

# Witnessing Galaxy Assembly at the Edge of the Reionization Epoch<sup>\*</sup>

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Received 2018 June 19; revised 2018 August 2; accepted 2018 August 2; published 2018 August 17

# Abstract

We report the discovery of Serenity-18, a galaxy at  $z \simeq 5.939$  for which we could measure the content of molecular gas,  $M(H_2) \simeq 5 \times 10^9 M_{\odot}$ , traced by the CO(6–5) emission, together with the metal-poor ([Fe/H] =  $-3.08 \pm 0.12$ , [Si/H] =  $-2.86 \pm 0.14$ ) gas clump/filament which is possibly feeding its growth. The galaxy has an estimated star formation rate of  $\approx 100 M_{\odot} \text{ yr}^{-1}$ , implying that it is a typical main sequence galaxy at these redshifts. The metal-poor gas is detected through a damped Ly $\alpha$  absorber (DLA) observed at a spatial separation of 40 kpc and at the same redshift of Serenity-18, along the line of sight to the quasar SDSS J2310+1855 ( $z_{em} \simeq 6.0025$ ). The chemical abundances measured for the damped Ly $\alpha$  system are in very good agreement with those measured for other DLAs discovered at similar redshifts, indicating an enrichment due to massive PopII stars. The galaxy/damped system that we discovered is a direct observational evidence of the assembly of a galaxy at the edge of the reionization epoch.

*Key words:* galaxies: high-redshift – galaxies: ISM – intergalactic medium – quasars: absorption lines – submillimeter: galaxies

# 1. Introduction

Measuring the molecular gas content in early galaxies  $(z \ge 6)$  is fundamental to reconstruct the cosmic star formation history, reionization, and enrichment. Molecular hydrogen is typically traced by emission from the carbon monoxide molecule, CO, because H<sub>2</sub> itself is generally not observable. While for a few galaxies at  $z \approx 6-8$ —less than 1 billion years from the Big Bang-we have sparse data on the stellar content (e.g., Bouwens et al. 2015; Jiang et al. 2016), the diffuse atomic gas (e.g., Carniani et al. 2017, 2018a), and the dust amount (e.g., Laporte et al. 2017; Hashimoto et al. 2018; Tamura et al. 2018), we completely lack information on their dense molecular component. At  $z \sim 6$ , CO has been detected only in a few bright quasars tracing massive, highly star-bursting galaxies (Wang et al. 2010, 2013; Gallerani et al. 2014; Venemans et al. 2017; Feruglio et al. 2018), which are rare objects that do not represent the bulk of the galaxy population in the early universe (e.g., Robertson et al. 2015).

At lower redshift ( $z \leq 4$ ), cold gas emission from galaxies has been recently detected in association with metal-rich damped Ly $\alpha$  absorbers (DLAs), the strongest H I absorptions observed in quasar spectra (characterized by column densities  $N(\text{H I}) \geq 2 \times 10^{20} \text{ cm}^{-2}$ ). DLAs have the advantage that their detection is not biased by luminosity; on the other hand, the direct identification of their host galaxies has proven to be extremely challenging, in particular at high redshift (e.g., Fumagalli et al. 2017).

Thanks to the lower luminosity of the quasar at sub-mm wavelengths, it was possible to observe with Atacama Large

Millimeter/submillimeter Array (ALMA) four high-redshift ( $z \sim 2-4$ ), high-metallicity ( $Z \sim 0.1-1.0 Z_{\odot}$ ) DLAs revealing cold gas emission, either [C II] or CO, in associated galaxies (Neeleman et al. 2017, 2018; Fynbo et al. 2018). The impact parameter between the galaxy detected in emission and the DLA varies between 18 and 117 kpc, implying that the DLA could arise in the circumgalactic medium of the target galaxy, but also that it could be associated with a fainter, undetected object.

In this Letter we report the serendipitous discovery of a typical main sequence galaxy at  $z \simeq 5.939$  (that we dubbed Serenity-18) detected in CO, associated with a metal-poor DLA observed along the sightline to the quasar J231038.88 +185519.7 ( $z_{\rm em} = 6.0025$ , J2310 hereafter), using ALMA and XSHOOTER/Very Large Telescope (VLT) observations. Throughout this Letter we assume a standard flat  $\Lambda$ CDM cosmology, with  $\Omega_{\Lambda} = 0.7$  and  $H_0 = 70 \, {\rm km \, s^{-1}}$ .

# 2. Observations

We have observed the field of the quasar J2310, at  $z_{em} = 6.0025$  with ALMA band 3 receivers tuned to cover the frequency ranges [84.56–87.94], and [96.56–99.69] GHz (results about the quasar host galaxy are presented in Feruglio et al. 2018). Spectral window 1 was tuned at the expected redshifted frequency of CO(6–5) of the quasar J2310, i.e., at 98.75 GHz. We performed calibration in the CASA environment (McMullin et al. 2007). Mapping and data analysis were performed both in the CASA and in the GILDAS (Guilloteau & Lucas 2000) environments. By adopting a natural weighting scheme with detection threshold 0.5 times the noise per channel, we obtain a synthesized beam of  $0.6 \times 0.4 \operatorname{arcsec}^2$  at a

<sup>\*</sup> Based on ALMA and ESO VLT observations.

 $PA = -6^{\circ}$ . The noise levels are 5.4  $\mu$ Jy/beam in the continuum in the aggregated bandwidth, and 0.13 mJy/beam in 23.7 km s<sup>-1</sup> wide channels (i.e., the maximum spectral resolution of our data).

We also make use of observations obtained with the ALMA 12 m array in Cycle 3, covering the frequency ranges [254–257.65] GHz and [269.65–273.15] GHz. The data were calibrated and imaged in CASA v4.7 by applying a natural weighting. The  $1\sigma$  r.m.s sensitivity is 50  $\mu$ Jy/beam in the continuum, and 0.20 mJy/beam per 100 km s<sup>-1</sup> channel in the spectral data. The synthesized beam is 0.9 × 0.6 arcsec<sup>2</sup> at a PA = 49°. All data were corrected for the primary beam efficiency.

Quasar J2310 was also observed with XSHOOTER with a nominal resolving power of  $R \simeq 8800$  and 8100, in the visible (VIS) and near-infrared (NIR) arm, respectively. The characteristics of the spectrum and the reduction process were described in Feruglio et al. (2018). We measure an average signal-to-noise ratio (S/N) of  $\approx$ 30 per resolution element in the region between the Ly $\alpha$  emission and  $\sim$ 1  $\mu$ m. In the NIR regions free from sky emission lines and strong telluric absorptions, the S/N is  $\approx$ 15 per resolution element between 1 and 1.3  $\mu$ m and it increases to  $\approx$ 28 between 1.6 and 1.8  $\mu$ m.

### 3. Results

# 3.1. A Proximate DLA at $z \approx 5.939$

We inspected the XSHOOTER spectrum by eye, to detect prominent absorption systems. Absorption lines were identified and then fit with Voigt profiles using the context LYMAN of the ESO MIDAS software package (Fontana & Ballester 1995).

The most interesting system in the analyzed spectrum<sup>9</sup> is a low ionization absorber at  $z = 5.938646 \pm 0.000007$  ( $\Delta v \simeq$ -2746 km s<sup>-1</sup> from the quasar emission redshift) for which we detect strong transitions due to O I  $\lambda$  1302 Å, C II  $\lambda$  1334 Å, Si II  $\lambda\lambda$  1260, 1304 Å and Fe II  $\lambda\lambda$  2344, 2382, 2586, 2600 Å (Figure 1). The absorption line due to Al II  $\lambda$  1670 Å is severely affected by a sky line. The presence of the likely saturated O I absorption suggests that this could be a DLA, because O I is a tight tracer of neutral hydrogen that has a very similar ionization potential. There is also a possible detection of the associated weak C IV doublet at a slightly different redshift,  $z = 5.9392 \pm 0.0001$ , corresponding to  $\Delta v \simeq 24$  km s<sup>-1</sup>. A shift between low and high ionization transitions is often observed in damped systems.

DLAs at these redshifts generally lack the information on the H I column density because the spectrum blueward of the quasar Ly $\alpha$  emission is completely absorbed. In the present case, the DLA falls in the region within 5000 km s<sup>-1</sup> of the quasar systemic redshift (called the "proximity region"). This allowed us to estimate the column density, *N*(H I), by fitting the damping wing at the position of the Ly $\alpha$  in emission (Figure 1). To fit the DLA profile, we estimated the continuum level in the region of the Ly $\alpha/Ly\beta$  emissions with the following procedure. We considered all of the Principal Component Analysis continua computed for the quasars in the XQ-100 sample (López et al. 2016). The continua were redshifted to the systemic redshift of J2310 and compared to its XSHOOTER spectrum. Only two continua matched reasonably well the large width of the DLA H I Ly $\alpha$ 

and  $Ly\beta$  absorption lines using the two continua separately to estimate a more reliable uncertainty on the measure. The H I lines were centered at the redshift determined from the fit of the low ionization heavy element transitions. The adopted column density and its error,  $\log N(\text{H I}) = 21.05 \pm 0.10 \text{ [cm}^{-2}\text{]}$ , are the average of the two column density measurements and the half width of the interval spanned by the measurements and their  $1\sigma$  errors, respectively. Figure 1 reports the results of the fit for all detected transitions. Absorption lines due to OI, CII, and SiII were fitted simultaneously adopting the same Doppler parameter,  $b = 8.0 \pm$  $0.4 \text{ km s}^{-1}$ . This is a reasonable assumption, as metal lines are not resolved in the XSHOOTER spectrum. Detected lines of the Fe II multiplet were fitted together (excluding the noisy Fe II 2600 Å line) resulting in a Doppler parameter  $b = 10.5 \pm 1.5 \,\mathrm{km \, s^-}$ Column densities for the observed ions and relative chemical abundances are reported in Table 1 and discussed in Section 4.1.

### 3.2. Emission Line and Sub-mm Continuum

Following the identification of the proximate DLA absorber in the spectrum of J2310, we analyzed our ALMA data and found an emission line at a frequency 99.642 GHz, corresponding to a velocity of  $-2710 \text{ km s}^{-1}$  with respect to the quasar host galaxy ( $z_{em} = 6.0025$ ). The line is located at the very edge of the bandpass, therefore the continuum-level can be estimated only on the right-hand side of the line (Figure 2, right panel) and there is the possibility that part of the emission is falling outside the observed bandpass. This implies that the integrated flux of the emission line and its derived quantities might be lower limits. A Gaussian fit to the emission line gives an FWHM =  $155 \pm 30 \text{ km s}^{-1}$ , and an integrated intensity of  $0.053 \pm 0.01 \text{ Jy km s}^{-1}$ .

By integrating over the line width, and collapsing the corresponding spectral channels, we find a  $5\sigma$  emission on the map at a position R.A., decl. (23:10:38.437, 18:55:22.00), or about (-6.3,+2.2) arcsec offset from the quasar position (Figure 2, left panel). This is well within the primary beam of the ALMA antennas in band 3. The sky frequency corresponds to the expected frequency of the CO(6-5) emitted at the redshift of the DLA absorption system, therefore it can be identified with the CO(6-5) emission from a galaxy associated with the DLA. We estimate the probability of a chance coincidence between a foreground CO emitter (CO(3-2) at  $z \simeq 2.47$  or CO(4-3) at  $z \simeq 3.63$ ) and the DLA absorption, based on the expected number of emission lines in ALMA band 3 with flux similar to the one observed here (Walter et al. 2016). Assuming that a redshift difference  $\Delta v \lesssim \pm 100 \, \text{km s}^{-1}$ , comparable with the rotation velocity of a disk at these redshifts, is required to associate the CO emission and the DLA absorption lines, we obtain a probability of chance alignment of  $\sim 1\%$ -2%.

We have also measured the CO line in the Fourier plane, after averaging visibilities over the line width and shifting the corresponding visibility table to the phase center. A fit with a point source gives a zero spacing flux density of  $251 \pm 51 \mu$  Jy, which corresponds to an integrated intensity of  $\text{Sdv} = 0.06 \pm 0.012$  Jy km s<sup>-1</sup>, consistent with that derived from the fit of the spectrum. The source may appear slightly more extended than the synthesized beam on the integrated map (Figure 2, central panel). To verify this possibility, we also fitted the visibilities with a circular or elliptical Gaussian function, but the fit does not converge. We conclude that the source is not resolved in our data.

 $<sup>\</sup>frac{9}{9}$  The other absorption systems detected in the spectrum will be described in a subsequent work.



**Figure 1.** Upper panel: flux-calibrated spectrum of J2310 in the region of the  $Ly\alpha$  emission with the two continua used to normalize it. Lower panels: fit of the observed transitions for the proximate DLA in the spectrum of J2310. In each panel, the observed spectrum is shown in blue, the error in red, and the Voigt fit in green. The top panel shows the fit of the H I Ly $\alpha$  velocity profile. The red mark indicates the redshift z = 5.938646, which is also the zero-point of the velocity scale in all other panels.

The galaxy is not detected in the 3 mm continuum, down to a  $3\sigma$  upper limit of 16.2  $\mu$ Jy/beam.

We found no detection in the rest-frame 150  $\mu$ m continuum probed by band 6 ALMA data, down to a 3 $\sigma$ upper limit of 150  $\mu$ Jy/beam. For a typical spectral energy distribution (SED) of a star-forming galaxy with a dust temperature in the range  $T_{dust} = 30-50$  K, and located at this redshift, this limit corresponds to a total infrared (IR) luminosity  $L(FIR) \approx 1.2 \times 10^{12} L_{\odot}$ . We note that the bandpass does not include the expected frequencies of

THE ASTROPHYSICAL JOURNAL LETTERS, 863:L29 (6pp), 2018 August 20

Table 1

Properties of the DLA				
Ion	log N	$[X/H]^{a}$	[X/Fe]	
ΗI	$21.05\pm0.10$			
СІІ <sup>ь</sup>	$14.73\pm0.18$	≥-2.95	≥0.1	
О I <sup>b</sup>	$15.00\pm0.12$	≥–2.9	≥0.2	
Si II	$13.70\pm0.09$	$-2.86\pm0.14$	$0.22\pm0.12$	
Fe II	$13.47\pm0.06$	$-3.08\pm0.12$		

Notes.

<sup>a</sup> Solar abundances and corresponding uncertainties from Asplund et al. (2009).

<sup>b</sup> Detected absorption lines are possibly saturated.

Table 2Properties of Serenity-18

ALMA J231038.44+185521.95	
R.A. (J2000)	23:10:38.44
Decl. (J2000)	18:55:21.95
Redshift of CO(6-5) emission	5.93957
Impact parameter [arcsec]	6.7
$FWHM_{CO(6-5)}$ [km s <sup>-1</sup> ]	$155\pm 30$
$\int S_{CO(6-5)} dv  [Jy  km  s^{-1}]$	$0.06\pm0.012$
L'CO(6-5) [K km s <sup>-1</sup> pc <sup>-2</sup> ]	$(2 \pm 0.4) \times 10^9$
$M(\mathrm{H}_2) \ [M_{\odot}]$	$(5.4 \pm 0.5)  imes 10^9$
$M_{\rm dyn} \sin^2(i) [M_{\odot}]$	$\leqslant$ 5.6 × 10 <sup>9</sup>
$L_{\rm FIR} [L_{\odot}]$	$\approx 10^{12}$
SFR $[M_{\odot} \text{ yr}^{-1}]$	≈115

other far-infrared (FIR) emission lines, included the brightest one, namely [C II]. The properties of Serenity-18 are summarized in Table 2.

#### 4. Discussion

### 4.1. DLA Properties

On the basis of the large H I column density measured for the DLA system, we assumed that no ionization corrections were needed and carried out the computation of the relative chemical abundances based on the column densities and the solar abundances from Asplund et al. (2009). The iron and silicon abundances,  $[Fe/H] = -3.08 \pm 0.12$  and  $[Si/H] = -2.86 \pm 0.14$ , place this DLA absorber in the very metal-poor regime as defined by Cooke et al. (2011). The absorption lines due to C II  $\lambda$  1334 Å and O I  $\lambda$  1302 Å could be saturated, thus we could derive only lower limits to the abundances of C and O. Previous studies of DLAs (e.g., Vladilo et al. 2011; Rafelski et al. 2012) have shown that below metallicities  $[Fe/H] \approx -2$  dust corrections are negligible; as a consequence, we are computing abundances assuming a dust-free gas.

The studied absorber has abundances in very good agreement with those measured for the sample of  $z \approx 6$  DLAs by Becker et al. (2012).

As already discussed in Becker et al. (2012), the chemical abundances observed for our system are also consistent with the 95% confidence interval of the abundances for the sample of very metal-poor DLAs selected by Cooke et al. (2011) at  $z \sim 2-4$ .<sup>10</sup> The observed abundance pattern is well explained by the predictions for PopII progenitors with  $M \sim 20 M_{\odot}$ .

The column density of H I requires self-shielding of the gas from the cosmic ultraviolet (UV) background, which in turn implies that the absorbing gas density should be larger than  $\simeq 0.1 \text{ cm}^{-3}$  (Rahmati et al. 2013); this limit translates into a limit on the physical size of  $\lesssim 4 \text{ kpc}$ . Together with the low metallicity, this suggests that we are seeing a gas filament/ clump that has been recently forming from the intergalactic medium.

#### 4.2. Serenity-18 Properties

The CO-emitting galaxy is unresolved in our data. We estimate its upper limits size as  $D \leq \text{FWHM}_{\text{beam}} = 0.6$  arcsec, which corresponds to  $\approx 3.6$  kpc at the redshift of the galaxy. The inclination on the line of sight cannot be estimated either, because the source is unresolved. We derive the dynamical mass, modulus the inclination, by applying the relation,  $M_{\text{dyn}} \sin^2(i) = 1.16 \ 10^5 \times (0.75 \times \text{FWHM}_{\text{CO}})^2 \times D$  (Wang et al. 2013; Feruglio et al. 2018), where FWHM<sub>CO</sub> = 155 km s<sup>-1</sup>, and *D* is the source size in kpc (diameter). From this relation we find,  $M_{\text{dyn}} \sin^2(i) \leq 5.6 \ 10^9 M_{\odot}$ .

In order to estimate the molecular gas mass from CO(6–5) we need to make some assumptions about the  $S_{CO}(6-5)/(1-0)$  ratio and on the luminosity-to-mass conversion factor. We adopt the following,  $S_{CO}(6-5)/(1-0) = r_{61} = 20$ , which is the average value measured for star-forming galaxies from z = 0 to 4 (Carilli & Walter 2013). We note that an indication of a higher excitation at  $z \sim 6$ ,  $r_{61} = 70-150$ , comes from recent hydrodynamical simulations by Vallini et al. (2018).

hydrodynamical simulations by Vallini et al. (2018). Concerning the  $\alpha_{\rm CO}$ , the Milky Way value (i.e., 4.3 K km s<sup>-1</sup> pc<sup>-2</sup>  $M_{\odot}^{-1}$ ) is probably unphysical for high-*z* galaxies. We therefore adopt a lower value based on Vallini et al. (2018),  $\alpha_{\rm CO} = 1.5$  K km s<sup>-1</sup> pc<sup>-2</sup>  $M_{\odot}^{-1}$ . Based on these assumptions we infer a molecular gas mass of  $M(\rm H_2) =$  $(5.4 \pm 0.5) \times 10^9 \times (\alpha_{\rm CO}/1.5) M_{\odot}$ . For different excitation properties of the gas (e.g., Vallini et al. 2018) the mass budget has to be decreased accordingly. For an inclination  $i = 90^{\circ}$  (edge-on) and 50°, the molecular gas fraction in the galaxy would be  $\mu = M(\rm H_2)/M_{dyn} \sim 0.9$  and 0.6, respectively.

We note that the FWHM estimated by fitting a 1D Gaussian profile to the CO line, FWHM =  $155 \pm 30 \text{ km s}^{-1}$ , is similar to those estimated for [C II] in typical galaxies at  $z \approx 6-7$ (FWHM  $\approx 150 \text{ km s}^{-1}$ , Maiolino et al. 2015; Knudsen et al. 2016; Pentericci et al. 2016; Bradač et al. 2017; Matthee et al. 2017; Carniani et al. 2018a, 2018b). We cannot exclude, however, that part of the line may have been missed out of the bandpass (see Figure 2). In this case, our determinations of the gas mass and dynamical mass would be lower limits.

From the calibration of Greve et al. (2014) between  $L_{\rm FIR}$  and L' CO(6-5), we derive a FIR luminosity of  $L_{\rm FIR} \approx 10^{12} L_{\odot}$ . This is a very rough estimate with an uncertainty of ~1 dex due to the scatter of the correlation. We convert  $L_{\rm FIR}$  to a star formation rate (SFR) using the Kennicutt et al. (1998) conversion factor corrected for a Kroupa (2011) initial mass function. We find SFR  $\approx 115 M_{\odot} \text{ yr}^{-1}$ . This value is consistent with the upper limit on the 150  $\mu$ m continuum estimated from band 6 data. In fact, by assuming a typical SED of a starforming galaxy with dust temperature in the range  $T_{\rm dust} \sim 30-50$  K, we would expect a  $3\sigma$  detection of the continuum for a SFR =  $200 M_{\odot} \text{ yr}^{-1}$  (see also Pallottini et al. 2017; Behrens et al. 2018).

The properties that we have derived for Serenity-18 allows us to state that this is the first detection of molecular gas

 $<sup>\</sup>frac{10}{10}$  With the exclusion of the carbon-enhanced DLA along the line of sight to SDSS J0035-0918.



**Figure 2.** Left panel: the velocity-integrated map of the CO(6–5) line of the DLA host galaxy (indicated by a white arrow), located about -6.3, +2.2 arcsec offset from the quasar position (red star). Contours are 2, 3, 4,  $5\sigma$ ,  $1\sigma = 5.1 \mu$ Jy/beam. Middle panel: a zoomed view onto the DLA emission. Right panel: the spectrum extracted from the region with  $\ge 2\sigma$ . The red curve is the fit to the CO(6–5) emission, while the red vertical mark corresponds to the redshifted frequency of the CO (6–5) line expected at the redshift of the DLA. The dashed magenta lines show the range where the line emission has been integrated to produce the velocity-integrated map (left panel).



**Figure 3.** Maps of the H I column density (left panel) and metallicity (right panel) for the simulated galaxy Althæa at  $z \simeq 6$ , which lies at the center of each panel (Pallottini et al. 2017). The maps are 80 kpc on a side. Serenity-18 has characteristics very similar to Althæa, in this framework the DLA could trace the gas in the filament or in the periphery of one of the small clumps/satellites that are embedded in the same filament as the galaxy and that will eventually feed its growth.

emission from a typical main sequence galaxy at the end of the reionization epoch (see e.g., Santini et al. 2017).

### 4.3. The System Serenity-18 + DLA

The difference in redshift between the absorbing gas of the DLA and the emission line is of ~50 km s<sup>-1</sup>. Their angular separation is of 6.7 arcsec, corresponding to an impact parameter of  $\approx$ 40 kpc at the DLA redshift, in a configuration similar to previous results for lower redshift DLAs (e.g., Neeleman et al. 2017, 2018). This confirms the association between the CO-emitting galaxy and the metal-poor absorber. Furthermore, the relatively small redshift difference between the galaxy/DLA system and the quasar ( $\Delta v \simeq -2746 \text{ km s}^{-1}$  or  $\approx$ 4 proper Mpc) suggests that they could all be part of the same large-scale structure.

State-of-the-art cosmological hydrodynamical simulations can help visualize the overall picture, which could give rise to our observations. We note that the luminosity of the CO(6-5)

line, the inferred  $M_{\rm dyn}$  and SFR of Serenity-18 are consistent with the predictions for the simulated  $z \simeq 6$  galaxy Althæa (Pallottini et al. 2017; Vallini et al. 2018). In Figure 3, we show the H I column density and metallicity maps for the filament embedding Althæa. In this context, the DLA can be identified with either one of the satellites of Althæa, or with a gas condensation/filament surrounding the galaxy and possibly feeding it with fresh fuel for star formation. This picture is also in agreement with observations of clumpy galaxy assembly at  $z \gtrsim 6$  (Ouchi et al. 2010; Jiang et al. 2013; Bowler et al. 2017; Matthee et al. 2017; Carniani et al. 2018a, 2018b).

The Serenity-18/DLA complex opens a new window in the study of typical galaxies in the early universe. It represents an ideal target for deeper, multiwavelength (UV/optical/NIR/mm) observations both in imaging and spectroscopy to understand the process of galaxy assembly. It suggests that also metal-poor DLAs could be associated with CO-emitting galaxies: this could be true only at the highest redshifts, but it

should be tested also at the lower redshifts where it is easier to select DLAs by metallicity.

We thank the referee for a careful review. This Letter makes use of the following ALMA data: ADS/JAO. ALMA#2015.1.00584.S and 2015.1.00997.S. ALMA is a partnership of ESO (representing its member states), NSF (USA) and NINS (Japan), together with NRC (Canada), MOST and ASIAA (Taiwan), and KASI (Republic of Korea), in cooperation with the Republic of Chile. The Joint ALMA Observatory is operated by ESO, AUI/NRAO and NAOJ. Based on observations made with ESO Telescopes at the La Silla Paranal Observatory under programme ID 098.B-0537 (A). We are grateful to Paolo Ventura, Paolo Molaro and Leslie Sage for useful discussions. C.F. acknowledges support from the European Union Horizon 2020 Research and Innovation Programme under the Marie Sklodowska-Curie grant agreement No. 664931. A.F. acknowledges support from the ERC Advanced Grant INTERSTELLAR H2020/740120. This research was supported by the Munich Institute for Astroand Particle Physics (MIAPP) of the DFG cluster of excellence "Origin and Structure of the Universe." R.M. and S.Ca. acknowledge support by the Science and Technology Facilities Council (STFC). R.M. and S.Ca. acknowledges ERC Advanced Grant 695671 QUENCH. F.F. acknowledges financial support from INAF under the contract PRIN INAF 2016 FORECAST.

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