1 2	Intraocular currents, Bernoulli's principle and non-drainage scleral buckling for rhegmatogenous retinal detachment
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# 25 Abstract

26	For many years, it is not fully understood how non-drainage scleral buckling
27	surgery brings about spontaneous reattachment of the detached retina when retinal
28	breaks remain open at the end of surgery. Various explanations have been put forward,
29	but none more interesting than the effect of fluid currents associated with eye
30	movements. One such explanation involved the physics of the Bernoulli's principle.
31	Daniel Bernoulli was an eighteenth century Swiss mathematician and he
32	described an equation based on the conservation of energy. The sum of pressure energy,
33	potential energy and kinetic energy remains constant. Bernoulli's equation usually
34	applies to closed system such as the flow of fluid through pipes. When fluid flows
35	through a constriction, the speed of fluid increases, the kinetic energy increases. If there
36	was no change in elevation (potential energy), the increase in kinetic energy must be
37	accompanied by a decrease in pressure energy. In ophthalmic surgery, the Bernoulli's
38	effect is the basis for venturi pumps that drive vitrectomy and phacoemulsification
39	machines. This essay expounds on how Bernoulli's effect might be relevant to scleral
40	buckling for retinal detachment repair.
41	In the era when vitrectomy is increasing the primary surgical operation for
42	retinal detachment, the pervasive advice is to emphasise the importance of patient

43 adopting head posture and remaining still postoperatively. The exception is non-

drainage scleral buckling surgery. Early postoperative mobilisation may be vital to
achieve reattachment.

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#### Rhegmatogenous retinal detachment: the role of intraocular currents

In 1984, Robert Machemer attributed the cause of retinal detachment to a combination 48 of dynamic vitreous traction, intraocular current and in the presence of open retinal break or 49 breaks.<sup>1</sup> Most but not all rhegmatogenous retinal detachments are associated with posterior 50 vitreous detachment (PVD).<sup>2</sup> PVD is usually a precondition for the development of U-tears.<sup>3</sup> 51 52 Tears are formed at the posterior border of the vitreous base because of dynamic traction. Dynamic traction is caused by eye (and some believe head) movements.<sup>4</sup> Saccadic and 53 pursuit eye movements are usually rotational and can reach high angular velocities.<sup>5</sup> In the 54 55 presence of a PVD, the content of the vitreous cavity no longer move bodily as a single semisolid structure.<sup>4</sup> Being attached at the vitreous base, the anterior vitreous gel continues to 56 57 move at roughly the same angular velocity as the eye wall. In contrast, being detached 58 posteriorly, the posterior vitreous gel lags somewhat behind (Figure 1). The weight of the 59 vitreous and angular momentum generated by rotational eye movements give rise to dynamic 60 traction. As dynamic traction is exerted at the vitreous base, this is generally the location for the development of U-tears. Because the direction of traction is from posterior to anterior, the 61 62 two horns of the U-tear are directed anteriorly.

The presence of retinal breaks however, may or may not be sufficient for the 63 64 development of retinal detachment. With PVD, the retrohyaloid space is occupied by 65 aqueous. Because this is a liquid with low adherence to the eyewall, little of the angular 66 momentum of rotational eye movement is transferred to the retrohyaloid fluid. The fluid 67 tends to stay stationary and to lag behind eye movements but continue in the same direction 68 when the rotation stops (Figure 1b). The retrohyaloid fluid seemingly "flow" firstly, in the 69 opposite direction to rotational eye movement when the eye moves and subsequently, in the 70 same direction when the eye stops (see supplementary video 1). This creates a sinusoidal 71 whip-like movement of the detached vitreous gel which can be clearly seen on

ultrasonography (Figure 1c). The "flow" of course is relative. Whether it is the eye wall that 72 73 moves whilst the fluid remain stationary or whether it is retrohyaloid fluid that flows whilst 74 the vitreous remains stationary, the net result is the same. The retrohyaloid fluid would 75 impinge at the posterior border of the vitreous base where the vitreous gel remains attached. 76 In the presence of a retinal break, the fluid would be channelled under the retina causing a 77 detachment of the retina. Probably because of intraocular currents, all retinal detachment 78 firstly lifts off anterior to the U-tear and the subretinal fluid subsequently spread posteriorly towards the macula and the optic disc. This is illustrated in Figure 2 that shows snapshots of 79 80 the B-mode ultrasound of a retinal detachment with a wave of subretinal fluid propagating posteriorly. 81

82 This is the traditional explanation for the development of rhegmatogenous retinal detachment. The caveat is that some retinal detachment occurs without posterior vitreous 83 detachment.<sup>6</sup> A retinal detachment arising from dialysis seldom presents with PVD.<sup>7</sup> By 84 definition, the vitreous is attached anterior and posterior to the dialysis.<sup>8</sup> Pigment clumps are 85 often observed in anterior vitreous indicating that there may be a separation anteriorly of the 86 vitreous base as well as a dialysis in the retina.<sup>9</sup> Retinal detachments arising from round 87 atrophic holes or outer leaf breaks in schisis are usually not accompanied by PVD.<sup>10</sup> In some 88 of these cases, the vitreous gel may be synergistic with pockets of liquefied vitreous which 89 may be sufficient to cause the vitreous gel not to move bodily as a semi-solid gel.<sup>11</sup> In which 90 91 case, the relative movement may be sufficient to cause traction or induce currents that cause 92 retinal detachment.

93 The aim of any retinal detachment treatment is to close retinal breaks. There are two 94 manoeuvres that would achieve this objective. They are namely, scleral buckling (sometimes 95 referred to as external tamponade) or internal tamponade. Let us examine both situations.

97 Scleral buckle

99 retinal pigment epithelium towards the retinal break. The first scleral buckling performed with a retained explant was introduced by Ernst Custodis in 1949.<sup>12</sup> Prior to this different 100 forms of scleral buckling was achieved by scleral resection,<sup>13</sup> imbrication <sup>14</sup> or suturing.<sup>15</sup> 101 102 The aim of such surgery is ostensibly to close the retinal break by opposing whole thickness 103 of the eyewall, including the sclera, choroid and retinal pigment epithelium, to the retinal 104 break. In other words, the indent is such that the retinal break is now occluded. One of the three conditions listed by Machemer<sup>1</sup> as being necessary for retinal detachment that is, the 105 106 open break, would no longer be present. Retinal reattachment occurs despite the fact that the 107 dynamic traction and intraocular currents are still persist. In 1965, Harvey Lincoff 108 demonstrated that scleral buckling without drainage of subretinal fluid was compatible with success.<sup>16</sup> Selecting the appropriate patients for non-drainage surgery becomes a much 109 discussed topic.<sup>17</sup> Drainage creates hypotony such that a high indent can be achieved. 110 111 Without drainage surgery, scleral buckle merely approximates the break to the indent but 112 often does not close the retinal break. The retina remains detached at the end of surgery 113 indeed can remain detached for some days postoperatively. The question of how reattachment 114 can occur in the presence of opened retinal breaks is the subject of this essay. 115 One additional manipulation that surgeons carried during scleral buckling is cryotherapy (and in the past, full thickness diathermy).<sup>18</sup> Cryotherapy has been shown as a 116 risk factor for development of proliferative vitreoretinopathy (PVR).<sup>19</sup> Therefore historically, 117 118 there was a period when scleral buckling without drainage of subretinal fluid and without 119 cryotherapy were in vogue to reduce PVR. The success rate of such so-called "minimal

A scleral buckle pushes the eye wall inwards and consequently approximates the

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surgeries" were shown in several series to be just as high as that with cryotherapy.<sup>20</sup> We can 120 therefore eliminate as a possibility that retinopexy (whether by cryotherapy or full thickness 121 122 diathermy) is in some way causing reattachment of the retina. Indeed, one of us [DW] carried 123 out 10-year follow up of a cohort of patients treated with buckling and no cryotherapy. The 124 retina of most patients remain attached. Retinal re-detachment was a late and infrequent 125 complication that occurred only in patients with reduction of buckle height months or years following the initial surgery.<sup>21</sup> As reduction in buckle height with time is probably inevitable 126 127 in a small proportion of patients, it was recommended that cryotherapy be performed with the 128 initial surgery. Although this is the standard approach, there are those who still recommend 129 postoperative laser after the retina has reattached before the application of retinopexy. This 130 may or may not reduce the risk of PVR, but not performing retinopexy at the time of surgery 131 in our opinion unequivocally rule out retinopexy as a cause of reattachment.

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# 133 How does scleral buckle with unclosed hole achieve retinal reattachment?

134 For some time, the cause of the reattachment in non-drainage scleral buckling with 135 open retinal breaks remains unclear. It has been a subject of much speculation. Some argued 136 that dynamic traction is reduced. In the case of radial indentation, buckling over the break 137 brings the vitreous base closer to the centre of the eye. Dynamic traction at the break would be transferred to the vitreous base of the retina immediately adjacent to the break.<sup>9</sup> Reduction 138 139 of dynamic traction alone may help retinal reattachment. If there was no intraocular current 140 channelling pre-retinal fluid through the retinal break, the pump action of the retinal pigment 141 epithelium (RPE) may be sufficient to tip the balance in favour of retinal reattachment. There is however, no reason to believe that intraocular currents would stop, unless both eyes are at 142

143	rest. Indeed bed rest had been recommended by Stellwag in 1881 and Donders in 1886 as a
144	treatment for retinal detachment before more effective methods were introduced. <sup>22</sup>

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#### 146 A physical model

In 1986, Clemens et al<sup>23</sup> using a physical model of retinal detachment showed how 147 148 intraocular currents alone could bring about retinal reattachment with opened retinal breaks. 149 The evidence was convincing because it was a physical model which could be visualised. The model used a fish tank within which was a membrane that separated the fluid into a 150 "preretinal" and a "subretinal" compartment. There was a hole in the membrane. The tank 151 152 rested on rollers which enabled the whole tank to rock backwards and forwards. The motion 153 was designed to induce a current flowing over the retinal hole. The authors showed that if a 154 cylinder was placed directly underneath the hole, the current eventually cause the fluid from the "subretinal" compartment to flux through the hole into the "preretinal" space such that 155 156 eventually the membrane draped over the bottom of the tank and the cylinder - mimicking 157 retinal reattachment (Figure 3). They coloured the fluid in the "subretinal" compartment with 158 ink such that with each backwards and forwards movement of the tank, puffs of colour fluid 159 were seen to egress from the hole. What makes the whole model all the more convincing was 160 the fact that the cylinder had to be placed directly under the hole. If it was placed anywhere 161 else, the reattachment of the membrane did not occur. The authors did not elucidate the 162 mechanism of the reattachment in the model. They attempted to perform qualitative and 163 quantitative studies of their model using finite element analysis. The impact of the model 164 from Clemens et al was to change clinical practice. Having visualised the spontaneous reattachment in the model, most surgeons are persuaded that movement rather than bed rest 165 166 helps resolution of subretinal fluid. This is the usual advice given to patients who received

167 non-drainage surgery resulting in opened retinal breaks. There was however, no mention of168 the Bernoulli effect by Clemens et al.

169	An example of a patient that had non-drainage scleral buckling surgery is shown in
170	Figure 4. The depth of subretinal fluid was such that the retinal break was opened at the end
171	of surgery (Figure 4a). Postoperatively, the patient took it upon herself to "posture", staying
172	very upright and still. The retina remained detached for 10 days when she attended clinic.
173	The patient was advised to resume normal physical activity and to take a daily stroll. A week
174	later, the retina was totally reattached without further treatment, as shown on the right.

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# 176 Bernoulli's principle

177 Bernoulli's principle is based on the axiom of preservation of energy. In flowing 178 fluid, the total energy is the sum of kinetic and static energy. Kinetic energy is a function of the velocity and is expressed  $mv^2$ , where m is mass and v is velocity. Static energy is a 179 180 function of height and pressure. Assuming height is constant, static energy is a function of the 181 pressure of the fluid. In simple terms this means that if the velocity of a liquid or gas was 182 increased the pressure would go down. This is indeed the principle used for flying, where air 183 passing over a wing has a longer distance to and has a higher speed, resulting in lower air 184 pressure over the wing compared with below the wing, thereby generating lift. This is also 185 the principle used to create suction in laboratories and operating rooms. It is sometimes 186 referred to as the Venturi pump where rapidly flowing water or air through a constriction 187 produces a local low pressure that can be harnessed for suction of fluids.

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#### 189 Finite Element Analysis

190 Nearly a quarter of a century later, William Foster and his colleagues revisited Clemens model. They used finite element analyses and considered the current flowing over 191 an indent within the tank.<sup>24</sup> They considered the nature of the membrane and considered the 192 193 case when it was either flexible or stiff. At the same time, they estimated the velocity of the 194 current over the indent and the hole in the membrane and the pressure in different parts of the 195 model. These authors were the first to suggest that the fast current over the indent could be 196 associated with lower pressure within the system, thus acting as a crude pump. Their model 197 demonstrated that with fluid flow, the pressure was increased in front of the buckle and lower 198 behind the buckle. Within the "subretinal space" the increased pressure on one side of the 199 indent led to fluid egressing out through the hole and on the decreased pressure on the lee 200 side of the indent led to re-apposition of the membrane to the bottom of the tank. They 201 compared different types of eye movements and the flow currents that they generated. Their 202 simulation showed that Rapid Eye Movement (REM) was most efficient in removing fluid 203 from the subretinal space. It was envisaged that fluid flow with eye movements over an 204 indent can cause retinal reattachment (Figure 3).

Recent work in the University of Liverpool compared the effect of head and eye movements in a computer model. The results showed that certain head movements may also be as important as rotational eye movement in generating traction forces to cause extension of retinal detachment (personal communication).<sup>25</sup>

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#### 210 Intraocular currents in vitrectomised eyes with gas or silicone oil fill

With vitrectomy, theoretically dynamic traction is removed. In most surgeries, usually complete drainage of subretinal fluid is attempted. The vitreous or preretinal fluid is replaced by an air bubble. The buoyancy of an air bubble meant that most of the upper retina would be

re-apposed to the underlying RPE. The surface tension of air prevents fluid regaining access
to the subretinal space through retinal breaks. In other words, retinal reattachment is achieved
via internal tamponade. To increase the longevity of the internal tamponade effect, different
inert gas mixtures or sometimes silicone are used to replace air in the vitreous cavity.

218 The presence of internal tamponade agents be it gas or oil ideally should totally fill the vitreous cavity. In practice, total fill is difficult to achieve.<sup>26</sup> This difficulty is due to the 219 220 fact that the retinal surface is hydrophilic. As such, gas (all types, including air) and silicone oil form an obtuse contact angle with the retina.<sup>27</sup> Practically, part of the volume of the 221 222 tamponade goes to form the meniscus which has no tamponade effect. The result is that a 223 slight under-fill would leave a large area of the retina not in contact with the tamponade 224 agent. Silicone oil has a specific gravity of (0.97) close to that of water (1.00) and is 225 hydrophobic. Consequently, the contact angle and the meniscus are both large. When silicone 226 oil is used therefore, there is a film of aqueous fluid around most of the silicone oil 227 tamponade. In the case of gas, absorption of the gas results in a progressively smaller 228 intraocular bubble and an increasing large pool of aqueous beneath the bubble.

229 In the past, some of us have studied the movement of the tamponade inside the eye 230 with simulated saccadic eye movements. We used a stepper motor driven by a computer 231 programme to mechanically rotate a model eye chamber containing aqueous and air or silicone (Figure 6a).<sup>28</sup> The model eye chamber was surface modified to mimic the 232 vitreoretinal interface.<sup>26</sup> The movement of the bubble of tamponade agents were recorded by 233 234 video. The videos showed that there was always a lag in which the movement of the chamber 235 was followed a little later by movement of the tamponade. This lag depended on the viscosity 236 of tamponade agent. For a low viscosity fluid like air, the lag was minimal. It was possible to 237 analyse the video and to measure the relative angular movement and velocity of the bubbles 238 (and by inference the fluid beneath the bubble). In other words, the fluid flowed almost but

not quite at the same speed as the eyewall (Figure 6b).<sup>29, 30</sup> In eyes with tamponades, there
was aqueous under bubble and there was movement or flow in the aqueous. This flow was
relative (it was actually the eyewall that was moving rather than the aqueous flowing).
Vitrectomy may relieve dynamic traction. The model clearly showed that the use of
tamponade, unless the fill is total, does not eliminate intraocular currents (Figure 6c).<sup>29, 30</sup>

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## 245 How does inferior retinal breaks stay closed without scleral buckle

246 The tamponade effect to inferior breaks becomes a contentious subject. The orthodox 247 approach was to combine vitrectomy with scleral buckling. It was thought that a shrinking 248 gas bubble could not be relied upon to tamponade inferior breaks for any length of time. 249 Equally, it was awkward for patients to posture their heads and to use the bubble to support 250 inferiorly situated retinal breaks or so it was thought. In 2004, this concept was challenged by the simultaneous publications in the same edition of British Journal of Ophthalmology by 251 teams at St Thomas' Hospital and Moorfields Eye Hospital.<sup>31, 32</sup> Both groups found that 252 253 vitrectomy and gas alone for retinal detachment with inferior retinal breaks had an acceptable 254 success rate. Both concluded that scleral buckling and its complications could be avoided. In 255 the St Thomas' case series, patients were asked to posture face up or alternative left or right 256 side down for one week. In the Moorfields' series, the patients were also instructed to posture 257 alternating, left or right cheek down depending on the location of the breaks. Both were real 258 life and pragmatic case series. Both showed that without the use of buckle retinal 259 reattachment could also be achieved. They did not speculate, beyond alluding to the fact that 260 gas tamponade could disrupt intraocular currents, as to how inferior retinal breaks could be 261 closed.

262 There are a number of possibilities. Firstly, though awkward and difficult, head 263 posture by the patients might be effective and achieve the desired tamponade effect. 264 Secondly, the retinal breaks might be closed peroperatively or shortly afterwards. Internal 265 tamponade is only required until retinopexy achieve a watertight seal. Using laser, Martinez 266 has shown that in selected cases with inferior retinal breaks, gas tamponade was not necessary.<sup>33</sup> For the vast majority of cases without epiretinal membrane, perhaps a few day of 267 268 tamponade may be all that is needed for achieve adhesion. Thirdly, the presence of a large 269 size bubble may reduce the aqueous compartment. The aqueous compartment although 270 present may be too small to generate any significant current or bulk flow. Machemer made 271 similar observations in diabetic proliferative retinopathy with tractional retinal detachment 272 (TRD).<sup>1</sup> Even though there could be full thickness retinal breaks within areas of TRD, they 273 did not cause rhegmatogenous retinal detachments until the membranes were excised by 274 vitrectomy. He speculated that the intraocular currents within these small compartments 275 formed by the membranes were not sufficient to cause extension of localised retinal 276 detachment. Fourthly, the combination of vitrectomy and tamponade means that dynamic 277 traction is no longer prominent. All these combined may be sufficient to achieve a relatively 278 high success rate.

Some surgeons however still hold the view that scleral buckling continues to be important. There are clinical evidences especially from more complex cases involving proliferative vitreoretinopathy that scleral buckling for inferior breaks were associated with higher success rate than vitrectomy and tamponade alone.<sup>34-36</sup> It could be argued that a gaseous tamponade bubble eventually would get smaller. Any retinal breaks not sealed by retinopexy might cause retinal re-detachment of the retina. Indeed, in recurrent retinal detachment Lincoff suggested that responsible retinal break would be found at the lower edge

286	of the shrinking bubble. <sup>37</sup> Such a case is presented in Figure 7 with an unsealed break at 9:30
287	o/c causing recurrent retinal detachment when the gas bubble became smaller.

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289 Early mobilisation for some patients

From what we understand, intraocular currents are important in the formation of 290 291 retinal tears and in the initiation of retinal detachment. In the presence of an appropriate 292 placed scleral buckling, intraocular fluid flowing over an indent can help reattach the retina. 293 Bernoulli's principle helps us to understand how the motion of the liquid causes this to 294 happen: in practice the dynamics of the fluid are more complex that the simple Bernoulli 295 approach and the equations of motion (the Navier-Stokes equations) have to be solved for the 296 fluids and the interfaces. In vitrectomised eyes, although controversy exists as to the need for 297 scleral buckling, there is no doubt that intraocular currents are also present when the bubble 298 of tamponade gets smaller. The trend is for more vitrectomies and fewer scleral buckling 299 procedures to be performed for repairing retinal detachment. The emphasis of ward staff, 300 nurses and resident doctors has been focused on advising patient to posture and to keep still. 301 This advice is further reinforced by information published in leaflets and posture devices 302 advertised on the internet. For the minority of patients treated with scleral buckling especially 303 without drainage of subretinal fluid, early mobilisation after surgery may be helpful rather 304 than harmful. This message could be in danger of being lost from our collective 305 consciousness because of the pervasive advice on posturing with vitrectomy.

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# 440 Titles to legends and figures

Figure 1 Horizontal B-mode ultrasound of patient with retrohyaloid blood. Patient looking 441 442 right and left. The vitreous gel is dark highlighted by the retrohyaloid blood. The vitreous gel 443 taking on a sinusoidal whip-like motion, (a) firstly being concave upwards, (b) adopting an 444 intermediate position, and (c) then concave downwards. This is dynamic traction, which is 445 the pull of the vitreous on the retina at the posterior border of the vitreous base that may 446 cause retinal tear. The retrohyaloid fluid flow is shown by the movement of the fine echo of 447 the retrohyaloid blood. Both the motion of the vitreous gel and the flow of fluid are clearly 448 visible on the video, as shown in supplementary file. The retrohyaloid fluid is impinging on 449 angle between the vitreous and the retina, as shown in (a). In the presence of a U-tear, such 450 fluid current is channelled by the vitreous into the subretinal space via an open retinal break.

Figure 2 Horizontal B-mode ultrasound of a patient with a retinal detachment. Patient looking left and right. Note the subretinal fluid initially situated peripherally propagated posteriorly as a wave. The vitreous again has the same sinusoidal motion instigated by the eye movement.

Figure 3 Possible effusion of subretinal fluid into the vitreous space during eye movements. The motion of the eye to the right will generate pressure on the membrane from the superretinal fluid as it tries to make the super-retinal fluid move (equal and opposite forces). This will generate pressure on the sub-retinal fluid and force it out of the break (as well as the lower pressure in the super-retinal fluid). A high density of arrows indicates a high flow rate. The higher flow rate leads to a drop of pressure near the scleral buckle. The detached retina near the tear may be forced downwards, extracting the subretinal fluid.

Figure 4 Fundus photograph of a patient treated with non-drainage scleral buckling surgery
10 days postoperatively, as shown in (a). The patient took it upon herself to "posture" and
kept quite still. The patient was advised to resume normal activity and exercise gently by
taking a daily stroll. A week later, the retina was totally reattached without further treatment,
as shown in (b).

Figure 5 Simulated fluid flow from left to right.<sup>24</sup> The length of the arrows indicated the
magnitude of the speed of the flow. The colour indicates the pressure level, with the highest
indicating with red colour, and the lowest with blue colour. Reproduced with permission of
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471 Figure 6 (a) The position of the various tamponade agents before and immediately after the 472 rotation of the chamber. Noted that the chamber has been rotated for  $90^{\circ}$ , as indicated by the 473 line mark. (b) Angular velocity of various tamponade agents during the rotation of the eye chamber. The dash line corresponds to the motion of the eye chamber. (c) The angular 474 475 velocity of the various tamponade agents relative to the eye chamber. The higher the 476 viscosity of the tamponade agent, the lower the relative angular velocity. The higher the 477 viscosity of the tamponade agents, the more the angular displacement of the tamponade, thus 478 a smaller relative motion between the tamponade agent and the chamber.<sup>28,29</sup>

479 Figure 7 Fundus photograph of a patient treated with primary vitrectomy without scleral
480 buckling. The image shows the recurrence of the retinal detachment when the bubble is
481 absorbed. The fluid is higher nasally and suspected unsealed break is found at 9:30 o/c.
482 Lincoff<sup>36</sup> suggested the level of meniscus when redetachment first occurred would indicate
483 the location of the unsealed break.











#### Time = 0.54 Surface Pressure (Pa) Arrow Velocity field

x10<sup>\*</sup>





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