

#### Credit where credit is due

SciFri, Neil Chalmers Seminar Room, Natural History Museum 26 May 2017

Dr Danny Kingsley
Office o Scholarly Communication
Cambridge University
@dannykay68

## Sci Fri promotional blurb

Did you hear the one about how Economics researchers surveyed said they would be prepared to give a quarter of a thumb to get published in a particular journal? It would be funny if it were not true. What has happened to science? Perverse incentives are causing an avalanche of problems in science, not least the reproducibility crisis. Things are so bad the UK Government has started an enquiry into research integrity. This talk will look at the reward structure in science, how it works and the issues it is causing. Opening up all aspects of the scientific process and increasing transparency will not only improve the veracity of the scientific record but will also allow reward where it is due.

# Something is wrong



#### Your right arm for a publication in AER?

Arthur Attema and Werner Brouwer and J van Exel

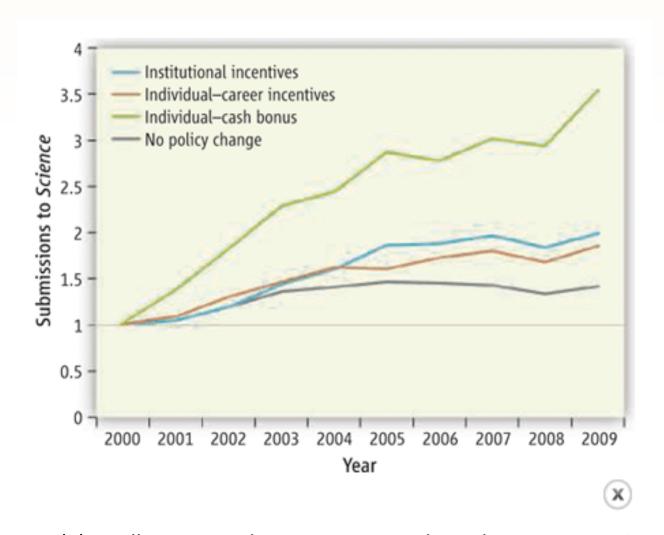
Erasmus University Rotterdam, Erasmus University Rotterdam, Erasmus University Rotterdam

31. January 2012

ABSTRACT. The time tradeoff (TTO) method is popular in medical decision making for valuing health states. We use it to elicit economists' preferences for publishing in top economic journals and living without limbs. The economists value the journals highly, and have a clear preference between them, with American Economic Review (AER) the most preferred. Their responses imply they would sacrifice more than half a thumb for publishing in AER. The TTO results are consistent with ranking and willingness to pay results, and indicate that preferences for journals are neither guided by influence factors, nor by expectations of a resulting salary rise.

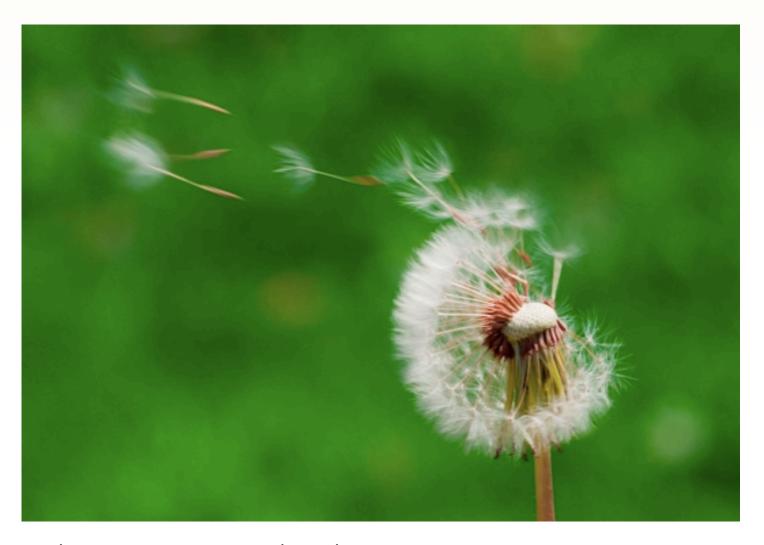
https://mpra.ub.uni-muenchen.de/36801/1/MPRA paper 36801.pdf

## Money talks



Franzoni C(1), Scellato G, Stephan P., Science policy. Changing incentives to publish. Science. 2011 Aug 5;333(6043):702-3. doi: 10.1126/science.1197286

# University incentives worldwide



John Liu CC BY 2.0 via Wikimedia Commons

#### India

- Cash award for publishing papers
  - To further promote research, the institute has started a program to award cash of Rs 10,000 to any full time student researcher of IITK who publishes a paper in a journal listed by the ISI Web of Science. In the case of more than one author, the prize money shall be shared by all the authors. A maximum of two awards are given during a degree course. This initiative was started in April 2000
    - https://www.iitk.ac.in/dora/cash-award-for-publishing-papers
- Faculty Award Incentive for Research Publication (FAIR Publication)
  - Started 1 January 2017
    - https://manipal.edu/mu/directorate-of-research/policies/incentive-policy/fair-publicationfaculty-award-incentive-for-research-publicatio.html

Journal Impact Factor	First Author (a)	Corresponding Author (b)	Faculty Co Authors (c)	Student Co Authors*(d)	Top 10% journals by SNIP/SJR**	Maximum Cash Incentive (Per paper)
Less than 0.1	3000	3000	1500	1500	1500	10500
More than 0.1 up to 1	6000	6000	3000	3000	3000	21000
More than 1 & up to 5	12000	12000	6000	6000	6000	42000
More than 5 & up to 10	18000	18000	9000	9000	9000	63000
More than 10 & up to 20	24000	24000	12000	12000	12000	84000
More than 20	36000	36000	18000	18000	18000	126000

#### **Pakistan**

- Office of Research Innovation and Commercialisation.
   Promotion Of Research Award
  - "Any Researcher who publishes a full length research article in either "Science" or "Nature" will be awarded a cash prize of 0.5 million. In addition, a full length research publication that appears in journals having equal or higher impact factor than the lowest impact factor of either of these two journals ( 'Sciences" or " Nature"), will also be considered for the award."
    - http://ww3.comsats.edu.pk/ORIC/IFAwards.aspx
- Research Publications Policy (19 January 2016)
  - "Revised Cash Awards Policy for Publication of Research Papers / Books For Faculty & Students"
    - https://www.bahria.edu.pk/cash-award-policy-on-research-publications/

#### **Policy Statement:**

Cash Award will be given to the research publication in the following categories:

1. ISI Indexed with Impact Factor Journal Publication

50,000/-

2. ISI Indexed without Impact Factor Journal Publication

25,000/-

3. Book Publication (subject to the verification from HEC)

25.000/-

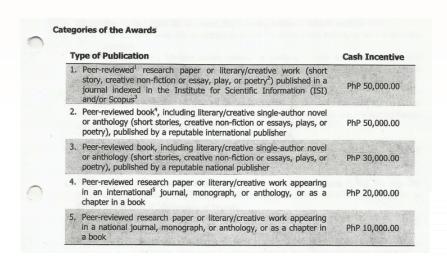
4. HEC Recognized local/Pakistani Journal

10.000/-

## Malaysia

- Terms and conditions for payment of incentives for publications indexed in ISI-Web-Of-Science (WoS) (2014-2016)
  - Incentives for publications indexed in ISI-Web-of-Science will be made based on the previous year's JCR. For example JCR 2013 will be referred for publications in year 2014.
  - https://umexpert.um.edu.my/file/notice/
     TERMS AND CONDITIONS FOR PAYMENT OF I
     NCENTIVES 2014-2016.pdf

## Phillipines



 http://www.xu.edu.ph/images/offices/ research\_and\_social\_outreach/tripod\_units/ xu\_press/docs/guidelines\_pubawards.pdf

# Research Incentive Scheme

 http://www.ifsu.edu.ph/wp-content/ uploads/2016/04/PG69s2015-Research-Incentive-Scheme.pdf

incentives depending on circulation level categorized as follows:

	Cash Incentives (PhP)				
Categories	ISI/Scopus Indexed	CHED-	Other Refereed Journals with Impact Factor (IF)		
	Journal	Recognized Journal	With IF of 3.5 & Above	With IF of less than 3.5	
E-journals/ books with national/ international circulation	30,000.00	20,000.00	10,000.00	5,000.00	
Printed journals/ books/ regular	20,000.00	10,000.00	5,000.00 regardless	s of Impact Factor	

1

magazines w	rith		
national/			
international			
circulation			

Notes:

## And a plaque!

#### Type 1- General Paper Reward:

The papers published in journals which are *listed in Web of science (WoS) or Science Citation Index (SCI)/SCI-Expended or Social Sciences Citation Index (SSCI) or Scopus database* are rewarded according to the following table. Impact factor is calculated according to Thomson Reuters (ISI). The maximum value for this reward is QR **15,000**.

No	Journals Impact Factor	Rewards (QR)
1	Indexed papers in journals with no impact factor or with Impact Factor of 0.1-0.99	3000 <sup>*</sup>
2	Articles in journals with Impact Factor of 1.0	4000

<sup>\*</sup>This is flat reward per paper applied only in the case of Humanities, Islamic Studies, and Social Sciences.
Only publications in the approved College's Journal list will be considered

#### Type 2 - Publication in Nature or Science Journal:

Award for publication in Nature Journal or Science journal. The value of this reward is QR **50,000** in addition to a plaque recognizing the efforts.

- Qatar
  - http://www.qu.edu.qa/offices/research/academic/research\_reward.php

#### Is there a common theme here?



CURRENCY EXCHANGE @ IMAGES MONEY (CC BY 2.0) VIA FLICKR

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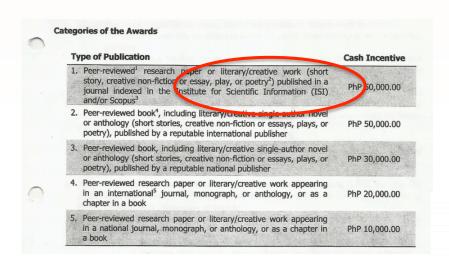
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1

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# Bloody impact factors

Jiménez-Contreras E, Delgado López-Cózar E, Ruiz-Pérez R, Fernández VM., Impact-factor rewards affect Spanish research. *Nature*. 2002 Feb 14;415(6873):726-9. doi: 10.1038/417898b

Huggett S, Impact factors: Cash puts publishing ethics at risk in China, Nature. 2012 Oct 18;490(7420):342. doi: 10.1038/490342c.

The number of scientific papers published in China in recent years has increased exponentially (see <a href="mailto:go.nature.com/8fjhdt">go.nature.com/8fjhdt</a>). There are concerns that these numbers are being inflated by a payment scheme offered by some Chinese institutions to boost publication in journals with high impact factors (J. Shao and H. Shen Learned Publ. 24, 95–97; 2011 <a href="http://dx.doi.org/10.1087/20110203">http://dx.doi.org/10.1087/20110203</a>).

#### Let's talk about JIF

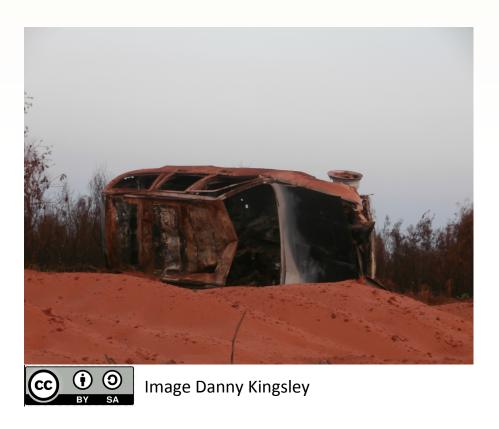
#### Impact Factor for 2015 is

- Number of citations in 2014, of (some) articles published in 2012-2013 divided by:
- Number of articles published in the journal in 2012-2013
- In 2016 Nature has a JIF of 41.456. This is supposed to mean that over the past 2 years, Nature articles have been cited, on average, about 41 times each

#### Issues with the JIF

- Only a selection of journals
- Some disciplines badly represented
- English language bias
- North American bias
- Timeline
- Measuring the vessel, not the contents!
- Uneven distribution.
  - Argument that we should be making non-citation levels available 10.1186/1471-2288-4-14

#### Journals banned from the JIF list



- Journals are removed because of:
  - Self-citation
  - Citation stacking –
     where journals cite
     each other
  - Requirements to cite from within the journal
- 2013 66 journals
- 2012 51 journals
- 2011 34 journals

http://blogs.nature.com/news/2013/06/new-record-66-journals-banned-for-boosting-impact-factor-with-self-citations.html

#### Backlash



Scientists have a love-hate relationship with the journal impact factor (JIF), the measurement used to rank technical journals by prestige. They have come to use it not only for deciding where to submit research papers, but for judging their peers, as well as influencing who wins

http://www.sciencemag.org/news/2016/07/hate-journal-impact-factors-new-study-gives-you-one-more-reason

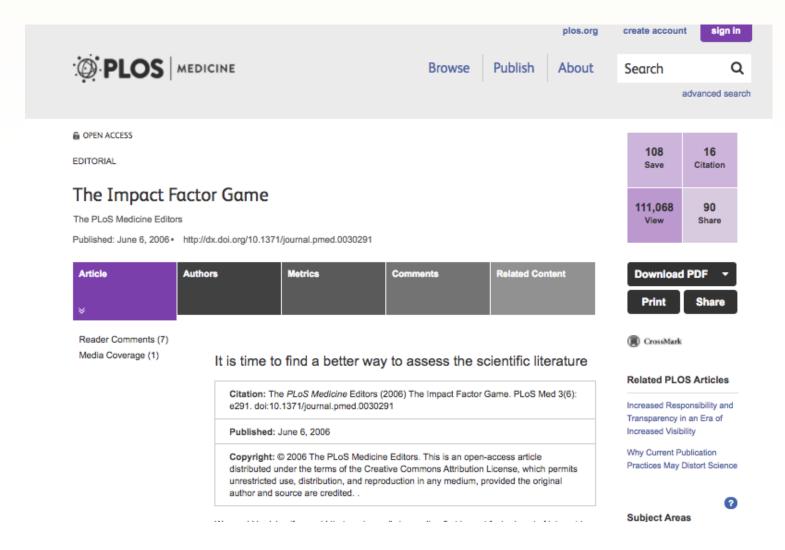
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Hate joliouse a journal's also revealed a large number. So trying analysis also revealed to guesswork. The analysis also revealed to guesswork. The analysis also revealed to guesswork. average number. So trying to use a journal's JIF to forecast the impact of any particular particula

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http://www.sciencemag.org/news/2016/07/hate-journal-impactfactors-new-study-gives-you-one-more-reason

#### Backlash





# But measuring JIF is easy!



Image: Peter CC-BY-SA

## It never works out the way you think

Incentive	Intended effect	Actual effect
"Researchers rewarded for increased number of publications."	"Improve research productivity," provide a means of evaluating performance.	"Avalanche of" substandard, "incremental papers"; poor methods and increase in false discovery rates leading to a "natura selection of bad science" (Smaldino and Mcelreath, 2016); reduced quality of peer review
"Researchers rewarded for increased number of citations."	Reward quality work that influences others.	Extended reference lists to inflate citations; reviewers request citation of their work through peer review
"Researchers rewarded for increased grant funding."	"Ensure that research programs are funded, promote growth, generate overhead."	Increased time writing proposals and less time gathering and thinking about data. Overselling positive results and downplay of negative results.
Increase PhD student productivity	Higher school ranking and more prestige of program.	Lower standards and create oversupply of PhDs. Postdocs often required for entry-level academic positions, and PhDs hired for work MS students used to do.
Reduced teaching load for research- active faculty	Necessary to pursue additional competitive grants.	Increased demand for untenured, adjunct faculty to teach classes.
"Teachers rewarded for increased student evaluation scores."	"Improved accountability; ensure customer satisfaction."	Reduced course work, grade inflation.
"Teachers rewarded for increased student test scores."	"Improve teacher effectiveness."	"Teaching to the tests; emphasis on short-term learning."
"Departments rewarded for increasing U.S. News ranking."	"Stronger departments."	Extensive efforts to reverse engineer, game, and cheat rankings.
"Departments rewarded for in- creasing numbers of BS, MS, and PhD degrees granted."	"Promote efficiency; stop students from being trapped in degree programs; impress the state legislature."	"Class sizes increase; entrance requirements" decrease; reduce graduation requirements.
"Departments rewarded for increasing student credit/contact hours (SCH)."	"The university's teaching mission is fulfilled."	"SCH-maximization games are played": duplication of classes, competition for service courses.

Edwards, Marc A., and Siddhartha Roy. 2017. Academic Research in the 21st Century: Maintaining Scientific Integrity in a Climate of Perverse Incentives and Hypercompetition. *Environmental Engineering Science\_34(1)*: 51-61. http://online.liebertpub.com/doi/pdf/10.1089/ees.2016.0223

# This is becoming more common

#### Retraction Watch

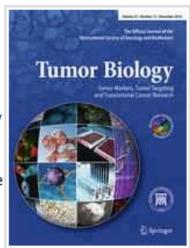
Tracking retractions as a

# A new record: Major publisher retracting more than 100 studies from cancer journal over fake peer reviews

with 17 comments

Springer is <u>retracting 107 papers</u> from one journal after discovering they had been accepted with fake peer reviews. Yes, 107.

To submit a fake review, someone (often the author of a paper) either makes up an outside expert to review the paper, or suggests a real researcher — and in both cases, provides a fake email address that comes back to someone who will invariably give the paper a glowing review. In this case, Springer, the publisher of *Tumor Biology* through 2016, told us that an investigation produced "clear evidence" the reviews were submitted under the names of real researchers with faked emails. Some of the authors may have used a third-party editing service, which may have supplied the reviews. The journal is now published by SAGE.



The retractions follow another sweep by the publisher last year, when <u>Tumor Biology</u>

<u>retracted 25 papers</u> for compromised review and other issues, mostly authored by researchers based in Iran.

With the latest bunch of retractions, the journal has now retracted the most papers of any other journal indexed by Clarivate Applytics' Web of Science, formerly part of Thomson Pouters. In 2015, its impact factor

http://retractionwatch.com/2017/04/20/new-record-major-publisher-retracting-100-studies-cancer-journal-fake-peer-reviews/

#### Community action

#### Themes

- Eliminate the use of journalbased metrics, such as Journal Impact Factors, in funding, appointment, and promotion considerations;
- The need to assess research
   on its own merits rather than
   on the basis of the journal in
   which the research is
   published; and
- The need to capitalize on the opportunities provided by online publishing
- >12,500 individuals & >900 organisations



http://www.ascb.org/dora/

#### We are stuck



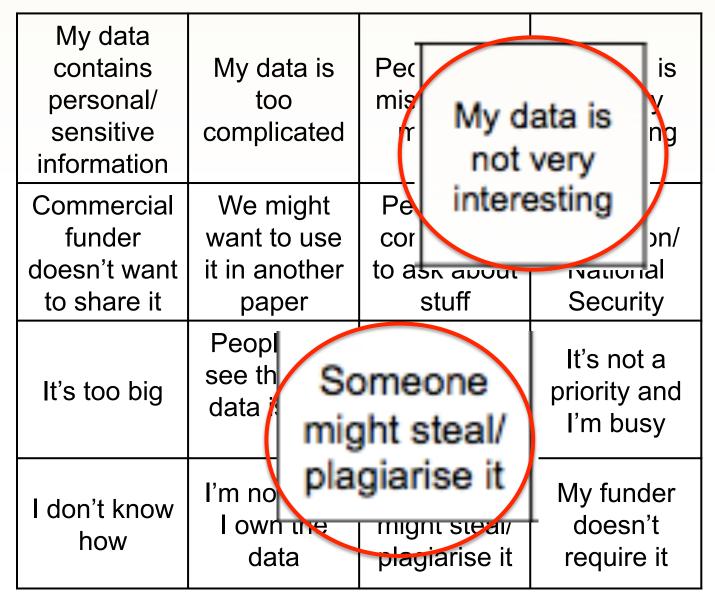
The insistence on the need to publish novel results in high impact journals is creating a multitude of problems with the scientific endeavour

#### Problem - reluctance to share data

My data contains personal/ sensitive information	My data is too complicated	People may misinterpret my data	My data is not very interesting
Commercial funder doesn't want to share it	We might want to use it in another paper	People will contact me to ask about stuff	Data Protection/ National Security
It's too big	People will see that my data is bad	I want to patent my discovery	It's not a priority and I'm busy
I don't know how	I'm not sure I own the data	Someone might steal/ plagiarise it	My funder doesn't require it

Data Excuse Bingo created by @jenny\_molloy

## Incompatible!



Data Excuse Bingo created by @jenny\_molloy

# 'Someone might steal/plagiarise it'

'A second concern held by some is that a new class of research person will emerge — people who had nothing to do with the design and execution of the study but use another group's data for their own ends, possibly stealing from the research productivity planned by the data gatherers, or even use the data to try to disprove what the original investigators had posited. There is concern among some front-line researchers that the system will be taken over by what some researchers have characterized as "research parasites."'

EDITORIAL 'Data Sharing', Dan L. Longo, M.D., and Jeffrey M. Drazen, M.D. N Engl J Med 2016; 374:276-277 January 21, 2016 DOI: 10.1056/NEJMe1516564

# Solution – reward data sharing

 REgistry of REsearch Data REpositories <a href="http://www.re3data.org/">http://www.re3data.org/</a>

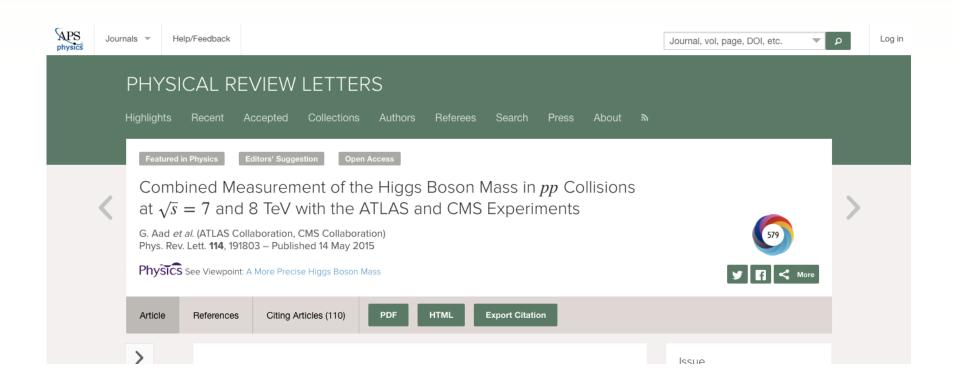


 Joint Declaration of Data Citation Principles <u>https://www.force11.org/group/joint-</u> declaration-data-citation-principles-final



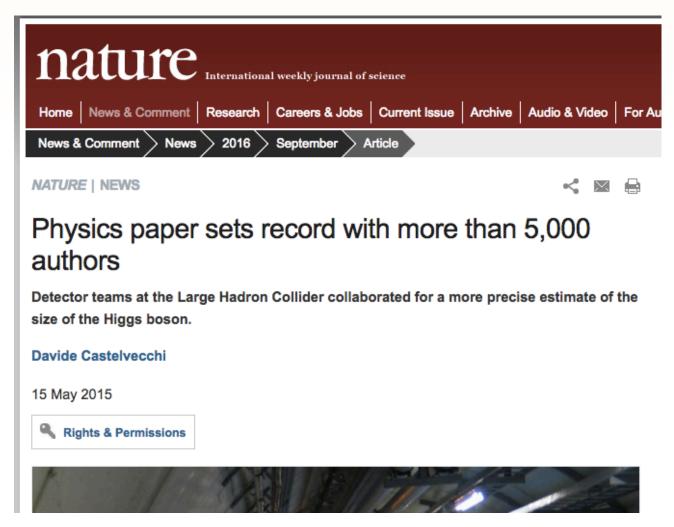
# Problem: Hyperauthorship

24 of the 33 pages of this paper listed the over 5,000 authors (nine pages are the paper itself)



http://journals.aps.org/prl/abstract/10.1103/PhysRevLett.114.191803

# Storm of protest

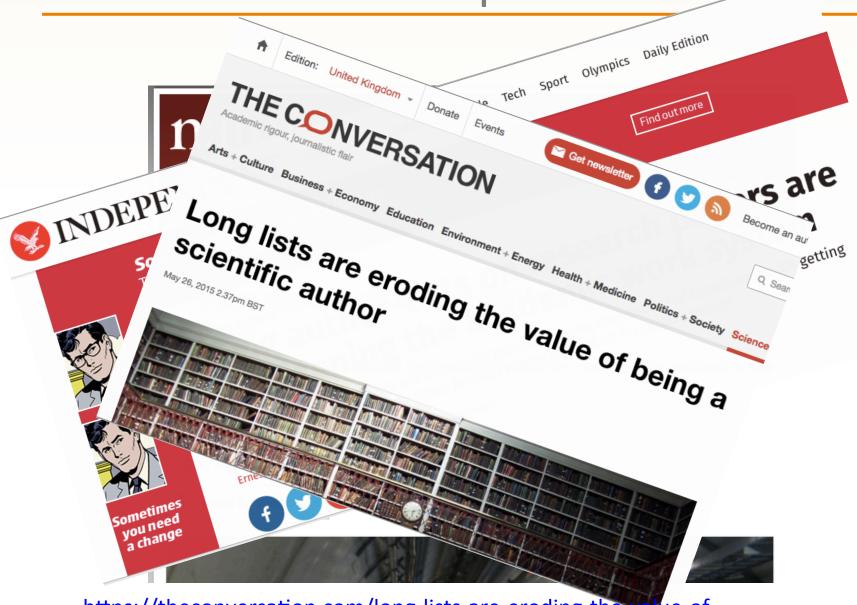


http://www.nature.com/news/physics-paper-sets-record-with-more-than-5-000-authors-1.17567

# Storm of protest News Voices Culture Lifestyle Tech Sport Olympics Daily Edition **INDEPENDENT** News, Science Long author-lists on research papers are Sometimes you need a change Try varifocal contact lenses free threatening the academic work system Now that academic papers are written by thousands (yes, thousands) of contributors, it's getting hard to tell workers from shirkers. Ernesto Priego reports on 'hyperauthorship' Now that academic papers are Written by thousands (yes, thousands) of contribe hard to tell workers from shirkers. Ernesto Priego reports on 'hyperauthorship' Ernesto Priego | Wednesday 27 May 2015 | \$\sigma 0\$ comments Sometimes you need a change

http://www.independent.co.uk/news/science/long-author-lists-on-research-papers-are-threatening-the-academic-work-system-10279748.html

# Storm of protest



https://theconversation.com/long-lists-are-eroding-the-value-of-being-a-scientific-author-42094

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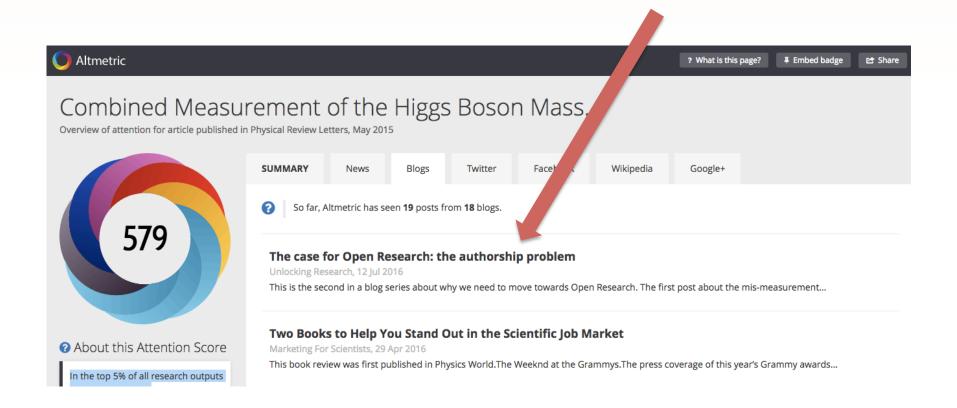


### Speaking of other ways of measuring...

This Altmetrics score of 579 is "in the top 5% of all research outputs scored by Altmetric" APS Journals -Help/Feedback Log in Journal, vol, page, DOI, etc. PHYSICAL REVIEW LETTERS Highlights Collections Authors Combined Measurement of the Higgs Boson Mass in pp Collisions at  $\sqrt{s} = 7$  and 8 TeV with the ATLAS and CMS Experiments G. Aad et al. (ATLAS Collaboration, CMS Collaboration) Phys. Rev. Lett. 114, 191803 - Published 14 May 2015 Physics See Viewpoint: A More Precise Higgs Boson Mass **Export Citation** Article References Citing Articles (110) PDF HTML

http://journals.aps.org/prl/abstract/10.1103/PhysRevLett.114.191803

### Blogged because of author list!



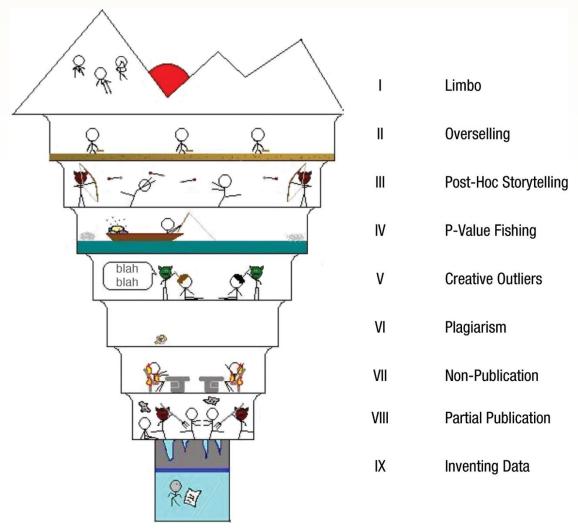
# Problem: Reproducibility



Scientists are very rarely rewarded for being right, they are rewarded for publishing in certain journals and for getting grants.

#### The nine circles of scientific hell

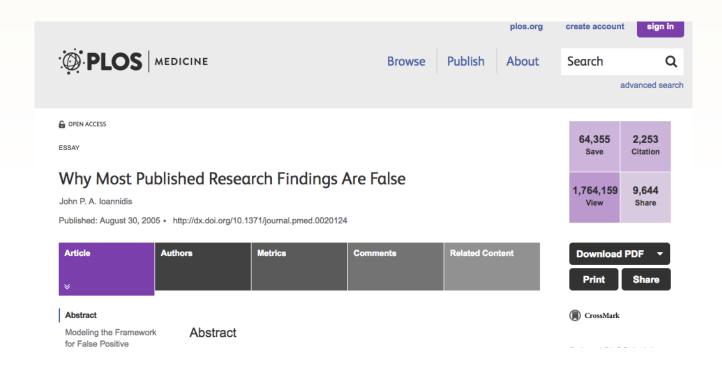
(with apologies to Dante and xkcd)



Neuroskeptic Perspectives on Psychological Science 2012;7:643-644



#### Oh dear

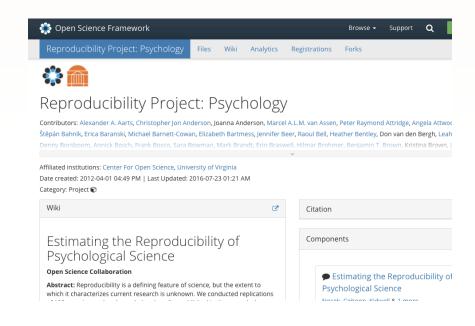


"Simulations show that for most study designs and settings, it is more likely for a research claim to be false than true."

# Reproducibility project

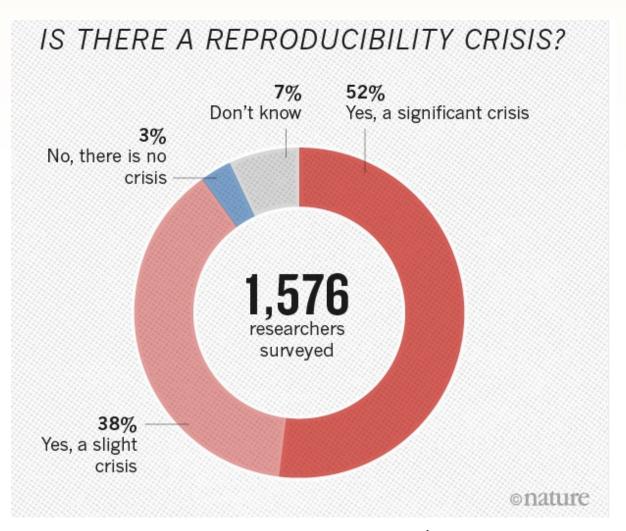
Conducted replications of 100 experimental and correlational studies published in three psychology journals using high-powered designs and original materials when available.

- Replication effects = half the magnitude of original effects (substantial decline)
- 97% of original studies had significant results
- 36% of replications had significant results



https://osf.io/ezcuj/

### Crisis?



Nature, **533**, 452–454 (26 May 2016) doi:10.1038/533452a <a href="http://www.nature.com/news/1-500-scientists-lift-the-lid-on-reproducibility-1.19970">http://www.nature.com/news/1-500-scientists-lift-the-lid-on-reproducibility-1.19970</a>

## Interest at highest level



- Research Integrity Enquiry
  - UK Government Science and Technology
     Committee Submissions closed 10 March 2017
  - https://www.parliament.uk/business/committees/committees-a-z/commonsselect/science-and-technology-committee/inquiries/parliament-2015/inquiry6/

#### Problem: Poor science

Downloaded from http://rsos.royalsocietypublishing.org/ on November 7, 2016

## ROYAL SOCIETY OPEN SCIENCE

#### rsos.royalsocietypublishing.org

#### 6



#### Research

Cite this article: Smaldino PE, McElreath R. 2016 The natural selection of bad science. R. Soc. open sci. 3: 160384. http://dx.doi.org/10.1098/rsos.160384

Received: 1 June 2016 Accepted: 17 August 2016

#### Subject Category:

Psychology and cognitive neuroscience

#### **Subject Areas:**

theoretical biology/computer modelling and simulation/statistics

# The natural selection of bad science

#### Paul E. Smaldino<sup>1</sup> and Richard McElreath<sup>2</sup>

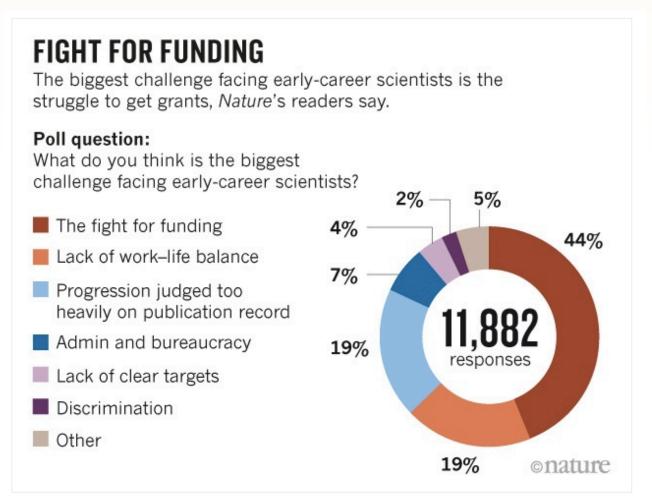
<sup>1</sup>Cognitive and Information Sciences, University of California, Merced, CA 95343, USA <sup>2</sup>Department of Human Behavior, Ecology, and Culture, Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany

PES, 0000-0002-7133-5620; RME, 0000-0002-0387-5377

Poor research design and data analysis encourage false-positive findings. Such poor methods persist despite perennial calls for improvement, suggesting that they result from something more than just misunderstanding. The persistence of poor methods results partly from incentives that favour them, leading to the natural selection of bad science. This dynamic requires no conscious strategizing-no deliberate cheating nor loafingby scientists, only that publication is a principal factor for career advancement. Some normative methods of analysis have almost certainly been selected to further publication instead of discovery. In order to improve the culture of science, a shift must be made away from correcting misunderstandings and towards rewarding understanding. We support this argument with empirical evidence and computational modelling. We first present a 60-year meta-analysis of statistical power in the behavioural sciences and show that power has not improved despite repeated demonstrations of the necessity of increasing

http://rsos.royalsocietypublishing.org/content/royopensci/3/9/160384.full.pdf

#### Problem: Attrition crisis?



Hard work, little reward: Nature readers reveal working hours and research challenges, Nature News, 4 November 2016,

http://www.nature.com/news/hard-work-little-reward-nature-readers-reveal-working-hours-and-research-challenges-1.20933

### To recap

- Reluctance to share data
  - (all disciplines)
- Hyperauthorship
  - (Physics)
- Reproducibility
  - (Psychology, Neuroscience, Pharmacology)
- Poor Science
  - (Sociology, economics, climate science also vulnerable)
- Attrition
  - (all disciplines)
- This all comes down to the reliance on publication of novel results in high impact journals

# Time for a change





Image by Danny Kingsley

The whole outdated enterprise is kept alive for one main reason: the fact that employers and funders of researchers assess researchers primarily by where they publish. It's extraordinary to me and many others that the employers, mainly universities, outsource such an important function to an arbitrary and corrupt system.

'Richard Smith: Another step towards the post-journal world' BMJ blog, 12 Jul. 16

#### Solution





We distribute dissemination across the research lifecycle and reward it

 The Case for Open Research - series of blogs July & August 2016

https://unlockingresearch.blog.lib.cam.ac.uk/?page\_id=2#OpenResearch

# Disciplines

Biomedical researchers	actively practice open research
Clinical researchers	practising open research
Population and public health researchers	experience challenges in data sharing that need addressing
Humanities researchers	have very little experience of data sharing and seemingly not much could motivate them to share their data
Social science researchers	little experience of data sharing and reuse and perceive minimal benefits from data sharing

Van den Eynden, Veerle et al. (2016) *Towards Open Research: practices, experiences, barriers and opportunities*. Wellcome Trust.

https://dx.doi.org/10.6084/m9.figshare.4055448

## All the rage

arXiv.org

PeerJ Preprints - rapid communication & early findings







ACS Nano. 2016 Oct 25;10(10):9053-9054.

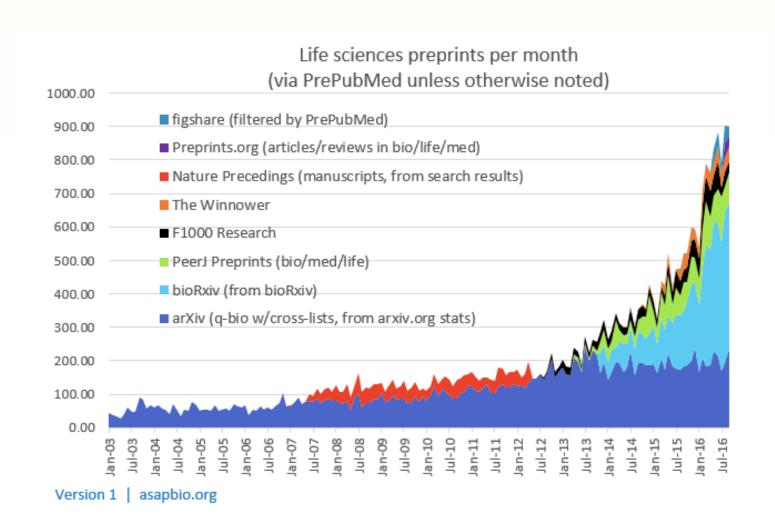
ChemRXiv: A Chemistry Preprint Server.

Kiessling LL, Fernandez LE, Alivisatos AP, Weiss PS.

PMID: 27776406 DOI: 10.1021/acsnano.6b07008



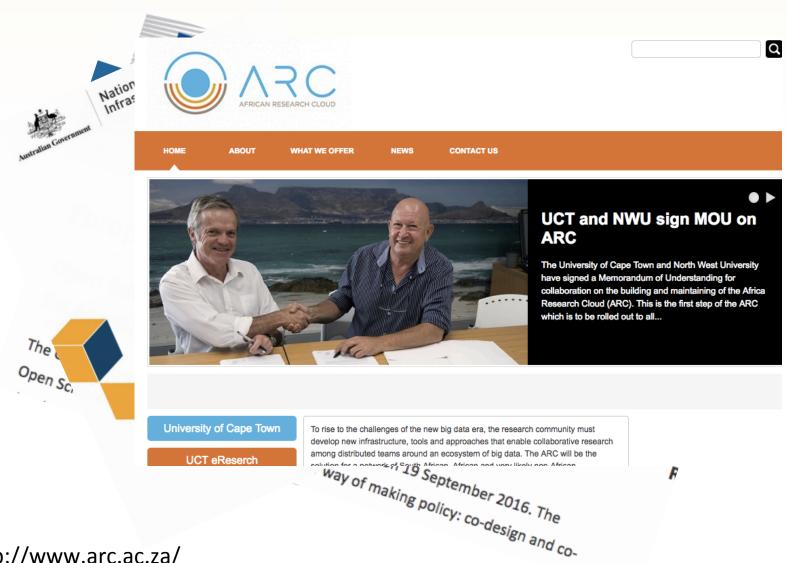
## Dramatic growth



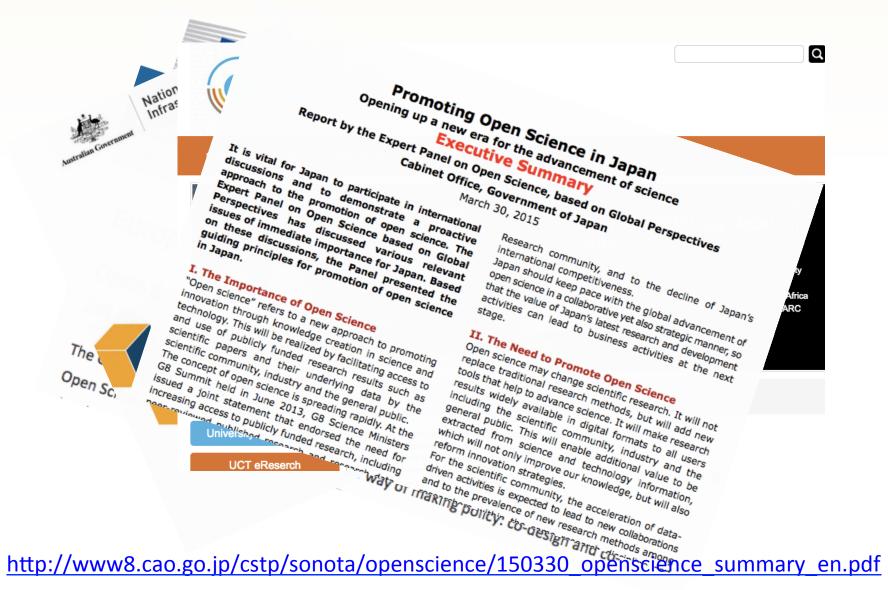




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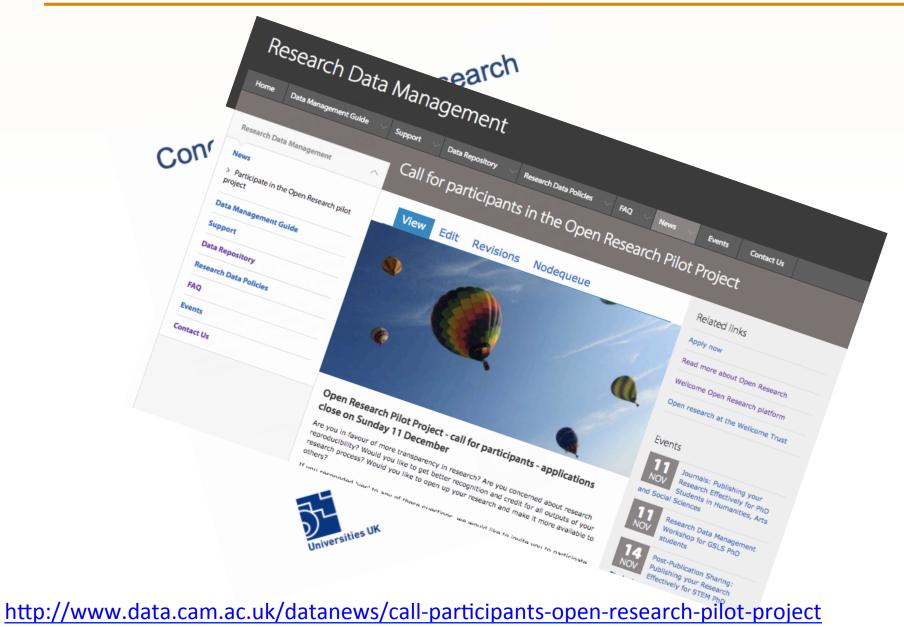
http://www.arc.ac.za/



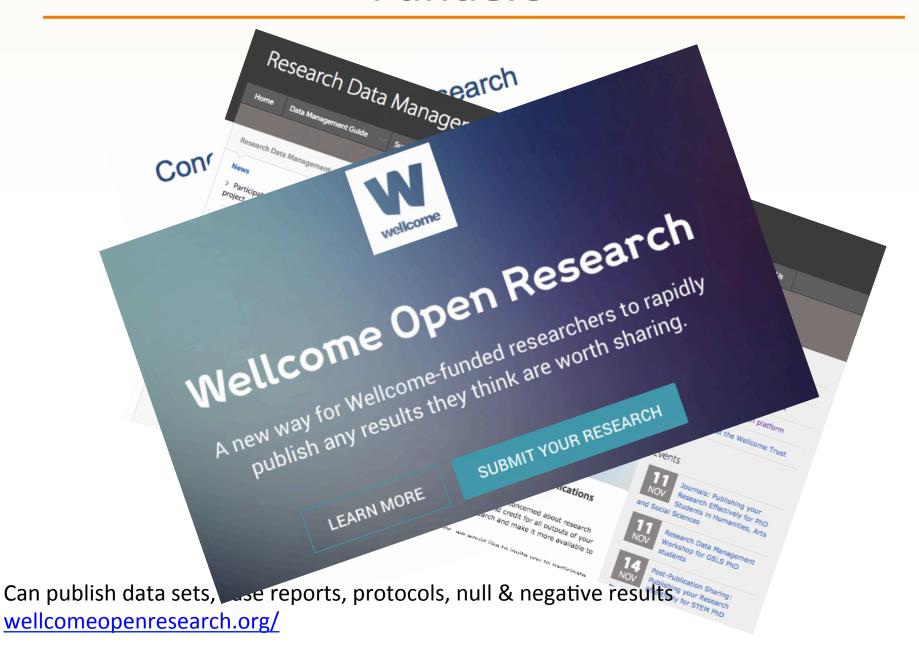
### **Funders**



### **Funders**

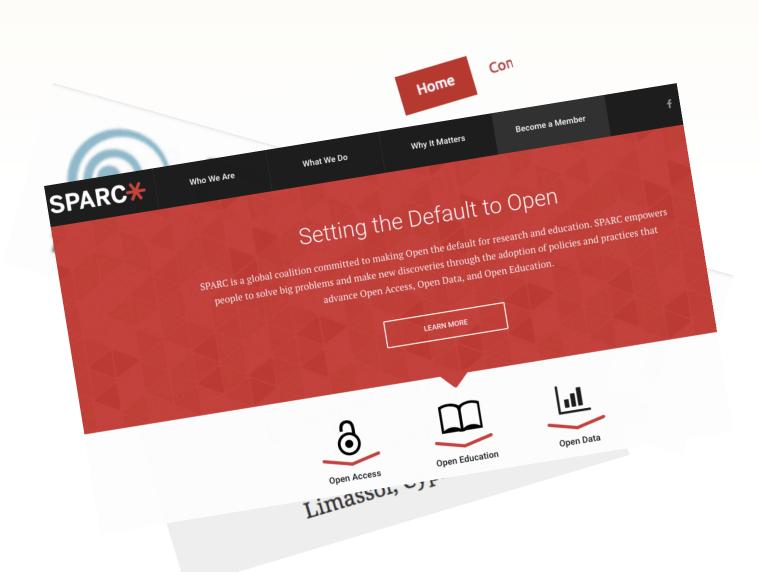


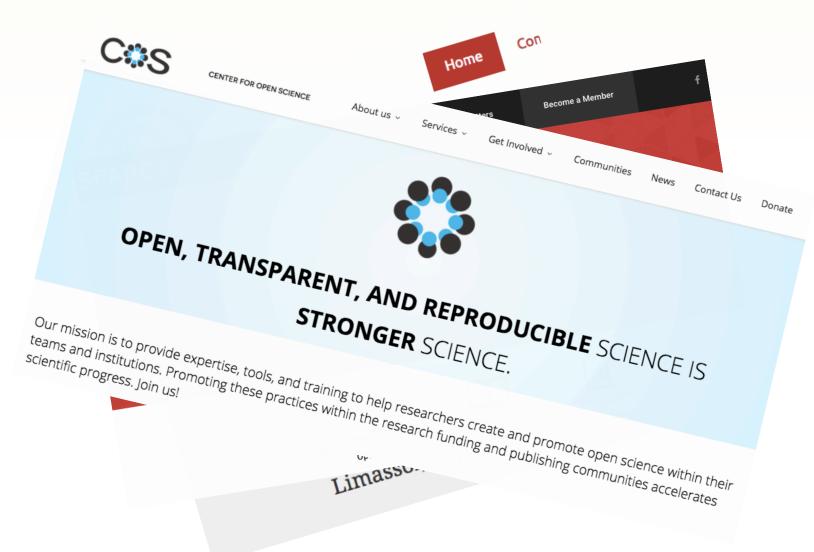
### **Funders**







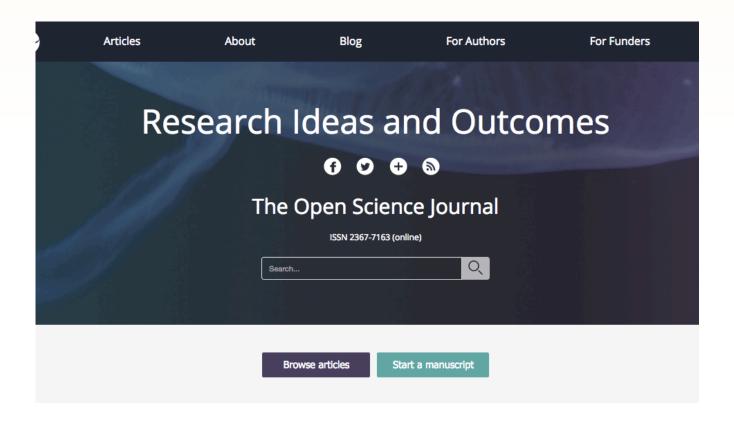


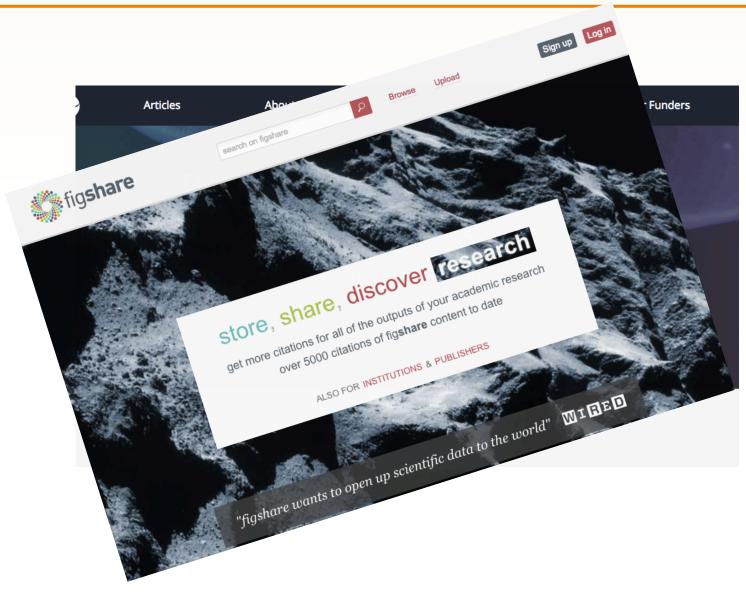


https://cos.io/

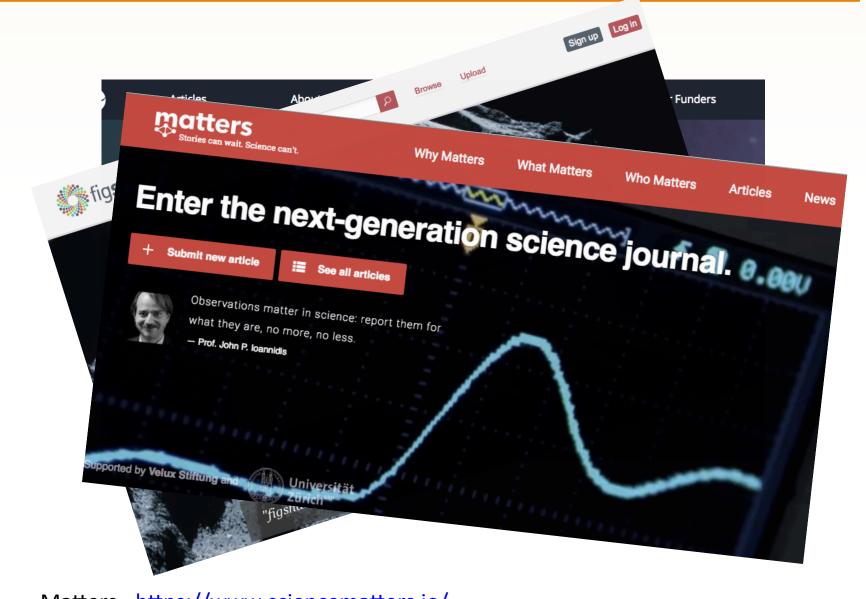


http://www.opencon2016.org/

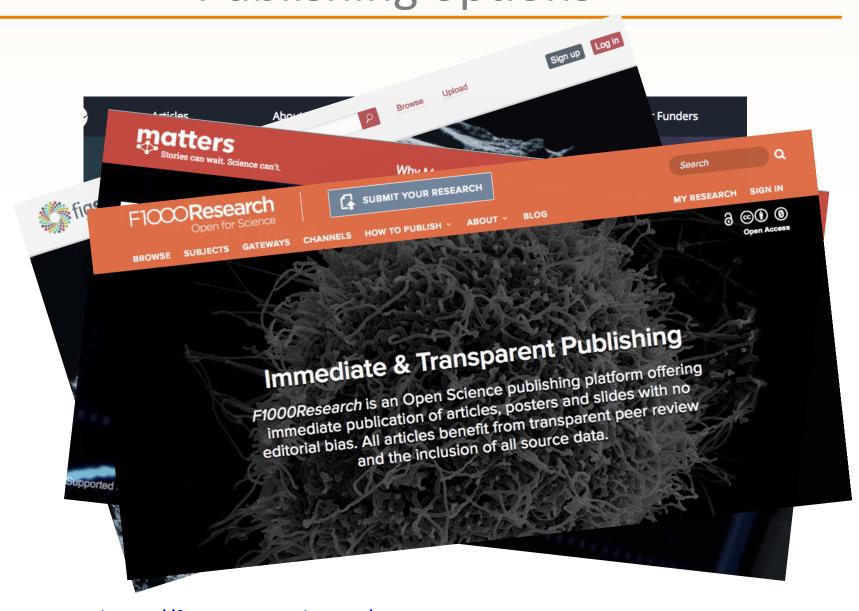




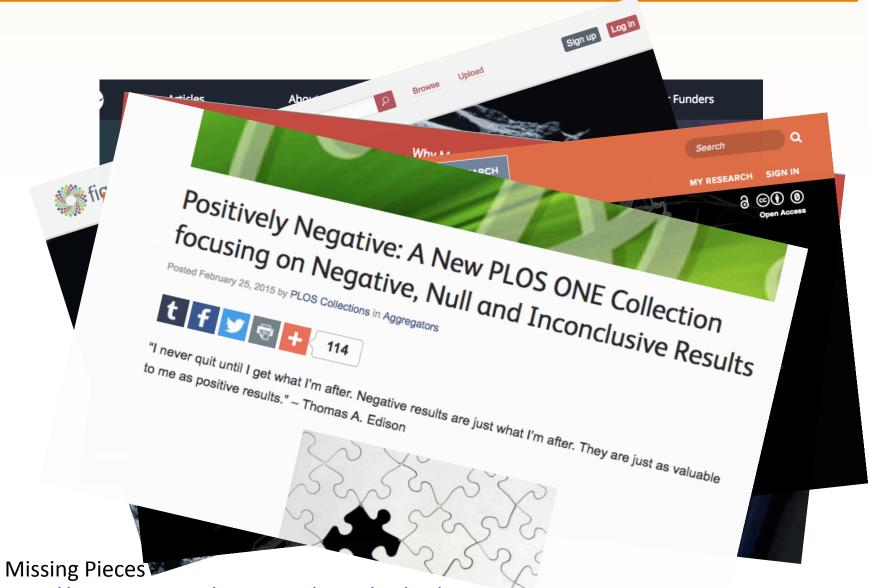
Figshare - <a href="https://figshare.com/">https://figshare.com/</a>



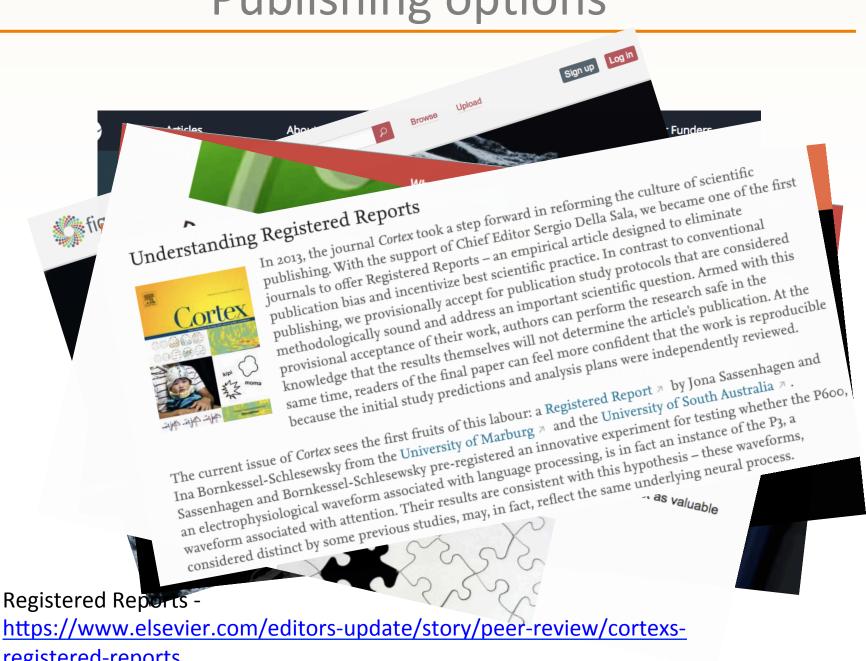
Matters - <a href="https://www.sciencematters.io/">https://www.sciencematters.io/</a>



F1000 - https://f1000research.com/



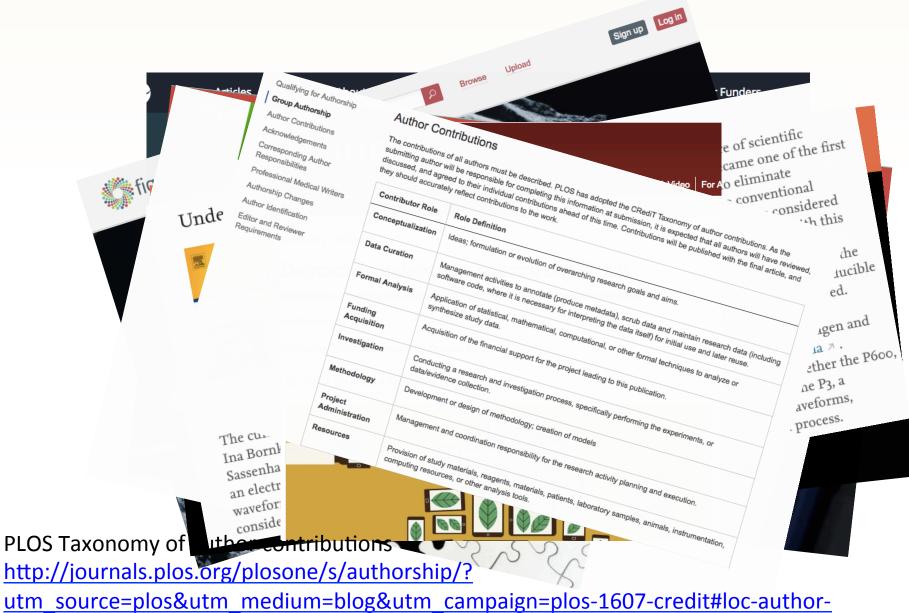
http://blogs.plos.org/everyone/2015/02/25/positively-negative-new-plos-one-collection-focusing-negative-null-inconclusive-results/



registered-reports



http://www.nature.com/news/democratic-databases-science-ongithub-1.20719



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### Lots of work to be done







# Questions/Discussion

Thanks!

#### **Dr Danny Kingsley**

Head of Scholarly Communication

University of Cambridge

@dannykay68