# Helminths and microbes within the vertebrate gut – not all studies are created equal

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3	Helminths and microbes within the vertebrate gut –
4	not all studies are created equal
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## 29 SUMMARY

30 The multifaceted interactions occurring between gastrointestinal (GI) parasitic helminths and the 31 host gut microbiota are emerging as a key area of study within the broader research domain of 32 host-pathogen relationships. Over the past few years, a wealth of investigations has demonstrated 33 that GI helminths interact with the host gut flora, and that such interactions result in modifications 34 of the host immune and metabolic statuses. Nevertheless, whilst selected changes in gut microbial 35 composition are consistently observed in response to GI helminth infections across several hostparasite systems, research in this area to date is largely characterised by inconsistent findings. 36 These discrepancies are particularly evident when data from studies of GI helminth-microbiota 37 38 interactions conducted in humans from parasite-endemic regions are compared. In this review, 39 we provide an overview of the main sources of variance that affect investigations on human-40 helminth-gut microbiota interactions and propose a series of methodological approaches that, whilst accounting for the inevitable constraints of human fieldwork, are aimed at minimising 41 42 confounding factors and draw biologically meaningful interpretations from highly variable 43 datasets. eer periev 44

## 45 1. INTRODUCTION

46 A plethora of experimental evidence supports a key role of infections by gastrointestinal (GI) 47 helminth parasites in shaping the composition of the vertebrate gut microbiota, with significant 48 implications for local and systemic host immunity (reviewed by Brosschot and Reynolds, 2018). 49 For instance, recent studies have partly attributed the parasite-associated qualitative and/or 50 quantitative alterations to host GI microbial profiles to the ability of GI helminths to stimulate the 51 initial onset of T-regulatory (Treg) immune responses (cf. Cantacessi et al. 2014; Reynolds et al. 52 2014; Giacomin et al. 2015, 2016; Zaiss et al. 2016). On the other hand, other studies have 53 reported associations between acute helminth infections and gut microbiota imbalances (= dysbiosis) characterised by significant expansion of populations of putative pro-inflammatory 54 55 bacteria (e.g. Rausch et al. 2013; Jenkins et al. 2018a; Schneeberger et al. 2018a); these observations have lent credit to the hypothesis that helminth-associated alterations of gut 56 57 microbiota composition may lead to both localised and systemic consequences for the host organism, that include immunopathology and exacerbated malnutrition in at-risk subjects from 58 parasite-endemic areas (reviewed by Glendinning et al. 2014; Houlden et al. 2015; Cattadori et 59 al. 2016). 60

Over the past decade, newly acquired knowledge of the impact that GI helminth infections exert 61 62 on the vertebrate gut microbial composition and metabolism has contributed to a better understanding of parasite systems biology and host-pathogen interactions (reviewed by Peachey 63 et al. 2017; Leung et al. 2018; Rapin and Harris et al. 2018), and has been proposed as a first step 64 65 towards the identification and development of novel strategies of parasite control based on the targeted manipulation of the host gut microbiota (cf. Peachey et al. 2017). Nevertheless, for 66 humans in particular, progress in this field of research is greatly impaired by the impact of several 67 68 confounding factors that inevitably affect studies conducted in naturally infected individuals 69 (Mutapi, 2015; Chabé et al. 2017). In this review, we summarise current knowledge of GI 70 helminth-microbiome interactions in humans under natural conditions of infection, identify 71 similarities and differences between datasets and provide an overview of the confounding factors 72 that may affect the interpretation of findings.

## 73 2. HUMAN-HELMINTH-GUT MICROBIOTA INTERACTIONS IN REAL-WORLD

## 74 SCENARIOS

75 In endemic areas for helminthiases, the vast majority of infected individuals harbour multiple 76 helminth species, often occupying different niches of the host organism (Hotez et al. 2010). 77 Whilst polyparasitism is often regarded as a major confounding factor in investigations of 78 parasite-microbiota interactions conducted in humans under natural conditions of infection 79 (Cooper et al. 2013; Jenkins et al. 2017; Martin et al. 2018; Rosa et al. 2018), findings from these 80 studies are key to assessing the impact that GI helminths exert on gut microbiota homeostasis in 81 a 'real-world' scenario. Nevertheless, several factors should be considered when interpreting 82 results obtained from individuals infected by multiple helminth species. First, anthropometric 83 (e.g. age and gender) and anthropologic variables (e.g. ethnicity, diet and occupation) are well 84 known to profoundly impact the 'baseline' composition of the human gut microbiota (Sekirov et 85 al. 2010; Yatsunenko et al. 2012) (cf. Fig. 1); therefore, the enrolment of large cohorts of 86 individuals is often necessary in order to achieve sufficient statistical power and avoid 87 uninformative and/or misleading results (Kelly et al. 2015). However, in many studies, the number of individuals enrolled and samples analysed is inevitably dictated by logistical and 88 financial constraints. In these instances, population-related variables that impact gut microbiota 89 composition may contribute substantially to inconsistencies among findings from different studies 90 (cf. Fig. 1). For instance, a negative association between colonisation by the whipworm Trichuris 91 92 trichiura and the abundance of bacteria belonging to the genus Prevotella in the faeces of infected individuals has been reported in two separate studies conducted in Malaysia (Lee et al. 2014; 93 94 Ranaman et al. 2016), while other studies conducted in Ecuador, and Liberia and Indonesia, 95 respectively, have failed to identify significant variations in faecal populations of Prevotella in 96 individuals either solely infected by T. trichiura or co-infected with other species of soil-97 transmitted helminths (STHs) (Cooper et al. 2013; Martin et al. 2015; Rosa et al. 2018).

In addition, whilst Rosa and co-authors (2018) detected several distinctive features in the gut
 microbial profiles of helminth-harbouring individuals that were specifically associated to single

100 infections with the hookworm *Necator americanus*, the roundworm *Ascaris lumbricoides* or *T*. 101 trichiura, such features were inconsistent between two independent cohorts of helminth-infected 102 volunteers from Liberia and Indonesia; this discrepancy suggests that other yet undetermined 103 environmental factors may contribute to qualitative and quantitative alterations of the gut 104 microbial profiles of helminth-infected individuals from different geographical areas. In contrast, 105 an association between the abundance of selected bacterial taxa and infections by one or more 106 STHs could be consistently detected in samples from both Liberian and Indonesian cohorts (Rosa 107 et al. 2018). These taxa included bacteria belonging to the genera Olsenella and Allobaculum, 108 which were expanded in the gut microbiota of helminth-infected individuals when compared to 109 that of uninfected controls. To the best of our knowledge, the study by Rosa et al. (2018) was the first to report a link between infections by STHs and the abundance of these bacterial genera in 110 111 the human gut. Interestingly, in mice suffering from metabolic syndrome, administration of 112 probiotics was followed by expansion of populations of Olsenella and/or Allobaculum, and a 113 reduction in systemic and/or local gut inflammatory responses (Wang et al. 2015). Moreover, 114 Allobaculum spp. are putative producers of anti-inflammatory short-chain fatty acids (Greetham 115 et al. 2004), and are severely reduced in the gut of mice genetically predisposed to spontaneous 116 colitis (Pérez-Muñoz et al. 2014). This knowledge led Rosa et al. (2018) to hypothesize that these 117 bacteria may play a yet undetermined role in the anti-inflammatory properties of parasitic 118 helminths, and reinforce the proposition that the interactions between hosts, parasites and gut 119 microbiota are multidirectional and should be approached in a holistic manner (e.g. Cortés et al. 120 2018; Leung et al. 2018). Interestingly, in contrast to evidence acquired in human hosts, a negative association between the genus Allobaculum and colonisation by GI helminths has been observed 121 122 in a mouse model of chronic trichuriasis (Holm et al. 2015), in which Th1-mediated immune 123 responses are dominant (reviewed by Cliffe and Grencis, 2004), as well as in mice with patent 124 infection by the blood fluke Schistosoma mansoni (Jenkins et al. 2018a), in which migrating eggs 125 are responsible for the onset of marked Th2-mediated inflammatory responses (reviewed by 126 Pearce and MacDonald, 2002). The immune-molecular mechanisms via which members of the genus Allobaculum may regulate local and systemic inflammation are still unclear (Greetham et 127

*al.* 2004; Pérez-Muñoz *et al.* 2014; Wang *et al.* 2015). Nonetheless, current data showing
reductions in populations of *Allobaculum* alongside helminth-associated gut inflammation
supports the hypothesis raised by Rosa *et al.* (2018); in the future, rodent models of GI helminth
infections whose gut microbiota is deprived of, and subsequently recolonised with, the genus *Allobaculum* could be exploited to investigate the potential involvement of these bacteria in
parasite-mediated immunomodulation.

134 Beside the intrinsic variability of the human gut microbiota, studies conducted under natural 135 conditions of helminth colonisation are likely to be affected by factors linked to the different combinations of infecting species and their relative abundances. For instance, in a study 136 137 conducted in a cohort of Ecuadorian children, the specific features detected in the gut microbial 138 profiles of subjects co-infected with T. trichiura and A. lumbricoides could not be identified in 139 the microbiota of Trichuris-only infected individuals (Cooper et al. 2013). Similarly, selected 140 microbial features that were observed in studies conducted in human volunteers with mono-141 specific infections with, for instance, A. lumbricoides, could not be detected in the gut microbiota 142 of subjects harbouring the same parasite alongside other helminth species (e.g. T. trichiura and 143 N. americanus) (Rosa et al. 2018), thus suggesting that a complex interplay exists between the 144 host gut and its macro- and microbiota, that might be difficult to replicate in experimental settings. 145 Furthermore, current evidence obtained from animal models of helminth infections indicates that 146 worm burdens can impact the nature and/or the magnitude of parasite-associated alterations in gut 147 microbial composition (Wu et al. 2012; Peachey et al. 2018). Nevertheless, such evidence is not 148 yet available for human infections, in which parasite burdens may range from low to very high in 149 endemic areas (Barbour and Kafetzaki, 1991; Churcher et al. 2005).

Another frequent constraint of investigations conducted in cohorts of human subjects with natural helminth infections is the limited availability of 'genuine' negative controls, i.e. individuals from the same communities of parasite-infected subjects who lack previous exposure to infections by parasitic helminths. Instead, individuals with no evidence of patent helminth infections are inevitably enrolled as control subjects (e.g. Cooper *et al.* 2013; Lee *et al.* 2014; Jenkins *et al.* 

2017; Rosa et al. 2018); nevertheless, studies in helminth-infected individuals subjected to 155 156 anthelmintic treatment, as well as in primates and pigs exposed to *Trichuris* spp., have shown that 157 parasite-associated alterations in gut microbial communities can persist, at least partly, in absence 158 of active infections (Broadhurst et al. 2012; Wu et al. 2012; Cooper et al. 2013; Kay et al. 2015; 159 Schneeberger et al. 2018a). These data call for caution when interpreting differences between the 160 gut microbial profiles of helminth-infected and uninfected volunteers from the same communities. 161 In addition, patent infections are often diagnosed using stool-based microscopic methods, that are 162 known for their relatively low sensitivity and that may yield false negative results, e.g. in case of 163 intermittent shedding of eggs and/or larvae (O'Connell and Nutman, 2016). Recently, Rosa et al. (2018) used quantitative real-time PCR to diagnose STH infections in individuals subjected to gut 164 165 microbiota profiling, indicating that this technique may represent a robust and sensitive 166 alternative to microscopic methods, since it provides users with the ability to semi-quantify 167 burdens of different helminth species from minute amounts of DNA template. However, in spite 168 of their higher sensitivity, molecular methods rely on the use of primers that selectively target the 169 parasite species of interest, thus impairing the simultaneous detection of potential (asymptomatic 170 or subclinical) co-infections with other helminth and/or non-helminth pathogens (O'Connell and 171 Nutman, 2016). Indeed, the impact of protozoa on the gut microbial diversity and composition 172 has been clearly demonstrated in humans and other vertebrates (reviewed by Chabé et al. 2017; Stensvold and van der Giezen, 2018). Furthermore, a recent study conducted in a cohort of 173 174 Colombian schoolchildren reported common features in the faecal microbial composition of 175 subjects co-infected with helminths and protozoans and mono-parasitized with the flagellate 176 Giardia intestinalis compared to uninfected individuals (Toro-Londono et al. 2019). Whilst the 177 mechanisms via which each group of parasites alters the host gut flora, as well as the nature of 178 such alterations, are yet to be determined, these findings support the need to conduct additional 179 diagnostic tests on stool samples from helminth-infected cohorts, as well as the corresponding 180 uninfected subjects, in order to rule out the influence of concomitant bacterial, viral and/or protozoan infections that may be responsible for the changing gut microbial profiles of these 181 182 individuals (cf. Chabé et al. 2017).

Nevertheless, in spite of the several confounding factors outlined above (cf. Fig. 1), observational studies in helminth endemic areas have proven useful for the identification of significant associations between parasite colonisation and the gut microbial profiles of humans under natural conditions of infection. Importantly, studies conducted in these communities provide excellent opportunities to evaluate the effect(s) that parasite removal (e.g. via the administration of broadspectrum anthelmintics) exert(s) on the gut microbiota of previously infected individuals, thus contributing cues to understand the causality of helminth-microbiota relationships.

## 190 3. IMPACT OF DEWORMING ON THE HUMAN GUT MICROBIOTA

191 The implementation of mass drug administration programmes in endemic areas for STHs and 192 schistosomiasis offers opportunities to elucidate potential mechanisms via which parasitic 193 helminths modulate the host gut microbiota. For instance, qualitative and quantitative changes in 194 gut microbial profiles that are caused by direct interactions between parasites and gut bacteria 195 may be expected to rapidly reverse following parasite removal, whilst long-lasting alterations are 196 likely to result from indirect interplay mediated by the host immune system (Houlden et al. 2015; 197 Su et al. 2018). Nevertheless, such investigations are also generally constrained by the presence 198 of several confounding factors that include not only the host- and parasite-dependent variables 199 outlined above, but also variations linked to the use of different drugs and treatment regimes 200 (Schneeberger et al. 2018b), as well as time of sampling post-anthelmintic treatment (Houlden et 201 al. 2015) (Fig. 1). The latter in particular may profoundly affect findings from these studies, as 202 the presence of tissue lesions caused by e.g. parasite feeding activity and location (e.g. blood-203 feeders vs. non blood-feeders and luminal vs. tissue dwellers) are likely to influence the timespan 204 between helminth removal and microbiome recovery (reviewed by Leung et al. 2018). Moreover, 205 for ethical reasons, data from these experiments is often biased by the lack of placebo-treated 206 control groups. These limitations may be at least partially responsible for the differences between 207 findings from studies aimed at elucidating the effect of deworming on the gut microbiota of 208 helminth-infected volunteers; notwithstanding, it is worth noting that, in instances where

209 deworming-associated changes in human gut microbial profiles were detected, these were
210 generally moderate (Ramanan *et al.* 2016; Martin *et al.* 2018; Schneeberger *et al.* 2018b).

Consistent with this, a recent study conducted on faecal samples collected from a rural community 211 212 in Indonesia reported that the composition of the gut microbiota of individuals repeatedly treated 213 with either albendazole or placebo (for 21 months) resembled that of samples collected from the 214 same subjects prior to treatment, rather than that of uninfected controls (Rosa et al. 2018). Moreover, a parallel investigation conducted on the same cohort of individuals detected reduced 215 216 populations of *Prevotella* in albendazole-treated subjects in which complete deworming did not 217 occur, compared to placebo-treated individuals with patent helminth infections (Martin et al. 218 2018). Intriguingly, failure of albendazole treatment was accompanied by a dominance of T. 219 trichiura (over other helminth species) in these subjects, while placebo-treated individuals 220 maintained a diverse macrobiota (i.e. multiple helminth infections); hence, differences in the 221 composition of the GI macrobiota (i.e. species present and their relative abundances) between 222 albendazole- and placebo-treated individuals could account for variations in the composition of the intestinal microflora of these subjects (Martin et al. 2018). Significant associations between 223 224 colonisation by T. trichiura and Prevotella abundance were not observed in the Indonesian cohort 225 (Martin et al. 2018; Rosa et al. 2018). However, negative associations between whipworm 226 infections and *Prevotella* abundance had been detected previously in two independent studies 227 conducted in Malaysia (Lee et al. 2014; Ramanan et al. 2016). In particular, Ramanan and co-228 authors (2016) observed that, following albendazole treatment, expansion of Prevotella 229 populations in the human faecal microbiota was related to reduced *T. trichiura* faecal egg counts. 230 In contrast, no significant associations between helminth infection and abundance of bacteria 231 belonging to the genus *Prevotella* was reported in a study investigating the impact of parasite 232 colonisation and successful treatment with a combination of albendazole and ivermectin on the 233 faecal microbial profiles of a cohort of *Trichuris*-infected children from Ecuador (Cooper et al. 234 2013), nor in a group of helminth-infected adults from Sri Lanka treated with pyrantel pamoate 235 (Jenkins et al. 2017). Similarly, no qualitative or quantitative changes to faecal microbial

composition were observed in two cohorts of schoolchildren from Côte d'Ivoire and Zimbabwe
infected by *S. mansoni* and *S. haematobium*, respectively, following treatment with praziquantel
(Kay *et al.* 2014; Schneeberger *et al.* 2018a). However, successful elimination of *S. mansoni* was
associated with a higher abundance of *Fusobacterium* spp. pre-treatment, as well as 24 hrs posttreatment (Schneeberger *et al.* 2018a).

241 Whilst drug administration in endemic regions may result in effective elimination of helminth 242 infections, potential co-infecting protozoan parasites are not susceptible to anthelmintic 243 treatment; this, together with the sub-standard hygienic and sanitary conditions that generally 244 characterise these areas and that result in continuous re-exposure to infective helminth 245 developmental stages (Campbell et al. 2018), impairs the full assessment of the consequences of 246 helminth removal on the composition of the human gut microbiota. To the best of our knowledge, 247 thus far, a single study has investigated the effects of chronic infections by a GI helminth, 248 Strongyloides stercoralis, and anthelmintic treatment on the composition of the faecal microbiota 249 and metabolome of humans from a non-endemic area of Europe, where parasite transmission had 250 been interrupted (Jenkins et al. 2018b). Treatment with ivermectin resulted in compositional 251 changes of the faecal microbiota (analysed 6 months post-treatment), which partially resembled 252 that of uninfected control subjects (Jenkins *et al.* 2018b); in particular, alpha diversity [= a 253 measure of the number of bacterial species present in a given microbial community (richness) and their relative abundance (evenness)] was reduced in the microbiota of individuals post-treatment 254 255 (although statistical significance was not achieved) and accompanied by expanded populations of 256 potentially pathogenic bacteria (Jenkins et al. 2018b). In addition, the faecal metabolic profiles 257 obtained from samples collected post-ivermectin treatment shared features with both those 258 obtained from samples collected pre-treatment and from uninfected controls (Jenkins et al. 259 2018b); this observation led Jenkins *et al.* (2018b) to hypothesise that, following parasite removal 260 and over time, both gut microbiota and metabolome may revert to the original pre-infection state. 261 Multiple factors, including but not limited to those outlined above, may contribute to the 262 discrepancies observed between the findings from this work and those that reported no or minor

263 effects of anthelmintic treatment on the gut microbiome of helminth-infected humans (Cooper et al. 2013; Ramanan et al. 2016; Martin et al. 2018; Rosa et al. 2018; Schneeberger et al. 2018a,b). 264 265 Despite the limitations outlined above, studies of GI helminth-microbiota relationships conducted 266 in endemic areas for helminthiases have provided repeated evidence of the perturbations that parasites and anthelmintic treatment exert on the equilibrium of resident populations of gut 267 268 bacteria and on gut homeostasis. However, the identification of common signatures across studies 269 remains key to designing future experiments, e.g. in animal models of helminth infections, that 270 may assist the elucidation of the mechanisms that underpin the interactions between GI helminths, 271 the gut microbiota and the host immune system.

# 4. DO COMMON SIGNATURES EXIST ACROSS STUDIES OF HOST-HELMINTH-MICROBIOTA INTERACTIONS?

274 The identification of gut microbial signatures that occur reproducibly across several host-GI 275 helminth systems is crucial for designing novel anti-helminth intervention strategies based on the 276 manipulation of the gut microbiota (Peachey et al. 2017). Studies conducted in animal models of 277 helminth infections are expected to assist the identification of such signatures, as well as the direct 278 (i.e. parasite-mediated) and/or indirect (i.e. immune-mediated) mechanisms that govern helminth-279 microbiota interactions (Cortés et al. 2018); nevertheless, the inconsistencies that characterise 280 studies of helminth-microbiota relationships published to date make such a task highly 281 challenging. Indeed, for patterns to be identified, fluctuations in selected populations of gut 282 microbes must be interpreted in light of the physical and immunological alterations of the mucosal 283 environment in which such alterations occur (Leung et al. 2018). For instance, expanded populations of Lactobacillaceae have been repeatedly detected following infection with several 284 species of parasitic helminths in several host species (Reynolds et al. 2014; Duarte et al. 2015; 285 Holm et al. 2015; Houlden et al. 2015; Cattadori et al. 2016; Jenkins et al. 2018a; Kim et al. 286 287 2018), and could thus be considered as a 'consistent alteration' in gut microbiota composition upon helminth colonisation. However, key differences exist between host-parasite pairs 288 investigated in the studies that have reported such an outcome. Indeed, whilst populations of 289

290 Lactobacillaceae promote regulatory responses in mice infected by Heligmosomoides polygyrus 291 bakeri (Reynolds et al. 2014), a lack of correlation between Lactobacillaceae abundance and Treg 292 populations has been observed in other host-parasites systems, such as mice chronically infected 293 with T. muris and rabbits infected with Trichostrongylus retortaeformis, in which the expansion 294 of populations of gut Lactobacillaceae upon helminth infection occurs in an environment 295 dominated by Th1-mediated immune responses (Holm et al. 2015; Houlden et al. 2015; Cattadori 296 et al. 2016). These differences suggest that alternative mechanisms may regulate the 297 differentiation and development of adaptive immune responses in each host-parasite system 298 (Houlden et al. 2015), and thus that similar alterations in gut microbiota composition may result 299 in different consequences that are dependent on the microenvironment where these changes occur. 300 Notwithstanding, the interactions between hosts, helminths and the gut microbiota are likely 301 multifaceted and multidirectional, and therefore the potential consequences that selected 302 compositional changes in gut microbiota exert on host homeostasis are only one aspect of these 303 complex interplay. For instance, a common yet undetermined mechanism may determine the 304 expansion of Lactobacillaceae in the gut of helminth-infected hosts.

305 On the other hand, apparent 'contradictory' findings across studies may result from fundamental 306 differences between gut compartments under investigation. For instance, *Prevotella* spp. was 307 expanded in the abomasum and faeces of sheep infected by abomasal trichostrongyles (i.e. 308 Haemonchus contortus and Teladorsagia circumcincta; Li et al. 2016; Cortés et al. in 309 preparation), whilst the same taxa were reduced in the faeces of a range of host species, including 310 mice, humans and horses, infected by nematodes residing in the large intestine, i.e. *Trichuris* spp. 311 and cyathostomins, respectively (Lee et al. 2014; Houlden et al. 2015; Peachey et al. submitted). 312 It must be noted, however, that whilst increased abomasal pH favours *Prevotella* overgrowth in 313 the abomasum (De Nardi et al. 2016; Li et al. 2016), the same taxa are likely to be exposed to a 314 dramatically different microenvironment in the large intestine that may determine the contraction 315 of these bacterial groups. In addition, given the functional dissimilarities between the abomasal 316 and colonic microbiota, such alterations are expected to result in fundamentally different

outcomes for the homeostasis of each of these gut compartments (Ley *et al.* 2008), and hence
comparisons are, in our opinion, unwarranted.

319 In parallel to species of bacteria with functions that may vary depending on the gut compartment, 320 multiple taxa share the same functions in different microenvironments (Lozupone et al. 2012); 321 therefore, it is plausible that, even though inconsistencies are detected across studies, these may 322 result in similar functional alterations in the host-parasite pairs being compared. For instance, 323 recent studies in mouse and humans infected with S. mansoni have reported the expansion of 324 different genera of bacteria with pro-inflammatory functions in the gut microbiota of the 325 respective hosts (Jenkins et al. 2018a; Schneeberger et al. 2018a). These observations lend credit 326 to the hypothesis that the functional role of the gut microbiota in helminth infections could be far 327 less 'diverse' than the taxonomic associations reported thus far. For this hypothesis to be 328 confirmed or confuted, a better understanding of the function(s) of each bacterial taxon inhabiting 329 the different gut compartments in a range of host species is needed. To this aim, the integration 330 of metagenomic, metabolomic and metatranscriptomic technologies, alongside traditional 331 microbiology and microscopy techniques, may assist to achieve a holistic picture of the impact of 332 GI helminth infections on the functions of the human gut microbiota, and its significance for 333 disease pathophysiology and overall host health (Wang et al. 2015).

## 334 5. CURRENT NEEDS AND FUTURE DIRECTIONS

335 Understanding the complex interactions between GI helminths and their vertebrate hosts is pivotal 336 for advancing our knowledge of the fundamental biology of these parasites and the diseases they 337 cause (see Peachey et al. 2017; Leung et al. 2018; Rapin and Harris et al. 2018 for reviews). 338 Whilst the role of the gut microbiota in host-parasite relationships has long been overlooked, 339 current knowledge of the key roles that resident bacteria play in host health and disease, together 340 with recent technical advancements for microbiota profiling, have boosted research is this area. 341 This is currently leading to increasing evidence of a role for the gut microbiota in the immune 342 regulatory properties of helminth parasites (Cantacessi et al. 2014; Reynolds et al. 2014; 343 Giacomin et al. 2015, 2016; Zaiss et al. 2016). In addition, data collected to date points towards

344 a likely role of the gut microflora in the immunopathology of selected GI helminth infections that 345 awaits experimental validation. Trying to untangle the relevance of particular fluctuations of 346 specific bacterial taxa on infection outcome is challenging; nevertheless, currently available data 347 suggest that low-intensity, long-term helminth infections are commonly linked to high microbial 348 diversity and predominance of bacteria typically associated with gut health. Conversely, high-349 intensity, acute infections are often associated to gut dysbiosis, characterised by reduced alpha 350 diversity and an increase in pro-inflammatory and often opportunistic pathogens (Peachey et al. 351 2017). However, for this knowledge to be exploited in translational studies, further investigations 352 in both natural and experimental settings are needed to distinguish spurious results from genuine 353 helminth-microbiota associations (Peachey et al. 2017), and mechanistic studies in animal models 354 of helminth infections are necessary to dissect the causality of these relationships (cf. Cortés et 355 al. 2018). Importantly, minimising variations between studies is crucial to warrant meaningful 356 comparisons between datasets.

357 Whilst reducing the variability amongst samples collected from naturally helminth-infected 358 humans may be difficult to achieve, the enormous impact that differences in technical and 359 experimental approaches (from sample collection to bioinformatics and biostatistical analyses) 360 exert on the overall variation detected across studies can be reduced (Figs. 1 and 2; Lindgreen et 361 al. 2017; Costea et al. 2017; Golob et al. 2017). In particular, a range of bioinformatics pipelines 362 are available for the analysis of high-throughput amplicon and metagenomics sequence datasets 363 that include, e.g., different sequence-processing tools and reference databases for sequence 364 annotation that could yield slightly different results (Lindgreen et al. 2017; Golob et al. 2017). 365 For instance, the use of validated open microbiome analysis packages such Multiplexed Analysis 366 of Projections by Sequencing (MAPseq) (Matias Rodrigues et al. 2017) or QIIME2 367 (https://qiime2.org/) may assist accurate taxonomic classifications of bacterial 16S rRNA 368 amplicon datasets; similarly, sequence annotation should rely on the use of regularly updated reference databases. Amongst these, SILVA (https://www.arb-silva.de/) (Quast et al. 2013) 369 370 enables sensitive annotations of bacterial rRNA sequence data (Almeida et al. 2018). The use of

such standardized analysis workflows and reference databases for sequence annotation might
prove extremely useful to increase consistency across studies and enable researchers to identify
common and/or unique features between the gut microbiota of different host-parasite systems
which, in turn, might assist to better understand the mechanisms that regulate helminth-microbiota
relationships.

376 The consequences that elucidating such mechanisms may exert on future strategies of parasite 377 control are two-fold. First, disentangling the potential contribution of the gut flora to the 378 pathogenesis of the infection is necessary in order to discover and develop new strategies to 379 contrast helminth-associated pathology. Second, understanding the microbiota-dependent 380 mechanisms by which parasitic helminths are able to modulate host immune responses and 381 suppress inflammation may assist the discovery of novel immune-regulatory therapeutics against 382 chronic inflammatory disorders of the GI tract that may act in synergy with helminth-based 383 therapy (see Peachey et al. 2017 and Rapin and Harris, 2018 for reviews). However, in order for 384 this new knowledge to be fully exploited in translational research, further studies that thoroughly 385 consider inclusion/exclusion criteria for the selection of participants, include appropriate controls, 386 and follow standardised experimental and data analysis protocols are necessary, and will allow to 387 disentangle the potential influence of parasite, drug- and/or population-dependent variables in 388 each setting (Fig. 2).

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## 586 FIGURE LEGENDS

- 587 Fig. 1 Sources of variation and confounding factors potentially impacting the outcome of studies
- 588 of human-helminth-gut microbiota interactions in helminth-endemic regions.
- 589 Fig. 2 Proposed approaches aimed at reducing the methodological sources of variation
- 590 surrounding investigations of human-helminth-gut microbiota interactions.

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Figure 1. Sources of variation and confounding factors potentially impacting the outcome of studies of human-helminth-gut microbiota interactions in helminth-endemic regions.

158x180mm (300 x 300 DPI)



- · Balanced gender ratios
- Delimited age ranges
- Homogeneous socioeconomic status of study subjects
- Lifelong residence in the study area
- Comparison of similar populations across different geographical locations

• History of recent anthelmintic and/or antibiotic treatment

- Inclusion of placebo-treated groups
- Assessment of potential effects of treatment on the gut microbiota
- Verification of infection clearance following anthelmintic treatment

• Multiple samplings post-treatment (longitudinal assessment of variations)

Ireatment

## 2 Infection

• Diagnostic screening of intestinal and extra-intestinal bacterial, viral, and/or protozoan pathogens

- Estimation of infection intensities according to standardised scales (WHO 2006)
- Absence of clinical symptoms of gut and/or systemic diseases

• Suitable diagnostic tools for pathogen identification

- Sample size and statistical power calculation
- Standardised protocols for sample collection, storage, and nucleic acid extraction
- Standardised pipelines for bioinformatic and statistical analysis of sequence data

4 Methods

Figure 2. Proposed approaches aimed at reducing the methodological sources of variation surrounding investigations of human-helminth-gut microbiota interactions.

163x164mm (300 x 300 DPI)

1	Invited review
2	
3	Helminths and microbes within the vertebrate gut –
4	not all studies are created equal
5 6 7	Alba Cortés <sup>1</sup> , Laura E. Peachey <sup>1,2</sup> , Timothy P. Jenkins <sup>1</sup> , Riccardo Scotti <sup>1</sup> and Cinzia Cantacessi <sup>1</sup>
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14 15 16	Running title: Helminth-microbiota interactions in the human gut
17 18 19 20 21 22 23 24 25 26 27 28	Corresponding author: Cinzia Cantacessi Department of Veterinary Medicine, University of Cambridge, Madingley Road CB3 0ES, Cambridge, United Kingdom Tel. +44 (0) 1223 760541 Fax. +44 (0) 1223 337610 E-mail: cc779@cam.ac.uk 4, <u>648421</u> words

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#### 29 **SUMMARY**

30 The multifaceted interactions occurring between gastrointestinal (GI) parasitic helminths and the 31 host gut microbiota are emerging as a key area of study within the broader research domain of 32 host-pathogen relationships. Over the past few years, a wealth of investigations has demonstrated 33 that GI helminths interact with the host gut flora, and that such interactions result in modifications 34 of the host immune and metabolic statuses. Nevertheless, whilst selected changes in gut microbial 35 composition are consistently observed in response to GI helminth infections across several hostparasite systems, research in this area to date is largely characterised by inconsistent findings. 36 These discrepancies are particularly evident when data from studies of GI helminth-microbiota 37 38 interactions conducted in humans from parasite-endemic regions are compared. In this review, 39 we provide an overview of the main sources of variance that affect investigations on human-40 helminth-gut microbiota interactions and propose a series of methodological approaches that, whilst accounting for taking into account the inevitable constraints of human fieldwork, are aimed 41 42 at minimising confounding factors and draw biologically meaningful interpretations from highly 43 variable datasets. Cerpeview

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1. INTRODUCTION

### Parasitology

46 A plethora of experimental evidence supports a key role of infections by gastrointestinal (GI) 47 helminth parasites in shaping the composition of the vertebrate gut microbiota, with significant 48 implications for local and systemic host immunity (reviewed by Brosschot and Reynolds, 2018). 49 For instance, recent studies have partly attributed the parasite-associated qualitative and/or 50 quantitative alterations to host GI microbial profiles to the ability of GI helminths to stimulate the 51 initiate-initial the-onset of T-regulatory (Treg) immune mechanismsresponses, which result in down-regulation of inflammatory responses and establishment of chronic infections, to 52 53 helminthparasite-associated qualitative and/or quantitative alterations to GI microbial profiles the ability to initiate the onset of T-regulatory (Treg) immune mechanisms, that result in down-54 55 regulation of inflammatory responses and establishment of chronic infections (cf. Cantacessi et al. 2014; Reynolds et al. 2014; Giacomin et al. 2015, 2016; Zaiss et al. 2016). On the other hand, 56 57 other studies have reported associations between acute helminth infections and gut microbiome microbiota imbalances (= dysbiosis) characterised by that involve significant expansion of 58 populations of putative pro-inflammatory bacteria (e.g. Rausch et al. 2013; Jenkins et al. 2018a; 59 Schneeberger et al. 2018a); these observations have, thus lending lent credit to the hypothesis that 60 helminth-associated alterations of gut microbiota compositionme may lead to both localised and 61 systemic consequences for the host organism, that includeing immunopathology and (e.g. Rausch 62 et al. 2013; Jenkins et al. 2018a; Schneeberger et al. 2018a), and as well as exacerbated 63 64 malnutrition in at-risk subjects from parasite-endemic areas (reviewed by Glendinning et al. 2014; 65 Houlden et al. 2015; Cattadori et al. 2016).

Over the past decade, newly acquired knowledge of the impact that GI helminth infections exert on the vertebrate gut microbialome composition and metabolism has contributed to a better understanding of parasite systems biology and host-pathogen interactions (reviewed by Peachey *et al.* 2017; Leung *et al.* 2018; Rapin and Harris *et al.* 2018), and has been proposed as a first step towards the identification and development of novel strategies of parasite control based on the targeted manipulation of the host gut microbiota (cf. Peachey *et al.* 2017). Nevertheless, for humans in particular, progress in this field of research is greatly impaired by the impact of several confounding factors that inevitably affect studies conducted in naturally infected individuals
(Mutapi, 2015; Chabé *et al.* 2017). In this review, we summarise current knowledge of GI
helminth-microbiome interactions in humans under natural conditions of infection, identify
similarities and differences between datasets and provide an overview of the confounding factors
that may affect the interpretation of findings.

# 78 2. HUMAN-HELMINTH-GUT MICROBIOTA INTERACTIONS IN REAL-WORLD79 SCENARIOS

80 In endemic areas for helminthiases, the vast majority of infected individuals harbour multiple 81 helminth species, often occupying different niches of the host organism (Hotez et al. 2010). 82 Whilst polyparasitism is often regarded as a major confounding factor in investigations of parasite-microbiota interactions conducted in humans under natural conditions of infection 83 (Cooper et al. 2013; Jenkins et al. 2017; Martin et al. 2018; Rosa et al. 2018), findings from these 84 85 studies are key to assessing the impact that GI helminths exert on gut microbiota homeostasis in a 'real-world' scenario. Nevertheless, several factors should be considered when interpreting 86 results obtained from individuals infected by multiple helminth species. First, anthropometric 87 (e.g. age and gender) and anthropologic variables (e.g. ethnicity, diet and occupation) are well 88 89 known to profoundly impact the 'baseline' composition of the human gut microbiotame (Sekirov et al. 2010; Yatsunenko et al. 2012) (cf. Fig. 1); therefore, the enrolment of large cohorts of 90 individuals is often necessary in order to achieve sufficient statistical power and avoid 91 uninformative and/or misleading results (Kelly et al. 2015). However, in many studies, the 92 93 number of individuals enrolled and samples analysed is inevitably dictated by logistical and 94 financial constraints. In these instances, population-related variables that impact gut microbiota 95 composition may contribute substantially to inconsistencies among findings from different studies 96 (cf. Fig. 1). For instance, a negative association between colonisation by the whipworm Trichuris 97 trichiura and the abundance of bacteria belonging to the genus Prevotella in the faeces of infected 98 individuals has been reported in two separate studies conducted in Malaysia (Lee et al. 2014; 99 Ranaman et al. 2016), while other studies conducted in Ecuador, and Liberia and Indonesia,

respectively, have failed to identify significant variations in faecal populations of *Prevotella* in
individuals either solely infected by *T. trichiura* or co-infected with other species of soiltransmitted helminths (STHs) (Cooper *et al.* 2013; Martin *et al.* 2015; Rosa *et al.* 2018).

103 In addition, whilst Rosa and co-authors (2018) detected several distinctive features in the gut 104 microbial profiles of helminth-harbouring individuals that were specifically associated to single 105 infections with the hookworm Necator americanus, the roundworm Ascaris lumbricoides or T. 106 trichiura, such features were inconsistent between two independent cohorts of helminth-infected 107 volunteers from Liberia and Indonesia, respectively; this discrepancy suggests that other yet 108 undetermined environmental factors may contribute to qualitative and quantitative alterations of 109 the gut microbial profiles of helminth-infected individuals from different geographical areas. In 110 contrast, an association between the abundance of selected bacterial taxa and infections by one or 111 more STHs could be consistently detected in samples from both Liberian and Indonesian cohorts 112 (Rosa et al. 2018). These taxa included bacteria belonging to the genera Olsenella and 113 Allobaculum, which were expanded in the gut microbiota of helminth-infected individuals when compared to that of uninfected controls. To the best of our knowledge, the study by Rosa et al. 114 115 (2018) was the first to report a link between infections by STHs and the abundance of these 116 bacterial genera in the human gut. Interestingly, in mice suffering from metabolic syndrome, 117 administration of probiotics was followed by expansion of populations of Olsenella and/or 118 Allobaculum, and a reduction in systemic and/or local gut inflammatory responses (Wang et al. 119 2015). Moreover, *Allobaculum* spp. are putative producers of anti-inflammatory short-chain fatty 120 acids (Greetham et al. 2004), and are severely reduced in the gut of mice genetically predisposed 121 to spontaneous colitis (Pérez-Muñoz et al. 2014). This knowledge led Rosa et al. (2018) to 122 hypothesize that these bacteria may play a vet undetermined role in the anti-inflammatory 123 properties of parasitic helminths, and reinforce the proposition that the interactions between thus 124 underpinning the general idea that hosts, -parasites and -gut microbiota are interactions are 125 multidirectional and should be approached in from a holistic perspective manner (e.g. Cortés et 126 al. 2018; Leung et al. 2018). Interestingly, iIn contrast to evidence acquired in human hosts, a

127 negative association between the genus Allobaculum and colonisation by GI helminths has been 128 observed in a mouse model of chronic trichuriasismice chronically infected with *T. muris* (Holm 129 et al. 2015), in which is featured by a dominant Th1-mediated immune responses are dominant 130 (reviewed by Cliffe and Grencis, 2004), as well as in mice-and with patent infection by the blood 131 fluke Schistosoma mansoni (Jenkins et al. 2018a), in which migrating eggs are responsible for the 132 onset of marked Th2-mediated inflammatory responses-are elicited to migrating eggs (reviewed 133 by Pearce and MacDonald, 2002). The immune-molecular mechanisms through via which 134 members of the genus Allobaculum may regulate local and systemic inflammation are yet to be elucidatedstill unclear (Greetham et al. 2004; Pérez-Muñoz et al. 2014; Wang et al. 2015). 135 Nonetheless, current data experimental evidence on showing concomitant reductions in 136 137 populations of *Allobaculum* and alongside helminth-associated gut inflammation supports seems 138 consistent with the hypothesis of raised by Rosa et al. (2018); in the future, suggesting that 139 laboratory rodent models of GI helminthiasis helminth infections whose gut microbiota is 140 deprived of, and subsequently recolonised with, the genus Allobaculum could be exploited to 141 investigate the potential involvement of these bacteria in the parasite-mediated 142 immunomodulation mediated by helminth parasites (e.g. via exogenous recolonization with 143 Allobaculum spp.). Notably, both models of helminth infection are characterised by the occurrence 144 of severe intestinal inflammation involving different populations of T CD4+ cells (i.e. Th1 and 145 Th2, respectively; Pearce and MacDonald, 2002; Cliffe and Grencis, 2004), and therefore, the 146 observed reduction in populations of Allobaculum in these systems supports the immune 147 regulatory role for this bacterial genus.

Beside the intrinsic variability of the human gut microbiota, studies conducted under natural conditions of helminth colonisation are likely to be affected by factors linked to the different combinations of infecting species and their relative abundances. For instance, in a study conducted in a cohort of Ecuadorian children, the specific features detected in the gut microbial profiles of subjects co-infected with *T. trichiura* and *A. lumbricoides* could not be identified in the microbiota of *Trichuris*-only infected individuals (Cooper *et al.* 2013). Similarly, selected

154 microbial features that were observed in studies conducted in human volunteers with mono-155 specific infections with, for instance, A. lumbricoides, could not be detected in the gut microbiota 156 of subjects harbouring the same parasite alongside other helminth species (e.g. T. trichiura and 157 *N. americanus*) (Rosa *et al.* 2018), thus suggesting that a complex interplay exists between the 158 host gut and its macro- and microbiota, that might be difficult to replicate in experimental settings. Furthermore, current evidence obtained from animal models of helminth infections indicates that 159 160 worm burdens can impact the nature and/or the magnitude of parasite-associated alterations in gut 161 microbial composition (Wu et al. 2012; Peachey et al. 2018).; nevertheless, such 162 evidence is not yet available for human infections, in which whose burdens parasite burdens in endemic areas may range from low to very high due to overdispersion of parasite loads in endemic 163 164 areas (Barbour and Kafetzaki, 1991; Churcher et al. 2005)-and, therefore, are likely to be an 165 important confounding factor for studies of parasite-microbiota interactions in naturally infected 166 individuals.

Another frequent constraint of investigations conducted in cohorts of human subjects with natural 167 helminth infections is the limited availability of 'genuine' negative controls, i.e. individuals from 168 169 the same communities of parasite-infected subjects who lack previous exposure to infections by 170 parasitic helminths. Instead, individuals with no evidence of patent helminth infections are 171 inevitably enrolled as control subjects (e.g. Cooper et al. 2013; Lee et al. 2014; Jenkins et al. 2017; Rosa et al. 2018); nevertheless, studies in helminth-infected individuals subjected to 172 173 anthelmintic treatment, as well as in primates and pigs exposed to *Trichuris* spp., have shown that 174 parasite-associated alterations in the gut microbial communities can persist, at least partlyially, in 175 absence of active infections (Broadhurst et al. 2012; Wu et al. 2012; Cooper et al. 2013; Kay et 176 al. 2015; Schneeberger et al. 2018a). These data call for caution when interpreting differences 177 between the gut microbial profiles of helminth-infected and uninfected volunteers from the same 178 communities. In addition, patent infections are often diagnosed using stool-based microscopic 179 methods, that are known for their relatively low sensitivity and that may yield false negative results, e.g. in case of intermittent shedding of eggs and/or larvae (O'Connell and Nutman, 2016). 180

181 Recently, Rosa et al. (2018) used quantitative real-time PCR to diagnose STH infections in 182 individuals subjected to gut microbiotame profiling, indicating that this technique may represent 183 a robust and sensitive alternative to microscopic methods, since it provides users with the ability 184 to semi-quantify burdens of different helminth species from minute amounts of DNA template. However, in spite of their higher sensitivity, molecular methods rely on the use of primers that 185 186 selectively target the parasite species of interest, thus impairing the simultaneous detection of 187 potential (asymptomatic or subclinical) co-infections with other helminth and/or non-helminth 188 pathogens (O'Connell and Nutman, 2016). Indeed, the impact of protozoa on the gut microbial 189 diversity and composition has been clearly demonstrated in humans and other vertebrates (reviewed by Chabé et al. 2017; Stensvold and van der Giezen, 2018). Furthermore, a recent study 190 191 conducted in a cohort of Colombian schoolchildren reported common features in the faecal 192 microbial composition of subjects co-infected with helminths and protozoans and mono-193 parasitized with the flagellate Giardia intestinalis compared to uninfected individuals (Toro-194 Londono *et al.* 2019). Whilst the mechanisms *via* which each group of parasites alters the host 195 gut flora, as well as the nature of such alterations, are yet to be determined, these findings support 196 the need to conduct additional diagnostic tests on stool samples from helminth-infected cohorts, 197 as well as the corresponding uninfected subjects, in order to rule out the influence of concomitant 198 bacterial, viral and/or protozoan infections that may be responsible for the changing gut microbial 199 profiles of these individuals (cf. Chabé et al. 2017).

Nevertheless, in spite of the several confounding factors outlined above (cf. Fig. 1), observational studies in helminth endemic areas have proven useful for the identification of significant associations between parasite colonisation and the gut microbial profiles of humans under natural conditions of infection. Importantly, studies conducted in these communities provide excellent opportunities to evaluate the effect(s) that parasite removal (e.g. via the administration of broadspectrum anthelmintics) exert(s) on the gut microbiota of previously infected individuals, thus contributing cues to understand the causality of helminth-microbiota relationships.

## 207 3. IMPACT OF DEWORMING ON THE HUMAN GUT MICROBIOTA

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The implementation of mass drug administration programmes in endemic areas for STHs and 208 209 schistosomiasis offers opportunities to elucidate potential mechanisms via which parasitic 210 helminths modulate the host gut microbiota. For instance, qualitative and quantitative changes in 211 gut microbial profiles that are caused by direct interactions between parasites and gut bacteria 212 may be expected to rapidly reverse following parasite removal, whilst long-lasting alterations are likely to result from indirect interplay mediated by the host immune system (Houlden et al. 2015; 213 214 Su et al. 2018). Nevertheless, such investigations are also generally constrained by the presence 215 of several confounding factors that include not only the host- and parasite-dependent variables 216 outlined above, but also variations linked to the use of different drugs and treatment regimes (Schneeberger et al. 2018b), as well as time of sampling post-anthelmintic treatment (Houlden et 217 218 al. 2015) (Fig. 1). The latter in particular may profoundly affect findings from these studies, as 219 the presence of tissue lesions caused by e.g. parasite feeding activity and location (e.g. blood-220 feeders vs. non blood-feeders and luminal vs. tissue dwellers) are likely to influence the timespan 221 between helminth removal and microbiome recovery (reviewed by Leung et al. 2018). Moreover, 222 for ethical reasons, data from these experiments is often biased by the lack of placebo-treated 223 control groups. These limitations may be at least partially responsible for the differences between 224 findings from studies aimed to elucidateat elucidating the effect of deworming on the gut 225 microbiota of helminth-infected volunteers; notwithstanding, it is worth noting that, in instances 226 where deworming-associated changes in human gut microbial profiles were detected, these were 227 generally moderate (Ramanan et al. 2016; Martin et al. 2018; Schneeberger et al. 2018b).

Consistent with this, a recent study conducted on faecal samples collected from a rural community in Indonesia reported that the composition of the gut microbiotame of individuals repeatedly treated with either albendazole or placebo (for 21 months) resembled that of samples collected from the same subjects prior to treatment, rather than that of uninfected controls (Rosa *et al.* 2018). Moreover, a parallel investigation conducted on the same cohort of individuals detected reduced populations of *Prevotella* in albendazole-treated subjects in which complete deworming did not occur, compared to placebo-treated individuals with patent helminth infections (Martin *et* 

235 al. 2018). Intriguingly, failure of albendazole treatment was accompanied by a dominance of T. trichiura (over other helminth species) in these subjects, while placebo-treated individuals 236 237 maintained a diverse macrobiota (i.e. multiple helminth infections); hence, differences in the 238 composition of the GI macrobiota (i.e. species present and their relative abundances) between 239 albendazole- and placebo-treated individuals could account for variations in the composition of 240 the intestinal microflora of these subjects (Martin et al. 2018). Significant associations between 241 colonisation by T. trichiura and Prevotella abundance were not observed in the Indonesian cohort 242 (Martin et al. 2018; Rosa et al. 2018). However, negative associations between whipworm 243 infections and *Prevotella* abundance had been detected previously in two independent studies 244 conducted in Malaysia (Lee et al. 2014; Ramanan et al. 2016). In particular, Ramanan and co-245 authors (2016) observed that, following albendazole treatment, expansion of Prevotella 246 populations in the human faecal microbiota was related to reduced *T. trichiura* faecal egg counts. 247 In contrast, no significant associations between helminth infection and abundance of bacteria 248 belonging to the genus *Prevotella* was reported in a study investigating the impact of parasite 249 colonisation and effective successful treatment with a combination of albendazole and ivermectin 250 treatment on the faecal microbial profiles of a cohort of *Trichuris*-infected children from Ecuador 251 (Cooper et al. 2013), nor in a group of helminth-infected adults from Sri Lanka treated with 252 pyrantel pamoate (Jenkins et al. 2017). Similarly, no qualitative or quantitative changes to faecal 253 microbial composition were observed in two cohorts of schoolchildren from Côte d'Ivoire and 254 Zimbabwe infected by S. mansoni and S. haematobium, respectively, following treatment with 255 praziquantel (Kay et al. 2014; Schneeberger et al. 2018a). However, successful elimination of S. mansoni was associated with a higher abundance of Fusobacterium spp. pre-treatment, as well as 256 257 24 hrs post-treatment (Schneeberger et al. 2018a).

Whilst drug administration in endemic regions may result in effective elimination of helminth infections, potential co-infecting protozoan parasites are not susceptible to anthelmintic treatment; this, together with the sub-standard hygienic and sanitary conditions that generally characterise these areas and that result in continuous re-exposure to infective helminth

262 developmental stages (Campbell et al. 2018), impairs the full assessment of the consequences of helminth removal on the composition of the human gut microbiota. To the best of our knowledge, 263 264 thus far, a single study has investigated the effects of chronic infections by a GI helminth, 265 Strongyloides stercoralis, and anthelmintic treatment on the composition of the faecal 266 microbiotame and metabolome of humans from a non-endemic area of Europe, where parasite transmission had been interrupted (Jenkins et al. 2018b). Treatment with ivermectin resulted in 267 268 compositional changes of the faecal microbiota (analysed 6 months post-treatment), which 269 partially resembled that of uninfected control subjects (Jenkins et al. 2018b); in particular, alpha 270 diversity [= a measure of the number of bacterial species present in a given microbial community 271 (richness) and their relative abundance (evenness)] was reduced in the microbiota of the former 272 group of dewormed-individuals post-treatment (although statistical significance was not achieved) 273 and accompanied by expanded populations of potentially pathogenic bacteria (Jenkins et al. 274 2018b). In addition, the faecal metabolic profiles obtained from samples collected post-ivermectin treatment shared features with both appeared to fall somewhere in between those obtained from 275 276 samples collected pre-treatment as well as from and from uninfected controls (Jenkins et al. 277 2018b); this observation led Jenkins *et al.* (2018b), to hypothesise that, thus supporting the notion that, following parasite removal and over time, suggesting a (direct and/or indirect) effect of 278 279 parasite infection and removal on both gut microbiotame and metabolome may revert to the 280 original a-pre-infection state. Multiple factors, including but not limited to those outlined above, 281 may contribute to the discrepancies observed between the findings from this work and those that 282 reported no or minor effects of anthelmintic treatment on the gut microbiome of helminth-infected humans (Cooper et al. 2013; Ramanan et al. 2016; Martin et al. 2018; Rosa et al. 2018; 283 284 Schneeberger et al. 2018a,b).

Despite the limitations outlined above, studies of GI helminth-microbiota relationships conducted in endemic areas for helminthiases have provided repeated evidence of the perturbations that parasites and anthelmintic treatment exert on the equilibrium of resident populations of gut bacteria and on gut homeostasis. However, the identification of common signatures across studies

remains key to designing future experiments, e.g. in animal models of helminth infections, that
may assist the elucidation of the mechanisms that underpin the interactions between GI helminths,
the gut microbiota and the host immune system.

## 4. DO COMMON SIGNATURES EXIST ACROSS STUDIES OF HOST-HELMINTH-

## 293 MICROBIOTA INTERACTIONS?

294 The identification of gut microbial signatures that occur reproducibly across several host-GI 295 helminth systems is crucial for designing novel anti-helminth intervention strategies based on the 296 manipulation of the gut microbiota (Peachey et al. 2017). Studies conducted in animal models of 297 helminth infections are expected to assist the identification of such signatures, as well as the direct 298 (i.e. parasite-mediated) and/or indirect (i.e. immune-mediated) mechanisms that govern helminth-299 microbiota interactions (Cortés et al. 2018); nevertheless, the inconsistencies that characterise 300 studies of helminth-microbiota relationships published to date make such a task highly 301 challenging. Indeed, for patterns to be identified, fluctuations in selected populations of gut 302 microbes must be interpreted in light of the physical and immunological alterations of the mucosal 303 environment in which such alterations occur (Leung et al. 2018). For instance, expanded 304 populations of Lactobacillaceae have been repeatedly detected following infection with several 305 species of parasitic helminths in several host species (Reynolds et al. 2014; Duarte et al. 2015; 306 Holm et al. 2015; Houlden et al. 2015; Cattadori et al. 2016; Jenkins et al. 2018a; Kim et al. 2018), and could thus be considered as a 'consistent alteration' in gut microbiota composition 307 308 upon helminth colonisation. However, key differences exist between host-parasite pairs 309 investigated in the studies that have reported such an outcome. Indeed, whilst populations of 310 Lactobacillaceae promote regulatory responses in mice infected by Heligmosomoides polygyrus 311 bakeri (Reynolds et al. 2014), a lack of correlation between Lactobacillaceae abundance and Treg 312 populations has been observed in other host-parasites systems, such as mice chronically infected 313 with T. muris and rabbits infected with Trichostrongylus retortaeformis, in which the expansion 314 of populations of gut Lactobacillaceae upon helminth infection occurs in an environment 315 dominated by Th1-mediated immune responses (Holm et al. 2015; Houlden et al. 2015; Cattadori

316 et al. 2016). These differences suggest that alternative mechanisms may regulate the differentiation and development of adaptive immune responses in each host-parasite system 317 318 (Houlden et al. 2015), and thus that similar alterations in gut microbiota composition may result 319 in different consequences that are dependent on the microenvironment where these changes occur. 320 Notwithstanding, the interactions between hosts, helminths and the gut microbiota are likely 321 multifaceted and multidirectional, and therefore the potential consequences that selected 322 compositional changes in gut microbiota exert on host homeostasis are only one aspect of these 323 complex interplay. For instance, a common yet undetermined mechanism may determine the 324 expansion of Lactobacillaceae in the gut of helminth-infected hosts.

325 On the other hand, apparent 'contradictory' findings across studies may result from fundamental 326 differences between gut compartments under investigation. For instance, Prevotella spp. was 327 expanded in the abomasum and faeces of sheep infected by abomasal trichostrongyles (i.e. Haemonchus contortus and Teladorsagia circumcincta; Li et al. 2016; Cortés et al. in 328 329 preparation), whilst the same taxa were reduced in the faeces of a range of host species, including 330 mice, humans and horses, infected by nematodes residing in the large intestine, i.e. *Trichuris* spp. 331 and cyathostomins, respectively (Lee et al. 2014; Houlden et al. 2015; Peachey et al. submitted). 332 It must be noted, however, that whilst increased abomasal pH favours *Prevotella* overgrowth in 333 the abomasum (De Nardi et al. 2016; Li et al. 2016), the same taxa are likely to be exposed to a 334 dramatically different microenvironment in the large intestine that may determine the contraction 335 of these bacterial taxagroups. In addition, given the functional dissimilarities between the 336 abomasal and colonic microbiota, such alterations are expected to result in fundamentally 337 different outcomes for the homeostasis of each of these gut compartments (Ley et al. 2008), and 338 hence comparisons are, in our opinion, unwarranted.

In parallel to species of bacteria with functions that may vary depending on the gut compartment, multiple taxa share the same functions in different microenvironments (Lozupone *et al.* 2012); therefore, it is plausible that, even though inconsistencies are detected across studies, these may result in similar functional alterations in the host-parasite pairs being compared. For instance,

343 recent studies in mouse and humans infected with S. mansoni have reported the expansion of 344 different genera of bacteria with pro-inflammatory functions in the gut microbiota of the 345 respective hosts (Jenkins et al. 2018a; Schneeberger et al. 2018a). These observations lend credit 346 to the hypothesis that the functional role of the gut microbiota in helminth infections could be far 347 less 'diverse' than the taxonomic associations reported thus far. For this hypothesis to be 348 confirmed or confuted, a better understanding of the function(s) of each bacterial taxon inhabiting 349 the different gut compartments in a range of host species is needed. To this aim, the integration 350 of metagenomic, metabolomic and metatranscriptomic technologies, alongside traditional 351 microbiology and microscopy techniques, may assist to achieve a holistic picture of the impact of 352 GI helminth infections on the functions of the human gut microbiota, and its significance for 353 disease pathophysiology and overall host health (Wang et al. 2015).

## 354 5. CURRENT NEEDS AND FUTURE DIRECTIONS

355 Understanding the complex interactions between GI helminths and their vertebrate hosts is pivotal 356 for advancing our knowledge of the fundamental biology of these parasites and the diseases they 357 cause (see Peachey et al. 2017; Leung et al. 2018; Rapin and Harris et al. 2018 for reviews). 358 Whilst the role of the gut microbiota in host-parasite relationships has long been overlooked, 359 current knowledge of the key roles that resident bacteria play in host health and disease, together 360 with recent technical advancements for microbiota profiling, have boosted research is this area. 361 This is currently leading to increasing evidence of an active involvement of the gut microbiota in 362 the immunopathology of GI helminth infections (e.g. Rausch et al. 2013; Jenkins et al. 2018a; 363 Schneeberger et al. 2018a). Furthermore, several studies support a role for the gut microbiota in 364 the immune regulatory properties of helminth parasites (Cantacessi et al. 2014; Reynolds et al. 365 2014; Giacomin et al. 2015, 2016; Zaiss et al. 2016). FurthermoreIn addition, data collected to date points towards a likely role whether certain members of the gut microflora in are actively 366 367 involved in the immunopathology of particular selected GI helminth infections that awaits 368 experimental validationis a currently outstanding question that awaits for a response. Indeed, 369 whilst tTrying to untangle the relevance of particular fluctuations of specific bacterial taxa on

370 infection outcome is challenging; nevertheless,, currently available data suggest that lowintensity, long-term helminth infections are commonly linked to high microbial diversity and 371 372 predominance of bacteria typically associated with gut health.; Ceonversely, high-intensity, acute 373 infections are often associated to gut dysbiosis, characterised by reduced alpha diversity and an 374 increase in pro-inflammatory and often opportunistic pathogens (Peachey et al. 2017). However, 375 for this knowledge to be exploited in translational studies, further investigations in both natural 376 and experimental settings are needed to distinguish spurious results from genuine helminth-377 microbiota associations (Peachey et al. 2017), and mechanistic studies in animal models of 378 helminth infections are necessary to dissect the causality of these relationships (cf. Cortés et al. 2018). Importantly, minimising variations between studies is crucial to warrant meaningful 379 380 comparisons between datasets.

381 Whilst reducing the variability amongst samples collected from naturally helminth-infected humans may be difficult to achieve, the enormous impact that differences in technical and 382 383 experimental approaches (from sample collection to bioinformatics and biostatistical analyseis) 384 exert on the overall variation detected across studies can be reduced (Figs. 1 and 2; Lindgreen et 385 al. 2017; Costea et al. 2017; Golob et al. 2017). In particular, a range of bioinformatics pipelines 386 are available for the analysis of high-throughput amplicon and metagenomics sequence datasets 387 that include, e.g., different sequence-processing tools and reference databases for sequence 388 annotation that could yield slightly different results (Lindgreen et al. 2017; Golob et al. 2017). 389 For instance, the use of validated open microbiome analysis packages such Multiplexed Analysis 390 of Projections by Sequencing (MAPseq) (Matias Rodrigues et al. 2017) or QIIME2 391 (https://qiime2.org/) taxonomy classification of 16S amplicon datasets, for instance, current 392 trends indicate that optimised approaches should rely on open microbiome analysis packages such 393 Multiplexed Analysis of Projections by Sequencing (MAPseq) (Matias Rodrigues et al. 2017) or 394 QIIME2 (https://qiime2.org/), which have proven fast, accurate and specific in predicting 395 taxonomic affiliations, may assist accurate taxonomic classifications of bacterial 16S rRNA 396 amplicon datasets; similarly, sequence annotation should rely on the use of and the usage of

397 comprehensive, as well as regularly updated reference databases. Amongst these, , e.g. SILVA 398 (https://www.arb-silva.de/) (Quast et al. 2013), that enables a sensitive annotations of bacterial 399 rRNA sequence data (Almeida et al. 2018). Thus, tThe use of such standardized analysis 400 workflows and continuously updated reference databases for sequence annotation might prove 401 extremely useful to increase consistency across studies and enable researchers to identify common 402 and/or unique features between the gut microbiota of different host-parasite systems which, in 403 turn, might assist to better understand the mechanisms that regulate helminth-microbiota 404 relationships.

405 The consequences that elucidating such mechanisms may exert on future strategies of parasite 406 control are two-fold. First, disentangling the potential contribution of the gut flora to the 407 pathogenesis of the infection is necessary in order to discover and develop new strategies to 408 contrast helminth-associated pathology. Second, understanding the microbiota-dependent 409 mechanisms by which parasitic helminths are able to modulate host immune responses and 410 suppress inflammation may assist the discovery of novel immune-regulatory therapeutics against 411 chronic inflammatory disorders of the GI tract that may act in synergy with helminth-based therapy (see Peachey et al. 2017 and Rapin and Harris, 2018 for reviews). However, in order for 412 413 this new knowledge to be fully exploited in translational research, further studies that thoroughly 414 consider inclusion/exclusion criteria for the selection of participants, include appropriate controls, 415 and follow standardised experimental and data analysis protocols, are necessary, thus allowing and 416 will allow to disentangle the potential influence of parasite, drug- and/or population-dependent 417 variables in each setting (Fig. 2), are necessary.

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## 616 FIGURE LEGENDS

- Fig. 1 Sources of variation and confounding factors potentially impacting the outcome of studies
- of human-helminth-gut microbiota interactions in helminth-endemic regions.
- 619 Fig. 2 Proposed approaches aimed at reducing the methodological sources of variation
- 620 surrounding investigations of human-helminth-gut microbiota interactions.

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