

1 **THE EXTRACELLULAR MATRIX AND PERINEURONAL NETS IN MEMORY**

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25 **ABSTRACT**

26 **All components of the CNS are surrounded by a diffuse extracellular matrix (ECM) containing**  
27 **chondroitin sulphate proteoglycans (CSPGs), heparan sulphate proteoglycans (HSPGs), hyaluronan,**  
28 **various glycoproteins including tenascins and thrombospondin, and many other molecules that**  
29 **are secreted into the ECM and bind to ECM components. In addition, some neurons, particularly**  
30 **inhibitory GABAergic parvalbumin-positive (PV) interneurons, are surrounded by a more**  
31 **condensed cartilage-like ECM called perineuronal nets (PNNs). PNNs surround the soma and**  
32 **proximal dendrites as net-like structures that surround the synapses. Attention has focused on the**  
33 **role of PNNs in the control of plasticity, but it is now clear that PNNs also play an important part in**  
34 **the modulation of memory. In this review we summarize the role of the ECM, particularly the**  
35 **PNNs, in the control of various types of memory and their participation in memory pathology.**  
36 **PNNs are now being considered as a target for the treatment of impaired memory. There are**  
37 **many potential treatment targets in PNNs, mainly through modulation of the sulphation, binding,**  
38 **and production of the various CSPGs that they contain or through digestion of their sulphated**  
39 **glycosaminoglycans.**

40

41 **INTRODUCTION**

42 All components of the CNS are surrounded by a diffuse extracellular matrix (ECM) containing  
43 chondroitin sulphate proteoglycans (CSPGs), heparan sulphate proteoglycans (HSPGs), hyaluronan,  
44 various glycoproteins including tenascins and thrombospondin, and many other molecules that are  
45 secreted into the ECM and bind to ECM components. In addition, some neurons, particularly  
46 inhibitory GABAergic parvalbumin-positive (PV) interneurons, are surrounded by a more condensed  
47 cartilage-like ECM called perineuronal nets (PNNs). PNNs surround the soma and proximal dendrites  
48 as net-like structures that surround the synapses. Attention has focused on the role of PNNs in the  
49 control of plasticity, but it is now clear that PNNs also play an important part in the modulation of  
50 memory. In this review we summarize the role of the ECM, particularly the PNNs, in the control of  
51 various types of memory and their participation in memory pathology. PNNs are now being  
52 considered as a target for the treatment of impaired memory. There are many potential treatment  
53 targets in PNNs, mainly through modulation of the sulphation, binding, and production of the  
54 various CSPGs that they contain or through digestion of their sulphated glycosaminoglycans. <sup>1</sup>

55

56 **EXTRACELLULAR MATRIX BIOLOGY**

57 **Extracellular matrix**

58 Extracellular matrix (ECM) refers to a collection of extracellular molecules that provides physical and  
59 biochemical support to cells. Studies on the ECM mainly focus on the intricate network of ECM formed  
60 by macromolecular assembly. The ECM in the central nervous system (CNS) is mainly composed of  
61 proteoglycans, glycosaminoglycans (GAGs) and glycoproteins such as tenascins and thrombospondin  
62 that interact with them<sup>2</sup>. Diffusion of molecules such as neurotransmitters, ions, guidance molecules,  
63 and metabolites are tightly regulated by this network.

64

65 Proteoglycans are a family of large ECM molecules whose basic structure comprises linear GAG chains  
66 covalently attached to a core protein. There are five types of GAGs, chondroitin sulphates (CS),  
67 heparan sulphates (HS), keratan sulphates, dermatan sulphates, and hyaluronan<sup>3, 4</sup>. Chondroitin  
68 sulphate proteoglycans (CSPGs) and heparan sulphate proteoglycans are the key proteoglycans in CNS  
69 function<sup>3</sup>. Research in the last three decades has elucidated the inhibitory functions of CSPGs in  
70 neurite extension, path-finding, plasticity and neural regeneration<sup>5-9</sup>. CSPG function is strongly  
71 influenced by the pattern of sulphation of the GAG chains, with 4-sulphated GAGs being inhibitory and  
72 6-sulphated GAGs being permissive to axon growth and plasticity<sup>10</sup>. **Synthesis of GAGs and their  
73 sulfation occurs in the Golgi, sulfation being determined by the activity of sulfotransferases that  
74 sulphate CS and HS chains in various positions on the constituent disaccharides**<sup>11</sup>. In addition to  
75 being a key inhibitory molecule in the diffuse ECM, CSPGs around some classes of neurons also interact  
76 with other brain ECM molecules, self-assembling into aggregate structures called perineuronal nets  
77 (PNNs)<sup>12-14</sup>. **The lectican family of CSPGs (also called aggrecan-family CSPGs) are found within PNNs,  
78 including aggrecan, brevican, neurocan, and versican**<sup>1</sup>.

79

80 While diffuse CNS ECM surrounds all structures in the CNS, perineuronal nets (PNNs) with a cartilage-  
81 like structure surround some classes of neurons. PNNs are reticular CSPG-containing ECM structures  
82 surrounding the soma and proximal dendrites of a subpopulation of CNS neurons and important for  
83 controlling neuroplasticity<sup>1, 13</sup>. **Hyaluronan is synthesized by the transmembrane enzyme  
84 hyaluronan synthase (HAS), which anchors the nascent hyaluronan chains to the neuronal surface  
85 together with ankyrin-R<sup>16</sup> and RPTPzeta/phosphacan<sup>17</sup>**. The long hyaluronan chains provide a  
86 scaffold for the assembly of CSPGs, hyaluronan, hyaluronin and proteoglycan link proteins (Hapln),  
87 and tenascins<sup>15, 18</sup>. While binding of the N-terminal of CSPG to hyaluronan chains is stabilised by Hapln,  
88 the C-terminals of three CSPG molecules will link with the trimeric tenascin-R<sup>19-21</sup>. These interactions  
89 enable the formation of a stable PNN. **However, the diffuse ECM also affects neuronal activity.  
90 Hyaluronan is a regulator of extracellular volume, and hyaluronan deficiency causes altered  
91 neuronal activity and seizures**<sup>22</sup>.

92

93 PNN components and their interactions with other ECM molecules such as OTX2, neuronal pentraxin  
94 2 (Nptx2, also called Narp) and semaphorin3A (Sema3A), contribute to the functions of PNNs in  
95 neuroplasticity<sup>23-27</sup>. While OTX2 and Sema3A bind to 4,6 disulphated GAGs in the PNNs<sup>23,28</sup>, Nptx2  
96 binds to both 4,6 sulphated GAGs and HA<sup>29</sup>. The soluble transcription factor OTX2 binds to PNNs and  
97 is internalised, leading to maturation of PV neurons and maintenance of PNNs in adulthood<sup>23,26</sup>. Nptx2  
98 is an activity-regulated protein that interacts with the extracellular domain of AMPA receptors to  
99 facilitate receptor clustering and insertion of GluR4 on the postsynaptic membrane of neurons,  
100 strengthening synaptic communication<sup>24</sup>. Removal of CS by chondroitinase ABC (ChABC) abolishes  
101 these effects of Nptx2. Sema3A is a chemorepulsive molecule, and prevention of its binding to PNNs  
102 reinstates ocular dominance and cerebellar plasticity in adult mice<sup>30,31</sup>. Moreover, a recent study has  
103 also shown that Sema3A binding to CS GAGs induces rigidification of the CS matrix, which may alter  
104 the mechanical properties of PNNs and ultimately affect neuroplasticity<sup>32</sup>. Proteoglycans also exert  
105 effects through binding to a cell surface phosphatase, **protein tyrosine phosphatase sigma** (PTPσ),  
106 which can exert inhibitory effects from CSPGs and permissive effects from HSPGs<sup>33</sup>.

107

## 108 **ECM and synapses**

109 The substrate of memory is synaptic strength and connectivity. All synapses are embedded in ECM,  
110 either the general interstitial ECM found throughout the CNS or the specialized ECM of PNNs, where  
111 they can interact with CSPGs, HSPGs, tenascin-C, tenascin-R, thrombospondin, and laminins (**Figure**  
112 **1**). These molecules in turn bind and present other active molecules to neurons. **Synaptic ECM**  
113 **molecules also interact directly with receptors and ion channels, modulating their migration and**  
114 **properties**<sup>34,35</sup>. **The most-studied ECM molecules that affect synapses** and memory are CSPGs. The  
115 ECM can be modified rapidly by the release of proteases<sup>36</sup>, by microglial action and by  
116 internalization, all of which can be activated by memory events<sup>37-39</sup>.

117

118 Experimentally, much of our knowledge of the effects of CSPGs and PNNs stems from their  
119 modification by digestion of the GAG chains using ChABC. At postsynaptic sites, ChABC enhances  
120 dendritic spine number and motility, while presynaptic terminals tend to show enhanced sprouting  
121 and synapse numbers<sup>40-42</sup>. In the perirhinal cortex and hippocampus, ChABC digestion increases  
122 inhibitory inputs to PV interneurons<sup>43-45</sup> while in the entorhinal cortex, ChABC reduces inhibitory  
123 inputs, and in V1 visual cortex, ChABC decreases both excitatory and inhibitory inputs to PV  
124 interneurons<sup>46,47</sup>. The deep cerebellar nucleus, where PNNs surround most neurons, has been a

125 fertile region for PNN research: Purkinje cell terminals sprout after ChABC digestion<sup>48</sup>, and in the  
126 frontal cortex, the number of inhibitory connections to pyramidal cells is decreased<sup>49</sup>. Digestion of  
127 HA with hyaluronidase modulates synaptic function by increasing AMPA receptor mobility (reviewed  
128 in<sup>50</sup> **and surface expression of NMDA receptors**<sup>35,51</sup>. PNN function can be modulated by removing  
129 individual components. Deletion of link proteins leads to fewer Purkinje synapses, decreases  
130 inhibitory transmission in the deep cerebellar nucleus<sup>52</sup>, and facilitates long-term depression in the  
131 perirhinal cortex<sup>53</sup>. Manipulation of individual CSPG proteins can also affect synapses and synaptic  
132 function<sup>34,54</sup>. A relevant function of CSPGs in PNNs is to present semaphorins to synapses; absence  
133 of semaphorin 4C (sema4C) prevents the increase in spine number during fear learning<sup>55,56</sup>.  
134 Moreover, knockout mice deficient in PNN component tenascin-R have abnormal synapse formation  
135 and synaptic plasticity after injury<sup>54,57</sup>. **An important mechanism of plasticity is modification of the**  
136 **CNS ECM by activity-related release of metalloproteinases, which can cause rapid changes in PNNs**  
137 **in region of synapses, enabling local changes in synaptic properties**<sup>38,58</sup>.

138

#### 139 *Electrophysiological effects*

140 Digestion or transgenic attenuation of PNNs has various effects on electrophysiological properties  
141 that are dependent on brain region and type of PNN manipulation<sup>59</sup>. Most studies in the  
142 hippocampal CA1 region show that PNN degradation or attenuation decreases long-term  
143 potentiation (LTP).<sup>60-65</sup> Similarly, LTP is also affected by CSPG sulphation, with loss of 6-sulphation  
144 causing loss of LTP in the perirhinal cortex and CA1<sup>45</sup>. However, the effects of reducing PNNs may be  
145 dependent on the cell type surrounded by PNNs. For example, in the CA2, an area associated with  
146 social memory and which usually does not exhibit LTP, PNN depletion enables LTP<sup>66</sup>. Long-term  
147 depression (LTD) is also altered after PNN degradation, with both increases<sup>67</sup> and decreases<sup>53,60</sup>  
148 reported. However, in general, there is an overall increase in network activity when PNNs are  
149 depleted or attenuated<sup>53,59,68</sup>, possibly due to an overall reduction in inhibitory activity. In line with  
150 this, digestion of CSPGs in the primary visual cortex in rats or deletion of aggrecan in mice decreases  
151 inhibitory activity, causing the network to revert to an immature juvenile state and an increased  
152 level of activity-dependent plasticity<sup>47,69</sup>. Enhanced learning of eyeblink conditioning is also  
153 observed after ChABC digestion in the deep cerebellar nucleus, although here it is induced by  
154 increased GABAergic transmission<sup>31,70</sup>.

155

156 The variable effects of PNN attenuation could be related to cell-specific expression patterns of PNNs.  
157 While PNNs predominantly enwrap PV inhibitory neurons in most brain areas, they surround

158 excitatory neurons in the CA2<sup>66,71</sup>. Moreover, individual CSPGs have distinctive effects that may also  
159 contribute to the large variation in effects of PNN depletion. For example, brevican affects mainly  
160 excitatory synapses, regulating both AMPA receptors and potassium channels and the speed and  
161 duration of synaptic potentials, leading to impaired hippocampal LTP observed in brevican knockout  
162 animals<sup>34,65,72</sup>. In contrast, aggrecan affects inhibitory synapses on PV interneurons (Ruzicka  
163 unpublished observations), and mice deficient in neurocan showed impaired hippocampal LTP<sup>64</sup>.  
164 Tenascin-R deficient mice also have a disrupted PNN structure, impaired LTP in the hippocampus<sup>63</sup>,  
165 and show reduced active zones in inhibitory synapses<sup>73</sup>. Lastly, animals deficient in tenascin-C show  
166 impaired L-type calcium channel dependent LTP<sup>74</sup>.

167

## 168 **Types of memory and memory models**

### 169 ***Associative learning***

170 To examine PNN function in associative memories, we focus on fear conditioning and eyeblink  
171 conditioning, two well-studied phenomena (see<sup>75</sup> for review). Fear and eyeblink memory are similar  
172 in that a conditioned stimulus (usually a tone, visual cue or context) is linked to an unconditioned  
173 stimulus; electric shock in the case of fear memory, a puff of air to the cornea for eyeblink memory.  
174 After a training period during which both stimuli are given simultaneously, the conditioned stimulus  
175 alone will cause animals to freeze (fear memory) or blink their eyes. The neural pathways differ, but  
176 both involve the auditory or visual pathways. Other forms of conditioning, such as that associated  
177 with drugs of abuse, are also discussed below.

178

### 179 ***Eyeblink conditioning***

180 Delayed eyeblink conditioning is a type of associative conditioning that requires neurons in the deep  
181 cerebellar nuclei (DCN)<sup>76</sup>, many of which are surrounded by PNNs<sup>77</sup>. The acquisition of eyeblink  
182 conditioning reduces the intensity of PNNs in the DCN, whereas longer training (to plateau levels)  
183 restabilizes PNN intensity<sup>31</sup>. Injection of ChABC<sup>70</sup> or viral vector-containing ChABC to provide long-  
184 term depletion of PNNs increases acquisition of eyeblink conditioning<sup>31</sup> but slightly decreases  
185 retention of this response when tested about three weeks later. This is consistent with reduced  
186 firing of these neurons, an increased number of inhibitory terminals and reduced excitatory  
187 terminals<sup>31</sup>, and greater inhibition of DCN neurons<sup>70</sup>. The increased acquisition is in contrast to  
188 another study<sup>78</sup> that showed a reduced conditioned response and no change during extinction. The  
189 differences between studies may be due to differences in species, strength of the unconditioned  
190 stimulus, or the method of ChABC delivery. Sema3A is associated with PNNs around Purkinje cell

191 terminals and may influence remodelling of synapses and in turn the impact of ChABC on eyeblink  
192 conditioning <sup>56</sup>(**Figure 2A**).

193

#### 194 *Fear conditioning*

195 Fear conditioning is often used as a model for posttraumatic stress disorder (PTSD), a psychiatric  
196 disorder characterized by hyperarousal, intrusive memories of traumatic events, and avoidance of  
197 reminders of those events <sup>79</sup>. While many studies focus on the basolateral amygdala (BLA), cortical  
198 regions also process threats associated with anxiety <sup>80</sup>. Studies in rodent models have focused on  
199 fear conditioning because PTSD in humans is believed to arise from abnormal activation of fear  
200 circuitry <sup>81</sup>. Fear memory was the first type of memory to be linked to PNNs and the ECM. Gogolla  
201 et al. <sup>82</sup> showed that PNN removal in the BLA in adult mice allowed for a subsequent extinction  
202 training to diminish expression of fear, similar to what occurs in juvenile mice prior to PNN  
203 development (**Figure 2A**).

204

205 Since then, other studies have shown that PNN degradation in the hippocampus, medial prefrontal  
206 cortex (mPFC), anterior cingulate cortex, BLA, or auditory cortex impairs the expression of fear  
207 conditioning <sup>62, 83-85</sup>. The effectiveness of ChABC implicates CSPGs, but digestion of hyaluronan also  
208 reduces fear memory retrieval <sup>61</sup>. Fear conditioning increases PNNs or mRNA encoding PNN  
209 components in the auditory cortex <sup>84</sup>, hippocampus, and anterior cingulate cortex <sup>62</sup>, and activates  
210 PNN-surrounded neurons <sup>86</sup>. **PTP $\alpha$  associates with PNNs and restricts plasticity by signalling**  
211 **through the receptor for brain-derived neurotrophic factor, TrKB <sup>87, 88</sup>. Sema4C, which also**  
212 associates with PNNs (see above), is increased in the hippocampus and ACC following fear  
213 conditioning, and sema4C knockout mice show deficits in conditioned fear memory recall <sup>55</sup>.

214

215 The formation and recall of fear memories and other associative memories involve many connected  
216 brain areas and need to be considered in the context of precisely timed brain oscillations  
217 synchronizing neural activity within and across brain regions. PV neurons are essential for these  
218 oscillations, and the impact of PNNs on learning and recall is likely to be tightly linked to their  
219 influence on the PV neuron network <sup>89-92</sup>. For instance, coherence (phase alignment) between theta  
220 oscillations in the secondary visual cortex (V2) and the BLA is necessary for successful recall of  
221 remote fear memories <sup>93, 94</sup>. Attenuation of PNNs in V2 weeks after training reduces theta coherency  
222 between BLA and V2 and prevents recall of a remote fear memory <sup>94</sup>. Moreover, Shi et al. <sup>62</sup> found  
223 that the increased theta power in the hippocampus and anterior cingulate cortex during fear

224 conditioning is prevented by ChABC treatment, while overexpression of the PNN protein **hapln1**  
225 increases theta power.

226

### 227 **Spontaneous object recognition memory**

228 The spontaneous novel object recognition (SOR) memory task measures discrimination between a  
229 novel and a familiar object presented at the same time. Novelty detection is an innate rodent  
230 behaviour that can be impaired during ageing or neurodegeneration<sup>53, 95, 96</sup>. The test is usually  
231 performed in a Y-maze, in which two test objects are placed in the Y arms. The times during which  
232 animals interact with the objects through whisking and smelling are measured; animals spend more  
233 time with objects that they perceive as novel. A variation is object-place memory testing in which  
234 objects are moved within a test arena, and animals recognize objects that have been moved to a  
235 new position. The brain regions **that participate in the behaviour** have been identified based on  
236 early gene *c-Fos* and *Arc* expression and lesion studies. For the Y-maze SOR test, a key brain area is  
237 the perirhinal cortex and the neighbouring visual association area TE: animals with lesions in these  
238 areas have impaired SOR<sup>97</sup>. Variations of the task where animals actively explore and dissociate the  
239 objects also involve the CA1 and CA3 areas of hippocampus whose rhythms are synchronised during  
240 generation of SOR memory<sup>98, 99</sup>. Object-place memory is primarily associated with the hippocampus,  
241 and may be preserved after perirhinal lesions<sup>99</sup>. Increased activity occurs in several regions during  
242 task performance, including the CA1 and CA3, perirhinal cortex, insular cortex, and medial PFC<sup>98, 100</sup>.  
243 Both hippocampus and perirhinal cortex are rich in PV interneurons enwrapped with PNNs, and  
244 PNNs are also present on some hippocampal pyramidal neurons<sup>46 101, 102</sup>. Genetic or enzymatic  
245 attenuation of PNNs can increase synaptic transmission and facilitate long-term depression (LTD) in  
246 the perirhinal cortex<sup>53</sup> or CA1 region<sup>67</sup>, and this correlates with enhanced recognition memory.  
247 Similarly, disaggregation of PNNs by genetic deletion of aggrecan shifts the population of PV  
248 inhibitory interneurons toward a juvenile-like plasticity state, accompanied by increased  
249 performance in the SOR memory task<sup>69</sup>. Another component of PNNs - brevican - regulates the  
250 localization of potassium channels and AMPA receptors on PV interneurons, and intact brevican is  
251 required for short-term, but not long-term SOR memories<sup>34</sup> **(Figure 2B)**.

252

### 253 **Spatial memory**

254 Spatial memory is a form of episodic memory that depends on a distributed network of brain areas  
255 including the hippocampus, parahippocampal areas, and connected areas. The rich diversity of  
256 spatially modulated neurons in these areas - including place cells of the hippocampus<sup>103</sup> and grid

257 cells <sup>104, 105</sup> of the medial entorhinal cortex as well as neurons estimating the distance to an object <sup>106</sup>  
258 or speed of the animal's movement <sup>107</sup> - represent a rare window into neural correlates of complex  
259 behaviours and memories. Flexible spatial learning requires both dorsal and ventral hippocampus  
260 together with their connection to the mPFC <sup>108</sup>, with the medial entorhinal cortex necessary for place  
261 navigation using a global reference frame <sup>109</sup>.

262

263 The complexity of behaviours and the many brain regions involved makes it difficult to dissociate  
264 contributing elements. Nevertheless, regulation of PNNs and proper excitatory/inhibitory balance of  
265 these brain areas seem to be essential for spatial memory processing. Overexpression of ECM/PNNs  
266 in the CA1 area of hippocampus, either due to dysregulation of NPY-Y1 receptor signalling <sup>110</sup>,  
267 targeted deletion of hyaluronan binding protein **that mediates** hyaluronan depolymerization (HYBID)  
268 <sup>111</sup>, or defeat-induced persistent stress <sup>112</sup>, leads to decreased spine density and deficits in spatial  
269 learning. In contrast, digestion of hippocampal PNNs with ChABC promotes re-learning of a once-  
270 trained Morris water maze task (Ruzicka et al., 2021, unpublished results). Similarly, significantly  
271 enhanced working memory and reversal learning in the Morris water maze task is found in TNR -/-  
272 global knockout mice <sup>113</sup>**(Figure 2C)**. However, degradation of PNNs in medial entorhinal cortex,  
273 where PV positive neurons are enwrapped in particularly dense PNNs, destabilizes the grid cell  
274 networks leading to impaired representations of new environments <sup>46</sup>. The new representations also  
275 interfere with the map of familiar places. **Following PNN removal in entorhinal cortex there are**  
276 **distorted spatial representations in downstream hippocampal neurons (Figure 2C)** <sup>46</sup>. This suggests  
277 that PNNs contribute to ensure a rigid grid cell network, which is essential for new representations  
278 to form, and that the heightened network plasticity caused by PNN removal interferes with stored  
279 spatial representations and perhaps memories.

280

281 The mPFC has an integrative role in object, place and time information <sup>114, 115</sup> as well as reward-  
282 regulated mechanisms of spatial learning <sup>116, 117</sup>. A robust approach to test the role of mPFC for  
283 spatial working memory is the mPFC-dependent trial-unique nonmatching-to-location assay (TUNL)  
284 task, a hippocampus-dependent automated test of location memory <sup>118</sup>. Infusion of ChABC into the  
285 mPFC improves performance on the touchscreen TUNL task <sup>119</sup>**(Figure 2D)**.

286

## 287 **Social memory**

288 Social memory is explored using several experimental approaches. The basic principle is based on  
289 the propensity of rodents to investigate an unfamiliar subject more thoroughly than a familiar one.

290 Commonly used is the habituation/dishabituation test, in which the animal repetitively explores the  
291 same subject animal with a time delay between explorations <sup>120</sup>. Another variant is the social  
292 discrimination paradigm <sup>121</sup>, which has an initial exploration phase, but in the second phase, both  
293 familiar and novel subjects are presented at the same time. The task, usually performed in a three-  
294 chamber maze, shows high sensitivity for measuring social recognition in rodents <sup>120, 122</sup>.

295

296 Social recognition memory is probably consolidated through the activation of cAMP response  
297 element-binding protein (CREB)-mediated gene expression in the hippocampus, mPFC, anterior  
298 cingulate cortex, and amygdala <sup>123, 124</sup>. Whereas the mPFC, anterior cingulate cortex, and amygdala  
299 are needed for coordination of brain activity during social interaction, the hippocampus **serves as**  
300 **one of the mediators of social recognition memory** and as a connection hub between the various  
301 brain areas <sup>123, 125</sup>. The dorsal CA2 is the key centre for encoding, consolidation and recall phases of  
302 social memory <sup>125-128</sup>. CA2 also participates in social novelty discrimination <sup>128</sup> and modulates social  
303 aggression <sup>129</sup>. All the social memory associated regions are highly populated with PNN-surrounded  
304 PV neurons <sup>46, 66, 130, 131</sup>. Unusually in CA2 and the basolateral amygdala, PNNs are found around  
305 many excitatory pyramidal cells <sup>47, 66</sup>, and calbindin-positive inhibitory interneurons <sup>130</sup>. PNNs play a  
306 distinct role in social memory, since mice with deficient social memory (BTBR mice) have atypical  
307 PNNs, and their degradation can partially restore social memory <sup>132</sup>. PNNs are usually associated  
308 with restriction of synaptic plasticity on inhibitory PV neurons, but in CA2, the PNNs also suppress  
309 LTP in excitatory synapses on pyramidal neurons <sup>66</sup>. However, PNNs in CA2 can also be permissive for  
310 inhibitory LTD (iLTD) in CA2, through maturation of PNNs and ErbB4 signalling at PV synapses <sup>133</sup>.  
311 This appears at the end of adolescence and correlates with social memory maturation. PNN  
312 degradation, in contrast, impairs social memory as well as iLTD induction <sup>133, 134</sup>. PNNs in CA2 are also  
313 upregulated during early postnatal exposure to an enriched environment, which opens the  
314 possibility of an early critical period synaptic plasticity in hippocampus <sup>66</sup>(**Figure 2E**).

315

### 316 **Auditory plasticity/memory**

317 The auditory pathway has tonotopic maps in the cortex and inferior colliculus that become refined  
318 during the critical periods for plasticity. As in other topographically arranged projections, PNNs  
319 **contribute to** the closure of these critical periods, with auditory experience and the diffusible  
320 transcription factor OTX2 **which is a key factor** in the initiation of PNN formation <sup>135-137</sup>. The timing  
321 of this transition at 3.5 years in deaf children is important for successful cochlear implants <sup>138</sup>.  
322 Learning of song in birds occurs either once or seasonally when PNNs are downregulated, and song

323 is crystallized when PNNs appear<sup>139</sup>. In adult mammalian life, auditory learning is limited, but cortex-  
324 dependent auditory relearning **regains the agility of the juvenile state** after ECM digestion<sup>140</sup> (**Figure**  
325 **2F**). In the auditory cortex, the levels of brevican, which surrounds synapses in PNNs, **changes over**  
326 **the course of** auditory learning, **with an initial decrease followed by a transient increase during**  
327 **consolidation**<sup>141</sup>. Location of sounds is achieved in part by comparison of the timing of signals from  
328 each ear through the cochlear nucleus via the medial nucleus of the trapezoid body and lateral  
329 superior olive. In the trapezoid body there are massive synapses onto the principal cells called the  
330 Calyx of Held. These are specialized for very rapid and reliable transmission, and learning sound  
331 location **requires** these synapses. The CSPG brevican is enriched in the perisynaptic space of the  
332 Calyx, and knockout of brevican slows **pre-to-postsynaptic action potential transmission** and  
333 prolongs pre- and postsynaptic potentials<sup>72</sup>.

334

335 The above-mentioned experiments describe the effects of attenuating PNNs, **either naturally (as**  
336 **occurs during learning), by enzymatic degradation of PNNs, or by genetic disruption of PNN**  
337 **components**, and suggest that PNNs may act as a brake on adult brain plasticity and perhaps  
338 learning and memory performance. **It is important to note that abolishing PNNs by enzymatic**  
339 **approaches** may not reflect processes occurring under physiological conditions in the brain. Rather,  
340 another suggestion is that learning induces slight changes to the ECM composition, either via  
341 incorporation of specific CSPGs<sup>136,142</sup>, metalloproteinase activity<sup>143</sup>, or **recycling of PNN**  
342 **components**<sup>144</sup>. We are far from understanding the full complexity of this system. The outstanding  
343 richness and complexity of the ECM landscape, its components, and evolutionarily conserved  
344 endogenous regulators point to a fine-tuned regulation contributing to the brain's ability to adapt  
345 and respond to a changing environment.

346

## 347 **EXTRACELLULAR MATRIX AND MEMORY PATHOLOGY**

### 348 **Stress**

349 Several studies have examined how acute and chronic stress exposures not involving fear  
350 conditioning influence PNNs. Spijker et al.<sup>145</sup> provide an excellent review on the impact of stress on  
351 PNNs. Although there are exceptions, in general, early life/adolescent stress reduces PNNs when  
352 examined early after stress, while these changes disappear or increases are found weeks after  
353 discontinuing stress. For example, decreases in PNNs around PV neurons are found in the  
354 hippocampus after chronic mild stress or maternal separation during adolescence, but an increase is  
355 observed several weeks post-stress<sup>146-148</sup>. In addition to time-dependent effects of stress, sex- and

356 hemispheric-dependent differences have also been identified: early life chronic stress in rodents  
357 during postnatal days 1-10 increases BLA PNNs in males but not in females and show a hemispheric  
358 specificity<sup>149</sup>. In adults, often no changes or increases are found after discontinuing stress. For  
359 example, chronic stress increases PNN numbers in the mPFC and habenula<sup>150</sup>. Social defeat stress  
360 combined with social isolation for 2 months (producing a depression-like phenotype) increases the  
361 number of PNNs around PV neurons and PNN components in the dorsal hippocampus. Moreover,  
362 removal of PNNs with ChABC restores impaired memory and electrophysiological changes induced  
363 by this stress<sup>112</sup>. Consistent with the longer-term effects of stress on PNNs, another study on social  
364 defeat stress in young rodents showed biphasic effects, with decreases in PNN-enwrapped PV  
365 neurons and PNN components in the CA1 early after stress exposure but increases 2 months after  
366 stress exposure<sup>151</sup> (**Figure 3A**). Overall, both early life and adult stress produce brain region-  
367 dependent changes in PNNs. The decreases in the intensity or number of PNNs found after early  
368 stress may reduce PV neuron activity or function, leading to enhanced output from brain regions  
369 such as the BLA that mediate fear responses<sup>152</sup>.

370

### 371 **Drugs of Abuse**

372 Several studies have shown that drugs of abuse can either decrease or increase PNNs (see<sup>153</sup> for  
373 review). Several classes of drugs, including ethanol, nicotine, cocaine, and heroin, alter the intensity  
374 or number of PNNs in various brain regions, including the mPFC<sup>154, 155</sup>, anterior cingulate cortex<sup>131</sup>,  
375 orbitofrontal cortex<sup>156</sup>, barrel cortex<sup>157</sup>, insula<sup>158</sup>, hypothalamus<sup>159, 160</sup>, ventral tegmental area<sup>156</sup>,  
376 and cerebellum<sup>161-164</sup>. Several examples of opposing direction of changes in PNNs are the following.  
377 1) Acute vs. repeated cocaine injections produce opposite responses in PNN intensity in the mPFC  
378<sup>154</sup>. 2) Extended exposure to cocaine self-administration increases PNN intensity in the cerebellum  
379 over abstinence time<sup>162</sup>. **3) Long-term abstinence times** (2-3 weeks) or extinction from heroin self-  
380 administration reduces PNN components in the mPFC and/or nucleus accumbens, but even a short  
381 reinstatement session in these animals reverses PNN increases<sup>165</sup>. This latter finding suggests that  
382 the changes can be rapid (within several minutes). Other work examining the effects of cocaine and  
383 heroin self-administration also supports opposing effects of abstinence time on the number of PNNs  
384 in the mPFC (dorsal prelimbic and infralimbic, respectively)<sup>166</sup>. In the cerebellum, repeated cocaine  
385 exposures **followed by an additional cocaine exposure one week later** increase PNN intensity within  
386 DCN neurons<sup>164</sup>, whereas similar treatment reduces PNN intensity 1 month later<sup>161</sup>. Cocaine  
387 conditioned place preference (CPP) training decreases PNN intensity in DCN neurons but increases  
388 PNN intensity in Golgi neurons, the latter of which is correlated with place preference. A binge  
389 model of alcohol in adolescents increases PNN intensity and PNN components in the orbitofrontal

390 cortex in adult mice <sup>167</sup>. Extended ethanol drinking in adult mice increases PNN intensity in the  
391 mouse insular cortex after 6 weeks, but not after 1 week of exposure <sup>158</sup>. Short-term abstinence from  
392 nicotine self-administration also decreases PNN intensity in the orbitofrontal cortex and ventral  
393 tegmental area a few days after discontinuing exposure <sup>156</sup>. Thus, in general, short-term abstinence  
394 reduces PNNs, whereas long-term abstinence increases PNNs. However, as with stress, the changes  
395 are dependent on brain area, drug dose and class, exposure duration, and abstinence time from  
396 drug exposure (**Figure 3B**).

397

398 Several studies have demonstrated that removal of PNNs with ChABC alters behavioural responses  
399 to drugs. For example, removal of PNNs with ChABC in the mPFC or lateral hypothalamus prior to  
400 training for conditioned place preference (CPP) attenuates acquisition of the CPP memory <sup>117, 159</sup>, and  
401 removal after CPP training also attenuates memory reconsolidation <sup>117</sup>. Removal of PNNs in the  
402 amygdala after training for morphine, cocaine CPP or heroin self-administration but before  
403 extinction reduces drug-primed reinstatement, but has no impact on reconsolidation, retrieval, or  
404 long-term morphine CPP memory <sup>168</sup>. Moreover PNN depletion in the lateral hypothalamus blocks  
405 cue-induced reinstatement in cocaine self-administering rats <sup>160</sup>. Depletion of the PNN component  
406 brevican in knockout mice enhances cocaine CPP 3 weeks after training, which is normalized by  
407 overexpressing this protein in the hippocampus prior to CPP training <sup>169</sup>. Extended ethanol exposure  
408 increases PNN intensity in the insular cortex, as mentioned, and removing PNNs in this brain region  
409 allows mice to become sensitive to the aversive effects of quinine added to ethanol, suggesting that  
410 increases in PNN intensity may **contribute to** the plasticity needed for compulsive ethanol seeking  
411 behaviour <sup>170</sup>. Interestingly, several of these studies found an effect only for drugs of abuse but not  
412 for non-drug rewards such as sucrose or food (e.g., <sup>160, 166, 170</sup>). Thus, the impact of PNN removal  
413 appears to be specific for plasticity induced by the learning/memory aspects of drugs of abuse.

414

415 Overall, stress or drugs of abuse bring about short-term changes in PNN numbers and/or intensity,  
416 while long-term increases in PNN may be related to loss of flexibility induced by subsequent natural  
417 stimuli, as previously considered for chronic exposure to stress <sup>145</sup> or drugs of abuse <sup>171</sup> (**Figure 3B**).  
418 The time of day PNNs are measured also may be critical due to daily rhythmicity in PNNs<sup>172, 173</sup>. PNN  
419 removal may enhance plasticity induced by weak stimuli or prevent metaplasticity induced by strong  
420 stimuli (stress or drugs of abuse). Whether these changes are beneficial or detrimental may depend  
421 on task demands, the neurons surrounded by PNNs (see <sup>86</sup>), the circuit that underlies task  
422 completion, and whether there is a need for sustained flexibility vs. stability after learning a  
423 particular task.

424

## 425 **Ageing**

426 Cognitive impairment and memory loss are common changes in ageing. To maintain normal cognitive  
427 and memory functions in the face of scattered neuronal dysfunction, the nervous system needs a  
428 certain level of neuroplasticity to allow for adjustments in circuitry through changes in synaptic  
429 strength and the formation of new synapses <sup>172</sup>.

430

431 Chondroitin sulphates and their sulphation pattern **can determine whether or not there is** memory  
432 loss in ageing. While chondroitin 4-sulphates (C4S) are inhibitory <sup>5</sup>, chondroitin 6-sulphates (C6S) are  
433 more permissive to plasticity and regeneration <sup>174, 175</sup>, and the balance between C6S and C4S regulates  
434 neuroplasticity. The sulphation pattern changes in the aged brain, having remained fairly constant  
435 since the end of the juvenile critical periods. Analysis of the PNN CSPGs in the aged rat and mouse  
436 brain showed that C6S almost disappears after 20 months while the level of C4S remains stable <sup>45, 176</sup>  
437 **(Figure 3C)**. The effect of removing C6S on memory can be tested in transgenic mice with C6-  
438 sulfotransferase knockout, giving very low C6S levels. These animals showed a very early deficit in  
439 object recognition memory and spontaneous alternation memory as young as 3-months old, similar  
440 to the performance of 20-month old aged mice <sup>45</sup>. The importance of C6 sulphation for memory was  
441 confirmed by virus-induced or transgenic expression of C6-sulfotransferase, leading to the restoration  
442 of the C6S level in aged mice and restoring or preventing age-related object recognition memory loss.  
443 **As mentioned below in the neurodegenerative disease section**, neutralisation of the inhibitory C4S  
444 with anti-C4S antibody restores object memory in a mouse tauopathy model <sup>96</sup>. These results indicate  
445 that the ratio of C6S:C4S is key to regulation of memory by PNNs.

446

447 Hyaluronan is another PNN component which demonstrates age-related changes in the brain. Long  
448 chain hyaluronan on the neuronal surface provides binding sites for the lectican family of CSPGs, which  
449 have a hyaluronan binding site, enabling hyaluronan to act as the backbone of the PNN <sup>15</sup>. Many  
450 studies show that the functions of hyaluronan depend on chain length. For example, low molecular  
451 weight hyaluronan is pro-inflammatory while high molecular weight hyaluronan is anti-inflammatory  
452 <sup>177</sup>. Changes in hyaluronan quantity have been reported in different pathological conditions such as  
453 ischemic and traumatic brain injury as well as in ageing <sup>3, 178, 179</sup>. A recent biochemical analysis of  
454 hyaluronan recovered from the PNNs in aged brains has shown its degradation into smaller fragments.  
455 This degradation has led to a release of other PNN components such as aggrecan into the soluble ECM  
456 <sup>180</sup>. Whether these age-related changes in hyaluronan affect memory is yet to be shown **(Figure 3C)**.

457

458 **PNNs in genetic cognitive disorders**

459 Rett syndrome is a neurodevelopmental disorder characterised by normal early development but  
460 then profound regression in cognitive, motor, and social function. It is caused by a loss-of-function  
461 mutation in the gene methyl-CpG-binding protein 2 (MECP2). The condition is associated with larger  
462 denser PNNs around PV interneurons in the cortex and many neurons in hippocampal CA2 (which  
463 mediates social behaviours), possibly due to decreased secretion of the metalloproteinase MMP-9.  
464 The increased PNN density causes loss of LTP in hippocampal neurons, which can be restored by  
465 ChABC digestion. In the cortex there is also an increase in the number and complexity of PNNs  
466 around PV interneurons in a Rett syndrome model, altering cortical excitability<sup>102, 181</sup>. Fragile X  
467 syndrome is a heritable condition causing intellectual disability and autism, modelled in mice by  
468 Fmr1 knockout. In these mice, there is a decrease in PNNs and impaired PV interneuron  
469 development in the cortex, hippocampus, amygdala and elsewhere. As well as general disability,  
470 the animals have a loss of tone-associated fear memory. The PNN decrease is associated with  
471 increased production of MMP-9, and genetic reduction or inhibition of MMP-9 production restores  
472 normal auditory responses and normalizes behaviour<sup>182</sup>. Schizophrenia, which is associated with  
473 various memory disorders, is also associated with a decrease in PNN numbers and density in the  
474 amygdala, thalamic reticular nucleus, entorhinal cortex and prefrontal cortex of patients<sup>183</sup>.  
475 Schizophrenia is linked to abnormalities in PV+ interneurons and an imbalance between  
476 glutamatergic and GABAergic transmission. A current hypothesis is that loss of the neuroprotective  
477 activity of PNNs renders the fast-firing PV+ oxidant-generating neurons vulnerable to oxidative  
478 stress<sup>184</sup>.

479

480 **ECM memory in neurodegenerative disease**

481 The main neurodegenerative disease associated with memory loss is Alzheimer's disease, and most  
482 of the data linking the ECM to neurodegeneration apply to this condition. The ECM, in particular  
483 heparan sulphate proteoglycans (HSPGs) and CSPGs, are implicated in the progression of Alzheimer's  
484 in several ways. In A-beta amyloid pathology, HSPGs bind to A-beta and are associated with plaques,  
485 affecting beta-amyloid precursor protein processing<sup>185</sup> and clearance<sup>186</sup>. Tau aggregation is  
486 promoted by proteoglycans<sup>187</sup>, which are present in tangles, and are involved in the prion-like  
487 spread of tau pathology<sup>188</sup>. PNNs exclude tau pathology from the neurons that they surround,  
488 inhibiting tau uptake<sup>189</sup>. However, PNNs are themselves affected in Alzheimer's disease<sup>190</sup> and in  
489 Huntington's disease partly through engulfment by activated microglia<sup>191, 192</sup> (**Figure 3D**).

490 There is currently no treatment to prevent the progression of Alzheimer's disease. However, the  
491 condition leads to the malfunction or death of scattered neurons, so functional compensation  
492 requires plasticity, some aspects of which, including spine and synapse loss, are impaired in  
493 Alzheimer's, and some interventions aimed at synaptic transmission restore normal function<sup>193</sup>.  
494 From the perspective of the ECM, overall levels of plasticity can be restored to the levels normally  
495 associated with critical periods by manipulation of PNNs. Thus, digestion of PNNs in the perirhinal  
496 cortex of tauopathy mice restores object memory, ChABC digestion in A-beta pathology mice  
497 restores hippocampal function, and antibody blockade of the inhibitory 4-sulphated glycans of PNN  
498 CSPGs restores object memory<sup>96, 193, 194</sup>. Modification of PNNs in **Alzheimer's disease** could also  
499 come about through the action of activated microglia or secretion of metalloproteinases, both of  
500 which can occur in this condition<sup>192, 195</sup>. Reelin is an ECM-associated protein with effects on  
501 plasticity, and overexpression of this molecule restores memory in a tauopathy model<sup>196</sup>. Although  
502 much is yet to be understood about the role of PNNs in Alzheimer's disease progression and  
503 cognitive decline, these investigations point important and mostly uncovered territory to understand  
504 this disease and identify much-needed new drug targets.

505

## 506 **CONCLUSION**

507 The descriptions above show that the brain ECM, and particularly PNNs, play an important part in  
508 the regulation of memory and in memory pathology across a wide range of types of memory. This  
509 leads to the question of whether treatments that target PNNs could be useful for memory defects.  
510 At present, most of the evidence that memory can be modulated in useful ways comes from  
511 injections of ChABC into the CNS. This treatment is useful for proof-of-principle experiments, but is  
512 impracticable for long-term treatment of memory problems. However, there are many potential  
513 treatment targets in PNNs. An antibody that blocks inhibitory C4S has been effective at restoring  
514 memory in an Alzheimer's model, and AAV-mediated expression of C6-sulfotransferase to reinstate  
515 C6S levels has restored memory in ageing. Other potential targets are small molecule inhibitors of  
516 C4S synthesis or activators of C6S synthesis, hyaluronan production by hyaluronan synthases, viral-  
517 mediated knockdown of aggrecan, and modulation or blocking of the diffusible transcription factor  
518 OTX2<sup>1, 197</sup>. Future research holds promise for further insight into the function of the ECM in  
519 cognition and for the development of novel treatments.

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1262 **FIGURE LEGENDS**

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1264 **Figure 1**

1265 *The CNS extracellular matrix.* Synapses are tripartite structures involving pre- and postsynaptic  
1266 structures and astrocytes. All synapses are embedded in interstitial extracellular matrix (iECM),  
1267 **which regulates the extracellular volume**, but some synapses are also surrounded by a condensed  
1268 form of ECM, the PNNs, consisting mainly of CSPGs attached to a hyaluronan backbone.

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1270 **Figure 2.**

1271 *Memory effects of chondroitinase digestion.* A) Eyeblink conditioning learning is increased by ChABC  
1272 to the cerebellar nuclei but persistence is decreased. In fear memory PNN digestion enables  
1273 extinction. B) Spontaneous object recognition is assessed in a Y-maze, animals distinguishing  
1274 between familiar and non-familiar objects in the arms. After 5 min exposure to objects, memory  
1275 gradually decays and by 24 hr is mostly lost. ChABC treatment prolongs memory in young animals,  
1276 restores it in models of Alzheimer's and ageing. C) The Morris water maze tests place learning:  
1277 ChABC treatment increases reversal and short-term learning. Grid cells provide a map of the external  
1278 world: the grid cell map is destabilized by ChABC treatment. D) The trial-unique nonmatching-to-  
1279 location assay (TUNL) is a hippocampus-dependent automated test of location memory. Memory  
1280 acquisition is enhanced by ChABC treatment. E) In normal animals, ChABC digestion impairs social  
1281 memory, but in animals with defective social memory due to abnormal PNNs, digestion restores  
1282 memory F) ChABC digestion increases the agility of auditory relearning and decreases firing of fast-  
1283 spiking neurons.

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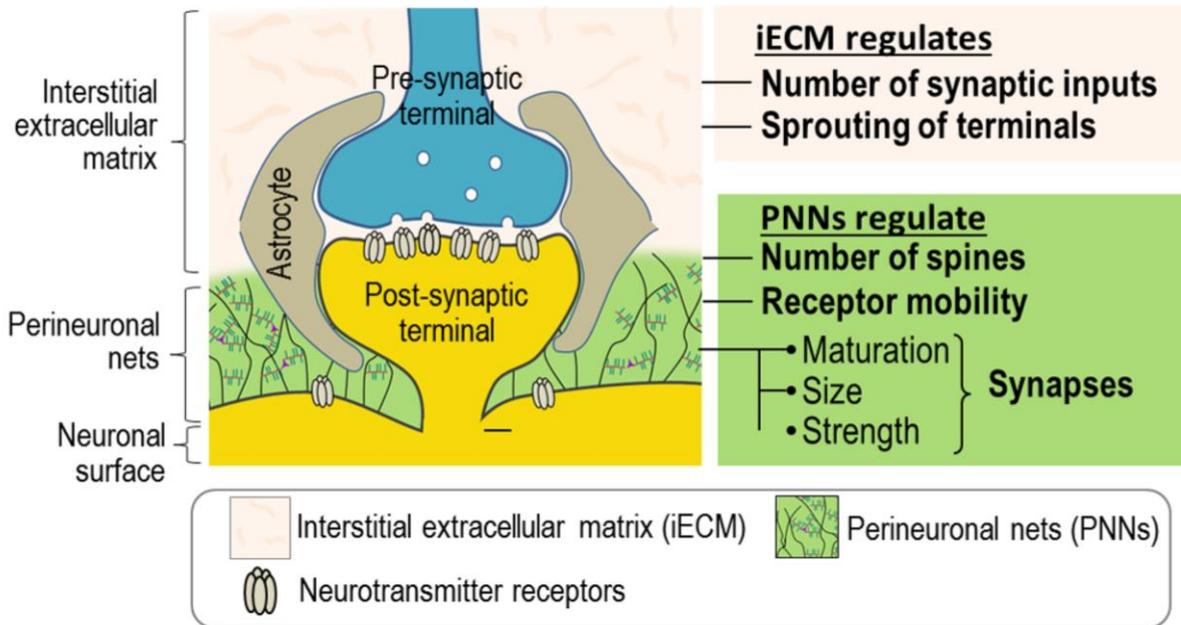
1286 **Figure 3.**

1287 *Effects of external events, neurodegeneration and ageing on the CNS extracellular matrix.* A)  
1288 Stressful early life events, social isolation, social defeat and fear conditioning all have effects on  
1289 numbers and intensity of PNNs. B) Drugs of abuse have various and complex effects on PNN  
1290 formation in different brain areas: please refer to the text. C) During ageing, the sulphation pattern  
1291 of PNNs changes, with a loss of permissive 6-sulphated CSPGs, leaving a predominance of inhibitory  
1292 4-sulphated forms. In addition, hyaluronan chains, which form the backbone of PNNs, become  
1293 degraded into shorter fragments with unknown effects on memory. D) The CNS ECM participates in

1294 neurodegenerative conditions. Proteoglycans participate in formation of tau tangles and beta-  
1295 amyloid aggregates. In Huntington's disease PNNs are engulfed by activated microglia.

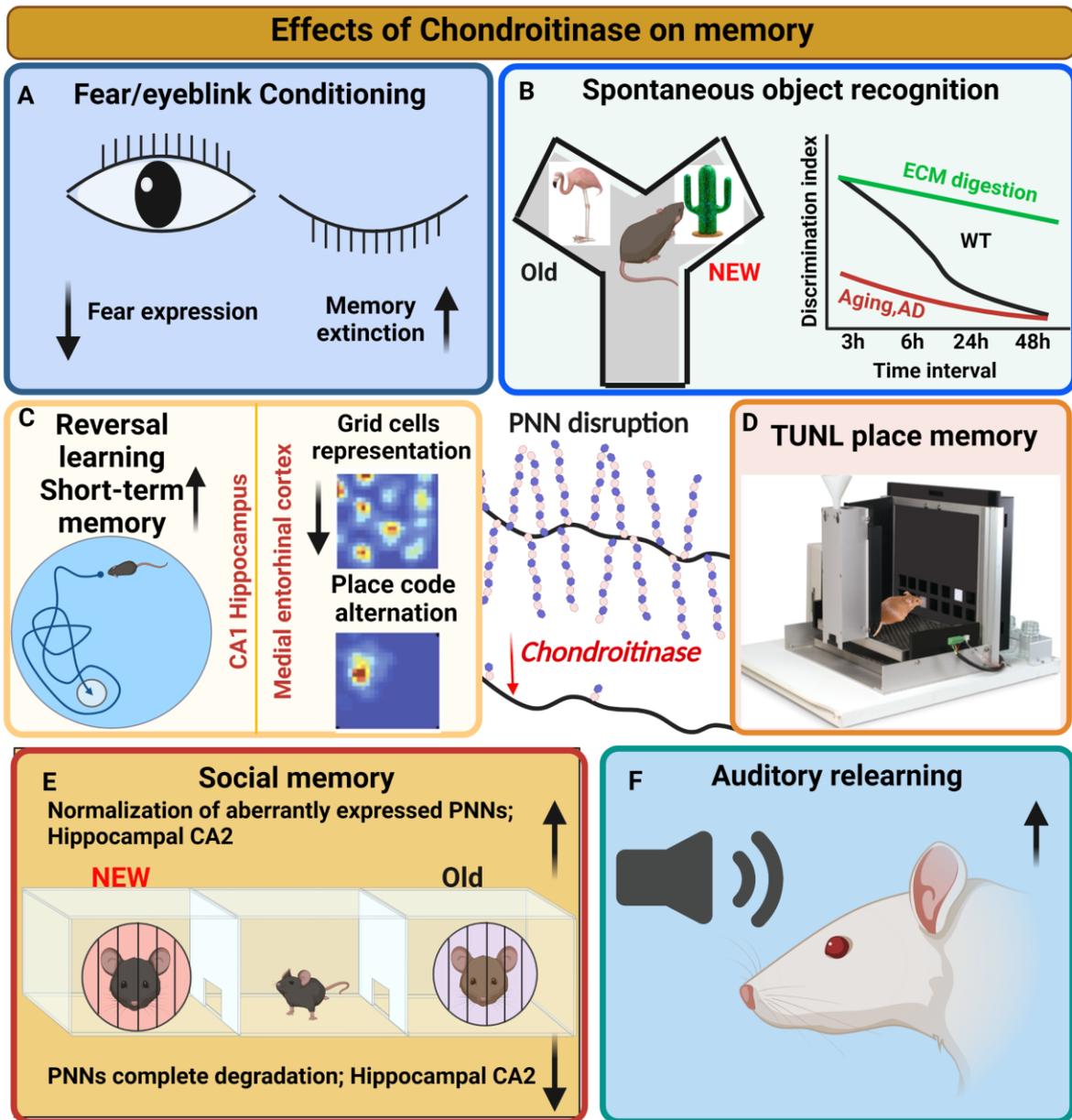
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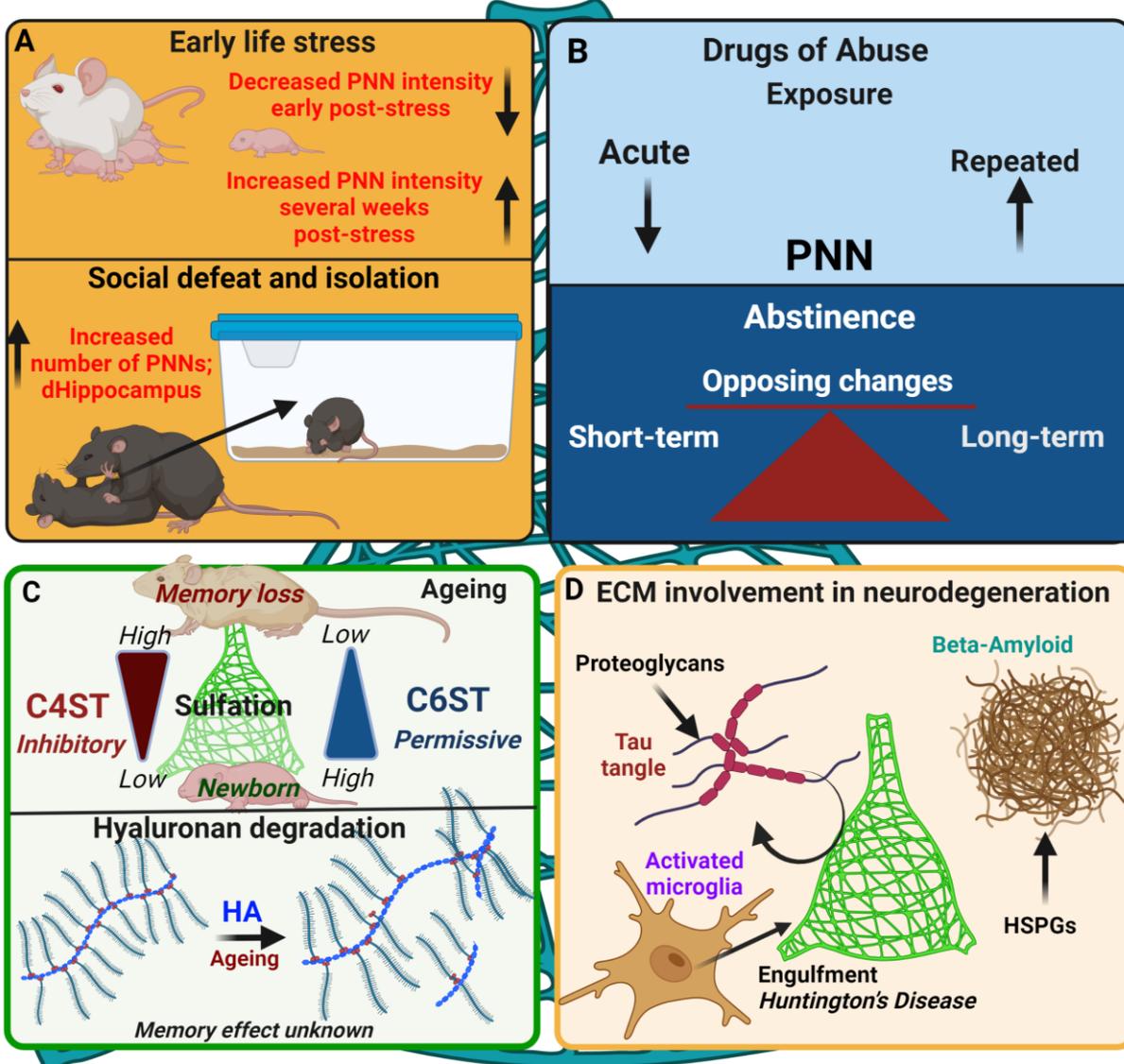
1299 Figure 1



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1302 Figure 2

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