1	Mini viral RNAs act as innate immune agonists during influenza
2	virus infection
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Influenza A virus infection usually causes a mild to moderately severe respiratory 27 28 disease in humans. However, infection with the 1918 H1N1 pandemic or highly 29 pathogenic avian influenza viruses (HPAIV) of the H5N1 subtype, can lead to viral 30 pneumonia, systemic disease and death. The molecular processes that determine 31 the outcome of influenza virus infection are multifactorial and involve a complex 32 interplay between host, viral, and bacterial factors<sup>1</sup>. However, it is generally 33 accepted that a strong innate immune dysregulation known as 'cytokine storm' 34 contributes to the pathology of pandemic and avian influenza virus infections<sup>2-4</sup>. 35 The RNA sensor Retinoic acid-inducible gene I (RIG-I) plays an important role in 36 sensing viral infection and initiating a signalling cascade that leads to interferon 37 (IFN) expression<sup>5</sup>. Here we show that short aberrant RNAs (mini viral RNAs; 38 mvRNAs), produced by the viral RNA polymerase during the replication of the 39 viral RNA genome, bind and activate the intracellular pathogen sensor RIG-I, and lead to the expression of interferon- $\beta$ . We find that erroneous polymerase activity, 40 dysregulation of viral RNA replication, or the presence of avian-specific amino 41 acids underlie mvRNA generation and cytokine expression in mammalian cells 42 43 and propose an intramolecular copy-choice mechanism for mvRNA generation. By deep-sequencing RNA samples from lungs of ferrets infected with influenza 44 45 viruses we show that mvRNAs are generated during infection of animal models. 46 We propose that mvRNAs act as main agonists of RIG-I during influenza virus 47 infection and the ability of influenza virus strains to generate mvRNAs should be 48 considered when assessing their virulence potential.

49 The negative sense viral RNA (vRNA) genome segments of influenza A viruses, as well as the complementary RNA (cRNA) replicative intermediates, contain 5' 50 51 triphosphates and partially complementary 5' and 3' termini that serve as the viral promoter for replication and transcription of the viral RNA genome<sup>6</sup>. RIG-I has been 52 shown to bind and be activated by the dsRNA structure formed by the termini of 53 influenza virus RNAs<sup>7,8</sup>. However, it remains unclear how RIG-I gains access to this 54 55 dsRNA structure. Both vRNA and cRNA are assembled into ribonucleoprotein 56 complexes (vRNP and cRNP, respectively) in which the viral RNA polymerase, a heterotrimeric complex of the viral proteins PB1, PB2 and PA, associates with the 57 58 partially complementary termini, while the rest of the RNA is bound by oligomeric nucleoprotein (NP)<sup>6</sup> (Fig. 1a). The tight binding of the 5' and 3' termini of vRNA and 59

cRNA by the RNA polymerase<sup>9</sup> is likely to preclude an interaction with RIG-I. 60 61 Moreover, it has been demonstrated that IFN expression is triggered only in a fraction of influenza virus infected cells<sup>10,11</sup>, suggesting that influenza viruses efficiently hide 62 their genome segments during infection by replicating them in the context of RNPs<sup>11</sup>. 63 64 This led to the proposal that an aberrant RNA replication product might be binding to RIG-I and triggering IFN expression<sup>12</sup>. Indeed, the influenza virus polymerase is known 65 to generate defective interfering (DI) RNAs, which are  $\geq 178$  nt long subgenomic RNAs 66 generated during high multiplicity infections<sup>13</sup>, and small viral RNAs (svRNAs), which 67 68 are 22-27 nt long and correspond to the 5' end of vRNA segments. However, svRNAs 69 have been shown not to be involved in the induction of antiviral cellular defences<sup>14</sup> and DI RNAs assemble into RNP structures (Fig. 1a), as demonstrated for a 248 nt long DI 70 71 RNA<sup>15</sup>, potentially precluding their interaction with RIG-I. Therefore, it remains unclear what kind of viral RNA species is recognised by RIG-I (Fig. 1A) and why 72 73 different influenza virus strains trigger dramatically different levels of IFN expression<sup>2,3,16</sup>. 74

75 Engineered viral RNAs shorter than 149 nt but containing both the 5' and 3' 76 termini of vRNAs can be transcribed and replicated in cells by the viral polymerase in 77 the absence of NP<sup>17</sup>, suggesting that they do not form canonical RNP structures. We call 78 these short viral RNAs mvRNAs (Fig. 1a). To investigate which class of viral RNA is 79 responsible for triggering IFN expression, we expressed a full-length segment 4 80 (hemagglutinin encoding) vRNA (1775 nt long) or its truncated versions, a 245 nt long 81 DI RNA and 77 nt long mvRNA, in HEK 293T cells together with viral polymerase, 82 NP and a luciferase reporter to measure the activation of the IFN-β promoter (Fig. 1b). We found that the expression of mvRNAs induced significantly higher IFN expression 83 84 than full-length vRNA or DI RNA, comparable to the levels induced by transfection of 2 µg of poly(I:C), a known activator of IFN expression<sup>18</sup>. Similar results were obtained 85 86 with segment 5 and 6 (NP and neuraminidase encoding, respectively) vRNAs and their 87 truncated DI RNA and mvRNA versions (Fig. 1b). To determine the optimal mvRNA 88 length that triggers activation of the IFN-β promoter, we expressed 47 to 246 nt long 89 vRNAs derived from segment 5 together with viral polymerase and NP and measured 90 the activity of the IFN- $\beta$  promoter. We found that the replication of 56 to 125 nt long 91 mvRNAs resulted in significantly higher IFN-β promoter activity than the replication 92 of RNAs shorter than 56 nt or longer than 125 nt (Fig. 1c, Fig. S1a and b).

93 To address whether these engineered short mvRNAs triggered IFN expression 94 via RIG-I, we co-expressed viral RNAs with polymerase and NP in HEK 293T RIG-I 95 knockout (RIG-I -/-) or control (RIG-I +/+) cells engineered to express luciferase in 96 response to the activation of the IFN-B promoter. We found that 56 to 125-nt long mvRNAs induced only background levels of luciferase in RIG-I -/- cells, even though 97 98 the transfection of a plasmid expressing RIG-I or 2 µg of poly(I:C) resulted in 99 significant activation of the IFN-β promoter (Fig. 1d, Fig. S1c). By contrast, significant 100 levels of luciferase activity were detected in RIG-I +/+ cells (Fig. 1d). mvRNAs of 56 101 to 125 nt induced the strongest activation of the IFN-β promoter, in agreement with the data above (Fig. 1c and d, Fig. S1c and d). To address whether mvRNAs trigger the 102 103 activation of IFN-B expression through binding to RIG-I, we immunoprecipitated myc-104 RIG-I from cells expressing RNAs of 47 to 583 nt and analysed the amounts of coimmunoprecipiated RNAs. We observed that mvRNAs of 56 to 125 nt were specifically 105 106 enriched in RIG-I immunoprecipitates (Fig. 1e, Fig. S1e). No mvRNAs were detected 107 in mouse myc-EGF immunoprecipitates used as a negative control (Fig. 1e). To test if 108 mvRNAs also activate RIG-I, we incubated purified myc-RIG-I (Fig. S1f) with an in *vitro* transcribed and gel purified 76 nt mvRNA and measured <sup>32</sup>P<sub>i</sub> release in an ATPase 109 110 assay. We found that a triphosphorylated 76 nt mvRNA induced higher levels of 111 ATPase activity than a dephosphorylated 76 nt mvRNA, while no ATPase activity was 112 observed when we incubated a RIG-I mutant with the triphosphorylated 76 nt mvRNA 113 (Fig. S1g). Overall, these results demonstrate that mvRNAs longer than 47 and shorter 114 than 125 nt are bound by RIG-I, which results in RIG-I activation and the induction of IFN-β expression. This is in agreement with findings that reconstitution of full-length 115 influenza virus vRNPs leads to only low levels of IFN expression unless the cells are 116 117 pre-treated with IFN<sup>19</sup> and the hypothesis that aberrant replication products trigger the IFN induction cascade<sup>12</sup>. 118

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We next asked whether mvRNAs are made during influenza virus infection. We 120 infected HEK 293T cells with influenza A/WSN/33 (H1N1) (abbreviated as WSN) and 121 analysed viral RNAs by RT-PCR of segment 1 (encoding PB2), RT-PCR of all 122 segments using universal primers (Fig. S2a), or deep-sequencing of the total small RNA 123 fraction (RNAs 17 to 200 nt in length) (Fig. S2b). We found only very low levels of 124 mvRNAs and, consistently, observed no significant IFN expression (Fig. 2a and b). We 125 hypothesised that mvRNAs are only generated as a consequence of dysregulated viral

RNA replication. To test this, we overexpressed viral RNA polymerase from plasmids 126 prior to infection to generate an imbalance between polymerase and NP levels, which 127 is known to induce innate immune signalling<sup>20</sup>. As shown in Fig. 2a and b, under this 128 129 condition we found significantly higher levels of mvRNAs and IFN expression, while 130 simultaneous overexpression of NP and polymerase reduced mvRNA and IFN 131 production (Fig. 2a). We verified the identity of mvRNAs using gel isolation and 132 Sanger sequencing (Fig. S2c) as well as deep sequencing (Fig. 2b). We found that the 133 majority of mvRNAs were derived from the PB1-, HA-, NP- and NA-encoding vRNA 134 segments (Fig. 2c) and that mvRNAs had a size distribution with a peak around 55 to 135 64 nt (Fig. 2d, Fig. S2d). In addition to mvRNAs, we also identified complementary 136 mini viral RNAs (mcRNAs).

137 Analysis of mvRNA sequences suggests that mvRNAs are generated via an intramolecular copy-choice mechanism that tolerates 3' mismatches or skipped bases 138 139 (Fig. 2e and f). As shown in Fig. 2g, the generation of mvRNAs can be explained by the separation of the template and nascent product RNAs by backtracking<sup>21</sup>, followed 140 141 by template translocation until base pairing between template and nascent product RNA 142 is re-established (Fig. 2f and g). This process may be induced by an imbalance between 143 viral polymerase and NP levels (Fig. 2a, Fig. S3a). In light of these results, it is 144 important to note that the existence of mvRNAs has likely been overlooked till now, 145 because i) RNA isolation protocols vary in their capacity to recover small RNAs, ii) RT-PCR products from mvRNAs form a diffuse fast-migrating band on standard 146 agarose gels that may be mistaken for primer-dimers, iii) conventional RNA deep 147 148 sequencing protocols discard short library fragments, and iv) standard ligation-based deep sequencing protocols for small RNAs require a 5' monophosphate and do not 149 150 detect viral transcripts with a 5'-triphosphate group.

151 In humans, infection with the 1918 H1N1 pandemic virus or H5N1 HPAIV lead to strong innate immune activation<sup>2,3,16</sup>. To address whether mvRNAs could contribute 152 153 to this phenomenon, we investigated the replication of a 246 nt RNA by the polymerase 154 of these viruses. We found that the polymerases of the highly virulent A/Brevig 155 (abbreviated as pandemic virus and Mission/1/18 (H1N1) BM18) the 156 A/duck/Fujian/01/02 (H5N1) (abbreviated as FJ02) HPAIV generated higher levels of 157 mvRNAs than the polymerases of WSN and A/Northern Territory/60/68 (H3N2) 158 (abbreviated as NT60) viruses, even in the presence of high NP concentrations (Fig. 159 3a). No mvRNAs were observed in a control with an inactive WSN polymerase that

had two point mutations in the polymerase active site (PB1a). Using gel isolation and Sanger sequencing we confirmed that the mvRNAs produced by the BM18 polymerase were similar to the WSN mvRNAs (Fig. S3b). Isolation of total RNA from cells expressing polymerase of the BM18 or FJ02 virus and its subsequent transfection into HEK 293T cells resulted in significantly higher IFN- $\beta$  promoter activity compared to when RNA from cells expressing WSN, NT60, or active site mutant WSN PB1a polymerase was transfected (Fig. 3a).

167 The identification of mismatches and base-skipping during the generation of mvRNAs (see Fig. 2e) suggests that mvRNA production might be dependent on 168 169 polymerase fidelity. To investigate this further, we introduced a V43I mutation, which has been shown to confer high-fidelity on an H5N1 influenza virus polymerase<sup>22</sup>, into 170 the PB1 subunit of the BM18 polymerase (BM18<sup>hf</sup>). We found that mvRNA levels were 171 significantly reduced in the presence of BM18<sup>hf</sup>, with a corresponding reduction in IFN-172  $\beta$  promoter activity (Fig. 3a). Together, the observations in Fig. 2a, 3a and Fig. S3a 173 suggest that dysregulation of viral RNA replication, e.g. by limiting NP availability, 174 175 and replication by highly pathogenic avian influenza virus polymerases in mammalian cells generates mvRNAs by employing an error-prone copy-choice mechanism, such 176 as proposed for recombination in positive-strand RNA viruses<sup>23</sup>. 177

178 Having observed a significant difference in mvRNA production by the WSN 179 and BM18 polymerases, we next asked whether a particular subunit is the determinant 180 of mvRNA production. Therefore, we replaced individual polymerase subunits of the 181 BM18 polymerase with subunits of the WSN polymerase in the 246 nt RNA replication assay. We found that particularly replacement of the BM18 PB2 subunit with the WSN 182 183 PB2 subunit eliminated the generation of mvRNAs (Fig. S4a). Interestingly, the BM18 184 influenza PB2 subunit has been linked to the enhancement of both the kinetics and the 185 magnitude of the host response to viral infection, leading to the induction of strong inflammatory responses with increased cellular infiltration in the lungs of infected 186 187 mice<sup>24</sup>. To identify PB2 amino acid residues involved in the formation of mvRNAs, we 188 aligned the BM18, WSN, NT60 and FJ02 PB2 sequences and found four amino acid 189 positions that distinguish the BM18 and FJ02 polymerases from the WSN and NT60 190 polymerases: 9 (D $\rightarrow$ N), 64 (M $\rightarrow$ T), 81 (T $\rightarrow$ M), and 661 (A $\rightarrow$ T) (Fig. S4a). Each of these amino acids has been implicated in avian to mammalian host adaptation<sup>25</sup> and, 191 192 interestingly, all three N-terminal PB2 adaptive amino acids map to the template exit

channel of the RNA polymerase heterotrimer (Fig. 3b)<sup>6</sup>. We generated single mutations 193 N9D, T64M, M81T, and double mutations N9D+T64M and N9D+M81T in the PB2 194 195 subunit of the WSN polymerase and found that mutants N9D and M81T, and the double mutants N9D+T64M and N9D+M81T, significantly increased mvRNA formation (Fig. 196 197 3c) and IFN-β promoter activity (Fig. 3c and d). However, the levels of mvRNAs 198 generated by these mutants did not reach the levels generated by the BM18 polymerase 199 indicating that further amino acids are involved in determining the ability of a polymerase to produce mvRNAs. In line with our observations, WSN viruses that 200 201 contain a PB2 N9D substitution or other PB2 mutations near the template exit channel 202 have been reported to induce higher IFN- $\beta$  expression than wild-type WSN<sup>26,27</sup>.

203 To address whether mvRNAs form during infection of mammalian cells, we 204 infected A549 cells with WSN, the highly pathogenic avian strain A/Vietnam/1203/04 (H5N1) (abbreviated as VN04), and the VN04 virus with the PB1 V43I high-fidelity 205 mutation (abbreviated as VN04<sup>hf</sup>). PAGE and deep sequencing analysis using RT-PCR 206 universal primers (Fig. S2a) showed that infections with VN04 resulted in high levels 207 of mvRNAs, while WSN infections produced only very low levels (Fig. 4a). Infections 208 with VN04<sup>hf</sup> resulted in significantly reduced mvRNA levels compared to the wild-type 209 210 VN04 virus. These results demonstrate that mvRNAs are formed during influenza virus 211 infection of lung epithelial cells and that polymerase fidelity is an important 212 determinant of mvRNA formation (Fig. 4a).

213 To investigate whether there is a link between mvRNA production and virus-214 induced innate immune responses we performed RNAseq of cells infected with VN04 and VN04<sup>hf</sup> viruses, which differ only at a single amino acid residue, and examined 215 which genes were differentially expressed in response to mvRNA levels. Despite 216 217 significantly different mvRNA levels produced by VN04 and VN04<sup>hf</sup>, viral mRNA levels were similar (Data S1), in agreement with previous findings that the V43I 218 mutation has only a marginal effect on virus replication<sup>22</sup>. Gene Ontology (GO) 219 analysis (Fig. 4b) showed that basic cellular functions (e.g. translation and 220 transcription) were significantly compromised in VN04 infection relative to VN04<sup>hf</sup>. 221 222 consistent with a greater level of cell death, which is known to exacerbate 223 inflammation<sup>28</sup>. In addition, we observed that genes associated with innate immune 224 responses showed a significant increase in expression in response to higher mvRNA 225 levels (Data S1, Fig. 4b). Overall, these observations are indicative of a link between

erroneous polymerase activity, mvRNA synthesis, and innate immune activation and the induction of cell death. Furthermore, as VN04 exhibited a 10-fold higher lethality compared to V04<sup>hf</sup> in mice<sup>22</sup>, our data also suggest a link between mvRNA levels and virulence.

230 To address whether mvRNAs are also produced in infection of animal models, 231 we investigated mvRNA generation in ferrets, which are regarded as the gold-standard 232 model for influenza virus infection studies. Specifically, we analysed RNA samples from ferret lungs one and three days after infection with highly pathogenic avian 233 234 A/Indonesia/5/2005 (H5N1) (abbreviated as IN05), 2009 swine-origin pandemic 235 A/Netherlands/602/2009 (H1N1) (abbreviated as NL09) or the BM18 pandemic virus<sup>29,30</sup>. mvRNAs were present in all infected lung samples one day after infection, 236 237 with mvRNA levels particularly high in the BM18 infected ferret lungs (Fig. 4c, Fig. 238 S5). RNAseq and differential gene expression analysis, followed by GO analysis on the 239 ferret lung samples taken one and three days post infection, showed an up-regulation 240 of apoptosis and innate immune responses as function of mvRNA level, independently 241 of viral titre or the day post infection (Fig. 4d).

242 In summary, we identify mvRNAs, a novel class of influenza virus RNAs, that 243 act as the main agonists of the pathogen recognition receptor RIG-I during influenza 244 virus infection (Fig. 4e). mvRNAs are produced as a result of aberrant replication of 245 the viral RNA genome by the viral RNA polymerase. Polymerase fidelity and hostspecific amino acids are determinants of the ability of the viral polymerase to produce 246 mvRNAs, which are distinct from DI RNAs and full length viral RNA segments in that 247 248 they can be efficiently replicated in the absence of NP and do not form canonical RNPs<sup>17</sup>. 249 These features of mvRNAs are likely to be critical for their preferential recognition by 250 RIG-I over DI RNAs and full length RNA segments. We further demonstrate that 251 mvRNA production is linked to increased cytokine expression and cell death. Since 252 high levels of mvRNAs lead to more innate immune activation and cell death, our 253 observations strongly suggest that mvRNAs are a contributing factor to influenza virus 254 virulence. We speculate that production of high levels of mvRNAs by the polymerases 255 of the 1918 pandemic and highly pathogenic avian influenza viruses and the resulting 256 increased innate immune activation contribute to the cytokine storm phenomenon underlying the high virulence of these viral strains. The effects of mvRNAs are likely 257 258 modulated by viral factors, such as the immunomodulatory NS1 and PB1-F2 proteins<sup>12</sup>

259 (Fig. 4e). Further studies are required to assess mvRNA levels generated by various

260 influenza virus strains, including seasonal strains, and their effect on virulence.

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# 263 Methods

# 264 **Ethics and biosafety**

All work with highly pathogenic H5N1 viruses in A549 cells was conducted in the 265 266 Biosafety Level-3 laboratory at the LKS Faculty of Medicine, The University of Hong 267 Kong, under guidelines and ethics approved by the Committee on the Use of Live Animals in Teaching and Research (CULATR). Ferret experiments with IN05 and 268 NL09 were described previously<sup>29</sup> and conducted in the Biosafety Level-3 laboratory 269 of the Erasmus Medical Centre in compliance with European guidelines (EU directive 270 271 on animal testing 86/609/EEC) and Dutch legislation (Experiments on Animals Act, 1997), after approval by the independent animal experimentation ethical review 272 273 committee of the Netherlands Vaccine Institute (permit number 200900201). Ferret experiments with BM18 were described previously<sup>30</sup> and approved by Institutional 274 275 Animal Care and Use Committee of Rocky Mountain Laboratories, National Institutes 276 of Health, and conducted in an Association for Assessment and Accreditation of 277 Laboratory Animal Care international-accredited facility according to the guidelines 278 and basic principles in the United States Public Health Service Policy on Humane Care 279 and Use of Laboratory Animals, and the Guide for the Care and Use of Laboratory 280 Animals. Sample inactivation and shipment was performed according to standard 281 operating procedures for the removal of specimens from high containment and 282 approved by the Institutional Biosafety Committee.

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# 284 Plasmids

Plasmids expressing the three polymerase subunits and NP of influenza A/WSN/33
(H1N1) <sup>31</sup>, A/Northern Territory/60/68 (H3N2)<sup>32</sup>, A/duck/Fujian/01/02 (H5N1)<sup>32</sup> (all
pcDNA3-based), and A/Brevig Mission/1/18 (H1N1) <sup>33</sup> (pCAGGS-based) have been
described. A PB2 E627K mutation was introduced into the A/duck/Fujian/01/02
(H5N1) PB2 subunit to enable the FJ02 polymerase to efficiently replicate vRNA in
mammalian cells. Plasmids expressing mutant PB1a (D445A/D446A) <sup>34</sup>, and mutant

291 PB2 (N9D) <sup>26</sup>, of influenza A/WSN/33 (H1N1) virus have been described previously. 292 Full-length or internally truncated vRNAs were expressed from plasmids under the control of cellular RNA polymerase I promoter<sup>35</sup>. Luciferase reporter plasmid under the 293 control of the IFN- $\beta$  promoter (pIF $\Delta$ (-116)lucter), the  $\beta$ -galactosidase reporter plasmid 294 (pJatLacZ) under the control of a constitutive promoter (β-gal), pcDNA-Myc-RIG-I 295 296 expressing myc-tagged RIG-I, and pcDNA-myc-proEGF have been described 297 previously<sup>36,37</sup>. To construct plasmids expressing mutant PB1, PB2 proteins and myc-RIG-I (myc-RIG-I mut; which contains the mutations K851A, K858A and K861A), the 298 299 plasmids expressing wild-type proteins were subjected to site-directed mutagenesis 300 using the primers listed in Table S1.

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# 302 Cells and antibodies

303 Human embryonic kidney HEK 293T cells were originally sourced from the ATCC, 304 stored in the Dunn School cell bank at the University of Oxford, and mycoplasma tested, but not authenticated prior to our experiments. A549 cells were originally sourced from 305 the ATCC and cultured at the University of Hong Kong. Cells were cultured in DMEM 306 307 (Sigma-Aldrich) and 10% FCS. Western blots were performed using NP antibody GTX125989 (GeneTex), Myc antibody GTX115046 (GeneTex), RIG-I antibody 308 309 GTX85488 (GeneTex), and PB2 antibody GTX125926 (GeneTex). Wild-type and 310 RIG-I knockout HEK 293T cells expressing luciferase in response to the activation of 311 the IFN- $\beta$  promoter were described previously<sup>38</sup>.

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## 313 Statistical testing

314 In all figures, error bars indicate standard deviation with sample sizes as indicated in 315 figures or figure legends. Evaluation of the statistical significance between group means 316 was performed across all experiments according to the following criteria: (i) in the case 317 where a comparison of a single variable was made between only two groups, an 318 unpaired t-test was used; (ii) in the case of comparisons between three of more groups 319 of measurements derived from a single independent variable (e.g. IFN-β induction as a 320 function of RNA length), one-way ANOVA was used and P-values were corrected for 321 multiple comparisons using either Dunnett's test (when a single group was taken as a 322 reference/control to which all other groups were compared) or the Bonferroni method 323 (when specific pairs of groups were compared to one another); (iii) in the case of

324 comparisons between three of more groups of measurements derived from two 325 independent variables (e.g. IFN-B induction as a function of RNA length and RIG-I expression), two-way ANOVA was used and P-values were corrected for multiple 326 testing using the Bonferroni method; (iv) in the case of comparisons between three of 327 328 more groups of log-distributed data (e.g. viral titres), measured values were first log<sub>10</sub> 329 transformed and then compared using one-way ANOVA, with P-values corrected for 330 multiple comparisons by controlling the false discovery rate (FDR) to be <0.05 using 331 the two-stage step-up method of Benjamini, Kreiger, and Yekutieli. For the evaluation 332 of the statistical significance of the relationship between two measured values (e.g. fold 333 increase in IFN-B induction vs. mvRNA level), linear regression analysis was used, 334 with the P-value indicating the probability of the null hypothesis (no linear relationship), 335 and the goodness of fit reported as  $r^2$ . Statistical testing related to differential gene 336 expression analysis is detailed below, and was performed in R; all other statistical tests were performed using GraphPad Prism. 337

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## **RNP** reconstitution assays and quantitative RNA analysis

340 RNP reconstitution assays were carried out in 24-well plates out as described previously<sup>34,39</sup>. Briefly, 0.25 µg of the plasmids pcDNA3-NP, pcDNA3-PB2, pcDNA3-341 342 PB1, pcDNA3-PA, and a pPOLI plasmid encoding full-length or truncated vRNA 343 templates (for list of vRNA templates used see Table S2) were transfected into HEK 344 293T cells using Lipofectamine 2000 (Invitrogen) according to the manufacturer's 345 instructions. Twenty-four hours post transfection, RNA was extracted using TRI Reagent (Sigma-Aldrich) and dissolved in RNase free water. For quantitative primer 346 347 extensions, reverse transcription was carried out using SuperScript III reverse 348 transcriptase (Thermo Fisher Scientific) with <sup>32</sup>P-labelled oligonucleotides 349 complementary to vRNA-derived RNA species and ribosomal 5S rRNA (for primers 350 see Table S3). cDNA synthesis was stopped with 10 µl loading dye (90% formamide, 10 mM EDTA, xylene cyanole, bromophenol blue) and <sup>32</sup>P-labelled cDNAs generated 351 with primer NP- were resolved by 12% denaturing PAGE (19:1 acrylamide/bis-352 353 acrylamide, 1x TBE buffer, 7 M urea). <sup>32</sup>P-labelled cDNAs generated with primer NP-354 2 were resolved by 20% denaturing PAGE. The radiolabelled signals were imaged 355 using phosphorimaging on a FLA-5000 scanner (Fuji), and analysed using AIDA 356 (RayTek) and Prism 7 (GraphPad). In all experiments, the apparent RNA levels were

background corrected using the PB1 active site mutant (PB1a) signal and normalised
to the 5S rRNA control. Statistical analysis of data from at least three independent
experiments was carried out using ANOVA.

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#### 361 **RNP reconstitution assays and qualitative RNA analysis**

362 For segment-specific qualitative RNA analysis by RT-PCR, RNA was treated with 363 DNase (Promega) for 10 min according to the manufacturer's instructions and reverse 364 transcribed using SuperScript III and the PB2 primers listed in Table S3. cDNA was 365 amplified using Q5 polymerase (NEB) and the primers listed in Table S3. PCR products 366 were analysed on 1.5% agarose gels in 0.5x Tris-acetate-EDTA (TAE) buffer. For 367 qualitative RT-PCR using universal primers, DNase treated RNA was reverse 368 transcribed using the Lv3aa and Lv3ga primers listed in Table S3 and Superscript III at 37 °C for 30 min. Second strands synthesis was performed with primer Lv5 and Q5 369 370 polymerase (NEB) at 47 °C for 10 min, followed by a further extension at 72 °C for 3 371 min. The primer excess in the reactions was removed by incubating the second strand 372 reaction with 1 U of exonuclease VII (NEB) at 37 degrees Celsius for 1 h. Following 373 inactivation of the exonuclease at 95 °C for 10 min, the DNA was amplified using Q5 374 polymerase, and primers P5 and i7 for 25 cycles. PCR products were analysed by 6% 375 PAGE.

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### 377 Luciferase-based interferon expression assays

For luciferase assays, RNP reconstitutions were performed in wild-type HEK 293T 378 379 cells or HEK 293T cells engineered to express luciferase from the IFN-ß promoter. 380 RNP reconstitutions were performed in a 24-well format by transfecting 0.25 µg of the 381 plasmids pcDNA3-NP, pcDNA3-PB2, pcDNA3-PB1, pcDNA3-PA, a pPOLI plasmid 382 encoding full-length or truncated vRNA templates using lipofectamine2000 383 (Invitrogen). For RNP reconstitutions in wild-type HEK 293T cells, 100 ng of pIF $\Delta$ (-116)lucter and pJatLacZ were co-transfected with the polymerase expressing plasmids. 384 385 Twenty-four hours post transfection, cells were harvested in PBS and resuspended in 386 Reporter Lysis buffer (Promega). Luciferase activity was measured using a Luciferase 387 Assay System (Promega) and a GloMax (Promega), and normalised using the β-388 galactosidase signal measured using ortho-Nitrophenyl-β-galactoside (ONPG) and a 389 GloMax. The background was subtracted using signals obtained from cells transfected

390 with an empty pcDNA3. Luciferase levels were corrected for viral RNA levels obtained

- 391 with primer extensions and a <sup>32</sup>P-labelled NP-2 primer (Table S3). For total RNA
- transfections, 100 ng of total RNA was transfected with 100 ng of  $pIF\Delta(-116)$  lucter and
- 393 pJatLacZ using Lipofectamine2000. Analysis of luciferase expression was performed
- as described above. Statistical analysis was carried out using ANOVA.
- 395

# 396 Immunoprecipitations

397 For myc-RIG-I immunoprecipitations, 10 cm dishes with HEK 293T cell were transfected with 3 µg pcDNA3-NP, pcDNA3-PB2, pcDNA3-PB1, pcDNA3-PA, 398 399 pcDNA-myc-RIG-I or pcDNA-myc-EGF, and a pPOLI plasmid encoding either a full-400 length or truncated vRNA template using Lipofectamine 2000. Twenty-four hours post transfection, the cells were harvested in cold PBS and lysed in 600 µl Tris lysis buffer 401 402 (50 mM Tris-HCl, pH 8.0; 5% glycerol; 0.5% Igepal; 200 mM NaCl; 1 mM EDTA; 1 403 mM DTT; and 1x EDTA-free protease inhibitor (Roche)) on ice for 1 h. The lysates 404 were cleared at 10,000 g for 5 min. Six µg of anti-myc antibody (Sigma-Aldrich) was added to 0.5 ml of cleared lysate and mixed at 4 °C for 1.5 h. The lystate-antibody mix 405 406 was bound to Dynabeads (Novex) at 4 °C for 1.5 h, washed 3 times with IgG wash buffer (10 mM Tris-HCl pH 8.0; 150 mM NaCl; 0.1% Igepal; 1 mM PMSF; 1 mM 407 408 EDTA), and finally analysed for bound RNA and protein. Statistical analysis of data 409 from three independent experiments was carried out using ANOVA.

410

# 411 ATPase assay

412 For wild-type and mutant myc-RIG-I purification, HEK 293T cell were transfected with 413 5 µg pcDNA-myc-RIG-I or pcRNA-myc-RIG-I mut using Lipofectamine 2000. 414 Twenty-four hours post transfection, the cells were harvested in cold PBS and lysed in lysis buffer (50 mM Hepes, pH 8.0; 5% glycerol; 0.5% Igepal; 200 mM NaCl; 2 mM 415 416 MgCl<sub>2</sub>; 10 mM CaCl<sub>2</sub>; 1 mM DTT; 1 U/ml Micrococcal Nuclease (Thermo Scientific); and 1x EDTA-free protease inhibitor) on ice for 1 h. Three µg of anti-myc antibody 417 was next added per 0.5 ml of cleared lysate and mixed at 4 °C for 1.5 h. The lystate-418 419 antibody mix was bound to Protein G Mag Sepharose Xtra beads (GE Healthcare) at 4 420 °C for 1.5 h, washed 6 times with 20 column volumes of RIG-I wash buffer (50 mM 421 Hepes, pH 8.0; 200 mM NaCl; 0.1% Igepal; 5% glycerol; 1 mM PMSF; 2 mM MgCl<sub>2</sub>) 422 at 4 °C for 10 min, and finally myc-RIG-I was eluted from beads in 1 column volume

423 wash buffer containing 0.5 mg/ml c-myc peptide (Pierce) for 15 min at 4 °C. Activity

424 assays were performed in 50 mM Hepes pH 8.0, 150 mM NaCl, 2 mM MgCl<sub>2</sub>, 5 mM

- 425 DTT, and 0.1  $\mu$ M [ $\gamma$ -<sup>32</sup>P]ATP. [ $\gamma$ -<sup>32</sup>P]ATP and <sup>32</sup>P<sub>i</sub> were resolved using PEI-cellulose
- 426 TLC plates (Sigma-Aldrich) in 0.4 M KH<sub>2</sub>PO<sub>4</sub> pH 3.4.
- 427

# 428 Cell and animal infections

429 HEK 293T cells were infected with influenza A/WSN/33 (H1N1) virus, free of DI 430 RNAs, at a multiplicity of infection (MOI) of 5. RNA was extracted 5 h post infection and analysed using deep sequencing or qualitative RT-PCR. 6-wells containing A549 431 432 cells were infected with A/HK/68 (H3N2), A/OK/1992/05 (H3N2), 433 A/Brisbane/59/2007 (H1N1), A/Vietnam/1203/04 (H5N1), or A/Vietnam/1203/04 (H5N1) with the V43I mutation with an MOI of 5. RNA was extracted 8 hours post 434 435 infection and analysed using deep sequencing or qualitative RT-PCR. Ferret lung tissue obtained from ferrets infected with A/Indonesia/5/2005 (H5N1) or 436 was A/Netherlands/602/2009 (H1N1)<sup>29</sup>, or A/Brevig Mission/1/1918 (H1N1)<sup>30</sup>. A single 437 ferret (lung titre =  $7.6 \times 10^1 \log_{10} \text{TCID}_{50}/\text{g}$ ) was excluded from analysis on the basis of 438 439 its apparent lack of infection. Ferret RNA was isolated from lung tissue samples using 440 Trizol (Invitrogen) and analysed using qualitative RT-PCRs and next generation 441 mvRNA sequencing with universal primers and quantitative mRNA sequencing.

442

## 443 Sequence alignment and structural modelling

PB2 amino acid sequences from influenza A viruses A/WSN/33 (H1N1), A/Brevig
Mission/1/18 (H1N1), A/Northern Territory/60/68 (H3N2), and A/duck/Fujian/01/02
(H5N1) were aligned using Muscle 3.0 and visualised using ESPript<sup>40</sup>. The bat
influenza A virus polymerase structure (PDB 4WSB) was visualised in Pymol 1.6.

448

# 449 Next generation sequencing of mvRNAs using adapters

Total cell RNA from transfected or infected cells was isolated using Tri Reagent (Sigma) or Trizol (Invitrogen) according to the manufacturer's instructions and fractionated into small (17-200 nt) and large (>200 nt) RNA fractions using an RNA Clean and Concentrator kit (Zymo Research). Next, the small RNA fraction was denatured at 70 °C for 2 min and subsequently treated with 2 U of XRN-1 in NEB buffer 2 at 37 °C for 15 min to deplete miRNAs. Next, XRN-1 was inactivated by adding 10

mM EDTA and incubating the reaction at 70 °C for 10 min. Viral triphosphorylated 456 RNAs were converted to monophosphorylated RNAs by adding 5 U of RNA 5' 457 458 Pyrophosphohydrolase (RppH) and 10 mM MgCl<sub>2</sub> and incubating the reactions at 37 459 °C for 15 min. RNA was purified using an RNA Clean and Concentrator kit and 460 libraries for deep sequencing were prepared using the NEBNext Small RNA Library Prep Kit according to the manufacturer's instructions. To ensure accurate quantitation 461 462 after PCR amplification, the concentration of each library was measured by qPCR on a 463 StepOnePlus instrument (ABI) and the number of PCR cycles used to subsequently 464 amplify the remaining library material was calibrated so as to ensure the PCR was in 465 the early stage of exponential amplification and to not over-cycle the PCR reactions. 466 Amplified sequencing libraries were purified on a 6% Novex TBE PAGE according to 467 the manufacturer's instructions to remove primer-dimers. Paired-end sequencing (2x75bp) on an Illumina HiSeq 4000 was carried out by the Oxford Genomics Centre, 468 469 Wellcome Trust Centre for Human Genetics (Oxford, UK).

470

#### 471 Next generation sequencing of mvRNAs using universal primers

472 To spike viral RNA for quantitative sequencing, 0.2 µl of 100 pM spike RNA (Table 473 S2) was added to 40 ng of the small RNA fraction (see above). The RNA mixture was 474 next converted into cDNA using primers Lv3aa, Lv3ga and Lc3 and Superscript III (Invitrogen) at 37 °C for 30 min. Second strand synthesis was performed using Q5 475 polymerase (NEB) and primers Lv5, Lc3a, and Lc3g at 47 °C for 10 min, followed by 476 a further extension at 72 °C for 3 min. The excess of barcoded primers was removed by 477 478 incubating the second strand reaction with 1 U of exonuclease VII (NEB) at 37 °C for 1 h. The exonuclease was inactivated at 95 °C for 10 min. Next, the DNA was amplified 479 480 using Q5 polymerase, primer P5 and i7 index primers (Lexogen), and subsequently sequenced on a NextSeq 500 sequencer (Illumina). 481

482

### 483 Preparation of reference genome files for deep sequencing of mvRNAs

Prior to mapping, a reference genome file was prepared from relevant viral reference sequences in Genbank (see above). For the analysis of sequencing libraries prepared using universal influenza virus primers, the 5' and 3' viral promoter sequences of each segment were modified to match the degenerate universal primer sequences used in sample preparation (see Table S3), and the sequences of the spiked-in mvRNA quantitation standards (see Table S2) were appended to the reference genome. For

490 WSN, VN04, and VN04<sup>hf</sup> viruses, deep-sequencing data of mRNA generated in A549 491 cell infections (above) was exploited to generate updated reference genome files: the 492 *mpileup* and *consensus* commands in the *bcftools* software package<sup>41</sup> were used 493 following mapping of non-host mRNA reads to the relevant viral reference genome 494 using *STAR* aligner<sup>42</sup>.

495

# 496 Data processing pipeline for deep sequencing of mvRNAs using universal497 influenza virus primers

498 Raw sequencing reads were first trimmed to remove sequencing adaptor sequences and 499 reads with quality scores less than 20 using the *cutadapt* software package<sup>43</sup> and the 8nt unique molecular identifier (UMI) at the start of each read were removed from the 500 501 sequence and appended to the read ID line of the FASTQ file using the extract command from the *umi* tools software package<sup>44</sup>. Sequencing reads were then mapped 502 end-to-end to the appropriate viral reference genome using the STAR aligner<sup>42</sup>, and 503 504 permitting sequencing reads to have long internal deletions (i.e. an mvRNA, interpreted 505 as splicing by STAR) with at least 16 nt anchored on either side of the deletion (--506 outSJfilterOverhangMin 16 16 16 16 16). The default settings of STAR were modified so 507 that no alignment scoring penalty was given for an internal deletion and no preference 508 was given to internal deletions that overlapped particular sequence motifs (-scoreGapNoncan 0 --scoreGapGCAG 0 --scoreGapATAC 0), and to ensure accurate 509 510 quantitation, only the top-scoring alignment was included in the outputted BAM file (-511 -outSAMmultNmax 1), which was sorted and indexed using samtools<sup>45</sup>. An aligned read was counted as an mvRNAs if it was anchored to the viral reference genome at the 5' 512 513 end in vRNA sense and contained an internal deletion (called as a splice junction by 514 STAR), and the total numbers of mvRNAs and spiked-in quantitation standards were 515 tallied using the *idxstats* command of *samtools*. mvRNA levels relative to the 516 quantitation were then reported as number of reads per million mapped (RPM) 517 quantitation standard. To validate the qPCR protocol used to prevent over-cycling of 518 sequencing libraries, the quantitation was then repeated following removal of PCR 519 duplicates, exploiting the UMI appended to each read, using the umi tools dedup 520 command, and counting the various mvRNA species as unique using the --spliced-is-521 *unique* option. The identities of the individual mvRNAs were then extracted from the 522 *SJ.out.tab* file generated by STAR.

523

#### 524 Data processing pipeline for deep sequencing of mvRNAs using adapter ligation

Raw sequencing reads were first trimmed using the *cutadapt* software package<sup>43</sup> to 525 526 remove RNA adapters, sequencing adapters, and reads with quality scores less than 20. Since adapter ligation captures both host-derived and virus-derived small RNA species, 527 reads were first mapped end-to-end to the DASHR database of human small RNAs<sup>46</sup> 528 529 using the STAR aligner<sup>42</sup>, with spliced alignments disabled (--alignIntronMax 1). Nonhuman reads were outputted using the --outReadsUnmapped Fastx option, were then 530 531 mapped to the appropriate viral reference genome to find mvRNAs as described above. 532 and quantitated relative to the total number of viral reads (RPM viral) or host reads 533 (RPM host).

534

# 535 Quantitative mRNA sequencing and differential gene expression analysis

536 Libraries for gene expression analysis were prepared using a QuantSeq 3' mRNA-Seq Library Prep Kit FWD for Illumina (Lexogen) according to the manufacturer's 537 538 instructions and sequenced on a NextSeq 500 sequencer. mRNA reads were aligned to 539 the reference genome (CRCh38, GRCm38, or MusPutFur1.0) using the STAR read aligner<sup>42</sup>, exploiting the built-in trimming functions to remove the first 12 bases 540 541 corresponding the Lexogen random primer (--clip5pNbases 12) and any contaminating 542 poly(A) tails in the sequencing reads (--clip3pAdapterSeq AAAAAAAAAAAAAAAAAAA, 543 as well as requiring a minimum match to the reference genome of 40 bp (--544 40). Gene counts were generated using reference genome outFilterMatchNmin 545 annotations (Gencode v26 for CRCh38, and Ensembl 90 for MusPutFur1.0) using the STAR command --quantMode GeneCounts. Differential gene expression analysis was 546 then carried out using the DEseq2 package in R<sup>47</sup> to identify genes that were up- or 547 down-regulated as a function of mvRNA levels, independently of viral load or titre. 548 549 Specifically, the likelihood ratio test (LRT) was used to compare a full model (in which 550 gene expression varies as a function of both viral load or titre, and mvRNA levels) to a 551 reduced model (in which changes in gene expression are fully explained by viral load 552 or titre alone) using analysis of deviance (ANODEV) to generate a P-value for the log-553 fold-change of each gene, which were adjusted for multiple testing by controlling the false discovery rate (FDR) using Independent Hypothesis Testing<sup>48</sup> and reported as q-554 values. mvRNA levels were determined by deep sequencing using universal influenza 555 556 virus primers, as detailed above. Viral load or titre was determined by segment 6 qRT-PCR or by using previously published values<sup>29,30</sup>. Subsequent enrichment analysis of 557

- 558 Gene Ontology terms specifically affected by mvRNA levels was carried out using
- 559 Parametric Analysis of Gene Set Enrichment<sup>49</sup> via the GAGE package in R, with data
- 560 from the above genome annotations, accessed via the biomaRt package<sup>50</sup>. Significance
- of enrichment for GO terms was calculated using a one-sample z-test<sup>49</sup> in GAGE, and
- 562 P-values were adjusted for multiple testing using the Benjamini-Hochberg method and
- 563 were reported as q-values.
- 564

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## 717 Author Contributions

718 J.C.L., E.F., J.S. and A.J.W.t.V showed that subgenomic influenza virus RNAs 719 stimulate IFN-β production and are bound by RIG-I. A.J.W.t.V. and J.C.L. found that 720 mvRNAs are produced by influenza virus polymerases. D.L.V.B. designed sequencing strategies. D.L.V.B. and A.J.W.t.V. performed deep sequencing experiments and 721 722 analyses. M.J.K., M.J.O.-M., H.F., and R.E.R. contributed reagents and protocols. 723 E.d.W., D.v.R., and J.Y.S. provided ferret lung tissues. R.L.Y.F., H.Y. and L.L.M.P. 724 performed A549 infections. A.J.W.t.V., D.L.V.B., J.C.L., and E.F. analysed data. 725 A.J.W.t.V., J.C.L., D.L.V.B., and E.F. wrote the manuscript with input from co-authors.

- 726
- 727 **Competing interests:** Authors declare no competing interests.
- 728

Materials and correspondence: All sequencing data have been deposited in the NCBI
Sequence Read Archive (SRA) under accession number SUB3758924.
Correspondence and requests for materials should be addressed to E.F.
(ervin.fodor@path.ox.ac.uk) or A.J.W.t.V. (ajwt6@cam.ac.uk).

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735 Figure 1. mvRNAs of influenza A virus are bound by RIG-I and induce IFN expression. (a) Models of the influenza virus ribonucleoprotein (vRNP) complex and 736 737 potential activators of RIG-I. (b) Analysis of IFN- $\beta$  promoter activity induced by the 738 replication of segment 4, 5 or 6 vRNAs, DI RNAs or mvRNAs or by the transfection 739 of poly(I:C). (c) IFN- $\beta$  promoter activity induced by the replication of engineered, 740 segment 5-based, short RNAs in HEK 293T cells or (d) in wild-type or HEK 293 RIG-741 I knockout cells. (e) Binding of segment 5-based RNAs to myc-tagged RIG-I or mouse 742 EGF control protein (myc-ctrl). In all panels, error bars indicate standard deviation with 743 n=3. P-values were determined using ANOVA, are shown compared to 246 nt RNA in 744 Fig. 1C and myc-ctrl with 246 nt mvRNA in Fig.1E, and are indicated as \*  $P \le 0.05$ , \*\* P < 0.01, \*\*\* P < 0.001. 745

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747 Figure 2. Dysregulation of RNA replication in cells infected with WSN results in 748 the generation of mvRNAs. (a) Analysis of IFN-β promoter activity and steady state 749 vRNA and mvRNA levels in WSN infections following overexpression of viral 750 polymerase or viral polymerase and NP. mvRNAs were also amplified with universal 751 primers containing adapters for sequencing (mvRNAs+adapt). (b) Quantitation of 752 mvRNAs using deep sequencing, expressed as reads per million (RPM). (c) mvRNA 753 distribution per genome segment. (d) Size distribution of mvRNAs. (e) mvRNA distribution per type of intramolecular copy-choice mechanism. (f) Example of 754 755 mvRNA formation through an intramolecular copy-choice mechanism involving a 3' 756 mismatch. (g) Model of mvRNA formation by the polymerase (model adapted from<sup>6</sup>). 757 In all panels, error bars indicate standard deviation with n=3. P-values were determined 758 using ANOVA and shown compared to lane 3 in Fig. 2A and using an unpaired t-test 759 in Fig. 2b, and are indicated as in Fig. 1.

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Figure 3. The PB2 polymerase subunit of highly virulent influenza A viruses promotes mvRNA synthesis. (a) Analysis of mvRNA levels during the replication of a segment 5-based 246 nt RNA template by the WSN, BM18, NT60 and FJ02 polymerases, and IFN- $\beta$  promoter activity induced by the transfection of total RNA isolated from these cells into reporter HEK 293T cells expressing luciferase. (b) Location of PB2 amino acid residues 9, 64 and 81 in the bat influenza A virus

polymerase structure (PDB 4WSB). (c) Analysis of the effect of PB2 mutations on mvRNA formation and IFN-β promoter activity induced after transfection of total RNA isolated from these cells into luciferase reporter HEK 293T cells. (d) IFN-β promoter activity as function of PB2 mutation and mvRNA formation. In all panels, error bars indicate standard deviation. P-values were determined using ANOVA in Fig. 1a and c, or linear regression in Fig. 3d, and are indicated as \* P  $\leq$  0.05, \*\* P  $\leq$  0.01, \*\*\* P $\leq$ 0.001, \*\*\*\* P $\leq$  0.0001.

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775 Figure 4. Levels of mvRNAs produced during infection correlate with innate 776 immune responses. (a) Analysis of mvRNAs in A549 cells infected with WSN, VN04, VN04<sup>hf</sup> using deep sequencing or PAGE. mvRNAs were amplified using universal 777 778 primers containing adapters for sequencing (mvRNAs+adapt). mvRNA counts were 779 normalised to mvRNA and mcRNA internal standards. (b) Analysis of mRNAseq of 780 infected A549 cells showing GO terms down-regulated (left) and GO terms upregulated (right) in VN04 infection as compared to VN04<sup>hf</sup> in response to mvRNA 781 782 levels. (c) Analysis of mvRNAs in lungs of ferrets one day after infection with IN05, NL09 or BM18 using deep sequencing or PAGE. (d) Analysis of tissue mRNAseq 783 784 showing GO terms enriched as function of mvRNA levels in lungs of ferrets infected 785 with IN05, NL09 and BM18 influenza viruses. (e) Model for the expression of cytokines in influenza virus infected cells. In all panels, error bars indicate standard 786 deviation. P-values were determined using ANOVA and are indicated in Fig. 4a and c 787 as in Fig. 3, and using a one-sample z-test (see Methods) for Gene Ontology analysis 788 789 in Fig. 4b and d, and are indicated by blue shading.







