

Trends in Hearing

Guidelines for Diagnosing and Quantifying Noise-induced Hearing Loss

Journal:	<i>Trends in Hearing</i>
Manuscript ID	TIH-22-0002.R2
Manuscript Type:	Perspective
Date Submitted by the Author:	n/a
Complete List of Authors:	Moore, Brian; University of Cambridge, ; Lowe, David; James Cook University Hospital Cox, Graham; Oxford University Hospitals NHS Foundation Trust, ENT
Keywords:	noise exposure, noise-induced hearing loss, diagnosis, quantification, military service

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 1

2
3
4 1
5
6 2 Guidelines for Diagnosing and Quantifying Noise-induced Hearing Loss
7
8 3
9
10 4 Brian C. J. Moore¹, David A. Lowe², and Graham Cox³
11
12 5
13
14 6 ¹Cambridge Hearing Group, Department of Psychology, University of Cambridge,
15
16 7 Downing Street, Cambridge CB2 3EB, UK
17
18 8 ²ENT Department, James Cook University Hospital, Marton Rd, Middlesbrough, Cleveland,
19
20 9 TS4 3BW, UK
21
22 10 ³ENT Department (retired), Oxford University Hospitals NHS Foundation Trust, UK
23
24 11
25
26 12 Corresponding author:
27
28 13 Brian C. J. Moore
29
30 14 Cambridge Hearing Group, Department of Psychology, University of Cambridge,
31
32 15 Downing Street, Cambridge CB2 3EB, UK
33
34 16 email: bcjm@cam.ac.uk
35
36 17
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Abstract

This paper makes recommendations for the diagnosis and quantification of noise-induced hearing loss (NIHL) in a medico-legal context. A distinction is made between NIHL produced by: steady broadband noise, as occurs in some factories; more impulsive factory sounds, such as hammering; noise exposure during military service, which can involve very high peak sound levels; and exposure to very intense tones. It is argued that existing diagnostic methods, which were primarily developed to deal with NIHL produced by steady broadband noise, are not adequate for the diagnosis of NIHL produced by different types of exposures. Furthermore, some existing diagnostic methods are based on now-obsolete standards, and make unrealistic assumptions. Diagnostic methods are proposed for each of the types of noise exposure considered. It is recommended that quantification of NIHL for all types of exposures is based on comparison of the measured hearing threshold levels with the age-associated hearing levels (AAHLs) for a non-noise exposed population, as specified in ISO 7029 (2017), usually using the 50th percentile, but using another percentile if there are good reasons for doing so. When audiograms are available both soon after the end of military service and some time afterwards, the most recent audiogram should be used for diagnosis and quantification, since this reflects any effect of the noise exposure on the subsequent progression of hearing loss. It is recommended that the overall NIHL for each ear be quantified as the average NIHL across the frequencies 1, 2, and 4 kHz.

Keywords: noise exposure, noise-induced hearing loss, diagnosis, quantification, military service

42 Introduction

43 Despite strict regulations concerning permissible noise exposure in work places, and
44 despite the use of hearing protection, noise-induced hearing loss (NIHL) is still a common
45 problem (Hoffman et al., 2017), especially among workers in mining and construction
46 (Masterson et al., 2015) and among those with military service (Yankaskas, 2013; Swan et
47 al., 2017; Reavis et al., 2021). One reason for this is that hearing protection is not always
48 properly fitted, and even when it is properly fitted it tends to wear out and to fail to provide
49 the stated laboratory values of attenuation in the field (Berger, 2000; Neitzel & Seixas, 2005;
50 Humes et al., 2006). Also, for military personnel, hearing protection is not always used,
51 especially during training exercises and during active service when it is necessary to maintain
52 situational awareness.

53 People who have NIHL produced by noise at work may be eligible for and may claim
54 compensation from their employer. If the employer disputes the claim, then legal proceedings
55 may be instituted to try to enforce the claim. For a claim to be successful, several
56 requirements should be satisfied. Firstly, it should be assessed whether there are plausible
57 causes of hearing loss other than noise exposure. If there are such causes, it should be
58 established that they probably do not fully account for the observed hearing loss. Examples of
59 possible other causes are exposure to ototoxic substances, a family history of hearing loss,
60 and ear infections that have not resolved. Secondly, it should be established that the noise
61 exposure of the individual was sufficient to have the potential for causing a hearing loss.
62 Thirdly, it should be established that the individual has greater hearing loss than would be
63 expected from age alone and also has a pattern of hearing loss indicative of NIHL. In the
64 great majority of cases, this is based solely on the audiogram, even though there is increasing
65 evidence that some of the adverse effects of noise exposure may not be revealed by the
66 audiogram (Liberman et al., 2016; Billings et al., 2018; Bramhall et al., 2021; Grant et al.,
67 2021).

68 In a medico-legal context, diagnosis of NIHL is based on the “balance of
69 probabilities”, i.e. a diagnosis of NIHL requires a greater than 50% probability of NIHL
70 being present. This is very different from the conventional criterion used in statistical analysis

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 4

2
3
4 71 that a certain result should have less than a 5% probability of occurring by chance. The
5
6 72 motivation for the present paper stemmed from the experience of the authors that the
7
8 73 diagnostic criteria that are commonly employed in the UK, which are discussed in detail
9
10 74 below, lead to many people who have a history of noise exposure and who have hearing loss
11
12 75 being denied compensation. This applies especially to those who have been exposed to
13
14 76 intense impulsive sounds during military service. Given that the diagnostic criteria commonly
15
16 77 used in the UK, referred to as the CLB guidelines, were developed over two decades ago and
17
18 78 were intended specifically to be appropriate for individuals exposed to steady broadband
19
20 79 noise (Coles et al., 2000), it seemed appropriate to re-examine those criteria and to assess
21
22 80 whether changes were needed.

23
24 81 If a positive diagnosis of NIHL has been made, then quantification of the amount of
25
26 82 NIHL is needed; diagnosis and quantification are two distinct stages of the medico-legal
27
28 83 process. The quantification of NIHL requires the effects of age to be partialled out in some
29
30 84 way, and there are a number of methods for doing this, which are often based on reference
31
32 85 audiograms obtained from a control population with no known noise exposure. It seemed to
33
34 86 us that there were also problems with some of the methods that have been used to quantify
35
36 87 the amount of NIHL, following a positive diagnosis. Hence this paper also re-examines
37
38 88 methods for quantification of NIHL.

39
40 89 It should be noted that in some countries, including the USA, diagnosis of NIHL is
41
42 90 usually based on a comparison of audiograms across time, as recommended by the
43
44 91 Occupational Safety and Health Administration (1981) and the US Department of Defence
45
46 92 (2019). The audiogram obtained at a given time after the noise exposure started is compared
47
48 93 with an earlier baseline audiogram. NIHL is deemed to be present when there is “a change in
49
50 94 hearing threshold relative to the baseline audiogram of an average of 10 dB or more at 2000,
51
52 95 3000, and 4000 Hz in either ear.” However, this method is based on the assumption that
53
54 96 reliable audiograms are obtained at regular intervals, and this is not always the case. In our
55
56 97 experience, occupational audiograms are often unreliable, at least in the UK. For example,
57
58 98 military veterans have informed us that sometimes they could see when a button was pressed
59
60 99 to present a tone, or a light went on when a tone was presented. Sometimes, the tester was

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 5

2
3
4 100 reported to nod when a tone was presented. It is not uncommon for occupational audiograms
5
6 101 to vary markedly and irregularly across tests taken only a year or two apart. Another problem
7
8 102 with the OSHA/DOD method is that noise exposure during military service often results in
9
10 103 the greatest hearing loss at 6 and 8 kHz (Moore, 2020; Lowe & Moore, 2021), and this
11
12 104 method might fail to diagnose NIHL in such cases. In the present paper, the focus is on
13
14 105 methods that are used to diagnose NIHL on the basis of one or more audiograms obtained
15
16 106 after noise exposure, where those audiograms have been obtained under known conditions
17
18 107 according to a standard method, such as the method recommended by the British Society of
19
20 108 Audiology (2018).

21
22 109 In summary, the purpose of this paper is to review methods for the diagnosis and
23
24 110 quantification of NIHL and to provide guidelines for the methods that are recommended for
25
26 111 assessment, especially in a medico-legal context, where the requirement for a diagnosis is “on
27
28 112 the balance of probabilities” rather than with certainty. Note that these are guidelines, not
29
30 113 absolute requirements. Each case is different, and there will be some individuals with NIHL
31
32 114 who do not meet the requirements for a firm diagnosis. While a positive diagnosis following
33
34 115 the guidance provides strong evidence for NIHL, the failure to meet the requirements does
35
36 116 not exclude NIHL.

37
38 117

39 118 **Medical History**

40
41
42 119 To make a clear diagnosis of NIHL incurred during a specific time period, it is
43
44 120 necessary to assess whether there is any other plausible cause of hearing loss, including noise
45
46 121 exposure outside the specified time period or outside of the workplace. Of course, it is
47
48 122 possible to have NIHL in combination with hearing loss caused in some other way, for
49
50 123 example by exposure to jet fuel during military service (Kaufman et al., 2005). The diagnosis
51
52 124 of NIHL in such cases is complex, and usually requires the judgment of an otologist,
53
54 125 otorhinolaryngologist or ear, nose and throat (ENT) specialist based on a detailed history of
55
56 126 the individual case. Often, the effect of the “other” cause of hearing loss can be estimated and
57
58 127 allowed for. If the “other” cause, when combined with the effect of age, does not fully
59
60 128 account for the observed hearing loss, this makes it likely that NIHL has occurred. However,

129 the focus here is on simpler cases, where causes of hearing loss other than noise exposure and
130 age are excluded as far as possible. For such cases, the following should be excluded:

- 131 (1) A history of substantial exposure to ototoxic substances, such as solvents (Odkvist et al.,
132 1987);
- 133 (2) A history of substantial exposure to ototoxic medications, for example during
134 chemotherapy (Baguley & Prayuenyong, 2020);
- 135 (3) A history of current or previous ear diseases;
- 136 (4) Head injury associated with auditory symptoms;
- 137 (5) History of familial hearing loss not caused by noise exposure;
- 138 (6) Exposure to high levels of noise during leisure activities or outside the time period in
139 question, for example, regular attendance at discotheques, nightclubs or “raves” (Stone et al.,
140 2008).

141 A conductive hearing loss of 10 dB or more averaged across the frequencies 0.5, 1, 2
142 and 4 kHz, inferred from the air-bone gap in audiometric thresholds (British Society of
143 Audiology, 2018), does not necessarily rule out the presence of NIHL, but should be noted
144 and taken into account when assessing the audiogram.

145 The medical history should also include the following information:

- 146 (1) The types and durations of noise exposures, the sound sources of the exposures and any
147 ear asymmetry in the exposures;
- 148 (2) The types of hearing protection supplied (if supplied), how well the hearing protection
149 fitted, how often it was replaced, how often it was worn, and whether its use was enforced;
- 150 (3) Whether and how often periods of temporarily reduced hearing and/or tinnitus were
151 experienced during the time period in question;
- 152 (4) Whether tinnitus is currently experienced, and when the tinnitus started relative to the
153 period in question. The severity of tinnitus symptoms can be assessed using the guidelines of
154 McCombe et al. (2001) or using a questionnaire such as the Tinnitus Handicap Inventory
155 (Newman et al., 1998), the Tinnitus Functional Index (Meikle et al., 2012), or the Tinnitus
156 Impact Questionnaire (Aazh et al., 2022a).

(5) Whether hyperacusis is experienced and if so when the hyperacusis started relative to the period in question. Hyperacusis is an intolerance of sounds that most people do not find to be aversive (Tyler et al., 2014). The severity of hyperacusis symptoms can be assessed using a questionnaire such as the Hyperacusis Questionnaire (Khalifa et al., 2004), the Inventory of Hyperacusis Symptoms (Greenberg & Carlos, 2018; Aazh et al., 2021), or the Hyperacusis Impact Questionnaire (Aazh et al., 2022b).

Requirement for Sufficient Noise Exposure

A diagnostic method that has been widely used in the UK was proposed by Coles et al. (2000). This method, referred to here as the CLB method, was intended to apply primarily to people exposed to relatively steady broadband noise. The method specifies two requirements in terms of noise exposure, denoted R2(a) and R2(b). R2(a) of the CLB method is that “at least 50% of individuals exposed to this known or estimated amount of noise would be likely to suffer a measurable degree of hearing loss. This noise estimate includes allowance for proper use of hearing protection or for any in-built protection from a conductive hearing loss believed to have been present in the relevant noise-exposure years.” Coles et al. (2000) estimated this requirement to be met when there was “an equivalent daily 8-h continuous noise exposure ($L_{EP,d}$) of not less than 85 dB(A) for a sufficient number of years to lead to a cumulative exposure of at least 100 dB(A) NIL, the so-termed Noise Immission Level.”

This requirement seems to us to be excessively stringent. If a given NIL is sufficient to produce NIHL in 50% of individuals, then it follows that at least some individuals would experience NIHL for lower exposure levels. Fairness to a claimant requires only that the noise exposure should be sufficient to produce NIHL in a reasonable proportion of individuals. This problem was acknowledged by Coles et al. (2000), and led them to introduce CLB requirement R2(b): “Substantial amounts of NIHL can be caused in a minority of persons exposed to < 100 dB(A) NIL; that is, in those who are more than averagely susceptible. To allow for such cases, a less stringent noise exposure requirement is applicable provided the audiometric evidence of noise damage is stronger. The lower level of

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 8

2
3
4 186 total noise exposure for such cases is reduced to 90 dB(A) NIL, although the lower limit on
5
6 187 $L_{EP,d}$ remains at 85 dB(A)". The CLB guidelines suggest that R2(b) should be applied when
7
8 188 there is a notch or bulge in the audiogram whose depth meets requirement R3(b); this is
9
10 189 described later in this paper.

11
12 190 A problem with R2(a) is that an NIL of 100 dB(A) is probably higher than the NIL
13
14 191 required for 50% of individuals to experience NIHL. Passchier-Vermeer (1974) presented
15
16 192 evidence showing that exposure to steady noise with a noise rating (NR) of 85 dB
17
18 193 [approximately equal to 90 dB(A)] for eight hours per day for five days per week for ten
19
20 194 years, giving an NIL of approximately 100 dB(A), is sufficient to produce a median hearing
21
22 195 loss of 17 dB at 4 kHz. This indicates that a criterion NIL of 100 dB(A) is higher than
23
24 196 appropriate. She showed further that a 10-dB lower exposure [a NR of 75, equivalent to 80
25
26 197 dB(A) for the same duration, giving an NIL of approximately 90 dB(A)] led to a hearing loss
27
28 198 of 11 dB at 4 kHz for the 10th percentile, i.e. that lower NIL had the potential to produce
29
30 199 hearing loss in some individuals. In our opinion, a criterion NIL of 90 dB(A) is appropriate,
31
32 200 since this will lead to NIHL in a small proportion of individuals. We recommend an NIL of
33
34 201 90 dB(A), with no lower limit on $L_{EP,d}$, in all cases of exposure to broadband steady noise.

35
36 202 Additional considerations arise when the individual has been exposed to impulsive
37
38 203 sounds, for example from hammering or gunshots. It is well established that, for a given root-
39
40 204 mean square exposure, impulsive sounds are more damaging to the auditory system than
41
42 205 steady sounds (which usually have a Gaussian distribution of instantaneous amplitudes)
43
44 206 (Henderson & Hamernik, 1986; Shi et al., 2021). In a systematic review, Shi et al. (2021)
45
46 207 concluded that "The A-weighted equivalent continuous sound pressure level (L_{Aeq}) is not a
47
48 208 sufficient measurement metric for quantifying non-Gaussian noise exposure, and a
49
50 209 combination of kurtosis and noise energy metrics (e.g., L_{Aeq}) should be used. It is necessary
51
52 210 to reduce the exposure of non-Gaussian noise to protect the hearing health of workers."
53
54 211 Unfortunately, there is at present no consensus as to what the appropriate combination
55
56 212 measure should be. Shi et al. (2021) showed that the prevalence of high-frequency NIHL
57
58 213 (HFNIHL, defined as a hearing threshold level ≥ 25 dB HL, averaged across 3, 4 and 6 kHz)
59
60 214 was 33.3% for workers exposed to non-Gaussian noise as opposed to 27.7% for workers

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 9

215 exposed to Gaussian noise of the same cumulative level. This change in prevalence of 5.6%
216 is about 0.78 times the increase in prevalence of 7.2% produced by changing the cumulative
217 noise exposure from 85 to 90 dB(A); see table 5 of Shi et al. (2021). Hence, exposure to non-
218 Gaussian noise, on average, has an effect similar to increasing the exposure level by about 4
219 dB (5×0.78). Hence, for cases of exposure to non-Gaussian noise, it would seem reasonable
220 at present to use a limit of 86 dB(A) NIL, i.e. 4 dB lower than for exposure to steady
221 broadband noise.

222 Hearing loss sustained during military service, denoted here M-NIHL, is a special
223 case. It can involve exposure to peak sound levels exceeding 150 dB SPL (Jokel et al., 2019),
224 which are capable of damaging the ear immediately when hearing protection is not worn, is
225 of insufficient effectiveness, or is inadequately fitted. To accrue a NIL of 100 dB(A) to
226 satisfy requirement R2(a) of the CLB guidelines would require the firing of 160 rounds per
227 shift, unprotected, for five days per week for approximately seven years, giving a total of
228 more than 250,000 rounds. Even the lower R2(b) requirement of the CLB guidelines would
229 require unprotected exposure to 25,000 rounds. In fact, it has been shown that a relatively
230 small amount of unprotected exposure (100 rounds or less) when practicing the shooting of
231 rifles can produced significant hearing loss (Keim, 1969; Moon et al., 2011). Hence, both
232 R2(a) and R2(b) of the CLB guidelines are clearly inapplicable in the case of exposure to
233 intense impulsive sounds, as was acknowledged by the authors of the CLB guidelines (Coles
234 et al., 2000).

235 In the great majority of cases, it is impossible to quantify precisely the noise exposure
236 of a specific individual during military service. However, it is likely that all military
237 personnel who have seen active service have been exposed to potentially damaging sounds.
238 Consistent with this, Jokel et al. (2019) stated, “All military personnel are going to be
239 exposed to loud sounds. In fact, they are likely to have exposure to some of the most intense
240 sounds that can be found in any occupation.” Evidence that military noise exposure is
241 typically sufficient to cause hearing loss in a substantial proportion of men is provided by
242 Figure 1 in Moore (2020), showing that about 50% of professional military personnel have
243 hearing loss in the frequency range 6–8 kHz, and by Figure 2 in Moore (2020), showing that

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 10

2
3
4 244 the mean hearing loss after 10 years of military service is greater than 30 dB at 4, 6, and 8
5
6 245 kHz. Also, in our experience it is near universal that those claiming compensation for M-
7
8 246 NIHL report times where their hearing was dulled and/or where they experienced temporary
9
10 247 tinnitus. Such reports are generally accepted as indicating potentially or actually damaging
11
12 248 noise exposure (Kryter, 1963; Brungart et al., 2019).

13
14 249 Another special case is for individuals exposed to intense tones rather than noises.
15
16 250 Such exposures can result from the use of “Tone Set Equipment” (TSE), which has been used
17
18 251 in the past to test the integrity of telephone lines. The sounds produced by TSE are typically
19
20 252 1-kHz tones with levels up to 137 dB SPL. Exposures of this type are sometimes described as
21
22 253 producing “acoustic shock”, and they can lead to immediate hearing loss as well as tinnitus,
23
24 254 hyperacusis, and psychological effects (Davis et al., 1950; Westcott, 2006; McFerran &
25
26 255 Baguley, 2007). While “safe” exposure limits for tones have not been established, it can
27
28 256 reasonably be assumed that exposure to tones with levels over 130 dB SPL is likely to have
29
30 257 the potential for damaging the ear, and that all people who have worked with TSEs have had
31
32 258 potentially damaging exposures. We denote this type of hearing loss as T-NIHL.

33
34 259 In summary, for people who have been exposed primarily to steady broadband noise,
35
36 260 we recommend that a total noise exposure of 90 dB(A) NIL is taken as sufficient to have the
37
38 261 potential for causing NIHL. For people who have regularly been exposed to impulsive sounds
39
40 262 in non-military occupations, a lower limit of 86 dB(A) NIL should be used. It can reasonably
41
42 263 be assumed that all those who have seen active military service or who have worked with
43
44 264 TSE have been exposed to sounds with the potential to cause hearing loss.

45
46 265

47 266 **Diagnosis Based on Audiometric Configuration**

48 267 *Cases of Exposure to Steady Broadband Noise*

49
50
51 268 Several methods for diagnosing NIHL are based on the typical shapes of the
52
53 269 audiograms produced by exposure to steady intense broadband noise. Such audiograms
54
55 270 typically show a notch or downward bulge in the audiogram in the frequency region 3-6 kHz
56
57 271 (Passchier-Vermeer, 1974; Smoorenburg, 1992). The reasons for this are as follows:

58
59
60

272 (1) The ear canal produces an acoustic resonance that boosts the sound level at the eardrum
273 (relative to that measured with a microphone placed at the centre of the position of the
274 listener's head, when the listener is removed from the sound field) by about 15 dB for
275 frequencies close to 3 kHz (Shaw, 1974; Moore, 2012). Hence, for a typical broadband
276 sound, the level at the eardrum is greater for frequencies close to 3 kHz than for lower or
277 higher frequencies. The centre frequency of the ear canal resonance depends on the geometry
278 of the ear canal and varies across individuals from about 2 to 4 kHz.

279 (2) Each place on the basilar membrane within the cochlea is tuned to a certain frequency,
280 called the characteristic frequency (CF) (Moore, 2012). However, the CF depends on sound
281 level (McFadden, 1986; Moore et al., 2002). The place on the basilar membrane with a CF of
282 4 kHz at low and medium sound levels responds most strongly to frequencies close to 3 kHz
283 at very high sound levels.

284 Because of these two effects, exposure to an intense broadband noise produces
285 maximum damage to the hair cells in the cochlea at a place whose CF is close to 4 kHz, and it
286 is this damage that is measured in the audiogram.

287 The existence of a "noise notch" or calculated bulge provides the basis for several
288 diagnostic methods (Coles et al., 2000; Niskar et al., 2001; Phillips et al., 2010), all of which
289 depend on the hearing threshold levels (HTLs) at 3, 4 or 6 kHz being higher (worse) than the
290 HTLs at lower frequencies (e.g. 1 kHz) and higher frequencies (e.g. 8 kHz). For a description
291 of these methods, see Lowe and Moore (2021). As an illustration of these methods, we
292 describe here the audiometric requirements of the CLB method, which is the most widely
293 used method in the UK in medico-legal cases. The CLB method includes a recommendation
294 that HTLs at 6 kHz should be "adjusted" (reduced) by 6 dB when Telephonics TDH39
295 headphones are used, to allow for a "calibration artefact" that depends on the coupler used for
296 calibration (Lawton, 2005). However, the coupler that is used in most countries does not lead
297 to an artefact, and recent evidence suggests that such an adjustment is not appropriate in the
298 UK (Lowe & Moore, 2021). Therefore, we recommend that no adjustment is made. The
299 requirements of the CLB method are:

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 12

2
3
4 300 R1. A single measurement of the HTL at 3, 4 or 6 kHz should be at least 10 dB greater than
5
6 301 the HTL at 1 or 2 kHz.

7
8 302 R2(a) and R2(b) are the requirements for sufficient noise exposure, as described earlier.

9
10 303 R3(a). This requirement applies when R2(a) is met. There should be a downward notch or
11
12 304 bulge in the audiogram in the range 3-6 kHz. A notch is defined as present when “the HTL at
13
14 305 3 and/or 4 and/or 6 kHz ... is at least 10 dB greater than at 1 or 2 kHz and at 6 or 8 kHz”. A
15
16 306 bulge is defined as present when “the HTL at 3 and/or 4 and/or 6 kHz ... is at least 10 dB
17
18 307 greater relative to the comparison values for age-related hearing loss at corresponding
19
20 308 frequencies.” To establish whether R3(a) is satisfied, a “bulge analysis” is conducted using
21
22 309 the HTLs at 1 or 2 kHz and at 6 or 8 kHz as “anchor points”. R3(a) is based on the
23
24 310 assumption that NIHL will typically result in greater hearing loss at 4 kHz than at 1 or 2 and
25
26 311 6 or 8 kHz.

27
28 312 R3(b). This requirement applies when R2(a) is not met, but R2(b) is met. R3(b) is similar to
29
30 313 R3(a), except that the notch or bulge in the audiogram must have a value of 20 dB or more,
31
32 314 instead of 10 dB or more.

33
34 315 In the literature, the term percentile has been used in different ways. Sometimes a low
35
36 316 percentile has been taken to correspond to poorer than typical hearing (ISO 7029, 2017),
37
38 317 while sometimes a low percentile has been taken to correspond to better than typical hearing
39
40 318 (Flamme et al., 2020). In what follows, we adopt the convention that a lower percentile
41
42 319 corresponds to poorer than typical hearing. For example, for a given age, 75% of individuals
43
44 320 would have better hearing than the 25th percentile.

45
46 321 An example of a bulge analysis using the CLB method, for a man exposed to
47
48 322 broadband steady noise in a factory, is shown in Table 1. R2(a) was satisfied. For this
49
50 323 example, the anchor points were taken as 1 and 8 kHz, which are the most commonly used
51
52 324 anchor points. The age-associated hearing loss (AAHL) values are those for a man without
53
54 325 noise exposure aged 50 years at the 25th (worst) percentile, taken from table 2 of Coles et al.
55
56 326 (2000). The percentile is chosen to match the HTLs at the chosen anchor points as closely as
57
58 327 possible. The measured HTL at 1 kHz is 3 dB higher than the AAHL value, while the HTL at
59
60 328 8 kHz is 6 dB lower than the AAHL value. These are denoted “misfit values”. They indicate

the extent to which the AAHL values at the anchor points differ from the measured HTLs. Note that tables 2 (for men) and 3 (for women) of Coles et al. (2000) give AAHL values based on a now-obsolete standard (ISO 7029, 1984) that was adjusted (to give higher HTLs) based on the data presented in Lutman and Davis (1994). Also, the tables give AAHL values only for the 25th, 50th and 75th percentiles and only for ages up to 70 years at five-year intervals, so the values are quite coarsely quantized. The misfit values are interpolated across frequency on a logarithmic frequency scale (line D) and used to give adjusted AAHL values (the sum of rows C and D). These adjusted AAHL values are set equal to the measured HTL when they are greater (worse) than the measured HTL, since noise exposure is generally accepted not to improve HTLs. The differences between the adjusted AAHL values and the measured HTLs are shown in the bottom line of the table; these correspond to the estimated NIHL. Any value exceeding 10 dB at 3, 4, or 6 kHz qualifies as a bulge. In this case, R3(a) is not satisfied; the largest estimated NIHL is 8 dB at 4 kHz.

It should be noted that although the CLB diagnostic method is currently the most widely used method in the UK, there have not, to our knowledge, been any published studies of its sensitivity in diagnosing NIHL produced by exposure to steady broadband noise. One reason for this is that there is no generally accepted “gold standard” for deciding whether or not a diagnosis of NIHL is correct. The specificity of the method (the percentage of people without NIHL who are diagnosed as not having NIHL) was estimated for a non-noise-exposed control population by Moore and von Gablenz (2021) to be 87% when each ear was considered separately.

Relatively recently, an updated ISO standard has been published based on populations that were carefully screened to exclude individuals with conductive hearing loss or noise exposure (ISO 7029, 2017). The Introduction in ISO 7029 (2017) includes the statement: “Hearing thresholds presented in this document are generally lower at high frequencies than those in the previous editions of this document. The 4 kHz dip observed in males has become negligibly small. The source data of the previous editions might not have been screened rigorously in terms of hearing abnormalities. Problems related to instrumentation might also have affected measurement data”. The section headed “Scope” in ISO 7029 (2017) includes

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 14

2
3
4 358 the statement: “The data are applicable for estimating the amount of hearing loss caused by a
5
6 359 specific agent in a population. Such a comparison is valid if the population under study
7
8 360 consists of persons who are otologically normal except for the effect of the specific agent.
9
10 361 Noise exposure is an example of a specific agent”. These two statements provide good
11
12 362 reasons for not using earlier versions of the standard and for not using the tabulated values in
13
14 363 Coles et al. (2000), which in any case contain several erroneous entries.

15
16 364 The equations given in ISO 7029 (2017) can be used to calculate AAHL values for
17
18 365 any desired age (up to 80 years) and percentile. This can sometimes change the outcome of
19
20 366 the bulge analysis. Table 2 shows a bulge analysis based on the same case as for Table 1, but
21
22 367 using AAHL values taken from ISO 7029 (2017) for a man aged 50 years at the 9th
23
24 368 percentile. The measured HTL at 1 kHz is 1.9 dB higher than the AAHL value, while the
25
26 369 HTL at 8 kHz is 1.4 dB lower than the AAHL value. When the AAHL values from ISO 7029
27
28 370 (2017) are used, the R3(a) CLB requirement is met; the estimated NIHL at 4 kHz is 11 dB.

29
30 371 It should be noted, as acknowledged by Coles et al. (2000), that the NIHL estimated
31
32 372 using the CLB method for diagnosis underestimates the true extent of the NIHL, because the
33
34 373 noise exposure often affects the HTLs at the anchor points (Passchier-Vermeer, 1974;
35
36 374 Smoorenburg, 1992). Hence, as stated by the authors, the CLB method should not be used to
37
38 375 quantify NIHL.

39
40 376 For cases of exposure to broadband steady noise, we recommend use of a modified
41
42 377 version of the CLB method. The requirements of the modified version, denoted (mod), are as
43
44 378 follows:

45
46 379 R1(mod). A single measurement of the HTL at 3, 4 or 6 kHz should be at least 10 dB greater
47
48 380 than the HTL at 1 kHz or 2 kHz. This is actually the same as R1.

49
50 381 R2(mod). There should be evidence for an NIL of 90 dB(A) or more. The reasons for this
51
52 382 lower NIL were given earlier in this paper.

53
54 383 R3(mod). There should be a downward notch or bulge in the audiogram in the range 3-6 kHz.
55
56 384 A notch is defined as present when the HTL at 3 and/or 4 and/or 6 kHz is at least 10 dB
57
58 385 greater than at 1 and 8 kHz. A bulge is defined as present when the HTL at 3 and/or 4 and/or
59
60 386 6 kHz is at least 10 dB greater than expected from AAHL values. To establish whether

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 15

387 R3(mod) is satisfied, a bulge analysis using the HTLs at 1 kHz and at 8 kHz as “anchor
388 points” should be conducted, as illustrated in Table 2. The AAHL values should be based on
389 ISO 7029 (2017). The percentile should be chosen to minimize the mismatch between the
390 measured HTLs and the AAHL values at the anchor points of 1 and 8 kHz, taking into
391 account the sign of the mismatch (for example, a mismatch of -4 dB at 1 kHz and $+4$ dB at 8
392 kHz would reflect the correct choice of percentile, while a mismatch of -4 dB at both 1 and 8
393 kHz would indicate the need to choose a different percentile). In some cases, it may be
394 appropriate to change the lower anchor frequency to 2 kHz and/or the upper anchor frequency
395 to 6 kHz when the HTLs at 1 and/or 8 kHz are “out of line” with those at other frequencies.
396 The lower anchor frequency should be changed to 2 kHz when the HTL at 2 kHz is 10 dB or
397 more better than the HTL at 1 kHz and the upper anchor frequency should be changed to 6
398 kHz when the HTL at 6 kHz is 10 dB or more better than the HTL at 8 kHz.

399

400 *Cases of Exposure to Impulsive Sounds in Industry*

401 For cases of exposure to impulsive sounds in industrial settings, we recommend that
402 diagnosis is based on the modified CLB method described above, except that R2(mod) is
403 changed to: there should be evidence for an NIL of 86 dB(A) or more.

404

405 *Cases of Exposure to Intense Impulsive Sounds*

406 In this section, we consider cases of exposure that include very intense impulsive
407 sounds, such as can occur in military service. The exposure may also include more steady
408 sounds, such as the noise of jet engines or the interior of tanks. Noise exposure during
409 military service typically leads to hearing losses that are greatest at 4, 6 and 8 kHz, and the
410 mean loss at 8 kHz is similar to or greater than that at 4 kHz (Walden et al., 1975; Ylikoski &
411 Ylikoski, 1994; Attias et al., 2004; Humes et al., 2006; Moore, 2020; Lowe & Moore, 2021).
412 For some individuals, M-NIHL is greater at 8 kHz than at lower frequencies (Moore, 2020;
413 Lowe & Moore, 2021). Also, the HTL for frequencies as low as 0.5 and 1 kHz can be
414 markedly affected by noise exposure during military service (Lowe & Moore, 2021). For
415 these reasons, methods for diagnosing NIHL based on the assumption that HTLs are most

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 16

2
3
4 416 affected for frequencies close to 4 kHz and are relatively unaffected for frequencies of 1 and
5
6 417 8 kHz are not appropriate for diagnosing M-NIHL.

7
8 418 To illustrate this, Lowe and Moore (2021) estimated the sensitivity (the percentage of
9
10 419 cases with NIHL correctly diagnosed as having NIHL) of three methods for diagnosing NIHL
11
12 420 based on identification of a notch or bulge in the audiogram when applied to a sample of 80
13
14 421 men with a high probability of having M-NIHL (it is relatively rare for women to make
15
16 422 claims for M-NIHL). All of the men were claiming compensation for M-NIHL. All reported
17
18 423 exposure to intense impulsive sounds produced by rifles, machine guns, grenades, shoulder-
19
20 424 mounted anti-tank weapons, thunder flashes, and mortars, sometimes without hearing
21
22 425 protection. Nearly all of the sample reported times when they had a temporary dulling of
23
24 426 hearing (also known as temporary threshold shift) and/or tinnitus following such exposure.
25
26 427 One of the methods was the CLB method described earlier. The other methods were those of
27
28 428 Niskar et al. (2001) and Phillips et al. (2010), which have been used for epidemiological
29
30 429 studies in the USA. The highest overall sensitivity of 72.5% was for the method of Phillips et
31
32 430 al. (2010). The Niskar method gave a sensitivity of only 27%, largely because of their
33
34 431 requirement that for a positive diagnosis the HTLs at 0.5 and 1 kHz should be ≤ 15 dB HL,
35
36 432 while the results of Lowe and Moore (2021) suggest that HTLs at these frequencies can be
37
38 433 affected by M-NIHL. The CLB method gave a sensitivity of 70%. For the CLB and Niskar
39
40 434 methods, negative diagnoses occurred mainly when the HTL at 8 kHz was equal to or greater
41
42 435 than the HTL over the frequency range 3-6 kHz.

43
44 436 The reasons why noise exposure during military service produces very variable
45
46 437 audiometric outcomes are not clear. However, the high variability is consistent with the high
47
48 438 variability in the patterns of hearing loss found in animals that have been exposed to intense
49
50 439 impulsive sounds (Henderson & Hamernik, 1986). It may be the case that intense impulsive
51
52 440 sounds produce strong excitation over a large proportion of the basilar membrane within the
53
54 441 cochlea, and that the basal region, which responds best to high frequencies, is more
55
56 442 susceptible to damage than more apical regions (Robles & Ruggero, 2001). The high
57
58 443 variability may also be related to the variety of the spectral shapes of the sounds encountered
59
60 444 in military service (Jokel et al., 2019).

Moore (2020) proposed a new method for the diagnosis of M-NIHL, based on the patterns of the audiograms that are typically found following noise exposure during military service. The characteristics of M-NIHL are often similar to those of age-related hearing loss, also called presbycusis (with the exception that presbycusis usually involves similar hearing loss for the two ears, while M-NIHL is often markedly asymmetric, Lowe & Moore, 2021). This makes a definite diagnosis of M-NIHL difficult for some individuals aged over about 40 years. However, in some (but not all) cases it is possible to distinguish M-NIHL from presbycusis, based on the observation that in cases of presbycusis the hearing loss is typically greater at 8 kHz than at 3, 4 or 6 kHz. For a man at the 50th percentile who has not experienced significant noise exposure, the difference between the HTLs at 8 and 6 kHz is about 1 dB at 40 years, increasing to about 9 dB at age 70 years (ISO 7029, 2017). Similarly, the difference between the HTLs at 8 and 4 kHz is about 2 dB at age 40 years, increasing to about 17 dB at age 70 years and the difference between the HTLs at 8 and 3 kHz is about 3 dB at age 40 years, increasing to about 23 dB at age 70 years. In contrast, as described above, M-NIHL is on average greater at 6 than at 8 kHz and is on average similar at 4 and 8 kHz. Also, the maximum hearing loss sometimes falls at 3 kHz. Hence, a diagnosis of M-NIHL can be made with good confidence if the following requirements are satisfied (M here denotes the method of Moore):

R1M. A single value of the HTL at 3, 4, 6, or 8 kHz is at least 10 dB higher than the HTL at 1 or 2 kHz. This is similar to requirement R1 of the CLB method, except that the frequency of 8 kHz has been added to allow for the fact that noise exposure during military service typically produces the greatest hearing losses at 4, 6, and 8 kHz, but sometimes produces the greatest loss at 3 kHz.

R2aM. The difference between HTLs at 8 and 6 kHz is at least 5 dB smaller than would be expected from age alone or the difference between HTLs at 8 and 4 kHz or between 8 and 3 kHz is at least 10 dB smaller than would be expected from age alone, based on the median values in ISO 7029 (2017). For example, at 4 kHz R2aM is satisfied if

$$[\text{HTL}(8) - \text{HTL}(4) + 10] \leq [\text{AAHL}(8) - \text{AAHL}(4)], \quad (1)$$

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 18

2
3
4 473 where $HTL(x)$ is the HTL at frequency x (kHz) and $AAHL(x)$ is the AAHL at frequency x
5
6 474 (kHz). This is similar to the methods based on identifying a notch or bulge in the audiogram,
7
8 475 but is based on the fact that noise exposure during military service typically leads to less
9
10 476 hearing loss at 8 than at 6 kHz, and to similar hearing loss at 4 and 8 kHz, and sometimes
11
12 477 leads to the greatest hearing loss at 3 kHz, whereas age alone typically leads to greater
13
14 478 hearing loss at 8 than at 3, 4 or 6 kHz.

15
16 479 If requirements R1M and R2aM are met, this provides reasonably strong evidence for
17
18 480 M-NIHL, since the shape of an audiogram required to meet R2aM is different from the shape
19
20 481 associated with age alone. If requirement R2aM is not met, this does not imply the absence of
21
22 482 M-NIHL, since noise exposure during military service can have a substantial effect, and
23
24 483 sometimes its maximal effect, on the HTL at 8 kHz. If requirement R2aM is not met, then a
25
26 484 diagnosis of M-NIHL can be made if R1M is met, and the following requirement is met:
27
28 485 R2bM. The HTL at any one of 4, 6, or 8 kHz is at least 20 dB higher than the median
29
30 486 threshold for each frequency expected for that age, based on ISO 7029 (2017). The
31
32 487 frequencies of 4, 6, and 8 kHz were chosen because these are the frequencies that are usually
33
34 488 most affected by noise exposure during military service, but the exact frequency showing the
35
36 489 greatest loss varies across individuals (Moore, 2020; Lowe & Moore, 2021). The value of 20
37
38 490 dB was chosen for several reasons: (1) To avoid a high number of false-positive diagnoses;
39
40 491 (2) Because 20 dB is greater than the typical errors associated with measurement of an
41
42 492 audiogram (Margolis et al., 2010); (3) Because a 20-dB threshold elevation at high
43
44 493 frequencies is likely to lead to a measurable reduction of the ability to understand speech in
45
46 494 noise (Smoorenburg, 1992).

47
48 495 In summary, for the method of Moore (2020), R1M must be met and either R2aM or
49
50 496 R2bM or both must be met.

51
52 497 Two important characteristics of any diagnostic test are its sensitivity and its
53
54 498 specificity (the percentage of people without M-NIHL who are diagnosed as not having M-
55
56 499 NIHL). The specificity of the diagnostic method of Moore (2020) was assessed by Moore and
57
58 500 von Gablenz (2021), using a sample of 1903 adults, mostly based in two medium-sized cities
59
60 501 in the northwest of Germany. The sample was initially restricted to males aged between 29

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 19

2
3
4 502 and 60 years [the same as for the noise-exposed sampled assessed by Moore (2020)]. The
5
6 503 sample was then further screened to match their characteristics to those of the noise-exposed
7
8 504 sample, except for the noise exposure.

9
10 505 When applied to the sample of 58 military veterans studied by Moore (2020), Moore's
11
12 506 method was found to have an overall sensitivity of 96.5%. When applied to the independent
13
14 507 sample of 80 military veterans studied by Lowe and Moore (2021), the method was found to
15
16 508 have an overall sensitivity of 95%. These sensitivity values are very high and markedly
17
18 509 greater than for the methods of Coles et al. (2000), Niskar et al. (2001) and Phillips et al.
19
20 510 (2010). For the standard combination of requirements [R1M, and (R2aM or R2bM)] the
21
22 511 specificity of Moore's method was 67%, which is only moderate. For R1M and R2aM alone,
23
24 512 the specificity was 86%. For R1M and R2bM alone, the specificity was 76%. For R1M and
25
26 513 both R2aM and R2bM, the specificity was 94%. Thus, the specificity was greater when all
27
28 514 three requirements were met than when only R1M and R2aM or R1M and R2bM were met.

29
30 515 A measure of the performance of a diagnostic method can be derived from the
31
32 516 proportion of "hits" (sensitivity) and "false alarms" (1 – specificity):

$$33 \quad 34 \quad 517 \quad d' = Z(\text{hit rate}) - Z(\text{false alarm rate}), \quad (2)$$

35
36 518 where function $Z(p)$, $p \in [0,1]$, is the inverse of the cumulative Gaussian distribution (Green
37
38 519 & Swets, 1974). The higher the value of d' , the better is the performance of the method. For
39
40 520 the method of Moore (2020), and for each ear considered separately, the value of d' for the
41
42 521 standard combination of requirements was 2.3, which is conventionally considered as
43
44 522 reasonably high. For comparison, d' was estimated for the CLB method using anchor points
45
46 523 of 1 and 8 kHz. When applied to each ear separately, the CLB method gave a sensitivity of
47
48 524 0.69 and a false-positive rate of 0.13, leading to a d' value of 1.6, markedly lower than for
49
50 525 the method of Moore (2020).

51
52 526 We conclude that for cases of noise exposure during military service, the method of
53
54 527 Moore (2020) is preferable to methods based on the identification of a notch or bulge in the
55
56 528 audiogram centered near 4 kHz. Confidence in a positive diagnosis is greatest when R1M,
57
58 529 R2aM and R2bM are all met, since specificity is greatest in that case, at 94%. Confidence is

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 20

2
3
4 530 somewhat lower, but still high, when only R1M and R2aM are met, since R2aM requires an
5
6 531 audiogram shape different from that produced by age alone, and since specificity is still
7
8 532 reasonably high, at 86%. Confidence is lower (but still with a probability greater than 50%)
9
10 533 when only R1M and R2bM are met, which is associated with a specificity of 76%.

11
12 534 Confidence in a positive diagnosis is greater when the outcome is positive for both
13
14 535 ears as opposed to only one ear. However, M-NIHL is often asymmetric across the two ears,
15
16 536 and the asymmetry in HTLs can often be associated with asymmetric exposure (Keim, 1969;
17
18 537 Lowe & Moore, 2021). Hence, asymmetry in the HTLs across ears can be taken as supporting
19
20 538 the presence of M-NIHL (Lowe & Moore, 2021). Because of this asymmetry, the diagnosis
21
22 539 can sometimes be positive for one ear, but not for the other ear. However, M-NIHL can
23
24 540 sometimes be symmetric across the two ears, so a lack of asymmetry does not imply the
25
26 541 absence of M-NIHL.

27
28 542 Exposure to broadband noises in industrial situations when the noise level is
29
30 543 unusually high or the exposure duration is very long can lead to NIHL that spread towards
31
32 544 higher frequencies, including 6 and 8 kHz (Passchier-Vermeer, 1974). In such cases, there
33
34 545 may be no notch or bulge in the audiogram, and diagnostic methods that depend on the
35
36 546 presence of a notch or bulge will fail. The method of Moore (2020), while originally intended
37
38 547 for the diagnosis of M-NIHL, may also be applied in such cases. We recommend that the
39
40 548 method of Moore (2020) be applied in preference to the modified CLB method in cases when
41
42 549 the NIL is 100 dB(A) or more, since such exposure often leads to marked NIHL at 6 and 8
43
44 550 kHz (Passchier-Vermeer, 1974).

45
46 551

47 48 552 *Cases of Exposure to Intense Tones*

49
50 553 Very intense tones presented via headphones, as is the case with TSE, produce a
51
52 554 distribution of stimulation along the basilar membrane within the cochlea that is very broad.
53
54 555 Places with a wide range of CFs at and above the frequency of the exposure tone are
55
56 556 stimulated with a high intensity. However, for structural and metabolic reasons, the places
57
58 557 with high CFs are most vulnerable to damage (Borg et al., 1995). Hence, the maximum
59
60 558 damage caused by exposure to intense tones is likely to occur for frequencies above that of

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 21

the exposure tone. However, there is no reason to expect the maximum T-NIHL to occur for frequencies close to 4 kHz. Data on permanent hearing loss caused by exposure to intense tones are sparse, and the effects seem to vary markedly across people. Davis et al. (1950) reported three cases where exposures to intense tones for periods of 1 – 8 minutes produced permanent hearing loss. Exposures to tones with frequencies of 0.5, 2, and 4 kHz led to permanent hearing losses that had their maximal values at 3.4, 8, and 4 kHz, respectively. Thus, the relationship between the exposure frequency and the frequency at which the T-NIHL is greatest was highly variable.

The CLB method for diagnosing NIHL, and other similar methods, are based on the assumption that the maximum NIHL will occur for frequencies close to 4 kHz. Hence, these methods are entirely inappropriate in cases of T-NIHL produced by TSE. Coles et al. (2000) explicitly recognised this limitation in their paper, where they stated that the guidelines only apply to “typical” cases of NIHL produced by common types of broadband noise and that “Sounds not fitting this description include those predominantly of tonal nature.” The sounds produced by TSE are clearly of a tonal nature. These sounds cannot be classified as “broadband”, as their spectra are dominated by discrete sinusoidal components. Similarly, the method of Moore (2020) is not appropriate for diagnosing T-NIHL. Indeed, there are no generally accepted methods for diagnosing T-NIHL produced by the use of TSE. Here we make two recommendations for such methods.

For individuals who *exclusively* used only one ear when operating TSE, an appropriate method of diagnosing T-NIHL is to compare the audiograms for the exposed and non-exposed ears. If the mean audiometric threshold across 1, 2, 3, 4, 6 and 8 kHz is 5 dB or more higher for the exposed than for the non-exposed ear, then, in our view, this would indicate, on the balance of probability, that the exposure led to T-NIHL.

For individuals who used both ears with the TSE, the amount of T-NIHL cannot be safely assessed by comparing audiometric thresholds for the two ears. This is the case even when one ear was used only occasionally with the TSE, since only a small number of exposures may be sufficient to produce some T-NIHL. In cases where an individual used both ears with the TSE, a reasonable procedure is to compare the audiometric thresholds for

588 each ear with the median audiometric thresholds for a person of the same age and gender with
589 no known history of noise exposure, based on ISO 7029 (2017). If the mean audiometric
590 threshold across 1, 2, 3, 4, 6, and 8 kHz is 5 dB or more higher than the median for a person
591 of the same age and gender then, in our view, this would indicate, on the balance of
592 probability, that the exposure led to T-NIHL.

593

594 **Quantification of NIHL**

595 In this section we consider methods that can be used for quantifying NIHL, assuming
596 that a positive diagnosis of NIHL has been reached using one of the methods described
597 above.

598

599 *Exposure to Steady Broadband Noise*

600 For individuals who have been exposed to steady broadband noise, two main methods
601 are available for quantification. One is based on comparison of the measured HTLs with a
602 reference database of HTLs for non-noise exposed individuals as a function of age, frequency
603 and gender. Another method, which is widely used in the UK, is based on the guidelines of
604 Lutman et al. (2016), referred to here as the LCB method. As with the CLB diagnostic
605 method, the LCB quantification method was intended to be appropriate for the NIHL that
606 occurs following long-term exposure to the type of broadband noise that typically occurs in
607 factories. This is associated with a “notch” or a “bulge” in the audiogram, most commonly
608 centred at 3, 4 or 6 kHz and with only a small threshold elevation at 8 kHz, unless the NIHL
609 is severe (Passchier-Vermeer, 1974; Robinson, 1985; Smoorenburg, 1992). We consider first
610 the LCB method and its limitations.

611 The LCB method involves two “passes”. Pass one is the same as for the CLB bulge
612 analysis described above, using anchor points at 1 and 8 kHz. Pass two involves the steps
613 illustrated in Table 3 using the same audiometric thresholds as for Table 1 and using the same
614 AAHL values:

615 (1) Estimation of the extent to which the audiometric thresholds at the anchor points include
616 some NIHL, based largely on the data of Passchier-Vermeer (1974). The NIHL value at 1

1
2
3
4 617 kHz is calculated as 0.15 times the estimated NIHL at 4 kHz obtained in the first pass. The
5
6 618 NIHL value at 8 kHz is calculated as 0.4 times the estimated NIHL at 4 kHz (line F in Table
7
8 619 3). Note that this makes the method unsuitable when there is no audiometric notch at 4 kHz,
9
10 620 the greatest hearing loss instead occurring at 3 or 6 kHz.

11
12 621 (2) Altering the measured HTLs to create modified HTLs at the anchor points, by subtracting
13
14 622 the estimated NIHL values from the measured HTLs (line G).

15
16 623 (3) Selecting AAHL values to give a good match to the modified HTLs at the anchor points
17
18 624 (line H). In the example given, the AAHL values are the same as for the first pass (line C),
19
20 625 but they could in principle be different, if a different percentile is chosen.

21
22 626 (4) Calculating “misfit values” at the anchor points, which are the differences between the
23
24 627 modified HTLs (Line G) and the AAHL values (line H), giving the values in line I.

25
26 628 (5) Interpolation of the misfit values in line I on a logarithmic frequency scale to give misfit
27
28 629 values at all frequencies (line J).

29
30 630 (6) Calculation of modified AAHL values by adding the AAHL values in line H to the
31
32 631 interpolated misfit values in line J, giving line K.

33
34 632 (7) Setting the modified AAHL values in line K to 0 when they are negative (line L).

35
36 633 (8) Setting the modified AAHL values in line L to the measured HTLs when the modified
37
38 634 AAHL values are greater than the measured HTLs (line M).

39
40 635 (9) Quantifying NIHL as the difference between the measured HTLs (line A) and the values
41
42 636 in line M, giving line N.

43
44 637 For the example shown in Table 3, the estimated NIHL is 0.4 dB when averaged
45
46 638 across 1, 2, and 3 kHz, and 4.1 dB when averaged over 1, 2, and 4 kHz. Some problems with
47
48 639 the LCB method are immediately apparent from this example. Recall that the percentile for
49
50 640 the AAHL values was selected as the 25th (worst) so as to give a reasonable match to the
51
52 641 measured HTLs at 1 and 8 kHz. However, with this percentile, the measured HTLs at 2 and 3
53
54 642 kHz are markedly lower (better) than the selected AAHL values. This suggests that, in the
55
56 643 absence of noise exposure, this individual would have fallen at a better percentile than the
57
58 644 25th. Furthermore, changing the selected percentile only changes the outcome of the LCB
59
60 645 method slightly, because the AAHL values are adjusted to be close to the measured HTLs at

the anchor points of 1 and 8 kHz. For example, if the 50th percentile is selected, the estimated NIHL remains 0.4 dB when averaged across 1, 2, and 3 kHz, and changes to 3.9 dB when averaged over 1, 2, and 4 kHz. It appears very likely that the NIHL of this individual is under-estimated when the LCB method is used.

A widely used alternative is to quantify NIHL by comparing the measured HTLs with AAHL values, based on published standards such as ISO 7029 (2017) or on other normative data (Flamme et al., 2020). To do this, a default percentile can be used, such as 50%, or an appropriate percentile can be selected for the individual concerned. For the case illustrated in Table 3, a reasonable match to the HTLs at 1, 2, and 3 kHz is obtained using the 30th (worst) percentile for a 50 year old man in ISO 7029 (2017). Table 4 illustrates the application of this method to the same case as for Table 3, using AAHL values for the 30th percentile. The estimated NIHL is 2.5 dB averaged across 1, 2, and 3 kHz, and 9.9 dB averaged over 1, 2, and 4 kHz, values more than double those obtained with the LCB method. However, these values may still under-estimate the true NIHL of this individual, since it is likely that the noise exposure had some effect at 2 and 3 kHz, and that this individual would have had even better HTLs than those measured if the individual had not been noise exposed.

Table 5 shows an analysis for the same individual but assuming the 50th percentile rather than the 30th percentile. Now, the estimated NIHL values are even larger, reaching 6.9 dB averaged across 1, 2, and 3 kHz, and 14.6 dB averaged over 1, 2, and 4 kHz. Clearly, the choice of percentile has a large effect on the estimated NIHL. For this particular case, the NIHL values probably fall between the values shown in Table 4 and those shown in Table 5, since Table 4 represents a probable lower bound to the NIHL and Table 5 represents a probable upper bound.

There is no single method for selecting an appropriate percentile that is always applicable. One method is by consideration of one or more audiograms obtained before the noise exposure occurred. This approach is based on the assumption that better hearing in early life is associated with a slower rate of decline of hearing with increasing age, consistent with ISO 7029 (2017). For example, Linssen et al. (2014) showed that for HTLs averaged across the frequencies 1, 2, and 4 kHz (denoted PTA) the rate of increase of PTA in dB/year

1
2
3
4 675 was approximately linearly related to the PTA at the start of the measurement period. As a
5
6 676 result, in the absence of noise exposure or ear pathology, an individual stays roughly at the
7
8 677 same percentile throughout their life. A problem with this approach is that audiograms
9
10 678 obtained many years ago are often of uncertain reliability, and many omit measurement of
11
12 679 HTLs at 8 kHz. Hence, caution is advised in using such audiograms to select the appropriate
13
14 680 percentile unless there is reason to believe that the early audiograms have been obtained
15
16 681 under known suitable conditions according to a recognized standard method.

17
18 682 Another method is to select the percentile based on the HTLs for the frequencies with
19
20 683 the best HTLs, for the better hearing ear. This method was used to select the percentile for the
21
22 684 case illustrated in Table 4. However, this method has the disadvantage that it may lead to
23
24 685 substantial under-estimation of the magnitude of NIHL when the NIHL has affected HTLs at
25
26 686 most or even all frequencies.

27
28 687 In our opinion, the fairest approach is to assume the 50th percentile by default unless
29
30 688 there is good evidence that the hearing of the individual was unusually good or bad prior to
31
32 689 the start of noise exposure. Some individuals may have had better pre-noise-exposure hearing
33
34 690 than the median and some may have had worse hearing than the median, but the use of
35
36 691 median values will give a fair quantification of NIHL in typical cases.

37
38 692

39 40 693 *Cases of Exposure to Impulsive Sounds in Industry*

41
42 694 For cases of exposure to impulsive sounds in industrial settings, we recommend that
43
44 695 quantification is based on the same method as described above, by comparing the measured
45
46 696 HTLs with the AAHL values specified in ISO 7029 (2017). The 50th percentile should be
47
48 697 used unless there is good evidence that the hearing of the individual was unusually good or
49
50 698 bad prior to the start of noise exposure.

51
52 699

53 54 700 *Exposure to Noise During Military Service*

55
56 701 The LCB method is entirely inappropriate for quantifying M-NIHL, because it is
57
58 702 based on the assumption that HTLs at 1 and 8 kHz have been only minimally affected by the
59
60 703 noise exposure, and this is rarely the case for noise exposure during military service (Moore,

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 26

2
3
4 704 2020; Lowe & Moore, 2021). This is illustrated in Table 6, which shows the application of
5
6 705 the LCB method to an example military veteran aged 47 years, taken from the data of Lowe
7
8 706 and Moore (2021). The AAHL values were selected as those from Lutman et al. (2016) for a
9
10 707 47 year old man at the 5th (worst) percentile, since this gave a reasonable match to the
11
12 708 measured HTLs at the anchor points of 1 and 8 kHz. The estimated M-NIHL was very small,
13
14 709 having a maximal value of 2.1 dB at 3 kHz.

15
16 710 The authors of the LCB method partly recognized this problem and stated that “cases
17
18 711 will arise where the threshold at 8 kHz is clearly out of line with the trend for age-associated
19
20 712 hearing loss and an alternative approach is required. In such circumstances, it is
21
22 713 recommended that the user of the Guidelines should select a threshold value at 8 kHz that is
23
24 714 in line with the overall trend for age-associated hearing loss, instead of the measured value, to
25
26 715 use in the calculations” (Lutman et al., 2016, page 357). Table 7 illustrates the effect of
27
28 716 adjusting the HTL at 8 kHz to be 45 dB HL, corresponding to the 20th (worst) percentile for a
29
30 717 man aged 47 years, and using the corresponding AAHL values in the LCB calculations. The
31
32 718 AAHL value at 2 kHz for this percentile (19 dB HL) is close to the measured HTL of 20 dB
33
34 719 HL at 2 kHz. Now the estimated M-NIHL is markedly larger, reaching about 18 dB at 4 kHz.
35
36 720 The mean across 1, 2, and 3 kHz is 5.5 dB and the mean across 1, 2, and 4 kHz is 6.6 dB.

37
38 721 In practice, the selection of an appropriate adjusted HTL at 8 kHz (or at 1 kHz) is not
39
40 722 straightforward, and different “experts” may select different adjusted HTLs, leading the
41
42 723 method to be open to manipulation. Furthermore, even quite small adjustments to the HTLs at
43
44 724 1 and 8 kHz can have a substantial effect. For example, adjusting the HTL at 1 kHz from 20
45
46 725 to 10 dB HL (leaving the adjusted HTL at 8 kHz at 45 dB HL) almost doubles the estimated
47
48 726 M-NIHL averaged across 1, 2, and 3 kHz, from 5.5 to 9.8 dB.

49
50 727 In our opinion, the most appropriate method for quantifying M-NIHL is by
51
52 728 comparison with the HTLs expected from the 50th percentile of ISO 7029 (2017), as
53
54 729 described above. Table 8 illustrates the results obtained with this method for the same case as
55
56 730 in Tables 6 and 7. The estimated M-NIHL is markedly larger using this method, reaching
57
58 731 41.5 dB at 4 kHz. The mean across 1, 2, and 3 kHz is 21.6 dB and the mean across 1, 2, and 4
59
60 732 kHz is 24.4 dB.

733 In some cases, it may be appropriate to use a percentile other than the 50th. Reasons
734 for doing this are:

735 (1) There are one or more reliable audiograms obtained prior to the start of noise exposure
736 that indicate markedly worse or better hearing than average. If so, the percentile should be
737 based on the pre-exposure audiogram(s).

738 (2) A recent audiogram shows HTLs at one or more frequencies that indicate hearing better
739 than the 50th percentile for that individual's age. For example, if a 47 year old man shows an
740 HTL at 8 kHz of 10 dB HL, corresponding to the 65th percentile in ISO 7029 (2017), then it
741 would be appropriate to use the 65th percentile.

742 (3) If one ear has markedly better hearing than the other ear, it is appropriate to base the
743 choice of percentile on the HTLs for the better-hearing ear.

744 The use of a higher (better) percentile will increase the estimated M-NIHL, while the
745 use of a lower (worse) percentile will decrease the estimated M-NIHL, as illustrated in Table
746 9, which shows the same analysis as for Table 8, but with the percentile changed from the
747 50th to the 25th. In this case, the mean estimated M-NIHL across 1, 2, and 3 kHz is reduced to
748 16.1 dB and the mean across 1, 2, and 4 kHz is reduced to 18.5 dB. However, even these
749 reduced values are markedly greater than the values obtained using the LCB method using
750 the unadjusted HTLs (Table 6) and with the HTL at 8 kHz adjusted (Table 7).

751 In summary, M-NIHL, like NIHL associated with exposure to steady broadband
752 sounds, should be quantified by comparison to AAHL values taken from ISO 7029 (2017),
753 using the 50th percentile unless there are good reasons to choose a different percentile.

754

755 *Exposure to Intense Tones*

756 As for M-NIHL, quantification using the LCB method is entirely inappropriate in
757 cases of T-NIHL, for the same reasons as given in the discussion of the diagnosis of T-NIHL.
758 Hence, once again, we recommend that quantification is based on comparison to AAHL
759 values taken from ISO 7029 (2017), using the 50th percentile unless there are good reasons to
760 choose a different percentile.

761

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 28

2
3
4 762 *Choice of Reference Database*

5 763 We recommend that NIHL should be quantified by comparison to ISO 7029 (2017),
6
7 764 since the populations used to develop ISO 7029 (2017) were carefully screened to exclude
8
9 765 both conductive hearing loss and noise exposure. However, it might be argued that a less
10
11 766 carefully screened population should be used for comparison. One candidate database is that
12
13 767 published by Flamme et al. (2020), which is based on a sample of 9937 individuals tested as
14
15 768 part of the U.S. National Health and Nutrition Examination Survey (NHANES). The
16
17 769 NHANES data are representative of the non-institutionalised, non-military U.S. population.
18
19 770 Flamme et al. (2020) stated that “Cross-sectional trends are influenced by the combined
20
21 771 effects of events (e.g. acute disorders, trauma, infection) and conditions that might be rare on
22
23 772 the individual level (e.g. hereditary/genetic disorders) but have a collective impact on the
24
25 773 distribution of hearing thresholds at the population level. These effects would be increasingly
26
27 774 potent as a function of increased time at risk (i.e. correlated with age, but not an inexorable
28
29 775 effect of age). The effects would be minimal on the tail of the distribution with better hearing
30
31 776 sensitivity and would increase as consideration moves to the opposite tail of the distribution.”
32
33 777 For these reasons, Flamme et al. (2020) recommended the use of the 75th (best) percentile for
34
35 778 estimating AAHL values and for estimating longitudinal trends. Flamme et al. (2020) found
36
37 779 that AAHL values for frequencies from 3 to 8 kHz were slightly better for non-hispanic black
38
39 780 (NHB) people than for the remainder of the population.

40
41 781 It turns out that, for ages up to 60 years, the AAHL values for the population
42
43 782 evaluated by Flamme et al. (2020), excluding NHB people, are very close to those for the 50th
44
45 783 percentile of ISO 7029 (2017) for frequencies from 1 to 3 kHz, and differ only slightly for
46
47 784 higher frequencies, as illustrated in Figure 1. For NHB individuals the AAHL values of
48
49 785 Flamme et al. (2020) are even closer to those for the 50th percentile of ISO 7029 (2017).
50
51 786 Hence, the choice of reference database has little effect on the estimated amount of NIHL,
52
53 787 especially when averaged across 1, 2, and 3 kHz, or 1, 2, and 4 kHz.
54
55

56 788

57
58 789 **The Use of Multiple Audiograms**
59
60

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 29

790 It often happens that there are multiple post-exposure audiograms available for a
791 given individual. If there are two or more audiograms obtained within a reasonably short
792 period of time, say one or two years, we recommend averaging the HTLs across all of those
793 audiograms to reduce measurement errors, unless there are good reasons for excluding one or
794 more of the audiograms. Valid reasons for exclusion of a specific audiogram are:
795 (1) Evidence that the audiogram was not obtained according to a recognized standard method,
796 such as that recommended by the British Society of Audiology (2018).
797 (2) When the HTLs are markedly worse than for two or more other audiograms, especially at
798 low frequencies, which might indicate a collapsed ear canal or a temporary conductive loss,
799 caused, for example, by congestion following a cold.

800 It can also happen that audiograms are available over a wide time period, from close
801 to the end of noise exposure to many years after the end of the exposure. In such cases, the
802 question arises as to which of the available audiograms most accurately reflects the effects of
803 the noise exposure. It is traditionally believed that the effects of exposure to noise cease once
804 the exposure itself has ceased (Humes et al., 2006; Mirza et al., 2018). If this is the case,
805 exposure to noise should not affect the progression of hearing loss with increasing age after
806 the exposure ceases, and estimates of the amount of NIHL should not be affected by whether
807 the audiogram was obtained soon after or long after the noise exposure ceased. However, the
808 data on which this traditional belief is based were largely obtained from populations of older
809 people (aged 70 years or more), and even the non-noise exposed participants had substantial
810 hearing loss at high frequencies (Lee et al., 2005; Hederstierna & Rosenhall, 2016). Thus, it
811 is not clear from these data whether the progression of hearing loss after the end of noise
812 exposure is affected for younger people with small or no hearing loss at the end of the
813 exposure.

814 Studies using mice indicate that noise exposure can accelerate the progression of
815 hearing loss following the exposure, when there is little or no hearing loss immediately after
816 the exposure (Kujawa & Liberman, 2006; Fernandez et al., 2015). Kujawa and Liberman
817 (2006) concluded that “Data suggest that pathologic but sublethal changes initiated by early
818 noise exposure render the inner ears significantly more vulnerable to aging.” Data from

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 30

819 humans exposed to noise during military service support this idea (Xiong et al., 2014; Kim et
820 al., 2017; Moore, 2021).

821 Moore (2021) argued that mild to moderate hearing loss is usually primarily a
822 consequence of loss of function of the outer hair cells (OHCs) in the cochlea (Borg et al.,
823 1995). Some damage to the OHCs can occur with little or no change in the threshold for
824 detecting sounds (Evans & Harrison, 1976; Harrison & Evans, 1979; Dallos & Harris, 1978;
825 Glavin et al., 2021), consistent with the concept of a “cochlear reserve”; the cochlea can
826 sustain some damage without loss of function as revealed by the audiogram. However, once
827 the reserve is sufficiently depleted, effects in the audiogram become apparent with further
828 damage, as can occur with increasing age. Hearing loss up to 55 dB following a period of
829 noise exposure could be due primarily to loss of OHC function. In this case, acceleration of
830 the subsequent progression of hearing loss due to further OHC damage is not expected.
831 However, if the hearing loss at the end of noise exposure is much less than 55 dB at some
832 frequencies, then there is scope for acceleration of the subsequent progression of hearing loss
833 at those frequencies due to further damage to OHCs. This led Moore (2021) to propose the
834 following hypothesis: for frequencies where the NIHL at the end of noise exposure is mild,
835 the subsequent progression of hearing loss is accelerated. In contrast, for frequencies where
836 the NIHL is moderate or severe at the end of the noise exposure, the subsequent progression
837 of hearing loss is unaffected or is slowed. The hypothesis was proposed specifically in
838 relation to M-NIHL, but it might apply to other forms of NIHL.

839 Moore and Lowe (2022) tested this hypothesis using longitudinal data obtained from
840 29 former male military personnel. Audiograms obtained close to the end of military service
841 were compared with those obtained five or more years later. Rates of change of HTL in
842 dB/year were compared with those expected from ISO7029 (2017) for men at the 50th
843 percentile. The results showed that the progression of hearing loss following the end of
844 military service was accelerated for frequencies where the hearing loss was absent or mild at
845 the end of military service, by about 1.7 dB/year on average for frequencies from 3 to 8 kHz,
846 but the progression was unaffected or slowed for frequencies where the hearing loss at the

847 end of military service exceeded about 50 dB. Acceleration, when present, occurred over a
848 wide frequency range, including 1 kHz.

849 It is not yet clear whether similar effects are produced by exposure to noises other
850 than those encountered during military service, for example at rock concerts or from work in
851 heavy industries. However, the studies showing acceleration of the progression of hearing
852 loss following noise exposure in mice suggest that similar effects will occur, since these
853 studies used steady noise as the exposure stimulus, rather than impulsive sounds (Kujawa &
854 Liberman, 2006; Fernandez et al., 2015). It is also known that noise exposure of all types can
855 result in tinnitus that sometimes starts many years after the noise exposure has ceased
856 (Axelsson & Barrenas, 1992; Henry et al., 2010), supporting the idea that some effects of
857 noise exposure are only revealed when further deterioration to the auditory system occurs as a
858 result of aging and other factors.

859 Given the evidence supporting the hypothesis that noise exposure during military
860 service can affect the subsequent progression of hearing loss with increasing age, we
861 recommend that when audiograms are available both close to the end of military service and
862 some time afterwards, the most recent audiograms are used to diagnose and quantify M-
863 NIHL, since the most recent audiograms include any effects of the noise exposure on the
864 current hearing loss. However, this is problematic when there has been significant noise
865 exposure from work or leisure activities following the end of military service. Where there
866 has been such exposure, then audiogram(s) obtained soon after the end of military service
867 may be of greater relevance.

868 It may also be appropriate to use the most recent audiograms when diagnosing and
869 quantifying NIHL caused by non-military exposures, but more evidence is required to assess
870 this.

871

872 **Frequencies to be Used When Quantifying NIHL**

873 In medico-legal cases, compensation is often based on an average of the NIHL across
874 certain frequencies for each ear. In some countries, compensation for occupational NIHL has
875 traditionally been based on the mean estimated NIHL at 1, 2 and 3 kHz (UK, King et al.,

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 32

2
3
4 876 1992) or 0.5, 1, 2 and 3 kHz (USA, American Medical Association, 2008; Dobie, 2011).
5
6 877 However, there are strong arguments for including 4 kHz in the overall estimate of NIHL
7
8 878 (Moore, 2016; Moore, 2020) and in some countries, such as Ireland and Australia, 4 kHz is
9
10 879 included.

11
12 880 Hearing aids can be quite effective at improving the ability to understand speech in
13
14 881 quiet, but they are less effective at improving the ability to understand speech in noise
15
16 882 (Plomp, 1978; Souza, 2016). The primary complaint of people with hearing loss is difficulty
17
18 883 in understanding speech when background sounds are present (Moore, 2007). Therefore, the
19
20 884 most appropriate audiometric frequencies to take into account when assessing hearing
21
22 885 disability are those that give the most accurate prediction of the ability to understand speech
23
24 886 in noise.

25
26 887 Kryter et al. (1962) studied the relationship between scores on a variety of speech
27
28 888 tests and the characteristics of the audiogram, for participants with a wide range of
29
30 889 audiometric configurations. They stated that “the ability to perceive speech can be predicted
31
32 890 as well by the hearing thresholds at 2000, 3000, and 4000 cps alone as it can by including the
33
34 891 losses at all the other frequencies tested” and concluded that “the three most important test
35
36 892 frequencies to use for predicting the ability to understand speech would be 2000, 3000, and
37
38 893 4000 cps.”

39
40 894 Smoorenburg (1992) studied the effects of NIHL produced by exposure to steady
41
42 895 noise in factories on the ability to understand speech in noise and of the relationship of that
43
44 896 ability to the audiogram. He measured the speech reception threshold (SRT) at which 50% of
45
46 897 sentences in noise could be understood. The best two-frequency predictor of the SRT was the
47
48 898 average of the HTLs at 2 and 4 kHz. Smoorenburg also examined which single HTL gave the
49
50 899 most accurate prediction of the SRT. He found that the HTL at 4 kHz gave the most accurate
51
52 900 prediction, although HTLs at 3 and 6 kHz gave predictions that were nearly as accurate.
53
54 901 These results clearly indicate that the hearing loss at high frequencies (2-6 kHz) is the best
55
56 902 predictor of the intelligibility of speech in noise for people with NIHL.

57
58 903 Wilson (2011) tested 3266 military veterans, many of whom had been exposed to
59
60 904 intense noise including impulsive sounds. The intelligibility of speech in noise was assessed

905 using the Words-in-Noise (WIN) test, which assesses word recognition in multi-talker babble
906 at seven signal-to-noise ratios (SNRs) and uses the 50% correct point (in dB SNR) as the
907 primary outcome measure. Scores on the WIN were predicted significantly better by the
908 average HTL at 1, 2 and 4 kHz than by the average HTL at 0.5, 1, and 2 kHz, confirming the
909 importance of high-frequency hearing for the ability to understand speech in noise.

910 Overall, it is very clear that any assessment of the overall magnitude of NIHL should
911 include the HTL at 4 kHz. We recommend that the average HTL across 1, 2, and 4 kHz is
912 used to quantify the overall magnitude of NIHL for a given ear.

913

914 **Summary of Recommendations**

915 (1) When assessing claims for compensation for occupational NIHL, a comprehensive
916 medical examination should be conducted to assess possible causes of hearing loss other than
917 noise exposure, to assess the exposure history of the individual, and to assess tinnitus and
918 hyperacusis, preferably using validated measures.

919 (2) It should be established that noise exposure sufficient to produce hearing loss in at least
920 10% of individuals has occurred. For people who have been exposed primarily to steady
921 broadband noise, a total noise exposure of 90 dB(A) NIL is sufficient. For people who have
922 regularly been exposed to impulsive sounds in non-military occupations, a lower limit of 86
923 dB(A) NIL should be used. All those who have seen active military service or who have
924 worked with TSE are likely to have been exposed to sounds with the potential to cause
925 hearing loss.

926 (3) For people who have been exposed to steady broadband noise, an appropriate method of
927 diagnosing NIHL is based on a modified version of the CLB method, using the following
928 requirements:

929 R1(mod). A single measurement of the HTL at 3, 4 or 6 kHz should be at least 10 dB
930 greater than the HTL at 1 kHz or 2 kHz.

931 R2(mod). There should be evidence for an NIL of 90 dB(A) or more.

932 R3(mod). There should be a downward notch or bulge in the audiogram in the range 3-6
933 kHz. A notch is defined as present when the HTL at 3 and/or 4 and/or 6 kHz is at least 10

934 dB greater than at 1 and 8 kHz. A bulge is defined as present when the HTL at 3 and/or 4
935 and/or 6 kHz is at least 10 dB greater than expected from AAHL values. To establish
936 whether R3(mod) is satisfied, a bulge analysis using the HTLs at 1 kHz and at 8 kHz as
937 “anchor points” should be conducted. The AAHL values should be based on ISO 7029
938 (2017). The percentile should be chosen to minimize the mismatch between the measured
939 HTLs and the AAHL values at the anchor points of 1 and 8 kHz.

940 No adjustment should be made to allow for the use of THD39 headphones. The lower
941 anchor frequency should be changed to 2 kHz when the HTL at 2 kHz is 10 dB or more better
942 than the HTL at 1 kHz and the upper anchor frequency should be changed to 6 kHz when the
943 HTL at 6 kHz is 10 dB or more better than the HTL at 8 kHz.

944 This method can also be used for people who have been exposed to impulsive sounds
945 like hammering while working in heavy industry, but in that case R2(mod) is: There should
946 be evidence for an NIL of 86 dB(A) or more.

947 (4) For people who have been exposed to noise during military service, the diagnostic method
948 of Moore (2020) is recommended. With this method, R0 and R1M must be met and either
949 R2aM or R2bM or both must be met. The requirements are:

950 R0. Sufficient noise exposure has occurred. This is almost certainly the case for those
951 who have seen active military service.

952 R1M. A single value of the HTL at 3, 4, 6, or 8 kHz is at least 10 dB higher than the HTL
953 at 1 or 2 kHz.

954 R2aM. The difference between HTLs at 8 and 6 kHz is at least 5 dB smaller than would
955 be expected from age alone or the difference between HTLs at 8 and 4 kHz or between 8
956 and 3 kHz is at least 10 dB smaller than would be expected from age alone, based on the
957 median values in ISO 7029 (2017).

958 R2bM. The HTL at any one of 4, 6, or 8 kHz is at least 20 dB higher than the median
959 threshold for each frequency expected for that age, based on ISO 7029 (2017).

960 For this method, confidence in the diagnosis is greatest when R1M, R2aM and R2bM
961 are all met. Confidence is somewhat lower, but still high, when only R1M and R2aM are met.
962 Confidence is lower (but still with a probability greater than 50%) when only R1M and

963 R2bM are met. This method can also be applied to people who have been exposed to steady
964 broadband noise or impulsive noise in factories when the NIL is 100 dB(A) or more.

965 (5) For people who have been exposed to intense tones produced by TSE, T-NIHL can be
966 diagnosed using one of two methods:

967 (a) For individuals who *exclusively* used only one ear when operating TSE, if the mean
968 audiometric threshold at 1, 2, 3, 4, 6 and 8 kHz is 5 dB or more higher for the exposed
969 than for the non-exposed ear, this indicates T-NIHL.

970 (b) For individuals who used both ears with the TSE, if the mean audiometric threshold at
971 1, 2, 3, 4, 6, and 8 kHz is 5 dB or more higher than the median for a person of the same
972 age and gender as determined from ISO 7029 (2017), this indicates T-NIHL.

973 (6) NIHL of all types can be quantified by comparing the measured HTLs with the HTLs
974 expected from age alone, based on ISO 7029 (2017) or on other normative data (Flamme et
975 al., 2020). When ISO 7029 (2017) is used, the AAHL values corresponding to the 50th
976 percentile should be selected unless there are good reasons to choose a different percentile.

977 (7) If there are two or more audiograms obtained within a period of one or two years, the
978 HTLs should be averaged across all of those audiograms to reduce measurement errors,
979 unless there are good reasons for excluding one or more of the audiograms.

980 (8) When audiograms are available both close to the end of military service and some time
981 afterwards, provided that there has not been significant noise exposure after the end of
982 military service the most recent audiograms should be used to diagnose and quantify M-
983 NIHL, since these include any effects of the noise exposure on the current hearing loss. When
984 there has been significant noise exposure after the end of military service, it may be more
985 appropriate the use the audiograms obtained close to the end of military service.

986 Alternatively, the most recent audiograms can be used, but the possible effects of the post-
987 service noise exposure should be taken into account.

988 (9) The overall extent of the NIHL for a given ear should be quantified as the average of the
989 estimated NIHL values at 1, 2, and 4 kHz.

990

991 **Acknowledgments**

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 36

2
3
4 992 We thank Larry Humes for helpful discussions and Hedwig Gockel for helpful
5
6 993 comments on an earlier version of this paper. We also thank two reviewers for helpful
7
8 994 comments on an earlier version of this paper.
9

10 995

11 996 **Declaration of Conflicting Interests**

12
13
14 997 All of the authors write reports in relation to claims for compensation for NIHL,
15
16 998 acting for both the claimant and the defendant.
17

18 999

19 1000 **Funding**

20
21
22 1001 The authors disclosed receipt of the following financial support for the research,
23
24 1002 authorship, and/or publication of this article: This work was supported by the Medical
25
26 1003 Research Council (grant G0701870).
27

28 1004

29 1005 **References**

- 30
31
32 1006
33 1007 Aazh, H., Danesh, A. & Moore, B. C. J. (2021). Internal consistency and convergent validity
34
35 1008 of the Inventory of Hyperacusis Symptoms. *Ear and Hearing*, 42, 917-926. doi:
36
37 1009 10.1097/aud.0000000000000982
38
39 1010 Aazh, H., Hayes, C., Moore, B. C. J. & Vitoratou, S. (2022a). Psychometric evaluation of the
40
41 1011 Tinnitus Impact Questionnaire using a clinical population of adult patients with tinnitus
42
43 1012 alone or combined with hyperacusis. *International Journal of Audiology*, (submitted).
44
45 1013 Aazh, H., Hayes, C., Moore, B. C. J., Danesh, A. & Vitoratou, S. (2022b). Psychometric
46
47 1014 evaluation of the Hyperacusis Impact and Sound Sensitivity Symptoms Questionnaires
48
49 1015 using a clinical population of adult patients with tinnitus and/or hyperacusis. *Journal of*
50
51 1016 *the American Academy of Audiology*, (in press). doi: 10.1055/a-1780-4002
52
53 1017 American Medical Association (2008). *Guides for the evaluation of permanent impairment*.
54
55 1018 Chicago, Il: American Medical Association.
56
57 1019 Attias, J., Duvdevany, A., Reshef-Haran, I., Zilberg, M. & Beni, N. (2004). Military noise
58
59 1020 induced hearing loss. In L. Luxon & D. Prasher (Eds.). *Noise and its Effects* (pp. 233-
60
1021 243). London: Wiley.

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 37
2
- 3 1022 Axelsson, A. & Barrenas, M. L. (1992). Tinnitus in noise-induced hearing loss. In A. L.
4 1023 Dancer, D. Henderson, R. J. Salvi & R. Hamernik (Eds.). *Noise-induced hearing loss*
5 1024 (pp. 269-276). Boston: Mosby Year Book.
- 6
7 1025 Baguley, D. M. & Prayuenyong, P. (2020). Looking beyond the audiogram in ototoxicity
8 1026 associated with platinum-based chemotherapy. *Cancer Chemotherapy and*
9 1027 *Pharmacology*, 85, 245-250. doi: 10.1007/s00280-019-04012-z
- 10
11 1028 Berger, E. H. (2000). Hearing protection devices. In E. Berger, L. Royster, J. Royster, D.
12 1029 Driscoll & M. Layne (Eds.). *The Noise Manual, 5th Ed* (pp. 379-454). Fairfax, VA:
13 1030 American Industrial Hygiene Association.
- 14
15 1031 Billings, C. J., Dillard, L. K., Hoskins, Z. B., Penman, T. M. & Reavis, K. M. (2018). A
16 1032 large-scale examination of veterans with normal pure-tone hearing thresholds within the
17 1033 Department of Veterans Affairs. *Journal of the American Academy of Audiology*, 29,
18 1034 928-935. doi: 10.3766/jaaa.17091
- 19
20 1035 Borg, E., Canlon, B. & Engström, B. (1995). Noise-induced hearing loss - Literature review
21 1036 and experiments in rabbits. Morphological and electrophysiological features, exposure
22 1037 parameters and temporal factors, variability and interactions. *Scandinavian Audiology*,
23 1038 24, Suppl. 40, 1-147.
- 24
25 1039 Bramhall, N. F., McMillan, G. P. & Kappel, S. D. (2021). Envelope following response
26 1040 measurements in young veterans are consistent with noise-induced cochlear
27 1041 synaptopathy. *Hearing Research*, 408, 108310. doi: 10.1016/j.heares.2021.108310
- 28
29 1042 British Society of Audiology (2018). *Recommended procedure: Pure-tone air-conduction*
30 1043 *and bone-conduction threshold audiometry with and without masking*. Reading, UK:
31 1044 British Society of Audiology.
- 32
33 1045 Brungart, D. S., Barrett, M. E., Schurman, J., Sheffield, B., Ramos, L., Martorana, R. &
34 1046 Galloza, H. (2019). Relationship between subjective reports of temporary threshold shift
35 1047 and the prevalence of hearing problems in military personnel. *Trends in Hearing*, 23,
36 1048 2331216519872601. doi: 10.1177/2331216519872601
- 37
38 1049 Coles, R. R., Lutman, M. E. & Buffin, J. T. (2000). Guidelines on the diagnosis of noise-
39 1050 induced hearing loss for medicolegal purposes. *Clinical Otolaryngology*, 25, 264-273.
40 1051 doi: 10.1046/j.1365-2273.2000.00368.x
- 41
42 1052 Dallos, P. & Harris, D. (1978). Properties of auditory nerve responses in absence of outer hair
43 1053 cells. *Journal of Neurophysiology*, 41, 365-383. doi: 10.1152/jn.1978.41.2.365
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 38
2
3 1054 Davis, H., Morgan, C. T., Hawkins, J. E., Jr., Galambos, R. & Smith, F. W. (1950).
4
5 1055 Temporary deafness following exposure to loud tones and noise. *Acta Otolaryngologica*,
6
7 1056 Supplement 88, 1-56.
8
9 1057 Dobie, R. A. (2011). The AMA method of estimation of hearing disability: a validation study.
10 1058 *Ear and Hearing*, 32, 732-740. doi: 10.1097/AUD.0b013e31822228be
11
12 1059 Evans, E. F. & Harrison, R. V. (1976). Correlation between outer hair cell damage and
13 1060 deterioration of cochlear nerve tuning properties in the guinea pig. *Journal of*
14 1061 *Physiology*, 252, 43-44p.
15
16 1062 Fernandez, K. A., Jeffers, P. W., Lall, K., Liberman, M. C. & Kujawa, S. G. (2015). Aging
17 1063 after noise exposure: acceleration of cochlear synaptopathy in "recovered" ears. *Journal*
18 1064 *of Neuroscience*, 35, 7509-7520. doi: 10.1523/JNEUROSCI.5138-14.2015
19
20 1065 Flamme, G. A., Deiters, K. K., Stephenson, M. R., Themann, C. L., Murphy, W. J., Byrne, D.
21 1066 C., Goldfarb, D. G., Zeig-Owens, R., Hall, C., Prezant, D. J. & Cone, J. E. (2020).
22 1067 Population-based age adjustment tables for use in occupational hearing conservation
23 1068 programs. *International Journal of Audiology*, 59, S20-S30. doi:
24 1069 10.1080/14992027.2019.1698068
25
26 1070 Glavin, C. C., Siegel, J. & Dhar, S. (2021). Distortion product otoacoustic emission
27 1071 (DPOAE) growth in aging ears with clinically normal behavioral thresholds. *Journal of*
28 1072 *the Association for Research in Otolaryngology*, 22, 659-680. doi: 10.1007/s10162-021-
29 1073 00805-3
30
31 1074 Grant, K. W., Kubli, L. R., Phatak, S. A., Galloza, H. & Brungart, D. S. (2021). Estimated
32 1075 prevalence of functional hearing difficulties in blast-exposed service members with
33 1076 normal to near-normal-hearing thresholds. *Ear and Hearing*, 42, 1615-1626. doi:
34 1077 10.1097/AUD.0000000000001067
35
36 1078 Green, D. M. & Swets, J. A. (1974). *Signal Detection Theory and Psychophysics*. New York:
37 1079 Krieger.
38
39 1080 Greenberg, B. & Carlos, M. (2018). Psychometric properties and factor structure of a new
40 1081 scale to measure hyperacusis: Introducing the Inventory of Hyperacusis Symptoms. *Ear*
41 1082 *and Hearing*, 39, 1025-1034. doi: 10.1080/14992027.2020.1723033
42
43 1083 Harrison, R. V. & Evans, E. F. (1979). Cochlear fibre responses in guinea pigs with well
44 1084 defined cochlear lesions. *Scandinavian Audiology*, Suppl. 9, 83-92.
45
46 1085 Hederstierna, C. & Rosenhall, U. (2016). Age-related hearing decline in individuals with and
47 1086 without occupational noise exposure. *Noise Health*, 18, 21-25. doi: 10.4103/1463-
48 1087 1741.174375

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 39

- 2
3 1088 Henderson, D. & Hamernik, R. P. (1986). Impulse noise: critical review. *The Journal of the*
4 *Acoustical Society of America*, 80, 569-584. doi: 10.1121/1.394052
5 1089
6 1090 Henry, J. A., Zaugg, T. L., Myers, P. J. & Kendall, C. J. (2010). *Progressive Tinnitus*
7 *Management: Clinical Handbook for Audiologists*. San Diego: Plural.
8 1091
9 1092 Hoffman, H. J., Dobie, R. A., Losonczy, K. G., Themann, C. L. & Flamme, G. A. (2017).
10 1093 Declining prevalence of hearing loss in US adults aged 20 to 69 years. *JAMA*
11 *Otolaryngology Head and Neck Surgery*, 143, 274-285. doi: 10.1001/jamaoto.2016.3527
12 1094
13 1095 Humes, L. E., Joellenbeck, L. M. & Durch, J. S. (2006). *Noise and Military Service:*
14 *Implications for Hearing Loss and Tinnitus*. New York: National Academies Press.
15 1096
16 1097 ISO 1999 (2013). *Acoustics - Estimation of noise-induced hearing loss*. Geneva: International
17 1098 Organization for Standardization.
18 1099 ISO 7029 (1984). *Acoustics: Threshold of hearing by air conduction as a function of age and*
19 1100 *sex for otologically normal persons*. Geneva: International Organization for
20 1101 Standardization.
21 1102 ISO 7029 (2017). *Acoustics - Statistical distribution of hearing thresholds related to age and*
22 1103 *gender*. Geneva: International Organization for Standardization.
23 1104
24 1104 Jokel, C., Yankaskas, K. & Robinette, M. B. (2019). Noise of military weapons, ground
25 1105 vehicles, planes and ships. *The Journal of the Acoustical Society of America*, 146, 3832-
26 1106 3838. doi: 10.1121/1.5134069
27 1107
28 1107 Kaufman, L. R., LeMasters, G. K., Olsen, D. M. & Succop, P. (2005). Effects of concurrent
29 1108 noise and jet fuel exposure on hearing loss. *Journal of Occupational and Environmental*
30 1109 *Medicine*, 47, 212-218. doi: 10.1097/01.jom.0000155710.28289.0e
31 1110
32 1110 Keim, R. J. (1969). Sensorineural hearing loss associated with firearms. *Archives of*
33 1111 *Otolaryngology*, 90, 581-584. doi: 10.1001/archotol.1969.00770030583010
34 1112
35 1112 Khalfa, S., Bruneau, N., Roge, B., Georgieff, N., Veuillet, E., Adrien, J. L., Barthelemy, C. &
36 1113 Collet, L. (2004). Increased perception of loudness in autism. *Hearing Research*, 198,
37 1114 87-92. doi: 10.1016/j.heares.2004.07.006
38 1115
39 1115 Kim, S., Lim, E. J., Kim, T. H. & Park, J. H. (2017). Long-term effect of noise exposure
40 1116 during military service in South Korea. *International Journal of Audiology*, 56, 130-136.
41 1117 doi: 10.1080/14992027.2016.1236417
42 1118
43 1118 King, P. F., Coles, R. R. A., Lutman, M. E. & Robinson, D. W. (1992). *Assessment of*
44 1119 *Hearing Disability: Guidelines for Medicolegal Practice*. London: Whurr.
45 1120
46 1120 Kryter, K. D. (1963). Exposure to steady-state noise and impairment of hearing. *The Journal*
47 1121 *of the Acoustical Society of America*, 35, 1515-1525. doi: 10.1121/1.1918620

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 40
2
- 3 1122 Kryter, K. D., Williams, C. & Green, D. M. (1962). Auditory acuity and the perception of
4 1123 speech. *The Journal of the Acoustical Society of America*, 34, 1217-1223. doi:
5 1124 10.1121/1.1918305
- 6
7
8 1125 Kujawa, S. G. & Liberman, M. C. (2006). Acceleration of age-related hearing loss by early
9 1126 noise exposure: evidence of a misspent youth. *Journal of Neuroscience*, 26, 2115-2123.
10 1127 doi: 10.1523/JNEUROSCI.4985-05.2006
- 11
12
13 1128 Lawton, B. W. (2005). Variation of young normal-hearing thresholds measured using
14 1129 different audiometric earphones: implications for the acoustic coupler and the ear
15 1130 simulator. *International Journal of Audiology*, 44, 444-451. doi:
16 1131 10.1080/14992020500189062
- 17
18
19 1132 Lee, F. S., Matthews, L. J., Dubno, J. R. & Mills, J. H. (2005). Longitudinal study of pure-
20 1133 tone thresholds in older persons. *Ear and Hearing*, 26, 1-11. doi: 10.1097/00003446-
21 1134 200502000-00001
- 22
23
24 1135 Liberman, M. C., Epstein, M. J., Cleveland, S. S., Wang, H. & Maison, S. F. (2016). Toward
25 1136 a differential diagnosis of hidden hearing loss in humans. *PLoS One*, 11, e0162726. doi:
26 1137 10.1371/journal.pone.0162726
- 27
28
29 1138 Linssen, A. M., van Boxtel, M. P., Joore, M. A. & Anteunis, L. J. (2014). Predictors of
30 1139 hearing acuity: cross-sectional and longitudinal analysis. *The Journals of Gerontology A:
31 1140 Biological Sciences and Medical Sciences*, 69, 759-765. doi: 10.1093/gerona/glt172
- 32
33
34 1141 Lowe, D. & Moore, B. C. J. (2021). Audiometric assessment of hearing loss sustained during
35 1142 military service. *The Journal of the Acoustical Society of America*, 150, 1030-1043. doi:
36 1143 10.1121/10.0005846
- 37
38
39 1144 Lutman, M. E. & Davis, A. C. (1994). The distribution of hearing threshold levels in the
40 1145 general population aged 18-30 years. *Audiology*, 33, 327-350. doi:
41 1146 10.3109/00206099409071891
- 42
43
44 1147 Lutman, M. E., Coles, R. R. & Buffin, J. T. (2016). Guidelines for quantification of noise-
45 1148 induced hearing loss in a medicolegal context. *Clinical Otolaryngology*, 41, 347-357.
46 1149 doi: 10.1111/coa.12569
- 47
48
49 1150 Margolis, R. H., Glasberg, B. R., Creeke, S. & Moore, B. C. J. (2010). AMTAS® -
50 1151 Automated Method for Testing Auditory Sensitivity: Validation studies. *International
51 1152 Journal of Audiology*, 49, 185-194. doi: 10.3109/14992020903092608
- 52
53
54 1153 Masterson, E. A., Deddens, J. A., Themann, C. L., Bertke, S. & Calvert, G. M. (2015).
55 1154 Trends in worker hearing loss by industry sector, 1981-2010. *American Journal of
56 1155 Industrial Medicine*, 58, 392-401. doi: 10.1002/ajim.22429

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 41
2
- 3 1156 McCombe, A., Baguley, D., Coles, R., McKenna, L., McKinney, C., Windle-Taylor, P.,
4
5 1157 British Association of Otolaryngologists, H. & Neck, S. (2001). Guidelines for the
6
7 1158 grading of tinnitus severity: the results of a working group commissioned by the British
8
9 1159 Association of Otolaryngologists, Head and Neck Surgeons, 1999. *Clinical*
10 1160 *Otolaryngology*, 26, 388-393. doi: 10.1046/j.1365-2273.2001.00490.x
11
- 12 1161 McFadden, D. (1986). The curious half octave shift: Evidence for a basalward migration of
13
14 1162 the travelling-wave envelope with increasing intensity. In R. J. Salvi, D. Henderson, R.
15 1163 P. Hamernik & V. Colletti (Eds.). *Basic and Applied Aspects of Noise-Induced Hearing*
16 1164 *Loss* (pp. 295-312). New York: Plenum.
- 17
18
19 1165 McFerran, D. J. & Baguley, D. M. (2007). Acoustic shock. *Journal of Laryngology and*
20 1166 *Otology*, 121, 301-305. doi: 10.1017/S0022215107006111
21
- 22 1167 Meikle, M. B., Henry, J. A., Griest, S. E., Stewart, B. J., Abrams, H. B., McArdle, R., Myers,
23
24 1168 P. J., Newman, C. W., Sandridge, S., Turk, D. C., Folmer, R. L., Frederick, E. J., House,
25 1169 J. W., Jacobson, G. P., Kinney, S. E., Martin, W. H., Nagler, S. M., Reich, G. E.,
26
27 1170 Searchfield, G. ... (2012). The tinnitus functional index: development of a new clinical
28
29 1171 measure for chronic, intrusive tinnitus. *Ear and Hearing*, 33, 153-176. doi:
30
31 1172 10.1097/AUD.0b013e31822f67c0
32
- 33 1173 Mirza, R., Kirchner, D. B., Dobie, R. A. & Crawford, J. (2018). ACOEM guidance statement:
34 1174 Occupational noise-induced hearing loss. *Journal of Occupational and Environmental*
35 1175 *Medicine*, 60, e498-e501. doi: 10.1097/JOM.0000000000001423
36
- 37
38 1176 Moon, I. S., Park, S.-Y., Park, H. J., Yang, H.-S., Hong, S.-J. & Lee, W.-S. (2011). Clinical
39 1177 characteristics of acoustic trauma caused by gunshot noise in mass rifle drills without ear
40
41 1178 protection. *Journal of Occupational and Environmental Hygiene*, 8, 618-623. doi:
42
43 1179 10.1080/15459624.2011.609013
44
- 45 1180 Moore, B. C. J. (2007). *Cochlear Hearing Loss: Physiological, Psychological and Technical*
46 1181 *Issues, 2nd Ed.* Chichester: Wiley.
47
- 48 1182 Moore, B. C. J. (2012). *An Introduction to the Psychology of Hearing, 6th Ed.* Leiden, The
49
50 1183 Netherlands: Brill.
- 51
52 1184 Moore, B. C. J. (2016). A review of the perceptual effects of hearing loss for frequencies
53 1185 above 3 kHz. *International Journal of Audiology*, 55, 707-714. doi:
54
55 1186 10.1080/14992027.2016.1204565
56
- 57 1187 Moore, B. C. J. (2020). Diagnosis and quantification of military noise-induced hearing loss.
58 1188 *The Journal of the Acoustical Society of America*, 148, 884-894. doi:
59
60 1189 10.1121/10.0001789

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 42
2
- 3 1190 Moore, B. C. J. (2021). The effect of exposure to noise during military service on the
4 subsequent progression of hearing loss. *International Journal of Environmental*
5 1191 *Research and Public Health*, 18, 2436. doi: 10.3390/ijerph18052436
6 1192
7
8 1193 Moore, B. C. J. & von Gablenz, P. (2021). Sensitivity and specificity of a method for
9 diagnosis of military noise-induced hearing loss. *The Journal of the Acoustical Society of*
10 1194 *America*, 149, 62-65. doi: 10.1121/10.0002977
11 1195
12 1196 Moore, B. C. J. & Lowe, D. A. (2022). Does exposure to noise during military service affect
13 the progression of hearing loss with increasing age? *Trends in Hearing*, 26, 1-11. doi:
14 1197 10.1177/23312165221076940
15 1198
16 1199 Moore, B. C. J., Alcántara, J. I. & Glasberg, B. R. (2002). Behavioural measurement of level-
17 1200 dependent shifts in the vibration pattern on the basilar membrane. *Hearing Research*,
18 1201 163, 101-110. doi: 10.1016/s0378-5955(01)00390-2
19 1202
20 1202 Neitzel, R. & Seixas, N. (2005). The effectiveness of hearing protection among construction
21 workers. *Journal of Occupational and Environmental Hygiene*, 2, 227-238. doi:
22 1203 10.1080/15459620590932154
23 1204
24 1205 Newman, C. W., Sandridge, S. A. & Jacobson, G. P. (1998). Psychometric adequacy of the
25 Tinnitus Handicap Inventory (THI) for evaluating treatment outcome. *Journal of the*
26 1206 *American Academy of Audiology*, 9, 153-160.
27 1207
28 1208 Niskar, A. S., Kieszak, S. M., Holmes, A. E., Esteban, E., Rubin, C. & Brody, D. J. (2001).
29 Estimated prevalence of noise-induced hearing threshold shifts among children 6 to 19
30 1209 years of age: the Third National Health and Nutrition Examination Survey, 1988-1994,
31 1210 United States. *Pediatrics*, 108, 40-43. doi: 10.1542/peds.108.1.40
32 1211
33 1212 Occupational Safety and Health Administration. (1981). 29 CFR 1910.95. Occupational
34 1213 noise exposure: hearing conservation amendment; final rule. *Federal Register*, 46, 4078-
35 1214 4179.
36 1215
37 1215 Odkvist, L. M., Arlinger, S. D., Edling, C., Larsby, B. & Bergholtz, L. M. (1987).
38 1216 Audiological and vestibulo-oculomotor findings in workers exposed to solvents and jet
39 1217 fuel. *Scandinavian Audiology*, 16, 75-81. doi: 10.3109/01050398709042159
40 1218
41 1218 Passchier-Vermeer, W. (1974). Hearing loss due to continuous exposure to steady-state
42 1219 broad-band noise. *The Journal of the Acoustical Society of America*, 56, 1585-1593. doi:
43 1220 10.1121/1.1903482
44 1221
45 1221 Phillips, S. L., Henrich, V. C. & Mace, S. T. (2010). Prevalence of noise-induced hearing loss
46 1222 in student musicians. *International Journal of Audiology*, 49, 309-316. doi:
47 1223 10.3109/14992020903470809

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 43
2
- 3 1224 Plomp, R. (1978). Auditory handicap of hearing impairment and the limited benefit of
4 hearing aids. *The Journal of the Acoustical Society of America*, 63, 533-549. doi:
5 1225 10.1121/1.381753
6 1226
- 7 1227 Reavis, K. M., McMillan, G. P., Carlson, K. F., Joseph, A. R., Snowden, J. M., Griest, S. &
8 Henry, J. A. (2021). Occupational noise exposure and longitudinal hearing changes in
9 post-9/11 US military personnel during an initial period of military service. *Ear and*
10 *Hearing*, 42, 1163-1172. doi: 10.1097/AUD.0000000000001008
11 1228
12 1229
13 1230
- 14 1231 Robinson, D. W. (1985). The audiogram in hearing loss due to noise: a probability test to
15 uncover other causation. *Annals of Occupational Hygiene*, 29, 477-493. doi:
16 1232 10.1093/annhyg/29.4.477
17 1233
- 18 1234 Robles, L. & Ruggero, M. A. (2001). Mechanics of the mammalian cochlea. *Physiological*
19 *Reviews*, 81, 1305-1352. doi: 10.1152/physrev.2001.81.3.1305
20 1235
- 21 1236 Shaw, E. A. G. (1974). Transformation of sound pressure level from the free field to the
22 eardrum in the horizontal plane. *The Journal of the Acoustical Society of America*, 56,
23 1237 1848-1861. doi: 10.1121/1.1903522
24 1238
- 25 1239 Shi, Z., Zhou, J., Huang, Y., Hu, Y., Zhou, L., Shao, Y. & Zhang, M. (2021). Occupational
26 hearing loss associated with non-Gaussian noise: A systematic review and meta-analysis.
27 *Ear and Hearing*, 42, 1472-1484. doi: 10.1097/AUD.0000000000001060
28 1240
- 29 1241 Smoorenburg, G. F. (1992). Speech reception in quiet and in noisy conditions by individuals
30 with noise-induced hearing loss in relation to their tone audiogram. *The Journal of the*
31 *Acoustical Society of America*, 91, 421-437. doi: 10.1121/1.402729
32 1242
- 33 1243 Souza, P. (2016). Speech perception and hearing aids. In G. R. Popelka, B. C. J. Moore, R. R.
34 Fay & A. N. Popper (Eds.). *Hearing Aids* (pp. 151-180). New York: Springer.
35 1244
- 36 1245 Stone, M. A., Moore, B. C. J. & Greenish, H. (2008). Discrimination of envelope statistics
37 reveals evidence of sub-clinical hearing damage in a noise-exposed population with
38 'normal' hearing thresholds. *International Journal of Audiology*, 47, 737-750. doi:
39 1246 10.1080/14992020802290543
40 1247
- 41 1248 Swan, A. A., Nelson, J. T., Swiger, B., Jaramillo, C. A., Eapen, B. C., Packer, M. & Pugh, M.
42 J. (2017). Prevalence of hearing loss and tinnitus in Iraq and Afghanistan Veterans: A
43 Chronic Effects of Neurotrauma Consortium study. *Hearing Research*, 349, 4-12. doi:
44 1249 10.1016/j.heares.2017.01.013
45 1250
- 46 1251 Tyler, R. S., Pienkowski, M., Rojas Roncancio, E., Jun, H. J., Brozoski, T., Dauman, N.,
47 Coelho, C. B., Andersson, G., Keiner, A. J., Cacace, A., Martin, N. & Moore, B. C. J.
48 (2014). A review of hyperacusis and future directions: Part I. Definitions and
49
50
51
52
53
54
55
56
57
58
59
60

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 44
2
3 1258 manifestations. *American Journal of Audiology*, 23, 402-419. doi: 10.1044/2014_AJA-
4 1259 14-0010
5
6 1260 US Department of Defence (2019). DoD Instruction 6055.12. Last accessed July 13 2021.
7
8 1261 Walden, B. E., Prosek, R. A. & McCurdy, H. W. (1975). *The Prevalence of Hearing Loss*
9
10 1262 *Within Selected U.S. Army Branches*. Washington, DC: Walter Reed Army Medical
11
12 1263 Center.
13
14 1264 Westcott, M. (2006). Acoustic shock injury (ASI). *Acta Otolaryngologica Supplement*, 54-58.
15
16 1265 Wilson, R. H. (2011). Clinical experience with the words-in-noise test on 3430 veterans:
17 1266 comparisons with pure-tone thresholds and word recognition in quiet. *Journal of the*
18 1267 *American Academy of Audiology*, 22, 405-423. doi: 10.3766/jaaa.22.7.3
19
20 1268 Xiong, M., Yang, C., Lai, H. & Wang, J. (2014). Impulse noise exposure in early adulthood
21 1269 accelerates age-related hearing loss. *European Archives of Otorhinolaryngology*, 271,
22 1270 1351-1354. doi: 10.1007/s00405-013-2622-x
23
24 1271 Yankaskas, K. (2013). Prelude: noise-induced tinnitus and hearing loss in the military.
25 1272 *Hearing Research*, 295, 3-8. doi: 10.1016/j.heares.2012.04.016
26
27 1273 Ylikoski, M. E. & Ylikoski, J. S. (1994). Hearing loss and handicap of professional soldiers
28 1274 exposed to gunfire noise. *Scandinavian Journal of Work, Environment & Health*, 20, 93-
29 1275 100. doi: 10.5271/sjweh.1415
30
31
32
33
34
35 1276
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1277 **Table 1.** Example of a bulge analysis using the CLB method. Values in specific lines are
 1278 denoted A, B, C, D, and E. The AAHL values are those for a man aged 50 years at the 25th
 1279 (worst) percentile, as given in table 2 of Coles et al. (2000).

1280

	Frequency, kHz	1	2	3	4	6	8
A	Hearing threshold level (HTL), dB HL	15	10	15	40	40	40
B	HTL at selected anchor points	15					40
C	Selected age-associated hearing loss (AAHL)	12	19	25	35	39	46
	Misfit values (dB) = B - C at anchor points	3					-6
D	Interpolated misfit values (dB)	3.0	0.0	-1.5	-3.0	-4.5	-6.0
	Adjusted AAHL = C + D	15.0	19.0	23.5	32.0	34.5	40.0
	Set AAHL to 0 when AAHL < 0	15.0	19.0	23.5	32.0	34.5	40.0
E	Set AAHL to actual when AAHL > actual	15.0	10.0	15.0	32.0	34.5	40.0
	NIHL, i.e. bulge (dB) = A - E, rounded	0	0	0	8	6	0

1281

Or Peer Review

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 46

1282 **Table 2.** As table 1 but using AAHL values for a man aged 50 years at the 9th (worst)
 1283 percentile, as given in ISO 7029 (2017).

1284

	Frequency, kHz	1	2	3	4	6	8
A	Hearing threshold level (HTL), dB HL	15	10	15	40	40	40
B	HTL at selected anchor points	15					40
C	Selected age-associated hearing loss (AAHL)	13.1	19.5	24.5	29.0	36.0	41.4
	Misfit values (dB) = B - C at anchor points	1.9					-1.4
D	Interpolated misfit values (dB)	1.9	0.8	0.2	-0.3	-0.8	-1.4
	Adjusted AAHL = C + D	15.0	20.3	24.8	28.7	35.1	40.0
	Set AAHL to 0 when AAHL < 0	15.0	20.3	24.8	28.7	35.1	40.0
E	Set AAHL to actual when AAHL > actual	15.0	10.0	15.0	28.7	35.1	40.0
	NIHL, i.e. bulge (dB) = A - E, rounded	0	0	0	11	5	0

1285

For Peer Review

1286 **Table 3.** Example of an LCB analysis using the same HTLs as for Table 1 and using the
 1287 same nominal AAHL values. The AAHL values here were calculated to one decimal place
 1288 using the equations in ISO 1999 (2013) but with the modified baseline values for young
 1289 people used by Coles et al. (2000). Values in specific lines are denoted A to N.

1290

		Frequency, kHz					
Pass 1		1	2	3	4	6	8
A	Hearing threshold level (HTL), dB HL	15	10	15	40	40	40
B	HTL at selected anchor points	15					40
C	Selected age-associated hearing loss (AAHL)	12.1	18.8	25.8	34.7	39.4	46.2
	Misfit values (dB) = B – C at anchor points	2.9					-6.2
D	Interpolated misfit values (dB)	2.9	-0.1	-1.6	-3.2	-4.6	-6.2
	Adjusted AAHL = C + D	15.0	18.7	24.1	31.6	35	40
	Set AAHL to 0 when AAHL < 0	15.0	18.7	24.1	31.6	35	40
E	Set AAHL to actual when AAHL > actual	15.0	10.0	15.0	31.6	34.8	40
	NIHL (dB) = A - E	0.0	0.0	0.0	8.4	5.2	0
Pass 2							
F	Estimate NIHL at anchor points (dB)	1.3					3.4
G	Modified HTL at anchor points (dB HL) = A – F	13.7					36.6
H	Selected age-associated hearing loss (AAHL)	12.1	18.8	25.8	34.7	39.4	46.2
I	Misfit values (dB) at anchor points = G – H	1.7					-9.5
J	Interpolated misfit values (dB)	1.7	-2.0	-3.9	-5.8	-7.6	-9.5
K	Modified AAHL (dB) = H + J	13.7	16.7	21.8	28.9	31.8	36.6
L	Set AAHL to 0 when AAHL < 0	13.7	16.7	21.8	28.9	31.8	36.6
M	Set AAHL to actual HTL when AAHL > actual	13.7	10.0	15.0	28.9	31.8	36.6
N	NIHL (dB) = A – M	1.3	0.0	0.0	11.1	8.2	3.4
	Mean NIHL at 1, 2 and 3 kHz, dB	0.4					
	Mean NIHL at 1, 2 and 4 kHz, dB	4.1					

1291

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 48

1292 **Table 4.** Quantification of NIHL for the same case as in Table 1-3, but based on comparison
 1293 of the measured HTLs with AAHL values for a non-exposed man at the 30th (worst)
 1294 percentile based on ISO 7029 (2017).

Age, years	50					
Percentile	30					
Frequency, kHz	1	2	3	4	6	8
Hearing threshold level, dB HL	15	10	15	40	40	40
Age-associated hearing loss (AAHL), dB HL	7.6	11.6	14.9	17.9	22.5	26.0
Estimated noise-induced hearing loss (NIHL), dB	7.4	-1.6	0.1	22.1	17.5	14.0
Set NIHL to 0 if NIHL < 0	7.4	0.0	0.1	22.1	17.5	14.0
Mean M-NIHL at 1, 2 and 3 kHz, dB	2.5					
Mean M-NIHL at 1, 2 and 4 kHz, dB	9.9					

1296

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 49

2
3
4 1297 **Table 5.** As Table 4 but with AAHL values for a non-exposed man at the 50th (median)
5
6 1298 percentile, based on ISO 7029 (2017).

7
8 1299

Age, years	50					
Percentile	50					
Frequency, kHz	1	2	3	4	6	8
Hearing threshold level, dB HL	15	10	15	40	40	40
Age-associated hearing loss (AAHL), dB HL	4.0	6.5	8.7	10.7	13.8	16.1
Estimated noise-induced hearing loss (NIHL), dB	11.0	3.5	6.3	29.3	26.2	23.9
Set NIHL to 0 if NIHL < 0	11.0	3.5	6.3	29.3	26.2	23.9
Mean M-NIHL at 1, 2 and 3 kHz, dB	6.9					
Mean M-NIHL at 1, 2 and 4 kHz, dB	14.6					

9
10
11
12
13
14
15
16
17
18
19
20 1300
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1301 **Table 6.** Application of the LCB quantification method to a 47 year old military veteran
 1302 using AAHL values for a 47-year old man at the 5th (worst) percentile using values from
 1303 (Lutman et al., 2016).
 1304

Lutman et al 2016 method	Frequency, kHz					
	1.0	2	3	4	6	8
Pass 1						
Hearing threshold level (HTL), dB HL	20	20	40	50	45	65
HTL at selected anchor points	20.0					65.0
Selected age-associated hearing loss (AAHL)	19.4	28.2	36.5	47.4	53.9	62.4
Misfit values (dB)	0.6					2.6
Interpolated misfit values (dB)	0.6	1.3	1.6	1.9	2.3	2.6
Adjusted AAHL	20.0	29.4	38.1	49.4	56.1	65.0
Set AAHL to 0 when AAHL<0	20.0	29.4	38.1	49.4	56.1	65.0
Set AAHL to actual when AAHL>actual	20.0	20.0	38.1	49.4	45.0	65.0
Bulge (dB)	0.0	0.0	1.9	0.6	0.0	0.0
Pass 2						
Modified HTL at anchor points (dB)	19.9					64.7
Selected age-associated hearing loss (AAHL)	19.4	28.2	36.5	47.4	53.9	62.4
Misfit values (dB)	0.5					2.3
Interpolated misfit values (dB)	0.5	1.1	1.4	1.7	2.0	2.3
Modified AAHL (dB)	19.9	29.3	37.9	49.2	55.9	64.7
Set AAHL to 0 when AAHL<0	19.9	29.3	37.9	49.2	55.9	64.7
Set AAHL to actual when AAHL>actual	19.9	20.0	37.9	49.2	45.0	64.7
Modified bulge = noise-induced loss (dB)	0.1	0.0	2.1	0.8	0.0	0.3
Mean noise-induced loss 1, 2 and 3 kHz	0.7					
Mean noise-induced loss 1, 2 and 4 kHz	0.3					

1305 **Table 7.** As Table 6, but with the HTL at 8 kHz adjusted to 45 dB HL and with the percentile
 1306 changed to the 20th (worst).

1307

Lutman et al 2016 method	Frequency, kHz					
Pass 1	1.0	2	3	4	6	8
Hearing threshold level (HTL), dB HL	20	20	40	50	45	45
HTL at selected anchor points	20.0					45.0
Selected age-associated hearing loss (AAHL)	12.5	19.0	25.3	33.8	38.4	44.6
Misfit values (dB)	7.5					0.4
Interpolated misfit values (dB)	7.5	5.1	3.9	2.7	1.6	0.4
Adjusted AAHL	20.0	24.1	29.3	36.5	40.0	45.0
Set AAHL to 0 when AAHL<0	20.0	24.1	29.3	36.5	40.0	45.0
Set AAHL to actual when AAHL>actual	20.0	20.0	29.3	36.5	40.0	45.0
Bulge (dB)	0.0	0.0	10.7	13.5	5.0	0.0
Pass 2						
Modified HTL at anchor points (dB)	18.0					39.6
Selected age-associated hearing loss (AAHL)	12.5	19.0	25.3	33.8	38.4	44.6
Misfit values (dB)	5.4					-5.0
Interpolated misfit values (dB)	5.4	2.0	0.2	-1.6	-3.3	-5.0
Modified AAHL (dB)	18.0	21.0	25.5	32.2	35.1	39.6
Set AAHL to 0 when AAHL<0	18.0	21.0	25.5	32.2	35.1	39.6
Set AAHL to actual when AAHL>actual	18.0	20.0	25.5	32.2	35.1	39.6
Modified bulge = noise-induced loss (dB)	2.0	0.0	14.5	17.8	9.9	5.4
Mean noise-induced loss 1, 2 and 3 kHz	5.5					
Mean noise-induced loss 1, 2 and 4 kHz	6.6					

1308

1309 **Table 8.** Estimation of the amount of M-NIHL by comparison to the 50th percentile of ISO
 1310 7029 (2017) for the same case as in Tables 6 and 7.

1311

Age, years	47					
Percentile	50					
Frequency, kHz	1	2	3	4	6	8
Hearing threshold level, dB HL	20	20	40	50	45	65
Age-associated hearing loss (AAHL), dB HL	3.1	5.1	6.9	8.5	11.0	12.8
Estimated noise-induced hearing loss (NIHL), dB	16.9	14.9	33.1	41.5	34.0	52.2
Set NIHL to 0 if NIHL < 0	16.9	14.9	33.1	41.5	34.0	52.2
Mean M-NIHL at 1, 2 and 3 kHz, dB	21.6					
Mean M-NIHL at 1, 2 and 4 kHz, dB	24.4					

1312

For Peer Review

1313 **Table 9.** As Table 8, but using the 25th (worst) percentile from ISO 7029 (2017), instead of
 1314 the 50th percentile.

1315

Age, years	47					
Percentile	25					
Frequency, kHz	1	2	3	4	6	8
Hearing threshold level, dB HL	20	20	40	50	45	65
Age-associated hearing loss (AAHL), dB HL	7.1	10.8	13.9	16.6	20.8	24.1
Estimated noise-induced hearing loss (NIHL), dB	12.9	9.2	26.1	33.4	24.2	40.9
Set NIHL to 0 if NIHL < 0	12.9	9.2	26.1	33.4	24.2	40.9
Mean M-NIHL at 1, 2 and 3 kHz, dB	16.1					
Mean M-NIHL at 1, 2 and 4 kHz, dB	18.5					

For Peer Review

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 54

2
3
4 1316 Figure captions

5
6 1317

7
8 1318 **Figure 1.** AAHL values for men expected from the 50th percentile of ISO 7029 (2017)

9
10 1319 (diamonds) and from the values published by Flamme et al. (2020) (squares), plotted

11
12 1320 as a function of age. Each panel shows results for one frequency.

13
14 1321

15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review

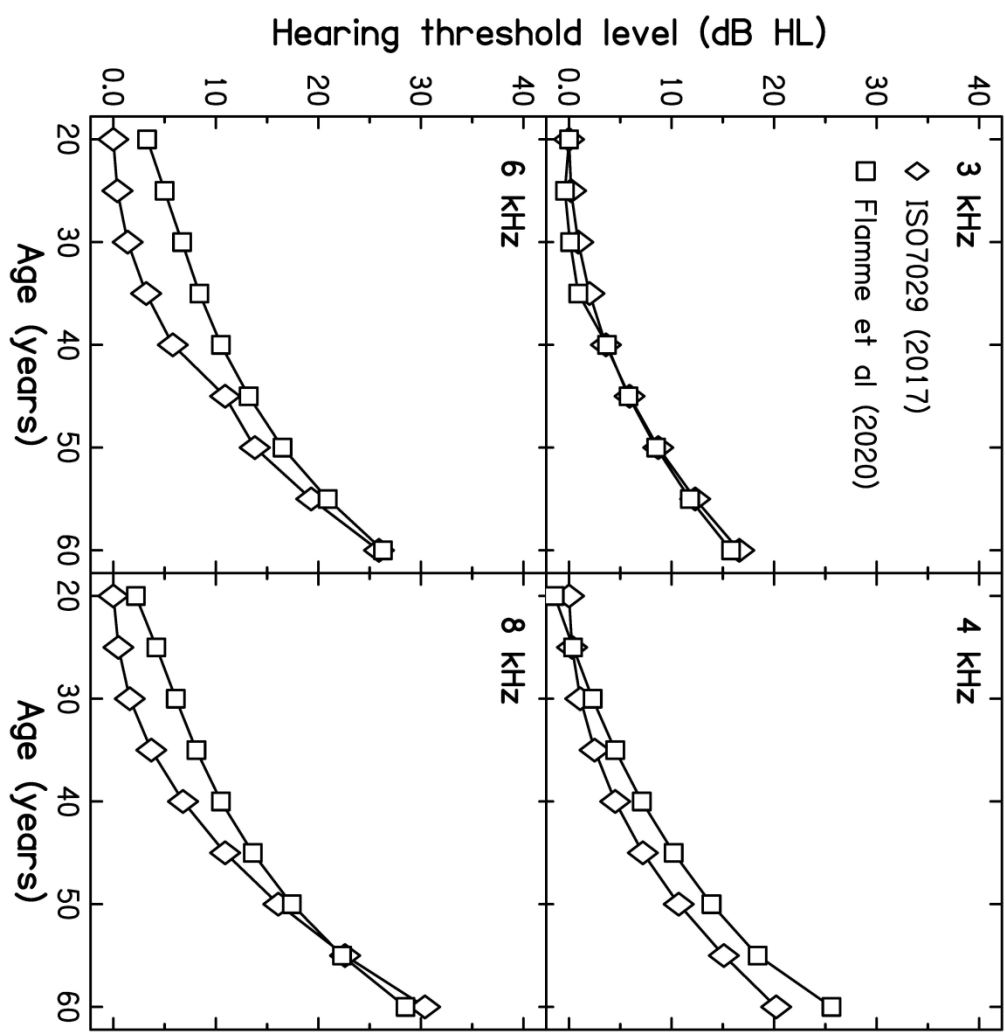


Figure 1. AAHL values for men expected from the 50th percentile of ISO 7029 (2017) (diamonds) and from the values published by Flamme et al. (2020) (squares), plotted as a function of age. Each panel shows results for one frequency.

167x170mm (600 x 600 DPI)

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 1

2
3
4 1
5
6 2 Guidelines for Diagnosing and Quantifying Noise-induced Hearing Loss
7
8 3
9
10 4 Brian C. J. Moore¹, David A. Lowe², and Graham Cox³
11
12 5
13
14 6 ¹Cambridge Hearing Group, Department of Psychology, University of Cambridge,
15
16 7 Downing Street, Cambridge CB2 3EB, UK
17
18 8 ²ENT Department, James Cook University Hospital, Marton Rd, Middlesbrough, Cleveland,
19
20 9 TS4 3BW, UK
21
22 10 ³ENT Department (retired), Oxford University Hospitals NHS Foundation Trust, UK
23
24 11
25
26 12 Corresponding author:
27
28 13 Brian C. J. Moore
29
30 14 Cambridge Hearing Group, Department of Psychology, University of Cambridge,
31
32 15 Downing Street, Cambridge CB2 3EB, UK
33
34 16 email: bcjm@cam.ac.uk
35
36 17
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Abstract

This paper makes recommendations for the diagnosis and quantification of noise-induced hearing loss (NIHL) in a medico-legal context. A distinction is made between NIHL produced by: steady broadband noise, as occurs in some factories; more impulsive factory sounds, such as hammering; noise exposure during military service, which can involve very high peak sound levels; and exposure to very intense tones. It is argued that existing diagnostic methods, which were primarily developed to deal with NIHL produced by steady broadband noise, are not adequate for the diagnosis of NIHL produced by different types of exposures. Furthermore, some existing diagnostic methods are based on now-obsolete standards, and make unrealistic assumptions. Diagnostic methods are proposed for each of the types of noise exposure considered. It is recommended that quantification of NIHL for all types of exposures is based on comparison of the measured hearing threshold levels with the age-associated hearing levels (AAHLs) for a non-noise exposed population, as specified in ISO 7029 (2017), usually using the 50th percentile, but using another percentile if there are good reasons for doing so. When audiograms are available both soon after the end of military service and some time afterwards, the most recent audiogram should be used for diagnosis and quantification, since this reflects any effect of the noise exposure on the subsequent progression of hearing loss. It is recommended that the overall NIHL for each ear be quantified as the average NIHL across the frequencies 1, 2, and 4 kHz.

Keywords: noise exposure, noise-induced hearing loss, diagnosis, quantification, military service

42 Introduction

43 Despite strict regulations concerning permissible noise exposure in work places, and
44 despite the use of hearing protection, noise-induced hearing loss (NIHL) is still a common
45 problem (Hoffman et al., 2017), especially among workers in mining and construction
46 (Masterson et al., 2015) and among those with military service (Yankaskas, 2013; Swan et
47 al., 2017; Reavis et al., 2021). One reason for this is that hearing protection is not always
48 properly fitted, and even when it is properly fitted it tends to wear out and to fail to provide
49 the stated laboratory values of attenuation in the field (Berger, 2000; Neitzel & Seixas, 2005;
50 Humes et al., 2006). Also, for military personnel, hearing protection is not always used,
51 especially during training exercises and during active service when it is necessary to maintain
52 situational awareness.

53 People who have NIHL produced by noise at work may be eligible for and may claim
54 compensation from their employer. If the employer disputes the claim, then legal proceedings
55 may be instituted to try to enforce the claim. For a claim to be successful, several
56 requirements should be satisfied. Firstly, it should be assessed whether there are plausible
57 causes of hearing loss other than noise exposure. If there are such causes, it should be
58 established that they probably do not fully account for the observed hearing loss. Examples of
59 possible other causes are exposure to ototoxic substances, a family history of hearing loss,
60 and ear infections that have not resolved. Secondly, it should be established that the noise
61 exposure of the individual was sufficient to have the potential for causing a hearing loss.
62 Thirdly, it should be established that the individual has greater hearing loss than would be
63 expected from age alone and also has a pattern of hearing loss indicative of NIHL. In the
64 great majority of cases, this is based solely on the audiogram, even though there is increasing
65 evidence that some of the adverse effects of noise exposure may not be revealed by the
66 audiogram (Liberman et al., 2016; Billings et al., 2018; Bramhall et al., 2021; Grant et al.,
67 2021).

68 In a medico-legal context, diagnosis of NIHL is based on the “balance of
69 probabilities”, i.e. a diagnosis of NIHL requires a greater than 50% probability of NIHL
70 being present. This is very different from the conventional criterion used in statistical analysis

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 4

2
3
4 71 that a certain result should have less than a 5% probability of occurring by chance. The
5
6 72 motivation for the present paper stemmed from the experience of the authors that the
7
8 73 diagnostic criteria that are commonly employed in the UK, which are discussed in detail
9
10 74 below, lead to many people who have a history of noise exposure and who have hearing loss
11
12 75 being denied compensation. This applies especially to those who have been exposed to
13
14 76 intense impulsive sounds during military service. Given that the diagnostic criteria commonly
15
16 77 used in the UK, referred to as the CLB guidelines, were developed over two decades ago and
17
18 78 were intended specifically to be appropriate for individuals exposed to steady broadband
19
20 79 noise (Coles et al., 2000), it seemed appropriate to re-examine those criteria and to assess
21
22 80 whether changes were needed.

23
24 81 If a positive diagnosis of NIHL has been made, then quantification of the amount of
25
26 82 NIHL is needed; diagnosis and quantification are two distinct stages of the medico-legal
27
28 83 process. The quantification of NIHL requires the effects of age to be partialled out in some
29
30 84 way, and there are a number of methods for doing this, which are often based on reference
31
32 85 audiograms obtained from a control population with no known noise exposure. It seemed to
33
34 86 us that there were also problems with some of the methods that have been used to quantify
35
36 87 the amount of NIHL, following a positive diagnosis. Hence this paper also re-examines
37
38 88 methods for quantification of NIHL.

39
40 89 It should be noted that in some countries, including the USA, diagnosis of NIHL is
41
42 90 usually based on a comparison of audiograms across time, as recommended by the
43
44 91 Occupational Safety and Health Administration (1981) and the US Department of Defence
45
46 92 (2019). The audiogram obtained at a given time after the noise exposure started is compared
47
48 93 with an earlier baseline audiogram. NIHL is deemed to be present when there is “a change in
49
50 94 hearing threshold relative to the baseline audiogram of an average of 10 dB or more at 2000,
51
52 95 3000, and 4000 Hz in either ear.” However, this method is based on the assumption that
53
54 96 reliable audiograms are obtained at regular intervals, and this is not always the case. In our
55
56 97 experience, occupational audiograms are often unreliable, at least in the UK. For example,
57
58 98 military veterans have informed us that sometimes they could see when a button was pressed
59
60 99 to present a tone, or a light went on when a tone was presented. Sometimes, the tester was

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 5

2
3
4 100 reported to nod when a tone was presented. It is not uncommon for occupational audiograms
5
6 101 to vary markedly and irregularly across tests taken only a year or two apart. Another problem
7
8 102 with the OSHA/DOD method is that noise exposure during military service often results in
9
10 103 the greatest hearing loss at 6 and 8 kHz (Moore, 2020; Lowe & Moore, 2021), and this
11
12 104 method might fail to diagnose NIHL in such cases. In the present paper, the focus is on
13
14 105 methods that are used to diagnose NIHL on the basis of one or more audiograms obtained
15
16 106 after noise exposure, where those audiograms have been obtained under known conditions
17
18 107 according to a standard method, such as the method recommended by the British Society of
19
20 108 Audiology (2018).

21
22 109 In summary, the purpose of this paper is to review methods for the diagnosis and
23
24 110 quantification of NIHL and to provide guidelines for the methods that are recommended for
25
26 111 assessment, especially in a medico-legal context, where the requirement for a diagnosis is “on
27
28 112 the balance of probabilities” rather than with certainty. Note that these are guidelines, not
29
30 113 absolute requirements. Each case is different, and there will be some individuals with NIHL
31
32 114 who do not meet the requirements for a firm diagnosis. While a positive diagnosis following
33
34 115 the guidance provides strong evidence for NIHL, the failure to meet the requirements does
35
36 116 not exclude NIHL.

37
38 117

39 118 **Medical History**

40
41
42 119 To make a clear diagnosis of NIHL incurred during a specific time period, it is
43
44 120 necessary to assess whether there is any other plausible cause of hearing loss, including noise
45
46 121 exposure outside the specified time period or outside of the workplace. Of course, it is
47
48 122 possible to have NIHL in combination with hearing loss caused in some other way, for
49
50 123 example by exposure to jet fuel during military service (Kaufman et al., 2005). The diagnosis
51
52 124 of NIHL in such cases is complex, and usually requires the judgment of an otologist,
53
54 125 otorhinolaryngologist or ear, nose and throat (ENT) specialist based on a detailed history of
55
56 126 the individual case. Often, the effect of the “other” cause of hearing loss can be estimated and
57
58 127 allowed for. If the “other” cause, when combined with the effect of age, does not fully
59
60 128 account for the observed hearing loss, this makes it likely that NIHL has occurred. However,

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 6

2
3
4 129 the focus here is on simpler cases, where causes of hearing loss other than noise exposure and
5
6 130 age are excluded as far as possible. For such cases, the following should be excluded:

7
8 131 (1) A history of substantial exposure to ototoxic substances, such as solvents (Odkvist et al.,
9
10 132 1987);

11
12 133 (2) A history of substantial exposure to ototoxic medications, for example during
13
14 134 chemotherapy (Baguley & Prayuenyong, 2020);

15
16 135 (3) A history of current or previous ear diseases;

17
18 136 (4) Head injury associated with auditory symptoms;

19
20 137 (5) History of familial hearing loss not caused by noise exposure;

21
22 138 (6) Exposure to high levels of noise during leisure activities or outside the time period in
23
24 139 question, for example, regular attendance at discotheques, nightclubs or “raves” (Stone et al.,
25
26 140 2008).

27
28 141 A conductive hearing loss of 10 dB or more averaged across the frequencies 0.5, 1, 2
29
30 142 and 4 kHz, inferred from the air-bone gap in audiometric thresholds (British Society of
31
32 143 Audiology, 2018), does not necessarily rule out the presence of NIHL, but should be noted
33
34 144 and taken into account when assessing the audiogram.

35
36 145 The medical history should also include the following information:

37
38 146 (1) The types and durations of noise exposures, the sound sources of the exposures and any
39
40 147 ear asymmetry in the exposures;

41
42 148 (2) The types of hearing protection supplied (if supplied), how well the hearing protection
43
44 149 fitted, how often it was replaced, how often it was worn, and whether its use was enforced;

45
46 150 (3) Whether and how often periods of temporarily reduced hearing and/or tinnitus were
47
48 151 experienced during the time period in question;

49
50 152 (4) Whether tinnitus is currently experienced, and when the tinnitus started relative to the
51
52 153 period in question. The severity of tinnitus symptoms can be assessed using the guidelines of
53
54 154 McCombe et al. (2001) or using a questionnaire such as the Tinnitus Handicap Inventory
55
56 155 (Newman et al., 1998), the Tinnitus Functional Index (Meikle et al., 2012), or the Tinnitus
57
58 156 Impact Questionnaire (Aazh et al., 2022a).

157 (5) Whether hyperacusis is experienced and if so when the hyperacusis started relative to the
158 period in question. Hyperacusis is an intolerance of sounds that most people do not find to be
159 aversive (Tyler et al., 2014). The severity of hyperacusis symptoms can be assessed using a
160 questionnaire such as the Hyperacusis Questionnaire (Khalifa et al., 2004), the Inventory of
161 Hyperacusis Symptoms (Greenberg & Carlos, 2018; Aazh et al., 2021), or the Hyperacusis
162 Impact Questionnaire (Aazh et al., 2022b).

164 Requirement for Sufficient Noise Exposure

165 A diagnostic method that has been widely used in the UK was proposed by Coles et
166 al. (2000). This method, referred to here as the CLB method, was intended to apply primarily
167 to people exposed to relatively steady broadband noise. The method specifies two
168 requirements in terms of noise exposure, denoted R2(a) and R2(b). R2(a) of the CLB method
169 is that “at least 50% of individuals exposed to this known or estimated amount of noise would
170 be likely to suffer a measurable degree of hearing loss. This noise estimate includes
171 allowance for proper use of hearing protection or for any in-built protection from a
172 conductive hearing loss believed to have been present in the relevant noise-exposure years.”
173 Coles et al. (2000) estimated this requirement to be met when there was “an equivalent daily
174 8-h continuous noise exposure ($L_{EP,d}$) of not less than 85 dB(A) for a sufficient number of
175 years to lead to a cumulative exposure of at least 100 dB(A) NIL, the so-termed Noise
176 Immission Level.”

177 This requirement seems to us to be excessively stringent. If a given NIL is sufficient
178 to produce NIHL in 50% of individuals, then it follows that at least some individuals would
179 experience NIHL for lower exposure levels. Fairness to a claimant requires only that the
180 noise exposure should be sufficient to produce NIHL in a reasonable proportion of
181 individuals. This problem was acknowledged by Coles et al. (2000), and led them to
182 introduce CLB requirement R2(b): “Substantial amounts of NIHL can be caused in a
183 minority of persons exposed to < 100 dB(A) NIL; that is, in those who are more than
184 averagely susceptible. To allow for such cases, a less stringent noise exposure requirement is
185 applicable provided the audiometric evidence of noise damage is stronger. The lower level of

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 8

2
3
4 186 total noise exposure for such cases is reduced to 90 dB(A) NIL, although the lower limit on
5
6 187 $L_{EP,d}$ remains at 85 dB(A)". The CLB guidelines suggest that R2(b) should be applied when
7
8 188 there is a notch or bulge in the audiogram whose depth meets requirement R3(b); this is
9
10 189 described later in this paper.

11
12 190 A problem with R2(a) is that an NIL of 100 dB(A) is probably higher than the NIL
13
14 191 required for 50% of individuals to experience NIHL. Passchier-Vermeer (1974) presented
15
16 192 evidence showing that exposure to steady noise with a noise rating (NR) of 85 dB
17
18 193 [approximately equal to 90 dB(A)] for eight hours per day for five days per week for ten
19
20 194 years, giving an NIL of approximately 100 dB(A), is sufficient to produce a median hearing
21
22 195 loss of 17 dB at 4 kHz. This indicates that a criterion NIL of 100 dB(A) is higher than
23
24 196 appropriate. She showed further that a 10-dB lower exposure [a NR of 75, equivalent to 80
25
26 197 dB(A) for the same duration, giving an NIL of approximately 90 dB(A)] led to a hearing loss
27
28 198 of 11 dB at 4 kHz for the 10th percentile, i.e. that lower NIL had the potential to produce
29
30 199 hearing loss in some individuals. In our opinion, a criterion NIL of 90 dB(A) is appropriate,
31
32 200 since this will lead to NIHL in a small proportion of individuals. We recommend an NIL of
33
34 201 90 dB(A), with no lower limit on $L_{EP,d}$, in all cases of exposure to broadband steady noise.

35
36 202 Additional considerations arise when the individual has been exposed to impulsive
37
38 203 sounds, for example from hammering or gunshots. It is well established that, for a given root-
39
40 204 mean square exposure, impulsive sounds are more damaging to the auditory system than
41
42 205 steady sounds (which usually have a Gaussian distribution of instantaneous amplitudes)
43
44 206 (Henderson & Hamernik, 1986; Shi et al., 2021). In a systematic review, Shi et al. (2021)
45
46 207 concluded that "The A-weighted equivalent continuous sound pressure level (L_{Aeq}) is not a
47
48 208 sufficient measurement metric for quantifying non-Gaussian noise exposure, and a
49
50 209 combination of kurtosis and noise energy metrics (e.g., L_{Aeq}) should be used. It is necessary
51
52 210 to reduce the exposure of non-Gaussian noise to protect the hearing health of workers."
53
54 211 Unfortunately, there is at present no consensus as to what the appropriate combination
55
56 212 measure should be. Shi et al. (2021) showed that the prevalence of high-frequency NIHL
57
58 213 (HFNIHL, defined as a hearing threshold level ≥ 25 dB HL, averaged across 3, 4 and 6 kHz)
59
60 214 was 33.3% for workers exposed to non-Gaussian noise as opposed to 27.7% for workers

215 exposed to Gaussian noise of the same cumulative level. This change in prevalence of 5.6%
216 is about 0.78 times the increase in prevalence of 7.2% produced by changing the cumulative
217 noise exposure from 85 to 90 dB(A); see table 5 of Shi et al. (2021). Hence, exposure to non-
218 Gaussian noise, on average, has an effect similar to increasing the exposure level by about 4
219 dB (5×0.78). Hence, for cases of exposure to non-Gaussian noise, it would seem reasonable
220 at present to use a limit of 86 dB(A) NIL, i.e. 4 dB lower than for exposure to steady
221 broadband noise.

222 Hearing loss sustained during military service, denoted here M-NIHL, is a special
223 case. It can involve exposure to peak sound levels exceeding 150 dB SPL (Jokel et al., 2019),
224 which are capable of damaging the ear immediately when hearing protection is not worn, is
225 of insufficient effectiveness, or is inadequately fitted. To accrue a NIL of 100 dB(A) to
226 satisfy requirement R2(a) of the CLB guidelines would require the firing of 160 rounds per
227 shift, unprotected, for five days per week for approximately seven years, giving a total of
228 more than 250,000 rounds. Even the lower R2(b) requirement of the CLB guidelines would
229 require unprotected exposure to 25,000 rounds. In fact, it has been shown that a relatively
230 small amount of unprotected exposure (100 rounds or less) when practicing the shooting of
231 rifles can produced significant hearing loss (Keim, 1969; Moon et al., 2011). Hence, both
232 R2(a) and R2(b) of the CLB guidelines are clearly inapplicable in the case of exposure to
233 intense impulsive sounds, as was acknowledged by the authors of the CLB guidelines (Coles
234 et al., 2000).

235 In the great majority of cases, it is impossible to quantify precisely the noise exposure
236 of a specific individual during military service. However, it is likely that all military
237 personnel who have seen active service have been exposed to potentially damaging sounds.
238 Consistent with this, Jokel et al. (2019) stated, “All military personnel are going to be
239 exposed to loud sounds. In fact, they are likely to have exposure to some of the most intense
240 sounds that can be found in any occupation.” Evidence that military noise exposure is
241 typically sufficient to cause hearing loss in a substantial proportion of men is provided by
242 Figure 1 in Moore (2020), showing that about 50% of professional military personnel have
243 hearing loss in the frequency range 6–8 kHz, and by Figure 2 in Moore (2020), showing that

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 10

244 the mean hearing loss after 10 years of military service is greater than 30 dB at 4, 6, and 8
245 kHz. Also, in our experience it is near universal that those claiming compensation for M-
246 NIHL report times where their hearing was dulled and/or where they experienced temporary
247 tinnitus. Such reports are generally accepted as indicating potentially or actually damaging
248 noise exposure (Kryter, 1963; Brungart et al., 2019).

249 Another special case is for individuals exposed to intense tones rather than noises.
250 Such exposures can result from the use of “Tone Set Equipment” (TSE), which has been used
251 in the past to test the integrity of telephone lines. The sounds produced by TSE are typically
252 1-kHz tones with levels up to 137 dB SPL. Exposures of this type are sometimes described as
253 producing “acoustic shock”, and they can lead to immediate hearing loss as well as tinnitus,
254 hyperacusis, and psychological effects (Davis et al., 1950; Westcott, 2006; McFerran &
255 Baguley, 2007). While “safe” exposure limits for tones have not been established, it can
256 reasonably be assumed that exposure to tones with levels over 130 dB SPL is likely to have
257 the potential for damaging the ear, and that all people who have worked with TSEs have had
258 potentially damaging exposures. We denote this type of hearing loss as T-NIHL.

259 In summary, for people who have been exposed primarily to steady broadband noise,
260 we recommend that a total noise exposure of 90 dB(A) NIL is taken as sufficient to have the
261 potential for causing NIHL. For people who have regularly been exposed to impulsive sounds
262 in non-military occupations, a lower limit of 86 dB(A) NIL should be used. It can reasonably
263 be assumed that all those who have seen active military service or who have worked with
264 TSE have been exposed to sounds with the potential to cause hearing loss.

265

266 **Diagnosis Based on Audiometric Configuration**

267 *Cases of Exposure to Steady Broadband Noise*

268 Several methods for diagnosing NIHL are based on the typical shapes of the
269 audiograms produced by exposure to steady intense broadband noise. Such audiograms
270 typically show a notch or downward bulge in the audiogram in the frequency region 3-6 kHz
271 (Passchier-Vermeer, 1974; Smoorenburg, 1992). The reasons for this are as follows:

60

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 11

2
3
4 272 (1) The ear canal produces an acoustic resonance that boosts the sound level at the eardrum
5
6 273 (relative to that measured with a microphone placed at the centre of the position of the
7
8 274 listener's head, when the listener is removed from the sound field) by about 15 dB for
9
10 275 frequencies close to 3 kHz (Shaw, 1974; Moore, 2012). Hence, for a typical broadband
11
12 276 sound, the level at the eardrum is greater for frequencies close to 3 kHz than for lower or
13
14 277 higher frequencies. The centre frequency of the ear canal resonance depends on the geometry
15
16 278 of the ear canal and varies across individuals from about 2 to 4 kHz.

17
18 279 (2) Each place on the basilar membrane within the cochlea is tuned to a certain frequency,
19
20 280 called the characteristic frequency (CF) (Moore, 2012). However, the CF depends on sound
21
22 281 level (McFadden, 1986; Moore et al., 2002). The place on the basilar membrane with a CF of
23
24 282 4 kHz at low and medium sound levels responds most strongly to frequencies close to 3 kHz
25
26 283 at very high sound levels.

27
28 284 Because of these two effects, exposure to an intense broadband noise produces
29
30 285 maximum damage to the hair cells in the cochlea at a place whose CF is close to 4 kHz, and it
31
32 286 is this damage that is measured in the audiogram.

33
34 287 The existence of a "noise notch" or calculated bulge provides the basis for several
35
36 288 diagnostic methods (Coles et al., 2000; Niskar et al., 2001; Phillips et al., 2010), all of which
37
38 289 depend on the hearing threshold levels (HTLs) at 3, 4 or 6 kHz being higher (worse) than the
39
40 290 HTLs at lower frequencies (e.g. 1 kHz) and higher frequencies (e.g. 8 kHz). For a description
41
42 291 of these methods, see Lowe and Moore (2021). As an illustration of these methods, we
43
44 292 describe here the audiometric requirements of the CLB method, which is the most widely
45
46 293 used method in the UK in medico-legal cases. The CLB method includes a recommendation
47
48 294 that HTLs at 6 kHz should be "adjusted" (reduced) by 6 dB when Telephonics TDH39
49
50 295 headphones are used, to allow for a "calibration artefact" that depends on the coupler used for
51
52 296 calibration (Lawton, 2005). However, the coupler that is used in most countries does not lead
53
54 297 to an artefact, and recent evidence suggests that such an adjustment is not appropriate in the
55
56 298 UK (Lowe & Moore, 2021). Therefore, we recommend that no adjustment is made. The
57
58 299 requirements of the CLB method are:
59
60

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 12

300 R1. A single measurement of the HTL at 3, 4 or 6 kHz should be at least 10 dB greater than
301 the HTL at 1 or 2 kHz.

302 R2(a) and R2(b) are the requirements for sufficient noise exposure, as described earlier.

303 R3(a). This requirement applies when R2(a) is met. There should be a downward notch or
304 bulge in the audiogram in the range 3-6 kHz. A notch is defined as present when “the HTL at
305 3 and/or 4 and/or 6 kHz ... is at least 10 dB greater than at 1 or 2 kHz and at 6 or 8 kHz”. A
306 bulge is defined as present when “the HTL at 3 and/or 4 and/or 6 kHz ... is at least 10 dB
307 greater relative to the comparison values for age-related hearing loss at corresponding
308 frequencies.” To establish whether R3(a) is satisfied, a “bulge analysis” is conducted using
309 the HTLs at 1 or 2 kHz and at 6 or 8 kHz as “anchor points”. R3(a) is based on the
310 assumption that NIHL will typically result in greater hearing loss at 4 kHz than at 1 or 2 and
311 6 or 8 kHz.

312 R3(b). This requirement applies when R2(a) is not met, but R2(b) is met. R3(b) is similar to
313 R3(a), except that the notch or bulge in the audiogram must have a value of 20 dB or more,
314 instead of 10 dB or more.

315 In the literature, the term percentile has been used in different ways. Sometimes a low
316 percentile has been taken to correspond to poorer than typical hearing (ISO 7029, 2017),
317 while sometimes a low percentile has been taken to correspond to better than typical hearing
318 (Flamme et al., 2020). In what follows, we adopt the convention that a lower percentile
319 corresponds to poorer than typical hearing. For example, for a given age, 75% of individuals
320 would have better hearing than the 25th percentile.

321 An example of a bulge analysis using the CLB method, for a man exposed to
322 broadband steady noise in a factory, is shown in Table 1. R2(a) was satisfied. For this
323 example, the anchor points were taken as 1 and 8 kHz, which are the most commonly used
324 anchor points. The age-associated hearing loss (AAHL) values are those for a man without
325 noise exposure aged 50 years at the 25th (worst) percentile, taken from table 2 of Coles et al.
326 (2000). The percentile is chosen to match the HTLs at the chosen anchor points as closely as
327 possible. The measured HTL at 1 kHz is 3 dB higher than the AAHL value, while the HTL at
328 8 kHz is 6 dB lower than the AAHL value. These are denoted “misfit values”. They indicate

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 13

2
3
4 329 the extent to which the AAHL values at the anchor points differ from the measured HTLs.
5
6 330 Note that tables 2 (for men) and 3 (for women) of Coles et al. (2000) give AAHL values
7
8 331 based on a now-obsolete standard (ISO 7029, 1984) that was adjusted (to give higher HTLs)
9
10 332 based on the data presented in Lutman and Davis (1994). Also, the tables give AAHL values
11
12 333 only for the 25th, 50th and 75th percentiles and only for ages up to 70 years at five-year
13
14 334 intervals, so the values are quite coarsely quantized. The misfit values are interpolated across
15
16 335 frequency on a logarithmic frequency scale (line D) and used to give adjusted AAHL values
17
18 336 (the sum of rows C and D). These adjusted AAHL values are set equal to the measured HTL
19
20 337 when they are greater (worse) than the measured HTL, since noise exposure is generally
21
22 338 accepted not to improve HTLs. The differences between the adjusted AAHL values and the
23
24 339 measured HTLs are shown in the bottom line of the table; these correspond to the estimated
25
26 340 NIHL. Any value exceeding 10 dB at 3, 4, or 6 kHz qualifies as a bulge. In this case, R3(a) is
27
28 341 not satisfied; the largest estimated NIHL is 8 dB at 4 kHz.

29
30 342 It should be noted that although the CLB diagnostic method is currently the most
31
32 343 widely used method in the UK, there have not, to our knowledge, been any published studies
33
34 344 of its sensitivity in diagnosing NIHL produced by exposure to steady broadband noise. One
35
36 345 reason for this is that there is no generally accepted “gold standard” for deciding whether or
37
38 346 not a diagnosis of NIHL is correct. The specificity of the method (the percentage of people
39
40 347 without NIHL who are diagnosed as not having NIHL) was estimated for a non-noise-
41
42 348 exposed control population by Moore and von Gablenz (2021) to be 87% when each ear was
43
44 349 considered separately.

45
46 350 Relatively recently, an updated ISO standard has been published based on populations
47
48 351 that were carefully screened to exclude individuals with conductive hearing loss or noise
49
50 352 exposure (ISO 7029, 2017). The Introduction in ISO 7029 (2017) includes the statement:
51
52 353 “Hearing thresholds presented in this document are generally lower at high frequencies than
53
54 354 those in the previous editions of this document. The 4 kHz dip observed in males has become
55
56 355 negligibly small. The source data of the previous editions might not have been screened
57
58 356 rigorously in terms of hearing abnormalities. Problems related to instrumentation might also
59
60 357 have affected measurement data”. The section headed “Scope” in ISO 7029 (2017) includes

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 14

2
3
4 358 the statement: “The data are applicable for estimating the amount of hearing loss caused by a
5
6 359 specific agent in a population. Such a comparison is valid if the population under study
7
8 360 consists of persons who are otologically normal except for the effect of the specific agent.
9
10 361 Noise exposure is an example of a specific agent”. These two statements provide good
11
12 362 reasons for not using earlier versions of the standard and for not using the tabulated values in
13
14 363 Coles et al. (2000), which in any case contain several erroneous entries.

15
16 364 The equations given in ISO 7029 (2017) can be used to calculate AAHL values for
17
18 365 any desired age (up to 80 years) and percentile. This can sometimes change the outcome of
19
20 366 the bulge analysis. Table 2 shows a bulge analysis based on the same case as for Table 1, but
21
22 367 using AAHL values taken from ISO 7029 (2017) for a man aged 50 years at the 9th
23
24 368 percentile. The measured HTL at 1 kHz is 1.9 dB higher than the AAHL value, while the
25
26 369 HTL at 8 kHz is 1.4 dB lower than the AAHL value. When the AAHL values from ISO 7029
27
28 370 (2017) are used, the R3(a) CLB requirement is met; the estimated NIHL at 4 kHz is 11 dB.

29
30 371 It should be noted, as acknowledged by Coles et al. (2000), that the NIHL estimated
31
32 372 using the CLB method for diagnosis underestimates the true extent of the NIHL, because the
33
34 373 noise exposure often affects the HTLs at the anchor points (Passchier-Vermeer, 1974;
35
36 374 Smoorenburg, 1992). Hence, as stated by the authors, the CLB method should not be used to
37
38 375 quantify NIHL.

39
40 376 For cases of exposure to broadband steady noise, we recommend use of a modified
41
42 377 version of the CLB method. The requirements of the modified version, denoted (mod), are as
43
44 378 follows:

45
46 379 R1(mod). A single measurement of the HTL at 3, 4 or 6 kHz should be at least 10 dB greater
47
48 380 than the HTL at 1 kHz or 2 kHz. This is actually the same as R1.

49
50 381 R2(mod). There should be evidence for an NIL of 90 dB(A) or more. The reasons for this
51
52 382 lower NIL were given earlier in this paper.

53
54 383 R3(mod). There should be a downward notch or bulge in the audiogram in the range 3-6 kHz.
55
56 384 A notch is defined as present when the HTL at 3 and/or 4 and/or 6 kHz is at least 10 dB
57
58 385 greater than at 1 and 8 kHz. A bulge is defined as present when the HTL at 3 and/or 4 and/or
59
60 386 6 kHz is at least 10 dB greater than expected from AAHL values. To establish whether

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 15

387 R3(mod) is satisfied, a bulge analysis using the HTLs at 1 kHz and at 8 kHz as “anchor
388 points” should be conducted, as illustrated in Table 2. The AAHL values should be based on
389 ISO 7029 (2017). The percentile should be chosen to minimize the mismatch between the
390 measured HTLs and the AAHL values at the anchor points of 1 and 8 kHz, taking into
391 account the sign of the mismatch (for example, a mismatch of -4 dB at 1 kHz and $+4$ dB at 8
392 kHz would reflect the correct choice of percentile, while a mismatch of -4 dB at both 1 and 8
393 kHz would indicate the need to choose a different percentile). In some cases, it may be
394 appropriate to change the lower anchor frequency to 2 kHz and/or the upper anchor frequency
395 to 6 kHz when the HTLs at 1 and/or 8 kHz are “out of line” with those at other frequencies.
396 The lower anchor frequency should be changed to 2 kHz when the HTL at 2 kHz is 10 dB or
397 more better than the HTL at 1 kHz and the upper anchor frequency should be changed to 6
398 kHz when the HTL at 6 kHz is 10 dB or more better than the HTL at 8 kHz.

399

400 *Cases of Exposure to Impulsive Sounds in Industry*

401 For cases of exposure to impulsive sounds in industrial settings, we recommend that
402 diagnosis is based on the modified CLB method described above, except that R2(mod) is
403 changed to: there should be evidence for an NIL of 86 dB(A) or more.

404

405 *Cases of Exposure to Intense Impulsive Sounds*

406 In this section, we consider cases of exposure that include very intense impulsive
407 sounds, such as can occur in military service. The exposure may also include more steady
408 sounds, such as the noise of jet engines or the interior of tanks. Noise exposure during
409 military service typically leads to hearing losses that are greatest at 4, 6 and 8 kHz, and the
410 mean loss at 8 kHz is similar to or greater than that at 4 kHz (Walden et al., 1975; Ylikoski &
411 Ylikoski, 1994; Attias et al., 2004; Humes et al., 2006; Moore, 2020; Lowe & Moore, 2021).
412 For some individuals, M-NIHL is greater at 8 kHz than at lower frequencies (Moore, 2020;
413 Lowe & Moore, 2021). Also, the HTL for frequencies as low as 0.5 and 1 kHz can be
414 markedly affected by noise exposure during military service (Lowe & Moore, 2021). For
415 these reasons, methods for diagnosing NIHL based on the assumption that HTLs are most

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 16

2
3
4 416 affected for frequencies close to 4 kHz and are relatively unaffected for frequencies of 1 and
5
6 417 8 kHz are not appropriate for diagnosing M-NIHL.

7
8 418 To illustrate this, Lowe and Moore (2021) estimated the sensitivity (the percentage of
9
10 419 cases with NIHL correctly diagnosed as having NIHL) of three methods for diagnosing NIHL
11
12 420 based on identification of a notch or bulge in the audiogram when applied to a sample of 80
13
14 421 men with a high probability of having M-NIHL (it is relatively rare for women to make
15
16 422 claims for M-NIHL). All of the men were claiming compensation for M-NIHL. All reported
17
18 423 exposure to intense impulsive sounds produced by rifles, machine guns, grenades, shoulder-
19
20 424 mounted anti-tank weapons, thunder flashes, and mortars, sometimes without hearing
21
22 425 protection. Nearly all of the sample reported times when they had a temporary dulling of
23
24 426 hearing (also known as temporary threshold shift) and/or tinnitus following such exposure.
25
26 427 One of the methods was the CLB method described earlier. The other methods were those of
27
28 428 Niskar et al. (2001) and Phillips et al. (2010), which have been used for epidemiological
29
30 429 studies in the USA. The highest overall sensitivity of 72.5% was for the method of Phillips et
31
32 430 al. (2010). The Niskar method gave a sensitivity of only 27%, largely because of their
33
34 431 requirement that for a positive diagnosis the HTLs at 0.5 and 1 kHz should be ≤ 15 dB HL,
35
36 432 while the results of Lowe and Moore (2021) suggest that HTLs at these frequencies can be
37
38 433 affected by M-NIHL. The CLB method gave a sensitivity of 70%. For the CLB and Niskar
39
40 434 methods, negative diagnoses occurred mainly when the HTL at 8 kHz was equal to or greater
41
42 435 than the HTL over the frequency range 3-6 kHz.

43
44 436 The reasons why noise exposure during military service produces very variable
45
46 437 audiometric outcomes are not clear. However, the high variability is consistent with the high
47
48 438 variability in the patterns of hearing loss found in animals that have been exposed to intense
49
50 439 impulsive sounds (Henderson & Hamernik, 1986). It may be the case that intense impulsive
51
52 440 sounds produce strong excitation over a large proportion of the basilar membrane within the
53
54 441 cochlea, and that the basal region, which responds best to high frequencies, is more
55
56 442 susceptible to damage than more apical regions (Robles & Ruggero, 2001). The high
57
58 443 variability may also be related to the variety of the spectral shapes of the sounds encountered
59
60 444 in military service (Jokel et al., 2019).

Moore (2020) proposed a new method for the diagnosis of M-NIHL, based on the patterns of the audiograms that are typically found following noise exposure during military service. The characteristics of M-NIHL are often similar to those of age-related hearing loss, also called presbycusis (with the exception that presbycusis usually involves similar hearing loss for the two ears, while M-NIHL is often markedly asymmetric, Lowe & Moore, 2021). This makes a definite diagnosis of M-NIHL difficult for some individuals aged over about 40 years. However, in some (but not all) cases it is possible to distinguish M-NIHL from presbycusis, based on the observation that in cases of presbycusis the hearing loss is typically greater at 8 kHz than at 3, 4 or 6 kHz. For a man at the 50th percentile who has not experienced significant noise exposure, the difference between the HTLs at 8 and 6 kHz is about 1 dB at 40 years, increasing to about 9 dB at age 70 years (ISO 7029, 2017). Similarly, the difference between the HTLs at 8 and 4 kHz is about 2 dB at age 40 years, increasing to about 17 dB at age 70 years and the difference between the HTLs at 8 and 3 kHz is about 3 dB at age 40 years, increasing to about 23 dB at age 70 years. In contrast, as described above, M-NIHL is on average greater at 6 than at 8 kHz and is on average similar at 4 and 8 kHz. Also, the maximum hearing loss sometimes falls at 3 kHz. Hence, a diagnosis of M-NIHL can be made with good confidence if the following requirements are satisfied (M here denotes the method of Moore):

R1M. A single value of the HTL at 3, 4, 6, or 8 kHz is at least 10 dB higher than the HTL at 1 or 2 kHz. This is similar to requirement R1 of the CLB method, except that the frequency of 8 kHz has been added to allow for the fact that noise exposure during military service typically produces the greatest hearing losses at 4, 6, and 8 kHz, but sometimes produces the greatest loss at 3 kHz.

R2aM. The difference between HTLs at 8 and 6 kHz is at least 5 dB smaller than would be expected from age alone or the difference between HTLs at 8 and 4 kHz or between 8 and 3 kHz is at least 10 dB smaller than would be expected from age alone, based on the median values in ISO 7029 (2017). For example, at 4 kHz R2aM is satisfied if

$$[\text{HTL}(8) - \text{HTL}(4) + 10] \leq [\text{AAHL}(8) - \text{AAHL}(4)], \quad (1)$$

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 18

2
3
4 473 where $HTL(x)$ is the HTL at frequency x (kHz) and $AAHL(x)$ is the AAHL at frequency x
5
6 474 (kHz). This is similar to the methods based on identifying a notch or bulge in the audiogram,
7
8 475 but is based on the fact that noise exposure during military service typically leads to less
9
10 476 hearing loss at 8 than at 6 kHz, and to similar hearing loss at 4 and 8 kHz, and sometimes
11
12 477 leads to the greatest hearing loss at 3 kHz, whereas age alone typically leads to greater
13
14 478 hearing loss at 8 than at 3, 4 or 6 kHz.

15
16 479 If requirements R1M and R2aM are met, this provides reasonably strong evidence for
17
18 480 M-NIHL, since the shape of an audiogram required to meet R2aM is different from the shape
19
20 481 associated with age alone. If requirement R2aM is not met, this does not imply the absence of
21
22 482 M-NIHL, since noise exposure during military service can have a substantial effect, and
23
24 483 sometimes its maximal effect, on the HTL at 8 kHz. If requirement R2aM is not met, then a
25
26 484 diagnosis of M-NIHL can be made if R1M is met, and the following requirement is met:
27
28 485 R2bM. The HTL at any one of 4, 6, or 8 kHz is at least 20 dB higher than the median
29
30 486 threshold for each frequency expected for that age, based on ISO 7029 (2017). The
31
32 487 frequencies of 4, 6, and 8 kHz were chosen because these are the frequencies that are usually
33
34 488 most affected by noise exposure during military service, but the exact frequency showing the
35
36 489 greatest loss varies across individuals (Moore, 2020; Lowe & Moore, 2021). The value of 20
37
38 490 dB was chosen for several reasons: (1) To avoid a high number of false-positive diagnoses;
39
40 491 (2) Because 20 dB is greater than the typical errors associated with measurement of an
41
42 492 audiogram (Margolis et al., 2010); (3) Because a 20-dB threshold elevation at high
43
44 493 frequencies is likely to lead to a measurable reduction of the ability to understand speech in
45
46 494 noise (Smoorenburg, 1992).

47
48 495 In summary, for the method of Moore (2020), R1M must be met and either R2aM or
49
50 496 R2bM or both must be met.

51
52 497 Two important characteristics of any diagnostic test are its sensitivity and its
53
54 498 specificity (the percentage of people without M-NIHL who are diagnosed as not having M-
55
56 499 NIHL). The specificity of the diagnostic method of Moore (2020) was assessed by Moore and
57
58 500 von Gablenz (2021), using a sample of 1903 adults, mostly based in two medium-sized cities
59
60 501 in the northwest of Germany. The sample was initially restricted to males aged between 29

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 19

502 and 60 years [the same as for the noise-exposed sampled assessed by Moore (2020)]. The
 503 sample was then further screened to match their characteristics to those of the noise-exposed
 504 sample, except for the noise exposure.

505 When applied to the sample of 58 military veterans studied by Moore (2020), Moore's
 506 method was found to have an overall sensitivity of 96.5%. When applied to the independent
 507 sample of 80 military veterans studied by Lowe and Moore (2021), the method was found to
 508 have an overall sensitivity of 95%. These sensitivity values are very high and markedly
 509 greater than for the methods of Coles et al. (2000), Niskar et al. (2001) and Phillips et al.
 510 (2010). For the standard combination of requirements [R1M, and (R2aM or R2bM)] the
 511 specificity of Moore's method was 67%, which is only moderate. For R1M and R2aM alone,
 512 the specificity was 86%. For R1M and R2bM alone, the specificity was 76%. For R1M and
 513 both R2aM and R2bM, the specificity was 94%. Thus, the specificity was greater when all
 514 three requirements were met than when only R1M and R2aM or R1M and R2bM were met.

515 A measure of the performance of a diagnostic method can be derived from the
 516 proportion of "hits" (sensitivity) and "false alarms" (1 – specificity):

$$d' = Z(\text{hit rate}) - Z(\text{false alarm rate}), \quad (2)$$

518 where function $Z(p)$, $p \in [0,1]$, is the inverse of the cumulative Gaussian distribution (Green
 519 & Swets, 1974). The higher the value of d' , the better is the performance of the method. For
 520 the method of Moore (2020), and for each ear considered separately, the value of d' for the
 521 standard combination of requirements was 2.3, which is conventionally considered as
 522 reasonably high. For comparison, d' was estimated for the CLB method using anchor points
 523 of 1 and 8 kHz. When applied to each ear separately, the CLB method gave a sensitivity of
 524 0.69 and a false-positive rate of 0.13, leading to a d' value of 1.6, markedly lower than for
 525 the method of Moore (2020).

526 We conclude that for cases of noise exposure during military service, the method of
 527 Moore (2020) is preferable to methods based on the identification of a notch or bulge in the
 528 audiogram centered near 4 kHz. Confidence in a positive diagnosis is greatest when R1M,
 529 R2aM and R2bM are all met, since specificity is greatest in that case, at 94%. Confidence is

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 20

2
3
4 530 somewhat lower, but still high, when only R1M and R2aM are met, since R2aM requires an
5
6 531 audiogram shape different from that produced by age alone, and since specificity is still
7
8 532 reasonably high, at 86%. Confidence is lower (but still with a probability greater than 50%)
9
10 533 when only R1M and R2bM are met, which is associated with a specificity of 76%.

11
12 534 Confidence in a positive diagnosis is greater when the outcome is positive for both
13
14 535 ears as opposed to only one ear. However, M-NIHL is often asymmetric across the two ears,
15
16 536 and the asymmetry in HTLs can often be associated with asymmetric exposure (Keim, 1969;
17
18 537 Lowe & Moore, 2021). Hence, asymmetry in the HTLs across ears can be taken as supporting
19
20 538 the presence of M-NIHL (Lowe & Moore, 2021). Because of this asymmetry, the diagnosis
21
22 539 can sometimes be positive for one ear, but not for the other ear. However, M-NIHL can
23
24 540 sometimes be symmetric across the two ears, so a lack of asymmetry does not imply the
25
26 541 absence of M-NIHL.

27
28 542 Exposure to broadband noises in industrial situations when the noise level is
29
30 543 unusually high or the exposure duration is very long can lead to NIHL that spread towards
31
32 544 higher frequencies, including 6 and 8 kHz (Passchier-Vermeer, 1974). In such cases, there
33
34 545 may be no notch or bulge in the audiogram, and diagnostic methods that depend on the
35
36 546 presence of a notch or bulge will fail. The method of Moore (2020), while originally intended
37
38 547 for the diagnosis of M-NIHL, may also be applied in such cases. We recommend that the
39
40 548 method of Moore (2020) be applied in preference to the modified CLB method in cases when
41
42 549 the NIL is 100 dB(A) or more, since such exposure often leads to marked NIHL at 6 and 8
43
44 550 kHz (Passchier-Vermeer, 1974).

45
46 551

47 48 552 *Cases of Exposure to Intense Tones*

49
50 553 Very intense tones presented via headphones, as is the case with TSE, produce a
51
52 554 distribution of stimulation along the basilar membrane within the cochlea that is very broad.
53
54 555 Places with a wide range of CFs at and above the frequency of the exposure tone are
55
56 556 stimulated with a high intensity. However, for structural and metabolic reasons, the places
57
58 557 with high CFs are most vulnerable to damage (Borg et al., 1995). Hence, the maximum
59
60 558 damage caused by exposure to intense tones is likely to occur for frequencies above that of

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 21

2
3
4 559 the exposure tone. However, there is no reason to expect the maximum T-NIHL to occur for
5
6 560 frequencies close to 4 kHz. Data on permanent hearing loss caused by exposure to intense
7
8 561 tones are sparse, and the effects seem to vary markedly across people. Davis et al. (1950)
9
10 562 reported three cases where exposures to intense tones for periods of 1 – 8 minutes produced
11
12 563 permanent hearing loss. Exposures to tones with frequencies of 0.5, 2, and 4 kHz led to
13
14 564 permanent hearing losses that had their maximal values at 3.4, 8, and 4 kHz, respectively.
15
16 565 Thus, the relationship between the exposure frequency and the frequency at which the T-
17
18 566 NIHL is greatest was highly variable.

19
20 567 The CLB method for diagnosing NIHL, and other similar methods, are based on the
21
22 568 assumption that the maximum NIHL will occur for frequencies close to 4 kHz. Hence, these
23
24 569 methods are entirely inappropriate in cases of T-NIHL produced by TSE. Coles et al. (2000)
25
26 570 explicitly recognised this limitation in their paper, where they stated that the guidelines only
27
28 571 apply to “typical” cases of NIHL produced by common types of broadband noise and that
29
30 572 “Sounds not fitting this description include those predominantly of tonal nature.” The sounds
31
32 573 produced by TSE are clearly of a tonal nature. These sounds cannot be classified as
33
34 574 “broadband”, as their spectra are dominated by discrete sinusoidal components. Similarly, the
35
36 575 method of Moore (2020) is not appropriate for diagnosing T-NIHL. Indeed, there are no
37
38 576 generally accepted methods for diagnosing T-NIHL produced by the use of TSE. Here we
39
40 577 make two recommendations for such methods.

41
42 578 For individuals who *exclusively* used only one ear when operating TSE, an
43
44 579 appropriate method of diagnosing T-NIHL is to compare the audiograms for the exposed and
45
46 580 non-exposed ears. If the mean audiometric threshold across 1, 2, 3, 4, 6 and 8 kHz is 5 dB or
47
48 581 more higher for the exposed than for the non-exposed ear, then, in our view, this would
49
50 582 indicate, on the balance of probability, that the exposure led to T-NIHL.

51
52 583 For individuals who used both ears with the TSE, the amount of T-NIHL cannot be
53
54 584 safely assessed by comparing audiometric thresholds for the two ears. This is the case even
55
56 585 when one ear was used only occasionally with the TSE, since only a small number of
57
58 586 exposures may be sufficient to produce some T-NIHL. In cases where an individual used
59
60 587 both ears with the TSE, a reasonable procedure is to compare the audiometric thresholds for

each ear with the median audiometric thresholds for a person of the same age and gender with no known history of noise exposure, based on ISO 7029 (2017). If the mean audiometric threshold across 1, 2, 3, 4, 6, and 8 kHz is 5 dB or more higher than the median for a person of the same age and gender then, in our view, this would indicate, on the balance of probability, that the exposure led to T-NIHL.

593

594 **Quantification of NIHL**

595 In this section we consider methods that can be used for quantifying NIHL, assuming
596 that a positive diagnosis of NIHL has been reached using one of the methods described
597 above.

598

599 *Exposure to Steady Broadband Noise*

600 For individuals who have been exposed to steady broadband noise, two main methods
601 are available for quantification. One is based on comparison of the measured HTLs with a
602 reference database of HTLs for non-noise exposed individuals as a function of age, frequency
603 and gender. Another method, which is widely used in the UK, is based on the guidelines of
604 Lutman et al. (2016), referred to here as the LCB method. As with the CLB diagnostic
605 method, the LCB quantification method was intended to be appropriate for the NIHL that
606 occurs following long-term exposure to the type of broadband noise that typically occurs in
607 factories. This is associated with a “notch” or a “bulge” in the audiogram, most commonly
608 centred at 3, 4 or 6 kHz and with only a small threshold elevation at 8 kHz, unless the NIHL
609 is severe (Passchier-Vermeer, 1974; Robinson, 1985; Smoorenburg, 1992). We consider first
610 the LCB method and its limitations.

611 The LCB method involves two “passes”. Pass one is the same as for the CLB bulge
612 analysis described above, using anchor points at 1 and 8 kHz. Pass two involves the steps
613 illustrated in Table 3 using the same audiometric thresholds as for Table 1 and using the same
614 AAHL values:

615 (1) Estimation of the extent to which the audiometric thresholds at the anchor points include
616 some NIHL, based largely on the data of Passchier-Vermeer (1974). The NIHL value at 1

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 23

2
3
4 617 kHz is calculated as 0.15 times the estimated NIHL at 4 kHz obtained in the first pass. The
5
6 618 NIHL value at 8 kHz is calculated as 0.4 times the estimated NIHL at 4 kHz (line F in Table
7
8 619 3). Note that this makes the method unsuitable when there is no audiometric notch at 4 kHz,
9
10 620 the greatest hearing loss instead occurring at 3 or 6 kHz.
11
12 621 (2) Altering the measured HTLs to create modified HTLs at the anchor points, by subtracting
13
14 622 the estimated NIHL values from the measured HTLs (line G).
15
16 623 (3) Selecting AAHL values to give a good match to the modified HTLs at the anchor points
17
18 624 (line H). In the example given, the AAHL values are the same as for the first pass (line C),
19
20 625 but they could in principle be different, if a different percentile is chosen.
21
22 626 (4) Calculating “misfit values” at the anchor points, which are the differences between the
23
24 627 modified HTLs (Line G) and the AAHL values (line H), giving the values in line I.
25
26 628 (5) Interpolation of the misfit values in line I on a logarithmic frequency scale to give misfit
27
28 629 values at all frequencies (line J).
29
30 630 (6) Calculation of modified AAHL values by adding the AAHL values in line H to the
31
32 631 interpolated misfit values in line J, giving line K.
33
34 632 (7) Setting the modified AAHL values in line K to 0 when they are negative (line L).
35
36 633 (8) Setting the modified AAHL values in line L to the measured HTLs when the modified
37
38 634 AAHL values are greater than the measured HTLs (line M).
39
40 635 (9) Quantifying NIHL as the difference between the measured HTLs (line A) and the values
41
42 636 in line M, giving line N.

43
44 637 For the example shown in Table 3, the estimated NIHL is 0.4 dB when averaged
45
46 638 across 1, 2, and 3 kHz, and 4.1 dB when averaged over 1, 2, and 4 kHz. Some problems with
47
48 639 the LCB method are immediately apparent from this example. Recall that the percentile for
49
50 640 the AAHL values was selected as the 25th (worst) so as to give a reasonable match to the
51
52 641 measured HTLs at 1 and 8 kHz. However, with this percentile, the measured HTLs at 2 and 3
53
54 642 kHz are markedly lower (better) than the selected AAHL values. This suggests that, in the
55
56 643 absence of noise exposure, this individual would have fallen at a better percentile than the
57
58 644 25th. Furthermore, changing the selected percentile only changes the outcome of the LCB
59
60 645 method slightly, because the AAHL values are adjusted to be close to the measured HTLs at

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 24

2
3
4 646 the anchor points of 1 and 8 kHz. For example, if the 50th percentile is selected, the estimated
5
6 647 NIHL remains 0.4 dB when averaged across 1, 2, and 3 kHz, and changes to 3.9 dB when
7
8 648 averaged over 1, 2, and 4 kHz. It appears very likely that the NIHL of this individual is
9
10 649 under-estimated when the LCB method is used.

11
12 650 A widely used alternative is to quantify NIHL by comparing the measured HTLs with
13
14 651 AAHL values, based on published standards such as ISO 7029 (2017) or on other normative
15
16 652 data (Flamme et al., 2020). To do this, a default percentile can be used, such as 50%, or an
17
18 653 appropriate percentile can be selected for the individual concerned. For the case illustrated in
19
20 654 Table 3, a reasonable match to the HTLs at 1, 2, and 3 kHz is obtained using the 30th (worst)
21
22 655 percentile for a 50 year old man in ISO 7029 (2017). Table 4 illustrates the application of this
23
24 656 method to the same case as for Table 3, using AAHL values for the 30th percentile. The
25
26 657 estimated NIHL is 2.5 dB averaged across 1, 2, and 3 kHz, and 9.9 dB averaged over 1, 2,
27
28 658 and 4 kHz, values more than double those obtained with the LCB method. However, these
29
30 659 values may still under-estimate the true NIHL of this individual, since it is likely that the
31
32 660 noise exposure had some effect at 2 and 3 kHz, and that this individual would have had even
33
34 661 better HTLs than those measured if the individual had not been noise exposed.

35
36 662 Table 5 shows an analysis for the same individual but assuming the 50th percentile
37
38 663 rather than the 30th percentile. Now, the estimated NIHL values are even larger, reaching 6.9
39
40 664 dB averaged across 1, 2, and 3 kHz, and 14.6 dB averaged over 1, 2, and 4 kHz. Clearly, the
41
42 665 choice of percentile has a large effect on the estimated NIHL. For this particular case, the
43
44 666 NIHL values probably fall between the values shown in Table 4 and those shown in Table 5,
45
46 667 since Table 4 represents a probable lower bound to the NIHL and Table 5 represents a
47
48 668 probable upper bound.

49
50 669 There is no single method for selecting an appropriate percentile that is always
51
52 670 applicable. One method is by consideration of one or more audiograms obtained before the
53
54 671 noise exposure occurred. This approach is based on the assumption that better hearing in
55
56 672 early life is associated with a slower rate of decline of hearing with increasing age, consistent
57
58 673 with ISO 7029 (2017). For example, Linssen et al. (2014) showed that for HTLs averaged
59
60 674 across the frequencies 1, 2, and 4 kHz (denoted PTA) the rate of increase of PTA in dB/year

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 25

2
3
4 675 was approximately linearly related to the PTA at the start of the measurement period. As a
5
6 676 result, in the absence of noise exposure or ear pathology, an individual stays roughly at the
7
8 677 same percentile throughout their life. A problem with this approach is that audiograms
9
10 678 obtained many years ago are often of uncertain reliability, and many omit measurement of
11
12 679 HTLs at 8 kHz. Hence, caution is advised in using such audiograms to select the appropriate
13
14 680 percentile unless there is reason to believe that the early audiograms have been obtained
15
16 681 under known suitable conditions according to a recognized standard method.

17
18 682 Another method is to select the percentile based on the HTLs for the frequencies with
19
20 683 the best HTLs, for the better hearing ear. This method was used to select the percentile for the
21
22 684 case illustrated in Table 4. However, this method has the disadvantage that it may lead to
23
24 685 substantial under-estimation of the magnitude of NIHL when the NIHL has affected HTLs at
25
26 686 most or even all frequencies.

27
28 687 In our opinion, the fairest approach is to assume the 50th percentile by default unless
29
30 688 there is good evidence that the hearing of the individual was unusually good or bad prior to
31
32 689 the start of noise exposure. Some individuals may have had better pre-noise-exposure hearing
33
34 690 than the median and some may have had worse hearing than the median, but the use of
35
36 691 median values will give a fair quantification of NIHL in typical cases.

37
38 692

39 40 693 *Cases of Exposure to Impulsive Sounds in Industry*

41
42 694 For cases of exposure to impulsive sounds in industrial settings, we recommend that
43
44 695 quantification is based on the same method as described above, by comparing the measured
45
46 696 HTLs with the AAHL values specified in ISO 7029 (2017). The 50th percentile should be
47
48 697 used unless there is good evidence that the hearing of the individual was unusually good or
49
50 698 bad prior to the start of noise exposure.

51
52 699

53 54 700 *Exposure to Noise During Military Service*

55
56 701 The LCB method is entirely inappropriate for quantifying M-NIHL, because it is
57
58 702 based on the assumption that HTLs at 1 and 8 kHz have been only minimally affected by the
59
60 703 noise exposure, and this is rarely the case for noise exposure during military service (Moore,

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 26

2
3
4 704 2020; Lowe & Moore, 2021). This is illustrated in Table 6, which shows the application of
5
6 705 the LCB method to an example military veteran aged 47 years, taken from the data of Lowe
7
8 706 and Moore (2021). The AAHL values were selected as those from Lutman et al. (2016) for a
9
10 707 47 year old man at the 5th (worst) percentile, since this gave a reasonable match to the
11
12 708 measured HTLs at the anchor points of 1 and 8 kHz. The estimated M-NIHL was very small,
13
14 709 having a maximal value of 2.1 dB at 3 kHz.

15
16 710 The authors of the LCB method partly recognized this problem and stated that “cases
17
18 711 will arise where the threshold at 8 kHz is clearly out of line with the trend for age-associated
19
20 712 hearing loss and an alternative approach is required. In such circumstances, it is
21
22 713 recommended that the user of the Guidelines should select a threshold value at 8 kHz that is
23
24 714 in line with the overall trend for age-associated hearing loss, instead of the measured value, to
25
26 715 use in the calculations” (Lutman et al., 2016, page 357). Table 7 illustrates the effect of
27
28 716 adjusting the HTL at 8 kHz to be 45 dB HL, corresponding to the 20th (worst) percentile for a
29
30 717 man aged 47 years, and using the corresponding AAHL values in the LCB calculations. The
31
32 718 AAHL value at 2 kHz for this percentile (19 dB HL) is close to the measured HTL of 20 dB
33
34 719 HL at 2 kHz. Now the estimated M-NIHL is markedly larger, reaching about 18 dB at 4 kHz.
35
36 720 The mean across 1, 2, and 3 kHz is 5.5 dB and the mean across 1, 2, and 4 kHz is 6.6 dB.

37
38 721 In practice, the selection of an appropriate adjusted HTL at 8 kHz (or at 1 kHz) is not
39
40 722 straightforward, and different “experts” may select different adjusted HTLs, leading the
41
42 723 method to be open to manipulation. Furthermore, even quite small adjustments to the HTLs at
43
44 724 1 and 8 kHz can have a substantial effect. For example, adjusting the HTL at 1 kHz from 20
45
46 725 to 10 dB HL (leaving the adjusted HTL at 8 kHz at 45 dB HL) almost doubles the estimated
47
48 726 M-NIHL averaged across 1, 2, and 3 kHz, from 5.5 to 9.8 dB.

49
50 727 In our opinion, the most appropriate method for quantifying M-NIHL is by
51
52 728 comparison with the HTLs expected from the 50th percentile of ISO 7029 (2017), as
53
54 729 described above. Table 8 illustrates the results obtained with this method for the same case as
55
56 730 in Tables 6 and 7. The estimated M-NIHL is markedly larger using this method, reaching
57
58 731 41.5 dB at 4 kHz. The mean across 1, 2, and 3 kHz is 21.6 dB and the mean across 1, 2, and 4
59
60 732 kHz is 24.4 dB.

733 In some cases, it may be appropriate to use a percentile other than the 50th. Reasons
734 for doing this are:

- 735 (1) There are one or more reliable audiograms obtained prior to the start of noise exposure
736 that indicate markedly worse or better hearing than average. If so, the percentile should be
737 based on the pre-exposure audiogram(s).
- 738 (2) A recent audiogram shows HTLs at one or more frequencies that indicate hearing better
739 than the 50th percentile for that individual's age. For example, if a 47 year old man shows an
740 HTL at 8 kHz of 10 dB HL, corresponding to the 65th percentile in ISO 7029 (2017), then it
741 would be appropriate to use the 65th percentile.
- 742 (3) If one ear has markedly better hearing than the other ear, it is appropriate to base the
743 choice of percentile on the HTLs for the better-hearing ear.

744 The use of a higher (better) percentile will increase the estimated M-NIHL, while the
745 use of a lower (worse) percentile will decrease the estimated M-NIHL, as illustrated in Table
746 9, which shows the same analysis as for Table 8, but with the percentile changed from the
747 50th to the 25th. In this case, the mean estimated M-NIHL across 1, 2, and 3 kHz is reduced to
748 16.1 dB and the mean across 1, 2, and 4 kHz is reduced to 18.5 dB. However, even these
749 reduced values are markedly greater than the values obtained using the LCB method using
750 the unadjusted HTLs (Table 6) and with the HTL at 8 kHz adjusted (Table 7).

751 In summary, M-NIHL, like NIHL associated with exposure to steady broadband
752 sounds, should be quantified by comparison to AAHL values taken from ISO 7029 (2017),
753 using the 50th percentile unless there are good reasons to choose a different percentile.

754

755 *Exposure to Intense Tones*

756 As for M-NIHL, quantification using the LCB method is entirely inappropriate in
757 cases of T-NIHL, for the same reasons as given in the discussion of the diagnosis of T-NIHL.
758 Hence, once again, we recommend that quantification is based on comparison to AAHL
759 values taken from ISO 7029 (2017), using the 50th percentile unless there are good reasons to
760 choose a different percentile.

761

762 *Choice of Reference Database*

763 We recommend that NIHL should be quantified by comparison to ISO 7029 (2017),
764 since the populations used to develop ISO 7029 (2017) were carefully screened to exclude
765 both conductive hearing loss and noise exposure. However, it might be argued that a less
766 carefully screened population should be used for comparison. One candidate database is that
767 published by Flamme et al. (2020), which is based on a sample of 9937 individuals tested as
768 part of the U.S. National Health and Nutrition Examination Survey (NHANES). The
769 NHANES data are representative of the non-institutionalised, non-military U.S. population.
770 Flamme et al. (2020) stated that “Cross-sectional trends are influenced by the combined
771 effects of events (e.g. acute disorders, trauma, infection) and conditions that might be rare on
772 the individual level (e.g. hereditary/genetic disorders) but have a collective impact on the
773 distribution of hearing thresholds at the population level. These effects would be increasingly
774 potent as a function of increased time at risk (i.e. correlated with age, but not an inexorable
775 effect of age). The effects would be minimal on the tail of the distribution with better hearing
776 sensitivity and would increase as consideration moves to the opposite tail of the distribution.”
777 For these reasons, Flamme et al. (2020) recommended the use of the 75th (best) percentile for
778 estimating AAHL values and for estimating longitudinal trends. Flamme et al. (2020) found
779 that AAHL values for frequencies from 3 to 8 kHz were slightly better for non-hispanic black
780 (NHB) people than for the remainder of the population.

781 It turns out that, for ages up to 60 years, the AAHL values for the population
782 evaluated by Flamme et al. (2020), excluding NHB people, are very close to those for the 50th
783 percentile of ISO 7029 (2017) for frequencies from 1 to 3 kHz, and differ only slightly for
784 higher frequencies, as illustrated in Figure 1. For NHB individuals the AAHL values of
785 Flamme et al. (2020) are even closer to those for the 50th percentile of ISO 7029 (2017).
786 Hence, the choice of reference database has little effect on the estimated amount of NIHL,
787 especially when averaged across 1, 2, and 3 kHz, or 1, 2, and 4 kHz.

788

789 **The Use of Multiple Audiograms**

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 29

2
3
4 790 It often happens that there are multiple post-exposure audiograms available for a
5
6 791 given individual. If there are two or more audiograms obtained within a reasonably short
7
8 792 period of time, say one or two years, we recommend averaging the HTLs across all of those
9
10 793 audiograms to reduce measurement errors, unless there are good reasons for excluding one or
11
12 794 more of the audiograms. Valid reasons for exclusion of a specific audiogram are:
13
14 795 (1) Evidence that the audiogram was not obtained according to a recognized standard method,
15
16 796 such as that recommended by the British Society of Audiology (2018).
17
18 797 (2) When the HTLs are markedly worse than for two or more other audiograms, especially at
19
20 798 low frequencies, which might indicate a collapsed ear canal or a temporary conductive loss,
21
22 799 caused, for example, by congestion following a cold.

23
24 800 It can also happen that audiograms are available over a wide time period, from close
25
26 801 to the end of noise exposure to many years after the end of the exposure. In such cases, the
27
28 802 question arises as to which of the available audiograms most accurately reflects the effects of
29
30 803 the noise exposure. It is traditionally believed that the effects of exposure to noise cease once
31
32 804 the exposure itself has ceased (Humes et al., 2006; Mirza et al., 2018). If this is the case,
33
34 805 exposure to noise should not affect the progression of hearing loss with increasing age after
35
36 806 the exposure ceases, and estimates of the amount of NIHL should not be affected by whether
37
38 807 the audiogram was obtained soon after or long after the noise exposure ceased. However, the
39
40 808 data on which this traditional belief is based were largely obtained from populations of older
41
42 809 people (aged 70 years or more), and even the non-noise exposed participants had substantial
43
44 810 hearing loss at high frequencies (Lee et al., 2005; Hederstierna & Rosenhall, 2016). Thus, it
45
46 811 is not clear from these data whether the progression of hearing loss after the end of noise
47
48 812 exposure is affected for younger people with small or no hearing loss at the end of the
49
50 813 exposure.

51
52 814 Studies using mice indicate that noise exposure can accelerate the progression of
53
54 815 hearing loss following the exposure, when there is little or no hearing loss immediately after
55
56 816 the exposure (Kujawa & Liberman, 2006; Fernandez et al., 2015). Kujawa and Liberman
57
58 817 (2006) concluded that “Data suggest that pathologic but sublethal changes initiated by early
59
60 818 noise exposure render the inner ears significantly more vulnerable to aging.” Data from

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 30

2
3
4 819 humans exposed to noise during military service support this idea (Xiong et al., 2014; Kim et
5
6 820 al., 2017; Moore, 2021).

7
8 821 Moore (2021) argued that mild to moderate hearing loss is usually primarily a
9
10 822 consequence of loss of function of the outer hair cells (OHCs) in the cochlea (Borg et al.,
11
12 823 1995). Some damage to the OHCs can occur with little or no change in the threshold for
13
14 824 detecting sounds (Evans & Harrison, 1976; Harrison & Evans, 1979; Dallos & Harris, 1978;
15
16 825 Glavin et al., 2021), consistent with the concept of a “cochlear reserve”; the cochlea can
17
18 826 sustain some damage without loss of function as revealed by the audiogram. However, once
19
20 827 the reserve is sufficiently depleted, effects in the audiogram become apparent with further
21
22 828 damage, as can occur with increasing age. Hearing loss up to 55 dB following a period of
23
24 829 noise exposure could be due primarily to loss of OHC function. In this case, acceleration of
25
26 830 the subsequent progression of hearing loss due to further OHC damage is not expected.
27
28 831 However, if the hearing loss at the end of noise exposure is much less than 55 dB at some
29
30 832 frequencies, then there is scope for acceleration of the subsequent progression of hearing loss
31
32 833 at those frequencies due to further damage to OHCs. This led Moore (2021) to propose the
33
34 834 following hypothesis: for frequencies where the NIHL at the end of noise exposure is mild,
35
36 835 the subsequent progression of hearing loss is accelerated. In contrast, for frequencies where
37
38 836 the NIHL is moderate or severe at the end of the noise exposure, the subsequent progression
39
40 837 of hearing loss is unaffected or is slowed. The hypothesis was proposed specifically in
41
42 838 relation to M-NIHL, but it might apply to other forms of NIHL.

43
44 839 Moore and Lowe (2022) tested this hypothesis using longitudinal data obtained from
45
46 840 29 former male military personnel. Audiograms obtained close to the end of military service
47
48 841 were compared with those obtained five or more years later. Rates of change of HTL in
49
50 842 dB/year were compared with those expected from ISO7029 (2017) for men at the 50th
51
52 843 percentile. The results showed that the progression of hearing loss following the end of
53
54 844 military service was accelerated for frequencies where the hearing loss was absent or mild at
55
56 845 the end of military service, by about 1.7 dB/year on average for frequencies from 3 to 8 kHz,
57
58 846 but the progression was unaffected or slowed for frequencies where the hearing loss at the
59
60

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 31

2
3
4 847 end of military service exceeded about 50 dB. Acceleration, when present, occurred over a
5
6 848 wide frequency range, including 1 kHz.

7
8 849 It is not yet clear whether similar effects are produced by exposure to noises other
9
10 850 than those encountered during military service, for example at rock concerts or from work in
11
12 851 heavy industries. However, the studies showing acceleration of the progression of hearing
13
14 852 loss following noise exposure in mice suggest that similar effects will occur, since these
15
16 853 studies used steady noise as the exposure stimulus, rather than impulsive sounds (Kujawa &
17
18 854 Liberman, 2006; Fernandez et al., 2015). It is also known that noise exposure of all types can
19
20 855 result in tinnitus that sometimes starts many years after the noise exposure has ceased
21
22 856 (Axelsson & Barrenas, 1992; Henry et al., 2010), supporting the idea that some effects of
23
24 857 noise exposure are only revealed when further deterioration to the auditory system occurs as a
25
26 858 result of aging and other factors.

27
28 859 Given the evidence supporting the hypothesis that noise exposure during military
29
30 860 service can affect the subsequent progression of hearing loss with increasing age, we
31
32 861 recommend that when audiograms are available both close to the end of military service and
33
34 862 some time afterwards, the most recent audiograms are used to diagnose and quantify M-
35
36 863 NIHL, since the most recent audiograms include any effects of the noise exposure on the
37
38 864 current hearing loss. However, this is problematic when there has ~~not~~ been significant noise
39
40 865 exposure from work or leisure activities following the end of military service. Where there
41
42 866 has been such exposure, then audiogram(s) obtained soon after the end of military service
43
44 867 may be of greater relevance.

45
46 868 It may also be appropriate to use the most recent audiograms when diagnosing and
47
48 869 quantifying NIHL caused by non-military exposures, but more evidence is required to assess
49
50 870 this.

51
52 871

53 872 **Frequencies to be Used When Quantifying NIHL**

54
55
56 873 In medico-legal cases, compensation is often based on an average of the NIHL across
57
58 874 certain frequencies for each ear. In some countries, compensation for occupational NIHL has
59
60 875 traditionally been based on the mean estimated NIHL at 1, 2 and 3 kHz (UK, King et al.,

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 32

2
3
4 876 1992) or 0.5, 1, 2 and 3 kHz (USA, American Medical Association, 2008; Dobie, 2011).
5
6 877 However, there are strong arguments for including 4 kHz in the overall estimate of NIHL
7
8 878 (Moore, 2016; Moore, 2020) and in some countries, such as Ireland and Australia, 4 kHz is
9
10 879 included.

11
12 880 Hearing aids can be quite effective at improving the ability to understand speech in
13
14 881 quiet, but they are less effective at improving the ability to understand speech in noise
15
16 882 (Plomp, 1978; Souza, 2016). The primary complaint of people with hearing loss is difficulty
17
18 883 in understanding speech when background sounds are present (Moore, 2007). Therefore, the
19
20 884 most appropriate audiometric frequencies to take into account when assessing hearing
21
22 885 disability are those that give the most accurate prediction of the ability to understand speech
23
24 886 in noise.

25
26 887 Kryter et al. (1962) studied the relationship between scores on a variety of speech
27
28 888 tests and the characteristics of the audiogram, for participants with a wide range of
29
30 889 audiometric configurations. They stated that “the ability to perceive speech can be predicted
31
32 890 as well by the hearing thresholds at 2000, 3000, and 4000 cps alone as it can by including the
33
34 891 losses at all the other frequencies tested” and concluded that “the three most important test
35
36 892 frequencies to use for predicting the ability to understand speech would be 2000, 3000, and
37
38 893 4000 cps.”

39
40 894 Smoorenburg (1992) studied the effects of NIHL produced by exposure to steady
41
42 895 noise in factories on the ability to understand speech in noise and of the relationship of that
43
44 896 ability to the audiogram. He measured the speech reception threshold (SRT) at which 50% of
45
46 897 sentences in noise could be understood. The best two-frequency predictor of the SRT was the
47
48 898 average of the HTLs at 2 and 4 kHz. Smoorenburg also examined which single HTL gave the
49
50 899 most accurate prediction of the SRT. He found that the HTL at 4 kHz gave the most accurate
51
52 900 prediction, although HTLs at 3 and 6 kHz gave predictions that were nearly as accurate.
53
54 901 These results clearly indicate that the hearing loss at high frequencies (2-6 kHz) is the best
55
56 902 predictor of the intelligibility of speech in noise for people with NIHL.

57
58 903 Wilson (2011) tested 3266 military veterans, many of whom had been exposed to
59
60 904 intense noise including impulsive sounds. The intelligibility of speech in noise was assessed

905 using the Words-in-Noise (WIN) test, which assesses word recognition in multi-talker babble
906 at seven signal-to-noise ratios (SNRs) and uses the 50% correct point (in dB SNR) as the
907 primary outcome measure. Scores on the WIN were predicted significantly better by the
908 average HTL at 1, 2 and 4 kHz than by the average HTL at 0.5, 1, and 2 kHz, confirming the
909 importance of high-frequency hearing for the ability to understand speech in noise.

910 Overall, it is very clear that any assessment of the overall magnitude of NIHL should
911 include the HTL at 4 kHz. We recommend that the average HTL across 1, 2, and 4 kHz is
912 used to quantify the overall magnitude of NIHL for a given ear.

913

914 **Summary of Recommendations**

915 (1) When assessing claims for compensation for occupational NIHL, a comprehensive
916 medical examination should be conducted to assess possible causes of hearing loss other than
917 noise exposure, to assess the exposure history of the individual, and to assess tinnitus and
918 hyperacusis, preferably using validated measures.

919 (2) It should be established that noise exposure sufficient to produce hearing loss in at least
920 10% of individuals has occurred. For people who have been exposed primarily to steady
921 broadband noise, a total noise exposure of 90 dB(A) NIL is sufficient. For people who have
922 regularly been exposed to impulsive sounds in non-military occupations, a lower limit of 86
923 dB(A) NIL should be used. All those who have seen active military service or who have
924 worked with TSE are likely to have been exposed to sounds with the potential to cause
925 hearing loss.

926 (3) For people who have been exposed to steady broadband noise, an appropriate method of
927 diagnosing NIHL is based on a modified version of the CLB method, using the following
928 requirements:

929 R1(mod). A single measurement of the HTL at 3, 4 or 6 kHz should be at least 10 dB
930 greater than the HTL at 1 kHz or 2 kHz.

931 R2(mod). There should be evidence for an NIL of 90 dB(A) or more.

932 R3(mod). There should be a downward notch or bulge in the audiogram in the range 3-6
933 kHz. A notch is defined as present when the HTL at 3 and/or 4 and/or 6 kHz is at least 10

934 dB greater than at 1 and 8 kHz. A bulge is defined as present when the HTL at 3 and/or 4
935 and/or 6 kHz is at least 10 dB greater than expected from AAHL values. To establish
936 whether R3(mod) is satisfied, a bulge analysis using the HTLs at 1 kHz and at 8 kHz as
937 “anchor points” should be conducted. The AAHL values should be based on ISO 7029
938 (2017). The percentile should be chosen to minimize the mismatch between the measured
939 HTLs and the AAHL values at the anchor points of 1 and 8 kHz.

940 No adjustment should be made to allow for the use of THD39 headphones. The lower
941 anchor frequency should be changed to 2 kHz when the HTL at 2 kHz is 10 dB or more better
942 than the HTL at 1 kHz and the upper anchor frequency should be changed to 6 kHz when the
943 HTL at 6 kHz is 10 dB or more better than the HTL at 8 kHz.

944 This method can also be used for people who have been exposed to impulsive sounds
945 like hammering while working in heavy industry, but in that case R2(mod) is: There should
946 be evidence for an NIL of 86 dB(A) or more.

947 (4) For people who have been exposed to noise during military service, the diagnostic method
948 of Moore (2020) is recommended. With this method, R0 and R1M must be met and either
949 R2aM or R2bM or both must be met. The requirements are:

950 R0. Sufficient noise exposure has occurred. This is almost certainly the case for those
951 who have seen active military service.

952 R1M. A single value of the HTL at 3, 4, 6, or 8 kHz is at least 10 dB higher than the HTL
953 at 1 or 2 kHz.

954 R2aM. The difference between HTLs at 8 and 6 kHz is at least 5 dB smaller than would
955 be expected from age alone or the difference between HTLs at 8 and 4 kHz or between 8
956 and 3 kHz is at least 10 dB smaller than would be expected from age alone, based on the
957 median values in ISO 7029 (2017).

958 R2bM. The HTL at any one of 4, 6, or 8 kHz is at least 20 dB higher than the median
959 threshold for each frequency expected for that age, based on ISO 7029 (2017).

960 For this method, confidence in the diagnosis is greatest when R1M, R2aM and R2bM
961 are all met. Confidence is somewhat lower, but still high, when only R1M and R2aM are met.
962 Confidence is lower (but still with a probability greater than 50%) when only R1M and

Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 35

963 R2bM are met. This method can also be applied to people who have been exposed to steady
964 broadband noise or impulsive noise in factories when the NIL is 100 dB(A) or more.

965 (5) For people who have been exposed to intense tones produced by TSE, T-NIHL can be
966 diagnosed using one of two methods:

967 (a) For individuals who *exclusively* used only one ear when operating TSE, if the mean
968 audiometric threshold at 1, 2, 3, 4, 6 and 8 kHz is 5 dB or more higher for the exposed
969 than for the non-exposed ear, this indicates T-NIHL.

970 (b) For individuals who used both ears with the TSE, if the mean audiometric threshold at
971 1, 2, 3, 4, 6, and 8 kHz is 5 dB or more higher than the median for a person of the same
972 age and gender as determined from ISO 7029 (2017), this indicates T-NIHL.

973 (6) NIHL of all types can be quantified by comparing the measured HTLs with the HTLs
974 expected from age alone, based on ISO 7029 (2017) or on other normative data (Flamme et
975 al., 2020). When ISO 7029 (2017) is used, the AAHL values corresponding to the 50th
976 percentile should be selected unless there are good reasons to choose a different percentile.

977 (7) If there are two or more audiograms obtained within a period of one or two years, the
978 HTLs should be averaged across all of those audiograms to reduce measurement errors,
979 unless there are good reasons for excluding one or more of the audiograms.

980 (8) When audiograms are available both close to the end of military service and some time
981 afterwards, provided that there has not been significant noise exposure after the end of
982 military service the most recent audiograms should be used to diagnose and quantify M-
983 NIHL, since these include any effects of the noise exposure on the current hearing loss. When
984 there has been significant noise exposure after the end of military service, it may be more
985 appropriate the use the audiograms obtained close to the end of military service.
986 Alternatively, the most recent audiograms can be used, but the possible effects of the post-
987 service noise exposure should be taken into account.

988 (9) The overall extent of the NIHL for a given ear should be quantified as the average of the
989 estimated NIHL values at 1, 2, and 4 kHz.

990

991 **Acknowledgments**

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 36

2
3
4 992 We thank Larry Humes for helpful discussions and Hedwig Gockel for helpful
5
6 993 comments on an earlier version of this paper. We also thank two reviewers for helpful
7
8 994 comments on an earlier version of this paper.

9
10 995

11 996 **Declaration of Conflicting Interests**

12
13
14 997 All of the authors write reports in relation to claims for compensation for NIHL,
15
16 998 acting for both the claimant and the defendant.

17
18 999

19 1000 **Funding**

20
21
22 1001 The authors disclosed receipt of the following financial support for the research,
23
24 1002 authorship, and/or publication of this article: This work was supported by the Medical
25
26 1003 Research Council (grant G0701870).

27
28 1004

29 1005 **References**

- 30
31
32 1006
33 1007 Aazh, H., Danesh, A. & Moore, B. C. J. (2021). Internal consistency and convergent validity
34
35 1008 of the Inventory of Hyperacusis Symptoms. *Ear and Hearing*, 42, 917-926. doi:
36
37 1009 10.1097/aud.0000000000000982
38 1010 Aazh, H., Hayes, C., Moore, B. C. J. & Vitoratou, S. (2022a). Psychometric evaluation of the
39
40 1011 Tinnitus Impact Questionnaire using a clinical population of adult patients with tinnitus
41
42 1012 alone or combined with hyperacusis. *International Journal of Audiology*, (submitted).
43
44 1013 Aazh, H., Hayes, C., Moore, B. C. J., Danesh, A. & Vitoratou, S. (2022b). Psychometric
45
46 1014 evaluation of the Hyperacusis Impact and Sound Sensitivity Symptoms Questionnaires
47
48 1015 using a clinical population of adult patients with tinnitus and/or hyperacusis. *Journal of*
49
50 1016 *the American Academy of Audiology*, (in press). doi: 10.1055/a-1780-4002
51
52 1017 American Medical Association (2008). *Guides for the evaluation of permanent impairment*.
53
54 1018 Chicago, Il: American Medical Association.
55
56 1019 Attias, J., Duvdevany, A., Reshef-Haran, I., Zilberg, M. & Beni, N. (2004). Military noise
57
58 1020 induced hearing loss. In L. Luxon & D. Prasher (Eds.). *Noise and its Effects* (pp. 233-
59
60 1021 243). London: Wiley.

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 37
- 2
- 3 1022 Axelsson, A. & Barrenas, M. L. (1992). Tinnitus in noise-induced hearing loss. In A. L.
- 4 1023 Dancer, D. Henderson, R. J. Salvi & R. Hamernik (Eds.). *Noise-induced hearing loss*
- 5 1024 (pp. 269-276). Boston: Mosby Year Book.
- 6
- 7 1025 Baguley, D. M. & Prayuenyong, P. (2020). Looking beyond the audiogram in ototoxicity
- 8 1026 associated with platinum-based chemotherapy. *Cancer Chemotherapy and*
- 9 1027 *Pharmacology*, 85, 245-250. doi: 10.1007/s00280-019-04012-z
- 10
- 11 1028 Berger, E. H. (2000). Hearing protection devices. In E. Berger, L. Royster, J. Royster, D.
- 12 1029 Driscoll & M. Layne (Eds.). *The Noise Manual, 5th Ed* (pp. 379-454). Fairfax, VA:
- 13 1030 American Industrial Hygiene Association.
- 14
- 15 1031 Billings, C. J., Dillard, L. K., Hoskins, Z. B., Penman, T. M. & Reavis, K. M. (2018). A
- 16 1032 large-scale examination of veterans with normal pure-tone hearing thresholds within the
- 17 1033 Department of Veterans Affairs. *Journal of the American Academy of Audiology*, 29,
- 18 1034 928-935. doi: 10.3766/jaaa.17091
- 19
- 20 1035 Borg, E., Canlon, B. & Engström, B. (1995). Noise-induced hearing loss - Literature review
- 21 1036 and experiments in rabbits. Morphological and electrophysiological features, exposure
- 22 1037 parameters and temporal factors, variability and interactions. *Scandinavian Audiology*,
- 23 1038 24, Suppl. 40, 1-147.
- 24
- 25 1039 Bramhall, N. F., McMillan, G. P. & Kempel, S. D. (2021). Envelope following response
- 26 1040 measurements in young veterans are consistent with noise-induced cochlear
- 27 1041 synaptopathy. *Hearing Research*, 408, 108310. doi: 10.1016/j.heares.2021.108310
- 28
- 29 1042 British Society of Audiology (2018). *Recommended procedure: Pure-tone air-conduction*
- 30 1043 *and bone-conduction threshold audiometry with and without masking*. Reading, UK:
- 31 1044 British Society of Audiology.
- 32
- 33 1045 Brungart, D. S., Barrett, M. E., Schurman, J., Sheffield, B., Ramos, L., Martorana, R. &
- 34 1046 Galloza, H. (2019). Relationship between subjective reports of temporary threshold shift
- 35 1047 and the prevalence of hearing problems in military personnel. *Trends in Hearing*, 23,
- 36 1048 2331216519872601. doi: 10.1177/2331216519872601
- 37
- 38 1049 Coles, R. R., Lutman, M. E. & Buffin, J. T. (2000). Guidelines on the diagnosis of noise-
- 39 1050 induced hearing loss for medicolegal purposes. *Clinical Otolaryngology*, 25, 264-273.
- 40 1051 doi: 10.1046/j.1365-2273.2000.00368.x
- 41
- 42 1052 Dallos, P. & Harris, D. (1978). Properties of auditory nerve responses in absence of outer hair
- 43 1053 cells. *Journal of Neurophysiology*, 41, 365-383. doi: 10.1152/jn.1978.41.2.365
- 44
- 45
- 46
- 47
- 48
- 49
- 50
- 51
- 52
- 53
- 54
- 55
- 56
- 57
- 58
- 59
- 60

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 38
2
- 3 1054 Davis, H., Morgan, C. T., Hawkins, J. E., Jr., Galambos, R. & Smith, F. W. (1950).
4
5 1055 Temporary deafness following exposure to loud tones and noise. *Acta Otolaryngologica*,
6
7 1056 Supplement 88, 1-56.
- 8 1057 Dobie, R. A. (2011). The AMA method of estimation of hearing disability: a validation study.
9
10 1058 *Ear and Hearing*, 32, 732-740. doi: 10.1097/AUD.0b013e31822228be
- 11 1059 Evans, E. F. & Harrison, R. V. (1976). Correlation between outer hair cell damage and
12
13 1060 deterioration of cochlear nerve tuning properties in the guinea pig. *Journal of*
14
15 1061 *Physiology*, 252, 43-44p.
- 16 1062 Fernandez, K. A., Jeffers, P. W., Lall, K., Liberman, M. C. & Kujawa, S. G. (2015). Aging
17
18 1063 after noise exposure: acceleration of cochlear synaptopathy in "recovered" ears. *Journal*
19
20 1064 *of Neuroscience*, 35, 7509-7520. doi: 10.1523/JNEUROSCI.5138-14.2015
- 21 1065 Flamme, G. A., Deiters, K. K., Stephenson, M. R., Themann, C. L., Murphy, W. J., Byrne, D.
22
23 1066 C., Goldfarb, D. G., Zeig-Owens, R., Hall, C., Prezant, D. J. & Cone, J. E. (2020).
24
25 1067 Population-based age adjustment tables for use in occupational hearing conservation
26
27 1068 programs. *International Journal of Audiology*, 59, S20-S30. doi:
28
29 1069 10.1080/14992027.2019.1698068
- 30 1070 Glavin, C. C., Siegel, J. & Dhar, S. (2021). Distortion product otoacoustic emission
31
32 1071 (DPOAE) growth in aging ears with clinically normal behavioral thresholds. *Journal of*
33
34 1072 *the Association for Research in Otolaryngology*, 22, 659-680. doi: 10.1007/s10162-021-
35
36 1073 00805-3
- 37 1074 Grant, K. W., Kubli, L. R., Phatak, S. A., Galloza, H. & Brungart, D. S. (2021). Estimated
38
39 1075 prevalence of functional hearing difficulties in blast-exposed service members with
40
41 1076 normal to near-normal-hearing thresholds. *Ear and Hearing*, 42, 1615-1626. doi:
42
43 1077 10.1097/AUD.0000000000001067
- 44 1078 Green, D. M. & Swets, J. A. (1974). *Signal Detection Theory and Psychophysics*. New York:
45
46 1079 Krieger.
- 47 1080 Greenberg, B. & Carlos, M. (2018). Psychometric properties and factor structure of a new
48
49 1081 scale to measure hyperacusis: Introducing the Inventory of Hyperacusis Symptoms. *Ear*
50
51 1082 *and Hearing*, 39, 1025-1034. doi: 10.1080/14992027.2020.1723033
- 52 1083 Harrison, R. V. & Evans, E. F. (1979). Cochlear fibre responses in guinea pigs with well
53
54 1084 defined cochlear lesions. *Scandinavian Audiology*, Suppl. 9, 83-92.
- 55 1085 Hederstierna, C. & Rosenhall, U. (2016). Age-related hearing decline in individuals with and
56
57 1086 without occupational noise exposure. *Noise Health*, 18, 21-25. doi: 10.4103/1463-
58
59 1087 1741.174375

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 39
- 2
- 3 1088 Henderson, D. & Hamernik, R. P. (1986). Impulse noise: critical review. *The Journal of the*
- 4 *Acoustical Society of America*, 80, 569-584. doi: 10.1121/1.394052
- 5 1089
- 6 1090 Henry, J. A., Zaugg, T. L., Myers, P. J. & Kendall, C. J. (2010). *Progressive Tinnitus*
- 7 *Management: Clinical Handbook for Audiologists*. San Diego: Plural.
- 8 1091
- 9 1092 Hoffman, H. J., Dobie, R. A., Losonczy, K. G., Themann, C. L. & Flamme, G. A. (2017).
- 10 1093 Declining prevalence of hearing loss in US adults aged 20 to 69 years. *JAMA*
- 11 *Otolaryngology Head and Neck Surgery*, 143, 274-285. doi: 10.1001/jamaoto.2016.3527
- 12 1094
- 13 1095 Humes, L. E., Joellenbeck, L. M. & Durch, J. S. (2006). *Noise and Military Service:*
- 14 *Implications for Hearing Loss and Tinnitus*. New York: National Academies Press.
- 15 1096
- 16 1097 ISO 1999 (2013). *Acoustics - Estimation of noise-induced hearing loss*. Geneva: International
- 17 1098 Organization for Standardization.
- 18 1099 ISO 7029 (1984). *Acoustics: Threshold of hearing by air conduction as a function of age and*
- 19 1100 *sex for otologically normal persons*. Geneva: International Organization for
- 20 1101 Standardization.
- 21 1102 ISO 7029 (2017). *Acoustics - Statistical distribution of hearing thresholds related to age and*
- 22 1103 *gender*. Geneva: International Organization for Standardization.
- 23 1104
- 24 1104 Jokel, C., Yankaskas, K. & Robinette, M. B. (2019). Noise of military weapons, ground
- 25 1105 vehicles, planes and ships. *The Journal of the Acoustical Society of America*, 146, 3832-
- 26 1106 3838. doi: 10.1121/1.5134069
- 27 1107 Kaufman, L. R., LeMasters, G. K., Olsen, D. M. & Succop, P. (2005). Effects of concurrent
- 28 1108 noise and jet fuel exposure on hearing loss. *Journal of Occupational and Environmental*
- 29 1109 *Medicine*, 47, 212-218. doi: 10.1097/01.jom.0000155710.28289.0e
- 30 1110
- 31 1110 Keim, R. J. (1969). Sensorineural hearing loss associated with firearms. *Archives of*
- 32 1111 *Otolaryngology*, 90, 581-584. doi: 10.1001/archotol.1969.00770030583010
- 33 1112
- 34 1112 Khalfa, S., Bruneau, N., Roge, B., Georgieff, N., Veillet, E., Adrien, J. L., Barthelemy, C. &
- 35 1113 Collet, L. (2004). Increased perception of loudness in autism. *Hearing Research*, 198,
- 36 1114 87-92. doi: 10.1016/j.heares.2004.07.006
- 37 1115
- 38 1115 Kim, S., Lim, E. J., Kim, T. H. & Park, J. H. (2017). Long-term effect of noise exposure
- 39 1116 during military service in South Korea. *International Journal of Audiology*, 56, 130-136.
- 40 1117 doi: 10.1080/14992027.2016.1236417
- 41 1118
- 42 1118 King, P. F., Coles, R. R. A., Lutman, M. E. & Robinson, D. W. (1992). *Assessment of*
- 43 1119 *Hearing Disability: Guidelines for Medicolegal Practice*. London: Whurr.
- 44 1120
- 45 1120 Kryter, K. D. (1963). Exposure to steady-state noise and impairment of hearing. *The Journal*
- 46 1121 *of the Acoustical Society of America*, 35, 1515-1525. doi: 10.1121/1.1918620

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 40
2
- 3 1122 Kryter, K. D., Williams, C. & Green, D. M. (1962). Auditory acuity and the perception of
4 1123 speech. *The Journal of the Acoustical Society of America*, 34, 1217-1223. doi:
5 1124 10.1121/1.1918305
- 6
7
8 1125 Kujawa, S. G. & Liberman, M. C. (2006). Acceleration of age-related hearing loss by early
9 1126 noise exposure: evidence of a misspent youth. *Journal of Neuroscience*, 26, 2115-2123.
10 1127 doi: 10.1523/JNEUROSCI.4985-05.2006
- 11
12
13 1128 Lawton, B. W. (2005). Variation of young normal-hearing thresholds measured using
14 1129 different audiometric earphones: implications for the acoustic coupler and the ear
15 1130 simulator. *International Journal of Audiology*, 44, 444-451. doi:
16 1131 10.1080/14992020500189062
- 17
18
19 1132 Lee, F. S., Matthews, L. J., Dubno, J. R. & Mills, J. H. (2005). Longitudinal study of pure-
20 1133 tone thresholds in older persons. *Ear and Hearing*, 26, 1-11. doi: 10.1097/00003446-
21 1134 200502000-00001
- 22
23
24 1135 Liberman, M. C., Epstein, M. J., Cleveland, S. S., Wang, H. & Maison, S. F. (2016). Toward
25 1136 a differential diagnosis of hidden hearing loss in humans. *PLoS One*, 11, e0162726. doi:
26 1137 10.1371/journal.pone.0162726
- 27
28
29 1138 Linssen, A. M., van Boxtel, M. P., Joore, M. A. & Anteunis, L. J. (2014). Predictors of
30 1139 hearing acuity: cross-sectional and longitudinal analysis. *The Journals of Gerontology A:
31 1140 Biological Sciences and Medical Sciences*, 69, 759-765. doi: 10.1093/gerona/glt172
- 32
33
34 1141 Lowe, D. & Moore, B. C. J. (2021). Audiometric assessment of hearing loss sustained during
35 1142 military service. *The Journal of the Acoustical Society of America*, 150, 1030-1043. doi:
36 1143 10.1121/10.0005846
- 37
38
39 1144 Lutman, M. E. & Davis, A. C. (1994). The distribution of hearing threshold levels in the
40 1145 general population aged 18-30 years. *Audiology*, 33, 327-350. doi:
41 1146 10.3109/00206099409071891
- 42
43
44 1147 Lutman, M. E., Coles, R. R. & Buffin, J. T. (2016). Guidelines for quantification of noise-
45 1148 induced hearing loss in a medicolegal context. *Clinical Otolaryngology*, 41, 347-357.
46 1149 doi: 10.1111/coa.12569
- 47
48
49 1150 Margolis, R. H., Glasberg, B. R., Creeke, S. & Moore, B. C. J. (2010). AMTAS® -
50 1151 Automated Method for Testing Auditory Sensitivity: Validation studies. *International
51 1152 Journal of Audiology*, 49, 185-194. doi: 10.3109/14992020903092608
- 52
53
54 1153 Masterson, E. A., Deddens, J. A., Themann, C. L., Bertke, S. & Calvert, G. M. (2015).
55 1154 Trends in worker hearing loss by industry sector, 1981-2010. *American Journal of
56 1155 Industrial Medicine*, 58, 392-401. doi: 10.1002/ajim.22429

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 41
2
- 3 1156 McCombe, A., Baguley, D., Coles, R., McKenna, L., McKinney, C., Windle-Taylor, P.,
4
5 1157 British Association of Otolaryngologists, H. & Neck, S. (2001). Guidelines for the
6
7 1158 grading of tinnitus severity: the results of a working group commissioned by the British
8
9 1159 Association of Otolaryngologists, Head and Neck Surgeons, 1999. *Clinical*
10 1160 *Otolaryngology*, 26, 388-393. doi: 10.1046/j.1365-2273.2001.00490.x
11
- 12 1161 McFadden, D. (1986). The curious half octave shift: Evidence for a basalward migration of
13
14 1162 the travelling-wave envelope with increasing intensity. In R. J. Salvi, D. Henderson, R.
15 1163 P. Hamernik & V. Colletti (Eds.). *Basic and Applied Aspects of Noise-Induced Hearing*
16 1164 *Loss* (pp. 295-312). New York: Plenum.
- 17
18 1165 McFerran, D. J. & Baguley, D. M. (2007). Acoustic shock. *Journal of Laryngology and*
19 1166 *Otology*, 121, 301-305. doi: 10.1017/S0022215107006111
20
- 21
22 1167 Meikle, M. B., Henry, J. A., Griest, S. E., Stewart, B. J., Abrams, H. B., McArdle, R., Myers,
23
24 1168 P. J., Newman, C. W., Sandridge, S., Turk, D. C., Folmer, R. L., Frederick, E. J., House,
25 1169 J. W., Jacobson, G. P., Kinney, S. E., Martin, W. H., Nagler, S. M., Reich, G. E.,
26
27 1170 Searchfield, G. ... (2012). The tinnitus functional index: development of a new clinical
28
29 1171 measure for chronic, intrusive tinnitus. *Ear and Hearing*, 33, 153-176. doi:
30
31 1172 10.1097/AUD.0b013e31822f67c0
32
- 33 1173 Mirza, R., Kirchner, D. B., Dobie, R. A. & Crawford, J. (2018). ACOEM guidance statement:
34 1174 Occupational noise-induced hearing loss. *Journal of Occupational and Environmental*
35 1175 *Medicine*, 60, e498-e501. doi: 10.1097/JOM.0000000000001423
36
- 37
38 1176 Moon, I. S., Park, S.-Y., Park, H. J., Yang, H.-S., Hong, S.-J. & Lee, W.-S. (2011). Clinical
39 1177 characteristics of acoustic trauma caused by gunshot noise in mass rifle drills without ear
40
41 1178 protection. *Journal of Occupational and Environmental Hygiene*, 8, 618-623. doi:
42
43 1179 10.1080/15459624.2011.609013
44
- 45 1180 Moore, B. C. J. (2007). *Cochlear Hearing Loss: Physiological, Psychological and Technical*
46 1181 *Issues*, 2nd Ed. Chichester: Wiley.
47
- 48 1182 Moore, B. C. J. (2012). *An Introduction to the Psychology of Hearing*, 6th Ed. Leiden, The
49
50 1183 Netherlands: Brill.
- 51
52 1184 Moore, B. C. J. (2016). A review of the perceptual effects of hearing loss for frequencies
53 1185 above 3 kHz. *International Journal of Audiology*, 55, 707-714. doi:
54
55 1186 10.1080/14992027.2016.1204565
56
- 57 1187 Moore, B. C. J. (2020). Diagnosis and quantification of military noise-induced hearing loss.
58 1188 *The Journal of the Acoustical Society of America*, 148, 884-894. doi:
59
60 1189 10.1121/10.0001789

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 42
2
- 3 1190 Moore, B. C. J. (2021). The effect of exposure to noise during military service on the
4 subsequent progression of hearing loss. *International Journal of Environmental*
5 1191 *Research and Public Health*, 18, 2436. doi: 10.3390/ijerph18052436
6 1192
7
8 1193 Moore, B. C. J. & von Gablenz, P. (2021). Sensitivity and specificity of a method for
9 diagnosis of military noise-induced hearing loss. *The Journal of the Acoustical Society of*
10 1194 *America*, 149, 62-65. doi: 10.1121/10.0002977
11 1195
12 1196 Moore, B. C. J. & Lowe, D. A. (2022). Does exposure to noise during military service affect
13 the progression of hearing loss with increasing age? *Trends in Hearing*, 26, 1-11. doi:
14 1197 10.1177/23312165221076940
15 1198
16 1199 Moore, B. C. J., Alcántara, J. I. & Glasberg, B. R. (2002). Behavioural measurement of level-
17 1200 dependent shifts in the vibration pattern on the basilar membrane. *Hearing Research*,
18 1201 163, 101-110. doi: 10.1016/s0378-5955(01)00390-2
19 1202
20 1202 Neitzel, R. & Seixas, N. (2005). The effectiveness of hearing protection among construction
21 workers. *Journal of Occupational and Environmental Hygiene*, 2, 227-238. doi:
22 1203 10.1080/15459620590932154
23 1204
24 1205 Newman, C. W., Sandridge, S. A. & Jacobson, G. P. (1998). Psychometric adequacy of the
25 Tinnitus Handicap Inventory (THI) for evaluating treatment outcome. *Journal of the*
26 1206 *American Academy of Audiology*, 9, 153-160.
27 1207
28 1208 Niskar, A. S., Kieszak, S. M., Holmes, A. E., Esteban, E., Rubin, C. & Brody, D. J. (2001).
29 Estimated prevalence of noise-induced hearing threshold shifts among children 6 to 19
30 1209 years of age: the Third National Health and Nutrition Examination Survey, 1988-1994,
31 1210 United States. *Pediatrics*, 108, 40-43. doi: 10.1542/peds.108.1.40
32 1211
33 1212 Occupational Safety and Health Administration. (1981). 29 CFR 1910.95. Occupational
34 1213 noise exposure: hearing conservation amendment; final rule. *Federal Register*, 46, 4078-
35 1214 4179.
36 1215
37 1215 Odkvist, L. M., Arlinger, S. D., Edling, C., Larsby, B. & Bergholtz, L. M. (1987).
38 1216 Audiological and vestibulo-oculomotor findings in workers exposed to solvents and jet
39 1217 fuel. *Scandinavian Audiology*, 16, 75-81. doi: 10.3109/01050398709042159
40 1218
41 1218 Passchier-Vermeer, W. (1974). Hearing loss due to continuous exposure to steady-state
42 1219 broad-band noise. *The Journal of the Acoustical Society of America*, 56, 1585-1593. doi:
43 1220 10.1121/1.1903482
44 1221
45 1221 Phillips, S. L., Henrich, V. C. & Mace, S. T. (2010). Prevalence of noise-induced hearing loss
46 1222 in student musicians. *International Journal of Audiology*, 49, 309-316. doi:
47 1223 10.3109/14992020903470809

- 1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 43
- 2
- 3 1224 Plomp, R. (1978). Auditory handicap of hearing impairment and the limited benefit of
- 4 hearing aids. *The Journal of the Acoustical Society of America*, 63, 533-549. doi:
- 5 1225 10.1121/1.381753
- 6 1226
- 7
- 8 1227 Reavis, K. M., McMillan, G. P., Carlson, K. F., Joseph, A. R., Snowden, J. M., Griest, S. &
- 9 Henry, J. A. (2021). Occupational noise exposure and longitudinal hearing changes in
- 10 post-9/11 US military personnel during an initial period of military service. *Ear and*
- 11 *Hearing*, 42, 1163-1172. doi: 10.1097/AUD.0000000000001008
- 12 1229
- 13 1230
- 14
- 15 1231 Robinson, D. W. (1985). The audiogram in hearing loss due to noise: a probability test to
- 16 uncover other causation. *Annals of Occupational Hygiene*, 29, 477-493. doi:
- 17 1232 10.1093/annhyg/29.4.477
- 18 1233
- 19
- 20 1234 Robles, L. & Ruggero, M. A. (2001). Mechanics of the mammalian cochlea. *Physiological*
- 21 *Reviews*, 81, 1305-1352. doi: 10.1152/physrev.2001.81.3.1305
- 22 1235
- 23
- 24 1236 Shaw, E. A. G. (1974). Transformation of sound pressure level from the free field to the
- 25 eardrum in the horizontal plane. *The Journal of the Acoustical Society of America*, 56,
- 26 1237 1848-1861. doi: 10.1121/1.1903522
- 27 1238
- 28
- 29 1239 Shi, Z., Zhou, J., Huang, Y., Hu, Y., Zhou, L., Shao, Y. & Zhang, M. (2021). Occupational
- 30 hearing loss associated with non-Gaussian noise: A systematic review and meta-analysis.
- 31 1240 *Ear and Hearing*, 42, 1472-1484. doi: 10.1097/AUD.0000000000001060
- 32 1241
- 33
- 34 1242 Smoorenburg, G. F. (1992). Speech reception in quiet and in noisy conditions by individuals
- 35 with noise-induced hearing loss in relation to their tone audiogram. *The Journal of the*
- 36 *Acoustical Society of America*, 91, 421-437. doi: 10.1121/1.402729
- 37 1244
- 38
- 39 1245 Souza, P. (2016). Speech perception and hearing aids. In G. R. Popelka, B. C. J. Moore, R. R.
- 40 Fay & A. N. Popper (Eds.). *Hearing Aids* (pp. 151-180). New York: Springer.
- 41 1246
- 42
- 43 1247 Stone, M. A., Moore, B. C. J. & Greenish, H. (2008). Discrimination of envelope statistics
- 44 reveals evidence of sub-clinical hearing damage in a noise-exposed population with
- 45 'normal' hearing thresholds. *International Journal of Audiology*, 47, 737-750. doi:
- 46 1249 10.1080/14992020802290543
- 47 1250
- 48
- 49
- 50 1251 Swan, A. A., Nelson, J. T., Swiger, B., Jaramillo, C. A., Eapen, B. C., Packer, M. & Pugh, M.
- 51 J. (2017). Prevalence of hearing loss and tinnitus in Iraq and Afghanistan Veterans: A
- 52 Chronic Effects of Neurotrauma Consortium study. *Hearing Research*, 349, 4-12. doi:
- 53 1253 10.1016/j.heares.2017.01.013
- 54 1254
- 55
- 56 1255 Tyler, R. S., Pienkowski, M., Rojas Roncancio, E., Jun, H. J., Brozoski, T., Dauman, N.,
- 57 Coelho, C. B., Andersson, G., Keiner, A. J., Cacace, A., Martin, N. & Moore, B. C. J.
- 58 1256 (2014). A review of hyperacusis and future directions: Part I. Definitions and
- 59 1257

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 44

2
3 1258 manifestations. *American Journal of Audiology*, 23, 402-419. doi: 10.1044/2014_AJA-
4 1259 14-0010

5
6 1260 US Department of Defence (2019). DoD Instruction 6055.12. Last accessed July 13 2021.

7
8 1261 Walden, B. E., Prosek, R. A. & McCurdy, H. W. (1975). *The Prevalence of Hearing Loss*
9 1262 *Within Selected U.S. Army Branches*. Washington, DC: Walter Reed Army Medical
10 1263 Center.

11
12 1264 Westcott, M. (2006). Acoustic shock injury (ASI). *Acta Otolaryngologica Supplement*, 54-58.

13
14 1265 Wilson, R. H. (2011). Clinical experience with the words-in-noise test on 3430 veterans:
15 1266 comparisons with pure-tone thresholds and word recognition in quiet. *Journal of the*
16 1267 *American Academy of Audiology*, 22, 405-423. doi: 10.3766/jaaa.22.7.3

17
18 1268 Xiong, M., Yang, C., Lai, H. & Wang, J. (2014). Impulse noise exposure in early adulthood
19 1269 accelerates age-related hearing loss. *European Archives of Otorhinolaryngology*, 271,
20 1270 1351-1354. doi: 10.1007/s00405-013-2622-x

21
22 1271 Yankaskas, K. (2013). Prelude: noise-induced tinnitus and hearing loss in the military.
23 1272 *Hearing Research*, 295, 3-8. doi: 10.1016/j.heares.2012.04.016

24
25 1273 Ylikoski, M. E. & Ylikoski, J. S. (1994). Hearing loss and handicap of professional soldiers
26 1274 exposed to gunfire noise. *Scandinavian Journal of Work, Environment & Health*, 20, 93-
27 1275 100. doi: 10.5271/sjweh.1415

28
29
30
31
32
33
34
35 1276
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1277 **Table 1.** Example of a bulge analysis using the CLB method. Values in specific lines are
 1278 denoted A, B, C, D, and E. The AAHL values are those for a man aged 50 years at the 25th
 1279 (worst) percentile, as given in table 2 of Coles et al. (2000).

1280

	Frequency, kHz	1	2	3	4	6	8
A	Hearing threshold level (HTL), dB HL	15	10	15	40	40	40
B	HTL at selected anchor points	15					40
C	Selected age-associated hearing loss (AAHL)	12	19	25	35	39	46
	Misfit values (dB) = B - C at anchor points	3					-6
D	Interpolated misfit values (dB)	3.0	0.0	-1.5	-3.0	-4.5	-6.0
	Adjusted AAHL = C + D	15.0	19.0	23.5	32.0	34.5	40.0
	Set AAHL to 0 when AAHL < 0	15.0	19.0	23.5	32.0	34.5	40.0
E	Set AAHL to actual when AAHL > actual	15.0	10.0	15.0	32.0	34.5	40.0
	NIHL, i.e. bulge (dB) = A - E, rounded	0	0	0	8	6	0

1281

Or Peer Review

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 46

2
3
4 1282 **Table 2.** As table 1 but using AAHL values for a man aged 50 years at the 9th (worst)
5
6 1283 percentile, as given in ISO 7029 (2017).

7
8 1284

	Frequency, kHz	1	2	3	4	6	8
A	Hearing threshold level (HTL), dB HL	15	10	15	40	40	40
B	HTL at selected anchor points	15					40
C	Selected age-associated hearing loss (AAHL)	13.1	19.5	24.5	29.0	36.0	41.4
	Misfit values (dB) = B - C at anchor points	1.9					-1.4
D	Interpolated misfit values (dB)	1.9	0.8	0.2	-0.3	-0.8	-1.4
	Adjusted AAHL = C + D	15.0	20.3	24.8	28.7	35.1	40.0
	Set AAHL to 0 when AAHL < 0	15.0	20.3	24.8	28.7	35.1	40.0
E	Set AAHL to actual when AAHL > actual	15.0	10.0	15.0	28.7	35.1	40.0
	NIHL, i.e. bulge (dB) = A - E, rounded	0	0	0	11	5	0

9
10
11
12
13
14
15
16
17
18
19
20 1285

For Peer Review

21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1286 **Table 3.** Example of an LCB analysis using the same HTLs as for Table 1 and using the
 1287 same nominal AAHL values. The AAHL values here were calculated to one decimal place
 1288 using the equations in ISO 1999 (2013) but with the modified baseline values for young
 1289 people used by Coles et al. (2000). Values in specific lines are denoted A to N.

1290

		Frequency, kHz					
Pass 1		1	2	3	4	6	8
A	Hearing threshold level (HTL), dB HL	15	10	15	40	40	40
B	HTL at selected anchor points	15					40
C	Selected age-associated hearing loss (AAHL)	12.1	18.8	25.8	34.7	39.4	46.2
	Misfit values (dB) = B – C at anchor points	2.9					-6.2
D	Interpolated misfit values (dB)	2.9	-0.1	-1.6	-3.2	-4.6	-6.2
	Adjusted AAHL = C + D	15.0	18.7	24.1	31.6	35	40
	Set AAHL to 0 when AAHL < 0	15.0	18.7	24.1	31.6	35	40
E	Set AAHL to actual when AAHL > actual	15.0	10.0	15.0	31.6	34.8	40
	NIHL (dB) = A - E	0.0	0.0	0.0	8.4	5.2	0
Pass 2							
F	Estimate NIHL at anchor points (dB)	1.3					3.4
G	Modified HTL at anchor points (dB HL) = A – F	13.7					36.6
H	Selected age-associated hearing loss (AAHL)	12.1	18.8	25.8	34.7	39.4	46.2
I	Misfit values (dB) at anchor points = G – H	1.7					-9.5
J	Interpolated misfit values (dB)	1.7	-2.0	-3.9	-5.8	-7.6	-9.5
K	Modified AAHL (dB) = H + J	13.7	16.7	21.8	28.9	31.8	36.6
L	Set AAHL to 0 when AAHL < 0	13.7	16.7	21.8	28.9	31.8	36.6
M	Set AAHL to actual HTL when AAHL > actual	13.7	10.0	15.0	28.9	31.8	36.6
N	NIHL (dB) = A – M	1.3	0.0	0.0	11.1	8.2	3.4
	Mean NIHL at 1, 2 and 3 kHz, dB	0.4					
	Mean NIHL at 1, 2 and 4 kHz, dB	4.1					

1291

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 48

2
3
4 1292 **Table 4.** Quantification of NIHL for the same case as in Table 1-3, but based on comparison
5
6 1293 of the measured HTLs with AAHL values for a non-exposed man at the 30th (worst)
7
8 1294 percentile based on ISO 7029 (2017).
9

10 1295

Age, years	50					
Percentile	30					
Frequency, kHz	1	2	3	4	6	8
Hearing threshold level, dB HL	15	10	15	40	40	40
Age-associated hearing loss (AAHL), dB HL	7.6	11.6	14.9	17.9	22.5	26.0
Estimated noise-induced hearing loss (NIHL), dB	7.4	-1.6	0.1	22.1	17.5	14.0
Set NIHL to 0 if NIHL < 0	7.4	0.0	0.1	22.1	17.5	14.0
Mean M-NIHL at 1, 2 and 3 kHz, dB	2.5					
Mean M-NIHL at 1, 2 and 4 kHz, dB	9.9					

11
12
13
14
15
16
17
18
19
20
21
22
23 1296
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 49

2
3
4 1297 **Table 5.** As Table 4 but with AAHL values for a non-exposed man at the 50th (median)
5
6 1298 percentile, based on ISO 7029 (2017).

7
8 1299

9	Age, years	50					
10	Percentile	50					
11	Frequency, kHz	1	2	3	4	6	8
12	Hearing threshold level, dB HL	15	10	15	40	40	40
13	Age-associated hearing loss (AAHL), dB HL	4.0	6.5	8.7	10.7	13.8	16.1
14	Estimated noise-induced hearing loss (NIHL), dB	11.0	3.5	6.3	29.3	26.2	23.9
15	Set NIHL to 0 if NIHL < 0	11.0	3.5	6.3	29.3	26.2	23.9
16	Mean M-NIHL at 1, 2 and 3 kHz, dB	6.9					
17	Mean M-NIHL at 1, 2 and 4 kHz, dB	14.6					

18
19
20 1300

21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1301 **Table 6.** Application of the LCB quantification method to a 47 year old military veteran
 1302 using AAHL values for a 47-year old man at the 5th (worst) percentile using values from
 1303 (Lutman et al., 2016).
 1304

Lutman et al 2016 method	Frequency, kHz					
	1.0	2	3	4	6	8
Pass 1						
Hearing threshold level (HTL), dB HL	20	20	40	50	45	65
HTL at selected anchor points	20.0					65.0
Selected age-associated hearing loss (AAHL)	19.4	28.2	36.5	47.4	53.9	62.4
Misfit values (dB)	0.6					2.6
Interpolated misfit values (dB)	0.6	1.3	1.6	1.9	2.3	2.6
Adjusted AAHL	20.0	29.4	38.1	49.4	56.1	65.0
Set AAHL to 0 when AAHL<0	20.0	29.4	38.1	49.4	56.1	65.0
Set AAHL to actual when AAHL>actual	20.0	20.0	38.1	49.4	45.0	65.0
Bulge (dB)	0.0	0.0	1.9	0.6	0.0	0.0
Pass 2						
Modified HTL at anchor points (dB)	19.9					64.7
Selected age-associated hearing loss (AAHL)	19.4	28.2	36.5	47.4	53.9	62.4
Misfit values (dB)	0.5					2.3
Interpolated misfit values (dB)	0.5	1.1	1.4	1.7	2.0	2.3
Modified AAHL (dB)	19.9	29.3	37.9	49.2	55.9	64.7
Set AAHL to 0 when AAHL<0	19.9	29.3	37.9	49.2	55.9	64.7
Set AAHL to actual when AAHL>actual	19.9	20.0	37.9	49.2	45.0	64.7
Modified bulge = noise-induced loss (dB)	0.1	0.0	2.1	0.8	0.0	0.3
Mean noise-induced loss 1, 2 and 3 kHz	0.7					
Mean noise-induced loss 1, 2 and 4 kHz	0.3					

1305 **Table 7.** As Table 6, but with the HTL at 8 kHz adjusted to 45 dB HL and with the percentile
 1306 changed to the 20th (worst).

1307

Lutman et al 2016 method	Frequency, kHz					
Pass 1	1.0	2	3	4	6	8
Hearing threshold level (HTL), dB HL	20	20	40	50	45	45
HTL at selected anchor points	20.0					45.0
Selected age-associated hearing loss (AAHL)	12.5	19.0	25.3	33.8	38.4	44.6
Misfit values (dB)	7.5					0.4
Interpolated misfit values (dB)	7.5	5.1	3.9	2.7	1.6	0.4
Adjusted AAHL	20.0	24.1	29.3	36.5	40.0	45.0
Set AAHL to 0 when AAHL<0	20.0	24.1	29.3	36.5	40.0	45.0
Set AAHL to actual when AAHL>actual	20.0	20.0	29.3	36.5	40.0	45.0
Bulge (dB)	0.0	0.0	10.7	13.5	5.0	0.0
Pass 2						
Modified HTL at anchor points (dB)	18.0					39.6
Selected age-associated hearing loss (AAHL)	12.5	19.0	25.3	33.8	38.4	44.6
Misfit values (dB)	5.4					-5.0
Interpolated misfit values (dB)	5.4	2.0	0.2	-1.6	-3.3	-5.0
Modified AAHL (dB)	18.0	21.0	25.5	32.2	35.1	39.6
Set AAHL to 0 when AAHL<0	18.0	21.0	25.5	32.2	35.1	39.6
Set AAHL to actual when AAHL>actual	18.0	20.0	25.5	32.2	35.1	39.6
Modified bulge = noise-induced loss (dB)	2.0	0.0	14.5	17.8	9.9	5.4
Mean noise-induced loss 1, 2 and 3 kHz	5.5					
Mean noise-induced loss 1, 2 and 4 kHz	6.6					

1308

1309 **Table 8.** Estimation of the amount of M-NIHL by comparison to the 50th percentile of ISO
 1310 7029 (2017) for the same case as in Tables 6 and 7.

1311

Age, years	47					
Percentile	50					
Frequency, kHz	1	2	3	4	6	8
Hearing threshold level, dB HL	20	20	40	50	45	65
Age-associated hearing loss (AAHL), dB HL	3.1	5.1	6.9	8.5	11.0	12.8
Estimated noise-induced hearing loss (NIHL), dB	16.9	14.9	33.1	41.5	34.0	52.2
Set NIHL to 0 if NIHL < 0	16.9	14.9	33.1	41.5	34.0	52.2
Mean M-NIHL at 1, 2 and 3 kHz, dB	21.6					
Mean M-NIHL at 1, 2 and 4 kHz, dB	24.4					

1312

For Peer Review

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1313 **Table 9.** As Table 8, but using the 25th (worst) percentile from ISO 7029 (2017), instead of
 1314 the 50th percentile.

1315

Age, years	47					
Percentile	25					
Frequency, kHz	1	2	3	4	6	8
Hearing threshold level, dB HL	20	20	40	50	45	65
Age-associated hearing loss (AAHL), dB HL	7.1	10.8	13.9	16.6	20.8	24.1
Estimated noise-induced hearing loss (NIHL), dB	12.9	9.2	26.1	33.4	24.2	40.9
Set NIHL to 0 if NIHL < 0	12.9	9.2	26.1	33.4	24.2	40.9
Mean M-NIHL at 1, 2 and 3 kHz, dB	16.1					
Mean M-NIHL at 1, 2 and 4 kHz, dB	18.5					

For Peer Review

1 Moore, Lowe and Cox Guidelines for diagnosing noise-induced hearing loss 54

2
3
4 1316 Figure captions

5
6 1317

7
8 1318 **Figure 1.** AAHL values for men expected from the 50th percentile of ISO 7029 (2017)
9
10 1319 (diamonds) and from the values published by Flamme et al. (2020) (squares), plotted
11
12 1320 as a function of age. Each panel shows results for one frequency.

13
14 1321

15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review