

1 **The why and how of adaptive immune responses in ischaemic cardiovascular disease**

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15 **Abstract**

16

17 Atherosclerotic cardiovascular disease is a major cause of disability and death worldwide.  
18 Most therapeutic approaches target traditional risk factors but ignore the fundamental role of  
19 the immune system. This is a huge unmet need. Recent evidence indicates that reducing  
20 inflammation may limit cardiovascular events. However, the concomitant increase in the risk  
21 of life-threatening infections is a major drawback. In this regard, targeting adaptive immunity  
22 could constitute a highly effective and safer approach. In this Review, we address the why  
23 and how of the immuno-cardiovascular unit, in health and in atherosclerotic disease. We  
24 review and discuss fundamental mechanisms that ensure immune tolerance to cardiovascular  
25 tissue, and examine how their disruption promotes disease progression. We identify  
26 promising strategies to manipulate the adaptive immune system for patient benefit, including  
27 novel biologics and RNA-based vaccination strategies. Finally, we advocate for establishing  
28 a molecular classification of atherosclerosis as an important milestone in our quest to  
29 radically change the understanding and treatment of atherosclerotic disease.

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34 Dyslipidaemia and elevated low-density lipoprotein (LDL) levels are causally involved in the  
35 chronic inflammatory response of the vascular wall<sup>1</sup>. LDL oxidation in the intima and the  
36 sterile vascular inflammation associated with it engage adaptive immune responses which  
37 profoundly modulate atherosclerosis, from lesion initiation and progression to the occurrence  
38 of clinical events<sup>2</sup> (Box 1). Accumulating evidence also involves adaptive immunity in the  
39 response to ischaemic heart injury<sup>3</sup>, modulating both post-ischaemic cardiac remodelling and  
40 atherosclerosis progression<sup>4</sup>.

41

42 Recent studies provided a proof-of-concept that targeting inflammation may limit the  
43 occurrence of CV events<sup>5,6</sup>. Here, we consider the intimate and complex interactions of the  
44 immune system with the heart and the vasculature, in health and atherosclerotic disease, with  
45 a particular focus on adaptive immune mechanisms and their selective manipulation for  
46 patient benefit.

47

### 48 **Evidence for adaptive immunity in ischaemic heart disease**

49 The association of adaptive immune responses with human atherosclerotic cardiovascular  
50 disease (ACVD) dates back to the 1980s and the discovery that abundant cells in  
51 atherosclerotic lesions expressed HLA-DR in association with activated T-lymphocytes<sup>7</sup> and  
52 immunoglobulins. This finding was further supported by the local presence of oxLDL along  
53 with their specific antibodies and the T-cells that recognise them<sup>8,9</sup>. Subsequently, an  
54 overwhelming body of evidence has implicated the adaptive immune system in the  
55 development and progression of atherosclerosis<sup>2,10</sup>. More recent studies harnessing the latest  
56 developments in mass cytometry and single-cell omics technologies, provided further support  
57 for a role of adaptive immunity in ACVD<sup>11</sup>.

58 There is also ample epidemiological evidence supporting a role for adaptive immunity in  
59 ACVD, as patients with autoimmune diseases such as systemic lupus erythematosus (SLE),  
60 rheumatoid arthritis (RA) and other rheumatic diseases are at substantially increased risk of  
61 atherosclerosis and CV events. The levels of autoantibodies often associate with a higher CV  
62 risk, suggesting a detrimental role. Moreover, induction of autoimmunity in mice enhances  
63 atherosclerosis<sup>12</sup>, indicating a causal role.

64 Genome-wide association studies<sup>13</sup> also highlight the causal role of inflammation in  
65 promoting ACVD<sup>14</sup>, and genome-wide network-driven systems biology approaches identified  
66 genes involved in B-cell activation as potential key drivers<sup>13</sup>. Intriguingly, HLA genotypes  
67 only show weak association with ACVD<sup>15</sup>. However, this should not be interpreted as  
68 evidence for the lack of a causal role of autoimmune responses. It is likely that patients with  
69 autoimmune disease are severely under-represented in the ACVD case-control cohorts.

70 Furthermore, as discussed in this review, atherosclerosis does not manifest as a classic  
71 autoimmune disease with early breakdown of *tolerance* to self-antigens, but rather involves  
72 autoimmune responses to altered or modified self or stress-induced neo-self. Breakdown of  
73 tolerance, if it occurs, is a secondary and relatively late event in atherosclerosis. More  
74 generally, inflammatory responses are highly modulated by environmental factors, and are  
75 likely to be more important in rapid ACVD acceleration rather than linear slow progression,  
76 making it difficult to capture their causal role using GWAS<sup>16</sup>. Moreover, most GWAS  
77 included ACVD patients with a relatively high lifetime CV risk burden, particularly a  
78 relatively high cholesterol load. Pre-clinical data indicate that T- and B-cells are much  
79 stronger drivers of atherogenesis in mice with low compared to high cholesterol levels<sup>17</sup>.

80 Thus, it is likely that in cohorts where high cholesterol levels are not a strong driver, more  
81 immune-related variants could be identified. Our growing understanding of a fragmentation  
82 of large disease entities into smaller ones with different molecular profiles suggests that  
83 individual patho-mechanistic differences may also be responsible for the residual CV risk

84 despite optimal treatment of classic CV risk factors. In this regard, a deeper understanding of  
85 the interplay of adaptive immunity with the major CV risk factors on an individual level is  
86 needed. Finally, the substantially increased risk of cardiovascular inflammation,  
87 atherosclerosis progression and CV events after immune checkpoint inhibitor therapy<sup>18</sup> is an  
88 important reminder of the major role of adaptive immunity in cardiovascular homeostasis.  
89

## 90 **Why and how adaptive immunity is involved in atherosclerosis**

### 91 **The immuno-vascular unit in health**

92  
93  
94 Studies employing two-photon intravital microscopy, mass cytometry and single-cell  
95 transcriptomics have revealed the presence of several types of resident immune cells of  
96 lymphoid (T-cells, B-cells, natural killer and innate lymphoid cells) and myeloid  
97 (macrophages, dendritic cells, mast cells) origin in the intima and adventitia of normal  
98 arteries<sup>11</sup> (Fig.1). The adventitia is also a site of neuro-immune-vascular cell interactions<sup>19</sup>.  
99 The presence of such a vascular-associated lymphoid tissue (VALT) in healthy arteries<sup>20</sup>  
100 suggests that it may play a role in immune surveillance, and recent work indicates that it is  
101 also involved in maintaining vascular homeostasis and adaptation to haemodynamic cues.  
102

103 *Monocytes/macrophages/dendritic cells*: Circulating Gr1<sup>dim</sup> (mouse), CD14<sup>dim</sup>CD16<sup>+</sup>  
104 (human) monocytes that crawl on endothelial cells (EC) and patrol the vessels for the  
105 presence of danger- or damage-associated molecular patterns (DAMPs), fulfil an immune  
106 surveillance programme<sup>21</sup> (Fig.1). The number of patrolling monocytes increases in  
107 hypercholesterolemia, and their absence is generally associated with increased  
108 atherosclerosis<sup>22</sup>, suggesting a protective role.

109 Resident arterial macrophages originate from embryonic CX3CR1<sup>+</sup> precursors and,  
110 postnatally, from definitive haematopoiesis, and are maintained through local proliferation.  
111 They accumulate preferentially at branch points characterised by intimal thickening,  
112 suggesting a role for haemodynamic stresses. Their location shapes their resident phenotype  
113 and leads to distinct gene signatures for intimal (Mac<sup>AIR</sup>) versus adventitial macrophages<sup>23</sup>.  
114 The latter maintain arterial tone and regulate arterial remodelling in response to blood flow,  
115 in part through MMP9- and FXIIIa-dependent regulation of collagen accumulation<sup>24,25</sup>  
116 (Fig.1). Intimal macrophages maintain a non-thrombogenic intravascular state<sup>26</sup> and are the  
117 earliest foam cells that accumulate in plaques<sup>23</sup>. In mice, intimal macrophages have  
118 previously been confounded with vascular-associated dendritic cells (DCs), but it's unclear  
119 whether this holds true for human vascular DCs. Resting immature adventitial DCs have been  
120 described in healthy human arteries<sup>27</sup> and may play a role in maintaining immune tolerance  
121 (Fig.1). Spatial single-cell sequencing will be useful to characterise the various  
122 macrophage/DC subtypes and examine whether different subtypes populate distinct vascular  
123 beds and perform specific functions.  
124

125 *T-cells, B-cells and fat-associated lymphoid clusters (FALCs)*: In human arteries, T-cells  
126 mainly occur at sites of intimal thickening, whereas adventitial T-cells are found both in  
127 human and murine arteries and are thought to home constitutively to the adventitia, in part  
128 through an L-selectin-dependent mechanism<sup>28</sup> (Fig.1). Most of these T-cells are  $\alpha\beta$  CD4+  
129 cells with a few  $\gamma\delta$  CD4+ cells, as well as CD8+ T-cells. Some express CD25 suggesting an  
130 activation state. However, their characterisation remains incomplete.

131 B-cells are largely absent from the intima but are present in the adventitia of both healthy and  
132 atherosclerotic arteries (Fig.1). The fact that adoptively-transferred splenic B-cells also home  
133 to the adventitia of recipient non-atherosclerotic aortas<sup>28</sup> further underscores the artery wall

134 as a physiological niche for B-cells (Fig.1). Arterial homing of B-cells also depends in part  
135 on L-selectin<sup>28</sup>. A substantial proportion of T- and B-cells are located in the perivascular  
136 adipose tissue (PVAT), and may be organised within FALCs around stromal cells. Unlike  
137 secondary lymphoid organs (SLOs), FALC formation is independent of lymphoid tissue-  
138 inducer cells and the lymphotoxin-beta receptor pathway, but requires TNFR signalling and  
139 the presence of commensal flora. PVAT and FALCs contain substantial amount of innate B1-  
140 cells that secrete natural IgM<sup>29</sup>, including IgM with specificity for OSE<sup>30</sup>. Part of this B1-cell  
141 activation may be driven by innate lymphoid cells type 2 (ILC2)<sup>31</sup>, which are major  
142 producers of atheroprotective IL5 and IL13<sup>31-33</sup> (Box 2) (Fig.1). The fundamental triggers  
143 that initiate immune cell accumulation in normal arteries remain poorly understood (Box 3).  
144

### 145 **Medial immunoprivilege revisited**

146 The medial layer of the artery wall is highly protected from invasion by effector immune  
147 cells. Several mechanisms of *medial immunoprivilege* have been proposed<sup>34</sup>. Passive  
148 mechanisms include the presence of elastic laminae and the avascular nature of the media.  
149 However, these mechanisms are not entirely consistent with the sustained medial  
150 immunoprivilege of advanced plaques, even in the presence of extensive neoangiogenesis,  
151 abnormal lymphangiogenesis, and disrupted elastic laminae. Proposed active mechanisms  
152 include the relatively low expression of MHC and costimulatory molecules, the high  
153 expression of coinhibitory molecules, and the production of immunosuppressive factors,  
154 mainly IDO1 and TGF- $\beta$ , by medial vascular smooth muscle cells (VSMCs). However,  
155 VSMCs express high levels of MHC molecules and inflammatory mediators during  
156 atherosclerosis, and medial immunoprivilege is preserved in mice with IDO1 deficiency or  
157 disruption of TGF- $\beta$  signalling. Thus, medial immunoprivilege remains a mystery.  
158 Peripheral lymphoid stromal cells, particularly follicular dendritic cells (FDCs), play a  
159 critical role in *peripheral tolerance*. Their expression of self-antigen induces both deletional  
160 *T-cell tolerance*, and non-deletional self-tolerance that maintains antigen-specific regulatory  
161 T-cells (Tregs)<sup>35</sup>. Self-antigen expression by FDCs also controls the elimination of self-  
162 reactive B-cells, particularly those generated during secondary diversification in the *germinal*  
163 *centre*<sup>36</sup>. Interestingly, these stromal cells share the same peri-vascular precursor as VSMCs  
164 and thus express many similar transcripts, both during differentiation and at the mature  
165 stage<sup>37</sup>. Here, we propose that the common ontogenic origin of VSMCs and lymphoid  
166 stromal cells is the primary mechanism that ensures medial immunoprivilege. A breakdown  
167 in lymphoid stromal cell-dependent tolerance or a substantial alteration or loss of VSMCs  
168 would be required to disrupt the immunoprivilege of the arterial media.  
169

### 170 **The immuno-vascular unit in atherosclerotic disease**

171  
172 Given the immunoprivilege of the media, it is unlikely that pathogenic adaptive immune cells  
173 are activated in response to arterial media components. Intimal and adventitial T-cell  
174 accumulation most likely indicates an adaptive response towards altered components of, or  
175 present in, these arterial layers. The oligoclonal T-cell repertoire as well as the limited sets of  
176 hypermutated VH regions and inverted  $\kappa/\lambda$  light chain ratio of B-cells found in  
177 atherosclerotic lesions<sup>38,39</sup> suggest an active expansion of antigen-specific T- and B-cell  
178 clones. The autoimmune responses most studied in this context are those directed toward  
179 lipoprotein components trapped in the artery wall<sup>2,40</sup>, and toward proteins expressed by  
180 stressed ECs<sup>20</sup>  
181

182 *Adaptive T-cell immunity in atherosclerosis:*

183 How do activated T-cells accumulate in inflamed arteries? Naïve CD4+ T-cell priming in the  
184 artery wall<sup>41</sup> is likely to be a marginal phenomenon in the early stages of atherosclerosis.  
185 Adventitial resident DCs are more likely than intimal macrophages to migrate to the draining  
186 lymph nodes (LNs) for T-cell activation. Therefore, activated T-cells in early lesions are  
187 mostly likely recruited as pre-activated (in draining LNs and SLOs) effector memory T-cells  
188 (TEM) or Tregs, transmigrating through activated (and potentially antigen-presenting) ECs.  
189 Chemokine/chemokine receptor pairs involved in TEM recruitment to the inflamed  
190 vasculature (e.g. CX3CL1/CX3CR1) are likely to be different from those involved in Treg  
191 recruitment (e.g., CCL5/CCR5<sup>42</sup>, CCL1/CCR8<sup>43</sup>) (Fig.1). Once in the artery wall, some of  
192 these T-cells may adopt a resident memory phenotype with low levels of S1PR1 and high  
193 expression of CD103 and CD69<sup>41</sup>. The latter binds oxLDL, limiting TH17 differentiation  
194 while favouring atheroprotective Tregs<sup>44</sup>.  
195 LDL particles can also reach SLOs systemically and, in the absence of systemic  
196 inflammation (i.e. early atherosclerosis), ApoB peptides are likely to be presented in a  
197 tolerogenic manner, generating antigen-specific Tregs rather than T effector cells (Teffs)  
198 (Fig.1). This would explain why most ApoB-specific T-cells display a regulatory phenotype  
199 in the absence of overt atherosclerosis<sup>45</sup>. It also provides a plausible explanation for the initial  
200 surge in Tregs rather than Teffs in response to hypercholesterolemia in mice<sup>46</sup>. This scenario  
201 suggests that the first (ApoB-specific) T-cells that accumulate in lesions are likely to be  
202 Tregs, which may subsequently switch to helper and effector memory phenotypes with the  
203 increased burden of local and systemic inflammation<sup>47</sup>. It is also plausible that ApoB-specific  
204 Tregs are instructed in the liver, where ApoB is produced<sup>48</sup>.  
205 Different scenarios may arise with different antigens, either sequentially or concomitantly.  
206 Among these scenarios is the example of autoimmunity to heat shock proteins (HSPs), where  
207 pre-existing immunity to ubiquitous HSP60 (a highly immunogenic microbial antigen) could  
208 lead to cross-reactivity with autologous HSP60 (overexpressed on stressed ECs), triggering  
209 intimal inflammation<sup>20</sup>. Wick et al. showed that T-cells from early human atherosclerotic  
210 lesions are IFN $\gamma$ -producing memory effector CD4+ cells, and respond to HSP60 and HSP60-  
211 derived peptides in vitro<sup>49</sup>. This recall response was associated with the generation of  
212 pathogenic anti-HSP60 antibodies. However, the early lesions assessed in that study were  
213 from individuals with “inflammatory storm” conditions. The latter may have induced an  
214 activated T-cell phenotype, and therefore, it remains unclear whether HSP-specific Teffs are  
215 truly predominant in early atherosclerosis. Furthermore, most of these studies have focussed  
216 on intimal T-cells, and the antigens that trigger effector T-cell responses in the adventitia  
217 remain to be identified.

#### 218 *A shift from regulatory to pathogenic T-cell immunity:*

219 Depletion of CD4+ T-cells accelerates early atherosclerosis in mice<sup>50</sup>, supporting the concept  
220 that early CD4+ T-cell responses are mostly regulatory and atheroprotective. So, what shifts  
221 T-cell responses from regulatory to effector? There’s not a particular type of APC shown to  
222 selectively promote a Teff versus a Treg phenotype in atherosclerosis<sup>51,52</sup>. Thus, the  
223 activation state of the APC and the microenvironment of antigen presentation are paramount.  
224 Sustained local inflammation certainly plays a role, activating macrophages and DCs and  
225 changing their metabolism and signalling pathways to upregulate costimulatory molecules  
226 (e.g., CD40) and pro-inflammatory cytokines (e.g., IL1, IL6) and chemokines (e.g., CCL17),  
227 while downregulating coinhibitory molecules (e.g., PDL1) and anti-inflammatory mediators  
228 (e.g., IL10, TGF- $\beta$ )<sup>53-56</sup>. In addition, changes in T-cell metabolism, driven by  
229 hypercholesterolemia, alterations in lipid synthesis pathways, hypoxia and other  
230 microenvironmental cues, may substantially alter the Tregs’ suppressive phenotype<sup>57,58</sup>.  
231 Interestingly, sustained activation of Tregs by self-antigens in an inflammatory environment  
232

233 affects the demethylated region in the *Foxp3* locus, downregulating *Foxp3* expression and  
234 destabilising Tregs<sup>59</sup>. These mechanisms could account for the increased plasticity of Tregs<sup>60</sup>  
235 and their progressive decline during disease progression, as well as the enrichment of TH1-  
236 and TH17-related phenotypes in ApoB-specific T-cells of patients with atherosclerosis  
237 compared with those free of ACVD<sup>47</sup> (Fig.1).

238  
239 The role of the various CD4+ T-cell subsets has been extensively reviewed<sup>2</sup>. Foxp3+ Tregs  
240 and IL10-producing TR1 cells are protective, whereas TH1 cells promote disease  
241 development. The roles of TH2 and TH17 cells appear to be contextual and most likely  
242 depend on the relative abundance of pro- and anti-atherogenic cytokines produced by these  
243 T-cells<sup>2,61</sup>. It is important to note that a clear distinction between the various TH archetypes  
244 may not be relevant in vivo and it is highly likely that most plaque T-cells display a  
245 continuum of helper phenotypes. Indeed, recent single-cell studies in advanced human  
246 plaques described an enrichment of chronically activated effector memory T-cells displaying  
247 a resident phenotype and enriched in IFN pathways, but TH-cell-specific transcription factors  
248 could not be linked to specific clusters<sup>62,63</sup>. There is genetic and experimental evidence for a  
249 significant role of IL1, IL6 and IL18 pathways in ACVD. Intriguingly, IL1 and IL6 signalling  
250 pathways were more likely to be activated in CD4+ T-cells of asymptomatic compared to  
251 symptomatic human carotid plaques<sup>62</sup>. The therapeutic implications of this finding remain  
252 unclear.

253  
254 Many other T-cell subtypes have been suggested to modulate atherosclerosis, but these  
255 subsets, and in particular their antigens, remain poorly characterised. The preferential  
256 distribution of  $\gamma\delta$  CD4+ T-cells, NKT cells<sup>64</sup> and MAIT cells in the gut and liver suggests  
257 that these subsets are more likely to play a role in atherosclerosis in the context of metabolic  
258 syndrome, through their immune and metabolic activities<sup>65</sup>. The role of CD8+ T-cells will  
259 require further attention. These cells are enriched in advanced human lesions and display  
260 several phenotypes<sup>62,63</sup>, including central memory, effector memory and cytotoxic  
261 phenotypes, with exhausted CD8+ T-cells being enriched in symptomatic compared with  
262 asymptomatic carotid plaques<sup>62</sup>. CD8+ T-cells are suggested to promote atherosclerosis  
263 through target-cell lysis or induction of monopoiesis<sup>66</sup>. Their antigen specificity is still  
264 largely unknown, although some CD8+ T-cells recognise ApoB100-derived peptides<sup>67</sup>. A  
265 Qa-1-restricted regulatory CD8+ T-cell subset was shown to limit atherosclerosis in mice  
266 through the control of the T follicular helper (TFH)-germinal centre (GC) B-cell response  
267 (see below)<sup>68</sup>. Its relevance to human atherosclerosis remains unexplored.

268  
269 *Adaptive B-cell immunity in atherosclerosis:*

270 Follicular B2-cells represent the major part of B-cells in secondary lymphoid organs and the  
271 circulating blood. B2-cells are primarily involved in T-cell-dependent responses, as they  
272 differentiate into GC B-cells undergoing class-switching and affinity maturation aided by  
273 TFH-cells. Analyses of atherosclerotic arteries support a relative expansion of B2-like over  
274 B1-like cells, and the accumulation of activated plasmablasts<sup>11,39</sup>. In advanced disease, some  
275 VSMCs and other local stromal cells express high levels of CXCL13 and CCL21 and adopt  
276 features of lymphoid tissue organizer-like cells. B-cells in the adventitia become organized in  
277 artery tertiary lymphoid organs (ATLOs)<sup>69</sup> that represent a site of recruitment to the artery  
278 wall and contain different subsets of B-cells that participate in GC responses<sup>70</sup> (Fig.1).  
279 Despite the documented presence of B-cells in the vascular wall, their direct local  
280 contribution to atherogenesis is largely unknown. ATLOs are atheroprotective in mice<sup>69</sup>.  
281 However, it's unclear whether this is due to protective local B-cell functions or to the  
282 predominance of induced immunosuppressive Tregs in ATLOs<sup>69</sup>. Moreover, effector

283 functions associated with antibody production do not require local B-cells, as specific  
284 antibodies produced in the bone marrow or the spleen can reach plaques via the  
285 circulation<sup>71,72</sup>.  
286 The activation of B2-cells is responsible for the production of class-switched antibodies  
287 against modified lipoproteins and other self-components. The help of the TFH, found  
288 primarily in B-cell follicles, is required for the generation of memory B-cells and long-lived  
289 plasma cells secreting affinity matured class-switched antibodies. TFH-cell deficiency  
290 appears to limit atherogenesis<sup>58</sup>. However, this requires further exploration since TFH-cells  
291 located outside the GC may be required for both switched and un-switched extra-follicular  
292 responses, with potentially different effects on atherosclerosis. Of note, activation of MZ B-  
293 cells impairs TFH-cells via upregulation of PDL-1, thereby limiting pro-atherogenic T-cell-  
294 dependent responses<sup>73</sup>.

295  
296 Antibody-mediated atherogenic functions of B2-cells: FO B-cells are pro-atherogenic; their  
297 preferential depletion with CD20-targeted antibodies or by genetic targeting of the BAFFR  
298 pathway reduces atherosclerosis<sup>74-76</sup>. Because FO B-cells participate in GC reactions, the  
299 likely explanation for their pro-atherogenic properties is that they contribute to the generation  
300 of pathogenic high-affinity IgG antibodies. Hyperlipidaemia in mice promotes a GC response  
301 with increased serum levels of IgG2b and IgG2c, as well as OSE-specific IgGs and several  
302 classical autoantibodies<sup>77</sup>. Of note, Apoe<sup>-/-</sup> mice that are unable to make IgGs due to a  
303 deficiency of the activation-induced deaminase, which is critical for class-switch  
304 recombination, displayed increased total and MDA-specific IgM levels and developed less  
305 atherosclerosis<sup>78</sup>. Genetic ablation of GC-derived antibodies greatly reduced lesion size in  
306 Apoe<sup>-/-</sup> mice<sup>71,79</sup>. Moreover, administration of IgG preparation from plasma of  
307 atherosclerotic Apoe<sup>-/-</sup> mice aggravated disease<sup>71</sup>. These data indicate that GC-derived  
308 antibodies have the capacity to promote disease. However, only few pathogenic IgG antibody  
309 responses have been described, such as anti-HSP60/65 IgGs that bind HSP60 in stressed ECs  
310 and promote atherogenesis by antibody-dependent cell-mediated cytotoxicity<sup>20</sup>, and IgG  
311 autoantibodies to the 78 kDa glucose-regulated protein, which has been described as another  
312 endothelial-derived autoantigen<sup>80</sup>, that trigger EC activation. Thus, there is still a great need  
313 to identify the antigens of GC-derived pathogenic antibodies (Box 4).

314  
315 Antibody-mediated atheroprotective functions of B2-cells: Besides the atheroprotective  
316 properties of B1- and MZ B-derived OSE-specific IgM antibodies<sup>10 81</sup> (see Box 1), GC-  
317 derived IgGs may also have protective functions<sup>50</sup>, such as promoting SMC proliferation and  
318 plaque stability<sup>79</sup>. Passive immunization of Ldlr<sup>-/-</sup> mice with a recently characterised  
319 autoantigen, ALDH4A1, resulted in reduced lipid levels and decreased atherosclerosis<sup>82</sup>. T-  
320 cell-dependent IgGs with reactivity for ApoB were also shown to protect from atherosclerosis  
321 by promoting LDL clearance and reducing serum cholesterol levels in TCR-transgenic  
322 mice<sup>83</sup>. Moreover, despite in vitro studies demonstrating proinflammatory effects of anti-  
323 oxLDL IgG in macrophages<sup>84</sup> and several epidemiological studies demonstrating an  
324 association of anti-oxLDL IgG titers with ACVD<sup>85</sup>, direct experimental evidence of a  
325 proatherogenic role in vivo is missing. In contrast, numerous immunization studies in mice  
326 and rabbits, which initially aimed at establishing a pro-atherogenic role for anti-oxLDL  
327 immune responses, demonstrated a robust induction of T-cell-dependent IgGs and reduced  
328 atherosclerosis<sup>10</sup>. For example, immunization with models of OSE generally induced a TH2-  
329 biased response, for which IgG1 are surrogate markers<sup>32,86</sup>. While other mechanisms may be  
330 at play, there is also direct evidence for an atheroprotective effect of OSE-specific IgGs.  
331 Indeed, infusion of monoclonal human IgG1 against the OSEs MDA-LDL and PC protect  
332 from atherosclerosis in mice<sup>87,88</sup>. Due to the human origin of the infused IgGs, the

333 contribution of the IgG subclass cannot be deduced, but it is likely important for the  
334 protective capacity of these IgGs. Blockade of the many pro-inflammatory properties of  
335 oxLDL may be part of the protective effect, as mice expressing a single-chain version of the  
336 anti-PC IgM, E06, developed reduced inflammation and decreased atherosclerosis<sup>89</sup>.

337  
338 Fc receptor-mediated effects of antibodies: To fully understand the contribution of class-  
339 switched antibody responses in atherosclerosis, not only antigen specificity, but also the  
340 different effector functions of antibody subclasses need to be considered<sup>81</sup>. Key effector  
341 functions that also need to be explored in context of the bound antigens are neutralization and  
342 clearance of antigens (e.g. of oxLDL and self-antigens), antibody-dependent cell-mediated  
343 cytotoxicity (e.g. of HSP60 expressing ECs), complement activation and inflammation, as  
344 well as cellular activation. For the latter, the role of Fc $\gamma$ -receptors has been studied in a series  
345 of settings and in general indicated an overall pathogenic role for activating Fc $\gamma$  receptors and  
346 protective effects of the inhibitory Fc $\gamma$ RIIB<sup>10,90</sup>. However, these effects are complicated by  
347 sexual dimorphism, disease-stage specific effects, cell-type specific differences, and potential  
348 non-canonical roles of these receptors<sup>10,90</sup>. Future studies need to further dissect the  
349 involvement of Fc $\gamma$  receptors in mediating IgG effector functions independent of  
350 immunomodulatory roles and in an antigen-dependent manner. A clear proatherogenic role  
351 has been found for IgE antibodies, which trigger mast cell and macrophage activation via  
352 Fc $\epsilon$ R in the artery wall<sup>91,92</sup>. Whether these effects involve the recognition of specific antigens  
353 remains to be shown.

354  
355 Other effector functions of B-cells: B-cells also contribute to atherosclerosis through cytokine  
356 secretion. For example, expression of TNF by B-cells may be in part responsible for the  
357 proatherogenic effects of B2-cells<sup>93</sup>, and IRA B-cells are GM-CSF-secreting B-cells that  
358 promote atherogenesis through GM-CSF-mediated DC activation<sup>94</sup>. The role of B-cell-  
359 derived IL10 is still unclear and requires further studies<sup>10</sup>. For all these subsets, it remains  
360 unclear if their effects are mediated as simple bystander activities or whether they are  
361 associated with certain BCR identities.

362  
363 Finally, recent studies indicate that the peripheral nervous system is involved in an artery-  
364 brain cross-talk impacting local neuro-immune-vascular interactions in the adventitia with  
365 important consequences on atherosclerosis progression in mice<sup>19</sup>. The implication of this  
366 circuit for human atherosclerosis is currently unknown.

367

## 368 **Adaptive immunity in response to cardiac ischaemic injury**

369

### 370 **Resident DCs and T-cells in normal hearts:**

371 The normal heart harbours several populations of resident macrophages and several  
372 populations of DCs, including monocyte-derived DCs, cDC1 and cDC2<sup>95</sup>. The precise spatial  
373 distribution of DC subsets is poorly characterised. However, these DCs, namely IRF8-  
374 dependent cDC1, drive the generation of autoreactive Tregs that are specific for cardiac self-  
375 antigens, particularly  $\alpha$ -myosin heavy chain (MYHCA)<sup>96</sup>. This is an important homeostatic  
376 mechanism ensuring peripheral non-deletional tolerance to a self-antigen that escapes central  
377 negative selection<sup>97</sup> (Fig.2a). T-cells in normal hearts are enriched in selective TRBV-J  
378 rearrangements and TRBV gene segments compared to peripheral blood, indicating that they  
379 may recognize and tolerise against tissue-specific antigens<sup>98</sup>. Single-cell TCR sequencing  
380 will facilitate the characterisation of these T-cells and their antigen specificities. It will also  
381 be important to dissect the different steps involved in maintaining peripheral tolerance to  
382 cardiac-specific antigens. A well-developed and functional cardiac lymphatic network could

383 facilitate this immune surveillance task. Biomechanically-induced IL33 may  
384 promote/maintain immunosuppressive and reparative ST2+ cardiac Tregs<sup>99</sup>. Furthermore, the  
385 heart produces hepatocyte growth factor, which is known to induce immune-regulatory DCs  
386 and instructs T-cell cardiotropism by binding to its receptor c-Met in draining LNs, inducing  
387 the release of  $\beta$  chemokines and promoting T-cell recruitment through CCR5<sup>100</sup>. This  
388 mechanism may be required for cardiac Treg-cell accumulation at steady state, and is  
389 consistent with the role of CCR5 in promoting Treg-cell recruitment to the heart after MI,  
390 suppressing inflammation and reducing adverse remodelling<sup>101</sup> (Fig.2a).

391

### 392 **Adaptive T-cell immunity in response to MI:**

393 Ischaemic injury releases cardiac self-antigens in an inflammatory milieu that promotes  
394 maturation and activation of APCs<sup>96</sup> (Fig.2b). The infiltrating monocytes facilitate cardiac  
395 self-antigen trafficking to draining LNs, promoting the development of pathogenic  
396 autoreactive T-cells<sup>102</sup>. At the exception of pDCs, all other DC subtypes were shown to  
397 licence pathogenic autoreactive Th/Teff cells<sup>96,98,103</sup> (Fig.2b). The mechanisms responsible  
398 for the recruitment, retention and further activation of pathogenic CD4+ T-cells post-MI  
399 remain poorly understood. CX3CR1 expression on human CD4+ T-cells post-MI was  
400 associated with their decline in the circulation, potentially attracted to the injured  
401 myocardium by increased production of CX3CL1<sup>104</sup>. This is consistent with the mechanisms  
402 of tissue recruitment of TEM, but additional mechanisms are likely at play (Fig.2b). CD4+ T-  
403 cells of the ischaemic heart show a preferential expansion of TRBV gene segments and  
404 TRBV-J rearrangements compared to circulating T-cells<sup>98</sup>. It's still unclear, however,  
405 whether these clones are different from those found in non-ischaemic hearts, or whether the  
406 same clones that are present before ischaemia switch from naïve and regulatory to effector  
407 memory and Th1/Th17 phenotypes. This early CD4+ T-cell activation post-MI is detrimental  
408 overall and contributes to increased infarct size and reduced heart function<sup>105</sup>. CD8+ T-cells  
409 are also activated and accumulate in the ischaemic heart<sup>98</sup>, producing IFN $\gamma$  and displaying  
410 cytotoxicity toward cardiomyocytes<sup>106</sup> (Fig.2b). Although their antigen specificity is still  
411 unknown, their granzyme B-dependent<sup>106</sup> detrimental effect<sup>107</sup> requires the recognition of  
412 self-antigens in an MHC-I-restricted manner<sup>106</sup>. This is consistent with the detrimental role of  
413 CLEC9A-expressing cDC1, which promote CD8+ T-cell cross-priming<sup>107</sup>. Similar to CD4+  
414 T-cells, a role for CX3CR1-CX3CL1 pathway has been proposed in myocardial homing of  
415 CD8+ T-cells and their detrimental role<sup>104</sup>. The same group described accelerated senescence  
416 of circulating effector memory TEMRA CD8+ T-cells<sup>108</sup>. However, the implication of this  
417 finding to post-MI cardiac remodelling is unclear. The role of other T-cell subsets requires  
418 further investigation. The TCR repertoire of  $\gamma\delta$  T-cells becomes significantly restricted in  
419 patients with acute MI in association with increased expression of IL17A<sup>109</sup>. CD4-CD8- T-  
420 cells also accumulate in large numbers in ischaemic hearts<sup>107</sup> but their significance and role  
421 remain unexplored.

422

423 The appearance of pathogenic autoreactive T-cells post-MI may constitute a threat to immune  
424 homeostasis. However, in most circumstances and in the absence of an autoimmune-prone  
425 background, overt cardiac autoimmunity doesn't develop. This may be explained, in part, by  
426 the concomitant increase in cardiac self-antigen-specific Tregs<sup>3,99,110</sup>. The ischaemic heart  
427 sustains the recruitment of circulating Tregs and their local proliferation and expansion<sup>99</sup>, and  
428 induces the conversion of recruited T conventional cells into Tregs<sup>3</sup>. However, the  
429 mechanisms responsible for these effects are poorly understood. Enhanced release of IL33  
430 from stressed necrotic cells may play a role. Other data point to the presence of a local  
431 paracrine/autocrine adenosinergic loop enhancing Treg immunosuppressive effects<sup>105 111</sup>.  
432 Several additional hypotheses merit exploration. The hypoxic environment could maintain a

433 tolerogenic DC phenotype. Furthermore, the site of T-cell priming outside the heart may play  
434 a role. Autoreactive T-cell priming by DCs in the spleen after MI has been shown to promote  
435 Treg generation<sup>112</sup>, whereas DC-dependent priming of T-cells in draining LNs, supported by  
436 high levels of HGF and CXCL10, may drive a sustained recruitment of pathogenic T-cells<sup>100</sup>  
437 (Fig.2b).

438  
439 Although CD4<sup>+</sup> T-cell depletion is protective at the acute phase of MI<sup>105</sup>, total CD4 T-cell  
440 deficiency in mice impairs long-term cardiac remodelling and the recovery of heart function,  
441 suggesting an overall protective role of CD4<sup>+</sup> T-cells<sup>113</sup>. Indeed, a series of experimental  
442 studies point to a protective role of Tregs in experimental MI<sup>114,115</sup> and low levels of  
443 circulating CD4<sup>+</sup>Foxp3<sup>+</sup> Tregs in humans correlate with increased risk of acute coronary  
444 events at follow-up<sup>116</sup>. Cardioprotective effects of Tregs are diverse and include the  
445 regulation of adaptive and innate immune responses, their impact on stromal cells,  
446 modulating fibroblast activation and matrix deposition<sup>115,99</sup>, and their regulation of  
447 cardiomyocyte apoptosis and proliferation<sup>117</sup>. Despite the relative preservation of Tregs at the  
448 acute phase of MI, a subset of Tregs may become dysfunctional and potentially pathogenic  
449 over time<sup>118</sup>. Those Tregs appear to have lost their suppressive properties, upregulated the  
450 expression of pro-inflammatory mediators (TNF, TNFR1, IFN $\gamma$ ) and acquired anti-  
451 angiogenic properties<sup>118</sup>. The molecular pathways responsible for these alterations require  
452 further investigation.

453

#### 454 **B-cell responses to cardiac ischaemic injury:**

455 B-cells, including B1-like and B2-like cells, represent a large portion of resident leukocytes  
456 in the myocardium<sup>119,120</sup>. They represent circulating cells that slowly transit through the  
457 myocardium to support cardiac homeostasis. Additional resident B1-like cells are found in  
458 the pericardial adipose tissue as part of FALCs<sup>121,122</sup> (Fig.2a). Intravascular myocardial B-  
459 cells appear to support the expression of MHC-II on resident cardiac macrophages<sup>123</sup>.  
460 However, the (patho)physiological relevance of this finding is currently unclear. Studies in  
461 mice and rats have shown that within 7 days following MI, more B-cells accumulate in the  
462 myocardium and pericardial FALCs<sup>124 121</sup>. Pericardial B-cells produce GM-CSF post-MI and  
463 promote CCR7-mediated DC migration and T-cell activation in pericardial adipose tissue,  
464 with detrimental consequences on post-MI remodelling and recovery of heart function<sup>121</sup>  
465 (Fig.2b). Systemic B-cells and more particularly MZ B-cells also become activated early  
466 after MI and their depletion improves cardiac remodelling and the recovery of heart  
467 function<sup>124,125</sup>. The detrimental effect of mature B-cells is attributed to their pro-inflammatory  
468 role, producing CCL7 and promoting monocyte mobilisation and recruitment<sup>124,125</sup> (Fig.2b).  
469 Except for certain natural IgM against non-myosin heavy chain II that promote myocardial  
470 damage<sup>126</sup>, the immediate effects of B-cells are unlikely to be dependent on specific  
471 antibodies. However, following the acute phase, myocardial ischemia triggers GC formation  
472 in draining mediastinal LNs<sup>4</sup> (Fig.2b). Such newly-induced autoantibodies, e.g. against  
473 cardiac myosin, have been associated with post-MI heart failure<sup>127</sup>. Thus, it is possible that  
474 MI leads to the emergence of autoantigens released during myocardial damage, which in turn  
475 trigger autoimmune responses and memory B-cells that worsen cardiac function and may  
476 even accelerate atherosclerosis post-MI<sup>4</sup>. The latter is particularly relevant in the setting of  
477 secondary prevention. However, not all B-cells are detrimental. IL-10-producing B-cells of  
478 the pericardial adipose tissue may help in inflammation resolution and heart recovery post-  
479 MI<sup>122</sup> (Fig.2).

480

#### 481 **Targeting adaptive immune responses for patient benefit**

482

483 A few important points should be considered regardless of which therapeutic strategy is  
484 pursued to target the immune response in ACVD (Box 5).

485  
486 Harnessing the adaptive immune system for patient benefit could be pursued through various  
487 modalities. The therapeutic objective is to break the vicious circle of pathogenic adaptive  
488 immune activation and promote regulatory homeostatic immunity. Here, we will highlight a  
489 few immunomodulatory approaches in development (Fig.3).

#### 491 **Deleting/neutralising pro-atherogenic immunity**

492 *Targeting pathogenic B-cells:* CD20-mediated B-cell depletion reduces atherosclerosis, MI-  
493 remodelling and post-MI accelerated atherosclerosis in experimental models<sup>4,74,75,124</sup>. CD20  
494 antibodies preferentially deplete B2-cells and preserve atheroprotective B1-cells. The RITA-  
495 MI phase 1/2a trial tested the safety and tolerability of a single intravenous injection of  
496 rituximab in patients with acute STEMI<sup>128</sup>. The treatment led to a rapid and dose-dependent  
497 reduction in circulating B-cells and echocardiographic data suggested improved LV-ejection  
498 fraction after 6 months. This impact on cardiac remodelling is now being tested in the phase  
499 2b RITA-MI2. A phase 2 trial of Rituximab in patients with systolic heart failure is also  
500 underway (NCT03332888).

501 Belimumab is approved for treatment of SLE. It targets BAFF, a cytokine of the  
502 BAFF/APRIL system that is essential for B2-cell survival. While targeting of the BAFFR  
503 was atheroprotective in mice<sup>76</sup>, BAFF neutralization was proatherogenic due to non-  
504 canonical atheroprotective effects of BAFF signalling in myeloid cells that inhibits TLR9-  
505 IRF7-dependent inflammatory responses<sup>129</sup>. Thus, caution is warranted regarding this specific  
506 B-cell depletion approach.

507 Several other B-cell depleting agents are being developed (e.g. targeting CD22 and CD19),  
508 but they may also deplete protective subsets and data in experimental atherosclerosis are  
509 lacking.

510  
511 *Monoclonal anti-OSE antibodies:* Neutralizing different OSE, such as MDA or PC, with  
512 specific humanized mAb has been successful in preclinical ACVD models<sup>87,88</sup>. The efficacy  
513 of anti-MDA antibodies in humans has been tested in the GLACIER trial which assessed  
514 aortic <sup>18</sup>F-FDG-PET uptake in patients receiving orlicumab (human anti-MDA-ApoB100  
515 IgG1) over a period of 12 weeks<sup>130</sup>. While this trial did not show an effect, two randomized  
516 double-blind placebo-controlled phase 2 trials with different study design are underway.  
517 NCT04776629 investigates the effect of orlicumab on coronary plaque burden in psoriatic  
518 patients with increased CVD risk, and NCT03991143 investigates the effect of a human IgG1  
519 against PC (ATH3G10) on CV outcomes in STEMI patients. Future approaches could  
520 employ RNA-based technologies to produce sustained levels of protective antibodies.

521  
522 *Immunogenic vaccination strategies:* A range of vaccination strategies that raise anti-OSEs  
523 antibodies are atheroprotective in animal models<sup>40,86,131,132</sup>. In particular, the molecular  
524 mimicry between PC and the capsular polysaccharide of *S. pneumoniae* has been exploited to  
525 trigger high levels of atheroprotective anti-PC IgM antibodies in Ldlr<sup>-/-</sup> mice by immunizing  
526 them with pneumococcal extracts<sup>131</sup>. This prompted the idea to translate these findings and  
527 evaluate if vaccination with approved polyvalent pneumococcal vaccines also induces anti-  
528 PC antibodies and reduces cardiovascular events. However, PC is not a major constituent of  
529 pneumococcal vaccine preparations, and small studies using the 13-valent conjugate  
530 pneumococcal vaccine Prevnar-13 failed to show a robust induction of anti-oxLDL or anti-  
531 PC antibodies and special vaccination protocols may be required<sup>133,134</sup>. The effect of the 23-  
532 valent vaccine Pneumovax 23 on cardiovascular outcomes (ACS, ischaemic stroke) is

533 currently tested in the randomized placebo-controlled AUSPICE trial<sup>135</sup>. It will be interesting  
534 if this study confirms the cardioprotective signals from a meta-analysis of several  
535 observational studies<sup>136</sup>.

536

### 537 **Re-establishing immune homeostasis**

538 *IL2-based therapeutics: Low-dose IL2:* The concept of low-dose IL2 therapy in CVD<sup>137</sup>  
539 builds on the essential role of IL2 in promoting Tregs, and the exquisite sensitivity of these  
540 cells to ultra-low doses of IL2. IL2 also limits the switch of autoreactive Tregs to Teffs that  
541 could result from TCR overactivation<sup>59</sup>. A first proof-of-concept safety and biological  
542 efficacy trial, LILACS, was completed. Low-dose IL2 significantly expanded Tregs in  
543 patients with stable and unstable ischaemic heart disease without adverse events of major  
544 concern<sup>138</sup>. A dose of  $1.5 \times 10^6$  IU was selected and is currently being tested in IVORY  
545 (NCT04241601), a randomised, double-blind, placebo-controlled trial testing the superiority  
546 of low-dose IL2 in reducing vascular inflammation in ACS patients. Low-dose IL2 therapy  
547 may have protective effects beyond Tregs. In LILCAS, low-dose IL2 increased the activation  
548 of ILC2<sup>139</sup>, an immune cell population with protective roles in atherosclerosis<sup>31</sup> and cardiac  
549 remodelling post-MI<sup>139</sup>.

550 Other IL2 biologics: More targeted approaches to enhance IL2 selectivity are being  
551 developed, e.g., an antibody-IL2 conjugate that induces a conformational change resulting in  
552 lower affinity for IL2R $\alpha$  and competitive advantage for Tregs<sup>140</sup>. Other approaches include  
553 IL2 muteins with enhanced Treg selectivity<sup>141</sup>, some of which are tested in clinical trials  
554 (NCT03422627;NCT03451422;NCT03410056). Cell therapy approaches are also in  
555 development using engineered T-cells that express defined ligand (normal or mutated IL2)-  
556 receptor (mutated versions of the IL2R subunits) complexes, and that signal exclusively  
557 together<sup>142</sup>.

558

559 *Cell therapy-based approaches:* Chimeric antigen receptor (CAR) expression renders a  
560 population of T-cells uniformly specific for a defined antigen and has revolutionised T-cell  
561 therapy. Engineered CAR-Tregs that express scFv antibodies directed against atherosclerosis-  
562 relevant epitopes, e.g., OSEs, are expected to preferentially home to disease sites and  
563 suppress local inflammation. Such CAR-Tregs may also be engineered to express their own  
564 IL2, further stabilising them and enhancing their efficacy. Technological breakthroughs  
565 allowing for the use of allogeneic cells would overcome major hurdles in translating this  
566 technology to the clinic.

567

568 *Tolerogenic vaccination strategies:* The discovery that Tregs are atheroprotective<sup>143</sup> opened  
569 the possibility for therapeutic strategies that promote antigen-specific Tregs<sup>144</sup>. Several  
570 tolerogenic strategies were tested, particularly using MHC-II-restricted ApoB peptides, and  
571 were shown to reduce atherogenesis, likely in a Treg-dependent manner<sup>45,145</sup>. Unfortunately,  
572 translation of this knowledge to the clinic has been very slow. This is due to several factors,  
573 including but not limited to insufficient knowledge and characterisation of the adaptive  
574 immune response in human atherosclerosis, the need for correct HLA class-II matching for  
575 peptide-based vaccines, as well as uncertainty about the vaccination route and the type of  
576 adjuvant needed to induce protective autoantibodies (while preventing the generation of  
577 pathogenic T-cells and autoantibodies), or required to elicit a tolerogenic Treg response. Our  
578 increasing knowledge of the adaptive immune response of human atherosclerosis, boosted by  
579 the advent of single-cell multiomics technologies, along with the revolution in vaccine design  
580 and delivery, as shown by the exceptional efficacy of combining RNA and lipid nanoparticle  
581 technologies to combat Covid-19, will help overcome these hurdles and will accelerate the  
582 development of various immunogenic and tolerogenic vaccines to prevent and treat ACVD.

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### **Perspectives and conclusion**

Despite unequivocal evidence for a fundamental role of the immune system in ACVD, newly developed anti-inflammatory treatments were shown to be incremental or ineffective<sup>5</sup>. We believe that two major areas with fundamental gaps in our knowledge deserve a particular attention.

Despite substantial evidence from GWAS for a major role of inflammation in human atherosclerosis, there's a huge gap in characterising the causal mechanistic pathways. We operate at distance from biology, and we desperately lack the comprehensive cell-type and disease-specific phenotyping information that will enable us to produce useful knowledge. The second gap is due to our reliance on an outdated morphological classification of atherosclerosis. We need to move towards the medicine of the future and establish a molecular classification of atherosclerosis. This will require comprehensive insight at single-cell resolution, studying healthy and diseased arteries, at all major arterial sites and across space and time. Deep characterisation of antigen-specific T- and B-cells along with their antigens and antibodies must be conducted in a systematic way, at different anatomical sites (arteries, SLOs, ATLOs, blood), in large numbers of individuals and at various time points of the disease process. This effort will be facilitated by the continuous development of advanced single-cell technologies and computational analysis, coupled with powerful antigen screening libraries and advanced proteomics, allowing for the prioritisation of atherosclerosis-specific immune receptors and their relevant antigens and antibodies. These can then be harnessed for the development of transformative diagnostic and therapeutic strategies. The exceptional recent advances in RNA-based and cell-based therapies are expected to further facilitate and accelerate the translation of this knowledge into benefit for patients.

611 **Conflict of interest:** None.

612 **Author contributions:** ZM and CJB wrote the manuscript and reviewed it for important  
613 intellectual content.

614 **Figures**

615

616 **Figure 1. The "immuno-vascular unit" in health and atherosclerotic disease**

617 A vascular-associated lymphoid tissue is present in normal healthy arteries and comprises a  
618 variety of immune cells, which play essential roles in immune surveillance and vascular  
619 homeostasis. Crawling monocytes ( $\text{Gr1}^{\text{dim}}$  in mice,  $\text{CD14}^{\text{dim}}\text{CD16}^+$  in humans) sense nucleic  
620 acids as those released after endothelial cell EC damage, scavenge debris and microvesicles  
621 (MVs) and ensure EC protection. Intimal macrophages ( $\text{Mac}^{\text{AIR}}$ ) accumulate at sites of  
622 intimal thickening (upper right, normal artery) and are the first myeloid cells that scavenge  
623 oxidized LDL (oxLDL) in the initial stages of atherosclerosis (middle left, atherosclerotic  
624 plaque). This further drives vascular inflammation and leads to the recruitment of  
625 inflammatory monocytes ( $\text{Gr1}^{\text{hi}}$  in mice,  $\text{CD14}^{++}\text{CD16}^-$  in humans) which give rise to a  
626 variety of macrophage subsets. Adventitial macrophages, particularly  $\text{Lyve1}^+$  macrophages  
627 (upper right, normal artery) regulate arterial stiffness, in part through the production of  
628 MMP9 and FXIIIa. Their specific contribution to atherosclerosis is currently unknown. The  
629 adventitia and peri-vascular adipose tissue form a permissive niche for the accumulation of  
630 atheroprotective innate lymphoid cells type 2 (ILC2) and innate-like B-cells, organised in fat-  
631 associated lymphoid clusters (upper middle, normal artery). ILC2-derived type 2 cytokines  
632 (e.g., IL-5 and IL-13) promote B1-cell activation and production of natural IgM antibodies,  
633 and contribute to an anti-inflammatory macrophage phenotype. Most dendritic cells (DC) and  
634 T-cells of normal arteries are found in the adventitia. T-cells of normal arteries are likely to  
635 be enriched in a regulatory phenotype<sup>146</sup> instructed by DCs presenting vascular-associated  
636 antigens (in draining lymphoid organs) and maintaining peripheral tolerance. The  
637 inflammatory milieu of developing lesions promotes DC maturation, which favours the  
638 generation of T helper (Th), effector (Teff) and effector memory (Tem) cells (mostly in  
639 draining lymphoid organs) and their recruitment into both the intima and adventitia of  
640 atherosclerotic arteries (bottom left). Sustained stimulation of autoreactive Tregs may  
641 downregulate Foxp3, promoting their conversion into Th1 and Teff. Some of the Treg and  
642 Teff cells acquire a resident memory (TRM) phenotype. Th1, Teff and Tem cells  
643 predominate in advanced lesions (bottom right), and activated cytotoxic  $\text{CD8}^+$  T-cells may  
644 acquire an exhausted phenotype. Germinal centre (GC) activation in secondary lymphoid  
645 organs leads to the production of affinity-matured class-switched (IgG, IgE) antibodies,  
646 which accumulate in lesions. Medial vascular smooth muscle cells of advanced lesions  
647 produce CXCL13 and CCL21 and may adopt features of lymphoid tissue organizer-like cells,  
648 leading to artery tertiary lymphoid organ (ATLO) formation (bottom right). ATLOs are  
649 conducive to the generation of Tregs, which play a counter-regulatory atheroprotective role.  
650 The diseased adventitia also establishes neuro-immune vascular interactions, which affect  
651 lesion progression. VSMC = vascular smooth muscle cells, TFH = T follicular helper, PC =  
652 plasma cell.

653

654 **Figure 2. Adaptive immune responses in the healthy and ischaemic heart**

655 The heart and its adjacent pericardial and adipose tissue harbour several types of immune  
656 cells. At steady state (Fig.2a), conventional dendritic cells (cDC), particularly cDC1, home to  
657 draining lymph nodes and instruct the generation of tissue-specific (e.g., myosin heavy chain,  
658 alpha) regulatory T-cells<sup>146</sup>, which establish peripheral tolerance. Heart-derived hepatocyte  
659 growth factor (HGF) binds to DC and induces an immune-regulatory phenotype. HGF also  
660 promotes chemokine production (e.g., CCL5) by c-MET-expressing T-cells, which drives  
661 CCR5-dependent recruitment and cardiac Treg-cell accumulation. Treg immunosuppressive  
662 function is further promoted through a local (cardiac) paracrine/autocrine adenosinergic loop,  
663 involving CD39/CD73 and adenosine A2A receptor (A2AR), or by engaging ST2 signalling

664 with heart-derived IL-33. Pericardial innate lymphoid cells type 2 (ILC2)-derived IL-5 and  
665 IL-13 activate innate-like B-cells to produce natural IgM antibodies and anti-inflammatory  
666 IL-10, which contribute to an anti-inflammatory and reparative macrophage phenotype.  
667 During ischaemic injury (Fig.2b), DC acquire an inflammatory phenotype instructing the  
668 development of T helper (Th), effector (Teff) and effector memory (Tem) cells, as well as  
669 CD8 cytotoxic T-cells. Enhanced production of GM-CSF by pericardial innate-like B-cells  
670 further promote DC activation and the generation of Th and Teff cells. These T-cells  
671 upregulate CX3CR1 and CXCR3 and their recruitment into the ischaemic heart is facilitated  
672 by heart-derived CX3CL1 and CXCL10. Germinal centre (GC) reactions develop in the  
673 spleen and draining lymph nodes leading to the production of (heart-specific) autoantibodies  
674 with potential detrimental consequences. Splenic marginal zone B-cells (MZB) are activated  
675 by the release of damage-associated molecular patterns (DAMPs), including in the form of  
676 mitochondria-containing microvesicles (MVs), which activate toll-like receptors (TLR)  
677 leading to CCL7 production. The latter promotes inflammatory monocyte mobilisation from  
678 the bone marrow, enhancing the accumulation of inflammatory macrophages within the  
679 ischaemic myocardium. In most cases, the ischaemic heart is able to maintain the presence of  
680 a sufficient number of Tregs to prevent the occurrence of overt full-blown cardiac  
681 autoimmunity.

682

### 683 **Figure 3. Therapeutic strategies to target adaptive immune responses**

684 The figure, which is not exhaustive, highlights some of the most promising therapeutic  
685 strategies to manipulate the adaptive immune system (through neutralising pathogenic or  
686 promoting regulatory immunity) for the benefit of individuals with, or at risk of, ischaemic  
687 cardiovascular diseases. Current strategies tested in the clinic include the use of a monoclonal  
688 antibody (Ab) against CD20 (i.e., rituximab) to deplete mature B-cells, and the use of  
689 recombinant (rec) low-dose IL-2 to promote regulatory T-cells (Tregs). Promising strategies  
690 in development include the development of CD8 depleting monoclonal antibodies,  
691 neutralising antibodies to block pro-inflammatory oxidation specific epitopes (OSE), such as  
692 anti-phosphocholine (PC) and anti-malondialdehyde (MDA) Abs, chimeric antigen receptor  
693 (CAR)-Tregs targeting OSEs, RNA-based immunogenic vaccines targeting OSEs and RNA-  
694 based tolerogenic vaccines using ApoB100-derived peptides. FO B = Follicular B cells, BCR  
695 = B cell receptor, GCB = Germinal centre B cells, TFH = T follicular helper cell, TH = T  
696 helper cell, Teff = T effector cell, IFN $\gamma$  = Interferon-gamma, DC = dendritic cell, MHC =  
697 Major histocompatibility complex, TCR = T cell receptor, TGF $\beta$  = Transforming growth  
698 factor beta.

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700

701

## 702 **Boxes**

703

### 704 **Box 1: OxLDL and OSE-specific immune responses**

705 Once LDL is trapped in the artery wall it becomes oxidized by enzymatic and non-enzymatic  
706 processes<sup>1</sup>. The oxidation of LDL contributes to atherogenesis in many ways, which include  
707 the generation of bioactive lipids that activate ECs as well as the formation of oxLDL  
708 particles that are taken up by macrophages, leading to foam cell formation<sup>1</sup>. OxLDL is also  
709 recognized by innate and adaptive immune responses. It can trigger NFκB-dependent  
710 expression of proinflammatory chemokines and cytokines as well as components of the  
711 NLRP3 inflammasome via TLR4/6 and CD36<sup>147</sup>. Following the uptake of oxidized and  
712 aggregated LDL, endosomal leakage of cholesterol crystals can activate the NLRP3  
713 inflammasome<sup>148</sup>. OxLDL uptake by antigen-presenting cells also leads to presentation of  
714 ApoB100-derived peptides to specific T-cells<sup>2</sup>. Oxidation of LDL leads to the generation of  
715 various oxidation-specific epitopes (OSE)<sup>132</sup>. Oxidation of the polyunsaturated fatty acids of  
716 LDL generates highly reactive breakdown products, including malondialdehyde (MDA), 4-  
717 hydroxynonenal (4-HNE), or the phosphocholine-containing oxidized phospholipids (PC-  
718 oxPL). In turn, these can form covalent adducts with ε-amino groups of ApoB or other  
719 proteins with phospholipids. OSE represent prototypic examples of stress-induced neo-self  
720 antigens and have the capacity to trigger pro-inflammatory responses in macrophages, such  
721 as the expression of cytokines and chemokines, but are also bound by specific antibodies in a  
722 hapten-specific manner. A series of studies has shown that OSE represent a class of stress-  
723 induced danger-associated molecular pattern (DAMPs) that are recognized by cellular pattern  
724 recognition receptors, such as CD36 and SRA-1, as well as soluble pattern recognition  
725 proteins, such as complement factor H and germline-encoded natural IgM antibodies, but also  
726 as antigens by specific class-switched IgG antibodies<sup>132</sup>. Importantly, the same OSE that are  
727 present on oxLDL are also present on a subset of extracellular vesicles and on dying cells that  
728 accumulate in atherosclerotic plaques, but also in the context of many other acute and chronic  
729 inflammatory settings. Thus, immune responses targeting OSE have an important role in  
730 identifying stress-induced self-antigens and promoting their removal. In situations of  
731 increased oxidative stress when more OSE are generated, the endogenous clearance or  
732 housekeeping mechanisms may become overwhelmed leading to a loss of homeostasis,  
733 which would trigger sterile inflammation. Therefore, an inadequate response to OSE is  
734 considered to be an important factor contributing to pathological immune activation during  
735 atherogenesis.

736

737

### 738 **Box 2: Innate-like B-cells and natural IgM antibodies**

739 Innate-like B-cells represent a special subset of B-cells with important atheroprotective  
740 functions in atherosclerosis (reviewed in<sup>10,81,149</sup>). These cells include B1 cells as well as  
741 Marginal Zone<sup>39</sup> B-cells and play an important role in host defense and immune  
742 homeostasis. B1-cells, which in mice can be divided into B1a- and B1b-cells, differ from  
743 conventional B-cells in their developmental origin, activation requirements, and antibody  
744 repertoire. Based on these properties, equivalent B cell populations have been suggested to  
745 exist in humans as well, though their exact identity is still under debate. While B1-cells they  
746 are typically located in serosal cavities, such as peritoneum and pleura, the spleen seems to be  
747 critically important for their maintenance. Adoptive transfer of B1a- and B1b-cells in  
748 splenectomized or B- and T-cell deficient mice, respectively, protected from lesion  
749 formation<sup>150,151</sup>. This effect was dependent on the capacity of B1-cells to secrete IgM  
750 antibodies. Indeed, B1-cells are the main source of germline-encoded natural antibodies,  
751 which have an important role in the first line of defence against infections as well as in

752 housekeeping functions by promoting the clearance of dying or damaged cells. The spleen  
753 and bone marrow are major sites of B1-cell-derived plasma cells and antibody production,  
754 though PVAT has been described as another potentially relevant site<sup>30</sup>. B-cell-specific Id3  
755 deficiency in Apoe KO mice results in increased B1b-cells in PVAT but not the spleen,  
756 suggesting local recruitment. The latter is enhanced by CCR6 expression in B1-cells<sup>152</sup>. A  
757 large part (>30%) of B1-cell-derived natural IgM have specificity for different types of  
758 OSE<sup>72</sup>, which explains their involvement in atherosclerosis by neutralizing oxidized lipids of  
759 oxLDL, promoting apoptotic cell clearance, and inhibiting the pathogenic activities of OSE+  
760 microvesicles<sup>153,154</sup>. Possibly, also local production of OSE-specific IgM in the aorta is  
761 triggered by chronic inflammation and aids the removal of oxidized lipids and dying cells.  
762 However, excessive oxLDL generation and increased OSE accumulation during  
763 atherogenesis may overwhelm the homeostatic functions of OSE-specific IgM. As a  
764 consequence, OSE can trigger inflammatory responses, which may result in inappropriate  
765 activation of adaptive immune responses against these same antigens. Several cytokines (IL-  
766 5, IL-33), chemokines (CXCR4), and (co-)receptors (Siglec-G, Tim1) have been identified  
767 that control B1-cell numbers and plasma levels of OSE-IgM, and thereby modulate  
768 atherosclerosis<sup>32,155-157</sup>. Another set of innate-like B-cells are MZ B-cells, which reside in the  
769 marginal zone of the spleen where they survey antigens that pass through the red pulp. They  
770 are also considered atheroprotective in part by secreting OSE-specific IgM antibodies<sup>158</sup>.  
771 Whether these IgM differ functionally from B1-cell derived IgM remains to be investigated.  
772 A large part of MZ B-cell-derived hybridomas was suggested to have specificity for OSE.  
773 Therefore, the spleen may participate in sensing increased circulating levels of OSE  
774 generated during atherosclerosis and in turn produce more neutralizing IgM antibodies.  
775 Indeed, inflammasome activation associated with hypercholesterolemia has been shown to  
776 occur in the marginal zone where it can trigger B-cell activation<sup>158</sup>. Thus, the involvement of  
777 both types of innate-like B-cells and the natural IgM they secrete reflects a response to  
778 chronic inflammation that is required to maintain tissue homeostasis by neutralizing stress-  
779 induced self-antigens and their accumulation. Another facet of natural IgM may be their  
780 ability to modulate BCR-dependent differentiation of B-cells expressing the low-affinity IgE  
781 clearing receptor CD23, thereby preventing the accumulation of proatherogenic IgE  
782 antibodies<sup>91</sup>. Increased production of self-antigens targeted by natural IgM could interfere  
783 with this activity.

784

### 785 **Box 3: Mechanisms of immune cell accumulation in healthy arteries**

786 Most resident intimal macrophages accumulate at sites of altered haemodynamics (e.g.,  
787 reduced shear stress). However, how macrophages accumulate in the adventitia is unclear.  
788 We speculate that sudden increases in both blood pressure and shear stress shortly after birth  
789 could direct monocyte recruitment through the adventitial microvessels (low shear stress)  
790 rather than the intimal side (high shear stress). This could be further promoted by a blood  
791 pressure-mediated activation of the vessel wall to express chemokines (e.g., CX3CL1 or  
792 CXCL10) known to be involved in adventitial macrophage accumulation<sup>25,159</sup>. Could resident  
793 macrophages also sense haemodynamic stress (e.g. pressure) or the consequences thereof and  
794 contribute to recruiting other monocyte-derived macrophages?

795 Similar questions apply to DCs, T- and B-cells that accumulate in healthy arteries. Do they  
796 sense components of the artery wall, particularly those from the immunoprivileged media  
797 which might be released in response to physiological (e.g., haemodynamic) stresses, and be  
798 presented to maintain peripheral tolerance? Do they detect and present lipoprotein  
799 components, like ApoA, that cross the arterial wall through outward convection and are  
800 removed from the tissue by arterial lymphatics? Could this contribute to maintain a Treg  
801 phenotype and limit Treg-cell conversion to pathogenic T-cells<sup>58</sup>? Do resident B-cells in the

802 adventitia and PVAT mediate housekeeping functions by secreting natural antibodies that  
803 prevent the accumulation of damaged vascular wall components? We have recently identified  
804 a non-canonical role for the B-cell cytokine APRIL which limits lipoprotein retention and act  
805 as a key molecule in vascular homeostasis<sup>160</sup>. Could APRIL be also involved in the immune  
806 homeostasis of arteries?

807 VALT formation, remodelling and function may also be influenced by the lymphatic system,  
808 which controls the drainage of macromolecules and may affect the emigration of myeloid and  
809 lymphoid cells. Finally, beyond its role in controlling vascular tone, arterial innervation by  
810 sympathetic adrenergic and parasympathetic cholinergic nerves could also affect VALT  
811 formation and function through adrenergic and cholinergic receptors expressed on immune  
812 cells.

813  
814

#### 815 **Box 4: Some considerations related to B-cell autoimmunity during atherosclerosis**

816 Experimental data in mouse models of atherosclerosis have clearly established the initiation  
817 of a germinal centre reaction (GCR) in response to hypercholesterolemia<sup>71,77,79</sup>, in association  
818 with the development of T-cell dependent antibody responses. It is interesting that most of  
819 the B-cell antigens that have been characterized to date represent intracellular antigens that  
820 are released or expressed in response to stress (e.g. hsp60, GRP78) or carry lipid-  
821 peroxidation derived post-translational modifications (e.g. OSE). Thus, atherosclerosis-  
822 related B-cell responses may rather target stress-induced antigens or neo-self antigens, and  
823 one may speculate that autoantigens recognized in the context of atherosclerosis may in fact  
824 be modified, e.g. by OSE. The mitochondrial origin of one recently identified candidate  
825 antigen, ALDH4A1, would certainly facilitate its modification<sup>82</sup>. Intriguingly,  
826 hypercholesterolemia in mouse models is also associated with the generation of  
827 autoantibodies to classical antigens, such as anti-nuclear antibodies against Ro-52, Ro-60, or  
828 SmD1, suggesting a potential loss of tolerance<sup>77</sup>. Moreover, using an autoantigen array,  
829 Hutchinson et al recently screened serum IgG1 of Apoe ko mice and identified several  
830 potential new candidates, incl. RSPA, PVRL3, GPBP1, and Family with sequence similarity  
831 131 member C (FAM131c)<sup>78</sup>. Indeed, hypercholesterolaemia aggravates immune activation  
832 in the presence of an autoimmune background<sup>161</sup>. While autoimmunity is not typically linked  
833 to excessive hypercholesterolemia in humans, these data suggest the possibility that if  
834 tolerance is broken in the context of atherosclerosis and dyslipidaemia, this in turn could  
835 facilitate the activation of autoreactive GCs and B-cells against other self-antigens, consistent  
836 with the epitope spreading seen in autoimmune diseases. If and how OSE DAMPs also  
837 promote autoreactive B-cells remains to be shown. Interestingly, oxidized phospholipids have  
838 been suggested to modulate adaptive immunity by promoting a hyperactive DC state<sup>162</sup>. All  
839 these aspects need to be considered when attempts are made to identify relevant B-cell  
840 antigens in atherosclerosis.

841

#### 842 **Box 5: General therapeutic considerations in patients with atherosclerotic disease**

843 Treatment of modifiable classical risk factors will remain an essential component of  
844 therapeutic management. This is particularly the case of lipid-lowering therapies, which will  
845 continue to evolve with the aim to ensure early, substantial and sustainable reduction of  
846 circulating lipid levels. However, not all lipid-lowering therapies are equal in terms of their  
847 potential impact on the immuno-inflammatory response, and consideration must be given to  
848 whether specific lipid-lowering therapies could be more appropriate than others in particular  
849 settings. For example, SLE is characterised by an increased type I interferon response, which  
850 reduces the intracellular cholesterol synthesis pathway<sup>163</sup>. This is a vicious circle given that  
851 reduced cholesterol synthesis drives more type I interferon production<sup>163</sup>. Will statin therapy

852 be the most effective strategy to reduce plasma LDL-C levels in SLE, or may patients with  
853 SLE derive greater benefit from another LDL-C-lowering (PCSK9-targeted) strategy? We  
854 believe this question merits appropriate investigation.

855 Others have suggested that sustained reduction of circulating lipid levels could make anti-  
856 inflammatory therapy redundant. We believe this is unlikely to be the case. A large  
857 proportion of individuals recruited in contemporary trials still show a residual inflammatory  
858 risk (on-treatment hsCRP above 2 mg/L)<sup>164</sup>, and are at substantial lifetime risk of  
859 cardiovascular events. Experimental models have established inflammation as an obligatory  
860 pathway for atherosclerosis development. Therefore, appropriate targeting of this biological  
861 process is expected to yield exceptional benefits.

862 We would also like to stress the fact that hsCRP, although currently useful, is certainly not  
863 the optimal marker to stratify patients for anti-inflammatory therapy. Circulating levels of  
864 hsCRP are exclusively dependent on the IL6 pathway. Atherosclerosis is unlikely to be  
865 exclusively driven by IL6, and individuals with low hsCRP (<2mg/L) may still mount IL6-  
866 independent inflammation and be susceptible to atherosclerosis and cardiovascular  
867 complications. Moreover, increased hsCRP may preferentially reflect a state of metabolic  
868 inflammation in the liver rather than vascular inflammation. Thus, the optimal circulating  
869 marker of plaque inflammation is still to be found. This is particularly true for markers of  
870 adaptive immune responses, which will require the development and measurement of  
871 antigen-specific responses (e.g., antigen-specific tetramers, autoantibodies).

872 A final general consideration relates to the increased risk of serious infections that could  
873 result from the long-term use of anti-inflammatory therapies. This was indeed responsible for  
874 halting the development of canakinumab as a potential therapy for patients with ACVD. We  
875 believe the infectious risk to be minimal with the use of therapies that target antigen-specific  
876 adaptive immunity compared to therapies that target broad systemic inflammatory pathways.  
877 Furthermore, Treg suppression of autoreactive T-cells does not compromise, and may even  
878 boost, T-cell immunity directed towards infectious non-self antigens.

879

880

#### 881 **Glossary:**

882 **T-cell central tolerance:** clonal deletion of autoreactive T-cell receptors in the thymus or  
883 diversion of these self-reactive cells into an autoreactive Treg-cell lineage.

884

885 **B-cell central tolerance:** clonal deletion involving apoptosis of immature B-cells  
886 recognising self-antigens in the bone marrow, and receptor editing (secondary recombination  
887 of antibody genes) which reprogrammes the specificity of autoreactive B-cells.

888

889 **T-cell peripheral tolerance:** inactivation of autoreactive effector T-cells (that have escaped  
890 central tolerance) through clonal deletion (deletional tolerance) or through conversion to  
891 Tregs (non-deletional self-tolerance).

892

893 **B-cell peripheral tolerance:** induction of anergy, and antigen receptor desensitisation by  
894 inhibitory receptors, which regulates the survival and activation of B-cells.

895

896 **Medial immunoprivilege:** refers to the sparing of the arterial media of leukocytic infiltrates,  
897 particularly adaptive T and B-cells, in most arterial diseases, including atherosclerosis.  
898 Medial immunoprivilege is not absolute and may fail under some circumstances.

899

900 **Germinal centre:** A histological structure that forms in the B-cell follicle during an immune  
901 response, where B-cells compete for antigen and T-cell help to survive, proliferate and

902 differentiation into affinity-matured antibody producing plasma cells or memory B-cells. The  
903 latter can re-enter the germinal center upon a recall response.  
904  
905

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