

Peer Review: FAQs

How to reviewed

How to be reviewed

Jennifer Wright, Cambridge University Press



What was your first peer review experience like? How did you get started? Is there anything you wish you'd known?*

"I knew nothing. As a result,
I spent too much time on
it and picked it apart too
much. I almost forgot about
the main thing, is the
science sound?"

Postdoc, Ecology

So-I knew nothing! My first review was as a grad student; my advisor was asked to do the review and passed it on to me (which is a common practice but I've since learned is a bit frowned on). Anyway, I didn't get a lot of guidance. Later, my post doc advisor let me look at a few reviews he had written with identifiers removed, which was very helpful-I had only published one paper at that time and the review I'd received wasn't a great model to use. When I started reviewing, it took longer than I expected, and I didn't know how detailed I needed to get with grammar, formatting, or 'bad writing' as opposed to the scientific aspects. Further, I wasn't entirely sure how to organize my comments/feedback in the submitted review. I also reviewed my first paper with zero consideration for its fit with the iournal"

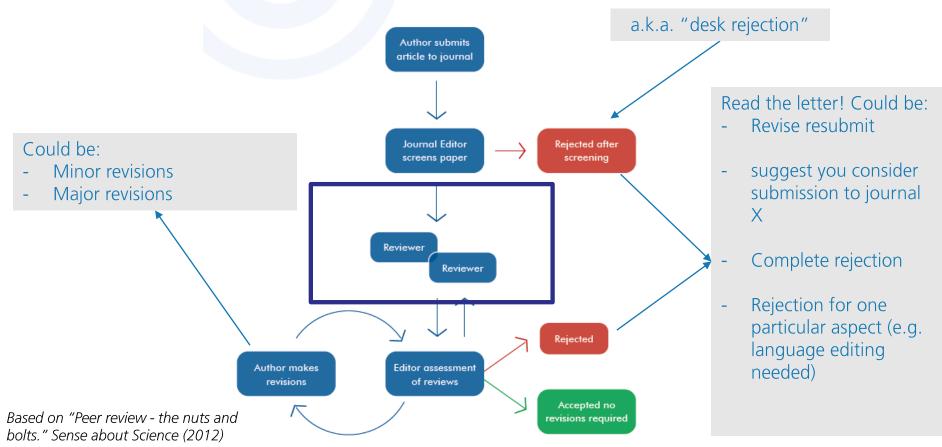
Postdoc, Biology

"I followed a guide from AGU, and got feedback on my first review from my dissertation supervisor. **Practise** really helped"

Postdoc, Geophysics



A quick intro: The basic process



A quick intro: Types of peer review

Intro

	Single Blind	Double Blind	Open	Post publication
Author	Doesn't know reviewer IDs	Doesn't know reviewer IDs	Knows reviewer IDs	Might know reviewer IDs
Reviewer	Knows author IDs	Doesn't know author IDs	Knows author IDs	Knows author IDs

Triple blind (where decision-making editors don't know the authors or reviewers) also exists, but is rare



The next 20 minutes...

What do postdocs ask us?

1. About being a peer reviewer

2. About being peer reviewed

Other resources and guidelines



The next 20 minutes

What do postdocs ask us?

- 1. About being a peer reviewer
- 2. About being peer reviewed

Other resources and guidelines



How do I become a peer

When do you declare a conflict of interest?

How can I practise peer review?

How detailed do I need to be

How do you pick peer Do you ever "block" reviewers? reviewers?

Is there a contract or code of conduct for peer reviewers?

with spelling/grammar? Do I need to comment on fit for

Can I make my review public?

How long should I spend doing a review?

the journal, or just the science?

Do you reward/pay peer reviewers?

Do you monitor "performance"

of peer reviewers?

reviewer?

I'm not sure the paper's a fit for my research specialism. Should I say yes to reviewing?



How should I format my comments?

reviewer?

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of peer reviewers? Do you reward/pay peer reviewers?

Can I make my review public?



I'm not sure the paper's a fit for my research specialism. Should I say yes How should I format my comments? to reviewing?

- Editor knowledge
- References in the article
- Searches
- Journal/society databases
- Recommendations
- Industry tools









Do you monitor "performance" of peer reviewers?

Usually, yes:

- Avoiding overwork
- Editor "scoring"
- Ensure good reviews valued
- Industry tools provide some metrics
 - Reject rate
 - Turnaround time
 - Time since last review



Do you ever "block" reviewers?

- Context dependent, but not often
- Do sometimes mark as inactive in database if:
 - They request it
 - They never respond
 - They frequently agree to review but never complete
 - They repeatedly submit inappropriate/unprofessional reviews



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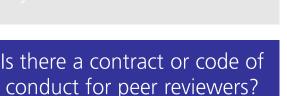
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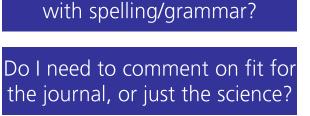
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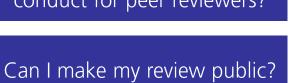




How detailed do I need to be

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I'm not sure the paper's a fit for my research specialism. Should I say yes to reviewing?

How do I become a peer reviewer?

- Publish!
- Make sure your profile on department/uni/ORCID is up to date
- Be active
 - Conferences
 - Seminars
 - Societies
 - Collaborations
 - Social media/blogging
- Ask!
 - Journal editors
 - <u>authorhub@cambridge.org</u>
 - Supervisor



Recognizing a renowned scholar at a reception, the grad student is sucked into a black hole of panic and worthlessness.

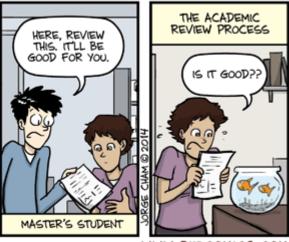
How can I practise peer review?

- Ask!
- Societies/publishers with practise programmes
- Pre-print servers

- Read published reviews from open peer review journals
- Peers/supervisors (but check/declare it!)
- Journal clubs







WWW.PHDCOMICS.COM

Do you reward/pay/acknowledge peer reviewers?

Yes...

- Especially for book reviews
- Publons
- Acknowledgement listings on journal website
- Naming on articles/Acknowledgements
- Your institution (some disciplines give career development credit for reviewing)
- Some publishers
 - APC discounts, access to content
 - in-house paid reviewers or data validation specialists

But...

• Mixed opinions in surveys of community whether it's a good idea or improves quality.







When do you declare a conflict of interest?

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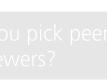
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> How long should I spend doing a review?

Can I make my review public?

How should I format my comments?



Is there a contract or code of conduct for peer reviewers?

Basic principles to which peer reviewers should adhere

Peer reviewers should:

- only agree to review manuscripts for which they have the subject expertise required to carry out a proper assessment and which they can assess in a timely manner
- respect the confidentiality of peer review and not reveal any details of a manuscript or its review, during or after the peer-review process, beyond those that are released by the journal
- not use information obtained during the peer-review process for their own or any other person's or organization's advantage, or to disadvantage or discredit others
- declare all potential conflicting interests, seeking advice from the journal if they are unsure whether something constitutes a relevant interest



When do you declare a conflict of interest as a peer reviewer?

In general, anything you feel would compromise your ability to assess the manuscript fairly and objectively.

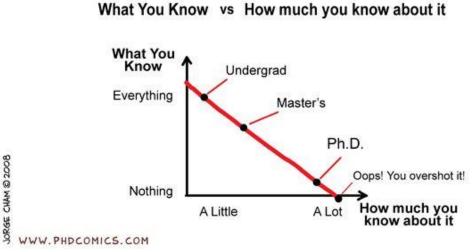
- Usually institutional/collaborative
- Personal relationships
- Financial (e.g. if reviewing a grant application)
- Conflicting public statements/positions
- Disclosure issues (e.g. related to patents, funding)
- Have reviewed the manuscript for a previous journal
- Remember declaring a Col doesn't necessarily mean you can't review



I'm not sure the paper's a fit for my research specialism / I don't feel qualified. Should I say yes to reviewing?

If in any doubt, ask!

- If it's wildly inappropriate, it could be a predatory journal
- Ask the editor and/or accept with proviso.
- Remember that at some point, all peer reviewers did their first review (and probably felt they weren't experienced enough)
- Ask editor if your supervisor or more experienced colleague can review your review (with details anonymized)
-do you have time?





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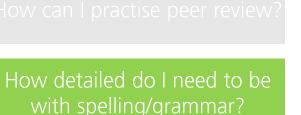
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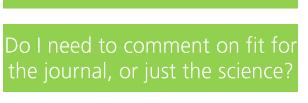
ro Peer reviewing Being peer reviewed Other resources

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research specialism. Should I say yes

ATTENTION

FROM NOW ON, ALL PAPER REVIEWS WILL BE DONE WITH FACEBOOK EMOTICONS



1 LIKE

"I LIKED YOUR PAPER BUT I'M NOT EXCITED ABOUT IT. IT'S GOOD WORK BUT NOT GROUNDBREAKING.



"THIS PAPER IS SO BAD, I'M LOSING MY FAITH IN ACADEMIA." HEART

"I LOVED YOUR PAPER AND WANT TO SEE IT PUBLISHED RIGHT AWAY."

ANGRY FACE

"GRRR, I WISH I HAD WRITTEN THIS PAPER."

😝 LOL

"DID YOU SERIOUSLY THINK THIS CRAPPY PAPER WOULD GET ACCEPTED IN THIS FANCY CONFERENCE/ JOURNAL?? LOL."

😯 SURPRISE

"WHOA. YOU GOT FUNDING TO DO THIS??"

WWW. PHDCOMICS. COM

The Piled Higher & Deeper Paper Review Worksheet

Stuck reviewing papers for your advisor? Just add up the points using this helpful grade sheet to determine your recommendation.

No reading necessary!

Paper title uses witty pun, colon or begins with "On" (+10 pt)	
Paper has pretty graphics and/or 3D plots (+10 pt)	
Paper has lots of equations (+10 pt) (add +5 if they look like gibberish to you)	
Author is a labmate (+10 pt)	
Author is on your thesis com- mittee (+60 pt)	
Paper is on same topic as your thesis (-30 pt)	
Paper cites your work (+20 pt)	
Paper scooped your results (-1000 pt)	
TOTAL	

Points	Recommendation
< 0	Recommend, but write scathing review that'll take them months to rebuff.
0-120	Recommend, but insist your work be cited more prominently.
>120	Recommended and deserving of an award

Might be tempting, but probably not this!



How detailed do I need to be with spelling/grammar?

1. Check reviewer instructions

Really can't understand the paper enough to review

Return to editor with initial impression, but explain language issue and suggest language editing service

Some instances where language obscures meaning

Review as usual, but flag in text where meaning is obscured, and mention issues in comments to editor. No need to copyedit! I could have written this better / I think this person's lazy

Just review it objectively in line with any criteria from the journal or editor.





Review unto others as you would have them review unto you.



How should I format my comments?

- Follow instructions for reviewers
- Quote line numbers
- Some journals accept in-document comments
- Some use collaborative editing software
- Collaborative peer review

From the British Ecological Society Peer Review guide:

Best practice

- Does the research follow logically from prior knowledge? Is it timely, and does it have the potential to advance the field?
- Is the article appropriately structured and clearly presented?
- Can you easily summarise the key message in the article?
- Does the title reflect the contents and is it engaging?
- Does the article fit with the scope of the journal that has asked you to review it?
- Does it take account of relevant recent and past research in the field?
- Is there significant overlap with material that has previously been published?

Your answers to these questions should form the opening comments in your report.

Detailed comments

Most articles are structured into sections commonly labelled 'summary/abstract', 'introduction', 'methods', 'results' and 'discussion'. There may also be a 'conclusion'. It is recommended that you take a methodical approach to assessing the article by appraising each section in turn. In your comments remember to provide evidence for the statements you make, whether positive or negative.

Do I need to comment on fit for the journal, or just the science?

- Look for any specific instructions in your invitation to review
- Or Editor's instructions
- Or the journal's Instructions for reviewers
- If no guidance available, just do the science
- You can include your opinion, with reasons, in your comments to the editor but it's the editor's call.



How long should I spend doing a review?

- Your familiarity with the topic
- Complexity of paper
- Length of article
- Your experience as a reviewer
- Clarity of presentation of article





Peer reviewing

Can I make my review public?

Can I / should I make my review public or "sign" my review?

- In general, no
 - Not just your decision
 - Usually dictated by journal policy
 - Some reviewers "sign" their comments to the author, but the content not made public
- ...but increasingly yes
- Things to consider:
 - **Publons**
 - The author's wishes
 - Other reviewers





The next 10 minutes...

What do postdocs ask us?

1. About being a peer reviewer

2. About being peer reviewed

Other resources and guidelines



How long should peer review for a journal article take before I can get annoyed?

How should I format my cover letter? How long should it be?

What percentage of papers are rejected before peer review?

If I disagree with a reviewer's comment, how should I respond?

What if reviewers' comments contradict one another?

Which is best; single blind or double blind? Should it affect my decision about where to submit?

Can I challenge the decision (accept/reject/revise&resubmit?)

I've guessed who one of the reviewers is and she's trying to block my work - what can I do?

How many rounds of review would be acceptable for articles in your journal?

Do I have to address every single comment?

How much of my book is peer reviewed?



Suggested reviewers/non-reviewers, what do you do with those names?

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Suggested reviewers/non-reviewers, what do you do with those names?

- Editor's call (even with suggested non reviewers)
- Generally only use 1 of them
- If you're not asked to recommend reviewers, you can do, but still editor's call
- Useful to provide explanation of named reviewers or nonreviewers



What percentage of papers are rejected before peer review?

- Totally varies!
- Common reasons for desk rejection
 - Language issues
 - Out of scope
 - Wrong type of article (e.g. review, rather than original research)
 - Technically unsuitable (missing necessary information, incompatible format)
 - Clearly unsound science
 - Plagiarism scan



	Single Blind	Double Blind	Open
Pros	Honest, critical reviews without fear of reprisal from author	Reduces bias that may result from knowing who author is	Greater accountability, reviewers can receive public credit for work
Cons	Hard to avoid unconscious bias! Lack of accountability may allow unscrupulous reviewers to submit unwarranted negative reviews	Often difficult to make a manuscript genuinely anonymous	Reviewers may be more likely to decline to review Concerns that it may lead to damaged relationships/repercussions Cultural considerations



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Do I have to address every single comment?

- Yes! But synthesise where appropriate
- It's ok to argue why you haven't changed something in response to a reviewer comment
- Summarise main changes in cover letter, follow cover letter with detailed responses
- Make it easy for editor, refer to line numbers, address comments in sequential order





How should I format my cover letter? How long should it be?

- Very context dependent....
- General outline for first submission cover letter
 - Summarize main findings and type of article
 - Why it's a good fit for the journal (specifically!)
 - Assurance that it's original, declare any conflicts of interest, suggest reviewers
 - Thank editor for time
- General outline for responding to review cover letter:
 - Thank editor and reviewers for their time
 - Summarise main changes, refer to attachment/following detailed changes and responses
 - End politely ("we look forward to hearing from you")

If I disagree with a reviewer's comment, how should I respond?

- Clearly
- Objectively
- Professionally
- Support your argument
 / offer evidence
- ..pick your battles



Post from Facebook, 2016



What if reviewers' comments contradict one another?

- If it's fundamental, the editor really should have picked this up and solicited further reviews
- Highlight in cover letter to editor with your responses
- Treat it the same way as if you disagree with a comment explain your position on the matter and support that with evidence.



coordinating strategic responses across reviewers in a letter to the editor like



Post from Facebook, 2016



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Can I challenge the editor's decision (accept/reject/revise&resubmit?)

- Yes!
- But it's rare only for good, clearly expressed and supported reasons





- Check you actually received a confirmation of submission email
- Check the journal's specified turnaround times, if available
- ScholarOne/Editorial Manager usually show status
- Bear in mind publishers have different procedures for updating you (some tell you in great detail, others only at milestones)
- Be aware that public holidays apply to reviewers and editors too!

How many rounds of review would be acceptable for articles?

- Depends on the journal, and article type, and editor...
- 1-2 is probably normal

CUP record: 6 has been known, but this isn't normal!

SCHOLARONE™



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I've guessed who one of the reviewers is and s/he's trying to block my work what should I do?

Do

- Consider that you might be wrong!!
- Some soul-searching
- Look objectively alongside other reviewer comments
- Raise concern professionally with editor
- Decide which types of peer review (open, blind) you are comfortable with for future work

Don't

- Contact them or confront them yourself
- Bad-mouth the reviewer during tea breaks with peers
- Reciprocate..!



The final leg

What do postdocs ask us?

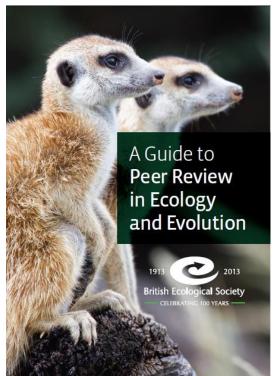
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Other resources and guidelines



Your community



Scholarly societies

Author Workshop

17 December 2015. 12:30 PM - 1:30 PM

San Francisco Marriott Marquis - Salons 1-

Event Type:

Workshop

Event For:

All Attendees, All Registrants, Early Career Scientist, Editors/Authors, Grad Students, Scientists, Students

An AGU conference session

Join Dolores Knipp, Editor in Chief of *Space Weather*; Brooks Hanson, AGU Director of

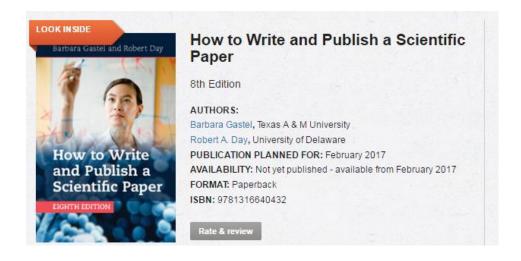
Publications; Fiona Sarne, Editor, Wiley; and Sarah

Garfunkel, Senior Marketing Manager, Wiley as
they provide insight into publishing with the AGU.

The workshop will include guidance on preparing a paper for submission, navigating the intricacies of the peer review process, responding to reviewers, how editors make decisions, publication ethics, available author services, and enhancing the impact of your published paper. Lunch will be provided.



Publications



Eos, Vol. 92, No. 28, 12 July 2011



VOLUME 92 NUMBER 28 12 JULY 2011 PAGES 233–240

A Quick Guide to Writing a Solid Peer Review

PAGES 233-234

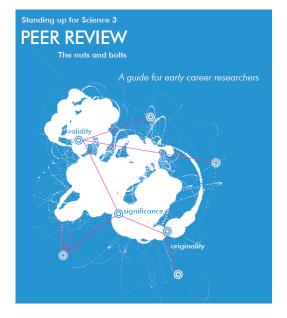
Scientific integrity and consensus rely on the peer review process, a defining feature of scientific discourse that subjects the literature forming the foundation of credible knowledge in a scientific field to rigorous scrutiny. However there is surprisConsidering a Request to Serve as a Reviewer

When you receive a request from an editor to review a manuscript, there are several issues to consider, including how your expertise matches what the editor is looking for, whether you can be unbiased and whether to the field, it may be best to pass. However, if your expertise allows you to comment meaningfully on key sections of the paper, you can offer to review these areas and let the editor know you cannot comment on other aspects outside your expertise.

Another question to ask yourself is, 'Can I provide a fair and unbiased review of this work?' Editors seek to prevent conflicts of interest by avoiding the solicitation of reviewers who share a significant professional relationship with any of the authors. The goal is to use reviewers who will evaluate the naner based solely on its merits and

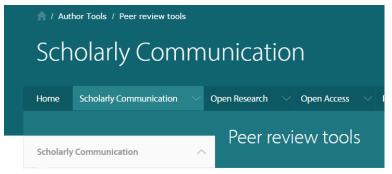


Independent organisations and universities





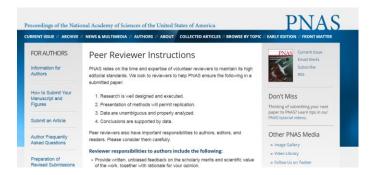




http://osc.cam.ac.uk/author-tools/peer-review-tools



Journal and publisher resources





Instructions for Reviewers of Research Articles

SCIENCE'S MISSION: Science seeks to publish those papers that are most influential in their fields and that will significantly advance scientific understanding. Selected papers should present novel and broadly important data, syntheses, or concepts. They should merit the recognition by the scientific community and general public provided by publication in Science, beyond that provided by specialty journals.

CRITERIA FOR JUDGMENT

Research Articles should report a major breakthrough in a particular field. They should be in the top 20% of the papers that Science publishes and be of strong interdisciplinary interest or unusual interest to the specialist.

Overall Recommendation: On the basis of the mission statement above, recommend in your review whether the paper should be published in Science and provide a more detailed critique based on the following:

Technical Rigor: Evaluate whether, or to what extent, the data and methods substantiate the conclusions and interpretations. If appropriate, indicate what additional data and information are needed to do so

Novelty: Indicate in your review if the conclusions are novel or are too similar to work already published.

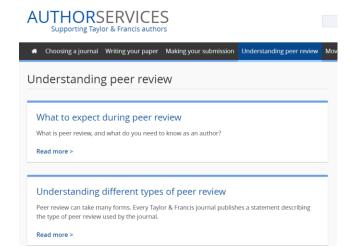


A Guide to Peer Reviewing Journal Articles











Thank you! Questions?

Contact: jwright@cambridge.org





COPE case studies: Some examples

Case	Verdict
Author requests permission to publish review comments received	Denied: reviewers were told the process was confidential at time of carrying out review.
Author of rejected paper publicly names and criticises peer reviewer	Classified as a mistake by author (who apologised publicly to the reviewer)
Author requests certain experts not to be included in editorial process	Ongoing! Options: honour request, open peer review
Reviewer requests to be added as author after publication	Paper retracted: Reviewer was actually part of research team behind paper – should have declared a Col.
Reviewer advised rejection, paper published based on other 2 reviews. Reviewers are named on papers, reviewer 1 concerned this implies "endorsement" of the work http://publicationethics.org/cases	Journal now includes statement on manuscript "Reviewer evaluations are given serious consideration by the editors and authors in the preparation of manuscripts for publication. Nonetheless, being named as a reviewer does not necessarily denote approval of a manuscript by the reviewer"



Discipline:

- **Humanities**
- Social Science
- STM

Type of book:

- Textbook
- Edited volume
- Monograph
- Reference work

Other:

- Editor expertise
- Stage of book at approach
- Timescale



Is there a contract or code of conduct for peer reviewers?

- not allow their reviews to be influenced by the origins of a manuscript, by the nationality, religious or political beliefs, gender or other characteristics of the authors, or by commercial considerations
- be objective and constructive in their reviews, refraining from being hostile or inflammatory and from making libellous or derogatory personal comments
- acknowledge that peer review is largely a reciprocal endeavour and undertake to carry out their fair share of reviewing and in a timely manner
- provide journals with personal and professional information that is accurate and a true representation of their expertise
- recognize that impersonation of another individual during the review process is considered serious misconduct





A quick intro: Types of pre-publication peer review

	Single Blind	Double Blind	Open	Post publication
Author	Doesn't know reviewer ID	Doesn't know reviewer ID	Knows reviewer IDs	Depends
Reviewer	Knows author ID	Doesn't know author ID	Knows author IDs	Knows author IDs
Review content	Author sees both reviews, reviewers (usually) only see their own	Author sees both reviews, reviewers (usually) only see their own	Sometimes publicly available	Usually publicly available
Prevalence Preference Perceived Effectiveness	Most common 25% (Ware, 2008) 45% (Mulligan <i>et al</i> , 2013)	2 nd 56% (Ware, 2008). 76% (Mulligan <i>et al</i> , 2013)	3 rd 13% (Ware, 2008) 20% (Mulligan <i>et al</i> , 2013)	4 th 5% n/a



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