1	Long title: Generalists and specialists: a new view of how MHC class I molecules
2	fight infectious pathogens
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12	Summary:
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14	In comparison to the MHC of typical mammals, the chicken MHC is simple and
15	compact, with a single dominantly-expressed class I molecule that can determine
16	the immune response. In addition to providing useful information for the poultry
17	industry and allowing insights into the evolution of the adaptive immune system,
18	the simplicity of the chicken MHC has allowed discovery of phenomena that are
19	more difficult to discern in the more complicated mammalian systems. This
20	review discusses the new concept that poorly-expressed promiscuous class I
21	alleles act as generalists to protect from a wide variety of infectious pathogens,
22	while highly-expressed fastidious class I alleles can act as specialists to protect
23	against new and dangerous pathogens.

24 Insights from studying a simpler system

26	An enormous body of knowledge about the major histocompatibility complex
27	(MHC) and MHC molecules has been amassed over the last 50 years, mostly due
28	to work on humans and important biomedical model species like mice [1]. This
29	information is extremely detailed, complex but well-integrated, and crucially
30	important, both for basic scientific understanding of immune and autoimmune
31	responses, and for practical medical applications, including transplantation [2,3].
32	What is the point of trying to understand the MHC in non-mammalian
33	vertebrates, when there is such rich and relevant knowledge for placental
34	mammals?
35	
36	Besides the obvious importance to disease resistance and vaccination in poultry
37	[4,5], research into the chicken MHC has led to novel insights about the evolution
38	of the adaptive immune system [6-9]. This short review highlights a third
39	advantage: how the simplicity (at least in some senses) of the chicken MHC has
40	permitted discovery and/or study of phenomena that have been more difficult to
41	discern in complex MHC biology in humans and other typical mammals.
42	
43	Resistance to infectious disease
44	
45	It is generally accepted that the high level of allelic polymorphism of MHC class I
46	and class II genes is driven by a molecular arms race with pathogens $[10,11]$. An
47	expectation from this relationship is that particular MHC alleles would confer
48	resistance or susceptibility to particular infectious pathogens. The human MHC

49 does have many strong genetic associations with autoimmune disease, but the 50 reported associations with infectious disease are much weaker [2,12]. In 51 essence, it has taken the best immunologists, epidemiologists and geneticists 52 decades to provide convincing evidence for such genetic associations. The best-53 studied example is the slow progression of human immunodeficiency virus (HIV) 54 infection to acquired immunodeficiency syndrome (AIDS) conferred by the 55 presence of certain HLA-B alleles as well as cell surface expression levels of HLA-56 C alleles [13,14].

57

58 In contrast, already decades ago the poultry immunologists were stumbling over 59 extremely strong associations between the B blood group and resistance to a 60 variety of economically-important infectious diseases [15]. The MHC encoding 61 classical class I and class II molecules is one region (the so-called BF-BL region) 62 within this B locus [16]; nearby are regions with CD1 genes, TRIM genes and the 63 mysterious BG genes that have some similarities to butyrophilins [4,5]. Initially, 64 these associations were with responses to oncogenic viral diseases such as 65 Marek's disease and Rous sarcoma, with the B locus determining life or death of 66 the individual chickens. Now there is a long list of viruses, bacteria and even 67 parasites that have significant associations with the BF-BL region [4,5,17,18]. 68 69 A minimal essential MHC with a single dominantly-expressed class I molecule 70 71 Compared to the MHC of typical mammals, the BF-BL region of chickens (also 72 sometimes called the "classical MHC" or the "core MHC") is compact, simple and

73 arranged differently (Fig. 1), with two class II B (so-called BLB) genes flanking

74	the tapasin gene located near to the DM genes, followed by a pair of class I (so-
75	called BF) genes that flank the TAP genes, and finally the class III region genes
76	[16]. Moreover, there has been no recombination within the BF-BL region
77	observed in experiments [19-22], although comparison of haplotypes shows that
78	there has been some recombination over unknown spans of time [23-25]. Also,
79	the genes involved in peptide loading (tapasin, TAP and DM) are all highly
80	polymorphic with each BF-BL haplotype generally having a unique set of alleles
81	[24,26-28]. The monomorphic DR-like class II A gene (BLA) is located some 5 cM
82	away [29], the monomorphic β_2 -microglobulin (β_2 m) gene is on a different
83	chromosome [30,31], and inducible proteasome (LMP/PSMB) genes have not
84	been found in the genome [32]. Thus, the polymorphic classical class I and class
85	II B genes are in strong linkage disequilibrium with polymorphic peptide-loading
86	genes, leading to relatively stable MHC haplotypes of polymorphic co-evolving
87	genes [33,34].

88

89 This co-evolution is clearly seen in the chicken class I system, in which the 90 specificity of peptide translocation by the TAP alleles correlates with the peptide 91 motif of the class I molecule encoded by the BF2 (but not the BF1) gene 92 [27,34,35]. Thus, the BF2 class I molecule receives lots of peptides whereas the 93 BF1 molecule gets far fewer peptides and might be expected to have become 94 much less important for antigen presentation. In fact, the BF1 gene has suffered 95 deletions and insertions leading to far less expression at the RNA and protein 96 levels than the BF2 gene [36]. Most importantly, the peptides presented by the 97 dominantly-expressed BF2 molecule can explain the immune response to certain 98 economically-important viruses and vaccines [37-39].

100 Such a system of co-evolving alleles is not found in most placental mammals. In 101 humans and other typical mammals, the antigen processing and peptide-loading 102 genes are located in the class II and extended class II regions, far away from the 103 class I genes that they serve [40]. Thus, alleles of antigen processing and peptide-104 loading genes that were advantageous for any particular class I allele would 105 relatively rapidly be switched by recombination [41], and any advantage lost. In 106 fact, there are few sequence alleles of TAP, tapasin and inducible proteasome 107 components, and these appear to be functionally monomorphic [42-44], working 108 as average best fits to provide peptides for all class I molecules, regardless of 109 locus or allele. This situation allows for a multigene family of class I genes, all of 110 which are (or can be, for HLA-C) relatively well-expressed. Just to be clear, there 111 are mammals (like rats) for which the classical class I genes have moved close to 112 the antigen processing and peptide-loading genes, with the result that one of the 113 TAP genes is oligomorphic and co-evolves with the class I molecule(s) [45]. 114

115 The difference in the number of class I loci that encode well-expressed class I 116 molecules provides at least part of the explanation for the difference between 117 human and chicken MHC in genetic association with infectious disease [4,33]. In 118 humans, if one class I molecule doesn't bind a protective peptide, it is likely that 119 another one will, so that overall, most MHC haplotypes confer more-or-less 120 resistance to most pathogens, which reads out as low genetic associations. In 121 chickens, the single dominantly-expressed class I molecule either finds a 122 protective peptide from a particular pathogen or it doesn't, and this life-and-123 death difference between haplotypes reads out as strong genetic associations

124 (Fig. 2). Thus, the simplicity of the chicken MHC has allowed greater appreciation125 of this phenomenon of resistance to infectious disease.

126

127 Evolution of the MHC

128

129 Why do chickens and typical mammals differ in the genomic organization of the 130 MHC, if the end result can be so dire for an individual chicken? The salient features of the chicken MHC class I system can be found in many if not most non-131 132 mammalian vertebrates [6,10,46]. For example, ducks have polymorphic TAP genes next to five class I genes, only one of which is expressed at a high level 133 134 [47,48]. *Xenopus* frogs have a single classical class I gene along with the TAP 135 (apparently at least oligomorphic) and tapasin genes located together, with this 136 class I region in between the class II region and the class III region [49]. Atlantic 137 salmon have a single classical class I gene close to the TAP2 gene, with this 138 region having a strong genetic association with resistance to at least one 139 economically-important virus [50,51].

140

141 The organization originally discovered for chickens is likely to be the ancestral 142 one. The genes for antigen processing, peptide loading and antigen presentation 143 are not closely-related, so they did not evolve by gene duplication and 144 acquisition of new functions. Instead, unrelated genes co-evolved to work 145 together as a pathway, and such co-evolution is favored by close linkage. In other 146 words, the genes of the class I system and by extension the class II system, T cell 147 receptors and natural killer (NK) cell receptors are likely to have emerged in one 148 region, a primordial MHC, which has been falling apart ever since [6,9]. In

support of this notion, genes found in various locations around the genome of
mammals are found in or near the MHC of non-mammalian vertebrates. For
example, the genes for an NK cell receptor and putative ligand (BNK and Blec,
like NKR-P1 and LLT1-clr) are found in the chicken MHC, rather than in the
region syntenic to the natural killer complex (NKC) as in mammals [16,52].

154

155 Thus it is the mammalian MHC that is novel, and indeed the MHC of at least one 156 marsupial is organized like chickens [53], so that the change happened in the 157 lineage leading to placental mammals [6,9,27]. A potential mechanistic explanation for this change would be an inversion (Fig. 3) that brought the class 158 159 III region into the center of the MHC and swung the class I gene(s) to the outside, 160 with the breakpoint such that the antigen processing and peptide loading genes 161 were left behind and eventually became part of the class II region. As discussed 162 above, sufficient levels of recombination meant that advantageous combinations 163 of genes could not stay together, and the TAP, tapasin and inducible proteasome 164 genes become average best fits for whatever class I allele appeared by 165 recombination. Once many alleles could be serviced, a multigene family became 166 possible.

167

Low-expressing promiscuous and high-expressing fastidious chicken class Ialleles

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Some associations with the chicken MHC could be easily explained by the BF2
class I allele from a resistant (but not a susceptible) chicken finding a protective
peptide [37,39], but the very strong associations with Marek's disease were

more challenging to explain. Marek's disease virus (MDV), an oncogenic herpes
virus with a complex life cycle and significant evolution of virulence in historic
times, has been an enormous economic problem [54]. It wasn't clear how the
MHC might confer susceptibility, since any class I molecule would be expected to
bind a protective peptide from at least one of the 100 MDV genes. However, MHC
haplotypes like B19 were strongly associated with susceptibility while B2 and
B21 were strongly associated with resistance [55].

181

182 It has become clear that the BF2 molecules from susceptible haplotypes have peptide motifs much like typical mammalian class I molecules, with several 183 184 pockets in the binding groove, each one of which binds only one or a few similar 185 amino acids [37,56]. Such class I molecules might be called fastidious, with 186 stringent peptide motifs and narrow peptide repertoires. In contrast, the BF2 187 molecules from resistant haplotypes can bind an exceedingly large variety of 188 peptides and might be called promiscuous, with relaxed peptide motifs and wide 189 peptide repertoires [38,57]. For instance, the molecule BF2*021:01 remodels the 190 binding site to accommodate three anchor residues, at peptide positions P₂, P_{c-2} 191 and P_c , with nearly every single amino acid found at P_2 and P_{c-2} [38,57]. Other 192 chicken class I molecules like BF2*02:01 and BF2*14:01 use broad binding 193 pockets capable of accommodating many amino acids with hydrophobic side 194 chains, which are particularly common in most proteins [57]. 195

196 The correlation of peptide repertoire with resistance to Marek's disease was

197 unexpected. One hypothesis to explain this correlation [57] is that the few MDV

198 peptides presented by fastidious molecules activate too few T cell clones to be

199 effective (as seems to be the case based on one study [58]), while the

200 promiscuous class I molecules provide a wide-ranging response involving many

201 T cell clones. Alternatively, the truly protective peptides might be so few in

202 number that the promiscuous BF2 molecules have a greater chance of presenting

such peptides.

204

205 Intriguingly, the fastidious class I molecules are found on the cell surface at a 206 high level, whereas the promiscuous molecules are expressed on the cell surface 207 at a lower level. This cell surface expression level is not dependent on the level of transcription or translation, or on kinetics of translocation to the cell surface or 208 209 degradation. Overall, the population of highly-expressed fastidious molecules 210 shows greater thermal stability than the poorly-expressed promiscuous 211 molecules, although very stable complexes with particular peptides are found for 212 both kinds of molecules [37,38,56,57].

213

214 This inverse correlation of peptide repertoire with cell surface expression was 215 also unexpected. It seems most likely that the number of molecules arriving on 216 the cell surface is determined by the interaction of the particular TAP, tapasin 217 and BF2 alleles in the peptide-loading complex. The underlying reason for this 218 mechanism might just be the biochemistry of peptide loading, but alternatively 219 there could be evolutionary selection for the inverse correlation. One hypothesis 220 is that promiscuous BF2 molecules present so many self-peptides that negative 221 selection would deplete too many T cell clones in the thymus, and that reducing 222 the cell surface expression would reduce the extent of negative selection, with a 223 balance of peptide repertoire and cell surface expression resulting in an optimal

224	T cell repertoire [57]. Several other hypotheses can be imagined, including a
225	balance between the responses to pathogens for protection versus the
226	recognition of self that could lead to autoimmunity, or the balance between
227	antigen presentation for T cell recognition and a role as a ligand for NK cells.
228	
229	An inverse correlation of cell surface expression and peptide repertoire for
230	human classical class I alleles
231	
232	To what extents do these observations about class I molecules extend beyond
233	chickens? Obviously, the potential contributions of polymorphism in TAP and
234	tapasin cannot extend to mammals which have monomorphic antigen processing
235	and peptide loading genes. However, the linkages of peptide repertoire, cell
236	surface expression, translocation to the cell surface, stability and resistance to a
237	viral disease are found for human class I molecules. Striking differences were
238	reported in the predicted peptide repertoire of four HLA-B alleles that correlated
239	with the speed of progression from HIV infection to AIDS, with the fastidious
240	HLA-B*057:01 and HLA-B*027:05 alleles associated with long-term non-
241	progression compared to the promiscuous HLA-B*07:02 and HLA-B*035:01

associated with rapid progression [59]. Subsequently, the cell surface expression

243 levels of these four HLA-B alleles were shown to vary inversely with peptide

repertoire, mirroring the findings in chickens [57]. Measurements of direct

245 peptide binding for 27 HLA-A and HLA-B alleles showed a wide range of peptide

repertoires [60]. An early immunoprecipitation study reported that one HLA-A

247 and six HLA-B alleles were mostly in a peptide-bound conformation, while seven

248 HLA-A and three HLA-B alleles were mostly bound to TAP molecules, suggesting

249 a range of peptide-loading efficiencies [61]. Assays with transfected cDNA clones 250 for 27 HLA-B alleles show that some alleles have a strong tapasin-dependence on 251 cell surface expression (tapasin-independent alleles generally being correlated 252 with faster HIV progression) [62], implicating dependence on translocation to 253 the cell surface as in chickens [35]. Although the data is not strictly comparable 254 between all these reports, wider peptide repertoire, lower cell surface 255 expression level, longer TAP binding, tapasin-independence of translocation and faster HIV progression for HLA-A and HLA-B alleles seem to be broadly (but not 256 257 perfectly) correlated [63], with many (but not all) HLA-A molecules being more 258 promiscuous and many (but not all) HLA-B molecules being more fastidious. 259 Overall, the similarities between chickens and humans suggest that these are 260 fundamental properties of classical class I molecules.

261

262 However, there are clearly differences between the human and chicken class I 263 systems. The range of peptide binding for human class I alleles appears to be less 264 than that of chickens. For instance, HLA-A*02 molecules are the most 265 promiscuous human class I molecules, accommodating hydrophobic amino acids 266 that are very common in proteins, but only two or three different amino acids in 267 each pocket as opposed to the six different amino acids accommodated by the 268 highly promiscuous chicken BF2*002:01 [57,64,65]. Similarly, the very fastidious 269 HLA-B*57:01 only specifies amino acids for two pockets, one of which requires 270 the rare amino acid tryptophan, whereas the other pocket allows the very 271 common amino acids Ala, Ser and Thr. In contrast, the highly fastidious chicken 272 class I molecule BF2*004:01 requires binding of acidic amino acids in each of 273 three pockets [37,56,66]. Perhaps the presence of a multigene family of human

class I molecules means that the selective pressure for extremely promiscuousand fastidious molecules is lower than in chickens.

276

277 A second difference might be that cell surface expression has been correlated 278 with tapasin-dependence in humans but thus far only with TAP specificity in 279 chickens; the effect of chicken tapasin has not been examined [35,62]. In any 280 case, human tapasin and TAP genes are functionally monomorphic, so any effect in the peptide-loading complex would depend on the polymorphic positions in 281 282 the class I allele [42-44]. In contrast, chicken tapasin and TAPs genes are all 283 polymorphic, and appear to co-evolve with the dominantly-expressed class I BF2 284 gene [26,27], so the interactions could be more complex.

285

286 HLA-C presents a special challenge, perhaps because the relative importance of 287 various sequence features remains controversial. HLA-C molecules are found 288 expressed on the surface of most cells at a much lower level than HLA-A and 289 HLA-B (perhaps commensurate with a greater role for HLA-C as ligand for NK 290 cells rather than as an antigen presentation molecule for T cells) [67]. In 291 addition, HLA-C alleles vary in their cell surface expression (with higher 292 expression correlated with slower HIV progression, perhaps due to T cell 293 recognition) [68-70]. Various features of HLA-C have been reported to contribute 294 to these two kinds of differences, including promoter sequence and 295 transcription; miRNA sites in the 3'UTR sequences and RNA stability; β_{2m} 296 association, peptide motif and peptide repertoire; TAP residency and 297 translocation to the surface [67, 71-75]. In early studies [61, 71], certain HLA-C 298 alleles were found to be present inside the cell at the same level as HLA-A and

299	HLA-B molecules but remained bound to TAP and not translocated to the cell
300	surface, similar to promiscuous chicken class I alleles. Indeed, the available data
301	from predicted or actual peptide motifs is often interpreted to show a limited
302	number of peptides that can bind HLA-C molecules compared to typical HLA-A
303	and HLA-B molecules [67, 74-76]. A more recent report [76] compares two HLA-
304	C alleles, confirming that several features of the HLA-C gene contribute in a
305	complex way to cell surface expression, but finding that the peptide-binding
306	domains of one HLA-C allele which binds a greater diversity of peptides are
307	better expressed at the cell surface (at least when fused to another class I
308	molecule), the opposite as found for chicken class I molecules. How all these
309	observations fit together is at the moment unknown.
310	
311	Finally, perhaps the most striking difference is that poorly-expressed
312	promiscuous alleles confer protection from Marek's disease in chickens, while
313	well-expressed fastidious alleles are responsible for slow progression to AIDs in
314	humans. Any pretense to an overarching model must explain this difference.
315	
316	Promiscuous generalists and fastidious specialists
317	
318	What could be the evolutionary basis for having well-expressed fastidious and
319	poorly-expressed promiscuous class I alleles? Looking through the literature, it
320	appears that the promiscuous BF2 alleles protect chickens against a range of
321	common infectious diseases in addition to Marek's disease [77-80]. For instance,
322	typing chickens in rural Thailand after an outbreak of avian influenza found that
323	all B21 homozygotes survived, that all chickens homozygous for the B12, B13

324 and B15 haplotypes with fastidious BF2 molecules died, and that in all but one 325 combination, heterozygotes with one promiscuous class I allele survived [79] 326 (Fig. 4). It appears that promiscuous BF2 molecules, wrapping up the 327 specificities of several fastidious molecules into one molecule, generally confer 328 protection to most pathogens (Fig. 2), much like a mammalian MHC haplotype 329 with multiple mammalian class I molecules. In contrast, the fastidious human 330 class I alleles HLA-B*057:01 and HLA-B*027:05 confer protection from the very dangerous zoonotic pathogen HIV, which they do by binding a particular 331 332 protective peptide that the virus cannot change without a drastic loss of fitness 333 [13,59,81,82]. Another dangerous and possibly new pathogen, hepatitis C virus 334 (HCV) is also controlled by HLA-B*027:05 [83,84].

335

Putting these two ideas together, one evolutionary hypothesis would be that
low-expressing promiscuous class I alleles function as generalists while the highexpressing fastidious alleles act as specialists [57,63]. Most of the time, the

339 generalists deal well with common pathogens, but may not always be able to

340 cope with the appearance of a new and virulent pathogen. In this case, a

341 particular fastidious molecule may present a special peptide from the new

pathogen, conferring protection and leading to an increase in gene frequency forthat allele (Fig. 5).

344

345 How does this new view stack up against the current concepts and available

346 data? The many class I alleles found in most human populations have long been

interpreted to mean that high levels of polymorphism are important for survival.

348 However, a population with a few generalist alleles may provide enough

349 protection under normal circumstances. If true, then the current view that MHC 350 typing can identify the risk of extinction for endangered species [85] may need to 351 be re-considered, to also take into account the peptide repertoire of MHC 352 molecules present in the remaining population. It is also easy to imagine that the 353 original class I alleles were promiscuous, which seems superficially similar to 354 class II molecules from which they may have arisen [6,9,86]. The major change in 355 structure-function relationships caused by rearrangement of the MHC in the lineage leading to placental mammals discussed above [6,9,27] might have been 356 357 facilitated by a promiscuous class I allele closely-linked to promiscuous TAP 358 genes.

359

360 Fastidious alleles might arise by a few mutations from promiscuous alleles and 361 remain at a low gene frequency unless selected by a pathogen challenge, and 362 might diminish in frequency once that challenge is relaxed. However, it is also 363 possible that a selective sweep ensures near fixation of fastidious alleles. 364 Chimpanzees, which are thought to have been strongly selected during a 365 retroviral catastrophe, have two kinds of class I molecules [87-89]: those with fastidious peptide motifs very similar to HLA-B*57:01 and HLA-B*27:05, and 366 367 those with promiscuous motifs very similar to BF2*02:01. Finally, it is important 368 to note the classification of generalist and specialist alleles may be more useful 369 for the explanation of a population response to a given pathogen than for 370 individual predictions: a promiscuous molecule may be able to protect from a 371 new and virulent pathogen (as does HLA-B*35:01 for HIV clade C but not B 372 viruses [13]), while most fastidious molecules will be unlikely to recognize a 373 protective peptide for any one particular pathogen. Having said that, a potential

advantage might arise from bundling together alleles that are promiscuous or

375 fastidious, allowing greater statistical power in genetic association studies.

376

377 Concluding remarks

378

379 The observations and hypotheses described in this review still require much 380 additional work for support and testing (see Outstanding Questions). First, careful and quantitative measurement of peptide repertoire breadth, cell surface 381 382 expression levels and translocation to the surface for class I alleles in homozygotes is required. If the broad correlations discussed here are confirmed, 383 384 then a comprehensive re-assessment of the extent to which (low-expressing) 385 promiscuous and (high-expressing) fastidious class I alleles confer protection to 386 various kinds of pathogens (as well as correlating with other biological and 387 medical phenomena) would be valuable. 388 389 A much deeper understanding of the mechanisms underlying the phenomena is 390 clearly required. If it is a fundamental property that leads to these correlations 391 for classical class I molecules, it is natural to ask whether the same phenomena 392 may be true for classical class II molecules. In fact, the concept of generalist and 393 specialist has already been used for class II genes in relation to nematode burden 394 of striped mice in Africa [90]. Perhaps the same idea of promiscuous and 395 fastidious recognition might be true for other (particularly innate) immune 396 receptors.

397

Finally, much can be learned from evolutionary biology approaches, including 398 399 observation and simulation, typically with wild outbred populations. For 400 detailed disease associations including autoimmunity and for mechanistic 401 studies, humans and mice are obviously much better suited for rapid progress 402 than chickens. However, at the least, the chicken MHC again has provided a simple model to discover phenomena that have been difficult to discern, both in 403 the more complicated MHC of typical mammals and the less well-characterized 404 405 MHC of wild species.

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411 Figure legends

412

413	Figure 1. The chicken MHC (BF-BL region) is much smaller and simpler than the
414	human MHC (HLA locus), with a single dominantly-expressed class I molecule
415	due to co-evolution with peptide-loading genes. Colored vertical lines or boxes
416	indicate genes, with names above; very thin vertical lines indicate regions, with
417	names above or below; location is roughly to scale, with the length of
418	approximately 100 kB indicated. Thickness of arrows pointing up indicate level
419	of expression, co-evolution between the TAP genes and the BF2 class I gene
420	indicated by a curved arrow beneath the genes. Red are genes from the class I
421	system; blue are genes from the class II system; green are genes from the class III
422	or other regions; solid colors indicate classical genes while striped colors
423	indicate genes involved in peptide loading. Figure modified from references 16
424	and 91.

425

426 Figure 2. In comparison to mammals, the MHC of chickens has strong genetic 427 associations with resistance and susceptibility to infectious diseases. Left panel. 428 A multigene family in the human MHC can encode multiple fastidious class I 429 molecules, each of which has a chance to find a protective peptide. Altogether the 430 typical human MHC haplotype confers more-or-less resistance to most 431 pathogens, a situation that reads out as a weak genetic association (since there is 432 not much difference between haplotypes). Middle panel. In contrast, the single 433 dominantly-expressed class I molecule encoded by the chicken MHC can have a 434 fastidious peptide motif that may or may not find a protective peptide from any 435 given pathogen, a situation that reads out as strong genetic associations (since

436 there can be enormous differences between haplotypes). Right panel. However, 437 the single dominantly-expressed class I molecule encoded by the chicken MHC 438 can have a promiscuous peptide motif, capable of binding a wide variety of 439 peptides (much like the multigene family of human class I molecules acting 440 together). Comparing two promiscuous alleles may read out as a weak genetic 441 association (since there is not much difference between them), but comparison 442 of a fastidious allele with a promiscuous allele in chickens may give strong genetic associations. Figure modified extensively from reference 33. 443

444

Figure 3. The presence of a multigene family of well-expressed classical class I 445 446 molecules in typical placental mammals can be explained by a genomic inversion 447 that disrupted the co-evolutionary relationships between the closely-linked 448 genes of the class I system found in many other vertebrates. Top panel. The 449 genomic organization of an ancestral MHC haplotype, based on data from the 450 chicken and throughout the non-mammalian vertebrates, has class II genes in a 451 class II region, class I genes and the genes encoding antigen processing and 452 peptide-loading components in a class I region, and the class III region genes on 453 the outside. The close linkage within the class I region leads to a single 454 dominantly-expressed class I gene (red), whose peptide motif reflects the 455 specificities of the polymorphic antigen processing and peptide-loading genes 456 (all red) with which it co-evolves. Middle panel. A genomic inversion can lead to 457 the class III region moving in between the single dominantly-expressed class I 458 gene and the rest of the MHC, marooning the particular alleles of the antigen 459 processing and peptide-loading genes near the class II genes and far from the 460 class I allele that they serve. Bottom panel. The antigen processing and peptide-

461 loading genes are selected to support any class I allele that might appear due to 462 recombination (rainbow color), which would then allow duplication within an 463 MHC haplotype to give a multigene family encoding class I molecules with 464 different peptide motifs (red, green, blue), as is found in typical mammals. 465 Regions separated by thin vertical lines; genes indicated by thicker vertical lines; 466 TAP, transporter associated with antigen presentation; LMP, inducible 467 proteasome component, originally known as low molecular weight protein; C2, complement component 2; C4, complement component 4; fB, factor B. Figure 468 469 modified from reference 9. 470

471 Figure 4. Chicken MHC haplotypes encoding promiscuous class I molecules (blue) can confer protection from a variety of viral infections under experimental 472 473 and field conditions, whereas MHC haplotypes encoding fastidious class I 474 molecules (red) generally confer susceptibility. Percentage of MHC genotypes in 475 a flock before and after experimental infection with Marek's disease virus (MDV), 476 with the B2 and B21 haplotypes conferring protection (a). Percentage of Rous 477 sarcoma virus (RSV) strains that progress to give lethal tumors after 478 experimental infection, with the B6 haplotype conferring survival (b). 479 Percentage survival after natural infection with avian influenza virus (AIV) 480 under field conditions in rural Thailand, with presence of a single promiscuous 481 haplotype conferring protection, except in one combination (B2/B13) for 482 reasons that are not understood (c). Percentage of chickens ill from infectious 483 bronchitis virus (IBV) on day 10 after experimental infection, with the B2 484 haplotype conferring protection (d). Data from references 77-80.

485

486 Fig. 5. A model illustrates the shift in gene frequencies from a few predominant generalist MHC alleles upon selection by new and/or particularly virulent 487 488 pathogens. The diameter of each circle indicates the frequency of a particular 489 MHC allele in a population before and after selection by a pathogen. The rainbow 490 colors indicate promiscuous molecules that act as generalists, conferring 491 protection to most pathogens including those regularly found in the 492 environment. The single colors indicate fastidious molecules encoded by genes 493 that arise by mutation and are present at low frequency, but with the possibility 494 of presenting a protective peptide from a particular pathogen. Scenarios after 495 three different pathogens are shown: one of the generalist molecules confers 496 protection to the first pathogen (top), one of the specialist molecules confers 497 protection to the second pathogen (middle), and another of the specialist 498 molecules confers protection to the third pathogen (bottom). Figure modified 499 extensively from reference 63.

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Trends Box (900 characters and spaces, 3-5 bullet points on recent developments)

- A broad overview of classical MHC I expression and bound peptides reveals an inverse correlation between repertoire breadth and cell surface expression in some chicken and human alleles
- Several chicken class I alleles with wide peptide binding repertoire (promiscuity) are associated with resistance to a variety of common diseases
- Conversely, narrow peptide binding repertoire (fastidiousness) in some human HLA-B alleles is associated with resistance to HIV progression
- Cell surface expression of some classical class I alleles depends on the regulation of translocation to the cell surface rather than of translation. MHC translocation is influenced by peptide-translocation in chickens and by tapasin interaction in humans

Comment [DJ(1]: Our style is generally to write these as full sentences, please review the changes below.

Outstanding questions (2000 characters and spaces in bullet points)

• To what extent is the inverse correlation between cell surface expression and peptide repertoire found for all classical class I molecules in chickens and humans? Is it a true hierarchy or just two groups? If this is not the case for human HLA-C, why?

What is the mechanism for the inverse correlation between cell surface expression and peptide repertoire found for classical class I molecules? Is it due to intrinsic differences in folding of the class I molecule, efficiency of interactions the peptide loading complex, quality control steps (like TAPBPR/UGT), or other important steps of translocation? In addition to these biochemical mechanisms, what are the selective pressures for the inverse correlation between cell surface expression and peptide repertoire found for classical class I molecules (e.g. optimization of T cell repertoire, avoidance of auto-immunity)?

- To what extent does the low expression level/peptide promiscuity really correlate with resistance to common pathogens? How is this correlation influenced by the type of pathogen involved (e.g. virus with a small or large genome, for which the number of potential protective peptides is different), and what is the underlying mechanism for protection (number of T cells activated, higher probability to bind a given efficacious peptide)?
- Similarly, what are the mechanisms underlying protection against a given zoonosis by high expression level/fastidious peptide binding MHCs? Is the binding of special protective peptides that are critical for viral fitness always involved?
- To what extent is this new view true for a wide variety of species? These studies should be easier in mice and primates where significant literature is available, but are largely relevant across the animal kingdom (e.g. farm and sport animals, farmed fish).
- To what extent are these features found for classical class II molecules?

Comment [DJ(1]: The questions were reworded and shortened to fit within the character limit. Please review this version. Thank you.





Each haplotype confers more-or-less protection against most pathogens

human multigene family

Each haplotype confers either protection or susceptibility against a pathogen

chicken single fastidious

Each haplotype confers more-or-less protection against most pathogens

chicken single promiscuous











