

1 **Discrimination of the phase of amplitude modulation applied to different**
2 **carriers: Effects of modulation rate and modulation depth for young and**
3 **older subjects**

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18 **Abstract**

19 The discrimination of amplitude modulation (AM) from frequency modulation (FM) of a
20 1000-Hz carrier, with equally detectable AM and FM, is better for a 2-Hz than for a 10-Hz
21 modulation rate. This might reflect greater sensitivity to temporal fine structure for low than
22 for high rates. Alternatively, AM-FM discrimination may depend on comparing fluctuations
23 in excitation level on the two sides of the excitation pattern, which are in phase for AM and
24 out of phase for FM. Discrimination of the relative phase of fluctuations might worsen with
25 increasing rate, which could account for the effect of rate on AM-FM discrimination. To test
26 this, discrimination of the phase of AM applied to two sinusoidal carriers was assessed, with a
27 band of noise between the two carriers to prevent use of within-channel cues. Young and
28 older subjects with normal hearing were tested. Performance was almost constant for AM
29 rates from 2 to 10 Hz, but worsened at 20 Hz. Performance was near chance for AM depths
30 near the detection threshold. The results suggest that the superior AM-FM discrimination at 2
31 Hz cannot be explained in terms of comparison of the phase of fluctuations on the two sides
32 of the excitation pattern.

33

33 I. INTRODUCTION

34 Frequency modulation (FM) of a sinusoidal carrier may be detected using two
35 mechanisms: (1) The FM is converted into amplitude modulation (AM) via the filtering that
36 occurs in the cochlea and the AM is then detected as a fluctuation in excitation level over time
37 (Zwicker, 1956); (2) The FM leads to changes over time in the temporal fine structure (TFS)
38 of the waveform evoked on the basilar membrane and the corresponding pattern of action
39 potentials in the auditory nerve (Rose *et al.*, 1967) and cochlear nucleus (Paraouty *et al.*,
40 2018), and detection of FM depends on tracking these changes over time. These mechanisms
41 are referred to here as “FM-to-AM” and “FM-to-TFS”. It has been shown that the ability to
42 discriminate AM from FM of a low or medium frequency sinusoidal carrier, when the AM
43 and FM are equally detectable, is better for low modulation rates (e.g. 2 Hz) than for higher
44 modulation rates (e.g. 10 Hz) (Demany and Semal, 1986; Edwards and Viemeister, 1994;
45 Moore and Sek, 1995; Moore *et al.*, 2018; Moore *et al.*, 2019). It has been proposed that this
46 reflects greater sensitivity to fluctuations in TFS for low than for high rates (Moore *et al.*,
47 2018; Moore *et al.*, 2019). For a 2-Hz rate, but less so for a 10-Hz rate, a stimulus with supra-
48 threshold FM leads to detectable fluctuations in TFS (related to changes in instantaneous
49 frequency, IF) over time, and these can be used to distinguish AM from FM. Here, we tested
50 an alternative explanation, based on the idea that AM-FM discrimination may depend on
51 comparing the relative phase of the fluctuations in excitation level on the two sides of the
52 excitation pattern; the fluctuations are in phase for AM and 180° out of phase for FM
53 (Zwicker, 1956; Moore and Sek, 1994). This is referred to as the “AM-phase hypothesis”.
54 AM phase discrimination might worsen with increasing rate, and this could account for the
55 effect of modulation rate on AM-FM discrimination. This idea was tested here by assessing
56 the ability to discriminate the phase of AM applied to two different sinusoidal carriers,
57 including a condition with a band of noise centered between the two carriers to prevent the
58 use of within-channel cues.

59 Moore *et al.* (2018; 2019) showed that AM-FM discrimination using a 1000-Hz
60 sinusoidal carrier was better for a 2-Hz rate than for a 10-Hz rate for all 12 young normally
61 hearing (YNH) subjects that they tested. However, of 13 older subjects with normal

62 audiometric thresholds at the carrier frequency of 1000 Hz (designated YNH), six showed
63 very small or no effects of modulation rate, and most older hearing-impaired (OHI) subjects
64 showed little effect of modulation rate, mainly because of poorer performance for the 2-Hz
65 rate. Moore *et al.* proposed that the lack of effect for some subjects was caused by a decrease
66 in sensitivity to TFS with greater age (Ross *et al.*, 2007; Grose and Mamo, 2010; Moore *et al.*,
67 2012; Moore, 2014; Füllgrabe *et al.*, 2015) and with hearing loss (Hopkins and Moore, 2007;
68 2011).

69 An alternative explanation is based on the AM-phase hypothesis, that discrimination
70 of FM from AM depends on comparison of the phase of fluctuations on the lower and upper
71 sides of the excitation. To account for the pattern of results observed by Moore *et al.* (2018;
72 2019) using this hypothesis, it would need to be the case that the ability to detect differences
73 in AM phase in different frequency regions is consistently better for a 2-Hz modulation rate
74 than for a 10-Hz rate for YNH subjects, but that for some ONH subjects and for OHI subjects
75 there is a worsening of AM phase discrimination that is greater for a 2-Hz rate than for a 10-
76 Hz rate. To test whether these conditions held true, the present study examined the effect of
77 modulation rate on AM phase discrimination for young and for older subjects, all with normal
78 or near-normal audiometric thresholds at the carrier frequency of 1000 Hz.

79 The extent to which the phase of AM on the two sides of the excitation pattern can be
80 compared has been assessed indirectly in modeling studies. Paraouty *et al.* (2016) measured
81 the effects of hearing loss and age on the ability to detect 5-Hz FM in the presence of
82 interfering AM of the same rate, and to detect 5-Hz AM in the presence of interfering FM of
83 the same rate. They attempted to account for the results using a model based on optimal use of
84 the outputs of auditory filters centered below and above the 500-Hz carrier frequency. The
85 interference effects could be predicted adequately only for the hearing-impaired subjects.
86 Paraouty *et al.* (2016) concluded that this indirectly supported the idea that normal-hearing
87 subjects use the FM-to-TFS mechanism in addition to FM-to-AM. Walleart *et al.* (2018)
88 compared the effect of the number of modulation cycles on FM detection with and without
89 interfering AM for normal-hearing and hearing-impaired subjects, using a 500-Hz sinusoidal
90 carrier and FM rates of 2 and 20 Hz. They showed that for the normal-hearing subjects, a

91 model of temporal-envelope processing based on a modulation filter bank and a template-
92 matching decision strategy (Dau *et al.*, 1997) accounted better for FM detection at the 20-Hz
93 rate than at the 2-Hz rate. They concluded that that different mechanisms underlie AM and
94 FM detection at low rates. King *et al.* (2019) measured the effect of interfering AM on FM
95 detection for normal-hearing listeners as a function of FM rate, carrier frequency, duration,
96 AM rate, AM depth, and phase difference between the FM and interfering AM. The data were
97 compared to predictions of a model incorporating an AM filter bank (Dau *et al.*, 1997). They
98 found that the model could account for FM detection for rates above 8 Hz, but the interfering
99 effect of AM was predicted to be much smaller than the observed effect unless the envelope
100 phase at the outputs of the modulation filters was discarded. However, in this case the
101 interference effect was overestimated. Overall, these studies suggest that models based on the
102 assumption that listeners can compare the phase of AM on the two sides of the excitation
103 pattern cannot fully account for the interfering effects of AM on FM detection.

104 Previous data on the discrimination of the phase of AM applied to different carriers
105 are sparse. Green *et al.* (1990) measured the AM depth required to discriminate in-phase AM
106 from anti-phase AM for carriers separated by 2/3 or 4/3 octave and found slightly poorer
107 performance for a 4-Hz rate than for a 10-Hz rate (their figure 6). However, they tested only
108 three normal-hearing students (presumably young), and they did not report any results for AM
109 rates below 4 Hz. Thus their results cannot be used to assess whether the effects of
110 modulation rate and age on AM-FM discrimination found by Moore *et al.* (2018; 2019) can
111 be explained in terms of the AM-phase hypothesis.

112 A potential problem in using stimuli like those of Green *et al.* (1990) to test the AM-
113 phase hypothesis is that the outputs of auditory filters centered between the two carriers may
114 provide a cue related to changes in IF, i.e., a TFS cue. For example, if the AM is applied to
115 carriers with frequencies of 700 and 1300 Hz, the output of an auditory filter centered at 1000
116 Hz will have an almost constant IF when the AM is in phase for the two carriers, but will have
117 an IF that varies when the AM is out of phase; the IF will be higher when the upper carrier
118 has a short-term amplitude above than that of the lower carrier, and vice versa. The use of this
119 cue can be avoided by presenting a narrowband noise masker centered at a frequency between

120 the two AM carrier frequencies. In the present study, conditions both without and with such
121 an added noise were used.

122

123 **II. METHOD**

124 **A. Subjects**

125 Two groups of subjects were tested. There were eight YNH subjects (three female),
126 aged 20 to 31 years (mean = 25 yr, standard deviation, SD = 4 yr), all of whom had
127 audiometric thresholds ≤ 20 dB Hearing Level (HL) for frequencies from 125 to 8000 Hz.
128 Their mean audiometric threshold at the test center frequency of 1000 Hz was 1.3 dB HL.
129 There were 14 ONH subjects (six female). Some of the ONH subjects had previously taken
130 part in experiments on AM and FM detection and AM-FM discrimination (Moore *et al.*, 2018;
131 2019). The ONH subjects were aged 39 to 75 yr (mean = 60 yr, SD = 11.5 dB). All had
132 audiometric thresholds ≤ 25 dB HL at 1000 Hz and below, but some had hearing loss at higher
133 frequencies; audiometric thresholds were above 25 dB HL for one subject at 3000 Hz, three
134 subjects at 4000 Hz and five subjects at 6000 Hz. Their mean audiometric threshold at 1000
135 Hz was 9.6 dB HL. The test ear for the YNH subjects was chosen randomly. The test ear for
136 the ONH subjects was selected as the ear with the lower audiometric threshold at 1000 Hz.
137 Subjects were paid to participate.

138

139 **B. Stimuli and procedure**

140 All stimuli were generated digitally using a sample rate of 48000 Hz and 24-bit
141 resolution. They were converted to analog form using an external sound card and delivered
142 via Sennheiser (Wedemark, Germany) HD580 headphones. All testing took place in double-
143 walled sound-attenuating booths.

144 Initially, absolute thresholds at 1000 Hz were estimated using a two-interval two-
145 alternative forced-choice (2AFC) 2-down 1-up adaptive procedure tracking 71% correct, with
146 observation intervals marked by boxes on a screen. The signal duration was 1000 ms,
147 including 20-ms raised-cosine ramps. The intervals were separated by 300 ms. At least two
148 runs were obtained for each subject, and the final threshold was averaged across runs. The

149 mean absolute thresholds at 1000 Hz were 2.5 dB SPL for the YNH group and 11.2 dB SPL
150 for the ONH group. Based on a non-matched samples t -test, the mean threshold for the ONH
151 group was significantly higher than that for the YNH group ($t = 2.9, p < 0.01$). The carriers
152 used in the main experiment were centered on either side of 1000 Hz and each had a level 30
153 dB above the measured absolute threshold at 1000 Hz, giving a sensation level (SL) of about
154 30 dB. For the ONH group, this relatively low SL limited the spread of excitation of the
155 stimuli to the frequency region where absolute thresholds were near-normal (below 2000 Hz).

156 In the experiments on AM detection and AM phase discrimination, the modulator was
157 “quasi-trapezoidal” (Shailer and Moore, 1993; Moore and Sek, 1995), as was the case for our
158 studies of AM-FM discrimination (Moore *et al.*, 2018; 2019). This meant that the time spent
159 at the extremes of amplitude was longer than for sinusoidal modulation. This was done in our
160 previous studies to promote the use of TFS information, since the mechanism that “decodes”
161 TFS information is assumed to operate most effectively when the TFS is stable over many
162 tens of ms (Sek and Moore, 1995; Moore and Sek, 1995; 1996). For all AM rates, f_m , the
163 transition between amplitude extremes lasted 10 ms and had the shape of a half-cosine
164 function. The time spent at each amplitude extreme was 240, 90, 40, and 15 ms for $f_m = 2, 5,$
165 10, and 20 Hz, respectively. The signal duration was 1000 ms, including 20-ms raised-cosine
166 ramps.

167 Prior to starting the main experiment, thresholds for detecting AM were measured for
168 two of the modulation rates used in the main experiment, 2 and 10 Hz, using a carrier with a
169 frequency of 1000 Hz presented at 30 dB SL. A 2AFC 2-down 1-up adaptive procedure
170 tracking 71% correct was used to estimate the AM depth needed for a detectability index, d'
171 of 0.78 (Hacker and Ratcliff, 1979). One randomly selected interval contained an
172 unmodulated stimulus and the other contained a modulated stimulus. Each stimulus had a
173 duration of 1000 ms, including 20-ms rise/fall ramps. The silent gap between the intervals
174 was 300 ms. The task was to indicate the interval that contained the modulated stimulus.
175 Feedback was provided after each trial. The step size started at 4 dB (in terms of $20\log_{10}(m)$,
176 where m is the modulation index) and was decreased to 2 dB after two reversals. Six reversals
177 were obtained using the 2-dB step size and the threshold was taken as the mean value of

178 $20\log_{10}(m)$ at these six reversals. At least two, and usually three or more estimates were
179 obtained for each AM rate, and the final threshold was taken as the mean for all estimates for
180 a given rate.

181 For $f_m = 2$ and 10 Hz only, psychometric functions for the detection of AM were also
182 measured, again using a 2AFC task. The stimulus timing, carrier frequency and level were the
183 same as for the AM-detection task described above. Feedback was given after each trial. Five
184 AM depths were chosen to span the range from poor to very good detectability. A run started
185 with the largest modulation depth, and the modulation depth was progressively decreased
186 over the next four trials. Then the whole sequence was repeated until 30 trials had been
187 completed. Thus, an easy “reminder” stimulus was presented every five trials. The results for
188 the first five trials were discarded and the number correct for each modulation depth was
189 determined for the remaining 25 trials. At least 10 blocks were run for each condition, giving
190 a total of at least 50 trials for each modulation depth. The percent correct score for each
191 modulation depth was converted to d' , and a straight line, constrained to pass through the
192 origin, was fitted to the d' values as a function of the square of the modulation index (Moore
193 and Sek, 1995). The fitted line was used to estimate the modulation depth that would be
194 required to give $d' = 1$.

195 In the main experiment, AM of the same rate (2, 5, 10 or 20 Hz) and depth was
196 applied to two sinusoidal carriers, one with a frequency of 762 Hz and one with a frequency
197 of 1296 Hz. These frequencies correspond to values 2 Cams below and 2 Cams above 1000
198 Hz, respectively, on the ERB_N -number scale (Glasberg and Moore, 1990; Moore, 2012). The
199 carrier frequencies were chosen as a compromise. We wanted them to be reasonably close to
200 1000 Hz, since AM-FM discrimination based on AM phase would depend on comparison of
201 the phase of excitation-pattern fluctuations for center frequencies just below and above the
202 carrier frequency. However, we also wanted to avoid strong peripheral interactions between
203 the two carriers. Also, we wanted to use a noise centered between the two carriers, to prevent
204 the use of within-channel cues, specifically, changes in IF at the outputs of auditory filters
205 centered between the two carriers. The spacing of the carrier frequencies was chosen so that
206 this noise would not substantially affect the detection of envelope fluctuations on the two

207 carriers. Each carrier was presented at a level that was 30 dB above the measured absolute
208 threshold at 1000 Hz. Performance was measured in the presence and absence of a
209 narrowband noise centered at 1000 Hz. The noise was digitally synthesized and had a
210 bandwidth of 0.5 Cams (66 Hz). Its level was 30 dB above the measured absolute threshold at
211 1000 Hz.

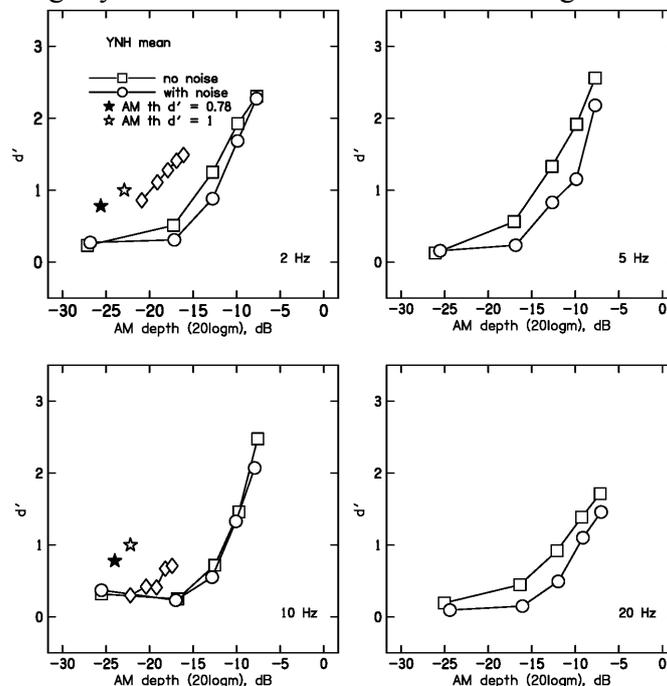
212 A 2AFC task was used. The stimulus timing was the same as for the AM-detection
213 task. In one randomly chosen interval, the AM was in phase across the two carriers. In the
214 other interval the AM was 180° out of phase across the two carriers. The starting phase of the
215 AM in each interval was randomly chosen. The task was to indicate the interval with out-of-
216 phase AM. Feedback was given after each trial to help the subject to “know what to listen
217 for”. For each modulation rate, five AM depths were used. They were chosen to span the
218 range from where the AM was barely detectable to where it was highly detectable. The exact
219 AM depths used varied across subjects depending on the AM detection thresholds measured
220 before the main experiment, but they typically ranged from about – 23 dB to –6 dB (in terms
221 of $20\log_{10}(m)$). The five AM depths were presented in a sequence going from large to small
222 and the sequence was repeated every five trials. The results for the first five trials were
223 discarded and the number correct for each AM depth was determined for the remaining 25
224 trials. At least 10 blocks were run for each condition, giving a total of at least 50 trials for
225 each AM depth. Percent correct scores for each AM depth and each AM rate were converted
226 to values of the detectability index, d' .

227

228 III. RESULTS

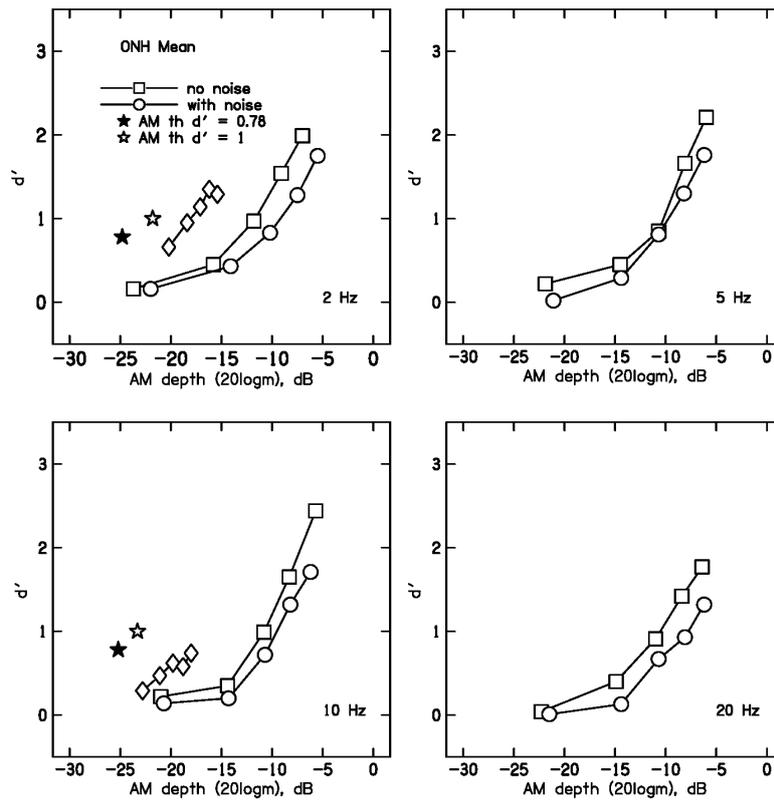
229 For the main experiment, although there was individual variability in overall
230 performance, the variation of d' scores with AM depth and AM rate was similar across
231 subjects within each group. To display mean results for each group, the d' values were
232 averaged across subjects for each of the five AM depths used. Since slightly different AM
233 depths were used for each subject, the AM depths (in dB) were also averaged across subjects
234 for each of the five AM depths (lowest, next lowest, etc.). The SD of the AM depths across
235 subjects for a given ordinal value (e.g. the lowest AM depth) was about 2 dB for group YNH

236 and 4 dB for group ONH. Figure 1 shows the mean results for group YNH. For the two lowest
 237 AM depths, AM phase discrimination was generally very poor, with d' values below 0.5, even
 238 though the AM was above the AM detection threshold for the second-lowest AM depth.
 239 Discrimination improved with increasing AM depth, with d' values close to 2 for the largest
 240 AM depth used for the three lowest AM rates. Performance was somewhat poorer for $f_m = 20$
 241 Hz. As expected, performance was worse when the added noise was present, although the
 242 effect was not large. For comparison, the open diamonds show the mean scores for
 243 discrimination of FM from AM by YNH subjects obtained by Moore *et al.* (2018). AM-FM
 244 discrimination was markedly better than AM phase discrimination for the 2-Hz rate for all
 245 AM depths used, and slightly better for the 10-Hz rate for the higher AM depths.



246
 247 *FIG. 1. Mean results for group YNH. Each panel shows results for one modulation rate. The*
 248 *filled stars indicate the AM depths required for AM detection with $d' = 0.78$, as estimated in*
 249 *the initial experiment. The open stars indicate the AM depths required for AM detection with*
 250 *$d' = 1.0$, as estimated from the psychometric functions for AM detection. Open squares and*
 251 *open circles show d' values for AM phase discrimination without and with a narrowband*
 252 *noise centered at 1000 Hz, respectively, as a function of AM depth. For comparison, open*
 253 *diamonds show scores for AM-FM discrimination for the YNH group tested by Moore *et al.**
 254 *(Moore *et al.*, 2018).*

255



256

257 *FIG. 2. As Fig. 1, but for group ONH.*

258

259 For the two lowest AM depths used, performance was close to what would be
 260 expected by chance (Miller, 1996; Jesteadt, 2005). Hence, further analyses were based on the
 261 d' values for the three higher AM depths only. Also, since we were primarily interested in
 262 performance when within-channel cues were not useable, the analysis was restricted to the
 263 condition when narrowband noise was present. An analysis of variance was conducted on the
 264 d' values for the three highest AM depths with modulation rate and modulation depth as
 265 within-subjects factors and group as a between-subjects factor. The effect of group was not
 266 significant: $F(1, 20) = 1.34, p > 0.05$. Grand mean d' values were 1.36 for group YNH and
 267 1.19 for group ONH. There was a significant effect of AM rate: $F(3, 60) = 9.45, p < 0.001$.
 268 Post hoc comparisons based on Fisher's protected least-significant-differences (LSD) test
 269 showed that d' did not differ significantly for $f_m = 2, 5$ and 10 Hz, but d' for $f_m = 20$ Hz was
 270 significantly lower than for all other AM rates ($p < 0.02$). There was no significant interaction
 271 between AM rate and group: $F(3, 60) = 0.81, p > 0.05$. As expected, there was a significant

272 effect of AM depth: $F(2, 40) = 168.7, p < 0.001$, performance improving with increasing AM
273 depth. There was a significant interaction between group and AM depth: $F(2, 40) = 6.29, p =$
274 0.004 . LSD tests showed that the ONH group had significantly lower d' than the YNH group
275 for the highest AM depth ($p = 0.05$: mean $d' = 2.01$ for group YNH and 1.64 for group ONH)
276 but that d' did not differ across the two groups for the two lower AM depths. There was a
277 marginally significant three-way interaction, [$F(6, 6) = 2.21, p = 0.047$], but it accounted for
278 only a very small proportion of the variance in the data and will not be considered further. In
279 summary, performance did not vary significantly for f_m from 2 to 10 Hz, but d' was lower for
280 $f_m = 20$ Hz than for the other rates. Overall performance was similar for the two groups, but
281 the ONH group performed slightly more poorly than the YNH group for the highest AM
282 depth.

283 Within the ONH group there was a reasonably wide range of ages (39 to 75 yr) and a
284 small range of absolute thresholds at 1000 Hz, measured using the 2AFC task (0 to 26 dB
285 SPL). To assess whether performance was related to age or absolute threshold, an overall
286 measure of performance was calculated for each subject in group ONH. Firstly, the d' values
287 were averaged across the three highest AM depths for each modulation rate. As for the
288 previous analysis, values for the two lowest AM depths were excluded because they were
289 often within the range that would be obtained by chance (Miller, 1996; Jesteadt, 2005). Then,
290 the d' values were averaged across modulation rates. The correlation between these grand
291 mean d' values and age was -0.39 . Thus, there was a weak trend for AM phase discrimination
292 to worsen with increasing age. However, the correlation was not significant ($p > 0.05$). The
293 correlation between the grand mean d' values and the absolute threshold at 1000 Hz was 0.74,
294 which was significant ($p = 0.0025$, two tailed). Thus, AM phase discrimination *improved* with
295 increasing absolute threshold, even though the absolute thresholds covered only a small range.

296

297 **IV. DISCUSSION**

298 It has been assumed so far that AM phase discrimination depends directly on
299 comparison of the patterns of AM fluctuation of the two carriers. However, it is necessary to
300 consider possible alternative cues that might have been used. One possibility is that the

301 interaction of the two carriers produced a combination tone of the type $2f_1 - f_2$, where f_1 and
302 f_2 are the frequencies of the two carriers. The amplitude of this combination tone might have
303 fluctuated differently when the AM was in phase on the two carriers than when it was 180°
304 out of phase, providing a potential cue. However, the ratio f_1/f_2 for the two carriers used here
305 was 1.7, and this relatively large ratio leads to a very weak $2f_1 - f_2$ combination tone
306 (Zwicker, 1981; Humes, 1983). Given the low SL of the two carriers, the combination tone
307 would almost certainly have been inaudible. Another possibility is that suppressive
308 interactions between the two carriers played a role. Suppression of one frequency component
309 by another tends to be stronger when the suppressor has a higher level than the component
310 that is being suppressed (Duifhuis, 1980; Houtgast, 1974; Humes, 1983; Javel *et al.*, 1983).
311 Hence, when the AM of the two carriers was 180° out of phase, the strength of suppression
312 might have varied more over time than when the AM was in phase, providing a cue that might
313 have been usable without comparison across frequency channels. However, for the relatively
314 widely separated carrier frequencies and low SLs used in our experiments, suppression effects
315 would have been very weak or absent (Duifhuis, 1980; Houtgast, 1974; Humes, 1983; Javel *et al.*
316 *et al.*, 1983). Hence, it seems unlikely that suppression influenced the AM phase discrimination
317 results presented here. Overall, it is likely that the results reflect the ability to compare the
318 patterns of AM fluctuation of the two carriers.

319 AM phase sensitivity did not differ significantly for AM rates of 2 and 10 Hz. In the
320 presence of noise to prevent the use of within-channel cues, the mean d' values across groups
321 for the three highest AM depths were 1.40 at 2 Hz and 1.24 at 10 Hz. This contrasts with the
322 results of Moore *et al.* (2018) for AM-FM discrimination, which showed markedly better
323 performance at 2 than at 10 Hz, for both YNH and ONH subjects (see the open diamonds in
324 Figs. 1 and 2), although some ONH subjects showed little or no difference. For example,
325 when the AM and the FM both had a just-detectable depth ($d' = 1$), the mean d' values for
326 AM-FM discrimination for the YNH group were 0.91 at 2 Hz and 0.3 at 10 Hz. When the AM
327 and the FM were more highly detectable ($d' = 2$), the mean d' values for AM-FM
328 discrimination were 1.53 at 2 Hz and 0.43 at 10 Hz. The lack of effect of modulation rate on
329 AM phase discrimination found here for AM rates up to 10 Hz together with the large effect

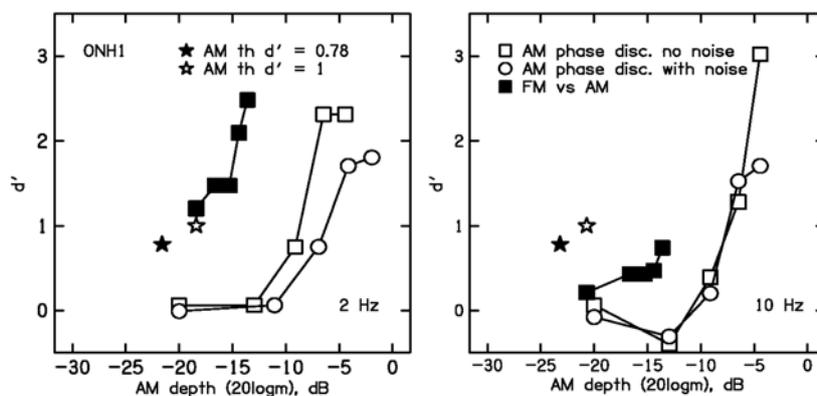
330 of modulation rate for AM-FM discrimination found earlier, suggests that the AM-phase
331 hypothesis cannot account for the better AM-FM discrimination at 2 than at 10 Hz. AM phase
332 discrimination did worsen slightly but significantly for the 20-Hz AM rate. This may reflect a
333 transition between AM rates that are low enough for the individual cycles of the modulation
334 to be “followed” and rates that are high enough that only an overall “roughness” is perceived
335 (Terhardt, 1974).

336 For the two lowest AM depths, AM phase discrimination in the presence of noise was
337 very poor, with d' less than 0.3. This happened despite the fact that the second-lowest AM
338 depth was typically about 7 dB above the AM detection threshold corresponding to $d' = 0.78$
339 (filled stars in Figs. 1 and 2) and about 5 dB above the AM detection threshold corresponding
340 to $d' = 1$ (open stars in Figs. 1 and 2). This finding contrasts with results for AM-FM
341 discrimination using a modulation rate of 2 Hz (Moore *et al.*, 2018; 2019), which showed that
342 AM-FM discrimination was well above chance when the AM alone and FM alone were
343 themselves only just detectable ($d' = 1$; see the diamonds in the top-left panels of Figs. 1 and
344 2). In other words AM can be discriminated from FM at a 2-Hz rate for modulation depths
345 where AM-phase discrimination is close to chance. This again suggests that the AM-phase
346 hypothesis cannot account for AM-FM discrimination at a 2-Hz rate.

347 There was no significant effect of age group on d' values for the three highest AM
348 depths for the condition when noise was present. Furthermore, there was no significant
349 interaction between age group and AM rate. This indicates that the AM phase hypothesis
350 cannot account for the effect of age on AM-FM discrimination reported previously (Moore *et*
351 *al.*, 2018; 2019). These studies showed that some but not all ONH subjects showed worse
352 AM-FM discrimination than YNH subjects for the 2-Hz rate but not for the 10-Hz rate.

353 Some of the ONH subjects had participated in our previous experiment measuring
354 AM-FM discrimination (Moore *et al.*, 2018; 2019). This allowed a direct comparison of
355 performance for AM-FM discrimination and AM phase discrimination. Example results for
356 subject ONH1 are shown in Fig. 3. The open squares and circles show d' values for AM phase
357 discrimination without and with a narrowband noise centered at 1000 Hz, respectively, as a
358 function of AM depth. The filled squares show d' values for AM-FM discrimination, plotted

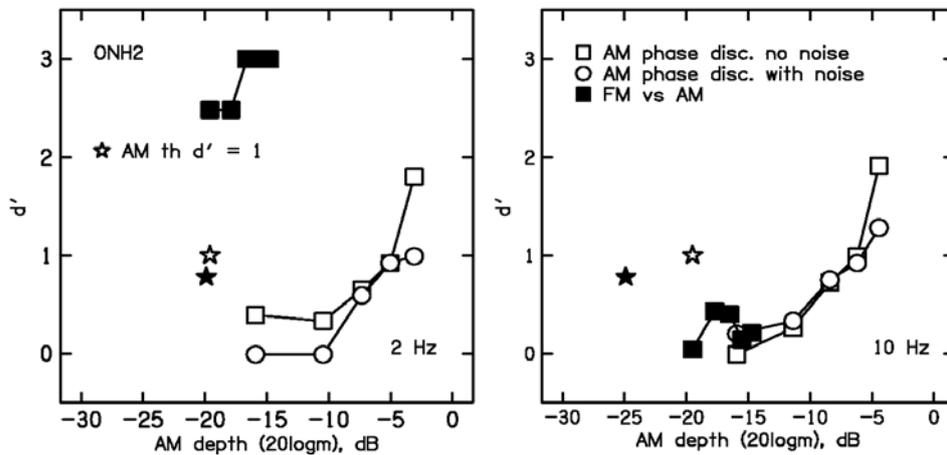
359 as a function of AM depth; the FM in this case was equated in detectability to the AM for
 360 each AM depth. The d' values for the AM and FM were 1, 1.5, 2, 2.5 and 3. For AM-FM
 361 discrimination at the 2-Hz rate, d' values were above 1 for all modulation depths used,
 362 reaching about 2.5 for the highest modulation depth, which was about -14 dB. In contrast,
 363 AM phase discrimination was at chance for the two lowest AM depths, which were -13 dB
 364 with noise and -11 dB without noise. AM-FM discrimination at the 10-Hz rate was markedly
 365 worse than for the 2-Hz rate, d' for the former reaching 0.78 for the highest modulation depth.
 366 AM-FM discrimination at 10 Hz was still better than AM phase discrimination at similar
 367 modulation depths, but not by very much.



368 FIG. 3. Comparison of results for AM phase discrimination (open squares: without noise;
 369 open circles: with noise) and AM-FM discrimination (filled squares) for subject ONH1, for
 370 modulation rates of 2 Hz (left) and 10 Hz (right). Otherwise as Fig. 1.

371

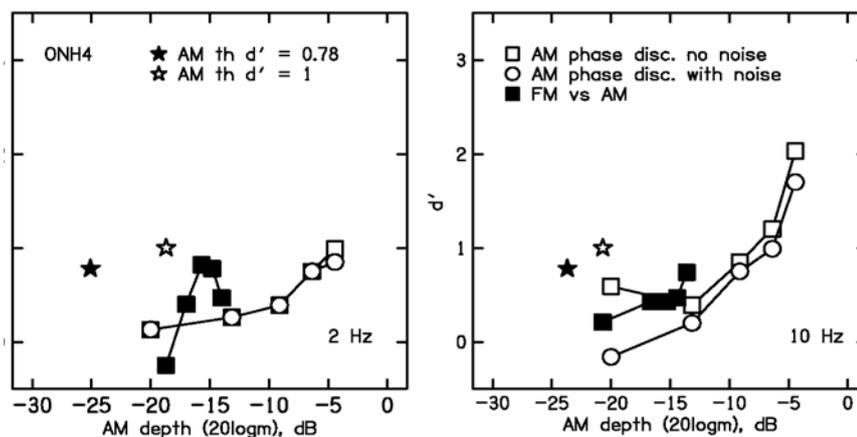
372 Figure 4 shows results for ONH2. For the 2-Hz rate, d' values for AM-FM
 373 discrimination were 2.5 or higher for all modulation depths used, reaching 3 for the three
 374 highest modulation depths, which were -16.6 , -15.6 , and -14.8 dB. In contrast, AM phase
 375 discrimination was at chance for modulation depths up to -10.5 dB. AM-FM discrimination at
 376 the 10-Hz rate was again markedly worse than for the 2-Hz rate, d' not exceeding 0.43 for any
 377 modulation depth. For this subject, AM-FM discrimination at 10 Hz was similar to AM phase
 378 discrimination at similar modulation depths; both were close to chance.



379 FIG. 4. As Fig. 3, but for subject ONH2.

380

381 Subjects ONH1 and ONH2 both showed markedly better AM-FM discrimination for
 382 the 2-Hz rate than for the 10-Hz rate, as was found for all YNH subjects. As described in the
 383 introduction, some ONH subjects did not show a clear difference in AM-FM discrimination
 384 for the two rates. An example of the results for such a subject, ONH4, is shown in Fig. 5.
 385 ONH4 showed relatively poor AM-FM discrimination for both rates and for all AM depths
 386 used, the maximum d' value being 0.80 at 2 Hz and 0.74 at 10 Hz. For this subject, AM-FM
 387 discrimination was only slightly better than AM phase discrimination, for both modulation
 388 rates.



389 FIG. 5. As Fig. 3, but for subject ONH4.

390

391 Overall, these results suggest that the better AM-FM discrimination at 2 than at 10 Hz,
392 which occurs consistently for YNH subjects and often for ONH subjects, cannot be explained
393 in terms of better sensitivity to AM phase at 2 than at 10 Hz. In other words, the AM-phase
394 hypothesis does not account for the pattern of results obtained for AM-FM discrimination.
395 Rather, the results are consistent with the proposal that the superior AM-FM discrimination at
396 2 Hz is a consequence of a better ability to use TFS information at that rate, resulting from
397 “sluggishness” of the mechanism that decodes TFS information (Sek and Moore, 1995;
398 Moore and Sek, 1995; Moore and Sek, 1996). ONH subjects who do not show better AM-FM
399 discrimination at 2 than at 10 Hz, such as ONH4, probably have reduced sensitivity to TFS
400 information. For such subjects, AM-FM discrimination is only slightly better than AM phase
401 discrimination.

402 If AM-FM discrimination at a 10-Hz rate did not depend at all on the use of TFS
403 information, but rather depended exclusively on AM phase discrimination, performance
404 would be expected to be similar for AM-FM discrimination and AM phase discrimination. In
405 fact, for most of the ONH subjects who were tested both in our earlier experiments (Moore *et*
406 *al.*, 2018; 2019) and here, AM-FM discrimination was slightly better than AM phase
407 discrimination at the 10-Hz rate. There are at least two possible explanations for this. Firstly,
408 there may be some ability to use TFS information even for the 10-Hz rate. Recall that the
409 stimuli used in our earlier studies and here had quasi-trapezoidal modulation; the stimuli spent
410 more time at the extremes of frequency or amplitude than is the case for sinusoidal
411 modulation. This may have promoted the use of TFS information to discriminate AM from
412 FM, even for the 10-Hz rate. Secondly, AM-FM discrimination worsens with increasing
413 frequency separation of the two carriers (Green *et al.*, 1990). In the present AM phase
414 discrimination experiment the carrier frequencies were 762 Hz and 1296 Hz, corresponding to
415 2 Cams below and 2 Cams above 1000 Hz, respectively, on the ERB_N-number scale. In a task
416 of discriminating AM from FM imposed on a 1000-Hz carrier, subjects might compare the
417 phase of excitation level fluctuations for more closely spaced center frequencies than the ones
418 used here. As noted earlier, very closely spaced carriers were avoided here so as to limit the

419 use of within-channel cues, and to allow the use of a narrowband noise masker between the
420 two carriers without the masker strongly influencing the processing of the two carriers.

421 The correlational analysis conducted on the data for the ONH group suggested that
422 individual variability in AM-FM discrimination was more related to the absolute threshold at
423 1000 Hz than to age. However, greater hearing loss was associated with *better* AM phase
424 discrimination. This probably occurred because cochlear hearing loss is usually associated
425 with loudness recruitment, which, for AM stimuli, has the effect of magnifying the perceived
426 amount of modulation (Moore *et al.*, 1996), and which may be associated with better AM
427 detection for stimuli at low SLs (Bacon and Gleitman, 1992; Ernst and Moore, 2012;
428 Schlittenlacher and Moore, 2016). Since AM phase discrimination improved with increasing
429 AM depth, it seems plausible that the loudness recruitment associated with hearing loss had a
430 beneficial effect on AM phase discrimination. In contrast to AM-phase discrimination,
431 hearing loss adversely affects AM-FM discrimination for a 2-Hz modulation rate (Moore *et*
432 *al.*, 2019), again suggesting that AM-FM discrimination for a 2-Hz modulation rate is not
433 based on AM phase discrimination.

434

435 **V. SUMMARY AND CONCLUSIONS**

436 Using quasi-trapezoidal modulation, it has previously been shown that, for YNH
437 subjects, discrimination of AM from FM, when the AM and FM are equally detectable, is
438 better for a 2-Hz modulation rate than for a 10-Hz modulation rate (Moore *et al.*, 2018; 2019).
439 AM-FM discrimination for a 2-Hz rate is poorer than for YNH subjects for some but not all
440 ONH subjects, and for most subjects with hearing loss. The experiments described here were
441 intended to assess whether this pattern of results could be accounted for by the AM-phase
442 hypothesis, that AM-FM discrimination depends on a comparison of the phase of excitation
443 pattern fluctuations on the two sides of the excitation pattern. The ability to discriminate the
444 phase of AM applied to two sinusoidal carriers was assessed. A band of noise was centered
445 between the two carriers to prevent use of within-channel cues. Both YNH and ONH subjects
446 were tested.

447 Several aspects of the results suggest that the AM-phase hypothesis cannot account for
448 the pattern of results for AM-FM discrimination:

449 (1) AM phase discrimination was not significantly better for $f_m = 2$ Hz than for $f_m = 10$ Hz.

450 The effects of AM rate on AM phase discrimination were much smaller than the effects of
451 modulation rate on AM-FM discrimination.

452 (2) Previous work showed that AM-FM discrimination for a 2-Hz modulation rate was well
453 above chance for AM depths at which the modulation was only just detectable, for both YNH
454 and ONH groups (Moore *et al.*, 2018; 2019). In contrast, AM phase discrimination for both
455 groups tested here was close to chance for AM depths that were close to or somewhat above
456 the AM detection threshold.

457 (3) There was no significant effect of age group on AM phase discrimination and no
458 significant interaction of age group and AM rate. In contrast, AM-FM discrimination is worse
459 for some but not all ONH subjects than for YNH subjects for a 2-Hz rate but not a 10-Hz rate.

460 (4) Within the ONH group, there was a significant correlation between absolute threshold and
461 an overall measure of AM phase discrimination, even though all absolute thresholds were
462 normal or near-normal. AM phase discrimination improved with increasing absolute
463 threshold, probably because loudness recruitment had the effect of magnifying the internal
464 representation of AM depth. In contrast, previous work showed that AM-FM discrimination
465 for a 2-Hz rate was worse for older subjects with hearing loss than for YNH or ONH subjects
466 (Moore *et al.*, 2019).

467 Given that the AM-phase hypothesis cannot account for the pattern of results obtained
468 for AM-FM discrimination, it seems likely that the better AM-FM discrimination of YNH
469 subjects for a 2-Hz rate than for a 10-Hz rate results from a greater sensitivity to TFS for a 2-
470 Hz rate; the fluctuations in IF for the FM stimulus, which are coded in the patterns of TFS in
471 the auditory nerve, allow FM to be distinguished from AM even when the FM and AM are
472 only just detectable. Sensitivity to TFS decreases with increasing age for some but not all
473 subjects, and decreases with hearing loss for most subjects, and this can account for the
474 effects of age and hearing loss on FM-AM discrimination at a 2-Hz rate.

475

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479
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