

# Monitoring metabolism and injury in acute human traumatic brain injury with magnetic resonance spectroscopy: current and future applications

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Author's contributions

MGS, JLY, KLHC designed the review

MGS, JLY, KLHC, AS & MOM drafted the manuscript

All authors reviewed, edited and approved the manuscript

### *Keywords*

1H MRS, 31P MRS, 13C MRS, TBI, Energy Metabolism, Trauma, biomarker, Traumatic Brain Injury

### *Abstract*

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Traumatic brain injury triggers a series of complex pathophysiological processes. These include abnormalities in brain energy metabolism; consequent to reduced tissue pO<sub>2</sub> arising from ischaemia or abnormal tissue oxygen diffusion, or due to a failure of mitochondrial function. In-vivo magnetic resonance spectroscopy (MRS) allows non-invasive interrogation of brain tissue metabolism in patients with acute brain injury. Nuclei with 'spin' e.g. <sup>1</sup>H, <sup>31</sup>P and <sup>13</sup>C, are detectable using MRS and are found in metabolites at various stages of energy metabolism, possessing unique signatures due to their chemical shift or spin-spin interactions (J-coupling).

The most commonly used clinical MRS technique, <sup>1</sup>H MRS, uses the great abundance of hydrogen atoms within molecules in brain tissue. Spectra acquired with longer echo-times include N-acetylaspartate, creatine and choline. N-acetylaspartate, a marker of neuronal mitochondrial activity related to ATP, is reported to be lower in patients with TBI than healthy controls, and the ratio of N-acetylaspartate/creatine at early time points may correlate with clinical outcome. <sup>1</sup>H MRS acquired with shorter echo-times produces a more complex spectrum, allowing detection of a wider range of metabolites.

<sup>31</sup>P MRS detects high energy phosphate species, which are the end-products of cellular respiration: adenosine triphosphate (ATP) and phosphocreatine. ATP is the principal form of chemical energy in living organisms, and phosphocreatine (PCr) is regarded as a readily-mobilised reserve for its replenishment during periods of high utilisation. The ratios of high energy phosphates are thought to represent a balance between energy generation, reserve and use in the brain. Additionally, the chemical shift difference between Pi and PCr enables calculation of intracellular pH.

<sup>13</sup>C MRS detects the <sup>13</sup>C-isotope of carbon in brain metabolites. As the natural abundance of <sup>13</sup>C is low (1.1%), <sup>13</sup>C MRS is typically performed following administration of <sup>13</sup>C-enriched substrates which permits tracking of the metabolic fate of the infused <sup>13</sup>C in the brain over time, and calculation of metabolic rates in a range of biochemical pathways, including glycolysis, the tricarboxylic acid (TCA) cycle, and glutamate-glutamine cycling. The advent of new hyperpolarization techniques to transiently boost signal in <sup>13</sup>C-enriched MRS in-vivo studies shows promise in this field and further developments are expected.

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1 **Assessing** metabolism and injury in acute human traumatic brain  
2 **injury with magnetic resonance spectroscopy: current and future**  
3 **applications**  
4

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32 biomarker

33

In review

34 **Abstract**

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37 from ischaemia or abnormal tissue oxygen diffusion, or due to a failure of mitochondrial  
38 function. *In-vivo* magnetic resonance spectroscopy (MRS) allows non-invasive interrogation  
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40 and <sup>13</sup>C, are detectable using MRS and are found in metabolites at various stages of energy  
41 metabolism, possessing unique signatures due to their chemical shift or spin-spin interactions  
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64 glycolysis, the tricarboxylic acid (TCA) cycle, and glutamate-glutamine cycling. The advent  
65 of new hyperpolarization techniques to transiently boost signal in <sup>13</sup>C-enriched MRS *in-vivo*  
66 studies shows promise in this field and further developments are expected.

67

## 68 Introduction

### 69 Metabolic dysfunction in TBI

70 Traumatic brain injury (TBI) is the commonest cause of death and disability in young adults  
71 in the developed world and is a significant demand on resources[1]. If a person survives the  
72 initial traumatic insult a series of pathophysiological processes occur causing further damage  
73 to the brain that results in greater disability and even death. These include raised intracranial  
74 pressure (ICP), cerebral hypoperfusion, generalised hypoxia, hypoglycaemia,  
75 neuroinflammation and metabolic dysfunction. Metabolic dysfunction describes the brain  
76 relying on glycolysis (despite the presence of oxygen) as a rapid but inefficient means of  
77 synthesising ATP – so generating much less ATP per mole of glucose consumed than if the  
78 pyruvate produced by glycolysis feeds into mitochondrial metabolism. It is often ascribed to a  
79 failure of mitochondrial function[2], [3]. Due to advances in neurointensive care and  
80 multimodality monitoring gross hypoxia and hypoperfusion are generally avoided in patients,  
81 and raised intracranial pressure is identified and managed. The monitoring, interpretation and  
82 treatment of brain metabolic dysfunction and neuroinflammation are more challenging.

83 ‘Normal’ energy metabolism of the human brain consists of a complex interaction of  
84 multistep processes with trafficking of metabolites between different cells types. In each  
85 section of our review ( $^1\text{H}$ ,  $^{31}\text{P}$ ,  $^{13}\text{C}$ ) we describe the pathways relevant to the technique, and  
86 for review see [4], [5]. It should be noted that normal human brain metabolism remains a  
87 subject of research and is still not fully understood, but glucose is invariably considered the  
88 principal metabolic fuel for the brain. A simplified schematic of major energy pathways in  
89 the brain is shown in Fig 1. After uptake into the brain, most of the glucose is metabolised via  
90 glycolysis into two molecules of pyruvate, with a net production of two molecules of ATP  
91 and two molecules of NADH in the process. A smaller proportion of glucose is metabolised  
92 via the pentose phosphate pathway (PPP). The PPP is a complex detour starting from  
93 glucose-6-phosphate (hence its alternative name “hexose monophosphate shunt”) bypassing  
94 some of the steps of glycolysis in the metabolism of glucose. The PPP does not require  
95 molecular oxygen, and it does not consume or produce ATP. During the PPP, the first carbon  
96 of glucose is lost as  $\text{CO}_2$ ,  $\text{NADP}^+$  is reduced to NADPH, and various intermediates are  
97 produced, including ribose-5-phosphate used in the synthesis of nucleotides and nucleic  
98 acids. NADPH participates in reductive reactions such as synthesis of fatty acids and the  
99 reduced form of glutathione, a cofactor for glutathione peroxidase. Thus, the PPP has been  
100 suggested to play a protective role after TBI, promoting synthesis of molecules for tissue  
101 repair and combatting oxidative stress. The PPP therefore sacrifices some of the carbon of  
102 glucose for the sake of tissue repair. The PPP ultimately re-joins the glycolysis mainstream,  
103 and pyruvate may then be incorporated into the TCA cycle in cell mitochondria after  
104 conversion to acetyl CoA, where it is metabolised through eight steps, generating three  
105 molecules of NADH, one  $\text{FADH}_2$  and a molecule of GTP.  $\text{FADH}_2$  and NADH drive the  
106 electron transport chain at the mitochondrial membrane, producing ATP from ADP by  
107 oxidative phosphorylation in the presence of oxygen. ATP is the fundamental molecule of  
108 chemical energy in humans and is used to drive cellular reactions and machinery, being  
109 converted back to ADP and  $\text{P}_i$  in the process. As an alternative to mitochondrial metabolism,

110 pyruvate may stay in the cytosol and be converted to lactate (by the action of lactate  
111 dehydrogenase), recycling the NADH produced in glycolysis back to  $\text{NAD}^+$ , so allowing  
112 glycolysis to continue. The conversion (chemically, an oxidation) of NADH to  $\text{NAD}^+$  in the  
113 cytosol can also be accomplished by the action of the electron transport chains of  
114 mitochondria, if operational. As NADH cannot itself cross the mitochondrial membrane, the  
115 requisite hydrogens and electrons are transferred indirectly by “shuttle” mechanisms. For  
116 more information on the above biochemical pathways in the context of brain [6]–[8].

117 Studies using a range of techniques have shown that the human brain will take up and directly  
118 metabolise alternative fuels such as lactate, acetate, beta-hydroxybutyrate and ketone bodies  
119 [4], [5], [9]. Shuttling of fuels is also thought to occur between different cell types: the  
120 astrocyte-neuron-lactate shuttle hypothesis suggests that astrocytes take up glucose from the  
121 blood supply, convert it to lactate, then feed that to their surrounding neurons for conversion  
122 back to pyruvate and then metabolism by the TCA cycle [10]. A further neuronal-astrocyte  
123 coupling is the glutamate-glutamine cycle, whereby TCA cycle intermediate  $\alpha$ -ketoglutarate  
124 is converted to glutamate for neurotransmission. After glutamate is released it is taken up by  
125 local astrocytes, converted to glutamine, and then fed back to the neurons for conversion back  
126 to glutamate and thence to alpha-ketoglutarate, which can re-enter (termed anaplerosis) into  
127 the TCA cycle, or else glutamate can be released for further neurotransmission [5].

128 Disruption and changes to human brain metabolism following acute severe traumatic brain  
129 injury depend on injury severity and how long after the injury occurred. In the acute phase a  
130 depression of the metabolic rate of glucose and a fall in oxygen consumption is generally  
131 reported [11]. Brain extracellular lactate may rise following TBI [3], [6], but because lactate  
132 is a recognised brain fuel, changes to its absolute concentration are difficult to interpret. More  
133 useful is the ratio of lactate/pyruvate as the exchange of these species are at fast equilibrium,  
134 directly proportional to the ratio of  $\text{NADH}/\text{NAD}^+$  (redox state of the cell) which correlates  
135 with outcome following TBI [3], [12].

136 The metabolic state of the brain and markers of degree of injury can be interrogated with  
137 magnetic resonance spectroscopy (MRS), microdialysis, positron emission tomography  
138 (PET) and arterio-venous (AV) difference measurements of metabolites. The limitations of  
139 microdialysis are its invasive nature involving insertion of intracerebral catheters, its  
140 sampling is confined to the extracellular compartment and its highly focal nature means that  
141 generalisation to the rest of the brain is uncertain. PET is relatively less invasive and reflects  
142 the intracellular and extracellular compartments of the brain, but involves the exposure of  
143 patients to intravenously injected radioactive (short half-life) ligands, and is usually  
144 combined with CT or MRI to enable optimal localisation of the PET signal. AV difference  
145 studies are invasive and have become less convenient as jugular bulb venous catheters are  
146 nowadays not routinely used in the management of patients with acute TBI[2].

147 Prognosticating in severe TBI can also be difficult. Patient age, neurological status at  
148 presentation and cardiovascular stability are known to correlate statistically with outcome at  
149 six months[1] but are unable to reliably predict outcome in every individual case. Other  
150 biomarkers for prognostication include ICP and the marker of metabolic dysfunction, L/P

151 ratio, which is measured by microdialysis[2]. Further prognostic markers that can strengthen  
152 existing predictive models of outcome will allow more informed decisions from relatives and  
153 clinicians for ceilings of treatment and standardisation of injury severity in research studies  
154 and clinical audit[1], [2].

155 In-vivo MRS allows interrogation of key aspects of brain metabolism and has prognostic  
156 value. It is non-invasive, does not involve ionizing radiation and measures metabolites from  
157 whole brain tissue; both the extracellular compartment and also the intracellular compartment  
158 (which contributes 80% of total brain volume[13], [14]) of the region selected. Currently its  
159 use is limited to research but this review will discuss the changes in brain metabolites and  
160 biomarkers measured by in-vivo MRS following acute severe TBI, its potential for clinical  
161 monitoring to guide treatment, and its value as an additional prognostic tool. A limitation of  
162 scan-based technologies such as MRS (also MRI, CT and PET) is that they give “snapshots”  
163 done usually just once or twice during each patient’s neurocritical care, and the question  
164 arises of optimally integrating scan-based data with continuous bedside monitoring  
165 modalities [2]. A detailed description of magnetic resonance physics is outside the scope of  
166 this review and can be found in the literature[15]–[17]. However, we cover a simplified  
167 explanation of the relevant basic science of MRS and practical considerations of scanning  
168 patients with acute severe TBI.

169

## 170 **Magnetic resonance spectroscopy**

171 Certain nuclei possess a property termed “spin” that enables detection by magnetic resonance  
172 (MR). Examples include  $^1\text{H}$ ,  $^{31}\text{P}$  and  $^{13}\text{C}$  (which all possess spin of  $\frac{1}{2}$ ). Nuclei with zero spin,  
173 e.g.  $^{12}\text{C}$ , cannot be detected by MR. For illustration, nuclei with spin can be considered as  
174 tiny, atomic, bar magnets. MR detection relies on the principle that when a population of  
175 magnetic nuclei is placed in an external magnetic field, the nuclei become aligned in a  
176 predictable number of orientations. For  $^1\text{H}$  (likewise  $^{13}\text{C}$  or  $^{31}\text{P}$ ) there are two orientations:  
177 with or against the external magnetic field. Since the with-field orientation is preferred as  
178 lower energy, slightly more of the population of nuclei are aligned with the field than against  
179 the field. Some spins align against the field, as the nuclei are very weak magnets and the  
180 energy difference between the two orientations – with and against the external field - is not  
181 large, even in a strong external magnetic field. There is enough thermal energy at  
182 physiological temperature for nuclei to exchange between the two orientations, though with a  
183 slight excess on average in the lower energy (aligned with field) state. MR spectroscopy  
184 measurement applies energy as radio-frequency (RF) electromagnetic radiation to excite the  
185 small excess of with-field oriented nuclei into the against-field higher energy state. When the  
186 RF is removed, the energized nuclei relax back to the lower-energy with-field state, and in  
187 doing so the relaxing nuclei create their own fluctuating magnetic field. This induces a  
188 current in the receiver coil that is around the “sample” (e.g. brain). This current constitutes a  
189 signal that is electronically converted into a peak in the spectrum.

190 For the signal from a nucleus to be detected by in-vivo MRS the molecule in which it is  
191 present must be sufficiently mobile and free to tumble. In the case of nuclei that are bound up  
192 in large macromolecules or closely confined by cellular membranes, the spins of the nuclei  
193 relax (by spin-spin interaction with other nuclei) too quickly for detection and  
194 characterization by in-vivo MRS.

195 The radio-frequency needed to excite the nucleus depends on what isotope it is (e.g.  $^1\text{H}$ ,  $^{31}\text{P}$   
196 or  $^{13}\text{C}$ ), its chemical environment and the strength of the external magnetic field, i.e. the  
197 scanner magnet [9]. The radio-frequency needed to excite the nucleus is directly proportional  
198 to both the strength of the external magnetic field and the gyromagnetic ratio (see Table 1) of  
199 the isotope. The effect of chemical environment is relatively much smaller, but readily  
200 measurable. It is due to greater or lesser shielding of the nucleus from the main (external)  
201 magnetic field by the electrons surrounding the nucleus. This electron shielding results in  
202 small changes of the frequency of the MR signal detected and is called the chemical shift,  
203 usually expressed as parts per million (ppm; Hz per MHz). It is the same at all field strengths  
204 and is the basis for metabolite identification using MRS. In principle, a peak will be observed  
205 for every magnetically distinct nucleus in a molecule because nuclei that are not in identical  
206 structural situations do not experience the same shielding, and therefore experience slight  
207 differences in external magnetic field.

208 MRS spectra are typically plotted with chemical shift along the x-axis with increasing  
209 (positive) chemical shift values reading from right to left (Fig 2 & 3). The y-axis represents  
210 signal intensity. The size (height, area) and shape of a peak is dependent on the concentration  
211 of metabolite(s) that it represents, relaxation time (T1/T2) effects, and splitting by spin-spin  
212 coupling. The latter, termed J-coupling, which occurs most strongly between magnetic nuclei  
213 that are adjacent to each other causes splitting of their spectral peaks (some splitting by more  
214 distant nuclei can also occur). J-coupling can reveal further information about the structure of  
215 a nucleus's molecular environment, but in practice resolution is rarely sufficient with in-vivo  
216 MRS to fully separate a multiplet and so the effect of peak splitting usually just broadens the  
217 signal and reduces peak height relative to baseline noise. Spectra can be simplified by  $^1\text{H}$   
218 decoupling which may be necessary in some applications (see later section on  $^{13}\text{C}$  MRS), but  
219 is of limited value in others ( $^{31}\text{P}$  MRS).

220 As signal frequency differences are used for chemical shift metabolite identification and not  
221 for spatial encoding, alternative methods of localisation must be used to exclude erroneous  
222 signal from non-neural tissue and acquire spectra from chosen regions of interest: a single  
223 voxel of brain can be selected using dedicated pulse sequences and gradient magnetic fields  
224 such as point resolved spectroscopy (PRESS), or multi-voxel chemical shift imaging (CSI)  
225 that uses phase encoding to sample spectra from multiple voxels at the same time (Figs 2 &  
226 3) [18], [19]. Outer volume suppression can also be used to suppress signal from scalp and  
227 bone[20], and where on a patient's head a surface coil is placed will affect the region of the  
228 brain that it samples. Surface coils (Fig 4A) are more sensitive than volume coils (Fig 4B)  
229 that envelope the head, but suffer from a less homogenous delivery of RF pulse to the brain.  
230 Due to the different frequencies of  $^1\text{H}$ ,  $^{31}\text{P}$  and  $^{13}\text{C}$ , they each require dedicated RF coils that  
231 are tuned to their respective frequencies (see Table 1).  $^{31}\text{P}$  and  $^{13}\text{C}$  coils will typically contain

232 an additional  $^1\text{H}$  channel within their housing however for simple brain imaging to localise  
233 the spectra, and for decoupling.

234 MR scanners are generally classified by their magnetic field strength. Most clinical scanners  
235 are either 1.5 T or 3 T which are sufficient for standard MRS studies but higher fields such as  
236 7 T or 9.4 T exist. Higher field strength generally results in better spectral resolution and  
237 signal-to-noise ratio, but comes with the trade-off of greater magnetic field inhomogeneity  
238 and RF power deposition into the body resulting in greater tissue heating[21], [22].

239 *In-vivo* MRS studies often express metabolite concentrations as ratios of one another.  
240 Whereas the peak area of an MRS spectrum is proportional to the number of excited nuclei  
241 within the measurement volume, it is also affected by a variety of other variables: the timing  
242 of pulse sequences and their interaction with relaxation times, magnetic field inhomogeneity,  
243 and particularly RF coil loading which will vary between subjects and with coil position[23].  
244 To compensate for all these effects is technically very challenging, even with external  
245 phantoms, as the latter may not accurately mimic tissue properties, and some biochemicals  
246 may be unstable. Expressing metabolites as ratios removes the need for units and calibration.  
247 although ratios can be more difficult to interpret than absolute concentrations. Application of  
248 an artificial reference pseudo-signal is an approach that shows promise for absolute  
249 quantification of concentrations in MRS[23].

250 Quantification of MRS signals, whether absolute or ratios, requires fitting of the spectral  
251 peaks. Simple integration to measure peak areas is not adequate in MRS as there is overlap  
252 between signals and the spectra are further complicated by noise. Therefore, MRS data are  
253 fitted using specialised algorithms that are available as various software packages, e.g.  
254 LCModel [24], jMRUI[25], [26] and, Syngo on Siemens scanners (Siemens Healthcare  
255 GmbH, Erlangen, Germany).

256

## 257 $^1\text{H}$ MRS

### 258 **Hardware and sensitivity**

259 As MR imaging of the brain employs the detection of the  $^1\text{H}$  nucleus in water standard  
260 clinical head coils can perform  $^1\text{H}$  MRS. With its relatively high sensitivity, this has resulted  
261 in  $^1\text{H}$  MRS being the most studied spectroscopy technique in the investigation and  
262 monitoring of TBI.

263  $^1\text{H}$  can be found in most organic molecules, but for a metabolite to be detected by *in-vivo*  $^1\text{H}$   
264 MRS it must be present at millimole per litre (mmol / L) concentrations and be freely mobile:  
265 not bound to or closely confined by membranes or macromolecules. If it is, the signal from its  
266  $^1\text{H}$  signal decays away too quickly and is either not detected or lost in the baseline [27]. As  
267 the concentration of water in the brain is  $\approx 50,000$  mmol / L  $^1\text{H}$  MRS requires water  
268 suppression to stop the huge water peak dominating the spectrum, masking the other  
269 metabolites of interest [28].

270 <sup>1</sup>H MRS is typically performed with a long echo time (TE) of around 120-150 ms[29] which  
271 reveals a simplified spectrum of N-acetylaspartate (NAA), choline, creatine and lactate[30].  
272 Using a very short echo time of around 20-35 ms [28], [31], [32] allows detection of species  
273 whose magnetisation, and therefore signal, decays more rapidly: glutamate, glutamine, myo-  
274 Inositol and lipids. However, the gain in information comes with increased spectral  
275 complexity.

## 276 **Creatine**

277 The creatine singlet peak at 3.0 ppm in the <sup>1</sup>H spectrum represents both creatine and its  
278 phosphorylated form phosphocreatine. These are found in high concentrations in  
279 metabolically active tissues that require energy in bursts such as brain, muscle and heart.  
280 Phosphocreatine (PCr) may rapidly donate its phosphate group to adenosine diphosphate  
281 (ADP), rapidly regenerating adenosine triphosphate (ATP) by becoming creatine. In health,  
282 creatine is thought to vary less than other <sup>1</sup>H MRS metabolites throughout the brain so it is  
283 the most commonly used denominator when expressing <sup>1</sup>H metabolite ratios[33].  
284 Phosphocreatine can also be detected by <sup>31</sup>P MRS (see later section on <sup>31</sup>P MRS).

## 285 *Effect of TBI*

286 Despite creatine being often regarded as a stable brain metabolite, enzyme extraction studies  
287 of rat traumatic brain injury have shown significant decline (up to 45 %) in brain creatine  
288 hyper-acutely following TBI[34]. Conversely, in a human study of mild TBI, creatine was  
289 found to be elevated in the splenium of the corpus callosum and white matter of the cingulate  
290 gyrus than in healthy controls, thought to be due to higher energy demand after TBI.[35].  
291 Many studies do not report a change in creatine after TBI hence creatine is often used as an  
292 internal reference for measurement of other metabolites, but these examples demonstrate that  
293 the possibility of changes in creatine concentration cannot be ruled out when relying on it as a  
294 reference ratio.

295

## 296 **N-acetylaspartate**

297 The NAA peak at 2.0 ppm is a singlet that represents NAA and its product N-  
298 acetylaspartylglutamate (NAAG), whose small peak is not resolved from the main NAA peak.  
299 NAA is formed from aspartate and acetyl-CoA by L-aspartate N-acetyltransferase which are  
300 associated with endoplasmic reticulum, or by splitting of N-acetyl-aspartyl-glutamate by N-  
301 acetylated-a-linked-amino dipeptidase [27], [29]. Its specific role is not fully understood but  
302 it is closely associated with mitochondria and ATP[36]. NAA is found predominantly in  
303 neurons, and is thought to be a marker of neuron viability where it is transported down their  
304 axons, released, and taken up by oligodendrocytes where it is broken down into acetate and  
305 aspartate[35], [37]. The role of NAA in myelin lipid synthesis, particularly in early  
306 development, is well established. The acetic acid from NAA becomes incorporated into CNS  
307 myelin[38]. Under metabolic stress, a shortage of acetyl-CoA could result in reduced NAA  
308 synthesis and increased hydrolysis of NAA to provide acetate for myelin repair [39], [40].

309 Among other functions ascribed to NAA is the idea that it is involved in osmoregulation[41].  
310 Normally, NAA/Cho ratios are higher in grey matter than white matter[29] and can be low  
311 due to any cause of neuronal loss. NAA concentrations can be up to 7.5 - 17 mmol / L in  
312 brain; equal to that of the main excitatory neurotransmitter glutamate[15], [35].

### 313 *Early changes after TBI*

314 Studies of hyper-acute changes to brain metabolism following TBI are generally limited to  
315 experimental animal models due to the time delay transferring patients to hospital and  
316 stabilising them before MRS can be performed. Animal studies showed that a rapid fall of  
317 NAA within the first hours following TBI proportionally to the severity of the insult, and can  
318 reach its lowest level at 48 hours after injury[42]–[44]. This initial rapid decline in NAA  
319 following TBI likely represents a disruption in neuronal NAA production through general  
320 micro-architectural disruption and mitochondrial dysfunction[35], [43]. Human studies of  
321 patients with acute severe TBI performed within 24 hours also show a reduction in NAA,  
322 NAA/creatine and NAA/choline compared to healthy controls[45]–[49]. Another study of 10  
323 patients with moderate-severe TBI studied slightly later, after 48 - 72 hours after injury also  
324 found a reduction in NAA in <sup>1</sup>H MRS compared to healthy volunteers, and the reduction was  
325 correlated with injury severity (GCS at presentation)[50].

326 <sup>1</sup>H MRS performed in the sub-acute period around one week following acute severe TBI  
327 typically demonstrate persisting lower NAA/creatine ratios than healthy controls [29], [51],  
328 [52] which continued to fall in one study [29]. Interrogation of peri-lesional brain typically  
329 showed even greater NAA decline through the subacute period, beyond ten days[29].

### 330 *Later changes after TBI*

331 If the primary injury is not too severe or compounded by further metabolic stress such as  
332 hypoxia or hypoperfusion, mitochondrial function and NAA may recover over the preceding  
333 days and months[42] with preservation of the neuron population. If the injury is more severe,  
334 there is likely irreversible physical and metabolic damage to the neurons which leads to a  
335 significant decline in neuronal population and therefore no recovery of NAA on MRS studies.

336 Studies of delayed <sup>1</sup>H MRS performed in the chronic, recovery phase after acute TBI either  
337 show recovery of NAA back to the levels seen in healthy controls in patients who make a  
338 good recovery or a persisting depression of NAA measured by <sup>1</sup>H MRS in patients with poor  
339 long term neurological outcome[29], [53]. An exception to this is regions of brain  
340 surrounding significant traumatic lesions which tend not to recover despite patients having a  
341 good recovery [29], and a study by Garnett who found persisting NAA depression in all  
342 patients, regardless of outcome[51]. Contrastingly in other pathologies, partial recovery of  
343 brain NAA levels was reported using <sup>1</sup>H-MRS in a small follow-up study of acute brain  
344 damage (non-TBI) patients[54].

345 Chronic NAA depression may affect white matter more than grey matter following severe  
346 TBI, as studies of patients at six weeks to six months after TBI found reduced NAA in the

347 white matter and not grey matter[53], [55]. This may also be explained by most studies  
348 selecting regions of the brain predominantly represent white matter and the corpus callosum.

#### 349 *Role in clinical care*

350 Measuring NAA using <sup>1</sup>H MRS can be clinically valuable due to its correlation with patient  
351 prognosis: the severity of depression of NAA/total metabolites[50], NAA/Cho[29] and  
352 NAA/Cr[51], [56] measured in the acute and sub-acute phase of injury correlates with patient  
353 outcome. Whereas these studies predominantly selected subcortical white matter and corpus  
354 callosum, the recovery of NAA in the thalami of TBI patients acutely after injury has been  
355 shown to predict good outcome[57]. Another study of brainstem <sup>1</sup>H MRS in 40 patients with  
356 severe TBI showed that at a median 17 days after injury NAA/Cr ratio could predict very  
357 poor outcome in some patients that did not have visible injury on MRI. Furthermore, when  
358 included in a principal component analysis with FLAIR and T2\* imaging, MRS allowed  
359 accurate prediction of GOS I-II, GOS III and GOS IV-V outcomes when these modalities  
360 alone could not[58].

#### 361 **Choline**

362 The choline peak at 3.2 ppm is formed from free choline, phosphocholine and  
363 glycerophosphocholine[15]. Choline is a precursor of acetylcholine; an important  
364 neurotransmitter that is also found at high concentrations bound to cell membrane  
365 phospholipids. In its bound form its T2 is too short for detection, but when it is liberated  
366 during cell membrane turnover or cellular production of acetylcholine it becomes visible. An  
367 increase in choline is used to identify increases in cell membrane turnover or destruction in  
368 aggressive brain tumours and demyelinating disease, but in normal brain it is found at 0.5 –  
369 2.5 mmol / L[15].

#### 370 *Early changes after TBI*

371 Following TBI a raised choline is thought to represent cellular damage through membrane  
372 breakdown. Elevated choline/creatine compared to healthy controls has been found both sub-  
373 acutely after injury and in the chronic phase[51], [59]. Garnett et al. found choline/creatine  
374 increased in proportion to the severity of injury in normal appearing white matter[51] but  
375 Wild et al. found no such correlation[59], although this could be due to changes in creatine  
376 blunting the effect of any relative change. An elevation of choline/total metabolites has been  
377 demonstrated within 48 - 72 hours of moderate-severe TBI, but this also did not correlate  
378 with presentation GCS or outcome at 3 months[50].

#### 379 *Later changes after TBI*

380 <sup>1</sup>H MRS performed in the subacute period following moderate-minor TBI of 40 patients  
381 found elevated choline/NAA ratio throughout the cerebrum and cerebellum[58]. However,  
382 there was an inverse relationship with outcome as patients with higher choline/NAA ratios  
383 had better cognitive performance at recovery. Delayed choline measurement during the  
384 chronic phase of severe TBI recovery often demonstrate persisting elevated choline/creatine

385 and reduced NAA/choline[51], [53] that sometimes correlates with functional status at the  
386 time[60].

### 387 *Role in clinical care*

388 Choline can potentially be used as a predictor for TBI prognosis. A study of 42 patients with  
389 sub-acute (7 days post injury) severe TBI found that choline elevation in occipital grey and  
390 parietal white matter predicted outcome with 94% accuracy[32]. However, a separate smaller  
391 study (10 patients) performed in the acute period (48-72 hours) did not find a correlation with  
392 degree of choline elevation and outcome[50]. It is not clear why the magnitude of the acute  
393 choline rise does not correlate with the severity of the initial injury or later functional  
394 outcome of the patient. Delayed choline measurements tend to be more closely associated  
395 with outcome[32], [51] which may be because choline represents active neuroinflammation  
396 causing further cell membrane disruption and injury, well after the initial TBI[61], [62]. If  
397 this is the case, <sup>1</sup>H MRS could be used to identify patients at risk of neuroinflammation;  
398 selecting them for potential new anti-neuroinflammatory therapeutic agents[63].

### 399 **Myo-inositol**

400 Myo-inositol is a precursor of both phosphatidylinositol and phosphatidylinositol 4,5-  
401 bisphosphate. Its <sup>1</sup>H MRS peak is at 3.56 ppm and normal concentration in the brain is 4.0 –  
402 9.0 mmol / L. It is regarded as a cerebral osmolyte and astrocyte marker. Variable changes  
403 are seen in different intracranial pathologies: an absolute decrease may be seen in stroke and  
404 hepatic encephalopathy, likely due to imbalance of osmoregulation, while an increase in myo-  
405 inositol is found in astrogliosis, although when this is expressed as a ratio of myo-  
406 inositol/creatine the effect disappears[64].

### 407 *Effect of TBI*

408 Pascual et al. showed that myo-inositol can increase in the first 24-48 hours after TBI in a rat  
409 model[65]. A study of 38 paediatric TBI patients showed occipital grey matter myo-inositol  
410 levels were increased in children with TBI compared to healthy controls and that higher myo-  
411 inositol levels correlated with poor outcome[66].

### 412 **Glutamate & glutamine**

413 Glutamate (Glu) and glutamine (Gln) are amino acids found in abundance in the human brain  
414 detected at 2.2 - 2.4 ppm in a <sup>1</sup>H MRS spectrum. Glutamate is the main excitatory  
415 neurotransmitter in the brain and is stored in neuron vesicles, found at a concentration  
416 between 6.0 - 12.5 mmol / L in healthy human brain[15]. After release it is taken up by glia  
417 and converted to glutamine which is then fed back to neurons in the glutamate-glutamine  
418 cycle[67]. Glutamine is found in the brain at concentrations of 3.0 – 6.0 mmol / L[15]. The  
419 molecular structure of Glu and Gln are sufficiently similar that it is difficult to distinguish  
420 between their chemical shifts (2.04 – 2.35 ppm and 2.12 – 2.46 ppm[15]) on an *in-vivo* <sup>1</sup>H  
421 MRS examination. Thus, the term ‘Glx’ is used to represent the combined pool of both  
422 metabolites.

423 *Effect of TBI*

424 During TBI there may be intensive neuronal activation associated with impaired glutamate  
425 reuptake and transport that causes glutamate associated excitotoxicity[32], [68], [69]. Shutter  
426 et al. found combined glutamate and glutamine (Glx) were significantly elevated in occipital  
427 grey and parietal white matter early after injury (7 days) in patients with poor outcome at 6  
428 and 12 months after TBI and combined Glx and Cho ratios predicted long term outcome with  
429 94% accuracy when GCS motor score was included in the model [32].

430 **GABA**

431 GABA is the main inhibitory neurotransmitter of the brain and like glutamate, is stored  
432 intracellularly in neuron vesicles at concentrations of up to 1 mmol / L in the brain [70]. After  
433 release, it is taken up by glia and converted to glutamine via glutamate and fed back to  
434 neurons. Its <sup>1</sup>H MRS peak is found between 2.2-2.4 ppm which overlaps with the Glx species  
435 and thus is very difficult to quantify[70], [71]. GABA plays a role in epilepsy and can be  
436 increased in patients with epilepsy by treatment with common anticonvulsants. However,  
437 other studies have shown no difference between patients suffering with epilepsy and normal  
438 healthy controls[70]. GABA quantification can be improved by acquiring the spectra using  
439 specialised GABA-editing techniques such as the pulse sequence MEGA-PRESS[72], [73].

440 *Effect of TBI*

441 GABA normally modulates the excitatory pathways in the brain. Following TBI a loss of  
442 GABAergic neurons disrupts the balance of excitation and inhibition, leading to further cell  
443 injury and apoptosis[74]. An imbalance of GABA and glutamate after TBI may also result  
444 in post-traumatic epilepsy but measurements of GABA are rarely reported in human <sup>1</sup>H  
445 MRS studies and GABA has only been shown to fall after TBI by 46 % within 24 hours in  
446 a single animal study.

447 **Lactate**

448 Most of the lactate in the brain is regarded as “glycolytic,” originating from glucose  
449 metabolism via the Embden-Meyerhof pathway, to pyruvate, followed by conversion of  
450 pyruvate to lactate by the action of lactate dehydrogenase (LDH). There is some disparity in  
451 nomenclature about glycolysis in the brain literature, which undoubtedly adds confusion, as  
452 glycolysis culminating in lactate is often termed “anaerobic metabolism,” though often  
453 without supporting evidence regarding the oxygen status in the tissue concerned. In old  
454 studies brain injury was often associated with hypoxia/ischemia (real or assumed), although  
455 modern neurocritical care means that overt hypoxia/ischemia is usually avoided. Even so,  
456 microvascular ischemia appears to exist in some cases[75], as do episodes of hypoxia[76].  
457 We regard hypoxia as PbtO<sub>2</sub> <20mmHg, with severe hypoxia as PbtO<sub>2</sub> <10 mmHg.

458

459 The ability of lactate to act as a neuronal fuel has now also been established[6], [77] although  
460 its importance relative to glucose is debated [78]. Lactate may be elevated by hypoxia,

461 ischemia or macrophage infiltration[79]. It can appear as a characteristic doublet at 1.3 ppm  
462 when acquired with a long echo time (TE 144 ms), but the MR behaviour of lactate is  
463 complex and lactate signals can virtually disappear or appear inverted depending on MR  
464 conditions[80]. Interpretation of lactate is further complicated by overlap with lipid signals.  
465 Lactate is typically represented by a small peak on <sup>1</sup>H MRS despite its relatively high  
466 extracellular concentration of 2.9 mmol / L[81] as its concentration intracellularly, (which  
467 dominates brain volume [13], [14]) is much lower.

#### 468 *Effect of TBI*

469 In TBI, the elevation of brain extracellular lactate is known to be associated with poor  
470 prognosis. Although lactate is a normal component of energy metabolism, if lactate appears  
471 elevated in a tissue on <sup>1</sup>H-MRS it is usually a sign of pathology. Lactate elevation does not  
472 necessarily indicate hypoxia, as the phenomenon of “aerobic glycolysis” whereby cells  
473 produce lactate despite a seemingly adequate supply of oxygen is well known, e.g. the  
474 Warburg effect in tumours, and a similar effect is seen in TBI, where it is variously termed  
475 metabolic dysfunction, mitochondrial dysfunction, and, in extreme cases, metabolic crisis. In  
476 early work on rat models of TBI there appeared to be an initial rise in brain lactate  
477 hyperacutely following moderate or severe injury, associated with persisting neurological  
478 dysfunction at four weeks [82], [83]. Lactate returned to normal after about 60 minutes, and  
479 there was no association between magnitude of hyperacute transient lactate rise, injury  
480 severity or neurological outcome. However, mild injury that did not result in long term  
481 neurological deficit did not cause any increase in lactate [82]. The hyperacute period is only  
482 addressable in experimental models and study is not feasible in human TBI patients, as  
483 typically an hour or more will have elapsed before they arrive at hospital and longer until a  
484 scan can be performed. In human TBI, lactate elevation can be seen on <sup>1</sup>H-MRS in some but  
485 not all instances, illustrated in Marino et al.[50]. Because of the complications with lactate  
486 signals (see above) some <sup>1</sup>H-MRS studies of normal and TBI brain do not consider lactate at  
487 all[84]. Lactate elevation is most markedly seen in paediatric head injury[85], [86]. Makoroff  
488 et al showed that in four paediatric TBI patients elevation of lactate measured by MRS was  
489 due to hypoxic-ischemic injury which was associated with worse early neurological outcome  
490 scores[87]. In adult TBI patients, lactate (measured by MRS) is similarly only raised if there  
491 is a severe ischaemic process where it can rise diffusely within 48-72 hours[50] of injury.  
492 This rise can persist for weeks[88] and the degree of lactate elevation may correlate with  
493 outcome at 3 months; higher lactate corresponding to worse outcome[50].

#### 494 **Lipid**

495 Lipids and phospholipids form a group of peaks at 1.3 ppm. When lipid is bound in intact cell  
496 membranes its T2 is too short for detection by *in-vivo* <sup>1</sup>H MRS. Elevated lipid suggests  
497 significant cell membrane disruption so is only visible in severe trauma, such as in shaken  
498 baby syndrome[89]. Lipid measurements are not often reported in adult TBI studies.

499

#### 500 **Summary of <sup>1</sup>H MRS in TBI**

501 Following TBI the brain may suffer from significant metabolic failure, direct cell damage,  
502 hypoxia and neuroinflammation. These can be detected non-invasively using  $^1\text{H}$  MRS,  
503 prompting intervention: metabolic failure signified by NAA reduction may allow a patient's  
504 metabolic support to be altered by administering an infusion of glucose, or newly developing  
505 metabolic treatments for mitochondrial failure such as succinate[90].

506 Prognosticating in acute severe TBI is challenging. Several metabolites, including NAA,  
507 choline, myo-inositol, Glx, lactate and lipid may help predict patients who will not survive or  
508 are likely to survive with the most extreme disability[32], [50], [51], [56]–[58].  $^1\text{H}$  MRS can  
509 help clinicians and patients' families in terms of prognosis. As acute severe TBI typically  
510 results in both a fall in NAA and a rise in Cho that are associated with outcome, the  
511 NAA/Cho may be the most appropriate indicator of injury, distinguishing patients with good  
512 and poor outcome[32]. This has the potential to reduce patient and family suffering and  
513 conserve intensive care resources.

514 The most appropriate region of the brain to be interrogated for prognostication is unclear. CSI  
515 measurements of the subcortical white matter with inclusion of the corpus callosum would be  
516 the most comparable to the literature[29], [50], [51], [56] and the inclusion of single voxel  
517 brainstem NAA measurement would allow MRI invisible injury to this critical structure to be  
518 detected[58]. Other potential targets are the occipital and parietal lobes where changes in Glx,  
519 myo-Inositol and Cho have been correlated with patient outcome.

520 A summary of the effect of TBI on metabolites interrogated by  $^1\text{H}$  MRS are shown in Table  
521 2.

522

## 523 $^{31}\text{P}$ MRS

524 *In-vivo*  $^{31}\text{P}$  MRS detects unbound molecules that contain phosphorus in the human brain. The  
525 most notable of these are the fundamental molecules of chemical energy in all eukaryotic  
526 organisms: adenosine triphosphate (ATP), adenosine diphosphate (ADP), adenosine  
527 monophosphate (AMP), phosphocreatine (PCr) and inorganic phosphate (Pi). As well as  
528 providing information about energy status, Pi allows measurement of brain pH through  
529 changes in its chemical shift[91]–[93]. Phosphomonoesters (PME) and phosphodiester  
530 (PDE) are also metabolites that contribute to a standard  $^{31}\text{P}$  brain spectrum, and are thought to  
531 represent cell membrane turnover.

## 532 Hardware & resolution

533 MRS detection of  $^{31}\text{P}$  is less sensitive than  $^1\text{H}$ . Comparing the two isotopes, for the same  
534 number of nuclei in the same external magnetic field, the relative sensitivity, also termed  
535 receptivity, is calculated from the NMR sensitivity (proportional to  $|\gamma|^3 \times I(I + 1)$ )  
536 multiplied by the natural abundance[15]. Since  $I$  (the spin) is  $\frac{1}{2}$  for both  $^{31}\text{P}$  and  $^1\text{H}$ , and the  
537 natural abundance is over 99.9% for  $^1\text{H}$  and 100% for  $^{31}\text{P}$ , the gyromagnetic ratio  $\gamma$  is the  
538 crucial factor: 26.752 and 10.831 (units  $10^7 \text{ rad T}^{-1} \text{ s}^{-1}$ ) so relative sensitivity (versus  $^1\text{H}$ ) is

539 only 0.065 for  $^{31}\text{P}$ , so just 6.5%[15]. In layman's terms, the gyromagnetic ratio  $\gamma$ , can be  
540 thought of as the strength of the tiny magnets that are the  $^{31}\text{P}$  and  $^1\text{H}$  nuclei, divided by their  
541 spin (value  $\frac{1}{2}$  here in both cases) – thus  $^{31}\text{P}$  is less sensitively detected than  $^1\text{H}$ , because the  
542  $^{31}\text{P}$  nuclei are weaker magnets than  $^1\text{H}$  nuclei.

543 To acquire phosphorus spectra with acceptable signal to noise either larger voxels must be  
544 selected compared with  $^1\text{H}$  MRS and/or more averages acquired, resulting in longer scan  
545 times.  $^{31}\text{P}$  MRS is also limited by the pulse sequences for localisation that can be used:  $^{31}\text{P}$   
546 metabolites have relatively short relaxation times so the transverse magnetisation must be  
547 sampled as quickly as possible after excitation (short TE). Single volume spectroscopy  
548 techniques PRESS and STEAM use multiple echo steps that require long TE, so  $^{31}\text{P}$  MRS  
549 localisation is limited to single voxel ISIS and multivoxel CSI in the brain[94].

550 The range of chemical shifts that the main metabolites in an *in-vivo*  $^{31}\text{P}$  MRS spectra occupy  
551 is also much wider ( $\approx 30$  ppm) than that of  $^1\text{H}$  MRS ( $\approx 5$  ppm). The chemical shifts of PCr  
552 and Pi are dependent on pH, and  $\alpha$ -ATP and  $\beta$ -ATP on the concentration of free magnesium  
553 ( $\text{Mg}^{2+}$ ). PCr is conventionally considered a reference at 0 ppm (by definition), and the  
554 chemical shifts quoted below represent those from its centre at a pH of 7.2 with normal tissue  
555  $\text{Mg}^{2+}$ , as per de Graaf 2007[15].

## 556 **High energy phosphates**

557 The high-energy phosphates detected by  $^{31}\text{P}$  MRS (PCr, ATP, ADP, AMP & Pi) are directly  
558 connected to each other chemically: the high-energy phosphate group passes from pool to  
559 pool reaching a state of equilibrium depending on the energy expenditure and generation  
560 within the cells. This contrasts with metabolites studied by  $^1\text{H}$  MRS which are linked to each  
561 other in a broader, biological sense. Thus, the high energy phosphates will be considered as a  
562 group of relative ratios of interconnected metabolites rather than individually.

### 563 *ATP hydrolysis and generation*

564 ATP is the fundamental molecule of chemical energy in eukaryotic and prokaryotic  
565 organisms and is used and then regenerated with rapid turnover in the brain[95]. The  
566 hydrolysis of ATP into ADP + Pi releases energy that is harnessed to drive the main cellular  
567 processes including the sodium potassium exchanger ( $\text{Na}^+/\text{K}^+$  ATP<sub>ase</sub> pump) that maintains  
568 the membrane potential in neurons. The brain maintains ATP at a concentration several fold  
569 higher than that of ADP (average 3 mmol / L vs 0.1 mmol / L[15], [96], [97]) to drive these  
570 processes by continually recycling ADP back to ATP. This is done through glycolysis, the  
571 citric acid cycle and the electron transport chain in mitochondria where the enzyme ATP  
572 synthase catalyses the conversion of ADP and Pi to ATP down a hydrogen ion gradient,  
573 provided oxygen is available as a terminal electron acceptor on complex IV. This cycle  
574 occurs continually so that the human brain, weighing about 1.2 kg, uses an estimated 5.7 kg  
575 of ATP per day[95].

### 576 *Creatine kinase*

577 The process of ATP regeneration via ATP synthase is relatively slow on a cellular scale so  
578 tissues that require energy in bursts such as the brain, skeletal muscle and cardiac muscle  
579 contain creatine and phosphocreatine. Catalysed by the enzyme creatine kinase,  
580 phosphocreatine can very rapidly donate its high-energy phosphate group to ADP, rapidly  
581 regenerated ATP during periods of high metabolic demand independently of oxygen. During  
582 periods of lower metabolic demand the phosphocreatine store is replenished in the  
583 mitochondrial intermembrane space, again by creatine kinase from newly generated ATP.  
584 Phosphocreatine is a spatial buffer for ATP as well as a temporal buffer. Most ATP is  
585 produced in the mitochondria, but used in the cytoplasm. The free diffusion distance of ATP  
586 and ADP are limited by their strong negative charges and low cellular concentrations whereas  
587 PCr and Cr diffuse more freely due to their smaller size, less overall charge, and higher  
588 concentrations. The PCr-Cr system therefore acts as a shuttle linking ATP production in the  
589 mitochondria to its use in the cytoplasm[98]–[100].

### 590 **<sup>31</sup>P peaks and their metabolites**

591 The PCr signal, whose chemical shift is defined by convention as 0.00 ppm, is the most easily  
592 identifiable peak in brain <sup>31</sup>P MRS. Brain PCr concentration has been reported at 4.0 - 5.5  
593 mmol / L[15] concentrations at reasonably constant levels between grey and white  
594 matter[15], [101], [102].

595 The β-ATP peak represents phosphorus in the middle phosphate group; a structure that is  
596 unique to ATP[15]. It would appear to be the most appropriate peak to represent ATP  
597 concentration but its location at extreme upfield (-16.26 ppm) can make it difficult to excite  
598 consistently with a homogenous RF pulse that also covers the other metabolites.

599 γ-ATP is often used to represent the concentration of ATP, instead of β-ATP. At -2.48 ppm γ-  
600 ATP represents the distal phosphate groups of both ATP and ADP, which are effectively  
601 indistinguishable from each other *in-vivo* due to their similar immediate chemical and nuclear  
602 environment. However, ADP is found at much lower concentrations in the brain (0.1 mmol /  
603 L) than ATP (3 mmol / L)[15], [96], [102] and ADP is regarded as mostly bound up in  
604 vesicles and mitochondria so poorly responsive on MR, making its contribution to the γ-ATP  
605 peak negligible.

606 α-ATP at -7.52 ppm represents the proximal phosphate groups in ATP and ADP and the  
607 central phosphates of NAD and NADH; these are poorly resolved in most *in-vivo* MR  
608 spectra. The inclusion of NAD and NADH and its profile slightly further from the centre of a  
609 typical RF pulse makes it an inferior choice to the γ-ATP peak for ATP characterisation[15].

610 Inorganic phosphate (Pi) is found at 5.02 ppm as a relatively small peak. Its small size can  
611 make it difficult to accurately integrate, but nevertheless it is often used to express ratios of  
612 brain energy <sup>31</sup>P species [103]–[105]. Pi is a useful indicator of intracellular pH, which can  
613 be calculated from the difference in chemical shift between PCr and Pi[92], [106]. Although  
614 Pi is may be a small peak some studies have shown existence of two Pi signals; ascribed to  
615 two pools of Pi differing in pH (ΔpH ~0.4)[107]. In brain, the major (upfield) peak is  
616 assigned as intracellular Pi, and the minor (downfield) peak extracellular Pi, and the two

617 signals have different T1 relaxation times, presumably reflecting the different environments  
618 surrounding the phosphate molecules.

### 619 *Changes after TBI*

620 PCr/ATP and PCr/Pi are two of the most commonly used ratios to express brain energy  
621 status. If the brain is metabolising normally there will be sufficient ATP and plenty of its  
622 short-term high energy store, PCr. However, if the brain is stressed, a plausible scenario is  
623 that it might draw on its store of PCr to maintain ATP homeostasis leading to a reduction in  
624 the PCr/ATP ratio and PCr/Pi ratio. The PCr/Pi ratio will also be affected by a potential  
625 increase in free Pi as ATP is hydrolysed but not remade sufficiently in the mitochondria.  
626 PCr/Pi can be inaccurate with difficulty in reliably measuring the small Pi peak in a  
627 potentially noisy baseline.

628 Hyperacute <sup>31</sup>P MRS studies of TBI are limited to animals for the same reason as <sup>1</sup>H MRS.  
629 Ishige et al's study[104] of focal TBI in rats with sequential measurements after injury  
630 demonstrated a rapid fall in absolute PCr and an increase in absolute Pi in the first 15 mins  
631 after injury. In the absence of further injury these species recovered to near normal within 90  
632 minutes[104]. Further studies by Vink et al. of different grades of injury have demonstrated  
633 the same initial fall in absolute PCr and rise in absolute Pi (or fall in PCr/Pi ratio) which then  
634 recovers within ~100 mins following moderate-severe trauma. There appears to be a second  
635 rise in PCr and fall in Pi and PCr/Pi ratio that occurs 120 mins after injury, remaining  
636 depressed in severe injury [105], [108], [109]. The initial falls in PCr/Pi were associated with  
637 brain acidosis in these studies, but the second falls were not. The degree of this second PCr/Pi  
638 depression four hours after injury correlated with severity of insult, which itself correlated  
639 with 24 hour neurological dysfunction [108]. No studies demonstrated a decrease in ATP  
640 after moderate-severe injury. In the studies that included the most extreme injury severity, a  
641 different pattern was observed: a much greater, persistent fall in PCr and rise in Pi occurred  
642 that did not recover [109]. Unlike animals subjected to more moderate grades of injury these  
643 animals also experienced an irreversible loss of ATP over the three hours following injury  
644 [108], [109].

645 The addition of a secondary insult, hypotension, to experimental TBI greatly exacerbated the  
646 metabolic derangement measured by <sup>31</sup>P MRS. With moderate hypotension after TBI a much  
647 greater immediate fall was seen in PCr which did not recover as well. Pi increased  
648 significantly more and the immediate acidosis was greater and did not recover as it did in the  
649 absence of hypotension. Importantly, ATP fell significantly in the presence of moderate-  
650 severe TBI with hypotension but not with TBI alone. Cells work very hard to maintain ATP  
651 homeostasis at the cost of other metabolites so it appears that a fall only occurs in metabolic  
652 extremis following very severe injury or when TBI occurs with additional hypotensive insult  
653 [104], [108]–[110].

654 An *in-vivo* <sup>31</sup>P MRS patient study by Garnett et al.[103] of high energy phosphates in the  
655 subacute period following TBI had different findings to those of the hyper-acute TBI animal  
656 studies above. Seven patients with moderate and severe TBI were studied 9 days (mean) after

657 injury: four patients had partially recovered and were self-ventilating whereas three were still  
658 intubated and ventilated. In normal-appearing white matter, a significant increase in PCr/Pi  
659 was found in patients with TBI compared to healthy controls, as was PCr/ATP (although non-  
660 significantly). The authors suggested that a possible explanation could be a change in cell  
661 population through reactive gliosis.

662 These studies suggest that  $^{31}\text{P}$  MRS is detecting different changes in brain metabolism  
663 dependent on when, after the injury, the scan is performed. The initial fall in PCr seen in  
664 hyperacute studies in animals (see above) is compatible with the interpretation of cell  
665 membrane injury,  $\text{K}^+$  efflux from cells and demand on the  $\text{Na}^+/\text{K}^+$  ATPase pump that leads to  
666 consumption of PCr. This initial fall in PCr recovered in these animal studies, but the second  
667 fall in the acute stage after two hours did not during the studies and is of uncertain aetiology.

668 Cellular ATP appears to be maintained following all but the most severe forms of  
669 experimental TBI in animals, likely representing catastrophic energy failure with extreme,  
670 irreversible derangement of all phosphorus metabolites [104], [108]–[110].

### 671 Brain pH & $\text{Mg}^{2+}$ concentration

672 The pH of the brain can be measured from the difference in chemical shift between the Pi and  
673 PCr peaks [91]–[93]. Although the small size of the Pi peak relative to baseline noise can lead  
674 to errors measuring its area, its chemical shift can generally be accurately identified. Changes  
675 in the concentration of hydrogen ions (pH) results in greater or lesser binding of  $\text{H}^+$  ions to  
676 inorganic phosphate. The presence of the additional hydrogen ions changes the proportion of  
677 protonated to un-protonated inorganic phosphate which changes the mean chemical shift of  
678 the species population. Similarly, the concentration of brain  $\text{Mg}^{2+}$  can be calculated from the  
679 difference in chemical shifts between the  $\alpha$ -ATP and  $\beta$ -ATP peaks [15], [91], [104], [105],  
680 [111].

### 681 Control of brain pH

682 Normal neuronal activity causes constant changes in intracellular and extracellular pH in the  
683 brain which are buffered by several mechanisms: the PCr, ATP and creatine kinase system is  
684 one of these. When creatine kinase catalyses the regeneration of ATP from ADP and PCr, a  
685  $\text{H}^+$  ion is consumed:  $\text{ADP} + \text{PCr} + \text{H}^+ \rightleftharpoons \text{ATP} + \text{Cr}$ . Creatine kinase is strongly pH dependent  
686 and acts as both an ATP and pH buffer in cells with high metabolic workloads.

### 687 Effect of TBI

688 Rodent studies of hyperacute changes in brain pH following severe TBI have found an  
689 immediate, transient fall in pH for the first 15 – 60 minutes following moderate to severe TBI  
690 that is exacerbated by hypotension [82], [104], [105], [112]. The magnitude of this transient  
691 acidosis does not correlate with neurological outcome, histopathological injury or severity of  
692 insult [82] for all but the most extreme (un-survivable) injuries where a progressive, terminal  
693 brain acidosis occurs [109]. Changes in pH accompany changes in PCr/Pi ratio, returning to  
694 normal after an hour and a half in the absence of hypotension. This is what would be  
695 expected from the creatine kinase system, but it is not clear if a fall in PCr causes a shift of

696 the equilibrium, and a rise in  $H^+$  ions, or acidification causes a shift in the CK equilibrium  
697 and a fall in PCr. It is perhaps more likely that primary pH changes drive the PCr/Pi change  
698 as the delayed fall in PCr/Pi does not cause a change in pH, suggesting another mechanism.

699 Intracellular free  $Mg^{2+}$ , an important cofactor for glycolysis and oxidative phosphorylation,  
700 has been shown to fall by as much as 60 – 69 % following animal experimental TBI [111],  
701 [113], [114], reaching its nadir between 1 and 4 hours after injury. Free  $Mg^{2+}$  appears to be  
702 particularly sensitive to injury; declining significantly following moderate and even mild  
703 experimental TBI in the absence of changes to PCr, ATP, Pi and pH detected by  $^{31}P$   
704 MRS[44], [109], [111], [113], [114]. Interestingly, in a graded TBI study performed by Vink  
705 et al free intracellular  $Mg^{2+}$  did not fall in rats subjected to the most severe TBI. This was  
706 attributed to release of  $Mg^{2+}$  from the declining ATP that occurred in this group, replenishing  
707 the total level. After moderate injury  $Mg^{2+}$  appears to recover to baseline after about a week  
708 [44], but its calculation should be performed cautiously when spectra have low signal to noise  
709 as previous reported changes have been shown to be due to errors of chemical shift  
710 assignments[91]. The subacute study by Garnett et al. of patients with moderate to severe TBI  
711 found white matter was more alkaline (higher intracellular pH) and had higher free  
712 intracellular  $Mg^{2+}$  in TBI patients 2– 21 days (mean 9 days) after injury compared to healthy  
713 volunteers[103]. A difference in grey matter pH was not found, although grey matter  
714 PCr/ATP was significantly higher in TBI brain than in healthy controls[103]. Conversely,  
715 measurements of brain extracellular pH (not using MRS, but using intracranial probes)  
716 following severe TBI in humans suggest that lower pH is associated with a worse  
717 outcome[115], [116]. The relationship between brain extracellular and intracellular pH in  
718 human TBI is unclear.

719

## 720 Phosphomonoesters & phosphodiester

721 The cell membrane phospholipid bilayer in the brain is not visible on  $^{31}P$  MRS because its  
722 magnetization decays too quickly for detection. Its precursors the phosphomonoesters  
723 (PMEs) phosphorylethanolamine (PE) and phosphorylcholine (PC), are visible at 6.78 ppm  
724 and 5.88 ppm in high quality spectra [15], [103]. Phosphodiester (PDEs) glycerol 3-  
725 phosphorylethanolamine (GPE) and glycerol 3-phosphorylcholine (GPC), at 3.2 ppm and 2.8  
726 ppm, are produced by phospholipase breakdown of cell membranes. They are then converted  
727 to PMEs by phosphodiesterase. Consequently, the ratio of PME/PDE is thought to be an  
728 indicator of cell membrane turnover[94], [97], [117].

729 Changes in the ratio of PME/PDE is often explored in  $^{31}P$  MRS studies of TBI but the small  
730 size of the peaks compared to baseline noise means that statistically significant differences  
731 often cannot be found even if they are present[103]. It should be noted however that the  
732 phosphorus nuclei in PMEs and PDEs are coupled to hydrogen atoms which causes splitting  
733 of their resonances which can be exploited with the polarization transfer technique and proton  
734 decoupling to significantly enhance their detection[15]

735

## 736 **Confounders of <sup>31</sup>P MRS measurements in the brain**

737 Regional variations of high energy phosphate species in the human brain exist that influence  
738 the results obtained by <sup>31</sup>P MRS studies. Whereas the concentration of PCr remains relatively  
739 constant throughout the brain, the PCr/ATP is higher in grey matter (GM = 1.19) than white  
740 matter (WM = 0.84)[118] because of the higher concentration of ATP found in white matter  
741 (GM = 2 mmol / L; WM = 3.5 mmol / L)[15]. GM also has a higher metabolic rate than WM,  
742 using three times as much ATP and consuming 77% of total energy expenditure of the brain  
743 despite representing only 55% by tissue weight[118].

744 PCr is known to vary with age in healthy volunteers: increasing age is associated with  
745 slightly higher PCr, lower PME and a slightly more acidic brain[119]. There is also an  
746 inverse relationship between body mass index and absolute measures of PCr and ATP but as  
747 these changes are equivalent there is no resulting change in PCr/ATP ratio[120].

748 If patients with acute severe TBI are studied whilst intubated, sedated and ventilated the  
749 effect of anaesthetic agents should also be considered. There is evidence that phenobarbital  
750 increases the PCr of rat brain but does not change ATP or ADP, measured by biochemical  
751 assays on tissue extracts[121]. Halothane, nitrous oxide and fentanyl do not seem to have any  
752 effect on high energy phosphates concentrations [121].

## 753 **Magnetization transfer technique**

754 As well as measuring static concentrations of phosphorus metabolites (absolute and ratios),  
755 flux from one pool to another can be measured using the magnetization transfer (MT)  
756 technique. MT is technically challenging compared to 'standard' <sup>31</sup>P MRS. The basis of MT  
757 is selective saturation or inversion of a resonance of one moiety which undergoes chemical  
758 exchange to another. If the rate of exchange is fast compared to T1, then the saturation or  
759 inversion is transferred; quantification of exchange rates requires a knowledge of the T1 and  
760 MT rate[122]. MT can provide information on the flux between PCr and ATP and hence the  
761 rate of creatine kinase[123], [124]. Similar methodology has also been applied to assess the  
762 flux between Pi and ATP to estimate ATP synthesis rate in brain[107], [125]. However,  
763 concern surrounds this technique as ATP synthesis rates from MT transfer are significantly  
764 higher than the rates of oxidative ATP synthesis measured by other techniques, shown in  
765 muscle, heart, and liver[126], [127]. This discrepancy is usually attributed to rapid Pi-ATP  
766 exchange via glycolysis, that can produce significantly higher MT measures of Pi->ATP flux  
767 compared with net oxidative Pi->ATP flux[126], [128], [129]. Although this does not  
768 necessarily invalidate MT measures of ATP synthesis rates in brain[125], [130], where  
769 average measures agree with rates calculated indirectly from previously reported cerebral  
770 metabolic rate of glucose consumption[125], varying levels of anaesthesia in TBI may also  
771 influence results.

772

773

774

## 775 Therapeutic and prognostic potential

776 <sup>31</sup>P MRS studies have shown changes in PCr, Pi, pH and Mg<sup>2+</sup> in the brain following TBI  
777 with ATP being relatively unaffected, except for under extreme stress in experimental TBI,  
778 and timing of when after injury a <sup>31</sup>P MRS study can be performed is key.

779 In a clinical setting <sup>31</sup>P MRS cannot be performed in the hyperacute period after injury  
780 because of the time required transferring a patient to hospital and stabilising them. However,  
781 if a patient displayed severely depressed PCr/Pi and pH measured by <sup>31</sup>P MRS on the day of  
782 injury, this may suggest that the initial injury was extreme, or compounded by a period of  
783 hypotension, which may or may not have been known about. As well as prompting  
784 meticulous control of cerebral perfusion pressure, causes for hypotension could be  
785 investigated if they were not already apparent.

786 The degree of PCr/Pi depression may also correlate with outcome, if performed on the day of  
787 injury, as seen in animal studies [108], [109], [112]. However, there is a paucity of outcome  
788 data from <sup>31</sup>P MRS animal studies reporting changes in PCr or Pi performed more than 12 –  
789 48 hours after injury, in what would be a more achievable timeframe clinically. However, as  
790 mentioned above, the situation with human TBI patients seems to differ from animal studies,  
791 with human TBI causing a higher PCr/ATP or PCr/Pi ratio than healthy controls, and TBI  
792 resulting in a more alkaline brain pH when performed 4 - 21 days after injury. If the Pi peak  
793 is not distinguishable from baseline noise, PCr/ATP could be used as an alternative ratio but  
794 in the event of equivalent fall in both PCr and ATP species following TBI with hypotension  
795 or extreme injury could (in principle) lead to no change in their relative ratio  
796 (PCr/ATP)[103].

797 <sup>31</sup>P MRS studies performed in the acute to subacute period after injury that display an  
798 elevation in the PCr/Pi and PCr/ATP ratios may represent neuroinflammatory changes in  
799 TBI[103], and merits further investigation. Further study is ongoing characterising these  
800 changes and their pathophysiological basis.

801 Although brain free intracellular Mg<sup>2+</sup> appears to be very sensitive to injury in the acute and  
802 subacute period following TBI, it does not easily distinguish between moderate and severe  
803 grades of injury. Whereas there may be a greater fall in Mg<sup>2+</sup> following moderate-severe  
804 injury than mild injury, paradoxically there is no change following extreme injury [109].

805 A summary of the effect of TBI on metabolites interrogated by <sup>31</sup>P MRS are shown in Table  
806 3.

807

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810

811

## 812 <sup>13</sup>C MRS

### 813 **Hardware and sensitivity**

814 Whereas *in-vivo* <sup>1</sup>H MRS measures brain metabolites by detecting the hydrogen atoms within  
815 these molecules, <sup>13</sup>C MRS does so by detecting the <sup>13</sup>C isotopes in their structure. <sup>13</sup>C MRS is  
816 much less sensitive <sup>1</sup>H MRS as only 1.1 % of naturally occurring carbon is the MR visible  
817 isotope <sup>13</sup>C and most organic molecules contain many more hydrogen atoms than they do  
818 carbon atoms. The Larmor (natural) frequency of <sup>13</sup>C is also a quarter of that of <sup>1</sup>H, so each  
819 atom releases much less energy when it relaxes to be detected by the scanner. These factors  
820 combine to give <sup>13</sup>C MRS a sensitivity of only 0.018 % of that of <sup>1</sup>H MRS[15]. Consequently  
821 *in-vivo* <sup>13</sup>C MRS studies are almost always performed with an infusion of <sup>13</sup>C enriched  
822 metabolites to boost the signal from the brain but even so large voxels are typically used to  
823 acquire as much signal as possible.

### 824 **Methods of detection, localisation and decoupling**

825 The sensitivity of <sup>13</sup>C can be further improved by a variety of techniques that use the  
826 interactions of <sup>1</sup>Hs naturally bonded to the <sup>13</sup>C nuclei. Nuclear Overhauser enhancement  
827 (NOE) and polarization transfer are two different techniques for increasing signal that  
828 transfer some spin polarization from <sup>1</sup>H to <sup>13</sup>C. Proton decoupling is another important  
829 technique as J-coupling of <sup>13</sup>C nuclei to their bonded <sup>1</sup>Hs causes splitting of metabolite peaks  
830 into complex patterns of small multiplets that can be difficult to interpret. This interaction can  
831 be broken using proton decoupling at the same time as applying either NOE or polarization  
832 transfer, further improving spectral resolution[15], [20].

833 As well as directly detecting the <sup>13</sup>C in a metabolite, <sup>13</sup>C MRS can be performed indirectly  
834 through detecting the effects of the <sup>13</sup>C on the <sup>1</sup>Hs that are bonded to it. termed <sup>1</sup>H-observe  
835 [<sup>13</sup>C-edited] spectroscopy, or proton-observe carbon edited (POCE) spectroscopy. POCE  
836 increases the sensitivity even more than using the polarisation transfer technique (almost to  
837 that of <sup>1</sup>H MRS) and directly provides <sup>13</sup>C fractional enrichment values[20]. This increased  
838 sensitivity however comes with the narrow spectral range of the <sup>1</sup>H MRS scale (5 ppm)  
839 where many peaks overlap, so resolving individual peaks can be more difficult. Crowding of  
840 the spectra is much less of a problem with the great spectral range of direct <sup>13</sup>C MRS  
841 detection (200 ppm). Also, <sup>13</sup>C nuclei which do not have <sup>1</sup>H attached, such as the carboxylate  
842 carbon in glutamate and glutamine can be measured by direct <sup>13</sup>C MRS while they cannot be  
843 measured with the indirect (POCE) MRS technique.

844 Proton decoupling, NOE, polarization transfer or indirect detection require a <sup>1</sup>H channel in  
845 addition to the <sup>13</sup>C channel on the RF head coil[20]. A complex sequence of pulses must be  
846 passed down each channel in quick succession which can result in current induction from one  
847 channel to the other, introducing further noise in the spectrum if appropriate arrangement of  
848 these coils with effective filtering is not observed.

849 The RF pulses for broadband proton decoupling deposit a significant amount of energy into  
850 the patient, causing tissue heating. The relevance of tissue heating depends on how thermally

851 sensitive the tissue is, and by how much it is heated. The specific absorption rate (SAR) limit  
852 to minimize heating of tissue is critical with regards to the eyes; so  $^{13}\text{C}$  MRS with proton  
853 decoupling is typically performed using surface coils to address ROIs of the brain that avoid  
854 them.

855 Due to the abundance of carbon atoms in the long fatty acid chains of subcutaneous scalp  
856 lipids, non-localised  $^{13}\text{C}$  spectra of the brain are dominated by large lipid peaks at 20 – 50  
857 ppm. Glycerol that forms their lipid backbone also produces pronounced, but smaller, peaks  
858 at 63 and 73 ppm. These scalp peaks must typically be excluded with voxel selection or outer  
859 volume suppression for brain metabolites to be measured. Furthermore, the chemical shifts of  
860 some key metabolites place them within the lipid range of 20 – 50 ppm meaning that voxel  
861 selection must be rigorous. The high concentration of lipid in cerebral white matter does not  
862 pose the same problem as it is bound up tightly in myelin so its MR signal decays too rapidly  
863 for detection by *in-vivo*  $^{13}\text{C}$  MRS[131].

## 864 **Glycogen**

865 Despite glycogen's large size ( $10^6 - 10^7$  Da[132]) it is freely mobile and found in the human  
866 brain at concentrations of 5 mM / kg in glia[133] so it is the only brain metabolite of interest  
867 visible on  $^{13}\text{C}$  MRS natural abundance studies using reasonable scan times. It is thought to be  
868 100 % MR visible[134] with a peak at 100 ppm, split by bonded  $^1\text{H}$ s if  $^{13}\text{C}$  spectra are  
869 acquired without proton decoupling. Brain glycogen measured by enzymatic extraction has  
870 been shown to increase in regions of focal injury after experimental TBI in rats compared to  
871 uninjured regions, but it is not known if this correlates with degree of histological injury or  
872 outcome[135].

## 873 **Dynamic studies of glucose, lactate, glutamate and glutamine**

874 Most  $^{13}\text{C}$  MRS studies of human brain metabolism involve infusion of  $^{13}\text{C}$  enriched  
875 metabolically active substrates and detection of that signal as it makes its way sequentially  
876 through different metabolic pools. The most commonly studied substrate in the brain is 1- $^{13}\text{C}$   
877 glucose: As it is infused it appears in the brain at 94 and 98 ppm ( $\alpha$  and  $\beta$  isoforms). It is then  
878 metabolised to lactate by glycolysis (principally), producing a lactate peak at 22 ppm in the  
879 brain spectra. The  $^{13}\text{C}$  label is then incorporated into the TCA cycle where it is spun out from  
880 alpha-ketoglutarate as glutamate, detectable at 34 ppm.  $^{13}\text{C}$  glutamate is released from  
881 neurons and taken up by glia where it is converted into glutamine [5], detected at 32 ppm.  
882 Using mathematical models and certain assumptions, the rate of brain glucose uptake can be  
883 calculated from the appearance of glucose, the rate of glycolysis from the appearance of  
884 lactate, the TCA cycle rate from the appearance of glutamate and neuronal-astrocyte coupling  
885 by the appearance of glutamine[5], [15], [136]. Alternative labelling patterns of glucose can  
886 be used, such as 2- $^{13}\text{C}$  and U- $^{13}\text{C}_6$  glucose that share identical biological effects but produce  
887 different spectra. There are benefits and limitations for each[20]. Dynamic  $^{13}\text{C}$  studies can  
888 also be performed using  $^{13}\text{C}$  acetate and  $^{13}\text{C}$  beta-hydroxybutyrate. Acetate is predominantly  
889 metabolised by the glia, allowing the metabolic rates of this specific cell population to be

890 measured[5], [20] whereas beta-hydroxybutyrate is predominantly metabolised by neurons  
891 during periods of fasting when it supplies 60% of the fuel for brain[5].

892 PET studies of brain metabolism using [<sup>18</sup>F]-fluorodeoxyglucose can measure the brain's  
893 uptake and phosphorylation of glucose, but are unable to follow its metabolism further  
894 downstream: <sup>13</sup>C MRS measures glucose uptake, but also the TCA cycle rate and neuronal-  
895 glial coupling. Changes in the rate of the TCA cycle and glutamate/glutamine cycling have  
896 been reported following stroke, Alzheimer's disease and diabetes mellitus[5]. No <sup>13</sup>C infusion  
897 studies of human TBI have been reported to date, although the technique has potential to shed  
898 light on the effects of TBI on these key processes.

### 899 <sup>13</sup>C hyperpolarisation

900 <sup>13</sup>C hyperpolarisation is a technique that transiently increases the signal from <sup>13</sup>C nuclei ten  
901 thousand-fold[137], allowing detection of <sup>13</sup>C metabolites in a short timeframe. Without  
902 performing hyperpolarisation, nuclear polarization is poor because the energy required to  
903 align a nuclear spin against a magnetic field is so small that thermal fluctuations can easily  
904 overpower these transitions despite using large magnetic fields. Although various  
905 hyperpolarisation methods exist, the version implemented for clinical studies is dissolution  
906 Dynamic Nuclear Polarization (DNP). The following description is from Nelson et al.  
907 (2013)[138]. "Hyperpolarized <sup>13</sup>C MRI is a relatively new molecular imaging technique with  
908 an unprecedented gain in signal intensity of 10,000- to 100,000-fold[137] that can be used to  
909 monitor uptake and metabolism of endogenous biomolecules[139], [140]. The magnitude of  
910 the increase in sensitivity depends on the degree of polarization that is achieved, the T1  
911 relaxation time of the <sup>13</sup>C agent, the delivery time, and the MR methods applied.  
912 Hyperpolarized agents are generated by mixing <sup>13</sup>C-labeled compounds with an electron  
913 paramagnetic agent (EPA), placing them in a 3.35-T magnetic field, cooling to ~1 K, and  
914 using microwaves to transfer polarization from the electron spin of the EPA to the <sup>13</sup>C nuclei  
915 of the biomolecule (13). Once the polarization has reached the required level, the sample is  
916 rapidly dissolved with hot, sterile water and neutralized to physiological pH, temperature, and  
917 osmolarity. Intravenous injection of the hyperpolarized solution and observation using <sup>13</sup>C  
918 MR allow its delivery and metabolic products to be monitored (15). The data must be  
919 obtained as rapidly as possible after dissolution because the enhancement decays at a rate  
920 determined by the T1 relaxation time of the agent, which is about 60 s for [1-<sup>13</sup>C] pyruvate at  
921 3 T. Translation of hyperpolarized technology into human subjects has been challenging  
922 because it requires specialized instrumentation to prepare the agent in a sterile environment,  
923 filter out the EPA, perform quality control (QC), and rapidly deliver samples to the patient".  
924 DNP works best for metabolites with carboxylate carbons which have long T1 so polarization  
925 decays more slowly; clinical studies typically use [1-<sup>13</sup>C] pyruvate, such as the first-in-human  
926 study that interrogated the metabolism of prostate cancer[138]. To date, no <sup>13</sup>C  
927 hyperpolarization studies in human TBI brain have been published.

928 A <sup>13</sup>C hyperpolarization study of rat TBI has recently been performed by DeVience et al.  
929 using 1-<sup>13</sup>C pyruvate[141]. Controlled cortical impact of rat brain produced lower  
930 <sup>13</sup>C-bicarbonate signals and higher 1-<sup>13</sup>C lactate in traumatised regions of brain than non-

931 traumatised brain. This correlated with cortical scarring and persisting cell death on  
932 histological analysis performed 30 days after injury. This suggests a shift from oxidative to  
933 non-oxidative metabolism due to TBI, in the absence of gross hypoperfusion, as no difference  
934 in [1-<sup>13</sup>C] pyruvate signal was seen in the traumatised region. Surprisingly, sham operated  
935 animals who underwent craniotomy but no intentional cortical injury showed much less  
936 significant but similar changes to those exposed to cortical impact. In mice, a <sup>13</sup>C  
937 hyperpolarisation study with 1-<sup>13</sup>C pyruvate, performed 12 hours after controlled cortical  
938 impact to brain showed an increase in the 1-<sup>13</sup>C lactate / 1-<sup>13</sup>C pyruvate ratio detected with in-  
939 vivo <sup>13</sup>C MRS in the injured hemisphere compared to the contralateral uninjured  
940 hemisphere[142].

941 Conventional (non-hyperpolarized) <sup>13</sup>C MRS studies that rely on the infusion of <sup>13</sup>C enriched  
942 substrates detect downstream metabolites of the substrates infused. Hyperpolarized studies  
943 are much more limited due to the very transient nature of hyperpolarization enhancement of  
944 <sup>13</sup>C MRS signal so only metabolites a few steps downstream can be detected before the  
945 hyperpolarized effect is lost. Hyperpolarisation and conventional <sup>13</sup>C MRS labelling studies  
946 can be considered complementary as they address metabolic pathways on different  
947 timescales.

#### 948 **Summary of <sup>13</sup>C MRS and clinical role**

949 Despite the potential of <sup>13</sup>C enriched steady-state infusion studies to shed light on the  
950 biochemistry of TBI, we do not currently see it as a routine clinical tool in the management of  
951 TBI, due to the extensive time required in the scanner for data acquisition, large volumes of  
952 expensive <sup>13</sup>C-labelled infusates required and complex post-acquisition analysis. However,  
953 conceivably <sup>13</sup>C isotope costs may come down in future, scanners become more sensitive,  
954 simpler data analysis strategies devised, and workarounds adopted such as starting the  
955 infusion outside of the magnet to reduce the time the patient is inside. Other possibilities are  
956 natural abundance (unlabelled) studies of brain glycogen that may show changes related to  
957 TBI, but few studies to date have demonstrated this and the scan times required are also  
958 long[20], [133].

959 Hyperpolarized <sup>13</sup>C MRS shows great potential in the monitoring of brain metabolism for the  
960 clinical management of TBI. The short acquisition time and clear signal it produces puts it on  
961 par with <sup>1</sup>H MRS, although <sup>13</sup>C hyperpolarization has the downside of expensive  
962 <sup>13</sup>C-substrate and hyperpolarization equipment, and larger team of expert staff necessary.  
963 Metabolic derangement by elevated lactate/pyruvate or lactate/bicarbonate ratios can be  
964 mapped throughout the brain unlike techniques such as microdialysis, which only sample  
965 from a single region of brain which may miss key regions where brain energy is failing. As  
966 targeted therapies for brain injury become available they may be delivered focally to regions  
967 of metabolic dysfunction. *In-vivo* <sup>13</sup>C hyperpolarization is still a relatively new technique and  
968 development and further advances are expected.

969

970

971 **Practical considerations: MR conditional equipment and risks**

972 Taking critically ill patients with acute severe TBI for an MR study can be challenging;  
973 patients typically have multiple monitoring devices and require intensive support. However,  
974 with the use of MR conditional ventilators, syringe drivers and an appropriate ICP monitor  
975 set-up a patient's critical care bed can effectively be recreated inside the MR suite.

976 Equipment MR compatibility is graded. Whereas plastic ventilator tubing is MR safe at any  
977 field strength and is called 'MR Safe' a mechanical ventilator may be suitable to use at 3T  
978 but not at 7T: 'MR conditional'. Even if it is designed to be used with a 3T scanner, often that  
979 allows it to be taken into the room, but not right up to the magnet bore where the magnetic  
980 field is strongest. Ventilator extension tubing must be prepared to reach the patient.

981 As well as the projectile risk of ferrous objects, an item's MR conditional status depends on  
982 its performance within the MR environment. Both the changing gradient magnetic fields used  
983 for localisation and the power and frequency of the RF pulses can cause induction of current  
984 in non-ferrous metals. This is greatest when the length of the object, commonly a wire, is a  
985 multiple of the wavelength of the RF pulse[143]. Furthermore, the ventilator or patient  
986 monitor can produce electromagnetic interference that will affect the image or spectra  
987 quality.

988 An important example of this for patients suffering from TBI is the commonly used Codman  
989 MircoSensor ICP Transducer (Codman & Shurtleff, Inc.). When using the body (main  
990 scanner) coil to transmit and a head coil to detect at 3T, the electrical current that is induced  
991 is sufficient to heat the wire and damage the probe. This necessitates replacement of the  
992 probe, and consideration of potential burns to the patient's skin and brain that are in contact  
993 with the wire. This effect can be stopped by looping the extra length of wire away from the  
994 patient's skin which introduces a radiofrequency choke that limits current induction[144].  
995 This allows safe use of the microsensor in a 3T scanner during MR data acquisition. Two  
996 other monitoring devices that are often used in the management of acute severe TBI cannot  
997 be used during an MRS study: brain tissue oxygen probes (such as Licox®) and microdialysis  
998 pumps. Licox catheters must be disconnected with their connecting lead but the attached  
999 intracranial probe can generally be left in place for reconnection after the study.  
1000 Microdialysis catheters may similarly be left attached but the battery that drives the pump is  
1001 MR unsafe, so must be removed. Whereas these two monitoring systems are useful for  
1002 clinical management, a brief hiatus is rarely critically disruptive and probably outweighed by  
1003 the information that MRI and MRS studies provide.

1004 Other specific items that are a projectile risk in the static magnetic field, are at risk of current  
1005 induction causing burning or rotational injury due to changing magnetic fields include:  
1006 pacemakers and their leads, ECG wires and dots, deep brain and spinal cord stimulation  
1007 leads, patient oxygen cylinders, some cerebral aneurysm clips and metal fragments in  
1008 patients' eyes. If these are present and non-removable (such as an implanted pacemaker) they  
1009 will preclude examination by MRS/MRI. In the acute period after a severe TBI it is difficult  
1010 exclude a history of a metal fragment in a patient's eyes but in practice these would have

1011 been detected or excluded on CT examination at presentation for acute TBI. Some tattoos and  
1012 permanent eyeliners may also be heated by the RF pulses but these are often not an absolute  
1013 contraindication to examination by MR. The issue of guarding against tissue heating is not  
1014 just confined to metal fragments but also to uncontaminated tissue and has been mentioned  
1015 above.

1016 Head coils that completely envelope the head make it difficult accessing the patient's airway  
1017 in an emergency. Head coils with joints that can open-up, either hinged along one side, or else  
1018 with a front half that can be detached completely (see Fig 4B), allow access to the airway in  
1019 an emergency and make correctly positioning the patient's head within the head coil easier.  
1020 This is even more relevant when the patient has prominent intracranial monitoring. A  
1021 potential obstacle to performing MR studies on patients with acute severe TBI is the lack of  
1022 head elevation that can be achieved during the scan. This is even more restricted by volume  
1023 head coils. Head elevation to 30 degrees is an effective initial treatment step in the  
1024 management of raised ICP[145], but only up to 5 degrees of head elevation can be achieved  
1025 with padding inside a head coil. Patients with very brittle raised ICP on maximum therapy  
1026 must wait before an MRS study can be performed if they will not tolerate any period lying  
1027 flat.

1028

## 1029 **Summary, conclusions & future prospects**

1030  $^1\text{H}$ ,  $^{31}\text{P}$  and  $^{13}\text{C}$  in vivo MRS are complementary techniques that allow non-invasive  
1031 measurement of different aspects of brain metabolism that may contribute to the clinical  
1032 management of patients with acute severe TBI (see Fig 5).

1033  $^{13}\text{C}$  MRS measures 'upstream' brain energy metabolism: the breakdown of infused  $^{13}\text{C}$   
1034 labelled glucose (or other sugars) via glycolysis and the TCA cycle. To date few studies of  
1035  $^{13}\text{C}$  MRS in TBI exist, but the development of in-vivo hyperpolarized techniques shows  
1036 promise in this field.  $^{31}\text{P}$  MRS allows measurement of 'downstream' metabolism by detecting  
1037 high energy phosphates (ATP and PCr) produced by oxidative phosphorylation and creatine  
1038 kinase in mitochondria. Changes in these metabolites have been noted in a few human and  
1039 animal studies of TBI but further study is required.

1040  $^1\text{H}$  MRS is the most commonly used MRS technique for studying brain metabolism following  
1041 TBI. It has the potential to measure a variety of metabolites: some are associated with  
1042 'upstream' brain energy metabolism such as lactate, glutamate and glutamine, whose flux can  
1043 also be measured by  $^{13}\text{C}$  MRS. Creatine and NAA are associated with the 'downstream'  
1044 metabolism of ATP and PCr, which can also be measured with  $^{31}\text{P}$  MRS. Free brain lipid and  
1045 choline are not as directly linked to brain metabolism and are likely markers of cell  
1046 membrane damage.  $^1\text{H}$  MRS has shown great potential as an additional prognostic tool for  
1047 patients with acute severe TBI, but the region of the brain that should be studied and how  
1048 long after injury it should be performed is debatable[146]. The development of standardised  
1049 protocols of acquisition and analysis would facilitate its progression into clinical care.

1050 MRS has potential to play a bigger role in Phase II trials of therapies by providing surrogate  
1051 markers and “tissue fate” measures that can help determine efficacy and inform whether a  
1052 larger Phase III trial would be worthwhile or not.

1053 Finally, the non-invasive (or minimally invasive) nature of MRS makes it an ideal technique  
1054 for follow-up of patients post-TBI. There is evidence to suggest that TBI produces long-term  
1055 changes in the brain and that neurodegeneration occurs, with earlier onset of pathologies such  
1056 as Parkinson’s and Alzheimer’s disease[147]. Better understanding of brain biochemistry  
1057 may help development of better therapies. MRS is uniquely placed to shed light in such  
1058 investigations.

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In review

1061 **Conflict of interest statement**

1062

1063 The authors declare that they have no competing interests

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1065

In review

1066 **Authors' contributions**

1067

1068 MGS, JLY & KLHC designed the review

1069 MGS, JLY, KLHC, AS & MOM drafted the manuscript

1070 All authors reviewed, edited and approved the manuscript

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In review

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1092 **References**

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## 1586 **Figure Legends**

### 1587 **Figure 1**

1588 Simplified schematic of major energy pathways in the brain includes glycolysis, which takes  
1589 place in the cytosol and produces pyruvate, which enters mitochondria and is converted into  
1590 acetyl CoA that enters the TCA cycle. Alternatively, pyruvate can stay in the cytosol and is  
1591 converted into lactate that is exported out of the cell. The pentose phosphate pathway (PPP)  
1592 takes place in the cytosol and is an alternative pathway that can be upregulated after injury; it  
1593 is an important source of NADPH used to produce the reduced form of glutathione (GSH) for  
1594 preventing oxidative stress. This figure was originally published by Carpenter et al. in *Eur J*  
1595 *Pharm Sci* 57 (2014) 87–97. © 2014 The Authors. Published by Elsevier B.V. Open Access  
1596 under a CC–BY licence[4].

1597

### 1598 **Figure 2**

1599 <sup>1</sup>H MRI (T2W axial slice) and <sup>1</sup>H MRS CSI (TE 135 ms, TR 2200 ms, 3 averages, 4:41 mins  
1600 acquisition time, 200 ms Hanning filter) of healthy control (A), and patient with acute severe  
1601 traumatic brain injury after craniectomy(B) acquired with a 12 channel <sup>1</sup>H volume coil on a  
1602 Siemens 3T scanner, data analysis performed with Siemens Syngo software. A demonstrates  
1603 the position of the selected voxel (blue square, †), represented in C, within the CSI grid  
1604 (hidden). C, <sup>1</sup>H spectrum of 10 x 10 x 15 mm voxel † from healthy volunteer A. D, <sup>1</sup>H  
1605 spectrum of 8 x 8 x 15 mm blue square voxel ‡ of patient B, within the CSI grid (hidden).  
1606 Metabolite peaks are annotated in C and D: Cr, creatine; Cho, choline; NAA,  
1607 N-acetylaspartate, chemical shift on the x-axis in ppm, signal intensity on y-axis using  
1608 arbitrary units. Unpublished images by Tonny V. Veenith, courtesy of the Wolfson Brain  
1609 Imaging Centre, Cambridge, UK.

1610

### 1611 **Figure 3**

1612 <sup>1</sup>H MRI and <sup>31</sup>P MRS CSI (TE 2.30 ms, TR 4000 ms, 25 mm voxels, 30 averages, 18 mins  
1613 acquisition time, 200 ms Hanning filter) of patient with acute severe traumatic brain injury  
1614 acquired with a <sup>31</sup>P birdcage volume coil (PulseTeq, Chobham, Surrey, UK) on a Siemens 3T  
1615 scanner, data analysis performed with Siemens Syngo software. A, Axial FLAIR image  
1616 demonstrating decompressive craniectomy on patient's right side with associated regions of  
1617 high signal in that hemisphere. B, axial T2 HASTE acquired with <sup>1</sup>H channel on a <sup>31</sup>P coil  
1618 overlaid with <sup>31</sup>P MRS CSI grid of 8 x 8, 25 mm cubed voxels. Each voxel contains the  
1619 spectrum from its volume. C&D, <sup>31</sup>P spectrum from voxel †(D) and ‡(C) of image 5A with  
1620 phosphorus peaks annotated. Species can be identified by their chemical shift on the x-axis in  
1621 ppm. Signal intensity on y-axis using arbitrary units. Unpublished images by the authors,  
1622 courtesy of the Wolfson Brain Imaging Centre, Cambridge, UK.

1623 **Figure 4**

1624 (A) Example of a  $^{13}\text{C}$  surface coil (Rapid Biomedical GmbH, Rimpar, Germany) with  
1625 flexible design, allowing it to come in closer contact to the patients' head. Here it is  
1626 positioned to sample the occipital lobe. The coil contains a  $^{13}\text{C}$  channel and  $^1\text{H}$  channel within  
1627 its housing.

1628 (B) Example of a  $^{31}\text{P}$  birdcage volume coil (PulseTeq Ltd, Chobham, Surrey, UK) which can  
1629 be opened, allowing to access a patient's head. The coil also contains a  $^1\text{H}$  channel for  
1630 imaging to allow spectral localisation.

1631

1632 **Figure 5**

1633 Simplified schematic of different metabolites and processes in the brain that can be  
1634 interrogated using  $^1\text{H}$  MRS,  $^{31}\text{P}$  MRS,  $^{13}\text{C}$  MRS and DNP  $^{13}\text{C}$  MRS.  $^1\text{H}$  and  $^{31}\text{P}$  MRS show  
1635 endogenous metabolites;  $^{13}\text{C}$  MRS requires exogenous  $^{13}\text{C}$ -enriched substrate, while for DNP  
1636  $^{13}\text{C}$  MRS the exogenous  $^{13}\text{C}$ -enriched substrate is hyperpolarized before administration,  
1637 transiently boosting  $^{13}\text{C}$  signal. Pathways include uptake of glucose that is metabolised via  
1638 glycolysis in the cytosol (with a low yield of ATP per mole of glucose consumed) producing  
1639 pyruvate. Pyruvate can enter mitochondria where it is converted into acetyl CoA that enters  
1640 the TCA cycle. Pyruvate remaining in the cytosol can be converted into lactate,  
1641 simultaneously recycling NADH into  $\text{NAD}^+$  allowing glycolysis to continue. The rate of  
1642 glucose uptake and glycolysis can be interrogated with  $^{13}\text{C}$  MRS (glucose, lactate  
1643 appearance) whereas the relative flux of "anaerobic" metabolism vs. aerobic mitochondrial  
1644 metabolism can be measured with DNP  $^{13}\text{C}$  MRS (lactate vs.  $\text{HCO}_3^-$ ) and  $^1\text{H}$  MRS (lactate).  
1645 The TCA cycle drives the mitochondrial electron transport chain for high-yield ATP  
1646 synthesis. The rate of the TCA cycle can be calculated by the rate of appearance of  $^{13}\text{C}$   
1647 labelled glutamate ( $^{13}\text{C}$  MRS) and ATP produced measured with  $^{31}\text{P}$  MRS ( $\gamma$ -ATP,  $\beta$ -ATP,  
1648 Pi). Neuronal integrity and mitochondrial function can be measured indirectly by detection of  
1649 NAA with  $^1\text{H}$  MRS (and  $^{13}\text{C}$  MRS). Neuronal-glia coupling is represented by glutamate-  
1650 glutamine cycling detected by  $^{13}\text{C}$  MRS, whereas total combined glutamate and glutamine  
1651 that may be raised in pathological excitotoxicity can be measured with  $^1\text{H}$  MRS. Cell  
1652 membrane integrity and damage and turnover may be represented by  $^1\text{H}$  MRS (choline, lipid)  
1653 and  $^{31}\text{P}$  MRS (PME/PDE ratio), which also can detect the balance and consumption of high  
1654 energy phosphates (ATP, PCr, Pi). Further details of the above, and other MRS-detectable  
1655 molecules (including creatine, myo-inositol, glycogen and nicotinamide-adenine  
1656 dinucleotides), can be found in the text. Abbreviations: ADP, adenosine diphosphate; ATP,  
1657 adenosine triphosphate; Cr, creatine; DNP, dissolution dynamic nuclear polarization; GABA,  
1658 gamma-aminobutyric acid; NAA, N-acetylaspartate; MRS, magnetic resonance spectroscopy;  
1659  $\text{NAD}^+$ , nicotinamide adenine dinucleotide oxidised form; NADH, nicotinamide adenine  
1660 dinucleotide reduced form; PCr, phosphocreatine; PDE, phosphodiester; PME,  
1661 phosphomonoesters; Pi, inorganic phosphate; PPP, pentose phosphate pathway; TCA,  
1662 tricarboxylic acid.

1663

1664

1665 **Tables**

1666

<b>Isotope</b>	<b>Gyromagnetic Ratio (MHz T<sup>-1</sup>)</b>	<b>Larmor frequency at 3 T (MHz)</b>	<b>Natural Abundance (%)</b>	<b>Relative Sensitivity</b>
<sup>1</sup> H	42.58	127.74	99.99	1
<sup>31</sup> P	17.24	51.72	100.00	0.0665
<sup>13</sup> C	10.71	32.13	1.11	0.00018

1667

1668 Table 1. Hydrogen, phosphorus and carbon gyromagnetic ratio, Larmor frequency at 3T, %  
1669 natural abundance and relative sensitivity to <sup>1</sup>H MRS accounting for % natural abundance of  
1670 the isotopes and Larmor frequency, but not natural concentration of biomolecules in the  
1671 brain. *Adapted from de Graaf, In Vivo NMR Spectroscopy (2007) [15]*

1672

In review

	Spectrum Peak	Physiology	Change in Acute TBI	Change in Chronic TBI	Correlation to prognosis
NAA	2.02 ppm	Neuron viability	↓↓	↓	✓
Creatine	3.02 ppm	ATP generation and energy metabolism	↔		
Choline	3.24 ppm	Cell membrane turnover	↑↑	↑	✓
myo-Inositol	3.5 ppm	Osmoregulation	↑		✓
Glx (glutamate+ glutamine)	2.2-2.4 ppm	Excitatory neurotransmitter (glutamate)	↑↑		✓
Lactate	1.33 ppm	Mitochondrial dysfunction	↑		✓
GABA	2.2-2.4 ppm	Inhibitory neurotransmitter	↓		
Lipid	1.3 ppm	Cell membrane	↑		✓

1674 Table 2. Summary of metabolite changes following TBI detectable with *in-vivo* <sup>1</sup>H MRS. ↑:  
 1675 increase in metabolite; ↓: decrease in metabolite; ↔: no significant change or insufficient  
 1676 data; ✓: potential clinical use as a prognostic predictor; ppm: parts per million.

1678

	Changes in hyperacute Stage*	Changes in subacute Stage	Correlation to prognosis
Metabolites associate with energy metabolism	↓ PCr ↑ Pi ↓ PCr/Pi	↑ PCr/ATP ↑ PCr/Pi	✓*
Change in pH	↓		✓
Mg <sup>2+</sup>	↓ <sup>†</sup>	↑ ↓	✓* <sup>†</sup>

1679 Table 3. Summary of metabolite changes following TBI detectable with *in-vivo* <sup>31</sup>P MRS. ↑ :  
 1680 increase in metabolite; ↓ : decrease in metabolite; ✓ : potential clinical use as a prognostic  
 1681 predictor; \* indicates animal studies. † In animal studies Mg<sup>2+</sup> falls proportionally to injury  
 1682 severity, except for following the most severe TBI.

1683

In review

Figure 1.TIFF

**Energy-related molecules in brain**

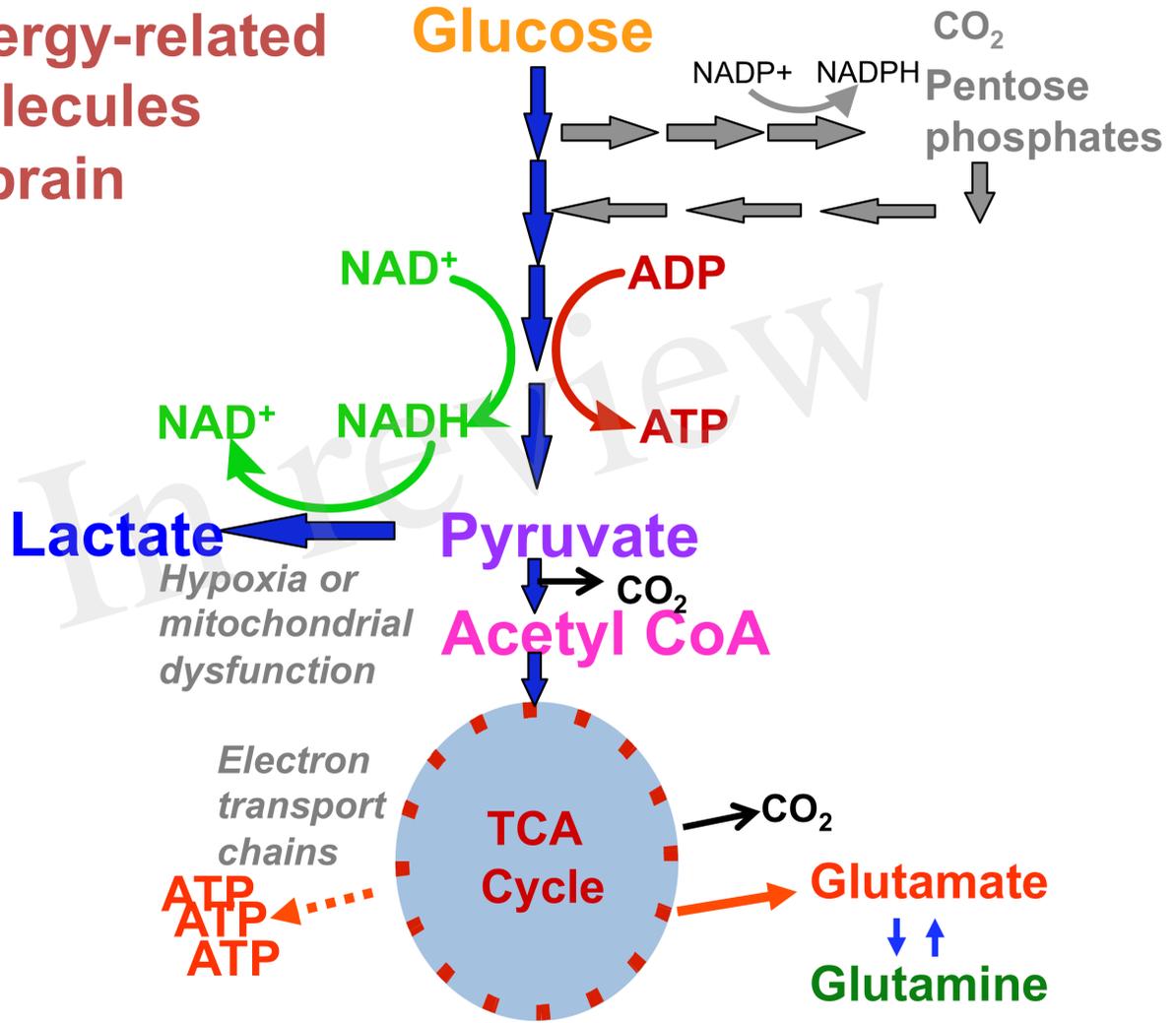


Figure 2.TIFF

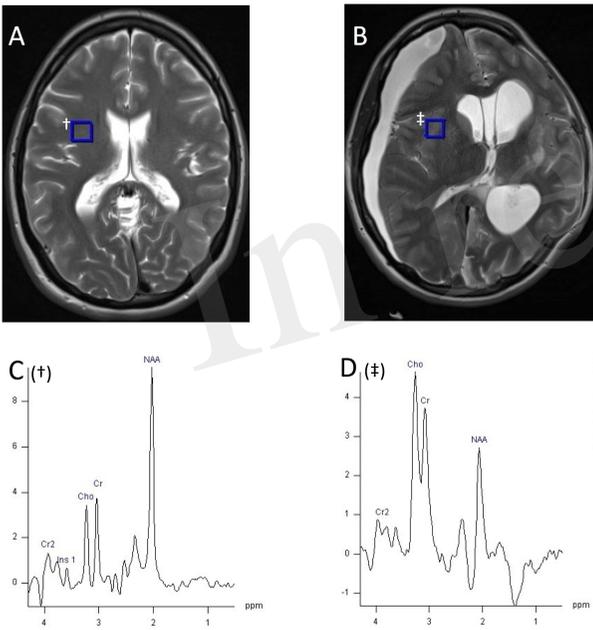
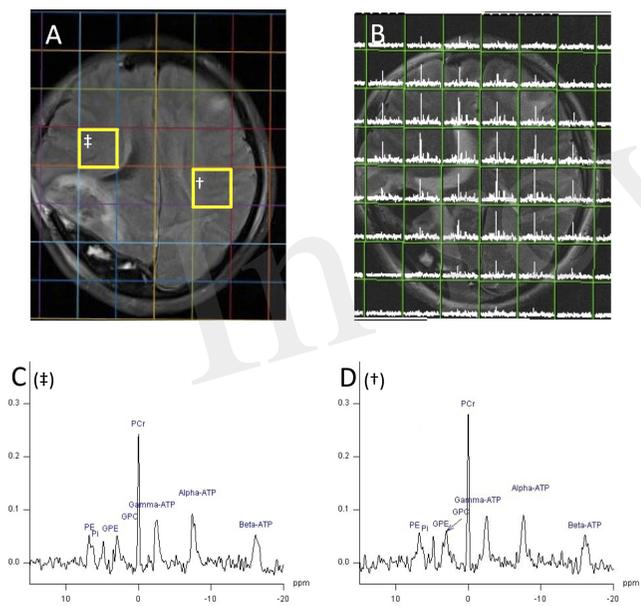


Figure 3.TIFF



a.

Figure 4.TIFF



b.

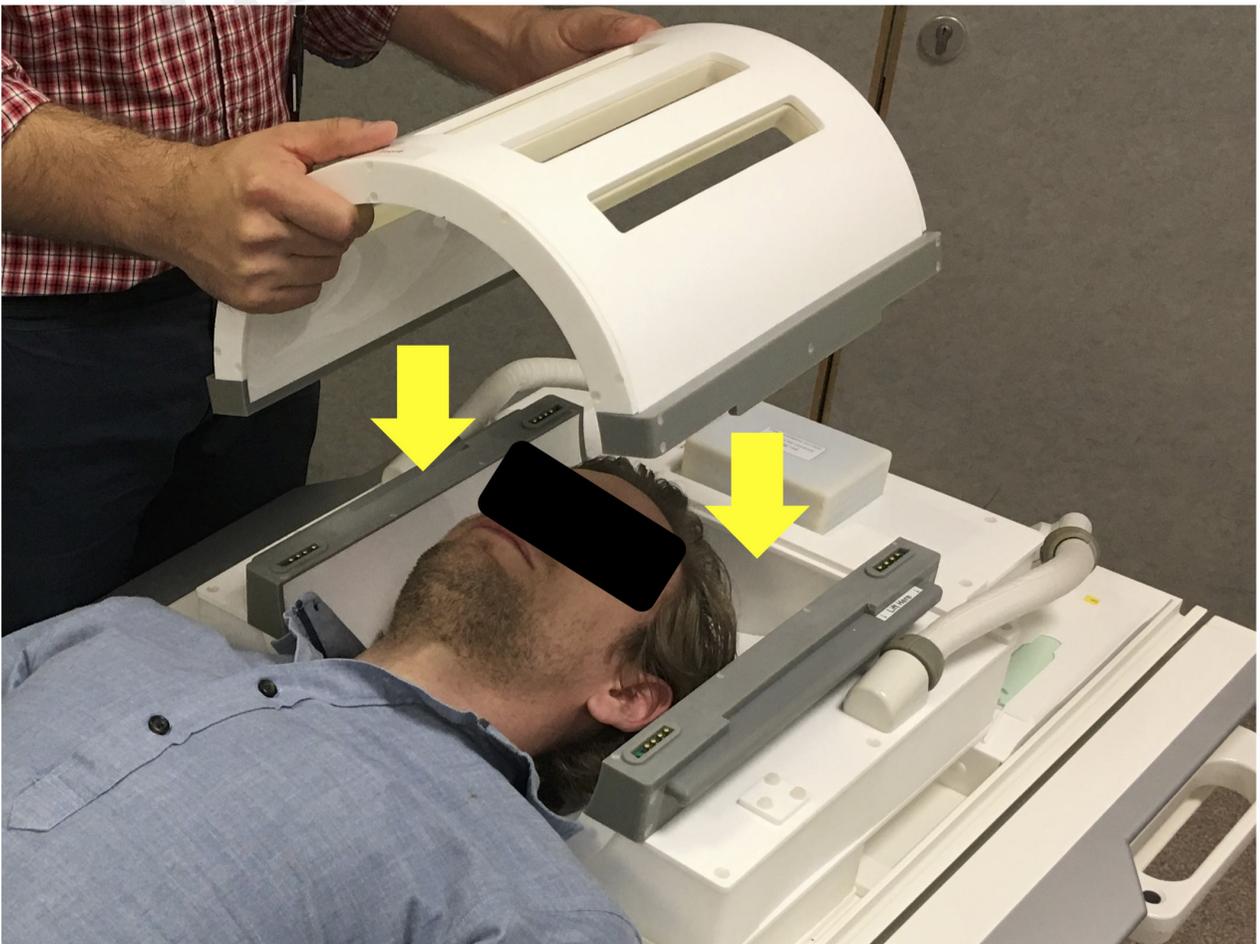


Figure 5.TIFF

