1 Independence of epigenetic and genetic diversity in AML

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10 There is a growing realization that tumors are individual, dynamic ecosystems, which consist 11 of heterogeneous cell populations that differ at the genetic and molecular level, and that this diversity facilitates their evolutionary 'fitness' and ability to weather selective pressures such 12 as chemotherapy or radiotherapy¹. The genetic heterogeneity of tumors has been known for 13 14 decades from cytogenetic studies and, more recently, our understanding has been further refined by multiple population-based² studies and a handful of single-cell-sequencing 15 studies³ across a number of tumors. However, the degree and contribution of other 16 17 measures of cellular heterogeneity, such as epigenetic variance, are poorly understood⁴.

18 Acute myeloid leukemia (AML) is an aggressive hematological malignancy associated with a 19 dismal outcome: usually, initial response to therapy is followed by relapse and resistance to 20 therapy. Both genetic and clinical heterogeneity are evident between patients with AML², and genetic heterogeneity within individual leukemias has been demonstrated both at single 21 time points and longitudinally after relapse⁵. By contrast, the role of epigenetic variation in 22 AML is unclear, although several disease characteristics suggest that it might be important. 23 First, AML is a relatively simple cancer genetically, with only 2–5 driver mutations per patient 24 25 coding genome identified by whole-genome sequencing (WGS). In addition, multiple epigenetic regulators are targeted by mutation, deletion and chromosomal rearrangements 26 27 in AML, Finally, altered epigenetic states and patterning, such as DNA methylation and patterns of histone modifications, are cardinal features of AML⁶. In this issue of Nature 28 29 Medicine, Li et al.⁷ address the role of epigenetic variation in cancer prognosis, demonstrating that epigenetic diversity is an important hallmark of AML, and that it seems to 30 evolve independently of the genetic landscape. 31

The authors carried out large-scale analysis of epigenomic patterning by using enhanced 32 33 reduced representation bisulfite sequencing (ERRBS) to detail DNA methylation in a cohort 34 of 138 individuals with AML, for whom paired diagnostic and relapse leukemic bone marrow samples were available. They used the recently described metholone compositional entropy 35 equation approach⁸, which analyzes differences in combinatorial methylation patterns in four 36 adjacent CpG dinucleotides (termed epialleles, Fig. 1) to identify variable regions (eloci) and 37 which also quantitates the degree of variation or epigenetic allele burden (EPM, eloci per 38 million loci) at these loci between samples. Samples obtained at diagnosis and at relapse 39 were compared with normal bone marrow (NBM) and, for each patient pair, with each other. 40 The metholone technique differs from other measures of methylation heterogeneity (MH), 41 such as epipolymorphism analysis⁹, because it measures dynamic changes rather than 42 capturing a static measure. In addition, whole-exome sequencing (WES) and RNA-seq data 43 were also available for subsets of patients (WES, n = 48; RNA-seq, n = 19), to enable a 44

direct comparison of epigenetic diversity with genetic diversity and transcriptional outcome inthe same individual.

47 The authors' major finding was that higher epigenetic variance was correlated with a shorter time to disease relapse when patients were divided into groups on the basis of high and low 48 49 EPM, particularly when EPM analysis was limited to promoter eloci. In addition, this association was independent of other potentially confounding variables, including age and 50 crude estimates of tumor burden, such as the peripheral white cell count. Importantly, when 51 52 the subgroup of patients with available WES data was analyzed similarly, dependent on 53 mutation burden, no difference was seen in time to relapse between the two groups of high and low mutation burden. Epigenetic variability was increased in both diagnostic and 54 relapsed AML, as compared to NBM, but the degree was itself variable upon disease 55 progression. There was, however, an apparent redistribution of eloci from established 56 57 transcriptional regulatory elements, such as CpG islands, promoters and enhancers, at 58 diagnosis, toward intronic and intergenic regions at relapse. This observation raises the 59 intriguing possibility that these novel regions might acquire regulatory function with disease 60 progression.

The authors were then able to cluster the patients into three groups according to 61 predominance of eloci clusters: unique to diagnosis, unique to relapse or shared between 62 63 both relapse and diagnosis. No link was found between these groups and the presence of 64 specific mutations within these groups; nor was any association found with clonal structure or complexity. However, individuals with a large number of eloci at diagnosis had fewer 65 mutations evident at this time point. In addition, individuals with a higher mutational burden 66 at diagnosis developed substantial numbers of eloci at relapse, which further suggests that 67 epigenetic and genetic processes have independent trajectories during progression. The 68 authors further found that gene-expression patterns differed between the clusters, wherein 69 individuals with high levels of eloci at diagnosis demonstrated an upregulation of genes, 70 71 including those encoding signaling proteins, whereas individuals with elevated eloci at 72 relapse upregulated inflammation and immune-response-related genes.

73 The authors then focused their studies on longitudinal analysis of an exemplar case at five separate time points (diagnosis and four subsequent relapses), which further demonstrated 74 75 a lack of concordance between genetic and epigenetic variation in the samples at the same time point. The most substantial increase in epiallele burden was noted at first relapse in this 76 77 individual, long before the most striking change in mutational burden, which occurred at third 78 relapse. This case not only further supported the idea that genetic and epigenetic diversity may be independent, but also suggests that they may be combinatorial in maintaining the 79 tumor over the continuum of disease progression. Finally, the authors linked epigenetic 80 variation to concordant changes in transcription. They found from bulk analysis of all 81 82 samples that genes associated with eloci at diagnosis had increased differential expression 83 between diagnosis and relapse when compared to those without eloci, and that genes associated with eloci had increased transcriptional heterogeneity in single-cell RNA-seq 84 85 analysis.

This study has a number of implications for the role of heterogeneity in tumor biology. The independence of epigenetic and genetic heterogeneity in AML would be predicted to further increase clonal diversity and evolutionary fitness, and thus makes evolutionary sense. By contrast, however, interdependency of genetic and epigenetic events has been shown in 90 glioblastoma10, and it will be important to determine any similar relationships in other 91 malignancies. Furthermore, it is possible that other mediators of the malignant phenotype, 92 such as altered metabolism, also demonstrate cellular heterogeneity; investigation of this 93 and any correlation with genetic and epigenetic variation are warranted. In addition, given 94 that this study focused on individuals who relapse, would the epigenetic heterogeneity of 95 patients with AML, but with a good prognosis, be less? Additionally, could the EPM measure 96 at selected eloci be used as a predictive biomarker at disease diagnosis, for instance?

97 Finally, the mechanism(s) that drive epigenetic variation and the downstream consequences 98 of this variation are largely unknown and require elucidation. Although the authors' data suggest that specific mutations-even those in modifiers of DNA methylation such as 99 DNMT3A, TET2, IDH1 and IDH2-are not correlated with epigenetic variation, they did not 100 investigate further what actually drives epigenetic diversity. Similarly, the loose correlation 101 between epiallele burden, specific eloci and alterations in transcription warrants further 102 103 investigation, and single-cell analysis is likely to be particularly helpful in determining how this epigenetic variation alters cellular phenotype. This study therefore paves the way for 104 further work in larger series of AML samples and in prospective experimental systems to 105 106 address these questions.

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118 Figure Legend

119 Figure 1. Independence of epigenetic and genetic heterogeneity during the 120 progression of AML.

Li *et al.*⁷ analyzed the genetic and epigenetic heterogeneity of AML at diagnosis and relapse 121 after treatment; an example here typifies their findings. Differently colored cells represent 122 genetic diversity and the small open and closed circles, DNA methylation. Their epigenetic 123 analysis identified strings of four adjacent CpG dinucleotides that were dynamically 124 methylated during disease progression. At diagnosis, in the six cells shown, there are only 125 two patterns of combinatorial methylation at the two alleles represented, resulting in low 126 epigenetic diversity. However, there is a more marked genetic heterogeneity at the same 127 time point. By contrast, after treatment, there is an increase in epigenetic heterogeneity at 128 relapse, as demonstrated by the more varied combinatorial methylation pattern, but a 129 130 relative decrease in genetic diversity, that is independent of these epigenetic changes.