the same, and corresponds to the stored magnetic configuration of the saturation remanent state (measurement point 3 in Fig. 1). The simulation then proceeds by alternately setting the field to the desired measurement field (ttFORC) and then back to zero (remFORC). For \( B_a < 0 \), there are two options for initializing the simulation. In Option 1, the simulation is initialized using the stored magnetic configuration of the ensemble at the reversal field (e.g. measurement point 5 in Fig. 1). The simulation then proceeds by alternately setting the field to zero (remFORC) and then to the desired measurement field (ttFORC). In Option 2, the simulation is initialized with the stored magnetic configuration of the back-field remanence state (e.g. point 7 in Fig. 1). The simulation then proceeds by alternately setting the field to the desired measurement field (ttFORC) and then back to zero (remFORC).

Note that the remFORC simulation protocol makes repeated large applied field increments and decrements. The LEM state obtained after a single large field increment or decrement may
differ significantly from that obtained by gradually stepping the field to the same value. Although small field steps are always desirable in micromagnetic simulations, it would be prohibitively expensive computationally to sweep the measurement field and back again repeatedly. Possible errors and artefacts related to use of large field increments are considered in Section 4.2.

The extended measurement protocol of Zhao et al. (2017) employs a variable resolution grid of reversal and measurement fields. An irregular grid provides more efficient sampling of the FORC space, by focusing more measurements in regions where the magnetization changes most rapidly (Zhao et al., 2015). The extended protocol does not require use of irregular grids; we here adopt a regular grid with constant field step sizes used for both reversal fields and measurement fields. Choice of a regular grid is driven primarily by the desire to use consistent sampling for all simulations. As long as the FORC space is sufficiently well sampled in both cases, the choice of irregular versus regular grids has no impact on comparisons of measured versus simulated data.

2.2.4. Simulation and smoothing parameters used

All simulations were performed using 151 FORCs, $B_{\text{sat}} = 0.15$ T, and a uniform field-step size of 2 mT for both $B_a$ and $B_b$. Particles were assigned a magnetic moment equivalent to that of a magnetite sphere with 100 nm diameter. Interacting clusters of 50-500 randomly oriented particles were created using either random (volume packing fractions 0 to 40%) or face-centered-cubic (fcc) arrangements. For fcc arrangements, center-center separations of 110 nm and 100 nm were used, which correspond to packing fractions of 56% and 74%, respectively. Chain configurations were created using the constrained, self-avoiding random walk procedure of Harrison & Lascu (2014). Chain collapse is defined by the chain collapse factor $0 < c < 1$, where $c = 0$ corresponds to perfectly straight chains and $c = 1$ corresponds to the most collapsed chain. Particle separations within chains were 110 nm, and uniaxial anisotropy axes were aligned with the chain axis. Chains were oriented randomly and do not interact magnetically with each other. For uniaxial anisotropy simulations, particles were assigned random switching fields with log-normal distribution:

$$\rho(B_u) = \frac{1}{\sigma \sqrt{2\pi}} \exp \left[ -\frac{1}{2} \left( \frac{\ln(B_u)}{\sigma} \right)^2 \right],$$  \hspace{1cm} (13)

with $\sigma = 0.5$ and $\beta = 20$ (Harrison & Lascu, 2014). These parameters yield a coercivity distribution typical of those encountered in magnetite-bearing rocks. For cubic anisotropy simulations, switching-field parameters $B_C = 3B_U$ were used, so that resulting coercivity distributions are
roughly comparable to uniaxial simulations. For simulations with hexagonal anisotropy, all particles were assigned identical switching fields. Simulations with a range of $B_U/B_H$ ratios were performed. In each case, absolute $B_U$ and $B_H$ values were adjusted to yield roughly comparable coercivities to the uniaxial and cubic cases. Results represent the average of 100-200 simulations. FORC, remFORC, iFORC, and tFORC distributions (Eqns. 4-7) were processed in FORCinel (Harrison & Feinberg, 2008) using VARIFORC smoothing (Egli, 2013). Processed diagrams are presented using $B_a$, $B_b$ axes, rather than the more usual $B_c = (B_0-B_a)/2$, $B_u = (B_0+B_a)/2$ axes, to facilitate direct comparison of simulations with data presented by Zhao et al. (2017), Roberts et al. (2017), and Hu et al. (2018).

3. Results

Simulated FORCs, remFORCs, iFORCs, and tFORCs for non-interacting uniaxial, interacting uniaxial (packing fraction 40%), non-interacting cubic, interacting cubic (packing fraction 40%), straight uniaxial chains, and non-interacting hexagonal particles are shown in Figs. 2-5. Summaries of the evolution in processed FORC, remFORC, iFORC, and tFORC diagrams as a function of either packing fraction, chain collapse or $B_U/B_H$ ratio, are shown in Figs. 6-9. Key features of individual cases are highlighted below. Except for uniaxial chains, simulations were performed using Option 2 of the remFORC protocol described in Section 2.2.3.

3.1. Results for randomly-oriented uniaxial particles

3.1.1. Conventional FORCs

Processed FORC diagrams for a range of packing fractions are summarized in Fig. 6. Interactions cause obvious changes in the simulated FORC diagrams for packing fractions ≥1%. Interactions dominate FORC diagrams for higher packing fractions, representing particles that are clustered more strongly. The most extreme packing fraction used here (74%) represents a close-packed arrangement of spherical particles in contact, such as that found in framboïds. Standard FORC diagrams (Fig. 6a-d) reproduce the results of Harrison & Lascu (2014), with the well-established evolution from central ridge (Newell, 2005; Egli et al., 2010) to teardrop (Pike et al., 1999; Egli, 2006) to wishbone (Pike et al., 2005), to winged (Pike et al., 2001a) structures with increasing packing. A prominent negative feature along the negative $B_u$ axis is visible in all cases.
3.1.2. remFORCs

Comparison of non-interacting (Fig. 2b) and interacting (Fig. 2f) SD particles reveals several anomalous features in raw remFORCs for the interacting case. We observe \( M_{\text{rem}}(B_a < 0, B_\theta \leq B_\theta \leq 0) = \text{constant} = M_{\text{rem}}(B_a < 0, B_a \leq 0) \) in the non-interacting case (Fig. 2b), while an initial decrease in \( M_{\text{rem}}(B_a < 0, B_\theta \leq B_\theta \leq 0) \) with increasing measurement field is observed in the strongly interacting case (Fig. 2f). Processed remFORC diagrams (Fig. 6e-h) have almost exclusively positive distributions that are restricted almost entirely to the remanence region of the FORC space, which is bounded by the lines \( B_\theta < 0 \) and \( B_\theta > 0 \) (horizontal and vertical dotted lines, respectively, in Fig. 6e-h). The remFORC distribution begins as a positive central ridge for non-interacting particles (Fig. 6e), which broadens with increasing interactions until it reaches the bounds of the remanence region (Fig. 6f). Thereafter, no further broadening is possible and there is, instead, a gradual ‘squaring’ of the remFORC distribution (Fig. 6g) and a reduction in its maximum intensity (Fig. 6h) with increasing packing fraction. A weak positive signal along the \(-B_\theta\) axis (outside the remanence region) appears for strongly interacting particles (labelled \( P^* \) in Fig. 6h).

3.1.3. iFORCs

For non-interacting particles, \( M_i(B_a < 0, B_\theta) \) typically reaches a maximum in positive measurement fields, followed by a discontinuous change in slope at \( B_\theta = -B_\theta \) (Fig. 2c). Lower-branch-subtracted iFORCs have two negative peaks (Fig. 2c inset). For strongly interacting particles, the discontinuous change in slope at \( B_\theta = -B_\theta \) disappears, and a clear difference in slope at the beginning of each iFORC appears for positive reversal fields (Fig. 2g). Lower-branch-subtracted iFORCs have two positive peaks (Fig. 2g inset). Processed iFORC diagrams (Fig. 6i-l) have a mixture of positive (P) and negative (N) signals in distinct patterns that evolve with increasing packing fraction. For non-interacting particles (Fig. 6i), a clear N-P-N signature is evident, which comprises negative and positive background signals and a strong negative central ridge. For 5% packing (Fig. 6j), this N-P-N pattern is still visible, albeit with some disruption to the positive background signal and broadening of the negative central ridge. For 40% packing (Fig. 6k), a change to an N-P-N-P pattern is observed. The negative central ridge is no longer visible, and an additional positive signal (labelled \( P^* \) in Fig. 6k) appears along the positive \( B_\theta \) axis. The change from the N-P-N to N-P-N-P pattern coincides with the change from negative to positive lower-branch-subtracted iFORCs (Figs. 2c, 2g insets), with the crossover at \( \sim 10\% \) packing. For 74% packing (Fig. 6l), the iFORC features become weak and poorly resolved within simulation noise. The strongest feature remains the positive signal (\( P^* \)) along the positive \( B_\theta \) axis.
3.1.4. tFORCs

Non-interacting particles have zero tFORC signal (Fig. 2d). Interacting particles develop a double-peaked tFORC structure, with positive and negative peaks observed in positive and negative measurement fields, respectively (Fig. 2h). A clear difference in slope at the beginning of each tFORC appears for positive reversal fields (Fig. 2h). Processed tFORC diagrams (Fig. 6m-p) for interacting particles have a distinct positive bilobate pattern that occupies the two transient regions that bound the remanence region. The two lobes emerge gradually from the origin with increasing packing fraction. For 40% packing, each lobe forms a distinct peak with closed, closely spaced contours (Fig. 6o). A distinct negative region (labelled N in Fig. 6o) is visible along the negative $B_u$ axis, and a weak negative region (labelled $N^*$ in Fig. 6o) emerges along the positive $B_u$ axis, which mirrors the positive signal $P^*$ in the corresponding iFORC (Fig. 6k). For 74% packing, the lobes are spread more broadly with less well-defined peaks and more broadly spaced contours (Fig. 6p).

3.2 Results for randomly-oriented cubic particles

3.2.1. Conventional FORCs

Processed FORC diagrams for a range of packing fractions are summarized in Fig. 7. A FORC diagram for non-interacting particles (Fig. 7a) has an N-N-P-P structure, with two negative background signals, a positive background signal, and a positive central ridge. An evolution is observed to teardrop (Fig. 7b), wishbone (Fig. 7c), and winged (Fig. 7d) structures with increasing packing fraction, similar to the uniaxial case. However, both the shape and distribution of the ‘wings’ for 74% packing are distinct for the uniaxial (Fig. 6d) and cubic (Fig. 7d) cases.

3.2.2. remFORCs

Interacting cubic particles have a pronounced $M_{rem}(B_a > 0, B_b)$ decrease with increasing measurement field up to ~0.1 T, reaching a value that is considerably lower than $M_e$ even at the maximum field of 0.15 T (Fig. 3f). An initial decrease in $M_{rem}(B_a < 0, B_a \leq B_b \leq 0)$ with increasing measurement field is also observed in the strongly interacting case (Fig. 3f). In contrast to the uniaxial case, the remFORC diagram for non-interacting cubic particles has an N-P-P structure, with a background negative signal, a positive background signal, and a positive central ridge (Fig. 7e). With increasing packing fraction, the negative background signal is quickly swamped by broadening positive background and ridge signals (Fig. 7f) and the remFORC diagram is less ‘teardrop’ shaped compared to the uniaxial case (Fig. 6f). For higher packing fractions, differences
are less pronounced between uniaxial and cubic cases (Fig. 6g, h, Fig. 7g, h). A weak positive signal along the -B_a axis (outside the remanence region) appears for strongly interacting particles (labelled P* in Fig. 7h).

3.2.3. iFORCs

For non-interacting particles, M_i(B_a < 0, B_0) has a more pronounced maximum in positive measurement fields (Fig. 3c) than the non-interacting uniaxial case (Fig. 2c), followed by a discontinuous change in slope at B_0 = -B_a. Lower-branch-subtracted iFORCs have two large negative peaks and a smaller positive peak (Fig. 3c inset). For strongly interacting particles, the discontinuous change in slope at B_0 = -B_a disappears, and a clear difference in slope at the beginning of each iFORC appears for positive reversal fields (Fig. 3g). Lower-branch-subtracted iFORCs have two positive peaks (Fig. 3g inset). Processed iFORC diagrams (Fig. 7i-1) have distinct positive and negative signals that evolve with increasing packing fraction. For non-interacting particles (Fig. 7i), a clear N-P-N signature is seen, with negative and positive background signals and a strong negative central ridge. This pattern is distinct from that for non-interacting uniaxial particles (Fig. 6i): the positive background signal extends to increasingly negative B_a values with increasing B_c, whereas for uniaxial particles the positive background signal tends to B_a = 0 with increasing B_c. For 5% packing (Fig. 7j), the N-P-N pattern becomes similar to that observed in uniaxial particles with a comparable packing fraction (Fig. 6j). For 40% packing (Fig. 7k), a change to an N-P-N-P pattern is observed, which is broadly similar to that in uniaxial particles (Fig. 6k). The change from the N-P-N to N-P-N-P pattern coincides with the change from negative to positive lower-branch-subtracted iFORCs (Figs. 3c, 3g insets), with the crossover occurring between 10% and 20% packing. For 74% packing (Fig. 7l), iFORC features become weak and poorly resolved within simulation noise. The strongest feature is the positive signal (P*) along the positive B_a axis.

3.2.4. tFORCs

Both raw (Fig. 3d, 3h) and processed (Fig. 7m-p) tFORCs for cubic particles have similar features as uniaxial particles at comparable packing fractions, except that the bilobate peaks for 74% packing are more intense and better defined for the cubic case (Fig. 7p).
3.3. Results for chains of uniaxial particles

3.3.1. FORCs

Processed FORC diagrams for a range of chain collapse factors are summarized in Fig. 8. Conventional FORC diagrams (Fig. 8a-c) reproduce the results of Harrison & Lascu (2014), with the well-established evolution from central ridge (Newell, 2005; Egli et al., 2010) to winged (Chen et al., 2007; Li et al., 2012) structures with increasing chain collapse. A prominent negative feature along the -$B_0$ axis is visible in all cases.

3.3.2. remFORCs

Both straight and collapsed chains have $M_{rem}(B_a > 0, B_b) = \text{constant} = M_r$ (Fig. 4b, Fig. 8f inset). The processed remFORC diagram for straight chains has an N-P-P structure, with a negative background signal, a positive background signal, and a positive central ridge (Fig. 8d). This is superficially similar to the pattern observed for non-interacting cubic particles (Fig. 7c). The negative background signal is absent for collapsed chains (e.g. $c = 0.6$; Fig. 8e). Instead, a broad, vertically spread low coercivity positive signal and a positive central ridge are observed (Fig. 8f).

3.3.3 iFORCs

For straight chains, $M_i(B_a < 0, B_b)$ has a more pronounced maximum in positive measurement fields (Fig. 4c), more similar to the non-interacting cubic case (Fig. 3c) than the non-interacting uniaxial case (Fig. 2c). Lower-branch-subtracted iFORCs have two large negative peaks (Fig. 4c inset), but lack the intermediate positive peak for the cubic non-interacting case (Fig. 3c). Processed iFORC diagrams (Fig. 8g) have an N-P-N structure, similar to that of the non-interacting uniaxial case (Fig. 6i). With increasing chain collapse, the positive background feature below the $B_c$ axis becomes more distorted, and an additional positive feature appears above the $B_c$ axis (Fig 8h), which is clearly different from both the randomly-packed uniaxial and cubic cases (Figs. 6k, 7k).

3.3.4 tFORCs

Straight chains have zero tFORC signal (Fig. 4d, 8j). Like the processed tFORC diagrams for interacting particles (Fig. 6m-p), collapsed chains have a bilobate distribution in the two transient regions that bound the remanence region (Fig. 8k, l). Rather than the two lobes emerging gradually from the origin, the lobes form ‘in-place’ and slightly increase in strength with increasing chain collapse.
3.4. Results for non-interacting hexagonal particles

3.4.1 FORCs

Processed diagrams for non-interacting hexagonal particles with a range of \(K_u/K_h\) ratios are summarized in Fig. 9. For high values of \(|K_u/K_h|\) (moments strongly restricted to lie within the basal plane), complex FORC diagrams are predicted, with a mixture of positive and negative background and ridge features (labelled 1-5 in Fig. 9a). Feature 1 is a weak negative signal close to the \(-B_u\) axis (barely visible in Fig. 9a, but clearer in Fig. 9b). Feature 2 is an elongated positive background signal, which extends below the \(B_c\) axis and merges with a highly elongated negative signal that extends in the \(-B_u\) direction (Feature 3). Feature 4 is a second elongated positive background signal to the right of Feature 3. Feature 5 is a positive central ridge signal. Features 2 and 5 become less elongated, and less separated, as \(|K_u/K_h|\) decreases (Fig. 9b). A dramatic change in FORC pattern occurs for \(|K_u/K_h| \leq 5\) (Fig. 9c): Features 2-4 disappear entirely, leaving behind only a paired negative Feature 1 and a positive central ridge (Fig. 9d).

3.4.2 remFORCs

All remFORC simulations for particles with hexagonal anisotropy have \(M_{ren}(B_u > 0, B_h) = \) constant = \(M_r\) (Fig. 5b, Fig. 9e-h insets). Processed remFORC diagrams differ only from the corresponding conventional FORC diagrams in the absence of negative Feature 1 (Fig. 9e-h).

3.4.3 iFORCs

Raw iFORCs have a distinctive double-peak structure in positive measurement fields (Fig. 5c). Processed iFORC diagrams have a complex structure with positive and negative background and ridge features (labelled 6-10 in Fig. 9i-l). The negative Feature 1 that was absent in the remFORC diagram appears in the iFORC diagram. Feature 6 is a positive background signal that is present in the FORC signal, although its weak intensity makes it barely visible on the color scale used for Fig. 9a. Feature 6 is absent from the remFORC signal (Fig. 9e). A sharp change in iFORC pattern occurs for \(|K_u/K_h| \leq 5\) (Fig. 9k): Features 7-9 disappear entirely, leaving an N-P-N structure similar to that in non-interacting uniaxial particles (Fig. 9l).

3.4.4 tFORCs

All non-interacting particles with hexagonal anisotropy have zero tFORC signals for all \(K_u/K_h\) ratio values.
4. Discussion

4.1. Physical origins of remFORC, iFORC, and tFORC signals

4.1.1 Random packing of uniaxial and cubic particles

Our simulations demonstrate how positive and negative background and ridge signals partition into either remFORC, iFORC, or tFORC signals according to their physical origin. The positive central ridge in a FORC diagram for non-interacting uniaxial SD particles (Fig. 6a) appears exclusively in the remFORC signal (Fig. 6e), consistent with its origin resulting from irreversible switching (Newell, 2005). Negative and positive background FORC signals, on the other hand, appear exclusively in the iFORC signal (Fig. 6i), consistent with their origin in different reversible slopes of upper and lower hysteresis branches (Newell, 2005). A negative central ridge in the iFORC signal is associated with the discontinuous change in slope of $M_i$ at $B_0 = -B_a$ (Fig. 2c). The resulting N-P-N iFORC structure was treated as diagnostic of weakly interacting SD behavior by Zhao et al. (2017).

FORC, remFORC, and iFORC signals of non-interacting cubic particles are distinct from those of uniaxial particles (Fig. 7a, e, i). A conventional FORC diagram for cubic particles has an N-N-P-P structure with two negative background features, a positive background feature, and a positive central ridge (Fig. 7a). The first negative feature appears exclusively in the iFORC diagram (Fig. 7i), which demonstrates that, like the uniaxial case, it is caused by the difference in reversible slope of hysteresis branches for cubic particles. The second negative feature, however, appears exclusively in the remFORC diagram (Fig. 7e), which demonstrates that it is associated with irreversible switching events. Unlike the uniaxial case, where there is just one easy axis and two corresponding hysteresis branches, cubic particles have four <111> easy axes and eight corresponding hysteresis branches. For certain combinations of applied field and particle orientation, asymmetric switching between different easy axes yields both positive and negative background signals (Valdez-Grijalva & Muxworthy, 2019). A portion of the positive background signal can, therefore, be attributed to asymmetric switching between different easy axes (Fig. 7e). The remaining portion appears in the iFORC diagram (Fig. 7i), and, like the uniaxial case, results from the difference in reversible slopes of different hysteresis branches. The positive ridge signal in the remFORC diagram is associated with symmetric switching involving a single easy axis (Fig. 7e). This signal is mirrored by a negative ridge in the iFORC diagram (Fig. 7i), which leads to a similar (yet distinct) N-P-N structure to that of the uniaxial case.
With increasing interactions, the distinction between cubic and uniaxial particles becomes less pronounced. Weak negative background features appear in the remFORC diagram for both uniaxial (Fig. 6f) and cubic (Fig. 7f) particles, broadening of the remFORC signal merges the background and ridge signals, and the distinction between N-P-N iFORC patterns for uniaxial (Fig. 6j) and cubic (Fig. 7j) cases becomes less obvious. Both uniaxial and cubic particles develop bilobate tFORC signals with increasing interactions. In the absence of thermal activation, transient hysteresis is primarily associated with irreversible changes in magnetization driven by self-demagnetizing fields (Fabian, 2003). Zhao et al. (2017) associated bilobate structures in tFORC diagrams with vortex state nucleation and annihilation, in agreement with both theoretical modeling and empirical observations of materials dominated by vortex states (Pike & Fernandez, 1999; Dumas et al., 2007; Roberts et al., 2017; Valdez-Grijalva et al., 2018; Lascu et al., 2018). Our simulations demonstrate that analogous effects are seen in strongly interacting clusters of SD particles, due to formation of flux closure structures driven by the inter-particle dipole-dipole interactions. Snapshots of magnetic configurations obtained in a cubic-close-packed cluster of uniaxial particles are shown in Fig. 10. Starting in a field of +1 T (Fig. 10a), the cluster is in a saturated state with each particle moment aligned closely to the field. As the field is reduced to +300 mT (Fig. 10b), the cluster adopts a ‘super-flower’ state, analogous to the micromagnetic flower state observed in single particles just below the threshold size for vortex nucleation (Schabes & Bertram, 1988; Williams & Dunlop, 1989, 1995). With further field reduction to +100 mT (Fig. 10c) and 50 mT (Fig. 10d), flowering becomes more pronounced and interaction-driven switching of particles into reversed states begins. At remanence (Fig. 10e top view), creation of a flux-closure structure loosely resembles a super-vortex (Harrison et al., 2002). Imperfect moment alignment is due to competition between anisotropy and interaction fields. Simulations with reduced anisotropy demonstrate a more obvious super-vortex due to dominant interaction fields. If the field is ramped from zero to +50 mT, direct comparison can be made between magnetic states on the upper hysteresis branch (Fig. 10d) and on the zFORC (Fig. 10f). The magnetization difference of these two snapshots is equal to the transient magnetization (cf. measurement points 2 and 4 in Fig. 1).

Simulated FORC, remFORC, iFORC, and tFORC signals for weakly-to-moderately interacting SD particles agree well with key features observed experimentally by Zhao et al. (2017). For example, a floppy magnetic recording disk with interacting SD particles (Fig. 4 of Zhao et al. 2017) has all of the characteristics predicted here for cubic particles with 5% packing fraction (Fig. 7b, f, j, and n). Experimental FORC results for densely packed, synthetic magnetite particles (Sigma Aldrich 637106-25G) are shown in Fig. 11. Although these particles span the SD-SV size range (Fig. 11b), many key features predicted for strongly interacting uniaxial and cubic SD particles are
observed in the experimental data, including spreading of the FORC signal at low coercivity (Fig. 11c), the shape and spreading of the remFORC signal (Fig. 11d), the complex shape of the N-P-N-P iFORC structure (Fig. 11e), and a bilobate tFORC distribution (Fig. 11f). A positive feature close to the $-B_a$ axis in the experimental remFORC diagram is associated with viscous relaxation effects (Zhao et al., 2017; Hu et al., 2018), which are not modelled here. A prominent negative feature in the experimental tFORC diagram is less well reproduced by the simulations, although a weak negative feature is visible in a tFORC diagram for cubic particles (Fig. 7o). Other differences between simulated and observed behavior are likely due to a combination of factors, including a) failure to account for thermal relaxation in the simulations, b) differences between assumed and actual coercivity distributions, and c) predominance of SV particles in the sample. The behavior of these densely packed particles has striking similarities to basalt samples with ‘PSD’ magnetite (Fig. 6 of Zhao et al., 2017), which have been attributed to vortex nucleation and annihilation. Although the presence of SV particles in our samples helps to explain this similarity, the simulations demonstrate that vortex states sensu stricto are not required in order to produce these patterns. The key physical driver is the presence of strong demagnetizing effects (either self-demagnetization for vortex nucleation/annihilation or dipole-dipole interactions for packed SD clusters).

A key observation for strongly interacting clusters is the pronounced $M_{\text{rem}}(B_a > 0, B_0)$ decrease with increasing applied field (Fig. 2f, 3f). Having reduced the applied field gradually from a saturating value to zero (e.g. Fig. 10a-e), increasing the field to a positive value (e.g. Fig. 10f) and then back to zero (not shown in Fig. 10) results in a remanence drop. Our interpretation of this phenomenon is that inter-grain magnetostatic interactions dominate, creating complex field-dependent energy surfaces, where field direction changes are no longer necessarily reversible; in the non-interacting SD case, energy surfaces are smooth and controlled only by magnetocrystalline anisotropy, which makes them relatively more reversible.

4.1.2 Chains

For straight chains of particles, strong magnetostatic interactions along the chain axis produce collective switching behavior. The switching field of chains lies at the upper end of the coercivity distribution of individual particles that make up the chain. FORC and iFORC diagrams for straight chains (Fig. 8a, 8g) resemble those for non-interacting uniaxial particles (Fig. 6a, 6i). The remFORC diagram, however, has an N-P-P structure similar to that observed for non-interacting cubic particles (Fig. 7e). Unlike the cubic case, where the negative background remFORC signal is related to switching between different easy axes (Section 4.1.1), for straight chains this signal is related to partial switching of chains at intermediate fields (Fig. 12). The lowest
energy state of a straight chain is fully magnetized either parallel or antiparallel to the chain axis (Fig. 12a). Moment rotation in negative reversal fields initiates at the ends of the chain (Fig. 12b), where interaction fields that keep moments aligned with the chain axis are reduced (particles on the ends of the chain have a single nearest neighbor, whereas those in the center have two). If all particles have similar coercivity, then switching of the ends of the chains would occur simultaneously through a fanning mechanism (Jacobs & Bean, 1955). If particles in the center of the chain have sufficiently high coercivity, however, only moments at the ends of the chain switch (Fig. 12c). A partially switched chain (Fig. 12d) is metastable and needs a much smaller positive measurement field to switch it back to its saturated state (Fig. 12e). Larger reversal fields eventually lead to full switching of the chain (Fig. 12f), potentially via a series of intermediate states. The fully reversed remanence state is shown in Fig. 12g. A schematic illustration of $M_{\text{rem}}$ as a function of $B_a$ and $B_b$ is shown in Fig. 12h for a chain in a single partially switched intermediate state. Locations of non-zero remFORC contributions (Eqn. 5) are highlighted, which demonstrates how the combined partial and full switching events lead to two positive background signals (1 and 3), a negative background signal (2), and a positive ridge signal (4).

The iFORC signal for collapsed chains has the same bilobate pattern observed in randomly packed clusters and is related to formation of similar flux-closure structures driven by dipole-dipole interactions (Section 4.1.1; Fig. 10). However, collapsed chains retain a stronger non-interacting uniaxial component in FORC, remFORC, and iFORC signals than randomly packed clusters with comparable tFORC signals (cf. Fig. 6c, g, k, o with Fig. 8b, e, h, k). This distinguishing feature is most obvious in the iFORC diagram, which retains a clear N-P-N structure that is more similar to Fig. 6j than Fig. 6k. In addition, the iFORC diagram for collapsed chains has an additional positive signal above the $B_e$ axis that is not present in Fig. 6j or Fig. 7j. Comparison of a predicted iFORC diagram for collapsed chains with an experimental iFORC diagram for a magnetofossil-rich sediment from the onset of the PETM (Chang et al., 2018) is shown in Fig. 13. Both the strong N-P-N structure and the additional positive feature are present. The positive signal along the $+B_u$ axis in the experimental iFORC diagram is not reproduced in simulations. Although similar signals are predicted for strongly interacting clusters (e.g. Figs. 6l, 7l; labelled P*), these are thought to be simulation artefacts (see Section 4.2) that coincidentally mimic real physical processes.

### 4.1.3 Hexagonal anisotropy

FORC, remFORC, and iFORC signals for particles with hexagonal anisotropy are complex and change fundamentally as a function of $|K_u/K_H|$. The physical origin of complex FORC behavior
for hexagonal particles was discussed preliminarily by Harrison et al. (2017) and will be expanded
upon in a separate paper. The five signals observed in a FORC diagram for hexagonal particles with
high \(|K_u/K_H|\) (Fig. 9a) are virtually identical to those predicted by Valdez-Grijalva & Muxworthy
(2019) for randomly oriented particles with cubic anisotropy. These signals were attributed by
Valdez-Grijalva & Muxworthy (2019) to the availability of multiple easy axes in the cubic system.
The physical origin of these signals in hexagonal particles is similarly related to the availability of
multiple easy axes within the basal plane (Harrison et al., 2017). Negative signal 1 is partitioned
into the iFORC component, and, like the uniaxial and cubic cases, it is caused by different
reversible slopes of hysteresis branches. Like the cubic case, positive and negative background
remFORC signals (2, 3, 4) are caused by asymmetric switching between different easy axes.
Positive ridge signal (5) is related to symmetric switching involving the same easy axis. The \(B_c\)
extent of the ridge is highly sensitive to \(|K_u/K_H|\), which becomes less prominent with lower \(|K_u/K_H|\),
along with a smaller gap between positive signals 2 and 4 (Fig. 9b, f, j, n).

The presence of multiple easy axes is evident in the raw iFORC signal (Fig. 5c), which has a
distinctive double-peak structure in positive measurement fields due to an intermediate easy axis
that occurs for certain applied field and particle orientation combinations. Although this feature is
not visible in the cubic case (Fig. 3c), both hexagonal and cubic iFORC diagrams have a positive
peak in the lower-branch subtracted iFORCs (Figs. 3c, 5c insets), which suggests that this feature
may also be diagnostic of multiple easy axes. Experimental confirmation of the anomalous double-
peaked raw iFORC behavior is shown in Fig. 14 for a MD hematite single crystal with field applied
at 30° to the basal plane (Iwaki, 1965).

The remarkably similar behavior of cubic and hexagonal particles demonstrates that it is the
availability of multiple easy axes that produces the asymmetric positive and negative background
features that are displaced negatively below the \(B_c\) axis. Any non-uniaxial mineral is expected to
have such features, but they are likely to be most pronounced in minerals dominated by
magnetocrystalline anisotropy. Hematite is most often associated with such asymmetric FORC
signals, which is unsurprising given that shape anisotropy is weak in hematite due to its low
saturation magnetization. Fig. 9b with \(|K_u/K_H| = 17\) is closest to the ‘kidney bean’ shape often
associated with hematite FORC diagrams (Muxworthy et al., 2005; Carvallo and Muxworthy, 2006;
Carvallo et al., 2006; Liu et al., 2010; Brownlee et al., 2011; Jovane et al., 2011; Martín-Hernández
and Guerrero-Suárez, 2012; Church et al., 2016), especially considering that we simulated
populations of identical particles, rather than for coercivity distributions, which would further smear
the signal. Examples of dominantly uniaxial central ridge behavior have also been documented for
hematite (e.g. Roberts et al., 2006; Jiang et al., 2016; Pariona et al., 2016). A transition to uniaxial
switching behavior is predicted here for samples with low $|K_U/K_H|$ (Fig. 9d, h, l, p). Below a critical $|K_U/K_H|$ value, symmetric switching between a single easy axis is achieved by rotating spins out of the basal plane, rather than by rotating spins within the basal plane via an intermediate easy axis. Low $|K_U/K_H|$ can be achieved by either lowering $K_U$ or by increasing $K_H$. Lowering $K_U$ is unlikely because its intrinsically high value is related to the fundamental anisotropy of the hematite crystal structure. Increasing $K_H$ (e.g. through magnetoelastic coupling to basal plane stress) is more easily achievable. Hence, the observation of asymmetric multi-axial vs symmetric uniaxial switching behavior in hematite may be related to a fundamental difference in the balance of in-plane versus out-of-plane anisotropy and may yield insight into the stress state of hematite particles.

Despite its cubic symmetry, SD magnetite is typically dominated by uniaxial shape anisotropy, and is therefore dominated by central ridge signals. Greigite, on the other hand, typically grows in sedimentary environments as equidimensional crystals with cuboctahedral symmetry (Roberts et al., 2011), and commonly gives rise to the asymmetric combination of positive and negative background features predicted here for hexagonal particles and by Valdez-Grijalva & Muxworthy (2019) for cubic particles. Similar arguments apply to pyrrhotite, which also has highly asymmetric FORCs (Weaver et al., 2002; Wehland et al., 2005; Larrasoña et al., 2007; Roberts et al., 2010; Kars & Kodama, 2015a, b; Horng, 2018; Roberts et al., 2018b).

4.2 Simulation artefacts?

Some positive and negative features in the simulated results (labelled P* and N* in Figs. 8, 9) may be simulation artefacts. These features appear along the $+B_u$ and $-B_u$ axis, a region that is well known for so-called ‘first-point artefacts’. In an experimental context, the first-point artefact is caused by the first point of each FORC measurement being offset from the rest of the FORC due to instrumental measurement factors (the first point is measured in static mode, and subsequent points are made in field-sweep mode). In a simulation context, first-point artefacts may be created by the inevitably large applied field jump from the reversal field to zero to measure the first point in a remFORC. Ideally, the field would be stepped to zero gradually from the reversal field, as is the case for FORC simulations, but this would be prohibitively expensive for remFORC computations. A large difference is observed between the remanence value obtained after a single large step from the reversal field to zero compared with that obtained during subsequent measurements (Fig. 15a). The discrepancy increases with increasing $|B_u|$, and results in a steep initial downturn in remFORC curves that mimics the viscous relaxation that is often observed experimentally in the same region (e.g. Hu et al., 2018) and leads to real remFORC signals in this area (Fig. 11d). The effect is most pronounced when Option 1 is used to determine the remFORC (Fig. 15a). The effect is reduced for
Option 2 (Fig. 15b). This is because the starting remanence for Option 2 is obtained by gradually stepping the field from the reversal field to zero during the initial FORC simulation. Further reduction of \( P^* \) and \( N^* \) features in processed FORC diagrams can be obtained by removing the first point of each curve from the dataset prior to processing (Fig. 15c-f).

Despite the discussion above, relaxation of remanence is observed well beyond the first point of each remFORC, and a \( P^* \) signal is observed in processed remFORC diagrams even when the first point is omitted prior to processing (Fig. 15f). The relaxation observed during iterative back-field cycling resembles that observed during iterative thermal cycling of MD grains (Fabian & Shcherbakov, 2004) who explained this phenomenon with a statistical theory involving a stochastic transition matrix, which describes the probability that the magnetic state of an MD particle transforms into a different one during a thermal cycle iteration. The mathematical formalism of Fabian & Shcherbakov (2004) is general (although transformation matrix details may differ for MD particles versus interacting SD clusters). The fact that there is good agreement between observed and simulated remFORC signals outside the remanence region (cf. Figs. 11d, Fig. 15e) raises the possibility that the evolution of remanence during iterative back-field cycling is not an artefact, but a real phenomenon that relates to statistical equilibration of the probability density of magnetic states within strongly interacting clusters. The field-cycling history for each \( B_b \) step contains information about all previous field-cycling steps for a particular reversal field \( B_n \), which is then carried forward to the next measurement step. The system is only “cleaned” prior to applications of the next reversal field. For strongly interacting SD systems contributions to the remFORC diagram in this region will depend on field step size, both in terms of the distribution of intensity and their position, for both models and experiments. This ‘field cycling’ effect, i.e., minor hysteresis loops, is analogous to the ‘thermal cycling’ effect described by Fabian & Shcherbakov (2004) for MD particles and provides an alternative to SP behavior as an explanation for the \( P^* \) signals observed commonly in remFORC diagrams.

5. Conclusions

Micromagnetic simulations for the extended FORC protocol of Zhao et al. (2017) demonstrate how the total FORC signal is partitioned between remFORC, iFORC, and tFORC signals. This work provides the first theoretical framework for predicting and interpreting these new FORC-type diagrams. Despite the additional time required to measure these FORC-type diagrams, our simulations demonstrate their additional interpretive power by linking each observed signal to a different physical aspect of the magnetization process. Good agreement between simulated and
observed behavior is found for a range of samples. In particular, the spreading and shape of
remFORC distributions, the transition from the N-P-N to N-P-N-P structure in the iFORC diagram,
and generation of bilobate tFORC distributions is reproduced accurately in strongly interacting SD
clusters. These signals also appear to be a good analog for ‘PSD’ samples dominated by particles
that lie in the SV/MV size range (Roberts et al., 2017; Lascu et al., 2018). Strong coupling between
reversible and irreversible magnetization components is identified in strongly interacting clusters,
which leads to a decreasing remanence trend with increasing magnetizing field. Appearance of
strong negative signals in remFORC diagrams are linked to particles or chains with intermediate
switching states. For individual particles, these intermediate states correspond to multiple easy axes,
and explain the characteristic ‘kidney’-shaped FORC fingerprint of minerals such as hematite and
pyrrhotite, which are dominated by multi-axial magnetocrystalline anisotropy. A transition to
uniaxial switching in hexagonal particles is found below a critical value of the out-of-plane/in-plane
anisotropy ratio. Similar fingerprints in minerals such as greigite are due to its common occurrence
as equidimensional grains with limited shape anisotropy. For straight chains, intermediate states are
achieved by partial switching when there is sufficient coercivity variation in particles along a chain.
A distinct positive signal appears in the iFORC signature for collapsed chains of uniaxial particles,
which may aid discrimination between biogenic and non-biogenic signals in sediments. Good
agreement between simulated and observed behavior means that this approach has merit for
generating training data for machine-learning algorithms applied to automated detection and
quantification of diagnostic features in FORC and FORC-like diagrams. With the increased
complexity of information provided by the FORC-type diagrams of Zhao et al. (2017), we
anticipate that development of machine-learning algorithms for automated FORC analysis will
become a fruitful area of future FORC research.

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8. Figure Captions

**Figure 1.** Definition of the remanent, induced, and transient magnetization components, and how they are measured using the extended FORC protocol of Zhao et al. (2017). All curves shown are taken from a simulation of randomly packed uniaxial particles with 40% packing fraction. Measurement point 1 corresponds to the saturating field, $B_{sat}$, that is applied prior to measurement of each FORC. Measurement points 2, 3, and 5 lie on the descending branch of the hysteresis curve, and represent the starting points for conventional first-order reversal curves $M(B_a, B_y)$ with reversal fields $B_a = B_x$, $B_a = 0$, and $B_a = B_y$, respectively. Measurement point 3 also corresponds to the saturation remanent magnetization, $M_r$. Measurement sequence 2-3-4 illustrates measurement of the transient-free 'zero FORC' curve for positive reversal fields ($B_a \geq 0$): the field is swept from the reversal field $B_x$ to zero (black arrow) and then from zero to $B_x$ (red arrow). Measurement point 4 corresponds to the first point in the transient-free...
curve, \( M_{t\text{f}}(B_x, B_y) \). Subsequent points, \( M_{t\text{f}}(B_x, B_y) \), are obtained by alternately sweeping the field to zero and then to the next highest measurement field, \( B_0 \), until saturation. Whenever the field is zero, the corresponding remanent magnetization, \( M_{\text{rem}}(B_x, B_y) \) is recorded. Measurement sequence 5-7-8 illustrates the measurement of transient-free curves for negative reversal fields \( (B_x < 0) \): the field is swept from the reversal field \( B_x \) to zero (black arrow) and then from zero to \( B_x \) (red arrow). Point 6 corresponds to a general point in the conventional FORC curve, \( M(B_y, B_z) \), and is measured separately in a conventional FORC measurement. Measurement point 8 corresponds to a general point in the transient-free curve, \( M_{t\text{f}}(B_y, B_z) \). The conventional FORC magnetization (e.g. point 6) can be expressed as the sum of three components: a remanent component, \( M_{\text{rem}}(B_x, B_y) \), an induced component, \( M_i(B_x, B_y) = M_{t\text{f}}(B_x, B_y) - M_{\text{rem}}(B_x, B_y) \), and a transient component, \( M_t(B_x, B_y) = M(B_x, B_y) - M_i(B_x, B_y) \), indicated by the blue, yellow, and pink shaded regions, respectively.

**Figure 2.** Simulated (a) FORC, (b) remFORC, (c) iFORC, and (d) tFORC curves for randomly oriented, non-interacting particles with uniaxial anisotropy. All simulations consist of 151 curves with a uniform 2 mT step size for both \( B_x \) and \( B_y \). For clarity, every 4\(^{th} \) curve is shown. Magnetization values are normalized to \( M_s = 1 \). Results are the average of 20,000 particles. Simulated (e) FORC, (f) remFORC, (g) iFORC, and (h) tFORC curves for randomly oriented particles with uniaxial anisotropy and packing fraction of 40%. All simulations consist of 151 curves with a uniform 2 mT step size for both \( B_x \) and \( B_y \). For clarity, every 2\(^{nd} \) curve is shown. Magnetization values are normalized relative to \( M_s = 1 \). Each simulation contained 500 particles. Results are the average of 100 simulations (50,000 particles).

**Figure 3.** Simulated (a) FORC, (b) remFORC, (c) iFORC, and (d) tFORC curves for randomly oriented, non-interacting particles with cubic anisotropy. All simulations consist of 151 curves with a uniform 2 mT step size for both \( B_x \) and \( B_y \). Magnetization values are normalized to \( M_s = 1 \). Results shown are the average of 40,000 particles. Simulated (e) FORC, (f) remFORC, (g) iFORC, and (h) tFORC curves for randomly oriented particles with cubic anisotropy and packing fraction of 40%. All simulations consist of 151 curves with a uniform 2 mT step size for both \( B_x \) and \( B_y \). For clarity, every 2\(^{nd} \) curve is shown. Magnetization values are normalized to \( M_s = 1 \). Each simulation contained 100 particles. Results are the average of 100 simulations (10,000 particles).
Figure 4. Simulated (a) FORC, (b) remFORC, (c) iFORC, and (d) tFORC curves for randomly oriented, straight chains of uniaxial particles. Chains contained 20 particles with diameter 100 nm and center-to-center separation of 110 nm. All simulations consist of 151 curves with a uniform 2 mT step size for both \( B_a \) and \( B_b \). For clarity, every 2nd curve is shown. Magnetization values are normalized to \( M_s = 1 \). Each simulation contained 20 chains. Results are the average of 100 simulations (2000 chains, 40,000 particles).

Figure 5. Simulated (a) FORC, (b) remFORC, (c) iFORC, and (d) tFORC curves for randomly oriented, non-interacting particles with hexagonal anisotropy and \( K_u/K_h = -333 \). All simulations consist of 151 curves with a uniform 2 mT step size for both \( B_a \) and \( B_b \). For clarity, every 2nd curve is shown. Magnetization values are normalized to \( M_s = 1 \). Simulations contained 50 particles. Results are the average of 100 simulations (5000 particles).

Figure 6. Processed (a-d) FORC, (e-h) remFORC, (i-l) iFORC, and (m-p) tFORC diagrams for randomly packed uniaxial particles with packing fractions (a, e, i, m) 0\%, (b, f, j, n) 5\%, (c, g, k, o) 40\%, and (d, h, l, p) 74\%. To achieve 74\% packing efficiency, particles were arranged in a face centered cubic array of hard spheres in contact. Insets are simulated hysteresis loops. Axis range for all insets is \( M = \pm 1 \) (vertical) and \( B = \pm 0.15 \) T (horizontal). Magnetization values are normalized to \( M_s = 1 \). Labels P and N highlight positive and negative regions of interest. Labels P* and N* highlight positive and negative regions that may be simulation artefacts.

Figure 7. Processed (a-d) FORC, (e-h) remFORC, (i-l) iFORC, and (m-p) tFORC diagrams for randomly packed cubic particles with packing fractions (a, e, i m) 0\%, (b, f, j, n) 5\%, (c, g, k, o) 40\%, and (d, h, l, p) 74\%. To achieve 74\% packing efficiency, particles were arranged in a face centered cubic array of hard spheres in contact. Insets are simulated hysteresis loops. Axis range for all insets is \( M = \pm 1 \) (vertical) and \( B = \pm 0.15 \) T (horizontal). Magnetization values are normalized to \( M_s = 1 \). Labels P and N highlight positive and negative regions of interest. Labels P* and N* highlight positive and negative regions that are thought to be simulation artefacts.

Figure 8. Processed (a-d) FORC, (e-h) remFORC, (i-l) iFORC, and (m-p) tFORC diagrams for chains of uniaxial particles with chain collapse factors (a, d, g, j) \( c = 0 \), (b, e, h, k) \( c = 0.6 \), and
Insets are simulated hysteresis loops. Axis range for all insets is $M = \pm 1$ (vertical) and $B = \pm 0.15$ T (horizontal). Magnetization values are normalized relative to $M_s = 1$. Labels P and N highlight positive and negative regions of interest.

**Figure 9.** Processed (a-d) FORC, (e-h) remFORC, (i-l) iFORC, and (m-p) tFORC diagrams for randomly oriented non-interacting hexagonal particles with out-of-plane/in-plane anisotropy ratios (a, e, i m) -333, (b, f, j, n) -17, (c, g, k, o) -5, and (d, h, l, p) -1. Insets are simulated hysteresis loops. Axis range for all insets is $M = \pm 1$ (vertical) and $B = \pm 0.1$ T (horizontal). Magnetization values are normalized to $M_s = 1$.

**Figure 10.** Simulation snapshots for 108 uniaxial particles arranged in a 3x3x3 cubic closed packed array with 74% packing fraction. Simulations were performed as a function of decreasing applied field, from (a) 1 T to (b) 300 mT, to (c) 100 mT, to (d) 50 mT, and (e) 0 mT. The field was then increased from 0 mT back to 50 mT (f). The field direction is indicated by the arrow. The snapshot images represent the development of flower states in high fields (a-c). Below 100 mT, a large proportion of particles switch to their reversed state as a result of strong magnetostatic interactions with their neighbors (d-e). This leads to development of domain superstructures reminiscent of the multi-vortex states observed in large particles (Lascu et al., 2018). The difference in magnetic state observed at 50 mT in (d) and (f) corresponds to the transient magnetization measured in a tFORC.

**Figure 11.** (a) Secondary electron scanning electron microscope image of the analyzed synthetic magnetite sample (Sigma Aldrich 637106-25G). (b) Box-whisker plot of particle diameter distribution measured manually from a random sampling of 60 particles. Thick horizontal line indicates the median (120 nm). Whiskers indicate the 2nd and 98th percentiles, which vary from 50 nm to 200 nm. The box represents the 25th to 75th percentiles. The sizes span the SD-SV range, with most in the SV size range. (c) FORC, (d) remFORC, (e) iFORC, and (f) tFORC diagrams for the synthetic magnetite sample measured using the protocol of Zhao et al. (2017).
**Figure 12.** Partial switching of straight chains provides an explanation for negative and positive background remFORC signals observed in Fig. 10d. (a) The initial remanence state is magnetized uniformly along the chain length. (b) $B_a = -50$ mT. Rotation initiates at the ends of the chain, where the local interaction field is reduced. (c) Switching occurs at the upper end of the chain in reversal field $B_a = -70$ mT. Switching does not propagate along the chain due to the presence of higher coercivity particles in the central portion. (d) remFORC state acquired after application of a -70 mT field. (e) Switching back of the end of the chain occurs in $B_b = +30$ mT. (f) Full switching of the chain occurs after $B_a = -100$ mT. (g) Final remFORC state. (h) Schematic illustration of $M_{rem}$ as a function of $B_a$ and $B_b$. Points where positive and negative contributions to the remFORC distribution are made are shown as orange and blue dots, respectively.

**Figure 13.** Simulated (a) raw curves and (b) processed iFORC diagram for fully collapsed chains of uniaxial particles. (c) Measured and (d) processed iFORC diagrams for a magnetofossil-rich sample (ODP Hole 1263C, section 14H-2A, interval 146-147 cm, at 335.67 meters composite depth; Chang et al. 2018).

**Figure 14.** (a) Simulated iFORCs for randomly arranged particles with hexagonal anisotropy with a double peak for positive measurement fields. (b) Measured iFORCs for a single crystal of hematite. The sample is a ~5-mm fragment of natural specularite crystal from Mt Shimotoku, Okayama Prefecture, Japan, from the collection of the Geological Museum of the Geological Survey of Japan (Registration number A31-36426). The magnetic properties of hematite crystals from this locality have been reported by Iwaki (1965).

**Fig. 15.** Simulated remFORCs for randomly packed cubic particles with 74% packing fraction using (a) Option 1 and (b) Option 2 of the remFORC simulation protocol. In Option 1, the simulation is initialized at the reversal field using the starting configuration obtained at the corresponding point of the upper branch of the hysteresis loop. In Option 2, the simulation is initialized at remanence, using the starting configuration obtained from the FORC with corresponding reversal field. Back-field remanence values obtained from the FORCs are shown as red curves. Note the larger 'first-point artefact' in (a). Processed remFORCS for Option 1 are shown both with (c) and without (d) the first point included. Similarly, for Option 2, in (e) and...
(f). The residual P* signal is evidence of a ‘field cycling’ effect, analogous to the ‘thermal cycling’ effect of Fabian & Shcherbakov (2004).
Figure 1.
Figure 2.
Figure 3.
Figure 4.
Figure 5.
(a) FORC
(b) remFORC
(c) iFORC
(d) tFORC
Figure 6.
Uni-axial Random Packing

FORC

remFORC

iFORC

tFORC

\( p = 0\% \)

\( p = 5\% \)

\( p = 40\% \)

\( p = 74\% \)
Figure 7.
Figure 8.
Figure 9.
Non-interacting hexagonal anisotropy

\[ \frac{K_u}{K_h} = -333 \]

\[ \frac{K_u}{K_h} = -17 \]

\[ \frac{K_u}{K_h} = -5 \]

\[ \frac{K_u}{K_h} = -1 \]
Figure 10.
(a) +1 T  
(b) +300 mT  
(c) +100 mT  
(d) +50 mT  
(e) 0 mT  
(f) +50 mT

Uniaxial fcc
74% packing, high anisotropy

Side View

Top View
Figure 12.
(a) Calculated iFORC, collapsed chains
(b) Processed iFORC, collapsed chains
(c) Measured iFORC
(d) Processed iFORC
Figure 14.
(a) Calculated iFORC, hexagonal
(b) Measured iFORC hematite single crystal
Figure 15.