

**Deep carbon cycle through five reactions**

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**ABSTRACT**

What are the key reactions driving the global carbon cycle in Earth, the only known habitable planet in the solar system? And how do chemical reactions govern the transformation and movement of carbon? The special collection “Earth in five reactions - A deep carbon perspective” features review articles synthesizing knowledge and findings on the role of carbon-related reactions in Earth's dynamics and evolution. These integrative studies identify gaps in our current understanding and establish new frontiers to motivate and guide future research in deep carbon science. The collection also includes original experimental and theoretical investigations

23 of carbon-bearing phases and the impact of chemical and polymorphic reactions on Earth's deep  
24 carbon cycle.

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## 26 **Background**

27 The Earth in Five Reactions (E5R) project was conceived in the fall of 2015 at the  
28 University of Rhode Island, USA, where the Deep Carbon Observatory (DCO) held a synthesis-  
29 planning meeting. DCO is a ten-year project supported by the Alfred P. Sloan Foundation with  
30 the overarching goal of understanding the quantities, movements, forms, and origins of Earth's  
31 deep carbon. Members of the international DCO Science Network are addressing this goal  
32 through investigations focused on four distinct and interconnected thematic disciplines – Deep  
33 Life (DL), Deep Energy (DE), Reservoirs and Fluxes (RF), and Extreme Physics and Chemistry  
34 (EPC). Since its launch in 2009, the DCO has initiated and supported scientific campaigns to  
35 investigate deep carbon, leading to numerous findings reported in more than 800 scholarly  
36 publications to date, and created an international network of more than 1000 deep carbon  
37 scientists ([www.deepcarbon.net](http://www.deepcarbon.net)).

38 “Serpentinization is the most important reaction in the universe!” This bold statement made  
39 by a workshop participant provoked Jie Li, an EPC representative who had studied chemical and  
40 polymorphic reactions for decades but thought little about serpentinization, to challenge the  
41 assertion. Li argued that redox and melting reactions dictate global-scale differentiation and  
42 therefore are far more important than serpentinization. This fundamental question about the key  
43 drivers in deep carbon science sparked a lively and spirited debate and revealed a general lack of  
44 consensus. Jesse Ausubel, the Sloan Foundation's primary liaison to the DCO, watched this  
45 exchange and asked "How about Earth in five reactions?"

46       The idea emerged as a promising framework for synthesis: Chemical reactions are  
47 widespread and play important roles in Earth's carbon cycle. Viewing Earth processes through  
48 the lens of reactions would highlight the chemical aspect of DCO science and could stimulate  
49 dialogues across disciplines. Like math and music, chemical reactions are the same in the United  
50 States, China, Italy or, France. The concept works internationally, even if people understand little  
51 or no English, and therefore could be widely reported or easily translated.

52       Why five? The number was inspired by the familiar "five types of chemical reactions" in  
53 high-school chemistry textbooks. Mathematicians and physicists have had success with  
54 celebrating "Five Equations that Changed the World". We considered selecting five reactions in  
55 each DCO community, in addition to the five that encompass all communities. However, for the  
56 idea to work effectively, we chose to limit the number to five, much like the number of medals in  
57 each Olympic sport is limited to three. In reality, "Five" is not a magic or required number as the  
58 outcome, rather a gimmick to stimulate the DCO community to build its shared experiences. It  
59 was exciting to find out what the outcome would be!

60       The E5R project aimed to identify the five most important reactions governing the  
61 transformation and movement of carbon in Earth, and then use these reactions as the central  
62 themes for synthesizing and disseminating the findings of the Deep Carbon Observatory. This  
63 thematic structure also provides a new and integrative perspective for understanding and  
64 advancing deep carbon science as a new, multi-disciplinary scientific discipline.

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### 66 **Selecting the top five carbon-related reactions**

67       We began by polling the DCO community to introduce the "Earth in Five Reactions"  
68 initiative and seek input on the five most important carbon-related reactions on Earth. We

69 launched a survey just before the Third DCO International Science meeting in St. Andrews,  
70 Scotland in the spring of 2017. The poll was distributed at the meeting and through newsletters  
71 of relevant organizations, providing the opportunity for all members of the DCO science network  
72 and others to weigh in. By the end of year, we received 120 submissions with dozens of  
73 proposed reactions. Representatives from all four DCO communities and researchers at various  
74 academic levels ranging from emeritus professors to undergraduate students completed the  
75 survey. More than half of the respondents not only answered the multiple-choice questions but  
76 provided additional comments. We also received about twenty very detailed answers with  
77 elaborate essays, illustrations, and references.

78       The first survey question is: What criteria should be used for selecting a handful of reactions  
79 out of myriad chemical processes involving carbon in different host phases, variable valence  
80 states, under a wide range of pressure and temperature conditions, and over a vast span of spatial  
81 and temporal scales? A reaction may be considered important because it is essential to sustaining  
82 life on Earth (e.g., photosynthesis that converts carbon dioxide and water into sugar and releases  
83 oxygen). A top-ranking reaction may involve a component that is minor in quantity but is of  
84 special economic and geological interest (e.g., diamond formation). Proposed as a potential  
85 solution to the global warming problem, carbonation of mantle peridotite may be viewed as  
86 potentially important. On a more fundamental level, crystallization of Earth's molten core to  
87 concentrate carbon in the solid inner sphere could stand out because it may bear on the driving  
88 power of the Earth's magnetic field. If all carbon at Earth's surface was initially dissolved in the  
89 mantle, as previously hypothesized. then the transformation of diamond to graphite could be an  
90 important reaction. Without this polymorphic transition, the activation energy barrier to reacting  
91 diamond with anything may be so great as to lock up a large fraction of carbon in the form of

92 diamond. Some critical reactions may have stretched over an extended time scale (e.g., inner  
93 core formation) whereas others may be widespread spatially and occur under broad ranges of  
94 pressure and temperature (for example, redox reactions in solids and liquids). Unique reactions  
95 that can be used as indicators, tracers, or diagnostic tools for carbon cycling are other possible  
96 targets of interest.

97       The distribution of responses to the criteria question was not particularly clear cut, with  
98 prevalence, timing, location, nature and impact all having an approximately similar number of  
99 votes. The narrative comments were revealing as well, ranging from one individual stating that  
100 most significant was “importance in terms of the fluxes of carbon they process and their impacts  
101 on the habitable planet” to another who felt criteria should be based on how the reactions  
102 “change the oxidation state of carbon—reduced, neutral, oxidized, with the product of the  
103 reaction having very different transport properties”. Most telling was a third commenter, who  
104 stated “I feel very strongly about this”, which was reassuring given the effort that the team had  
105 put in to enabling the whole exercise. A further provocative response suggested that “one way is  
106 to ask what if the Earth could be made again, but with only five reactions, which five involving  
107 carbon would make it look most like it does today?” Clearly there are many routes to discussing  
108 the “importance” or interest in any particular reaction, or indeed what is meant by reaction –  
109 whether the term should be restricted to chemical reactions or whether process or physical  
110 reactions might also be included.

111       Survey respondents were then asked to pick their favorite reactions. Given the outcomes of  
112 the suggested criteria, the outcomes of the reactions viewed most important were not particularly  
113 surprising. The importance of photosynthesis to the development of life on Earth, and the  
114 importance of life to the respondents, is an understandable priority. Other reactions mentioned at

115 this stage include precipitation of calcite and dolomite in the sea followed by mineralization to  
116 form limestone and dolomite (to sequester CO<sub>2</sub>), silicate weathering to carbonate, asthenosphere  
117 melting (to allow plate tectonics), dissolution of CO<sub>2</sub> gas into water, respiration (reverse  
118 photosynthesis, to generate sugars), redox reactions of CO to C or CO<sub>2</sub>, redox melting, the  
119 Sabatier reaction (the passage from inorganic to organic geochemistry), the burning of fossil  
120 fuels, and the polymerization increase in C-bearing minerals inside the deep Earth.

121 On the basis of the polling responses, we defined five broad categories of reactions for  
122 further consideration, including serpentinization, respiration/photosynthesis,  
123 degassing/decarbonation, extreme carbon sequestration, and diamond formation. These reactions  
124 encompass the four DCO communities and represent a diversity of reactants/products, pressure  
125 (*P*), temperature (*T*), and catalyst conditions, and reaction mechanism, energetics, and kinetics.  
126 Their importance may vary with depth as well as time in Earth's history.

127 In March 2018 we convened a two-day workshop to select the top five carbon-related  
128 reactions on Earth and develop a plan for sharing advances in deep carbon science with the  
129 scientific community and broader audiences using the E5R framework. The workshop was held  
130 at the Carnegie Institution for Science in Washington DC, USA. About 50 participants from  
131 seven countries on three continents represented the DCO community. The group was selected to  
132 reflect the totality of the DCO in terms of interests and scientific expertise, and achieved balance  
133 in terms of academic level, gender, and geographic distribution. Education and media experts,  
134 along with several members of DCO's Executive Committee, Secretariat, and SG2019, rounded  
135 out the attendees.

136 The participants were charged with choosing five discrete chemical reactions from among  
137 hundreds that make Earth the only known habitable planet. They began by considering the

138 survey results and pondered what carbon-related reactions make Earth unique. The workshop  
139 provided a trans-disciplinary forum for researchers to review the state of current knowledge and  
140 to identify the critical mechanisms and processes governing the movements of carbon through  
141 Earth. The group discussed the role of the deep carbon cycle in plate tectonics and the  
142 geodynamo, the development of an oxygen-rich atmosphere, how microbial life has persisted  
143 throughout Earth's history giving rise to a diverse biosphere, various ways water has influenced  
144 Earth's evolution, and the origin of diamonds. All attendees presented their perspectives and  
145 shared their ideas on how we could use chemical reactions as a framework to understand and  
146 advance deep carbon science. With keynote speakers, short-talk presenters, and panelists primed  
147 to argue for or against their chosen reactions, debates were passionate and sometimes  
148 intellectually divisive. By the middle of day two, however, the group converged on a set of  
149 reactions central to defining Earth.

150 Five reactions were selected through anonymous voting. Prior to voting, participants agreed  
151 that a pair of forward and reverse reactions counted as one reaction, and that similar and closely  
152 related reactions would be grouped into a reaction class. With this understanding in mind, eight  
153 reaction classes made to the ballot (Table 1). Hydrogenation, carboxylation, carbonation, carbon  
154 dioxide dissolution, and hydration emerged as winners.

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### 156 **Understanding deep carbon cycle through key reactions**

157 The quest to identify the five most important reactions in deep carbon science has  
158 demonstrated that chemical reactions can provide a unique and effective framework for  
159 synthesizing deep carbon research. Looking at a particular reaction such as serpentinization has  
160 stimulated dialogue across DCO communities, leading to a deeper appreciation of its role in

161 Earth's volatile cycles. Mafic and ultramafic rocks react with water to form serpentinite. The  
162 geological process of serpentinization significantly affects the reservoirs and fluxes of carbon at  
163 subduction zones. In the presence of iron, serpentinization may produce hydrogen and form  
164 methane, thus profoundly influencing deep life on Earth, and maybe even life's origins.  
165 Chemical reactions also can be used as "threads" to connect disparate findings into coherent and  
166 meaningful pictures. For example, redox reactions are prevalent in geological and biological  
167 processes and often involve carbon-bearing species with variable valence state. They are of  
168 interest to all communities within DCO: Redox reactions have been found to influence  
169 volcanism, diamond formation, the abiogenic production of hydrocarbons and are central to life's  
170 metabolism. By comparing the mechanisms, conditions and energetics of these reactions and  
171 studying how they vary spatially and through geological time, we may gain insights into the  
172 connections among the deep carbon cycle, the "great oxidation event", and the origins of life on  
173 Earth.

174 At the workshop, the top five reactions received comparable numbers of votes, suggesting  
175 that the richness of DCO findings cannot be straightforwardly captured by a small number of  
176 reactions and that there is a healthy diversity of equally important processes. The three deep  
177 Earth reactions, including two diamond-forming reactions, did not make the final five. These  
178 deep Earth reactions are undoubtedly important because at least 90% of Earth's carbon is likely  
179 stored in the deep mantle and core. The voting results thus suggest a lack of awareness and  
180 appreciation for this deep carbon, even among DCO researchers. It implies that understanding  
181 extreme carbon remains at the frontier of future research and will require more effort to bring  
182 public awareness.



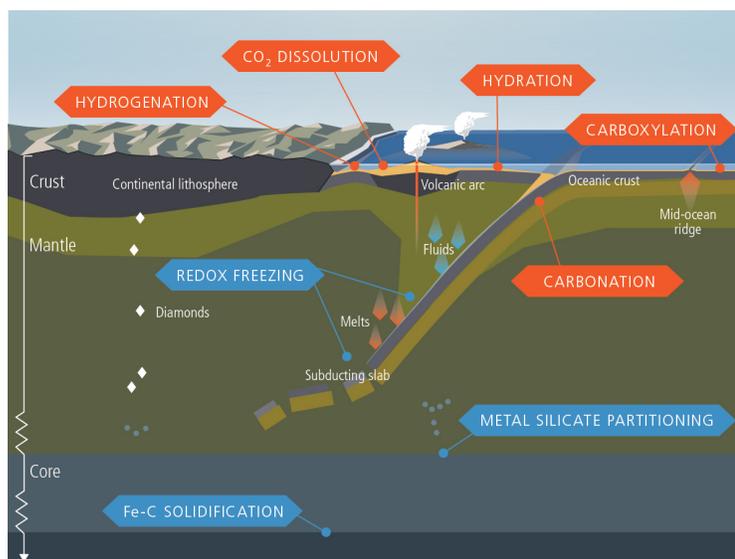
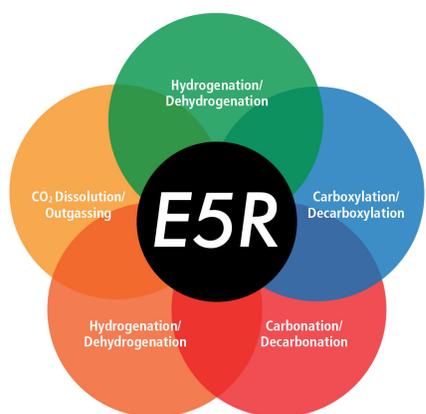
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**TABLE 1.** The eight reaction classes on the ballot

Reaction class	Representative Reactions
Hydrogenation dehydrogenation	$\text{FeO} + \text{H}_2\text{O} = \text{H}_2 + \text{Fe}_2\text{O}_3$
Carboxylation decarboxylation	$6\text{CO}_2 + 6\text{H}_2\text{O} = \text{C}_6\text{H}_{12}\text{O}_6 + 6\text{O}_2$
Carbonation decarbonation	$\text{CaSiO}_3 + \text{CO}_2 = \text{CaCO}_3 + \text{SiO}_2$
Carbon dioxide dissolution outgassing	$\text{CO}_2(\text{aq}) = \text{CO}_2(\text{g})$
Hydration dehydration	$2\text{Mg}_2\text{SiO}_4 + 3\text{H}_2\text{O} = \text{Mg}_3\text{Si}_2\text{O}_5(\text{OH})_4 + \text{Mg}(\text{OH})_2$
Redox freezing melting	$\text{MgCO}_3 + 2\text{Fe} = 3(\text{Fe}_{2/3}\text{Mg}_{1/3})\text{O} + \text{C}$
Metal silicate partitioning	$\text{C}(\text{alloy}) + 2\text{FeO}(\text{silicate}) = \text{CO}_2(\text{silicate}) + 2\text{Fe}(\text{alloy})$
Fe-C solidification melting	$\text{FeC}_x(\text{l}) = \text{FeC}_y(\text{l}) + \text{Fe}_7\text{C}_3$ , where $y < x$

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211 **FIGURE 1** E5R logo (left) and special collection theme figure (right) showing where the  
 212 reactions likely occur during Earth's deep carbon cycle.



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